

Independent Review Cheat Sheet

This Independent Review Cheat Sheet is meant to give a brief overview of key aspects on how to leverage independent review (third party review, or ethics review) in your organization. For a more in-depth dive into independent review, consider checking out Responsible AI Institute's Independent Review Guidelines.

What can you do as an AI Practioner?

Outsource to Ethics-as-a-Service committees

"Sanboxing" - create a strict separation between the review committee and sales team

Form Ethics Teams with a dedicated lead (distinct from CEO and CFO)

Have public consulation via Community Advocates

What can you do as a Regulator?

Comprehensive regulatory measures are needed to incentivize the tech sector to center human rights in decision making

As a regulator, consider historical examples when weighing risks where tech places humans as the subject

<div>✓</div> <div>Structure & Composition</div>	<div>✓</div> <div>Governance</div>	<div>✓</div> <div>Dimensions of Ethics Review</div>
<div> <div>Do your ethics committees have...</div> <div> <div> <input type="checkbox"/> <div>Subject matter experts to evaluate technical aspects?</div> </div> <div> <input type="checkbox"/> <div>Ethics experts to address ethical conerns?</div> </div> <div> <input type="checkbox"/> <div>Legal experts to address legal considerations?</div> </div> <div> <input type="checkbox"/> <div>Community Advocates to represent the users and affected populations?</div> </div> </div> </div> <div> <div>Do your internal Ethics Committee Boards work towards...</div> <div> <div> <input type="checkbox"/> <div>Ethical Governance - creating and adhering to the org's ethical principles, human rights, and industry best practices?</div> </div> <div> <input type="checkbox"/> <div>Translating the org's principles to ethical decision making and review?</div> </div> </div> </div> <div> <div>Lastly, do you...</div> <div> <div> <input type="checkbox"/> <div>Have a dedicated lead (e.g. Chief Ethics Officer) for the ethics committee to report to?</div> </div> <div> <input type="checkbox"/> <div>Standardize and codify the processes to ensure that ethical decision making is sustained in your organization?</div> </div> </div> </div>	<div> <div>Is there accountability in place for the ethics committee that is strictly seperate from accountability for sales?</div> <div> <input type="checkbox"/> </div> </div> <div> <div>Review Process</div> <div> <div> <input type="checkbox"/> <div>the review process is standardized and codified to allow for comparability between projects</div> </div> <div> <input type="checkbox"/> <div>Notes, learnings, and questions should be documented by the ethics committee</div> </div> </div> </div> <div> <div>Checks & Balances</div> <div> <div> <div>If the ethics committee is internal to the organization...</div> <div> <input type="checkbox"/> <div>ensure that decision making is free from business interests and financial incentives</div> </div> </div> </div> </div>	<div> <div>Is your ethics review for tech projects conducted along at minimum the following dimensions...</div> <div> <div> <input type="checkbox"/> <div>Technical aspects</div> </div> <div> <input type="checkbox"/> <div>Available resources - people and infrastructure</div> </div> <div> <input type="checkbox"/> <div>Ethical - based on an established set of principles</div> </div> <div> <input type="checkbox"/> <div>Where business or reputational risks are considered, they should serve as counterbalance to financial incentives</div> </div> </div> </div>

