





# **Basic Information Document**

Nigeria General Household Survey–Panel 2012/13

**February 10, 2015** 

#### **ACRONYMS**

BMGF Bill and Melinda Gates Foundation

EA Enumeration Area

FCT, Abuja Federal Capital Territory, Abuja

FMA&RD Federal Ministry of Agriculture and Rural Development

GHS General Household Survey

GHS-Panel General Household Survey-Panel (panel subcomponent of GHS)

HNLSS Harmonized National Living Standards Survey

LGA Local Government Area

LSMS-ISA Living Standards Measurement Study – Integrated Surveys on Agriculture

NASS National Agricultural Sample Survey

NBS National Bureau of Statistics NFRA National Food Reserve Agency

TOT Training of Trainers

WB World Bank

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#### 1.0 Introduction

The purpose of the present document is to provide detailed information on the panel survey component of the General Household Survey (GHS) fielded by the National Bureau of Statistics (NBS) in 2012-2013. This survey is the second wave of a panel survey of households. The GHS-Panel is the result of a partnership that the NBS has established with the Federal Ministry of Agriculture and Rural Development (FMA&RD), the National Food Reserve Agency (NFRA), the Bill and Melinda Gates Foundation (BMGF), and the World Bank (WB). The ability to follow the same households over time makes the GHS-Panel a new and powerful tool for studying and understanding income generating activities and socio-economic outcomes in Nigeria. The GHS-Panel is the first panel survey to be carried out by NBS.

The GHS survey is a cross-sectional survey of 22,000 households carried out periodically throughout the country. Under the work of the partnership, a full revision of the questionnaire was undertaken and, at the same time, a sub-sample of the GHS was randomly selected to form the sample of the GHS-Panel. The GHS-Panel consists of 5,000 households of the GHS collecting additional data on agricultural activities, other household income activities, and household expenditure and consumption. As the focus of this panel component is to improve data from the agricultural sector and link this to other facets of household behaviour and characteristics, the GHS-Panel questionnaire drew heavily on the Harmonized National Living Standards Survey (HNLSS – a multi-topic household survey) and the National Agricultural Sample Survey (NASS – the key agricultural survey). The second wave of the GHS-Panel was carried out in two visits (post-planting visit in September – November 2012 and post-harvest visit in February-April 2013).

This GHS-Panel is part of a larger, regional project in Sub-Saharan Africa to improve agricultural statistics. Nigeria is one of the seven countries being supported by the WB, through funding from the BMGF, to strengthen the production of household-level data on agriculture. This regional project, the Living Standards Measurement Study-Integrated Surveys on Agriculture (LSMS-ISA) has the over-arching objective of improving our understanding of agriculture in Sub-Saharan Africa – specifically, its role in household welfare and poverty reduction.

The present document is designed to provide an overview of the Wave 2 GHS-Panel. Wave 2 consisted of two visits to the household: the post-planting visit occurred directly after the planting season to collect information on preparation of plots, inputs used, labour used for planting, and other issues related to the planting season for the agriculture questionnaire as well as administer a household and agriculture questionnaire. The post-harvest visit occurred after the harvest season and collected information on crops harvested, labour used for cultivating and harvesting activities, and other issues related to the harvest cycle for the agriculture questionnaire and also administered a household and a community questionnaire.

The Basic Information Document for the GHS-Panel 2010/2011 (Wave 1) contains additional background information and should be used in conjunction with this document.

### 2.0 The Survey Instruments

The GHS-Panel Wave 2 consists of three questionnaires for each of the two visits. The *Household Questionnaire* was administered to all households in the sample. The *Agriculture Questionnaire* was administered to all households engaged in agricultural activities such as crop farming, livestock rearing and other agricultural and related activities. The *Community Questionnaire* was administered to the community to collect information on the socioeconomic indicators of the enumeration areas where the sample households reside.<sup>1</sup>

GHS-Panel Household Questionnaire: The Household Questionnaire provides information on demographics; education; health (including anthropometric measurement for children and child immunization); labor and labor data collection options; food and non-food expenditure; household nonfarm income-generating activities; food security and shocks; safety nets; housing conditions; assets; information and communication technology; and other sources of household income. Household location is geo-referenced in order to be able to later link the GHS-Panel data to other available geographic data sets (See Section 7.24 and Appendix 4). The labor module of the Household Questionnaire introduced four different variants to test the sensitivity of labor statistics to how labor modules are designed.

GHS-Panel Agriculture Questionnaire: The Agriculture Questionnaire solicits information on land ownership and use; farm labor; inputs use; GPS land area measurement and coordinates of household plots; agricultural capital; irrigation; crop harvest and utilization; animal holdings and costs; and household fishing activities. Some information is collected at the crop level to allow for detailed analysis for individual crops.

GHS-Panel Community Questionnaire: The Community Questionnaire solicits information on access to infrastructure; community organizations; resource management; changes in the community; key events; community needs, actions and achievements; and local retail price information.

The Household Questionnaire is slightly different for the two visits. Some information was collected only in the post-planting visit, some only in the post-harvest visit, and some in both visits. See Section 7.21 for more details.

The Agriculture Questionnaire collects different information during each visit, but for the same plots and crops. See Section 7.22 for more details.

The Community Questionnaire collected prices during both visits, and different community level information during the two visits. See Section 7.23 for more details.

<sup>&</sup>lt;sup>1</sup> The Community Questionnaire does not collect information from communities in the sociological sense. The data cannot be used to represent communities in Nigeria. The data collected at the community level represent information that is common to the households selected for inclusion in the selected sample enumeration areas (EAs).

The contents of each questionnaire for the GHS-Panel post-planting and GHS-Panel post-harvest are outlined below.

Table 2.1: GHS-Panel Household Wave 2 Questionnaire – Post Planting Visit

Section	Topic	Respondent	Description
Cover	Cover	Field staff	Household identifier variables, enumerator, supervisor, and data entry clerk identifiers, date and time of interview and data entry, and observation notes by enumerator regarding the
1	Roster	Household head or spouse.	interview  Roster of individuals living in the household, relationship to the household, gender, year of birth, age, marital status, spouse identification, parental status, and place of birth.
2	Education	Individuals 5 years and above	Educational attainment, school characteristics, and expenditures for the 20011-12 academic year
3A	Labour	Individuals 5 years and above	Labor market participation during the last seven days, wage work, and domestic activities within the home
3B	Labour Option 1	Individuals 5 years and above in approximately 25% of randomly selected households	Labor market participation during the last six month, wage work, and domestic activities within the home
	Labour Option 2	Individuals 5 years and above in approximately 25% of randomly selected households	Labor market participation during the last twelve months, wage work, and domestic activities within the home
	Labour Option 3	Individuals 5 years and above in approximately 25% of randomly selected households	Labor activity during the last six months (March 2012 to August 2012)
	Labour Option 4	Individuals 5 years and above in approximately 25% of randomly selected households	Labor market participation and summary labor activity during the last twelve months. Includes wage work, and domestic activities within the home
4	Credit and Savings	Individuals 15 years and above	Savings made, loans or credit received, insurance, and remittances by the household during the last six months, and conditions of the transaction

Section	Topic	Respondent	Description
4B	Financial	Individuals 15 years and	Household budgeting and members'
	Capability	above	contribution to household expenses as
			well as household financial planning and
			preparation for unexpected expenses
5	Household assets	Household head	Ownership of assets and value
6	Non-farm	Owner or manager of	Enterprise ownership, status, labor, value
	Enterprises	enterprise	of stock, sales, business costs and
	And		constraints to opening and operating a
	Income		non-farm enterprise.
	Generating		
	Activities		
7A	Meals Away	Most knowledgeable	Naira value of food consumed outside the
	From Home	person	home during the last seven days.
7B	Household Food	Person responsible for	Quantity and value of food consumed
	Expenditure	food purchases	within the household during the last
			seven days.
8	Household Non-	Person responsible for	Non-food expenditure during the last
	food	household purchases	week/last month/last six months/last 12
	Expenditures		months
9	Food Security	Household head or	Food security status of households in
		eligible adult	during the past 7 days/12 months
10	Other Income	Household head or	Others sources of household income since
		eligible adult	the new year

