

# *Chapters 4: Feature and Constraints*

*DIT410/TIN172 Artificial Intelligence*

Peter Ljunglöf

modified from slides by Poole & Mackworth  
with some help from slides by Russel & Norvig

(Licensed under Creative Commons BY-NC-SA v4.0)

31 March, 2015

# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- Consistency algorithms (4.5)
- Domain splitting (4.6)
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- Evaluating randomized algorithms (4.8.3)
- Population-based methods (4.9)

# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- Consistency algorithms (4.5)
- Domain splitting (4.6)
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- Evaluating randomized algorithms (4.8.3)
- Population-based methods (4.9)

# States and features

States can often be described in terms of features:

- States can be defined in terms of features: a state corresponds to an assignment of a value to each feature.
- Features can be defined in terms of states: a feature is a function of the states. The function returns the value of the feature on that state.
- Features are described by variables.
- Not all assignments of values to variables are possible.

*Examples:* 8-queens, crossword puzzle, course timetable.

*More difficult:* 8-puzzle, driving directions.

# States and features

Just a few features can describe many states:

$n$	binary features can describe	$2^n$	states
10	binary features can describe	$2^{10} = 1,024$	
20	binary features can describe	$2^{20} = 1,048,576$	
30	binary features can describe	$2^{30} = 1,073,741,824$	
100	binary features can describe	$2^{100} = 1,267,650,600,228,229,$ 401,496,703,205,376	

# Constraint satisfaction problem

Standard search problem:

- the **state** is a “black box” – any old data structure that supports goal test, cost evaluation, successor

CSP is a more specific search problem:

- the **state** is defined by *variables*  $V_i$ , taking *values* from *domain*  $D_i$
- the **goal test** is a set of *constraints* specifying allowable combinations of values for subsets of variables

Since CSP is more specific, it allows useful algorithms with more power than standard search algorithms

## *Hard and soft constraints*

Given a set of variables, assign a value to each variable that either

- satisfies some set of constraints:
  - ▶ **satisfiability problems** — “hard constraints”
- minimizes some cost function, where each assignment of values to variables has some cost:
  - ▶ **optimization problems** — “soft constraints”

Many problems are a mix of hard and soft constraints  
(called constrained optimization problems)

## *Relationship to search*

Differences to general search problems:

- The path to a goal isn't important, only the solution is.
- There are no predefined starting nodes.
- Often these problems are huge, with thousands of variables, so systematically searching the space is infeasible.
- For optimization problems, there are no well-defined goal nodes.



# Posing a CSP

A CSP is characterized by

- A set of variables  $V_1, V_2, \dots, V_n$ .
- Each variable  $V_i$  has an associated domain  $\mathbf{D}_{V_i}$  of possible values.
- There are hard constraints on various subsets of the variables which specify legal combinations of values for these variables.
- A solution to the CSP is an assignment of a value to each variable that satisfies all the constraints.

## Example: Scheduling activities

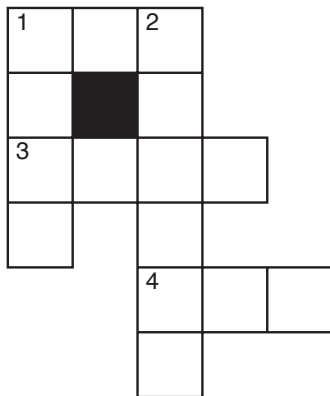
*Variables:*  $A, B, C, D, E$  that represent the starting times of various activities.

*Domains:*  $\mathbf{D}_A = \mathbf{D}_B = \mathbf{D}_C = \mathbf{D}_D = \mathbf{D}_E = \{1, 2, 3, 4\}$

*Constraints:*

$$\begin{aligned} & (B \neq 3) \wedge (C \neq 2) \wedge (A \neq B) \wedge (B \neq C) \wedge \\ & (C < D) \wedge (A = D) \wedge (E < A) \wedge (E < B) \wedge \\ & (E < C) \wedge (E < D) \wedge (B \neq D) \end{aligned}$$

## Example: Crossword puzzle



### Words:

ant, big, bus, car, has  
book, buys, hold,  
lane, year  
beast, ginger, search,  
symbol, syntax

# Dual representations

Many problems can be represented in different ways as a CSP,  
e.g., the crossword puzzle:

