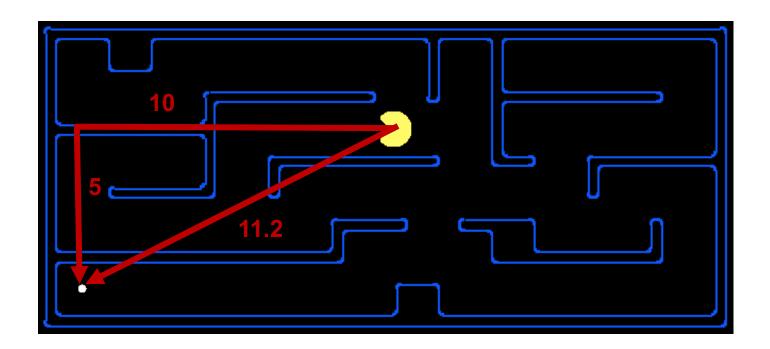
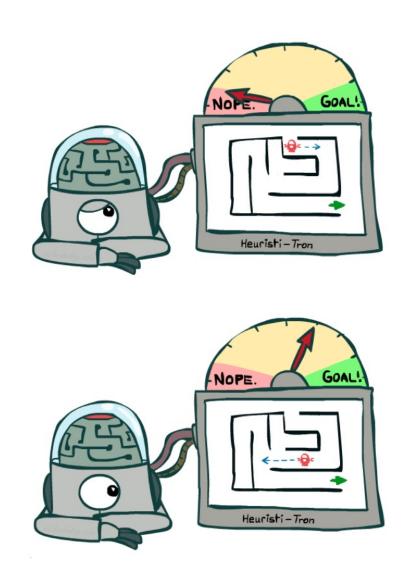
### Recap: Search Heuristics

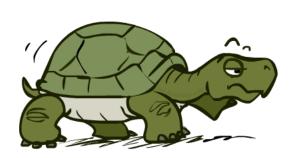
#### A heuristic is:

- A function that estimates how close a state is to a goal
- Designed for a particular search problem
- Examples: Manhattan distance, Euclidean distance for pathing





### Recap: Cost- vs. Heuristic-Guided Search



Uniform-Cost Search (only costs, g)

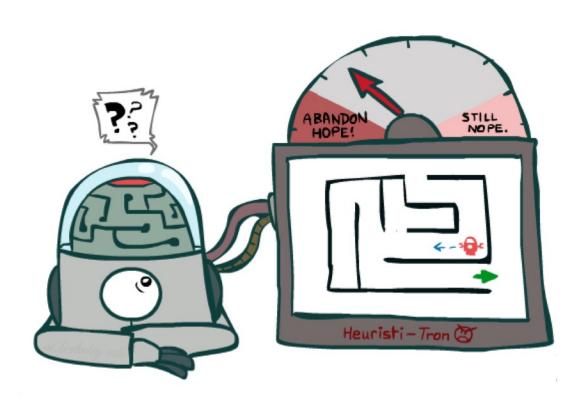


Greedy Best-First Search (only heuristic, h)

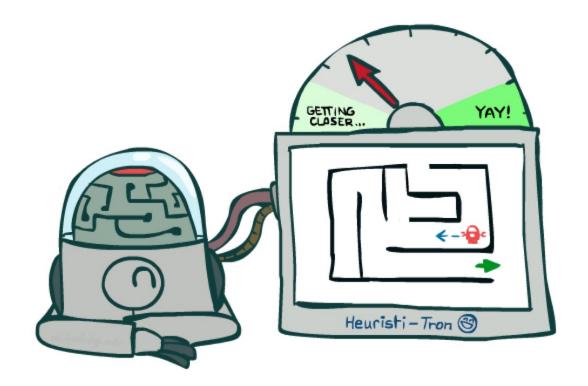


A\* Search (both, f=g+h)

#### Recap: Admissibility

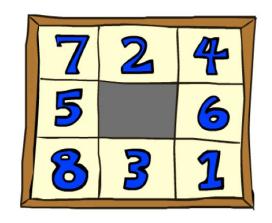


Inadmissible (pessimistic) heuristics break optimality by trapping good plans on the fringe

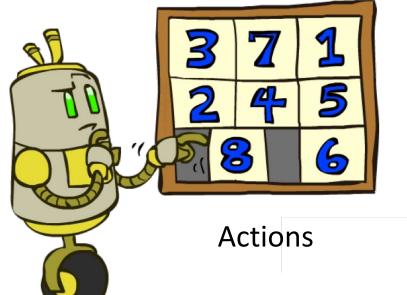


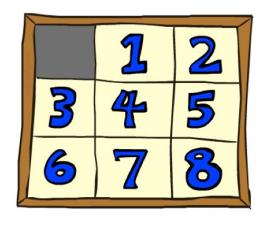
Admissible (optimistic) heuristics slow down bad plans but never outweigh true costs