**Table 2.2: GHS-Panel Agriculture Wave 2 Questionnaire – Post Planting Visit** 

Section	Topic	Respondent	Description
Cover	Cover	To be completed by field Staff. Household	This section contains household location and identification data as well as
		ID must be copied from Household to	administrative data as regards administering and managing the
		Agriculture Questionnaire.	questionnaire
11A	Plot Roster	Owner or manager of plot	Information on all plots owned and/or managed by the Household. This section includes data on estimated area, GPS measured area and the GPS measured location of the plot
11B1	Land Inventory	Owner or manager of plot	Data on plot acquisition, tenure and use
11B2	Land Tenure	Owner or manager of plot	Information on land ownership, demarcation and disputes
11C1	Planting Labor	Owner or manager of plot	Information on household members and hired labor that worked in planting activities on the plot. Includes

Section	Topic	Respondent	Description
			information on amount of time spent by each person and payments made to hired labor
11C2	Input costs	Owner or manager of plot	Use and cost of pesticide, herbicide, animal labor and use of machinery
11D	Fertilizer acquisition	Owner or manager of plot	Access to, use and cost of fertilizer
11E	Seed acquisition	Owner or manager of plot	Data on source, quantity and cost of seeds used on the plot
11F	Planted field crops	Owner or manager of plot	Data on crops planted on the plot, amount of crops planted and expected harvest. Also includes questions on cowpeas, variety, features and year of adoption
11G	Planted tree crops	Owner or manager of plot	This section collects details on tree crops
11H	Marketing	Owner or manager of plot	Marketing of agricultural surplus.  Quantities sold, value and information on purchaser
11I	Animal holdings	Farmer or caretaker of animals	Data on farm animals owned by the household and commercial activity with these animals
11J	Animal costs	Farmer or caretaker of animals	Livestock farmer caretaker activities and costs
11K	Agriculture by- product	Farmer or caretaker of animals	Trading activity in agricultural by- products
11L1	Extension Services I	Owner or manager of plot	Main source (government and non- governmental) of farming advice on select agricultural activities
11L2	Extension Services II	Owner or manager of plot	Details of information provided by main source of information on agricultural activities. Includes frequency of visits and usefulness of the information provided
12	Network Roster	Farmer, owner or manager of plot	Roster of places or businesses where the household sells and purchases agricultural produce and/or supplies

Table 2.3: GHS-Panel Community Wave 2 Questionnaire – Post Planting Visit

Tuble 2	Table 2.5. G115-1 and Community Wave 2 Questionnaire 1 ost 1 fanting Visit			
Section	Topic	Respondent	Description	
Cover	Cover	To be completed by the field staff	Cover	
C1	Respondents Characteristics	Community Focus Group	Respondent characteristics	
C2	Food Prices	Market Food Sellers	Food prices	
C3	Labor	Community Focus	Labor	

Section	Topic	Respondent	Description
		Group	
C4	Land Prices and	Community Focus	Land prices and credit
	Credit	Group	

Table 2.4: GHS-Panel Household Wave 2 Questionnaire – Post Harvest Visit

Section	Topic	Respondent	Description
Cover	Cover	To be completed by	Household identifier variables,
		the field staff	enumerator, supervisor, and data entry
			clerk identifiers, date and time of interview
			and data entry, and observation notes by
			enumerator regarding the interview
1	Roster	To be fill by the Head	Roster of individuals living in the
		of Household or	household, relationship to the household,
		spouse.	gender, year of birth, age, marital status,
			polygamous marriages, spouse
			identification, parental status, place of
			birth, date joined household if new,
			migration.
2 (A and	Education	Individuals 5 years	Educational attainment, school
B)		and above	characteristics, and expenditures. Section
			2a collects information for new members
			of the household while Sect 2b collects
			follow-up and current information on
			original household members for the 2010-
2 ( ) 1	т 1		11 academic year
3 (A and B)	Labour	Individuals 5 years and	Section 3a collects data on labour market
Б)		older	activity of all household members 5 years
			and older. This includes employment and
			earnings information.
			Section 3b collects information on
			employment in one or more industries in
			the past 6 months
4 (A and	Health	All individuals	Section 4a: general health status and
B)			utilization and cost of health services for
			those that need medical care. Data on
			effect of disabilities on activity and
			functioning; and anthropometrics.
			Section 4b: Child immunization.
5	Information and	All individuals 10	Access to and use of communication
	communication	years and above	technology, including computers and
	technology		internet
6	Remittances	All individuals 10	Remittances received from abroad by
		years and above	household members 10 years and older

Section	Topic	Respondent	Description
7	Household	Most knowledgeable	Household assets sale and acquisition in
	Assets sale and	person	the past 6 months
	acquisition		
8	Housing	Head of household or	Housing, facilities and cost. Access to
		any knowledgeable	utilities and costs
		adult	
9	Non-farm	Owner or manager of	Data on non-farm businesses owned
	Enterprises and	enterprise	and/or operated by the household. Follow-
	income		up data on the businesses from the
	generating		previous visit and new businesses that
10 (A D	activities	T 1 1 1	were started since the previous visit
10 (A, B and C)	Meals Away	Female in the	Section 10A: Meals away from home
and C)	From Home,	household responsible	Section 10B: Food expenditures
	Food	for food preparation	Section 10C: Aggregate food consumption
	Expenditures and Aggregate Food	and/or food purchases	
	Consumption		
11	Non-food	Most knowledgeable	Consumption and expenditure on non-
	Expenditures	person or person who	food items
	Expenditures	is responsible for	100d Reins
		household purchases	
12	Food Security	Household head or	Collects information on quantity of food,
		knowledgeable adult	preferred foods and variety of foods
		household member	available to household members based on
			economic reasons. Also collects data on
			intra-household food security dynamics.
13	Other household	Household head or	Miscellaneous income received by
	Income	knowledgeable adult	household
1.4	G C A N	household member	
14	Safety Nets	Household head or	Household access to and utilization of
		knowledgeable adult	safety nets
15 (A 1		household member	0 : 15 0
15 (A and B)	Economic	Household head or	Section 15a: Data on economic shocks
<i>(</i> لا	Shocks and	knowledgeable adult	affecting the household
	death	household member	Section 15b: Deaths of household
			members in the past 12 months, including
			age of deceased and cause of death.
			age of deceased and cause of death.

Table 2.5: GHS-Panel Agriculture Wave 2 Questionnaire – Post Harvest Visit

Section	Topic	Respondent	Description
Cover	Cover	To be completed by field Staff. Household ID must be copied from Household to Agriculture Questionnaire.	This section contains household location and identification data as well as administrative data as regards administering and managing the questionnaire
A1	Land and Dry Season Planting	Farmer, owner or manager of plot	Follow-up on use of land for in post- planting visit and data on any subsequent planting or other use of the plot. Also information collected on new plots (i.e. added since post-planting visit)
A2	Harvest Labor	Farmer, owner or manager of plot	Information on household members and hired labor that worked in crop harvesting activities on the plot. Includes information on amount of time spent by each person and payments made to hired labor
A3	Agricultural production Harvest of Field and Tree Crops	Farmer, owner or manager of plot	Quantity and value of field crops produced
A4	Agricultural Capital	Farmer, owner or manager of plot	Ownership and value of agricultural machinery and tools owned by the household
A5 (A and B)	Extension Services	Farmer, owner or manager of plot	Access to and utilization of technical support from various sources (government and non-government)
A6	Animal Holdings	Owner or caretaker of animals	Data on farm animals owned by the household and commercial activity with these animals
A7	Animal Costs	Owner or caretaker of animals	Expenditure on livestock
A8	Other Agricultural Income	Farmer or caretaker of animals	Income from sale of agricultural products not capture previous section under crops and livestock
A9 (A and B)	Fishing, Capital and Revenue	Owner of fishing operations	SectionA9a: Data on fishing activities, includes capture, harvesting and processing  Sectiona9b: Data on boat usage and the use of hired labour
A10	Network Roster	Farmer, owner or manager of plot	Roster of places or businesses where the household sells and purchases agricultural produce and/or supplies