- First representation:
  - ▶ nodes represent word positions: 1-down... 6-across
  - ▶ domains are the words
  - ▶ constraints specify that the letters on the intersections must be the same
- Dual representation:
  - ▶ nodes represent the individual squares
  - ▶ domains are the letters
  - ▶ constraints specify that the words must fit

## Example: Map colouring

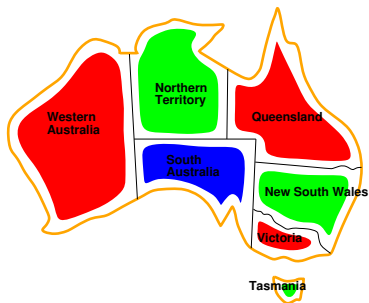


*Variables:* WA, NT, Q, NSW, V, SA, T

*Domains:*  $D_i = \{\text{red, green, blue}\}$

*Constraints:* adjacent regions must have different colors,  
e.g.,  $WA \neq NT$ ,  $WA \neq SA$ ,  $NT \neq SA$ ,  $NT \neq Q$ , ...

## Example: Map colouring

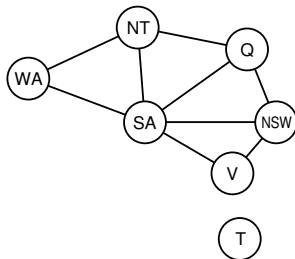


*Solutions* are assignments satisfying all constraints, e.g.,  
 $\{ WA = red, NT = green, Q = red, NSW = green, \\ V = red, SA = blue, T = green \}$

# Constraint graph

*Binary CSP:* each constraint relates at most two variables  
(note: this does not say anything about the domains)

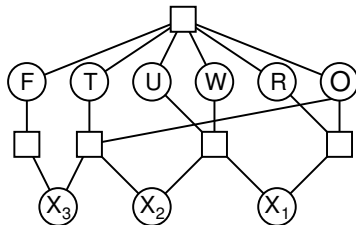
*Constraint graph:* nodes are variables, arcs show constraints



CSP algorithms can use the graph structure to speed up search, e.g., Tasmania is an independent subproblem.

## Example: Cryptarithmic puzzle

$$\begin{array}{r}
 \text{TWO} \\
 + \text{TWO} \\
 \hline
 \text{FOUR}
 \end{array}$$



*Variables:*  $F, T, U, W, R, O, X_1, X_2, X_3$

*Domains:*  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

*Constraints:*  $\text{alldiff}(F, T, U, W, R, O)$   
 $O + O = R + 10 \cdot X_1$ , etc.

*Note:* This is not a binary CSP.



# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- Consistency algorithms (4.5)
- Domain splitting (4.6)
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- Evaluating randomized algorithms (4.8.3)
- Population-based methods (4.9)

## Generate-and-test algorithm

- Generate the assignment space  $\mathbf{D} = \mathbf{D}_{V_1} \times \mathbf{D}_{V_2} \times \dots \times \mathbf{D}_{V_n}$ .  
Test each assignment with the constraints.
- **Example:**

$$\begin{aligned}\mathbf{D} &= \mathbf{D}_A \times \mathbf{D}_B \times \mathbf{D}_C \times \mathbf{D}_D \times \mathbf{D}_E \\ &= \{1, 2, 3, 4\} \times \{1, 2, 3, 4\} \times \{1, 2, 3, 4\} \\ &\quad \times \{1, 2, 3, 4\} \times \{1, 2, 3, 4\} \\ &= \{\langle 1, 1, 1, 1, 1 \rangle, \langle 1, 1, 1, 1, 2 \rangle, \dots, \langle 4, 4, 4, 4, 4 \rangle\}.\end{aligned}$$

- How many assignments need to be tested for  $n$  variables each with domain size  $d$ ?

## Backtracking algorithms

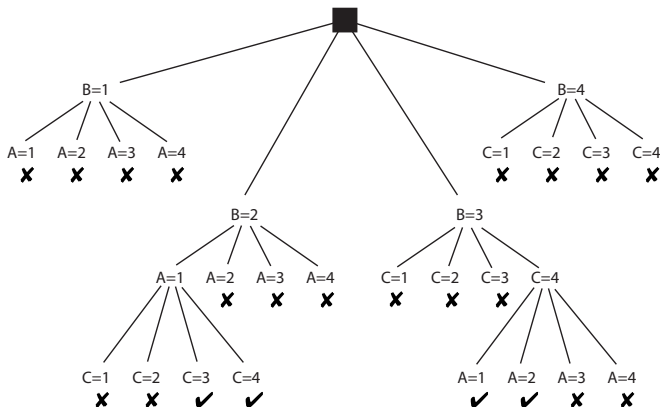
- Explore  $D$  by instantiating the variables one at a time
- Evaluate each constraint as soon as all its variables are bound
- Any partial assignment that doesn't satisfy the constraint can be pruned

**Example** Assignment  $A = 1 \wedge B = 1$  is inconsistent with constraint  $A \neq B$  regardless of the value of the other variables.