# Recap: 8-Puzzle

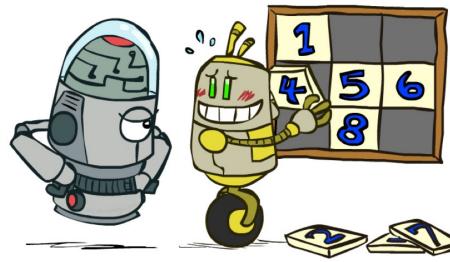


**Start State** 



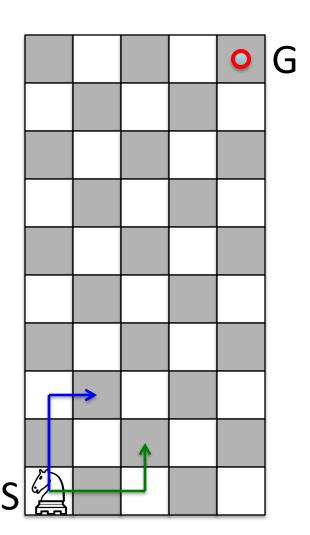


**Goal State** 

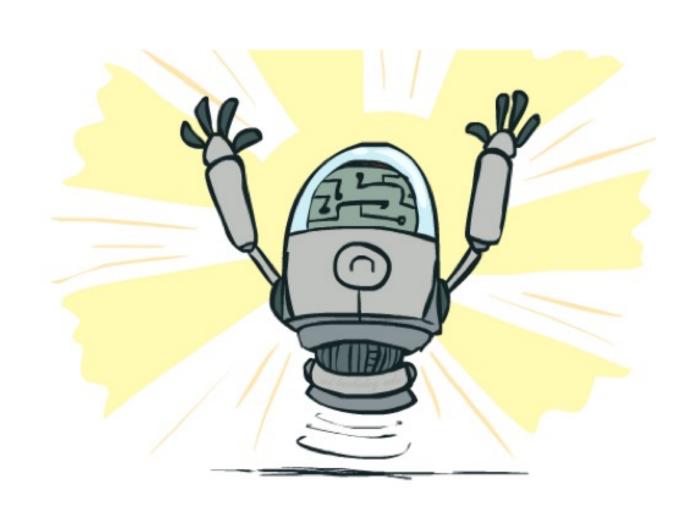


#### Designing a Heuristic: Knight's moves

- Minimum number of knight's moves to get from S to G?
  - $h_1$  = (Manhattan distance)/3
    - $h_1' = h_1$  rounded up to correct parity (even if S, G same color, odd otherwise)
  - $h_2$  = (Euclidean distance)/ $\sqrt{5}$ 
    - $h_2' = h_2$  rounded up to correct parity
  - $h_3$  = (maximum horizontal or vertical distance)/2
    - $h_3' = h_3$  rounded up to correct parity
- $h(n) = \max(h_1'(n), h_2'(n), h_3'(n))$  is admissible!

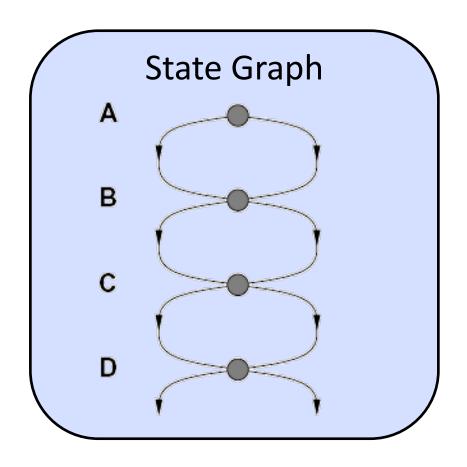


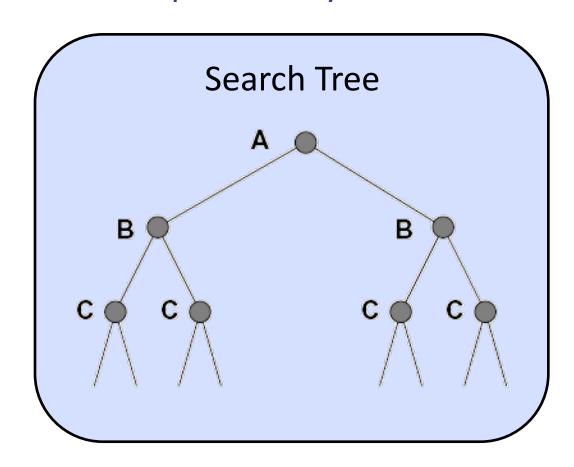
# Recap: Optimality of A\* Tree Search



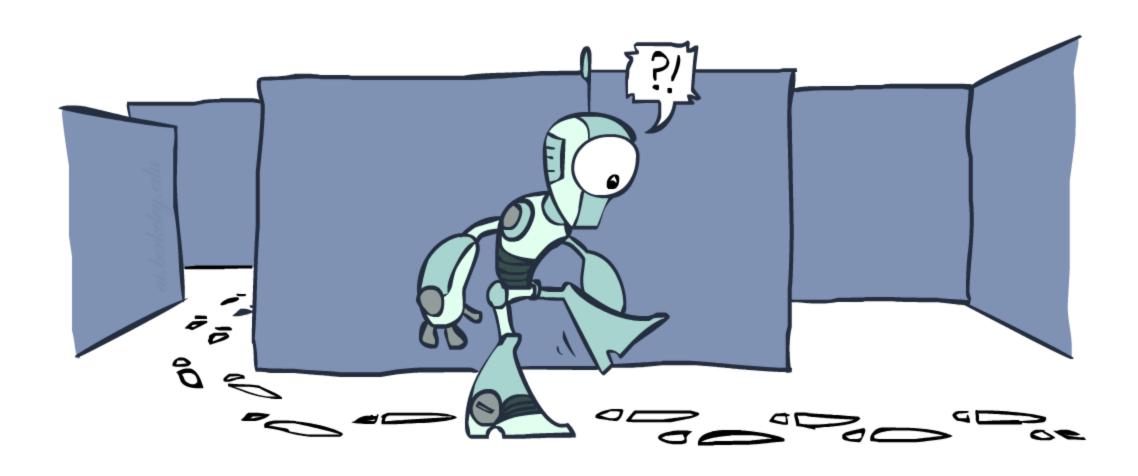
#### Tree Search: Extra Work!

Failure to detect repeated states can cause exponentially more work.



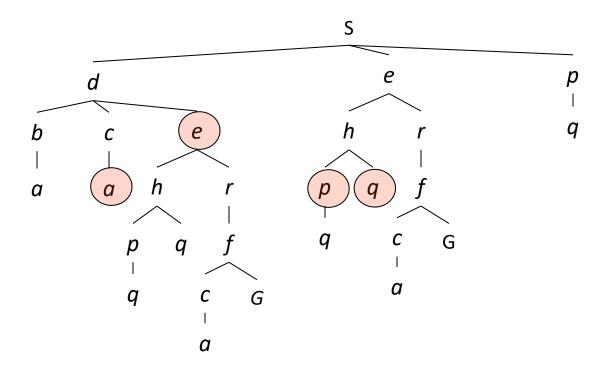


# **Graph Search**



# **Graph Search**

In BFS, for example, we shouldn't bother expanding the circled nodes (why?)