Table 2.6 GHS-Panel Community Wave 2 Questionnaire – Post-Harvest Visit

Section	Topic	Respondent	Description
Cover	Cover	To be completed by the	Cover
		field staff	
C1	Respondents	Community Focus	Respondents Characteristics
	Characteristics	Group	
C2	Community	Community Focus	Community Infrastructure and
	Infrastructure	Group	Transportation
	and		
	Transportation		
C3	Community	Community Focus	Community Organizations
	Organizations	Group	
C4	Community	Community Focus	Community Resource Management
	Resource	Group	
	Management		
C5	Community	Community Focus	Community Changes
	Changes	Group	
C6	Community Key	Community Focus	Community Key Events
	Events	Group	
C7	Community	Community Focus	Community Needs, Actions, and
	Needs, Actions,	Group	Achievements
	and		
	Achievements		
C8	Food Prices	Market Food Sellers	Food Prices

There were some changes made in the questionnaires between Waves 1 and 2 to improve the questionnaire while still maintaining comparability between the two waves. Tables 2.7 and 2.8 outline these changes for the post-planting and post-harvest visits, consecutively.

Table 2.7: Wave 1 to Wave 2 Comparison, Post-Planting

Questionnaire	Section	Notes
Household	Cover sheets	Questions dropped from Wave 2: S1, S2, and S3
Questionnaire	Section 1:	Questions re-ordered in Wave 2: starting with Q4
	Household Roster	Questions added in Wave 2: Q5, Q6, Q10, Q14-17, and Q29
		onwards
		Question dropped in Wave 2: Q7
	Section 2: Education	Question added in Wave 2: Q1
		Change in Wave 2: Q18 has new response option
	Section 3A: Labor	Wave 1: was Section 3
		Questions added in Wave 2: Q22 and Q35
	Section 3B: Labor 6	New section in Wave 2
	Months, 12 Months,	
	Activity Table, and	
	Activity Summary	
	Section 4: Credit	Change in Wave 2: Q2 response options changed

Questionnaire	Section	Notes							
	and Savings	Questions added in Wave 2: Q3 - Q6, Q14, and Q15							
	_	Change in Wave 2: Q9 has new response option							
	Section 4B:	New section in Wave 2							
	Financial Capability								
	Section 5:	Added in Wave 2: new item codes							
	Household Assets								
	Section 6: Nonfarm	Wave 1 Q1 asks "During the past 12 months has any member							
	Enterprises and	of the household worked for himself, other than on a farm or							
	Income Generating	raising animals?" with a yes/no response. Meanwhile Wave 2							
	Activities	Q1 asks to check Wave 1 Post Harvest Questionnaires for							
		any household enterprises and copy information, to answer							
		Q2 and then to list new enterprises/activities as part of Wave							
		2 Q1							
		Wave 2 Q1 asks about the original enterprise ID in reference							
		to Wave 1 enterprises							
		Questions added in Wave 2: Q2 - Q4, Q6, Q8, Q9, Q25, Q26,							
		Q32, and Q33							
		Question dropped in Wave 2: Q8							
		Change in Wave 2: time frame differs for Q11, Q17, Q19,							
		Q20, Q21, Q22, and Q23							
		Wave 2 Q7 includes "if not a member of the household, leave							
		blank" while this is not in Wave 1 Q4							
	Section 7B: Food	Change in Wave 2: Changes to food godes							
	Expenditure	Change in Wave 2: Changes to food codes  Change in Wave 2: O2 O3 O6 and O7 have now unit							
	Expenditure	Change in Wave 2: Q2, Q3, Q6, and Q7 have new unit response options							
		Question added in Wave 2: Q5							
	Section 9: Food	Change in Wave 2: the directions say to "Ask senior female							
	Security	or person most knowledgeable about food consumption"							
		Change in Wave 2: Q7 has new response option							
	Section 10: Other	Questions added in Wave 2: Q3, Q7, and Q11							
	household income	Change in Wave 2: time frame differs for Q1, Q2, Q4, Q6,							
		Q8, and Q10							
Agriculture	Section 11b1: Land	Wave 1: was Section 11b							
Questionnaire	Inventory	Questions added in Wave 2: Q7- Q10, Q15 - Q18, Q23 -							
		Q25, Q35 – Q38, and Q44 – Q46							
		Change in Wave 2: Q28 has new response option							
		Change in Wave 2: Q30 response option for "other specify"							
		dropped							
	Section 11b2: Land	New section in Wave 2							
	Tenure								
	Section 11c1:	New section in Wave 2							
	Planting Labor								

Questionnaire	Section	Notes						
	Section 11c2: Input	Wave 1: was called Section 11c						
	Cost	Change in Wave 2: Q2, Q7, Q11, and Q16 have more unit						
		response options Change in Ways 2: Beanance antique for kilograms and little						
		Change in Wave 2: Response options for kilograms and litres in Q2, Q7, Q11, and Q16 are reversed						
		Change in Wave 2: Q28 and Q30 have new response options						
	Section 11d:	Wave 2 Q1, Q12, and Q24 has value 2 "no" go to Next Plot,						
	Fertilizer Application	while in Wave 1 Q1, Q12, and Q23 value 2 "no" goes to Next Section						
	пррисации	Questions added in Wave 2: Q13 and Q25						
		Wave 2 Q29, Q30, and Q31 are in a different order than						
		Wave 1 Q27, Q28, and Q29						
	Section 11e: Seed	Change in Wave 2: Q6, Q10, Q18, and Q30 have more unit						
	Acquisition	response options						
	1	Questions added in Wave 2: Q15 and Q27						
	Section 11f: Planted	Change in Wave 2: Q1 response option "other specify"						
	Field Crops	dropped						
		Change in Wave 2: Q4 has more unit response options						
		Questions added in Wave 2: Q5 – Q12						
	Section 11g: Planted	Change in Wave 2: Q1 response option "other specify"						
	Tree Crops	dropped						
		Change in Wave 2: Q8 has more unit response options						
	Section 11h:	Change in Wave 2: Q2, Q5, Q12, Q17 – Q20, and Q24 have						
	Marketing	more unit response options						
	0 1 111	Change in Wave 2: Q9 and Q16 have new response option						
	Section 11k: Agriculture By-	Change in Wave 2: Q3 and Q5 have more unit response options						
	Product	Question added in Wave 2: Q7						
	Section 1111: Extension Services I	Wave 1: was called Section 11: Extension						
	Section 1112: Extension Services	Wave 1: was called Section 1112: Extension						
	II							
	Section 12: Network Roster	Change in Wave 2: Q3 value 8 combined values 7 and 8						
Community	Section 1:	Change in Wave 2: Numbering comes after ID code						
Questionnaire	Respondent							
	Characteristics							
	Section 2: Food Prices	Change in Wave 2: Item codes differ						
	THEES	In Wave 2 the unit response is a separate variable						
		Change in Wave 2: Numbering comes after item code						
	Section 3: Labor	A "no" for Wave 2 Q1 instructs to go to the next section						
		while a "no" for Wave 1 Q1 does not instruct this						

Questionnaire	Section	Notes
	Section 4: Land	Q4 and Q5 are switched between Waves
	Prices and Credit	Question added in Wave 2: Q13
		Added in Wave 2: two more ways listed on how land is used
		or acquired

Note: New numbering of questions occurs as a result of additional or dropped questions in Wave 2