## Simple backtracking example

Variables:  $A, B, C$ . Domains:  $\mathbf{D}_A = \mathbf{D}_B = \mathbf{D}_C = \{1, 2, 3, 4\}$ .

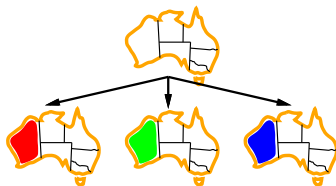
Constraints:  $(A < B) \wedge (B < C)$ .



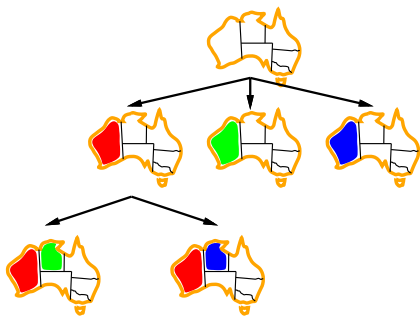
## *Example: Australia map colours*



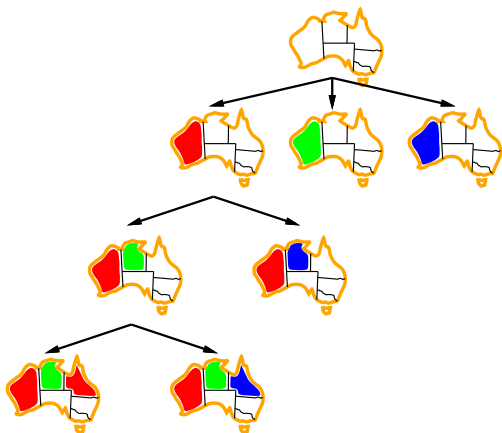
## *Example: Australia map colours*



## *Example: Australia map colours*



## Example: Australia map colours





## *CSP as graph searching*

A CSP can be solved by graph-searching:

- A node is an assignment values to some of the variables.
- Suppose node  $N$  is the assignment  $[X_1 = v_1, \dots, X_k = v_k]$ .
  - ▶ Select a variable  $Y$  that isn't assigned in  $N$ .
  - ▶ For each value  $y_i \in \text{dom}(Y)$ ,  $[X_1 = v_1, \dots, X_k = v_k, Y = y_i]$  is a neighbour if it is consistent with the constraints.
- The start node is the empty assignment.
- A goal node is a total assignment that satisfies the constraints.

# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- **Consistency algorithms (4.5)**
- Domain splitting (4.6)
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- Evaluating randomized algorithms (4.8.3)
- Population-based methods (4.9)

# Consistency algorithms

- Idea: prune the domains as much as possible before selecting values from them.
- A variable is **domain consistent** if no value of the domain of the node is ruled impossible by any of the constraints.

*Example:* Is the scheduling example domain consistent?

# Consistency algorithms

- Idea: prune the domains as much as possible before selecting values from them.
- A variable is **domain consistent** if no value of the domain of the node is ruled impossible by any of the constraints.

*Example:* Is the scheduling example domain consistent?

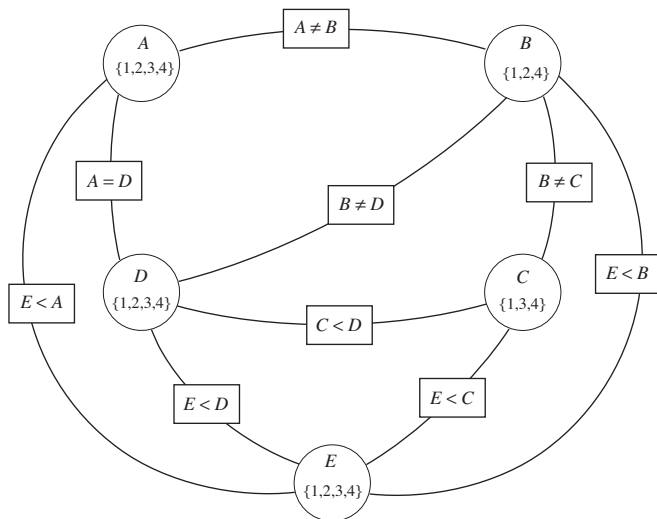
- $D_B = \{1, 2, 3, 4\}$  is *not* domain consistent, since  $B = 3$  violates the constraint  $B \neq 3$ .
- $D_C = \{1, 2, 3, 4\}$  is *not* domain consistent, since  $C = 2$  violates the constraint  $C \neq 2$ .