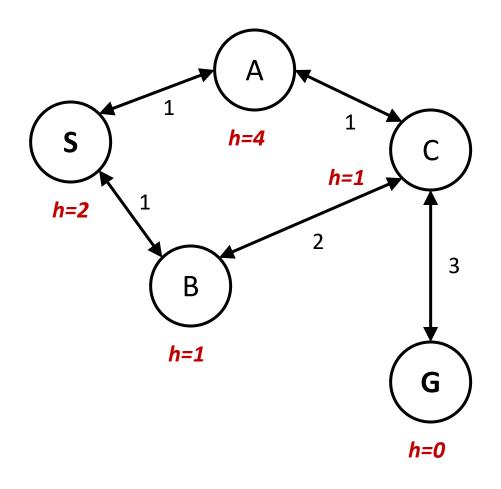


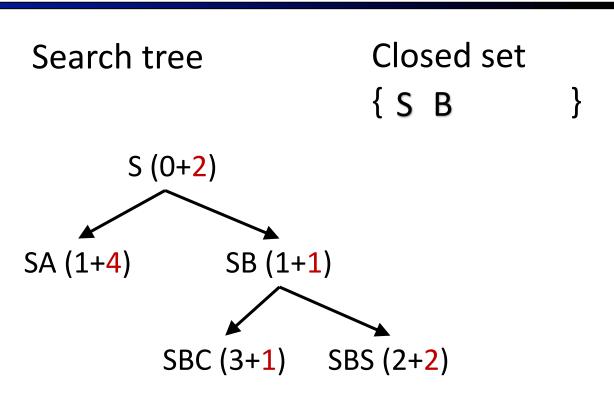
#### **Graph Search**

- Idea: never expand a state twice
- How to implement:
  - Tree search + set of expanded states ("closed set")
  - Expand the search tree node-by-node, but...
  - Before expanding a node, check to make sure its state has never been expanded before
  - If not new, skip it, if new add to closed set
- Important: store the closed set as a set, not a list
- Can graph search wreck completeness? Why/why not?
- How about optimality?

## A\* Graph Search Gone Wrong?

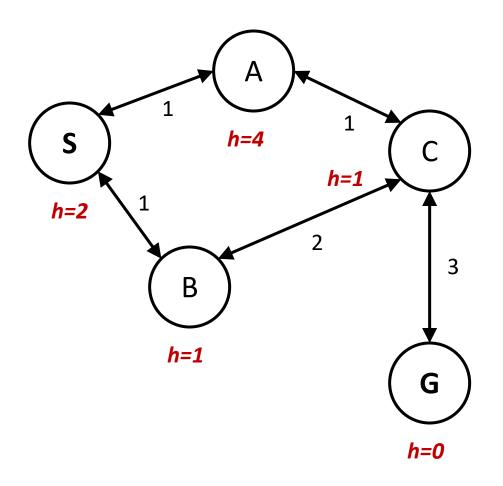
State space graph

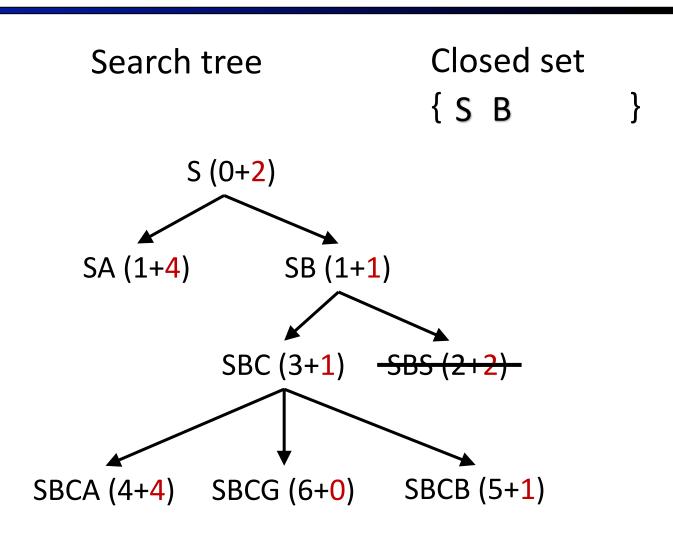




## A\* Graph Search Gone Wrong?

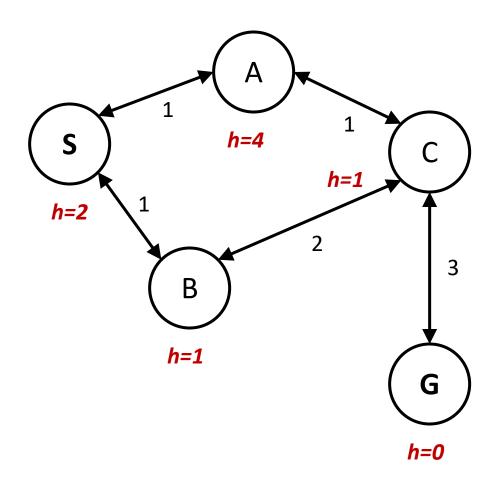
State space graph

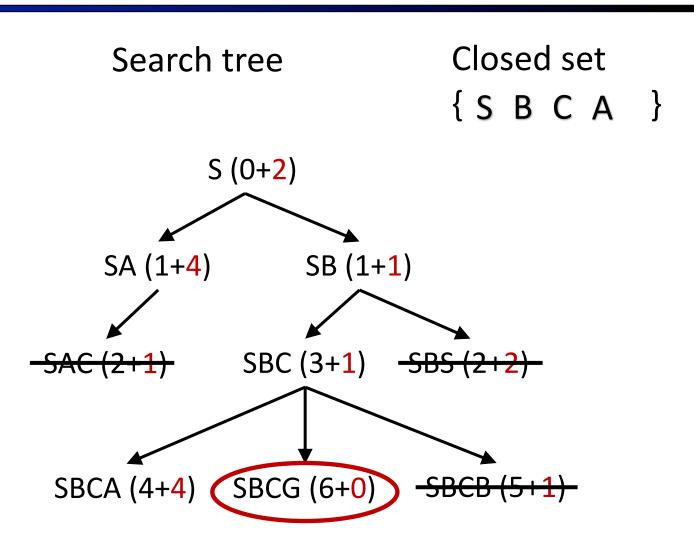




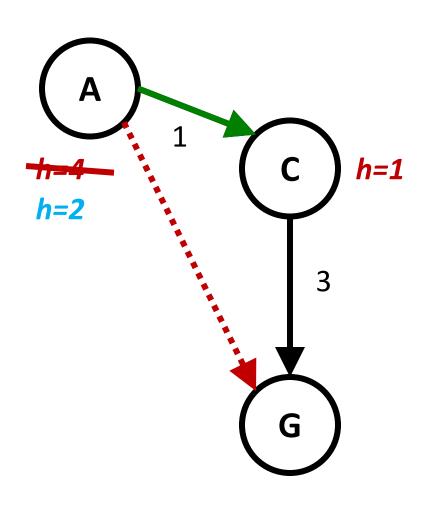
## A\* Graph Search Gone Wrong?