Table 2.8: Wave 1 to Wave 2 Comparison, Post-Harvest

Questionnaire	Section	Notes					
Household	Section 1:	Change in Wave 2: Q5 adds "or a child six years and					
Questionnaire	Household Roster	younger"					
		Questions dropped in Wave 2: Q13 – Q18					
		Change in W2: Q28 specifies that individual reported dead					
		should have interview ended					
		Different months in Wave 1 Q34 and Wave 2 Q29					
	Section 2A:	Wave 2 questions starts with Q1, which asks if person is new.					
	Education – New	This is not present in Wave 1 until Q4.					
	Members	Change in Wave 2: Q17 has new response option					
	Section 3A: Labor	Change in Wave 2: Q6 has new response options					
		Questions added in Wave 2: Q12b, Q18b, Q24b, Q30b, Q39b,					
		and Q40b					
	Section 3B: Labor –	New section in Wave 2					
	12 Months						
	Section 3B: Labor	Dropped from Wave 1					
	Activity						
	Section 4A: Health	Change in Wave 2: Q10 instructs "If at patient's home put 0"					
		Change in Wave 2: Q11 instructs "If at patients home leave blank"					
	Section 4B: Child	Change in Wave 2: Q4 and Q5 have new response option					
	Immunization	change in wave 2. Q want go have now response space.					
	Section 5:	Question added in Wave 2: Q1					
	Information and	Change in Wave 2: Q2 has new response option					
	Communication	Change in Wave 2: Q5 value 11 "does not use it to watch					
	Technology	TV"					
	Section 6:	Change in Wave 2: Q4 and Q8 have both amount and					
	Remittances	currency code					
	Section 7:	Change in Wave 2: Q1 instructs to write "The number of					
	Household Assets	[item] owned by household at the time of the post planting					
	Sale and	interview. If none, put 0"					
	Acquisition	Change in Wave 2: Item codes differ					
	Section 8: Housing	Change in Wave 2: Q6 has new response options					
		Change in Wave 2: Q10 has new response option					
		Change in Wave 2: Q11 change in response options					

Questionnaire	Section	Notes					
		Change in Wave 2: Q18 for "Lighting" has new response					
		options					
		Change in Wave 2: Q33 has new response options					
		Change in Wave 2: Q38 response values changed					
	Section 9: Nonfarm	Change in Wave 2: Q4 has new response option					
	Enterprises and	Questions added in Wave 2: Q5b, Q23a, Q23b, Q29, and Q30					
	Income Generating Activities	Change in Wave 2: Q28 has new response options					
	Section 10B: Food	Change in Wave 2: Q2, Q3, Q6, and Q7 have more unit					
	Expenditure	response options					
	r	Questions added in Wave 2: Q5					
		Change in Wave 2: Food codes differ					
	Section 13: Other	Questions added in Wave 2: Q2b, Q5b, and Q8b					
	Household Income						
	Section 14: Safety Nets	Change in Wave 2: Q2 unit response options 3 and 4 dropped					
Agriculture	Section A1: Land	Change in Wave 2: Q9, Q28, and Q34 response options for					
Questionnaire	and Dry Season	"other specify" dropped					
	Planting	Wave 2 Q11 and Q14 has space for more than one ID code					
		Change in Wave 2: Q15 – Q22 appear in a different order					
		Change in Wave 2: Q25 splits up value 5					
		Change in Wave 2: Q30 and Q36 have response option for					
		April dropped					
		Change in Wave 2: Q31 and Q37 have more unit response options					
	Section A2: Harvest	Question added in Wave 2: Q10b					
	Labor	Change in Wave 2: Q2, Q5, and Q8 instruct skip questions if					
		response is "none"					
	Section A3:	Change in Wave 2: Q6, Q8, Q11, and Q16 have more unit					
	Agricultural	response options					
	Production –	Questions added in Wave 2: Q6b, Q12b, Q12c, and Q17b					
	Harvest of Field and Tree Crops	Questions dropped from Wave 2: Q19 – Q23					
	Section A4:	Change in Wave 2: item codes differ					
	Agricultural Capital						
	Section A6: Animal	Question added in Wave 2: Q19b					
	Holdings	Questions dropped from Wave 2: Q25 – Q28					
	Section A8: Other	Change in Wave 2: Q3 and Q5 have more unit response					
	Agricultural Income	options					
		Question added in Wave 2: Q7 and Q8					
	Section A9a:	Change in Wave 2: Q5, Q7, Q10, Q12, Q13, Q15, and Q18					
	Fishing	have fewer unit response options					
	Section A9b:	Change in Wave 2: boat engine codes differ					

Questionnaire	Section	Notes					
	Fishing Capital and	Question added in Wave 2: Q5					
	Revenues	Change in Wave 2: Time frame differs for Q7 – Q9					
		Wave 1 Q11b and Wave 2 Q13 are variations of the same					
		question					
		Change in Wave 2: Wave 1 Q15 has fewer unit response					
		options					
	Section A10: Change in Wave 2: Q3 value 7 combines values 7 and						
	Network Roster						
Community	Cover sheets	Survey staff details are numbered differently across Waves					
Questionnaire		Change in Wave 2: asks for information about Data					
		Validation Clerk					
	Section C8: Food	Change in Wave 2: Item codes differ					
	Prices	Unit of measure in Wave 1 is given in Wave 2 Q1b					

Note: New numbering of questions occurs as a result of additional or dropped questions in Wave 2

For the tracking of households that moved out of the original community, two sets of questionnaires were fielded. New Household and Agriculture questionnaires were designed for households that had moved prior to the post-planting visit of Wave 2 (between Waves 1 and 2). For households that moved after the post-planting visit Wave 2 but before the post-harvest visit Wave 2, they are simply given the Visit 2 questionnaires.

In the case of households that moved prior to the post-planting visit of Wave 2, it was necessary to administer both the post-planting and post-harvest sets of questionnaires. However some of the sections in the post-planting and post-harvest questionnaires are very similar so in order to reduce the burden on the respondent, household questionnaires for both visits were combined. The same was done for the agriculture questionnaires. Tables 2.7 and 2.8 describe these consolidated questionnaires.

**Table 2.9: GHS-Panel Wave 2 Questionnaire - Combined Household Tracking Ouestionnaire** 

Section	onnaire Topic	Respondent	Description
Cover	Cover	To be completed by the	<u>*</u>
Cover	Cover	field staff	Household identifier variables, enumerator, supervisor, and data entry clerk identifiers,
		neid starr	date and time of interview and data entry,
			and observation notes by enumerator
			regarding the interview
1	Roster	To be fill by the Head	Roster of individuals living in the
1	Rostei	of Household or	household, relationship to the household,
		spouse.	gender, year of birth, age, marital status,
		spouse.	polygamous marriages, spouse
			identification, parental status, place of birth,
			date joined household if new, migration.
2	Education	Individuals 5 years and	Educational attainment, school
		above	characteristics for the 20011-12 academic
		46676	year; and educational expenditures for the
			past 12 month.
3 (A and	Labour	Individuals 5 years and	Section 3a collects data on labour market
B)		older	activity of all household members 5 years
			and older. This includes employment and
			earnings information.
			Section 3b collects information on
			employment in one or more industries in
			the past 12 months
4 (A and	Health	All individuals	Section 4a: general health status and
B)			utilization and cost of health services for
			those that need medical care. Data on effect
			of disabilities on activity and functioning;
			and anthropometrics.
Z (A 1	G 11 1	T 11 11 1 4 5	Section 4b: Child immunization.
5 (A and B)	Credit and	Individuals 15 years	5a: Credit and Savings. Savings made,
D)	Savings and	and above	loans or credit received, insurance, and
	Financial		remittances by the household during the last
	Capability		six months, and conditions of the
			Transaction
			5h: Financial Canability, Haysahald
			5b: Financial Capability. Household budgeting and members' contribution to
			household expenses as well as household
			financial planning and preparation for
			unexpected expenses
6	Information and	All individuals 10 years	Access to and use of communication
-	Communication	and above	technology, including computers and
	Technology		internet
	10011101059	1	1111011101