# Constraint network

A *constraint network* is a graph, which has:

- an oval-shaped node for each variable,
- a rectangular node for each constraint,
- a domain of values associated with each variable node, and
- an arc from variable  $X$  to each constraint that involves  $X$ .

## Example: Constraint network



## Domain consistency vs. arc consistency

- Domain consistency only considers *unary constraints*
  - ▶ these are usually not shown in a constraint network
  - ▶ because domain consistency is so very easy to check and maintain
- Arc consistency considers *binary* (and more) constraints
  - ▶ i.e., the nodes and arcs in the constraint network

# Arc consistency

- An arc  $\langle X, r(X, Y_1 \dots Y_n) \rangle$  is **arc consistent** if:
  - ▶ for each value  $x \in \text{dom}(X)$ , there is some assignment  $y_1 \dots y_n \in \text{dom}(Y_1 \dots Y_n)$  such that  $r(x, y_1 \dots y_n)$  is satisfied.
- A network is arc consistent if all its arcs are arc consistent.
- What if arc  $\langle X, r(X, Y_1 \dots Y_n) \rangle$  is *not* arc consistent?
  - ▶ all values of  $X$  in  $\text{dom}(X)$  for which there is no corresponding assignment in  $\text{dom}(Y_1 \dots Y_n)$  can be deleted from  $\text{dom}(X)$  to make the arc  $\langle X, r(X, Y_1 \dots Y_n) \rangle$  consistent.



## Arc consistency algorithm

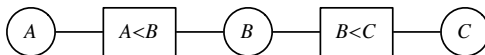
- The arcs can be considered in turn making each arc consistent.
- When an arc has been made arc consistent, does it ever need to be checked again?
  - ▶ An arc  $\langle X, r(X, Y_1 \dots Y_n) \rangle$  needs to be revisited if the domain of one of the  $Y$ 's is reduced.
- Three possible outcomes when all arcs are made arc consistent:  
(Is there a solution?)
  - ▶ One domain is empty  $\Rightarrow$
  - ▶ Each domain has a single value  $\Rightarrow$
  - ▶ Some domains have more than one value  $\Rightarrow$

## Arc consistency algorithm

- The arcs can be considered in turn making each arc consistent.
- When an arc has been made arc consistent, does it ever need to be checked again?
  - ▶ An arc  $\langle X, r(X, Y_1 \dots Y_n) \rangle$  needs to be revisited if the domain of one of the  $Y$ 's is reduced.
- Three possible outcomes when all arcs are made arc consistent: (Is there a solution?)
  - ▶ One domain is empty  $\Rightarrow$  no solution
  - ▶ Each domain has a single value  $\Rightarrow$  unique solution
  - ▶ Some domains have more than one value  $\Rightarrow$  there may or may not be a solution

## Quiz: Arc consistency

The variables and constraints are in the constraint graph:



Assume the initial domains are  $\mathbf{D}_A = \mathbf{D}_B = \mathbf{D}_C = \{1, 2, 3, 4\}$ .

How will the domains look like after making the graph arc consistent?

# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- Consistency algorithms (4.5)
- **Domain splitting (4.6)**
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- Evaluating randomized algorithms (4.8.3)
- Population-based methods (4.9)

## *Finding solutions when AC finishes*

What if some domains have more than one element after AC?

- We can always resort to searching
- Split one of the domains, then recursively solve each half
  - ▶ i.e., perform AC on the resulting graph, then split a domain, perform AC, split a domain, perform AC, split, etc.
- It is often best to split a domain in half
  - ▶ i.e., if  $D_X = \{1, \dots, 1000\}$ ,  
we can split into  $\{1, \dots, 500\}$  and  $\{501, \dots, 1000\}$
- Do we need to restart from scratch?
  - ▶ no, only some arcs risk losing their arc consistency after the split

# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- Consistency algorithms (4.5)
- Domain splitting (4.6)
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- Evaluating randomized algorithms (4.8.3)
- Population-based methods (4.9)

# *Variable elimination*

Complementary simplification methods:

- Arc consistency (AC) simplifies the network by removing values of variables.
- Variable elimination (VE) simplifies the network by removing variables.