State space graph





# Consistency of Heuristics

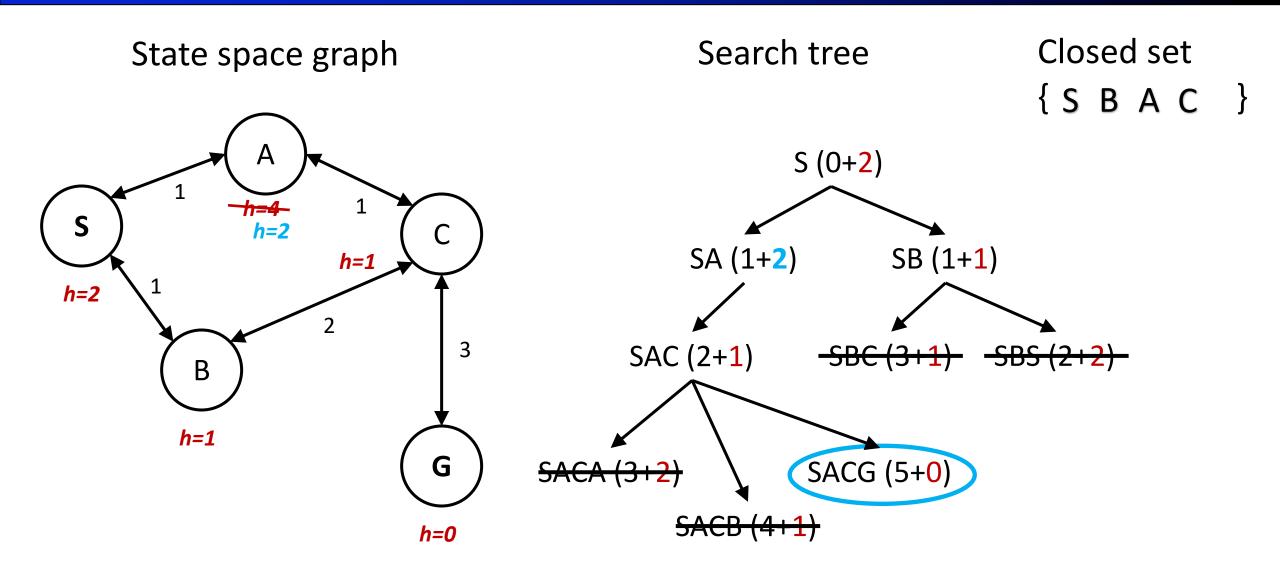


- Main idea: estimated heuristic costs ≤ actual costs
  - Admissibility: heuristic cost ≤ actual cost to goal
     h(A) ≤ actual cost h\* from A to G
  - Consistency: heuristic "arc" cost ≤ actual cost for each arc
     h(A) h(C) ≤ cost(A to C)
    - a.k.a. "triangle inequality": h(A) ≤ cost(A to C) + h(C)
    - Note: true cost h\* necessarily satisfies triangle inequality
- Consequences of consistency:
  - The f value along a path never decreases

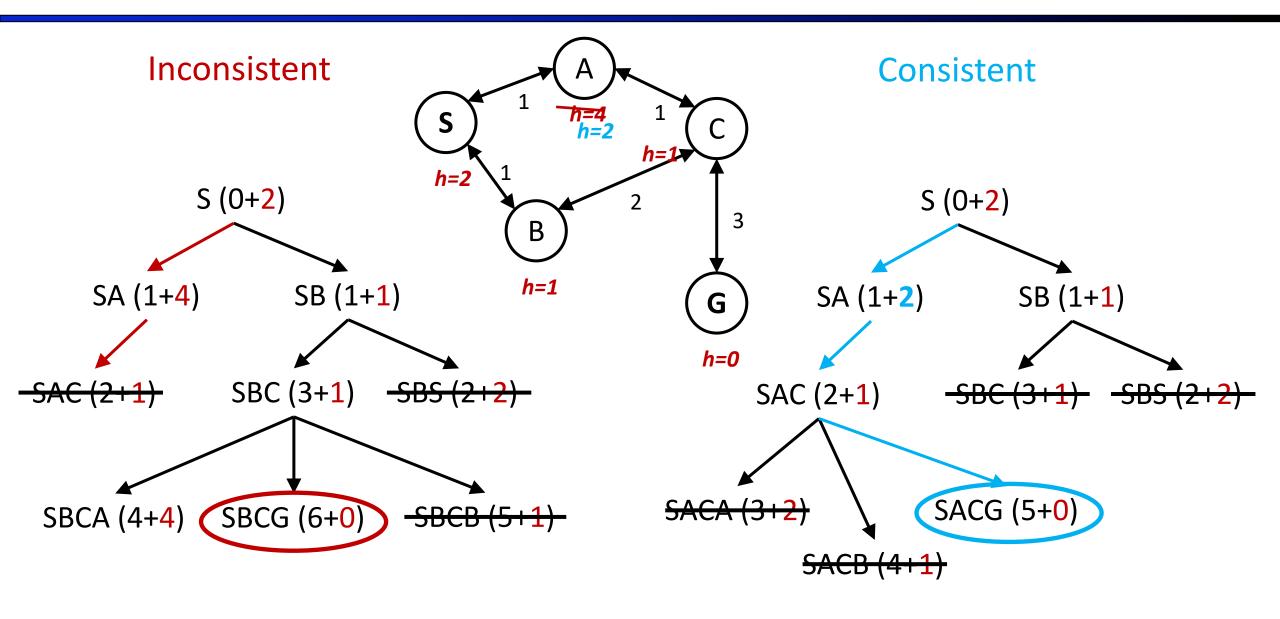
$$h(A) \le cost(A to C) + h(C)$$

A\* graph search is optimal

### A\* Graph Search with Consistent Heuristic

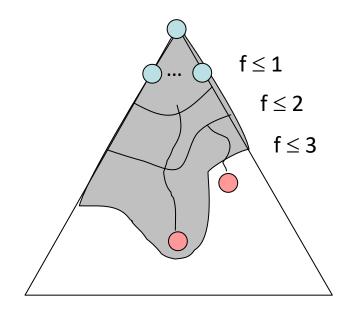


### Consistency => non-decreasing f-score



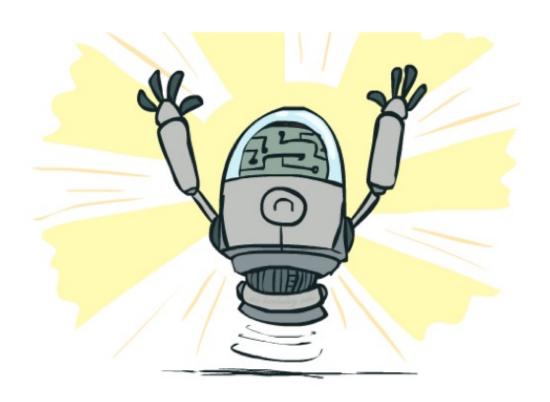
### Optimality of A\* Graph Search

- Sketch: consider what A\* does with a consistent heuristic:
  - Fact 1: In tree search, A\* expands nodes in increasing total f value (f-contours)
  - Fact 2: For every state s, nodes that reach s optimally are expanded before nodes that reach s suboptimally
  - Result: A\* graph search is optimal