Section	Topic	Respondent	Description
7	Remittances	All individuals 10 years	Remittances received from abroad by
		and above	household members 10 years and older
8	Household Assets	Most knowledgeable	Ownership of assets and value
		person	
9	Housing	Head of household or	Housing, facilities and cost. Access to
		any knowledgeable	utilities and costs
1.0		adult	
10	Non-farm	Owner or manager of	Data on non-farm businesses owned and/or
	Enterprises and	enterprise	operated by the household. Follow- up data
	Income		on the businesses from the previous visit
	Generating		and new businesses that were started since
11 (A, B	Activities  Macla Away From	Female in the	the previous visit
and C)	Meals Away From Home, Food	household responsible	Section 10A: Meals away from home Section 10B: Food expenditures
( and ( )	Expenditures and	for food preparation	Section 10D: Food expeliatures Section 10C: Aggregate food consumption
	Aggregate Food	and/or food purchases	Section 10C. Aggregate 100d consumption
	Consumption	and of food parenases	
12	Non-food	Most knowledgeable	Consumption and expenditure on non- food
	Expenditures	person or person who	items
	1	is responsible for	
		household purchases	
13	Food Security	Household head or	Collects information on quantity of food,
		knowledgeable adult	preferred foods and variety of foods
		household member	available to household members based on
			economic reasons. Also collects data on
			intra-household food security dynamics.
14	Other household	Household head or	Miscellaneous income received by
	Income	knowledgeable adult	household
15	Safaty Note	household member	TT1-11
15	Safety Nets	Household head or	Household access to and utilization of
		knowledgeable adult	safety nets
16 (A and	Economic	household member Household head or	Section 15a: Data on economic shocks
B)	Shocks and	knowledgeable adult	affecting the household
	Death	household member	arreening the nousehold
	Douth	nouschold member	Section 15b: Deaths of household members
			in the past 12 months, including age of
			deceased and cause of death.

Table 2.10: GHS-Panel Wave 2 Questionnaire – Combined Agriculture Tracking Questionnaire

Section	Topic	Respondent	Description
Cover	Cover	To be completed by field Staff. Household ID must be copy from Household to Agriculture Questionnaire.	This section contains household location and identification data as well as administrative data as regards administering and managing the questionnaire
TA1	Plot Roster	Owner or manager of plot	Information on all plots owned and/or managed by the Household. This section includes data on estimated area, GPS measured area and the GPS measured location of the plot
T1B	Land Inventory	Owner or manager of plot	Data on plot acquisition, tenure and use
T1C	Land Tenure	Owner or manager of plot	Information on land ownership, demarcation and disputes
TID	Planting Labor	Owner or manager of plot	Information on household members and hired labor that worked in planting activities on the plot. Includes information on amount of time spent by each person and payments made to hired labor
T1E	Harvest Labor	Farmer, owner or manager of plot	Information on household members and hired labor that worked in crop harvesting activities on the plot. Includes information on amount of time spent by each person and payments made to hired labor
TIF	Input costs	Owner or manager of plot	Use and cost of pesticide, herbicide, animal labor and use of machinery
T1G	Fertilizer acquisition	Owner or manager of plot	Access to, use and cost of fertilizer
T2A	Seed acquisition	Owner or manager of plot	Data on source, quantity and cost of seeds used on the plot
T2B	Planted field crops	Owner or manager of plot	Data on crops planted on the plot, amount of crops planted and expected harvest. Also includes questions on cowpeas, variety, features and year of adoption
T2C	Planted tree crops	Owner or manager of plot	This section collects details on tree crops
T2D	Agricultural Production of Field and Tree Crops	Farmer, owner or manager of plot	Quantity and value of field crops produced
T3	Agricultural Capital	Farmer, owner or manager of plot	Ownership and value of agricultural machinery and tools owned by the household

Section	Topic	Respondent	Description			
T4	Animal holdings	Farmer or caretaker of animals	Data on farm animals owned by the household and commercial activity with these animals			
T5	Animal costs	Farmer or caretaker of animals	Livestock farmer caretaker activities and costs			
T6	Agriculture by- product	Farmer or caretaker of animals	Trading activity in agricultural by-products			
T7A	Extension Services I	Owner or manager of plot	Main source (government and non- governmental) of farming advice on select agricultural activities			
Т7В	Extension Services II	Owner or manager of plot	Details of information provided by main source of information on agricultural activities. Includes frequency of visits and usefulness of the information provided			
T8	Fishing	Owner of fishing operations	Data on fishing activities, includes capture, harvesting and processing			
T9	Fishing Capital and Revenues	Owner of fishing operations	Data on boat usage and the use of hired labour			
T10	Network Roster	Farmer, owner or manager of plot	Roster of places or businesses where the household sells and purchases agricultural produce and/or supplies			

### 3.0 Wave 2 Sample and Weights

The GHS-Panel sample is designed to be representative at the national level as well as at the zonal level. The sample size of the GHS-Panel is not adequate for state-level estimates. The complete sampling information for the GHS-Panel Wave is described in the Basic Information Document for GHS-Panel 2010/2011.

The objective of the GHS-Panel Wave 2 was to re-interview all of the Wave 1 households. There are two important aspects to this. First, the team attempted to track households that moved to a new dwelling, including households that relocated to new communities after Wave 1. Second, the team did not track individual members who moved out (sometimes referred to as split-off households).

Table 3.1 show the details of the Wave 2 sample. No household that was re-located refused to participate though there were a few that were not at home or had moved away (N=5), so almost all attrition was due to the inability to relocate the household.

**Table 3.1: Details of Wave 2 Sample** 

	Wave 1 Final Sample		Wave 2		Attrition		Wave 2 HHs interviewed in main survey phase			Wave 2 HHs interviewed in the tracking phase					
	All	Urban	Rural	All	Urban	Rural	All	Urban	Rural	All	Urban	Rural	All	Urban	Rural
North Central	794	217	577	784	214	570	10	3	7	777	214	563	7	0	7
North East	797	138	659	741	117	624	56	21	35	731	112	619	10	5	5
North West	898	170	728	878	156	722	20	14	6	866	155	711	12	1	11
South East	794	204	590	763	197	566	31	7	24	745	190	555	18	7	11
South South	769	229	540	761	219	542	8	10	-2	712	203	509	49	16	33
South West	864	611	253	789	562	227	75	49	26	669	465	204	120	97	23
Total	4916	1569	3347	4716	1465	3251	200	104	96	4500	1339	3161	216	126	90

4916 is the number of households that are in Wave 1 post-planting **and** Wave 1 post-harvest 4716 is the number of households that are in Wave 2 post-planting **and** Wave 2 post-harvest 4671 is the number of households interviewed in *both visits of Wave 1* **and** *both visits of Wave 2* 

Table 3.2 shows the distribution of households without complete panel information in Waves 1 and 2.

Table 3.2: Distribution of households without complete panel information

	NUMBER HHs
Only in one visit of Wave 2 <sup>2</sup>	87
Refusing to answer	24
Not found	28
Dead	19
Moved away	48
Not interviewed for unknown reason	39
Total	245

When a sample of households is selected for a survey, these households represent the entire population of the country. To accurately use the datasets, the data must be weighted to reflect the distribution of the full population in the country. Two population weights were calculated for panel households in wave 2. The first ( $wt_wave2$ ) is to be applied when wave 2 data only is used. The second ( $wt_combined$ ) is to be applied when using the wave 2 data in conjunction with wave 1. When applied, these weights will raise the sample households and individuals to national values adjusting for population concentrations in various. Both weights are included in the Section A data files ( $secta_plantingw2$  for post-planting and  $secta_harvestw2$  for post-harvest).

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<sup>&</sup>lt;sup>2</sup> There are several reasons as to why a household did not interview in one visit. For example, a household may not have been found in Wave 2 visit 1 but was found and then interviewed in Wave 2 visit 2. By design, any household that did not interview in Wave 2 visit 1 as a result of being in a crisis area is included in this category.