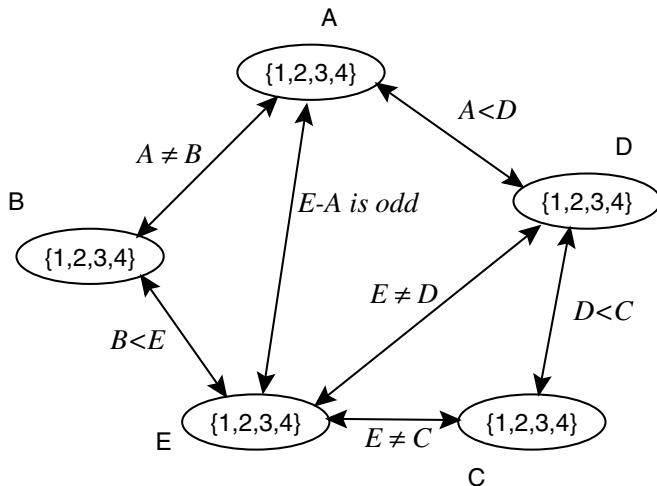
# Variable elimination algorithm

Variable elimination algorithm:

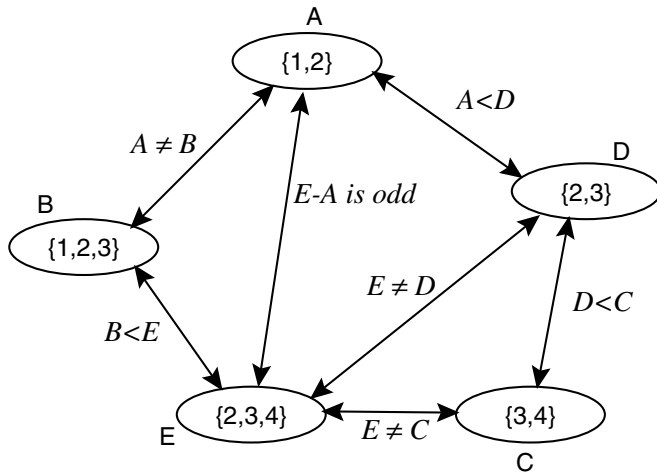
- Select a variable  $X$  to eliminate.
  - ▶ Remove  $X$  by constructing a new constraint on all variables that occur in some  $X$  constraint.
  - ▶ This new constraint replaces all constraints that involve  $X$ , forming a reduced network that does not involve  $X$ .
- The variables are eliminated according to some *elimination ordering*:
  - ▶ Different elimination orderings can result in different intermediate constraints.



## Example network



## Example: Arc-consistent network



## Example: Eliminating variable $C$

*Constraints:*  $E \neq C$  and  $D < C$ .

*Domains:*  $\mathbf{D}_C = \{3, 4\}$ ,  $\mathbf{D}_D = \{2, 3\}$ ,  $\mathbf{D}_E = \{2, 3, 4\}$ .

## Example: Eliminating variable $C$

*Constraints:*  $E \neq C$  and  $D < C$ .

*Domains:*  $\mathbf{D}_C = \{3, 4\}$ ,  $\mathbf{D}_D = \{2, 3\}$ ,  $\mathbf{D}_E = \{2, 3, 4\}$ .

$r_1 : E \neq C$	$C$	$E$
	3	2
	3	4
	4	2
	4	3

## Example: Eliminating variable $C$

*Constraints:*  $E \neq C$  and  $D < C$ .

*Domains:*  $\mathbf{D}_C = \{3, 4\}$ ,  $\mathbf{D}_D = \{2, 3\}$ ,  $\mathbf{D}_E = \{2, 3, 4\}$ .

$r_1 : E \neq C$	$C$	$E$	$r_2 : D < C$	$C$	$D$
	3	2		3	2
	3	4		4	2
	4	2		4	3
	4	3			

## Example: Eliminating variable $C$

*Constraints:*  $E \neq C$  and  $D < C$ .

*Domains:*  $\mathbf{D}_C = \{3, 4\}$ ,  $\mathbf{D}_D = \{2, 3\}$ ,  $\mathbf{D}_E = \{2, 3, 4\}$ .