#### **Optimality**

- Tree search:
  - A\* is optimal if heuristic is admissible
  - UCS is a special case (h = 0)
- Graph search:
  - A\* optimal if heuristic is consistent
  - UCS optimal (h = 0 is consistent)
- Consistency implies admissibility
- In general, most natural admissible heuristics tend to be consistent, especially if from relaxed problems



#### But...

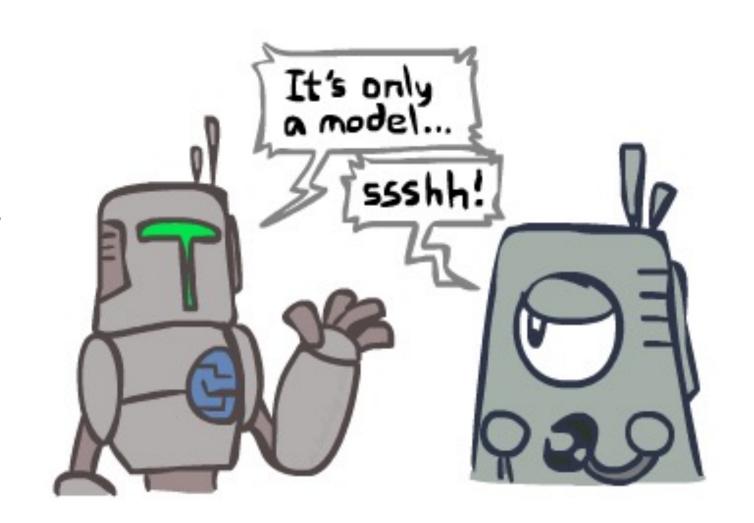
- A\* keeps the entire explored region in memory
- = > will run out of space before you get bored waiting for the answer



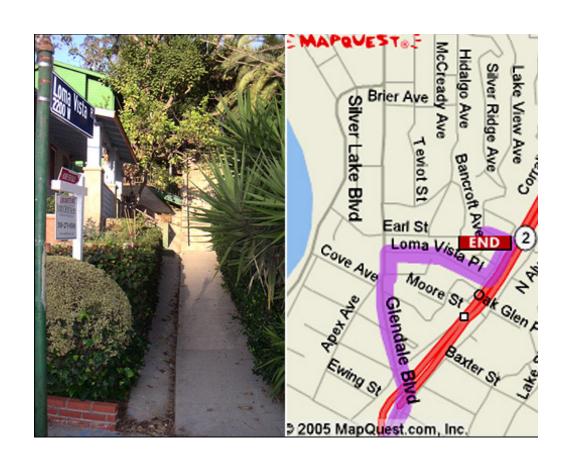
- There are variants that use less memory (Section 3.5.5):
  - IDA\* works like iterative deepening, except it uses an f-limit instead of a depth limit
    - On each iteration, remember the smallest f-value that exceeds the current limit, use as new limit
    - Very inefficient when f is real-valued and each node has a unique value
  - RBFS is a recursive depth-first search that uses an *f*-limit = the *f*-value of the best alternative path available from any ancestor of the current node
    - lacktriangle When the limit is exceeded, the recursion unwinds but remembers the best reachable f-value on that branch
  - SMA\* uses all available memory for the queue, minimizing thrashing
    - When full, drop worst node on the queue but remember its value in the parent

#### Search and Models

- Search operates over models of the world
  - The agent doesn't actually try all the plans out in the real world!
  - Planning is all "in simulation"
  - Your search is only as good as your models...

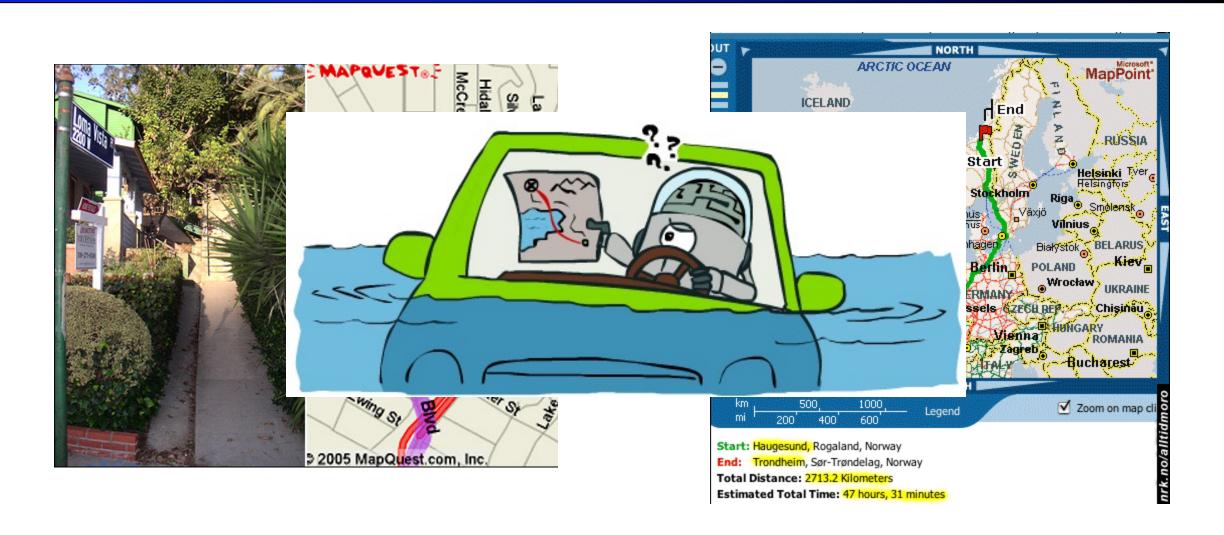


# Search Gone Wrong?





# Search Gone Wrong?



#### Tree Search Pseudo-Code

```
function Tree-Search(problem, fringe) return a solution, or failure
  fringe ← Insert(make-node(initial-state[problem]), fringe)
loop do
  if fringe is empty then return failure
  node ← remove-front(fringe)
  if goal-test(problem, state[node]) then return node
  for child-node in expand(state[node], problem) do
    fringe ← insert(child-node, fringe)
  end
end
```