### 4.0 Training of Field Staff and Data Entry Operators for the Survey

#### 4.1 Training Design

Two levels of training were mounted for both the post-planting survey and the post-harvest survey. The first level was organized at NBS Headquarters in Abuja and was called the Training of Trainers (TOT). The participants in the TOT became the resource persons for the next level of training. The top management team of the survey participated in the TOT, which lasted for four days. The core training materials for the 2<sup>nd</sup> level training were harmonized and finalized during the TOT. The persons trained in the TOT were then sent to carry out the second level training.

The second level training was conducted over a nine day period. Seven days were dedicated to theory including data entry training and two days to field practice and review. Participants in the training were Zonal Controllers, State Officers, Field Supervisors, Field Interviewers, and Data Entry Operators. Training instructions were given to the field staff by the resource persons from the management team (NBS, FMS&RD, and NFRA) with support from World Bank technical missions. Three (3) resource persons were sent to each training center to perform the training. Each trainer was given responsibility for one of three areas of training: Household Questionnaire, Agriculture Questionnaire and IT including data entry.

Specifically, the training consisted of (i) classroom instructions on the questionnaire, concepts and definitions, (ii) interview techniques, (iii) methods and field practices in performing actual interviews to ensure that field interviewers fully understood the questionnaire and (iv) data entry and data management. In addition, participants did actual interviews in the field with households that were not scheduled to be part of the actual survey sample. Most of the training instructions are detailed in the interviewer's and supervisor's manuals which are also available.

## **4.2 Post-Planting Training Locations**

Due to security concerns in the North-East and North-West zones, the training for those two zones was moved to North-Central zone. As a result, all three northern zones: North-East, North-West and North-Central, were trained in two training locations near the town of Masaca in Nasarawa State. The training for the other zones: South-East, South-West and South-South, was conducted within their zones in Enugu (Enugu State), Ibadan (Oyo State) and Calabar (Cross River State), respectively.

## **4.3 Post-Harvest Training Locations**

The continuing security concerns in the North-East and North-West zones required that those zones were returned to the post-planting training locations in Nasarawa State in the North-Central zone for training. The North-Central zone trainees, however, were moved from the location in Nasarawa used for their post-planting training and relocated to join with the South-East zone for training in Ibadan (Oyo State). The training locations for the other two zones, South-East and South-South, remained as they were for the post-planting training.

### 4.4 Evaluation of Field and Data Entry Staff

At the end of the training session, trainees were assessed according to both a test that was administered on the material covered in the training process, and an evaluation by the resource persons. Based on the results of the tests some interviewers and data entry operators were removed from the survey. In some instances the removed workers were replaced and in other cases there was no replacement but those remaining in the team were given extra time to complete the fieldwork and data entry. The tests also revealed that some workers (particularly data entry operators) needed extra training so special training sessions were put in place to strengthen these workers.

#### 5.0 Field Work

#### 5.1 Organization of Fieldwork

Data were collected by teams consisting of a supervisor, between 2 and 4 interviewers, and a data entry operator. The number of teams varied from state to state depending on the sample size or number of EAs selected. The teams moved in a roving manner and data collection lasted for between 20 - 30 days for each of the post-planting and post-harvest visits. Additional details on the structure of the visits are available in Section 7.

The GHS-Panel Wave 2 was administered in two visits: post-planting (September - November 2012) and post-harvest (February - April 2013). A tracking phase was conducted in June-July 2013 to interview households that had moved out of the Wave 1 community or had moved between Visit 1 and Visit 2 in Wave 2.

#### **5.2** Gift to Households

As a show of appreciation for the panel households continued participation, all panel households that were located, were given a gift (even if they refused to participate in Wave 2). These gifts were given during the post-harvest survey and consisted of a large umbrella and a plastic bucket. Households were very appreciative of the gifts and many households that refused to participate in the post-planting survey or reluctant to continue at all in the panel survey, had a change of heart and participated.

## **5.3** Pre-printed Wave 2 Household Roster

To facilitate identification of the same people over time, the field team implemented Wave 2 with a pre-printed household roster. The roster asks for information on all Wave 1 members (whether they still reside in the household, have moved or are deceased). New members are added to the roster. So the ID number in the roster can be merged with Wave 1 to identify the same respondent.

## **5.4 Fieldwork Monitoring and Evaluation**

As an additional aid to ensuring good quality data, extensive monitoring was done of the field work. There were three levels of monitoring and evaluation. The first level of monitoring followed immediately after the zonal training. One (1) monitor was assigned to 1 – 2 states and all states were covered, including Federal Capital Authority, Abuja (FCT, Abuja). This monitoring was carried out by the technical team from the zonal training (i.e. the trainers) which included individuals from the Head Office of NBS, the FMA&RD, and the NFRA headquarter staff. The first monitoring team also included World Bank officials and consultants. The second monitoring was carried out by NBS state officers and zonal controllers and took place over an extended period during the fieldwork. The third and final monitoring took place no later than a week before the end of fieldwork. The team involved in the third monitoring was selected from the team that carried out the first monitoring.

During first and second monitoring, the monitors made sure that proper compliance with the procedures as contained in the manual were followed, effected necessary corrections and tackled problems that arose. The third monitoring focused on data issues and included checking the entered data against data in the questionnaires. Where problems were found, these were corrected either directly or through a revisit to the household for verification of information or for further information.

### **6.0** Household Tracking Exercise

The tracking exercise conducted in wave two was for the tracking of households that moved between wave one and wave two and households that moved during wave two, that is between the post planting visit and the post-harvest visit. Interviewers were instructed to complete a tracking form for all complete households for which there was a confirmed relocation. In the case of households that moved to nearby locations, i.e. within the enumeration area, the interviewers were instructed to locate these households and administer the questionnaires.

#### **6.1 Tracking States and Staff Assignments**

The tracking exercise was conducted by staff of the panel management team with support from interviewers in each of the states. In states with two or less households to be tracked, the tracking was conducted by state staff only. Table 6.1 below shows the state where the tracking exercise took place, the number of households to be tracked and the number of field staff that were engaged in the activity. No tracking was done in Adamawa State, Borno State and Yobe State as there was a state of emergency in those states at the time. The table also shows that 27 tracking forms did not have any information on the state to which the households relocated, hence the category "UNKNOWN". A further 15 households had no information at all concerning the relocation of the households.

Table 6.1: Number of Households to be Tracked and Allocation of Field Staff

STATE WHERE HOUSEHOLD RELOCATED	NUMBER OF HOUSEHOLDS	STATE VISITED BY HQ STAFF	NUMBER OF HQ STAFF TO VISIT STATE	Number State Persons
Abia	2	YES	1	1
Adamawa	2	NO		
Akwa Ibom	5	YES	1	1
Anambra	6	YES	1	1
Bauchi	7	YES	1	1
Bayelsa	10	YES	1	1
Benue	5	YES	1	1
Borno	2	No		
Cross River	3	NO	-	2
Delta	10	YES	1	1
Edo	9	YES	1	1
Ekiti	16	YES	2	2
Enugu	4	YES		2
Imo	4	YES	1	1
Jigawa	1	NO		
Kaduna	4	YES	1	1
Kano	2	NO	-	2
Kogi	2	NO	-	2
Kwara	4	NO	-	2

STATE WHERE HOUSEHOLD RELOCATED	NUMBER OF HOUSEHOLDS	STATE VISITED BY HQ STAFF	NUMBER OF HQ STAFF TO VISIT STATE	Number State Persons
Lagos	26	YES	2	2
Nasarawa	5	YES	1	1
Niger	2	NO	-	2
Ogun	16	YES	2	2
Ondo	20	YES	2	2
Osun	9	YES	1	1
Oyo	22	YES	2	2
Rivers	8	YES	1	1
Sokoto	1	NO	-	2
Taraba	2	NO	-	2
Yobe	1	NO		
Zamfara	1	NO	-	2
FCT Abuja	2	YES	1	1
UNKNOWN	27			
Sub Total	240			
HH /w Missing Info.	15			
TOTAL	255			

### **6.2 Training of Tracking Staff**

Training for the tracking exercise was conducted at the NBS head office for panel staff that would be involved in the activity. This training took place on June 20 and 21, 2013. The persons trained were to train their partner staff as well as state officers in their assigned state. A number of trainers also had responsibility to train staff from states where no head office staff were slated to visit. The tracking fieldwork commenced round about June 25, 2013 and was completed by the end of July, 2013.

