

# *Chapter 6: Constraint satisfaction problems*

*DIT410/TIN173 Artificial Intelligence*

Peter Ljunglöf

(inspired by slides by Poole & Mackworth, Russell & Norvig, et al)

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# Outline

## 1 *Variables and constraints*

- Russell & Norvig 6.1, Poole & Mackworth 4.1–4.2

## 2 *Solving CSPs using search*

- Russell & Norvig 6.3–6.3.2, Poole & Mackworth 4.3–4.4

## 3 *Constraint propagation*

- Russell & Norvig 6.2–6.2.2, Poole & Mackworth 4.5–4.6

## 4 *Local search for CSPs*

- Russell & Norvig 6.4, Poole & Mackworth 4.8.1

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# Constraint satisfaction problems (CSP)

Standard search problem:

- the **state** is a “black box” – any old data structure that supports goal test, cost evaluation, successor

CSP is a more specific search problem:

- the **state** is defined by *variables*  $X_i$ , taking *values* from the *domain*  $D_i$
- the **goal test** is a set of *constraints* specifying allowable combinations of values for subsets of variables

Since CSP is more specific, it allows useful algorithms with more power than standard search algorithms

# States and variables

Just a few variables can describe many states:

$n$	binary variables can describe	$2^n$	states
10	binary variables can describe	$2^{10}$	$= 1,024$
20	binary variables can describe	$2^{20}$	$= 1,048,576$
30	binary variables can describe	$2^{30}$	$= 1,073,741,824$
100	binary variables can describe	$2^{100}$	$= 1,267,650,600,228,229,$ $401,496,703,205,376$

## *Hard and soft constraints*

Given a set of variables, assign a value to each variable that either

- satisfies some set of constraints:
  - ▶ **satisfiability problems** — “hard constraints”
- minimizes some cost function, where each assignment of values to variables has some cost:
  - ▶ **optimization problems** — “soft constraints”

Many problems are a mix of hard and soft constraints  
(called constrained optimization problems)

## *Relationship to search*

CSP differences to general search problems:

- The path to a goal isn't important, only the solution is.
- There are no predefined starting nodes.
- Often these problems are huge, with thousands of variables, so systematically searching the space is infeasible.
- For optimization problems, there are no well-defined goal nodes.

# Posing a CSP

A CSP is characterized by

- A set of variables  $X_1, X_2, \dots, X_n$ .
- Each variable  $X_i$  has an associated domain  $\mathbf{D}_i$  of possible values.
- There are hard constraints on various subsets of the variables which specify legal combinations of values for these variables.
- A solution to the CSP is an assignment of a value to each variable that satisfies all the constraints.



## Example: Scheduling activities

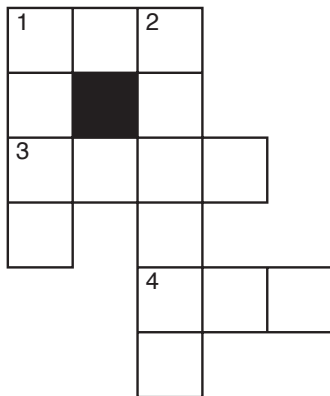
*Variables:*  $A, B, C, D, E$

representing the starting times of various activities.

*Domains:*  $\mathbf{D}_A = \mathbf{D}_B = \mathbf{D}_C = \mathbf{D}_D = \mathbf{D}_E = \{1, 2, 3, 4\}$

*Constraints:*  $(B \neq 3) \wedge (C \neq 2) \wedge (A \neq B) \wedge (B \neq C) \wedge$   
 $(C < D) \wedge (A = D) \wedge (E < A) \wedge (E < B) \wedge$   
 $(E < C) \wedge (E < D) \wedge (B \neq D)$

## Example: Crossword puzzle



### Words:

ant, big, bus, car, has  
book, buys, hold,  
lane, year  
beast, ginger, search,  
symbol, syntax

# Dual representations

Many problems can be represented in different ways as a CSP,  
e.g., the crossword puzzle:

