# Chapters 4: Features and Constraints DIT410/TIN172 Artificial Intelligence

Peter Ljunglöf modifed from slides by Poole & Mackworth with some help from slides by Russel & Norvig

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31 March, 2015

#### Outline

- Features and constraints
  - States, features and constraints (4.1-4.2)
  - Soving CSPs using search (4.3-4.4)
  - Consistency algorithms (4.5)
  - Domain splitting (4.6)
  - Variable elimination (4.7)
- 2 Local search (4.8-4.9)
  - Iterative best improvement (4.8.1)
  - Randomized algorithms (4.8.2)
  - Evaluating randomized algorithms (4.8.3)
  - Population-based methods (4.9)

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#### States and features

States can often be described in terms of features:

- States can be defined in terms of features: a state corresponds to an assignment of a value to each feature.
- Features can be defined in terms of states: a feature is a function of the states. The function returns the value of the feature on that state.
- Features are described by variables.
- Not all assignments of values to variables are possible.

Examples: 8-queens, crossword puzzle, course timetable.

More difficult: 8-puzzle, driving directions.

#### States and features

#### Just a few features can describe many states:

```
binary features can describe
                                            states
 n.
                                       2^{10} = 1,024
 10
      binary features can describe
                                      2^{20} = 1,048,576
 20
      binary features can describe
                                      2^{30} = 1,073,741,824
 30
      binary features can describe
                                      2^{100} = 1,267,650,600,228,229,
100
      binary features can describe
                                                    401,496,703,205,376
```

#### Constraint satisfaction problem

#### Standard search problem:

• the state is a "black box" – any old data structure that supports goal test, cost evaluation, successor

CSP is a more specific search problem:

- the state is defined by variables  $V_i$ , taking values from domain  $\mathbf{D}_i$
- the goal test is a set of *constraints* specifying allowable combinations of values for subsets of variables

Since CSP is more specific, it allows useful algorithms with more power than standard search algorithms

#### Hard and soft constraints

Given a set of variables, assign a value to each variable that either

- satisfies some set of constraints:
  - ▶ satisfiability problems "hard constraints"
- minimizes some cost function, where each assignment of values to variables has some cost:
  - optimization problems "soft constraints"

Many problems are a mix of hard and soft constraints (called constrained optimization problems)

#### Relationship to search

#### Differences to general search problems:

- The path to a goal isn't important, only the solution is.
- There are no predefined starting nodes.
- Often these problems are huge, with thousands of variables, so systematically searching the space is infeasible.
- For optimization problems, there are no well-defined goal nodes.



#### Posing a CSP

#### A CSP is characterized by

- A set of variables  $V_1, V_2, \ldots, V_n$ .
- Each variable  $V_i$  has an associated domain  $\mathbf{D}_{V_i}$  of possible values.
- There are hard constraints on various subsets of the variables which specify legal combinations of values for these variables.
- A solution to the CSP is an assignment of a value to each variable that satisfies all the constraints.



#### Example: Scheduling activities

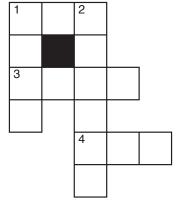
Variables: A, B, C, D, E that represent the starting times of various activities.

*Domains*:  $D_A = D_B = D_C = D_D = D_E = \{1, 2, 3, 4\}$ 

Constraints:

$$(B \neq 3) \land (C \neq 2) \land (A \neq B) \land (B \neq C) \land$$
$$(C < D) \land (A = D) \land (E < A) \land (E < B) \land$$
$$(E < C) \land (E < D) \land (B \neq D)$$

# Example: Crossword puzzle



#### Words:

ant, big, bus, car, has book, buys, hold, lane, year beast, ginger, search, symbol, syntax

#### Dual representations

Many problems can be represented in different ways as a CSP, e.g., the crossword puzzle:

- First representation:
  - ▶ nodes represent word positions: 1-down...6-across
  - domains are the words
  - constraints specify that the letters on the intersections must be the same
- Dual representation:
  - nodes represent the individual squares
  - domains are the letters
  - constraints specify that the words must fit

#### Example: Map colouring



Variables: WA, NT, Q, NSW, V, SA, T

Domains:  $D_i = \{red, green, blue\}$ 

Constraints: adjacent regions must have different colors,

e.g.,  $WA \neq NT$ ,  $WA \neq SA$ ,  $NT \neq SA$ ,  $NT \neq Q$ , ...