## **6.3 Tracking Methodology**

The tracking of households included the following steps:

- Discussion of the set of tracking households with the state to obtain all information necessary. Use this information to finalise the list of households that will be tracked
- In order to properly prepare for the tracking field activities, the tracking exercise was initiated by the panel management team while at NBS head office. Contact was made with most of the households to be tracked by using the phone numbers given on the tracking forms. Information was also used from the contact information on the questionnaires. That is, where households could not be contacted using the information on the tracking form, the contact information for family, friends and neighbours which was collected in the household questionnaire were also used. These preliminary tracking activities proved to be a very useful exercise in confirming the location of the relocated household and laying out the plan for the

- tracking fieldwork. Also, the opportunity was taken during the preliminary exercise, to obtain directions to households' new address and to set appointments for the interview.
- In cases where there was no useful phone information (either in the tracking form of household contact information) and the new address of the household was not known, the original location of the household was visited and effort made to obtain phone numbers for the household or the address. When information on the address was obtained, the household was visited by the team in charge of the state to which the household had moved.
- Completion of the required questionnaires

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#### **6.31 Categories of Households Tracked**

There are three categories of households that were tracked:

Category 1: Households that were not there for the post-planting and post-harvest survey were required to complete the combined questionnaires. All of these households were required to complete the household questionnaires and those with a farm were also be required to complete the agriculture questionnaire. These questionnaires were prefilled.

Category 2: Households that were not located in post-planting visit but were located in the post-harvest visit. Enumerators did not go to the field in the post-harvest visit with post-planting questionnaires. Therefore, these households were administered the post-harvest questionnaires and then revisited in the tracking phase and administered the post-planting questionnaires.

Category 3: Households that provided data for post-planting and were not available for the post-harvest survey were required to complete the post-harvest questionnaires only. These questionnaires are exactly the same as what was completed in the post-harvest survey in February/March 2013. Pre-filling was done with these questionnaires

The *tracked\_obs* variable at the end of each data file indicates which of these three categories the household belongs to. Categories 1, 2, and 3 are labelled "Combined", "PP only", and "PH only" respectively.

## **6.32** Tracking Households with Unknown Locations

Households with an unknown new address have been included as a part of the state in which they were originally located. It was the responsibility of the head office staff going to the original state of these "unknown" households to make an effort to gather further information on the place to which the household had relocated. This effort was made early in the head office staff member's visit to the state. In cases where the household had moved to a new state, the new household location was passed to the staff member visiting the state to which the household had relocated. This household will then became a part of that staff member's tracking assignment. If the household had moved to another location within the original state then it was included as a tracking assignment of the head office staff member in that state.

#### **6.4 Gift to Tracked Households**

As a show of appreciation for the tracked households continued participation in the panel survey, the tracked households were presented with their choice of either a large umbrella or a raincoat.

### 7.0 Data Management and Description of Datasets

#### 7.1 Data Management

#### 7.11 Data Entry

This survey used concurrent data entry approach. In this method, the fieldwork and data entry were handled by each team assigned to the state. Each team consisted of a field supervisor, 2-4 interviewers and a data entry operator. Immediately after the data were collected in the field by the interviewers and supervisors (the supervisors administered the community questionnaires and collected data on prices), the questionnaires were handed over to the supervisor to be checked and documented. At the end of each day of fieldwork, the questionnaires were then passed to the data entry operator for entry. After the questionnaires were entered, the data entry operator generated an error report which reported issues including out of range values and inconsistencies in the data. The supervisor then checked the report, determined what should be corrected, and decided if the field team needed to revisit the household to obtain additional information. The benefits of this method are that it allows one to:

- Capture errors that might have been overlooked by a visual inspection only,
- ♦ Identify errors early during the field work so that if any correction required a revisit to the household, it could be done while the team was still in the EA

The CSPro software was used to design the specialized data entry program that was used for the data entry of the questionnaires.

### 7.12 Data Communication System

In Wave 2, a new data communication system was implemented resulting in data transmission between the states and the head office with minimal user intervention. Previously, in Wave 1, data was sent to the head office by email using a dedicated email account for the purpose. This method of communication posed a challenge to many of the data entry persons because a number of them were not familiar with using email and in some other cases, there were problems accessing the internet.

The problem with this is that they were significant delays between when the data was entered was sent and received at the head office. As a result the data cleaning activities were delayed because sometimes the data would take up to three weeks to be received at the head office and even then they were errors in sending and it was not useful.

The new system that was implemented was essentially automated. Each data entry person was given a mobile modem and once they connected to the internet the system would automatically send data to the head office in Abuja. The data entry persons were instructed to do this every two to three days so there was a steady flow of current data from the field to the head office.

## 7.13 Data Cleaning

The data cleaning process was done in a number of stages. The first step was to ensure proper quality control during the fieldwork. This was achieved in part by using the concurrent data entry system which was, as explained above, designed to highlight many of the errors that occurred during the fieldwork. Errors that are caught at the fieldwork stage are corrected based on re-visits to the household on the instruction of the supervisor. The data that had gone through this first stage of cleaning was then sent from the state to the head office of NBS where a second stage of data cleaning was undertaken.

During the second stage the data were examined for out of range values and outliers. The data were also examined for missing information for required variables, sections, questionnaires and EAs. This stage of the data checking was facilitated by the new data transmission system. The team was established at the head office specific responsibility for the checking of the data as it was received from the states. Any problems found were then reported back to the state where the correction was then made. This was an on-going process until all data were delivered to the head office.

After all the data were received by the head office, there was an overall review of the data to identify outliers and other errors on the complete set of data. Identified problems were reported to the state. There the questionnaires were checked and where necessary the relevant households were revisited and a report sent back to the head office with the corrections.

The third stage of the cleaning process was to ensure that the household- and individual-level data sets were correctly merged across all sections of the Household Questionnaire. Special care was taken to see that the households included in the data matched with the selected sample and where there were differences these were properly assessed and documented. The agriculture data were also checked to ensure that the plots identified in the main sections merged with the plot information identified in the other sections. This was also done for crop-by-plot information as well.

The last stage of cleaning involved a final comprehensive review of the data primarily conducted by World Bank staff in Washington, DC in consultation with the headquarters and state offices of NBS in Nigeria. Every variable was examined individually for (1) consistency with other sections and variables, (2) out of range responses, and (3) outliers. Obvious errors were corrected where possible and questionnaires were checked when deemed necessary. However, special care was taken to avoid making strong assumptions when resolving potential errors. Some minor errors remain in the data where the diagnosis and/or solution were unclear to the data cleaning team.

## **7.14 Data Cleaning Challenges**

The cleaning process at the head office was impeded by the fact that the questionnaires were not immediately available for inspection when problems were identified in the data. The questionnaires were retained by the state in case there was the need for household revisits. So whenever problems were identified at the head office, the state office had to be contacted in order to determine if the suspect data were the same as the information on the questionnaire, and to ensure that changes were captured in both places. This was a very cumbersome and time consuming process since communication was difficult and in many instances the response was not timely. However, this is a necessary process to ensure that the households can be re-visited

to provide the correct information to avoid having to make imputations. Also, this process allows the state officers to understand the key issues that arose during fieldwork and will serve to enhance further rounds of data collection. It will be important, nonetheless, to find a mechanism to facilitate this process in the next round of data collection and cleaning.

A second challenge in data management and cleaning was the difficulty faced by state offices in sending the data from the state to the head office. There were difficulties in accessing internet facilities in many of the EAs and surrounding areas where the field teams were active. The consequence of this was that the data were not sent to the head office until the teams returned to state capitals where, due to the distance, it was difficult to return to the EAs for household revisits when requested by the head office. This issue will need to be addressed for future rounds of the survey.