- First representation:
  - ▶ nodes represent word positions: 1-down... 6-across
  - ▶ domains are the words
  - ▶ constraints specify that the letters on the intersections must be the same
- Dual representation:
  - ▶ nodes represent the individual squares
  - ▶ domains are the letters
  - ▶ constraints specify that the words must fit

## Example: Map colouring

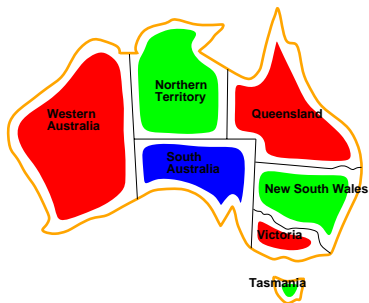


*Variables:* WA, NT, Q, NSW, V, SA, T

*Domains:*  $D_i = \{\text{red, green, blue}\}$

*Constraints:* adjacent regions must have different colors,  
e.g.,  $WA \neq NT$ ,  $WA \neq SA$ ,  $NT \neq SA$ ,  $NT \neq Q$ , ...

## Example: Map colouring

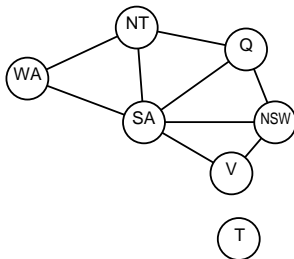


*Solutions* are assignments satisfying all constraints, e.g.,  
 $\{ WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green \}$

# Constraint graph

*Binary CSP:* each constraint relates at most two variables  
(note: this does not say anything about the domains)

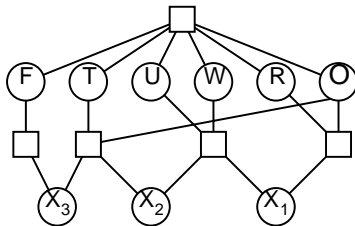
*Constraint graph:* nodes are variables, arcs show constraints



CSP algorithms can use the graph structure to speed up search, e.g., Tasmania is an independent subproblem.

## Example: Cryptarithmic puzzle

$$\begin{array}{r} \text{TWO} \\ + \text{TWO} \\ \hline \text{FOUR} \end{array}$$



*Variables:*  $F, T, U, W, R, O, X_1, X_2, X_3$

*Domains:*  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

*Constraints:*  $\text{Alldiff}(F, T, U, W, R, O)$

$O + O = R + 10 \cdot X_1$ , etc.

*Note:* This is not a binary CSP.

# Varieties of CSPs

Discrete variables:

- Finite domains:
  - ▶ size  $d \implies O(d^n)$  complete assignments
  - ▶ e.g., Boolean CSPs, including Boolean satisfiability (NP-complete)
- Infinite domains (integers, strings, etc.)
  - ▶ e.g., job scheduling – variables are start/end times for each job
  - ▶ need a constraint language, e.g.,  $StartJob_1 + 5 \leq StartJob_3$
  - ▶ linear constraints are solvable – nonlinear undecidable

Continuous variables:

- e.g., scheduling for Hubble Telescope observations and manouvers
- linear constraints – solvable in polynomial time!



## Varieties of constraints

*Unary constraints* involve a single variable:

- e.g.,  $SA \neq \text{green}$

*Binary constraints* involve pairs of variables:

- e.g.,  $SA \neq WA$

*Higher-order constraints* involve 3 or more variables:

- e.g.,  $\text{Alldiff}(WA, NT, SA)$

*Preferences* (soft constraints):

- e.g., *red* is better than *green*
- often representable by a cost for each variable assignment

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## Generate-and-test algorithm

- Generate the assignment space  $\mathbf{D} = \mathbf{D}_{V_1} \times \mathbf{D}_{V_2} \times \dots \times \mathbf{D}_{V_n}$ .  
Test each assignment with the constraints.
- *Example:*

$$\begin{aligned}\mathbf{D} &= \mathbf{D}_A \times \mathbf{D}_B \times \mathbf{D}_C \times \mathbf{D}_D \times \mathbf{D}_E \\ &= \{1, 2, 3, 4\} \times \{1, 2, 3, 4\} \times \{1, 2, 3, 4\} \\ &\quad \times \{1, 2, 3, 4\} \times \{1, 2, 3, 4\} \\ &= \{\langle 1, 1, 1, 1, 1 \rangle, \langle 1, 1, 1, 1, 2 \rangle, \dots, \langle 4, 4, 4, 4, 4 \rangle\}.\end{aligned}$$

- How many assignments need to be tested for  $n$  variables each with domain size  $d$ ?