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## Example: Map colouring



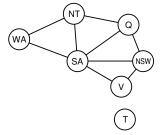
Solutions are assignments satisfying all constraints, e.g.,  $\{\mathit{WA} = \mathit{red}, \mathit{NT} = \mathit{green}, \mathit{Q} = \mathit{red}, \mathit{NSW} = \mathit{green}, \\ \mathit{V} = \mathit{red}, \mathit{SA} = \mathit{blue}, \mathit{T} = \mathit{green}\}$ 

4 □ ▶

#### Constraint graph

Binary CSP: each constraint relates at most two variables (note: this does not say anything about the domains)

Constraint graph: nodes are variables, arcs show constraints

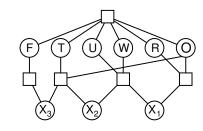


CSP algorithms can use the graph structure to speed up search, e.g., Tasmania is an independent subproblem.

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## Example: Cryptarithmetic puzzle





Variables:  $F, T, U, W, R, O, X_1, X_2, X_3$ 

*Domains:* {0, 1, 2, 3, 4, 5, 6, 7, 8, 9}

 $Constraints: \ all diff(F,\,T,\,U,\,W,\,R,\,O)$ 

 $O + O = R + 10 \cdot X_1$ , etc.

Note: This is not a binary CSP.



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### Generate-and-test algorithm

- Generate the assignment space  $\mathbf{D} = \mathbf{D}_{V_1} \times \mathbf{D}_{V_2} \times \ldots \times \mathbf{D}_{V_n}$ . Test each assignment with the constraints.
- Example:

$$\mathbf{D} = \mathbf{D}_{A} \times \mathbf{D}_{B} \times \mathbf{D}_{C} \times \mathbf{D}_{D} \times \mathbf{D}_{E}$$

$$= \{1, 2, 3, 4\} \times \{1, 2, 3, 4\} \times \{1, 2, 3, 4\}$$

$$\times \{1, 2, 3, 4\} \times \{1, 2, 3, 4\}$$

$$= \{\langle 1, 1, 1, 1, 1 \rangle, \langle 1, 1, 1, 1, 2 \rangle, ..., \langle 4, 4, 4, 4, 4 \rangle\}.$$

• How many assignments need to be tested for n variables each with domain size d?

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#### Backtracking algorithms

- Explore D by instantiating the variables one at a time
- Evaluate each constraint as soon as all its variables are bound
- Any partial assignment that doesn't satisfy the constraint can be pruned

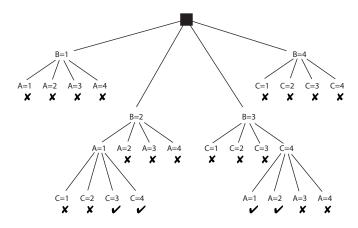
Example Assignment  $A = 1 \land B = 1$  is inconsistent with constraint  $A \neq B$  regardless of the value of the other variables.



#### Simple backtracking example

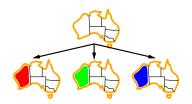
Variables: A, B, C. Domains:  $D_A = D_B = D_C = \{1, 2, 3, 4\}.$ 

Constraints:  $(A < B) \land (B < C)$ .

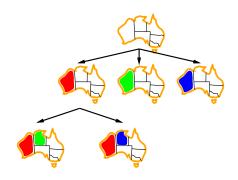




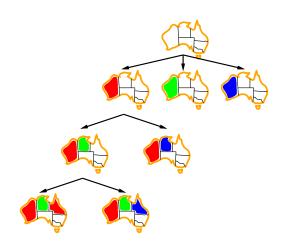














# CSP as graph searching

#### A CSP can be solved by graph-searching:

- A node is an assignment of values to some of the variables.
- Suppose node N is the assignment  $[X_1 = v_1, \dots, X_k = v_k]$ .
  - $\triangleright$  Select a variable Y that isn't assigned in N.
  - ▶ For each value  $y_i \in dom(Y)$ ,  $[X_1 = v_1, ..., X_k = v_k, Y = y_i]$  is a neighbour if it is consistent with the constraints.
- The start node is the empty assignment.
- A goal node is a total assignment that satisfies the constraints.