#### Graph Search Pseudo-Code

```
function Graph-Search(problem, fringe) return a solution, or failure
   closed \leftarrow an empty set
   fringe \leftarrow Insert(Make-node(Initial-state[problem]), fringe)
   loop do
       if fringe is empty then return failure
       node \leftarrow \text{REMOVE-FRONT}(fringe)
       if GOAL-TEST(problem, STATE[node]) then return node
       if STATE [node] is not in closed then
          add STATE[node] to closed
          for child-node in EXPAND(STATE[node], problem) do
              fringe \leftarrow INSERT(child-node, fringe)
          end
   end
```

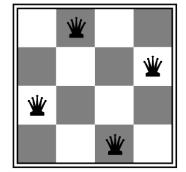
#### **Local Search**

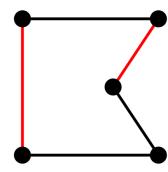




### Local search algorithms

- In many optimization problems, path is irrelevant; the goal state is the solution
- Then state space = set of "complete" configurations; find configuration satisfying constraints, e.g., n-queens problem; or, find optimal configuration, e.g., travelling salesperson problem





- In such cases, can use iterative improvement algorithms: keep a single "current" state, try to improve it
- Constant space, suitable for online as well as offline search
- More or less unavoidable if the "state" is yourself (i.e., learning)

# Hill Climbing

#### Simple, general idea:

Start wherever

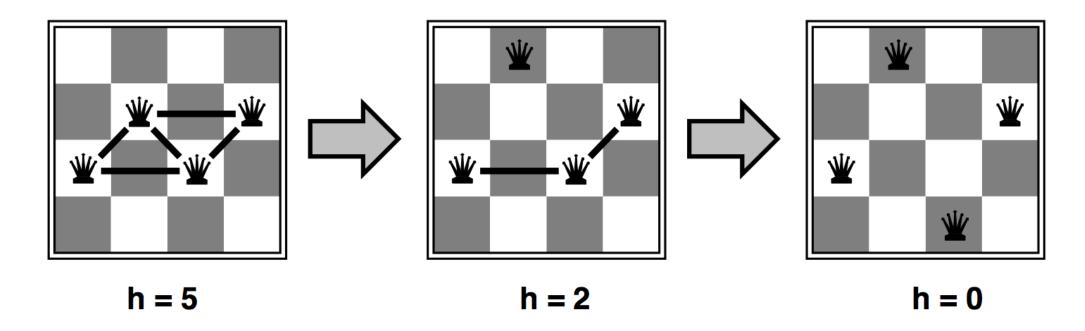
Repeat: move to the best neighboring state

If no neighbors better than current, quit



### Heuristic for *n*-queens problem

- Goal: n queens on board with no conflicts, i.e., no queen attacking another
- States: n queens on board, one per column
- Actions: move a queen in its column
- Heuristic value function: number of conflicts

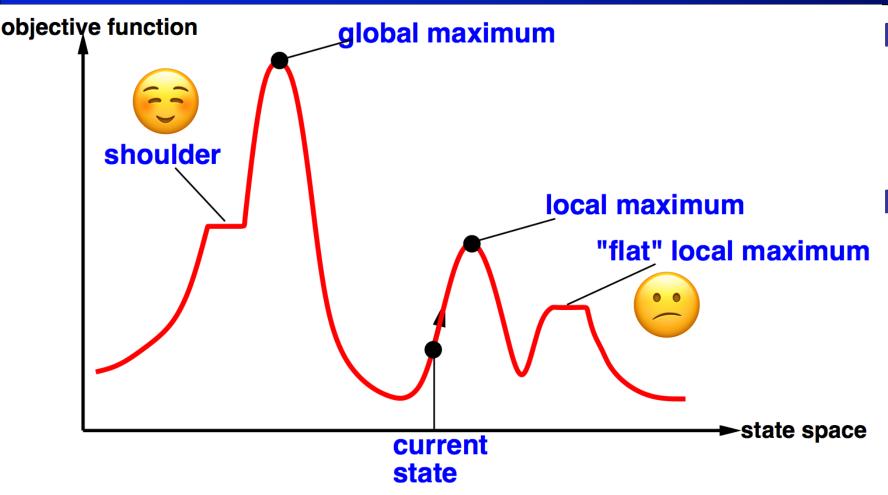


### Hill-climbing algorithm

```
function HILL-CLIMBING(problem) returns a state
  current ← make-node(problem.initial-state)
  loop do
      neighbor ← a highest-valued successor of current
      if neighbor.value ≤ current.value then
           return current.state
      current ← neighbor
```

"Like climbing Everest in thick fog with amnesia"

#### Global and local maxima



#### Random restarts

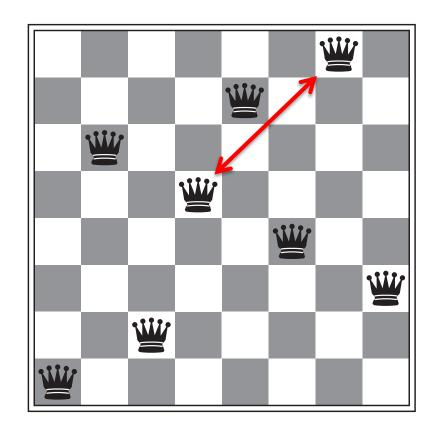
- find global optimum
- duh

#### Random sideways moves

- Escape from shoulders
- Loop forever on flat local maxima

## Hill-climbing on the 8-queens problem

- No sideways moves:
  - Succeeds w/ prob. 0.14
  - Average number of moves per trial:
    - 4 when succeeding, 3 when getting stuck
  - Expected total number of moves needed:
    - 3(1-p)/p + 4 = 22 moves
- Allowing 100 sideways moves:
  - Succeeds w/ prob. 0.94
  - Average number of moves per trial:
    - 21 when succeeding, 65 when getting stuck
  - Expected total number of moves needed:
    - 65(1-p)/p + 21 =~ 25 moves



Moral: algorithms with knobs to twiddle are irritating

## Simulated annealing

- Resembles the annealing process used to cool metals slowly to reach an ordered (low-energy) state
- Basic idea:
  - Allow "bad" moves occasionally, depending on "temperature"
  - High temperature => more bad moves allowed, shake the system out of its local minimum
  - Gradually reduce temperature according to some schedule
  - Sounds pretty flaky, doesn't it?