## *CSP as a search problem*

Let's start with the straightforward, dumb approach.

States are defined by the values assigned so far:

- Initial state: the empty assignment,  $\{\}$
- Successor function: assign a value to an unassigned variable that does not conflict with current assignment  
 $\implies$  fail if there are no legal assignments
- Goal test: the current assignment is complete

Every solution appears at depth  $n$  (assuming  $n$  variables)

$\implies$  we can use depth-first-search

At depth  $l$ ,  $b = (n - l)d$ , where  $d$  is the domain size

$\implies$  hence there are  $n!d^n$  leaves!

## Backtracking search

Variable assignments are *commutative*, i.e.:

- $[WA = red, NT = green]$  is the same as  $[NT = green, WA = red]$

We only need to assign a single variable at each node:

- i.e.,  $b = d$ , so there are  $d^n$  leaves (instead of  $n!d^n$ )

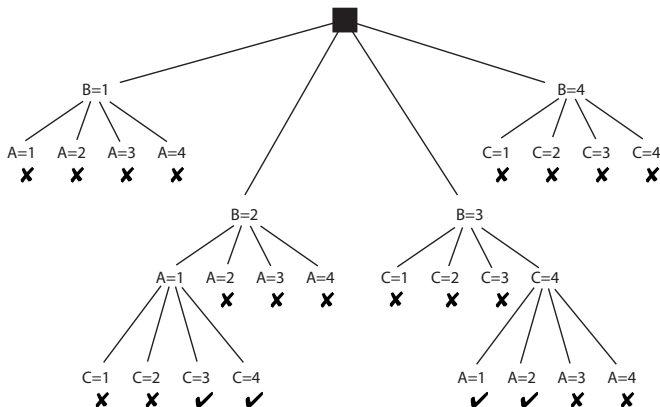
Depth-first search for CSPs with single-variable assignments is called *backtracking search*:

- backtracking search is the basic uninformed CSP algorithm
- it can solve  $n$ -queens for  $n \approx 25$
- why not use breadth-first search?

## Simple backtracking example

Variables:  $A, B, C$ . Domains:  $\mathbf{D}_A = \mathbf{D}_B = \mathbf{D}_C = \{1, 2, 3, 4\}$ .

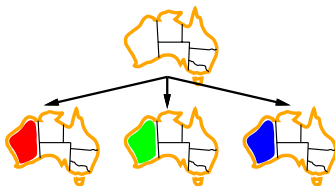
Constraints:  $(A < B) \wedge (B < C)$ .



## *Example: Australia map colours*

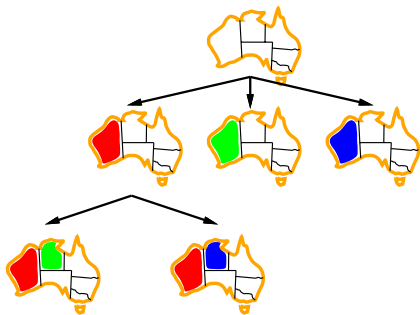


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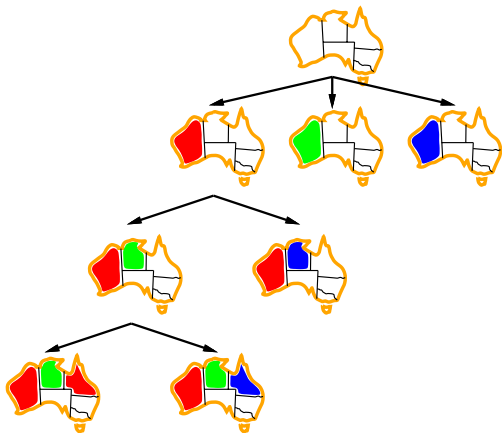