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## Consistency algorithms

- Idea: prune the domains as much as possible before selecting values from them.
- A variable is domain consistent if no value of the domain of the node is ruled impossible by any of the constraints.

Example: Is the scheduling example domain consistent?



## Consistency algorithms

- Idea: prune the domains as much as possible before selecting values from them.
- A variable is domain consistent if no value of the domain of the node is ruled impossible by any of the constraints.

Example: Is the scheduling example domain consistent?

- $\mathbf{D}_B = \{1, 2, 3, 4\}$  is *not* domain consistent, since B = 3 violates the constraint  $B \neq 3$ .
- $\mathbf{D}_C = \{1, 2, 3, 4\}$  is *not* domain consistent, since C = 2 violates the constraint  $C \neq 2$ .



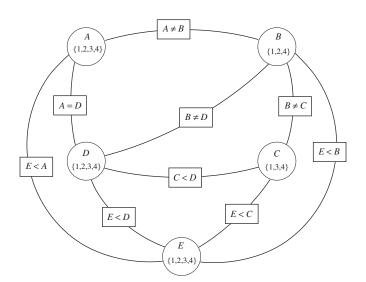
#### Constraint network

A constraint network is a graph, which has:

- an oval-shaped node for each variable,
- a rectangular node for each constraint,
- a domain of values associated with each variable node, and
- an arc from variable X to each constraint that involves X.



# Example: Constraint network





#### Domain consistency vs. arc consistency

- Domain consistency only considers unary constraints
  - these are usually not shown in a constraint network
  - because domain consistency is so very easy to check and maintain
- Arc consistency considers binary (and more) constraints
  - ▶ i.e., the nodes and arcs in the constraint network



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## Arc consistency

- An arc  $\langle X, r(X, Y_1 \dots Y_n) \rangle$  is arc consistent if:
  - for each value  $x \in dom(X)$ , there is some assignment  $y_1 \dots y_n \in dom(Y_1 \dots Y_n)$  such that  $r(x, y_1 \dots y_n)$  is satisfied.
- A network is arc consistent if all its arcs are arc consistent.
- What if arc  $\langle X, r(X, Y_1 \dots Y_n) \rangle$  is *not* arc consistent?
  - ▶ all values of X in dom(X) for which there is no corresponding assignment in  $dom(Y_1 ... Y_n)$  can be deleted from dom(X) to make the arc  $\langle X, r(X, Y_1 ... Y_n) \rangle$  consistent.

### Arc consistency algorithm

- The arcs can be considered in turn making each arc consistent.
- When an arc has been made arc consistent, does it ever need to be checked again?
  - An arc \(\lambda X, r(X, Y\_1 \ldots Y\_n)\rangle\) needs to be revisited if the domain of one of the Y's is reduced.
- Three possible outcomes when all arcs are made arc consistent: (Is there a solution?)
  - ▶ One domain is empty ⇒
  - ► Each domain has a single value ⇒
  - ▶ Some domains have more than one value ⇒

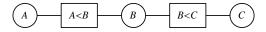


### Arc consistency algorithm

- The arcs can be considered in turn making each arc consistent.
- When an arc has been made arc consistent, does it ever need to be checked again?
  - An arc \( \lambda \), \( r(X, Y\_1 \ldots Y\_n \rangle \) needs to be revisited if the domain of one of the Y's is reduced.
- Three possible outcomes when all arcs are made arc consistent: (Is there a solution?)
  - ▶ One domain is empty ⇒ no solution
  - ▶ Each domain has a single value ⇒ unique solution
  - ► Some domains have more than one value ⇒ there may or may not be a solution

## Quiz: Arc consistency

The variables and constraints are in the constraint graph:



Assume the initial domains are  $D_A = D_B = D_C = \{1, 2, 3, 4\}.$ 

How will the domains look like after making the graph arc consistent?

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## Finding solutions when AC finishes

What if some domains have more than one element after AC?