$r_1 : E \neq C$	$C$	$E$	$r_2 : D < C$	$C$	$D$
	3	2		3	2
	3	4		4	2
	4	2		4	3
	4	3			

$r_3 : r_1 \bowtie r_2$ (join $r_1, r_2$ )	$C$	$D$	$E$
	3	2	2
	3	2	4
	4	2	2
	4	2	3
	4	3	2
	4	3	3

# Example: Eliminating variable $C$

*Constraints:*  $E \neq C$  and  $D < C$ .

*Domains:*  $\mathbf{D}_C = \{3, 4\}$ ,  $\mathbf{D}_D = \{2, 3\}$ ,  $\mathbf{D}_E = \{2, 3, 4\}$ .

$r_1 : E \neq C$	$C$	$E$
	3	2
	3	4
	4	2
	4	3

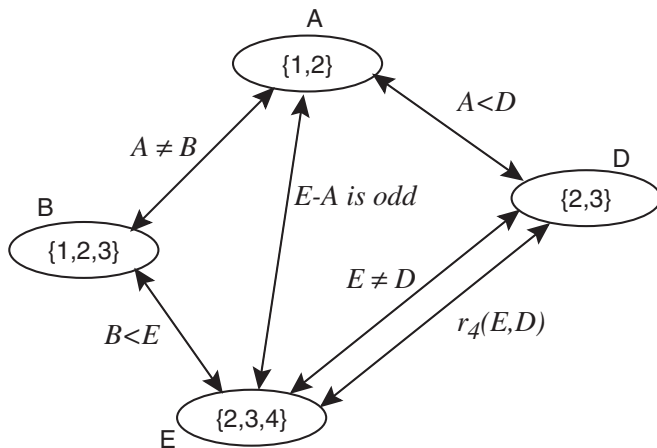
$r_2 : D < C$	$C$	$D$
	3	2
	4	2
	4	3

$r_3 : r_1 \bowtie r_2$ (join $r_1, r_2$ )	$C$	$D$	$E$
	3	2	2
	3	2	4
	4	2	2
	4	2	3
	4	3	2
	4	3	3

$r_4 : \pi_{\{D, E\}} r_3$ (project $r_3$ onto $D, E$ )	$D$	$E$
	2	2
	2	3
	2	4
	3	2
	3	3

$\rightarrow$  new constraint

## Resulting network after eliminating $C$





# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- Consistency algorithms (4.5)
- Domain splitting (4.6)
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- Evaluating randomized algorithms (4.8.3)
- Population-based methods (4.9)

## Local search for CSPs

Given an assignment of a value to each variable:

- A *conflict* is an unsatisfied constraint.
- The goal is an assignment with zero conflicts.

Local search / Greedy descent algorithm:

- Repeat until a satisfying assignment is found:
  - ▶ Select a variable to change
  - ▶ Select a new value for that variable
- Heuristic function to be minimized: the number of conflicts.

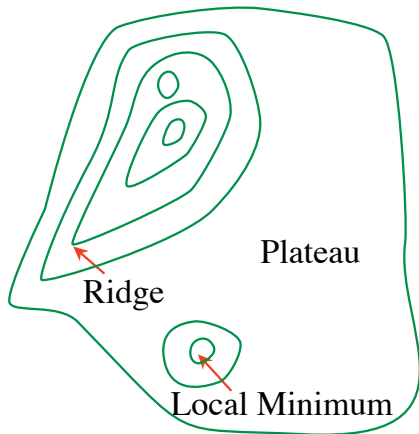
## *Variants of greedy descent*

To choose a variable to change and a new value for it:

- Find a variable-value pair that minimizes the number of conflicts
- Select a variable that participates in the most conflicts.  
Select a value that minimizes the number of conflicts.
- Select a variable that appears in any conflict.  
Select a value that minimizes the number of conflicts.
- Select a variable at random.  
Select a value that minimizes the number of conflicts.
- Select a variable and value at random;  
accept this change if it doesn't increase the number of conflicts.

## Problems with greedy descent

- a local minimum that is not a global minimum
- a plateau where the heuristic values are uninformative
- a ridge is a local minimum where  $n$ -step look-ahead might help



# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- Consistency algorithms (4.5)
- Domain splitting (4.6)
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- Evaluating randomized algorithms (4.8.3)
- Population-based methods (4.9)

# *Randomized algorithms*

- Consider two methods to find a minimum value:
  - ▶ Greedy descent, starting from some position, keep moving down, and report minimum value found
  - ▶ Pick values at random, and report minimum value found
- Which do you expect to work better to find a global minimum?
- Can a mix work better?