## *Example: Australia map colours*



## Example: Australia map colours



## Algorithm for backtracking search

```
function BacktrackingSearch(csp):  
    return Backtrack({}, csp)
```

```
function Backtrack(assignment, csp):  
    if assignment is complete then return assignment  
    var := SelectUnassignedVariable(assignment, csp)  
    for each value in OrderDomainValues(var, assignment, csp):  
        if value is consistent with assignment then:  
            add {var = value} to assignment  
            inferences := Inference(csp, var, value)  
            if inferences ≠ failure then:  
                add inferences to assignment  
                result := Backtrack(assignment, csp)  
                if result ≠ failure then return result  
            remove {var = value} and inferences from assignment  
    return failure
```

## Improving backtracking efficiency

The general-purpose algorithm gives rise to several questions:

- Which variable should be assigned next?
  - ▶ *SelectUnassignedVariable(*assignment*, *csp*)*
- In what order should its values be tried?
  - ▶ *OrderDomainValues(*var*, *assignment*, *csp*)*
- What inferences should be performed at each step?
  - ▶ *Inference(*csp*, *var*, *value*)*
- Can the search avoid repeating failures?
  - ▶ “intelligent” backtracking (R&N 6.3.3, not covered in this course)

## Selecting unassigned variables

Heuristics for selecting the next unassigned variable:

- Minimum remaining values (MRV):
  - ▶ choose the variable with the fewest legal values
- Degree heuristic (if there are several MRV variables):
  - ▶ choose the variable with most constraints on remaining variables

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## Ordering domain values

Heuristics for ordering the values of a selected variable:

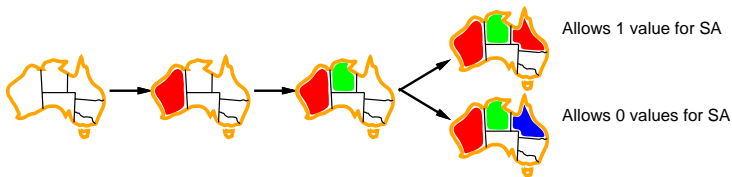
- Least constraining value:
  - ▶ prefer the value that rules out the fewest choices for the neighboring variables in the constraint graph



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## *Inference: Forward checking*

Forward checking is a simple form of inference.

- Keep track of remaining legal values for unassigned variables
  - terminate when any variable has no legal values left
- When a new variable is assigned, recalculate the legal values for its neighboring variables

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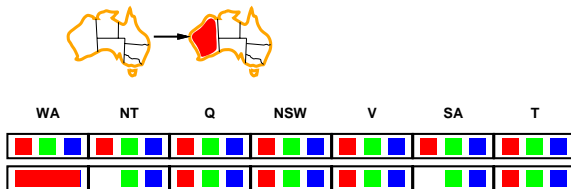
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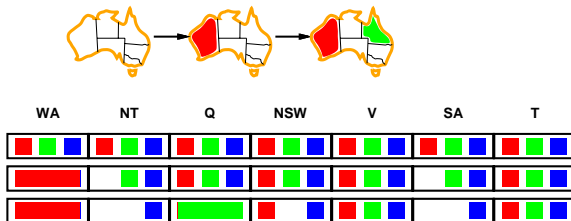
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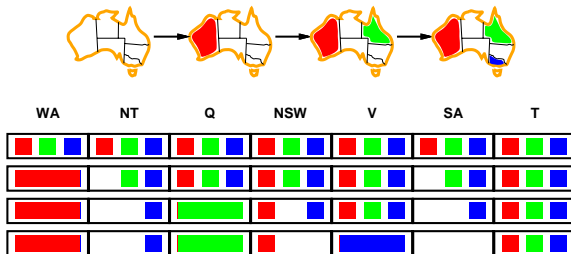
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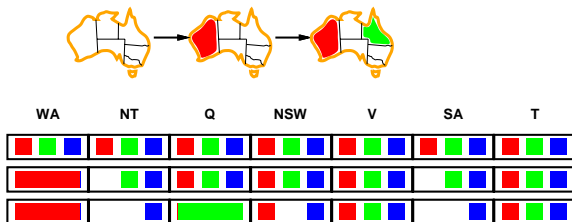
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# Inference: Constraint propagation

Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



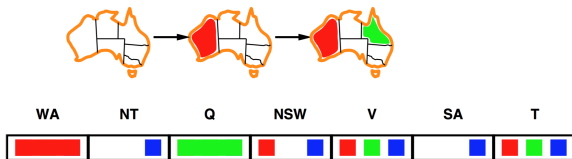
*NT* and *SA* cannot both be blue!