- We can always resort to searching
- Split one of the domains, then recursively solve each half
  - ▶ i.e., perform AC on the resulting graph, then split a domain, perform AC, split a domain, perform AC, split, etc.
- It is often best to split a domain in half
  - i.e., if  $\mathbf{D}_X = \{1, \dots, 1000\}$ , we can split into  $\{1, \dots, 500\}$  and  $\{501, \dots, 1000\}$
- Do we need to restart from scratch?
  - ▶ no, only some arcs risk losing their arc consistency after the split



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#### Variable elimination

#### Complementary simplification methods:

- Arc consistency (AC) simplifies the network by removing values of variables.
- Variable elimination (VE) simplifies the network by removing variables.



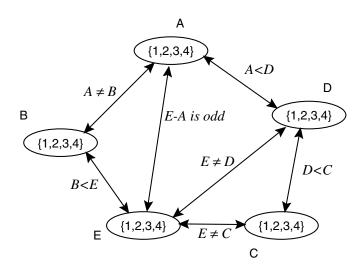
#### Variable elimination algorithm

#### Variable elimination algorithm:

- Select a variable X to eliminate.
  - Remove X by constructing a new constraint on all variables that occur in some X constraint.
  - ▶ This new constraint replaces all constraints that involve X, forming a reduced network that does not involve X.
- The variables are eliminated according to some elimination ordering:
  - Different elimination orderings can result in different intermediate constraints

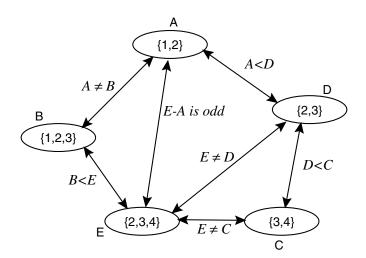


### $Example\ network$





## Example: Arc-consistent network





Constraints:  $E \neq C$  and D < C.

*Domains*:  $D_C = \{3,4\}, D_D = \{2,3\}, D_E = \{2,3,4\}.$ 



Constraints:  $E \neq C$  and D < C.

*Domains:* 
$$\mathbf{D}_C = \{3,4\}, \ \mathbf{D}_D = \{2,3\}, \ \mathbf{D}_E = \{2,3,4\}.$$

$r_1:E eq C$	C	E
	3	2
	3	4
	4	2
	4	3



Constraints:  $E \neq C$  and D < C.

*Domains*:  $D_C = \{3,4\}, D_D = \{2,3\}, D_E = \{2,3,4\}.$ 

$r_1:E eq C$	C	E	$r_2: D < C$	$\mid C \mid$	D
	3	2		3	2
	3	4		4	2
	4	2		4	3
	4	3			

- - - -

Constraints:  $E \neq C$  and D < C.

*Domains:*  $D_C = \{3, 4\}, D_D = \{2, 3\}, D_E = \{2, 3, 4\}.$ 

$r_1:E eq C$	C	E	$r_2: D < C$	C	D
	3	2		3	2
	3	4		4	2
	4	2		4	3
	4	3		'	

$r_3:r_1\bowtie r_2$	C	D	E
$(join r_1, r_2)$	3	2	2
	3	2	4
	4	2	2
	4	2	3
	4	3	2
	4	3	3

Constraints:  $E \neq C$  and D < C.

*Domains*: 
$$\mathbf{D}_C = \{3,4\}, \ \mathbf{D}_D = \{2,3\}, \ \mathbf{D}_E = \{2,3,4\}.$$

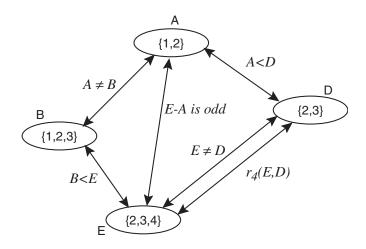
$r_1:E eq C$	C	E	$r_2: D < C$	C	D
	3	2		3	2
	3	4		4	2
	4	2		4	3
	4	3		1	

$r_3:r_1\bowtie r_2$	C	D	E	$r_4:\pi_{\{D,E\}}r_3$	D	E
(join $r_1, r_2$ )	3	2	2	(project $r_3$	2	2
	3	2	4	onto $D, E$ )	2	3
	4	2	2	•	2	4
	4	2	3		3	2
	4	3	2		3	3
	1	2	2		'	

→ new constraint



# Resulting network after eliminating C





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## Local search for CSPs

Given an assignment of a value to each variable:

- A *conflict* is an unsatisfied constraint.
- The goal is an assignment with zero conflicts.