## Simulated annealing algorithm

```
function SIMULATED-ANNEALING(problem, schedule) returns a state
current ← problem.initial-state
for t = 1 to \infty do
     T \leftarrow schedule(t)
     if T = 0 then return current
     next ← a randomly selected successor of current
     \Delta E \leftarrow next.value - current.value
     if \Delta E > 0 then current \leftarrow next
                else current \leftarrow next only with probability e^{\Delta E/T}
```



# Simulated Annealing

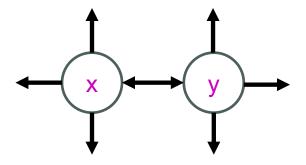
#### Theoretical guarantee:

- Stationary distribution (Boltzmann):  $P(x) \propto e^{E(x)/T}$
- If T decreased slowly enough, will converge to optimal state!

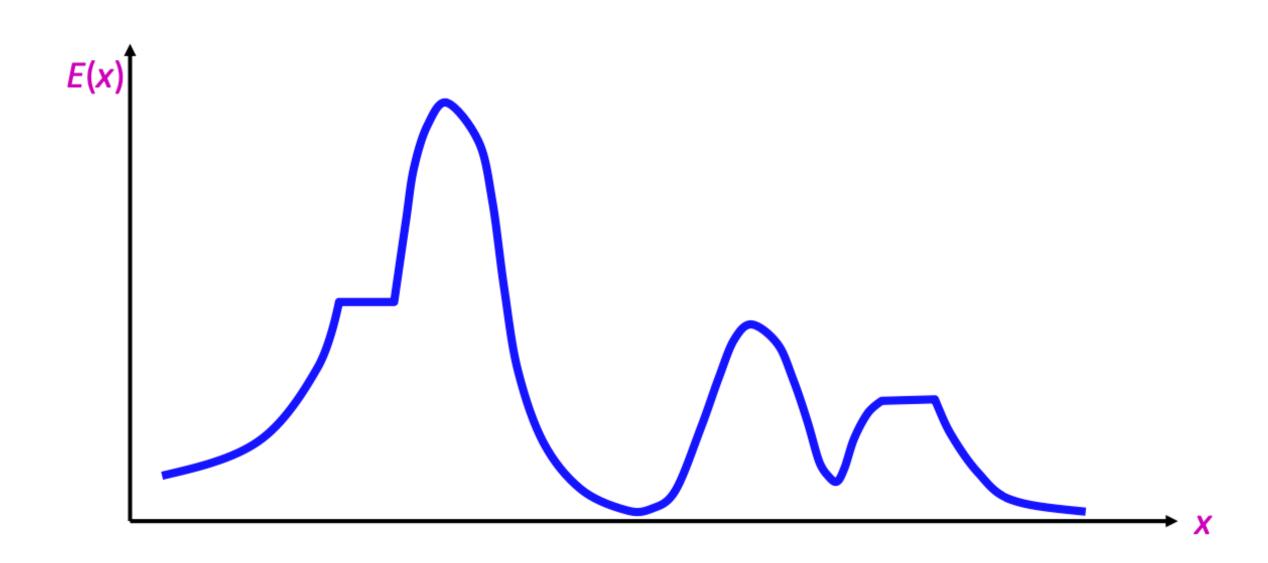
#### Proof sketch

- Consider two adjacent states x, y with E(y) > E(x) [high is good]
- Assume  $x \rightarrow y$  and  $y \rightarrow x$  and outdegrees D(x) = D(y) = D
- Let P(x), P(y) be the equilibrium occupancy probabilities at T
- Let  $P(x \rightarrow y)$  be the probability that state x transitions to state y





# Occupation probability as a function of T



## Simulated Annealing

- Is this convergence an interesting guarantee?
- Sounds like magic, but reality is reality:
  - The more downhill steps you need to escape a local optimum, the less likely you are to ever make them all in a row
  - "Slowly enough" may mean exponentially slowly
  - Random restart hillclimbing also converges to optimal state...
- Simulated annealing and its relatives are a key workhorse in VLSI layout and other optimal configuration problems



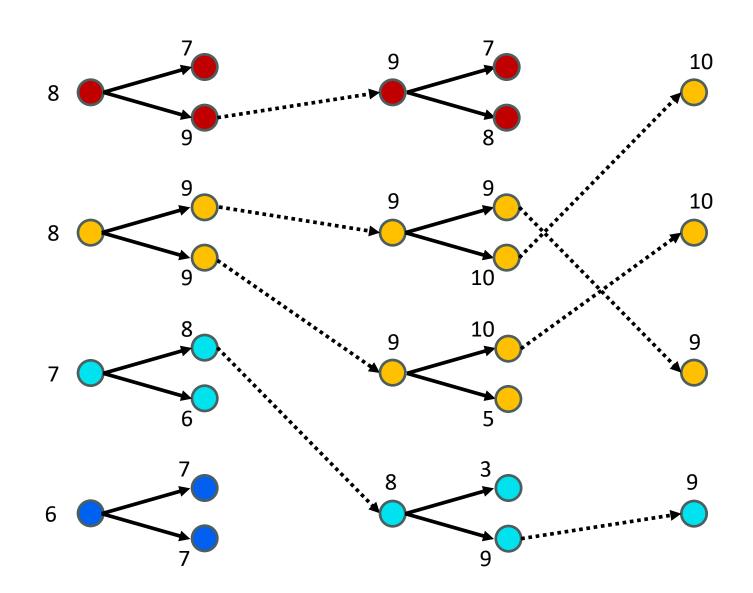
#### Local beam search

#### Basic idea:

- K copies of a local search algorithm, initialized randomly
- For each iteration
  - Generate ALL successors from K current states
  - Choose best K of these to be the new current states

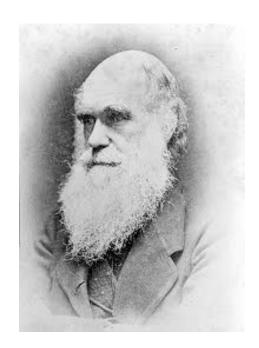
Or, K chosen randomly with a bias towards good ones