- *Constraint propagation* repeatedly enforces constraints locally

# Inference: Arc consistency

The simplest form of propagation is to make each arc *consistent*:

- $X \rightarrow Y$  is consistent iff
  - ▶ for *every* value  $x$  of  $X$ , there is *some* allowed value  $y$  in  $Y$

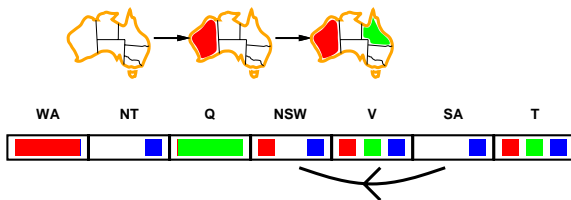




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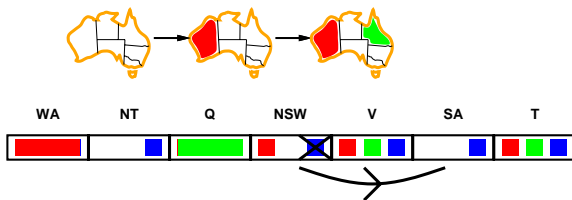
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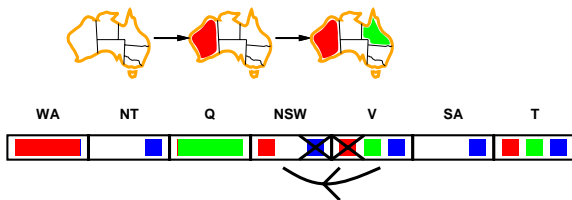
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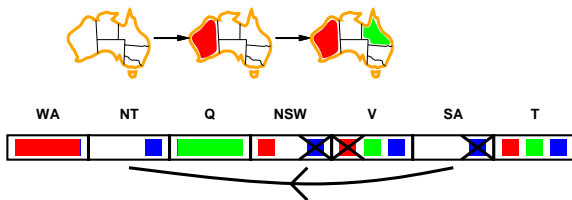


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- If  $X$  loses a value, neighbors of  $X$  need to be rechecked
- Arc consistency detects failure earlier than forward checking

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# Consistency

- A variable is *node-consistent* if all values in its domain satisfy its own unary constraints.
  - ▶ (Poole & Mackworth uses the term *domain-consistent*)
- A variable is *arc-consistent* if every value in its domain satisfies the variable's binary constraints.
  - ▶ *generalised arc-consistency* is the same, but for  $n$ -ary constraints
- There are also path consistency,  $k$ -consistency and general global constraints (R&N 6.2.3–6.2.5, not covered in this course)
- A network is  $X$ -consistent if every variable is  $X$ -consistent with every other variable.

## Scheduling example (again)

*Variables:*  $A, B, C, D, E$

representing the starting times of various activities.

*Domains:*  $\mathbf{D}_A = \mathbf{D}_B = \mathbf{D}_C = \mathbf{D}_D = \mathbf{D}_E = \{1, 2, 3, 4\}$

*Constraints:*  $(B \neq 3) \wedge (C \neq 2) \wedge (A \neq B) \wedge (B \neq C) \wedge$   
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Is this example *node consistent*?