Local search / Greedy descent algorithm:

- Repeat until a satisfying assignment is found:
  - Select a variable to change
  - ▶ Select a new value for that variable
- Heuristic function to be minimized: the number of conflicts.

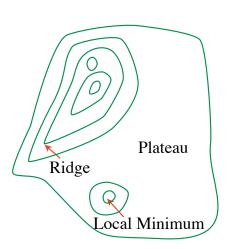
#### Variants of greedy descent

To choose a variable to change and a new value for it:

- Find a variable-value pair that minimizes the number of conflicts
- Select a variable that participates in the most conflicts.
   Select a value that minimizes the number of conflicts.
- Select a variable that appears in any conflict.
   Select a value that minimizes the number of conflicts.
- Select a variable at random.
   Select a value that minimizes the number of conflicts.
- Select a variable and value at random;
   accept this change if it doesn't increase the number of conflicts.

### Problems with greedy descent

- a local minimum that is not a global minimum
- a plateau where the heuristic values are uninformative
- a ridge is a local minimum where n-step look-ahead might help



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#### Randomized algorithms

- Consider two methods to find a minimum value:
  - Greedy descent, starting from some position, keep moving down, and report minimum value found
  - ▶ Pick values at random, and report minimum value found
- Which do you expect to work better to find a global minimum?
- Can a mix work better?



## Randomized greedy descent

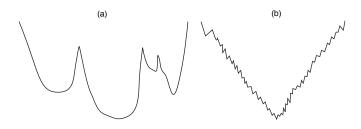
As well as downward steps we can allow for:

- Random steps: move to a random neighbor.
- Random restart: reassign random values to all variables.



### 1-dimensional illustrative example

Two 1-dimensional search spaces; step right or left:



- Which method would most easily find the global minimum?
  - ▶ random steps or random restarts?
- What happens in hundreds or thousands of dimensions?
  - e.g., different dimensions have different structure?



#### Stochastic local search

#### Stochastic local search is a mix of:

- Greedy descent: move to a lowest neighbor
- Random walk: taking some random steps
- Random restart: reassigning values to all variables



#### Outline

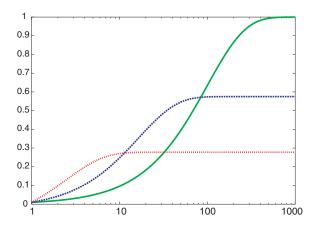
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## Comparing stochastic algorithms

- How can you compare three algorithms when
  - ▶ one solves the problem 30% of the time very quickly but doesn't halt for the other 70% of the cases
  - one solves 60% of the cases reasonably quickly but doesn't solve the rest
  - ▶ one solves the problem in 100% of the cases, but slowly?
- Summary statistics, such as mean run time, median run time, and mode run time don't make much sense.

#### Runtime distribution

 Plots runtime (or number of steps) and the proportion (or number) of the runs that are solved within that runtime.



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#### Beam search

Idea: maintain a population of k assignments in parallel, instead of one:

- At every stage, choose the k best out of all of the neighbors.
- When k = 1, it is greedy descent.
- When  $k = \infty$ , it is breadth-first search.
- The value of k lets us limit space and parallelism.



#### Stochastic beam search

Like beam search, but it probabilistically chooses the k individuals at the next generation:

- The probability that a neighbor is chosen is proportional to its heuristic value.
- This maintains diversity amongst the individuals.
- The heuristic value reflects the fitness of the individual.
- Like asexual reproduction: each individual mutates and the fittest ones survive.



## Genetic algorithms

Like stochastic beam search, but pairs of individuals are combined to create the offspring:

- For each generation:
  - ▶ Randomly choose pairs of individuals where the fittest individuals are more likely to be chosen.
  - ► For each pair, perform a cross-over: form two offspring each taking different parts of their parents:
  - Mutate some values.
- Stop when a solution is found.