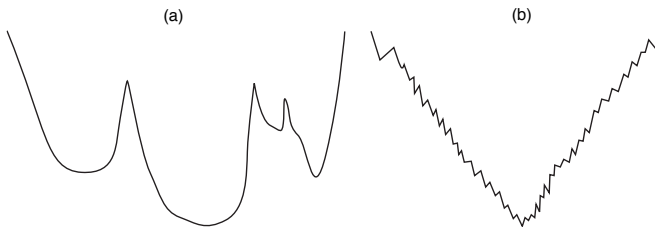
## *Randomized greedy descent*

As well as downward steps we can allow for:

- **Random steps:** move to a random neighbor.
- **Random restart:** reassign random values to all variables.

## 1-dimensional illustrative example

Two 1-dimensional search spaces; step right or left:



- Which method would most easily find the global minimum?
  - ▶ random steps or random restarts?
- What happens in hundreds or thousands of dimensions?
  - ▶ e.g., different dimensions have different structure?



## *Stochastic local search*

Stochastic local search is a mix of:

- Greedy descent: move to a lowest neighbor
- Random walk: taking some random steps
- Random restart: reassigning values to all variables

# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- Consistency algorithms (4.5)
- Domain splitting (4.6)
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

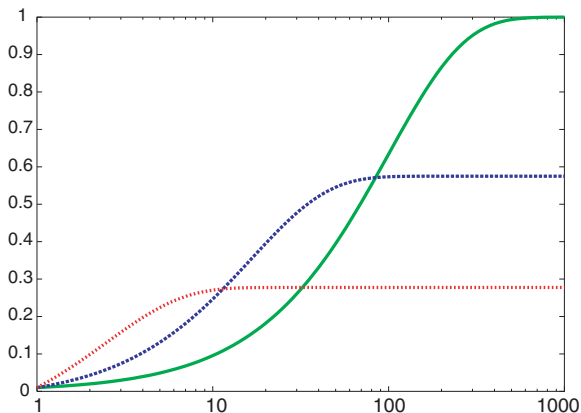
- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- **Evaluating randomized algorithms (4.8.3)**
- Population-based methods (4.9)

## *Comparing stochastic algorithms*

- How can you compare three algorithms when
  - ▶ one solves the problem 30% of the time very quickly but doesn't halt for the other 70% of the cases
  - ▶ one solves 60% of the cases reasonably quickly but doesn't solve the rest
  - ▶ one solves the problem in 100% of the cases, but slowly?
- Summary statistics, such as mean run time, median run time, and mode run time don't make much sense.

## Runtime distribution

- Plots runtime (or number of steps) and the proportion (or number) of the runs that are solved within that runtime.



# Outline

## 1 *Features and constraints*

- States, features and constraints (4.1–4.2)
- Solving CSPs using search (4.3–4.4)
- Consistency algorithms (4.5)
- Domain splitting (4.6)
- Variable elimination (4.7)

## 2 *Local search (4.8–4.9)*

- Iterative best improvement (4.8.1)
- Randomized algorithms (4.8.2)
- Evaluating randomized algorithms (4.8.3)
- Population-based methods (4.9)

# Beam search

**Idea:** maintain a population of  $k$  assignments in parallel, instead of one:

- At every stage, choose the  $k$  best out of all of the neighbors.
- When  $k = 1$ , it is greedy descent.
- When  $k = \infty$ , it is breadth-first search.
- The value of  $k$  lets us limit space and parallelism.

## *Stochastic beam search*

Like beam search, but it probabilistically chooses the  $k$  individuals at the next generation:

- The probability that a neighbor is chosen is proportional to its heuristic value.
- This maintains diversity amongst the individuals.
- The heuristic value reflects the fitness of the individual.
- Like asexual reproduction: each individual mutates and the fittest ones survive.

# Genetic algorithms

Like stochastic beam search, but pairs of individuals are combined to create the offspring:

- For each generation:
  - ▶ Randomly choose pairs of individuals where the fittest individuals are more likely to be chosen.
  - ▶ For each pair, perform a cross-over: form two offspring each taking different parts of their parents:
  - ▶ Mutate some values.
- Stop when a solution is found.