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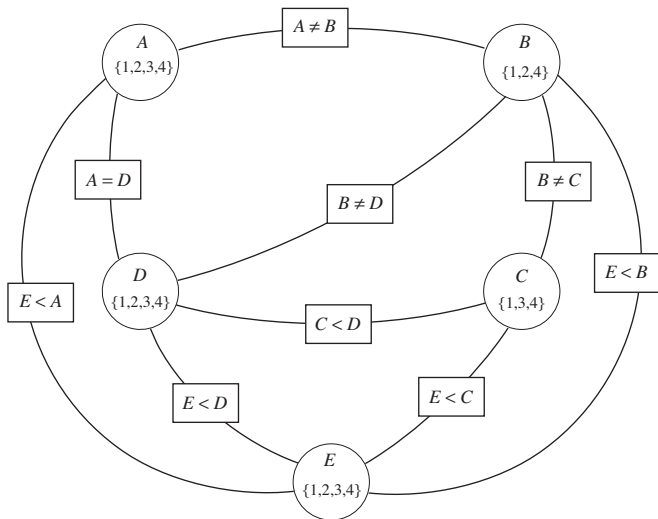
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Is this example *node consistent*?

- $\mathbf{D}_B = \{1, 2, 3, 4\}$  is *not* node consistent,  
since  $B = 3$  violates the constraint  $B \neq 3$ .
- $\mathbf{D}_C = \{1, 2, 3, 4\}$  is *not* node consistent,  
since  $C = 2$  violates the constraint  $C \neq 2$ .



## Scheduling example as a constraint graph



## Arc consistency

- A binary arc  $(X, Y)$  is arc-consistent if:
  - ▶ for each value  $x \in \mathbf{D}_X$ , there is some  $y \in \mathbf{D}_Y$  such that the constraint  $r(x, y)$  is satisfied.
- More generally, an arc  $(X, Y, Z, \dots)$  is arc-consistent if:
  - ▶ for each value  $x \in \mathbf{D}_X$ , there is some assignment  $y, z, \dots \in \mathbf{D}_Y, \mathbf{D}_Z, \dots$  such that  $r(x, y, z, \dots)$  is satisfied.
- What if arc  $(X, Y)$  is *not* arc consistent?
  - ▶ all values  $x \in \mathbf{D}_X$  for which there is no corresponding  $y \in \mathbf{D}_Y$  can be deleted from  $\mathbf{D}_X$  to make the arc consistent.

*Note!* The arcs in a constraint graph are *directed* –  $(X, Y)$  and  $(Y, X)$  are considered as two different arcs

## Arc consistency algorithm

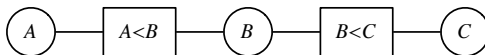
- The arcs can be considered in turn making each arc consistent.
- When an arc has been made arc consistent, does it ever need to be checked again?
  - ▶ An arc  $(X, Y)$  needs to be revisited if the domain of  $Y$  is revised.
- Three possible outcomes when all arcs are made arc consistent:  
(Is there a solution?)
  - ▶ One domain is empty  $\Rightarrow$
  - ▶ Each domain has a single value  $\Rightarrow$
  - ▶ Some domains have more than one value  $\Rightarrow$

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- Three possible outcomes when all arcs are made arc consistent: (Is there a solution?)
  - ▶ One domain is empty  $\Rightarrow$  no solution
  - ▶ Each domain has a single value  $\Rightarrow$  unique solution
  - ▶ Some domains have more than one value  $\Rightarrow$  there may or may not be a solution

## Quiz: Arc consistency

The variables and constraints are in the constraint graph:



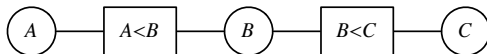
Assume the initial domains are  $\mathbf{D}_A = \mathbf{D}_B = \mathbf{D}_C = \{1, 2, 3, 4\}$ .

How will the domains look like after making the graph arc consistent?

## Note: AC in Russell&Norvig vs Poole&Mackworth

R&N and P&M have different formulations of the AC algorithm:

- For R&N, the arcs in the constraint graph are between variables, and they are labeled with the constraints.
  - ▶ i.e., constraints are labels, *not* nodes
  - ▶ the *ABC* graph below has 3 nodes and 4 labeled arcs (one arc in each direction)
- For P&M, the constraint graph has two kinds of nodes: variables and constraints
  - ▶ *Pro*: it can handle general  $n$ -ary constraints (not just binary)
  - ▶ *Con*: the graph data structure becomes more complex
  - ▶ the *ABC* graph below has 5 nodes and 4 unlabeled arcs



## Maintaining arc-consistency

What if some domains have more than one element after AC?