# Beam search example (K=4)

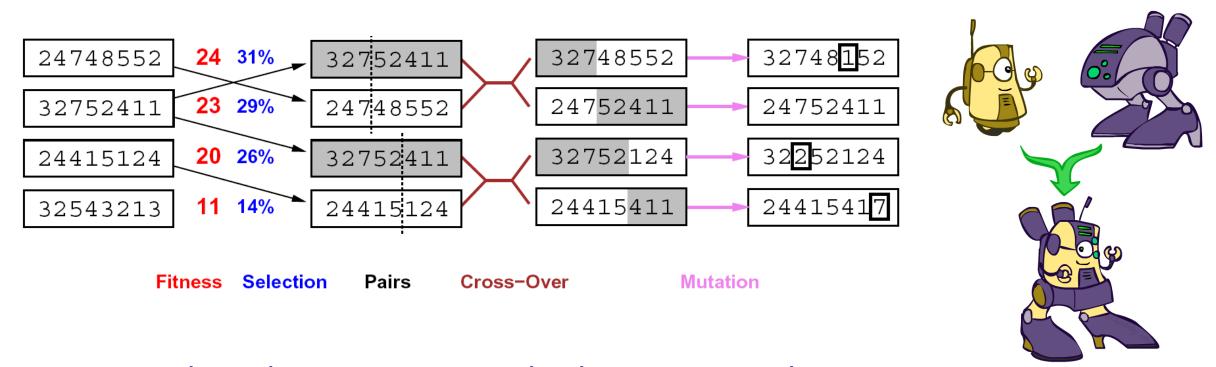


#### Local beam search

- Why is this different from K local searches in parallel?
  - The searches *communicate*! "Come over here, the grass is greener!"
- What other well-known algorithm does this remind you of?
  - Evolution!

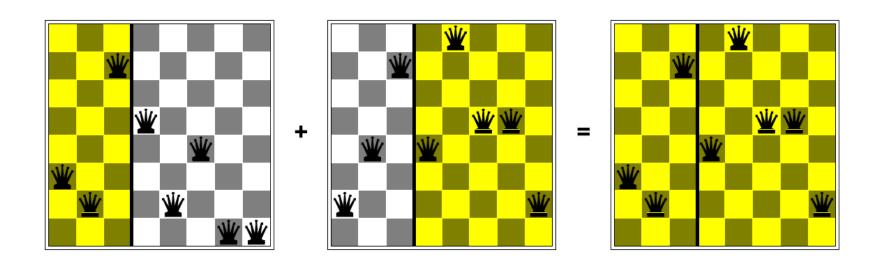


### Genetic algorithms



- Genetic algorithms use a natural selection metaphor
  - Resample K individuals at each step (selection) weighted by fitness function
  - Combine by pairwise crossover operators, plus mutation to give variety

### Example: N-Queens



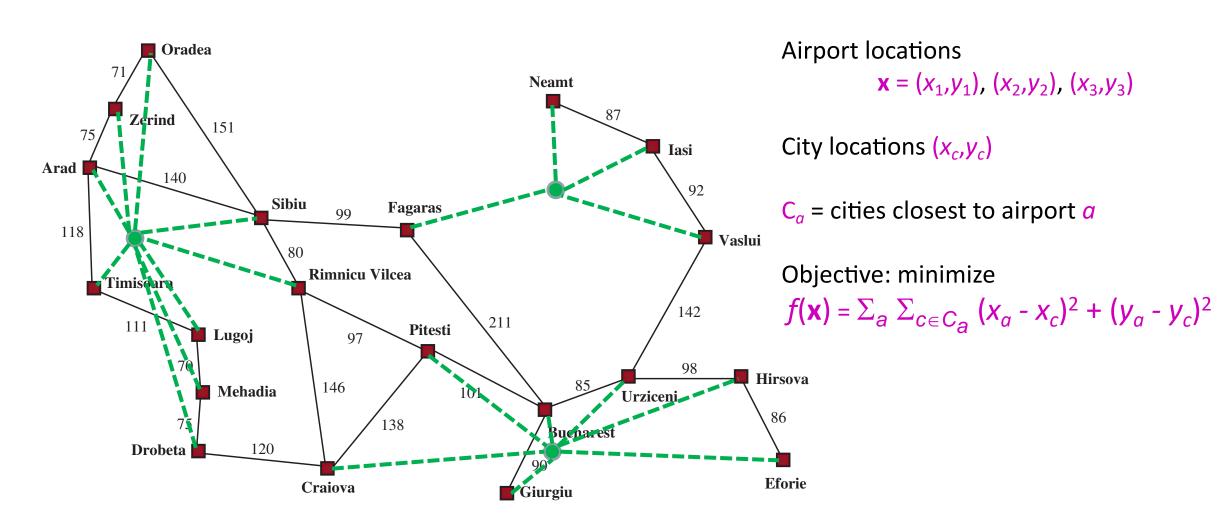
- Does crossover make sense here?
- What would mutation be?
- What would a good fitness function be?

# Local search in continuous spaces



### Example: Placing airports in Romania

Place 3 airports to minimize the sum of squared distances from each city to its nearest airport



## Handling a continuous state/action space

#### 1. Discretize it!

- Define a grid with increment  $\delta$ , use any of the discrete algorithms
- 2. Choose random perturbations to the state
  - a. First-choice hill-climbing: keep trying until something improves the state
  - b. Simulated annealing
- 3. Compute gradient of f(x) analytically

### Finding extrema in continuous space

- Gradient vector  $\nabla f(\mathbf{x}) = (\partial f/\partial x_1, \partial f/\partial y_1, \partial f/\partial x_2, ...)^\mathsf{T}$
- For the airports,  $f(\mathbf{x}) = \sum_a \sum_{c \in C_a} (x_a x_c)^2 + (y_a y_c)^2$
- At an extremum,  $\nabla f(\mathbf{x}) = 0$
- Can sometimes solve in closed form:  $x_1 = (\sum_{c \in C_1} x_c) / |C_1|$ 
  - Is this a local or global minimum of f?
- If we can't solve  $\nabla f(\mathbf{x}) = 0$  in closed form...
  - Gradient descent:  $\mathbf{x} \leftarrow \mathbf{x} \alpha \nabla f(\mathbf{x})$
- Huge range of algorithms for finding extrema using gradients

#### Summary

- Many configuration and optimization problems can be formulated as local search
- General families of algorithms:
  - Hill-climbing, continuous optimization
  - Simulated annealing (and other stochastic methods)
  - Local beam search: multiple interaction searches
  - Genetic algorithms: break and recombine states

Many machine learning algorithms are local searches