- We can always resort to backtracking search
- Select a variable and a value using, e.g., MRV, degree heuristic, least constraining value
- Make the graph arc-consistent again
- Backtrack and try new values/variables, if AC fails
- Select a new variable/value, perform arc-consistency, etc.

Do we need to restart AC from scratch?

- no, only some arcs risk becoming inconsistent after the assignment of a new variable
- R&N calls this *Maintaining Arc Consistency* (MAC)

## Domain splitting

What if some domains are very big?

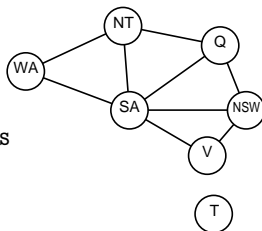
- Instead of trying to assign every possible value to a variable, we can split its domain
- Split one of the domains, then recursively solve each half
  - ▶ i.e., perform AC on the resulting graph, then split a domain, perform AC, split a domain, perform AC, split, etc.
- It is often best to split a domain in half
  - ▶ i.e., if  $D_X = \{1, \dots, 1000\}$ , we can split into  $\{1, \dots, 500\}$  and  $\{501, \dots, 1000\}$



## Problem structure

Tasmania and mainland are *independent subproblems* – identifiable as *connected components* of the constraint graph.

Suppose that each subproblem has  $c$  variables out of  $n$  total. The worst-case solution cost is  $n/c \cdot d^c$ , which is *linear* in  $n$ .



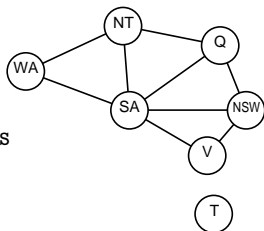
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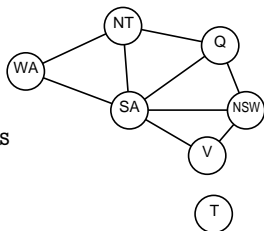
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If we divide it into 4 equal-size subproblems:

- $4 \cdot 2^{20} = 0.4$  seconds at 10 million nodes/sec



# Outline

## 1 *Variables and constraints*

- Russell & Norvig 6.1, Poole & Mackworth 4.1–4.2

## 2 *Solving CSPs using search*

- Russell & Norvig 6.3–6.3.2, Poole & Mackworth 4.3–4.4

## 3 *Constraint propagation*

- Russell & Norvig 6.2–6.2.2, Poole & Mackworth 4.5–4.6

## 4 *Local search for CSPs*

- Russell & Norvig 6.4, Poole & Mackworth 4.8.1

## Local search for CSPs

Given an assignment of a value to each variable:

- A *conflict* is an unsatisfied constraint.
- The goal is an assignment with zero conflicts.

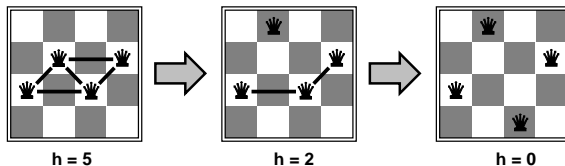
Local search / Greedy descent algorithm:

- Repeat until a satisfying assignment is found:
  - ▶ Select a variable to change
  - ▶ Select a new value for that variable
- Heuristic function to be minimized: the number of conflicts.
  - ▶ this is the *min-conflicts* heuristic in Russell & Norvig, 6.4
- *Note*: this does not always work! – *local minimum*

## Example: $n$ -queens (revisited)

Do you remember this example?

- Put  $n$  queens on an  $n \times n$  board, in separate columns
- Conflicts = unsatisfied constraints = threatened queens
- Move a queen to reduce the number of conflicts;  
repeat until we cannot move any queen anymore
  - ▶ then we are at a local maximum, hopefully it is global too



## *Variants of greedy descent*

To choose a variable to change and a new value for it:

- Find a variable-value pair that minimizes the number of conflicts
- Select a variable that participates in the most conflicts.  
Select a value that minimizes the number of conflicts.
- Select a variable that appears in any conflict.  
Select a value that minimizes the number of conflicts.
- Select a variable at random.  
Select a value that minimizes the number of conflicts.
- Select a variable and value at random;  
accept this change if it doesn't increase the number of conflicts.