#### **7.2 Description of Datasets**

The GHS-Panel Wave 2 was administered in two visits: post-planting (September - November 2012) and post-harvest (February - April 2013). During each visit two questionnaires were administered to the household respondents (Household Questionnaire and Agricultural Questionnaire) and a third questionnaire was administered at the level of the enumeration area (Community Questionnaire). The tracking phase (for moved households) was completed in June-July 2013. The tracking data is integrated into the post-planting and post-harvest structure, even though the data were actually collected in the tracking phase.

#### 7.21 Household Data

In the Household Questionnaire, some of the modules were administered in both the post planting and post-harvest visit and others were only administered during one of the two visits. This should be taken into account when using the datasets.

Group 1: These modules are administered in both visits but the module in the post-harvest version is a follow up to the post-planting module. For example, for the Roster and Education modules, additional information is gathered during the post-harvest only for individuals who had joined the household since the first, or post-planting, visit. (These people are referred to as 'new household members'.) For assets and enterprises, the module attempts to update the information from the first visit.

- Roster
- Education
- Household Assets
- Nonfarm Enterprises

Group 2: These modules are administered in both visits as standalone modules. For these topics we have complete information at two points in time during the year of the survey.

- Labor
- Meals Away From Home
- Food Consumption and Expenditure

- Nonfood Expenditure
- Food Security
- Other Household Income

Group 3: These modules only appear in either the post-planting or the post-harvest visit

- Post-planting only
  - o Credit and Savings
- Post-harvest only
  - o Health and Child Immunization
  - o Information and Communication Technology
  - Remittances
  - Housing
  - o Aggregate Food Consumption
  - o Safety Nets
  - o Economic Shocks and Deaths

Tables 7.1a and 7.1b show the sections of the Household Questionnaire and the datasets that correspond to these.

**Table 7.1a: Post-planting household datasets** 

Section	Section Name	<b>Dataset Filename</b>
Cover	Cover	secta_plantingw2
1	Roster	sect1_plantingw2
2	Education	sect2_plantingw2
3	Labour	sect3a_plantingw2
		sect3b_plantingw2
		sect3c_plantingw2
		sect3d_plantingw2
		sect3e_plantingw2
4	Credit and Savings	sect4a_plantingw2
		sect4b_plantingw2
5	Household Assets	sect5a_plantingw2
		sect5b_plantingw2
6	Non-farm Enterprises	sect6_plantingw2
7A	Meals Away From Home	sect7a_plantingw2
7B	Household Food Expenditure	sect7b_plantingw2
8	Household Non-Food	sect8a_plantingw2
	Expenditures	sect8b_plantingw2
		sect8c_plantingw2
		sect8d_plantingw2
		sect8e_plantingw2
9	Food Security	sect9_plantingw2
10	Other Income	sect10_plantingw2

Table 7.1b: Post-harvest household datasets

Section	Section Name	Dataset Filename
Cover	Cover	secta_harvestw2
1	Roster	sect1_harvestw2
2A	Education - New Member	sect2a_harvestw2
2B	Education – Original Household	sect2b_harvestw2
	Members	
3A	Labour	sect3a_harvestw2
3B	Labour Activity	sect3b_harvestw2
4A	Health	sect4a_harvestw2
4B	Child Immunization	sect4b_harvestw2
5	Information and Communication	sect5_harvestw2
	Technology	
6	Remittances	sect6_harvestw2
7	Household Assets Sales and	sect7_harvestw2
	Acquisition	
8	Housing	sect8_harvestw2
9	Non-farm Enterprises and Income	sect9_harvestw2
	Generating Activity	
10A	Meals Away From Home	sect10a_harvestw2
10B	Food Consumption and	sect10b_harvestw2
	Expenditures	
10C	Aggregate Food Consumption	sect10c_harvestw2
		sect10ca_harvestw2
		sect10cb_harvestw2
11	Non-food Expenditures	sect11a_harvestw2
		sect11b_harvestw2
		sect11c_harvestw2
		sect11d_harvestw2
		sect11e_harvestw2
12	Food Security	sect12_harvestw2
13	Other Household Income	sect13_harvestw2
14	Social Safety Nets	sect14_harvestw2
15A	Economic Shocks	sect15a_harvestw2
15B	Deaths	sect15b_harvestw2
		sect15b1_harvestw2
		sect15b2 harvestw2

## 7.22 Agriculture Data

It should be noted that in the Agriculture Questionnaire, the plot roster and land inventory information collected during the post-planting visit is updated during the post-harvest visit in the Land and Dry Season Planting section to include additional plots households may have

acquired or old plots they have disposed of since the first, post-planting visit.<sup>3</sup> Information on inputs to agricultural production was collected in the post-planting visit only. The crop codes used in the Agriculture Questionnaire are presented in Appendix 3. As with the Household Questionnaire, some modules were administered in both visits. For these modules, during the post-harvest visit, information was gathered on the activities since the post-planting interview.

**Table 7.2a: Post-planting Agriculture datasets** 

7.2a. 1 ost-planting righteulture datasets							
Section	Section Name	Dataset Filename					
11a	Plot Roster	sect11a_plantingw2					
		sect11a1_plantingw2					
11b	Land Inventory	sect11b1_plantingw2					
		sect11b2_plantingw2					
11c	Input Costs	sect11c1_plantingw2					
		sect11c2_plantingw2					
11d	Fertilizer Acquisition	sect11d_plantingw2					
11e	Seed Acquisition	sect11e_plantingw2					
11f	Planted Field Crops	sect11f_plantingw2					
11g	Planted Tree Crops	sect11g_plantingw2					
11h	Marketing of Agricultural Surplus	sect11h_plantingw2					
11i	Animal Holdings	sect11i_plantingw2					
11j	Animal Costs	sect11j_plantingw2					
11k	Agriculture By-product	sect11k_plantingw2					
111	Extension	sect1111_plantingw2					
		sect1112_plantingw2					
12	Network Roster	sect12_plantingw2					

**Table 7.2b: Post-harvest Agriculture datasets** 

Section	Section Name	Dataset Filename
A1	Land and Dry Season Planting	sectaa_harvestw2
		secta1_harvestw2
A2	Harvest Labour	secta2_harvestw2
A3	Agricultural Production – Harvest	secta3_harvestw2
	of Field and Tree Crops	
A4	Agricultural Capital 1	secta41_harvestw2
	Agricultural Capital 2	secta42_harvestw2
A5	Extension Services 1	secta5a_harvestw2
	Extension Services 2	secta5b_harvestw2
A6	Animal Holdings	secta6_harvestw2
A7	Animal Costs	secta7_harvestw2
A8	Other Agricultural Income	secta8_harvestw2
A9a	Fishing	secta9a1_harvestw2

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<sup>&</sup>lt;sup>3</sup> In theory, plots in wave 2 can be matched to wave 1 using the characteristics of the plots. However, the plot description and codes were not prefilled from the wave 1 roster. Thus plots cannot be matched across plots using plot IDs.

Section	Section Name	Dataset Filename
		secta9a2_harvestw2
A9b	Fishing Capital and Revenue	secta9b1_harvestw2
		secta9b2_harvestw2
		Secta9b3_harvestw2
A10	Network Roster	secta10_harvestw2

## 7.23 Community Data

Tables 7.3a and 7.3b show the sections of the community questionnaire and their corresponding data sets.

**Table 7.3a: Post-planting Community datasets** 

Section	Section Name	Dataset Filename
Cover	Cover	sectc_plantingw2
C1	Respondent Characteristics	sectc1_plantingw2
C2	Food Prices	sectc2_plantingw2
C3	Labor	sectc3a_plantingw2
		sectc3b_plantingw2
		sectc3c_plantingw2
C4	Land Prices and Credit	sectc4a_plantingw2
		sectc4b_plantingw2

**Table 7.3b: Post-harvest Community datasets** 

Section	Section Name	Dataset Filename
Cover	Community identification	sectc_harvestw2
C1	Respondent Characteristics	sectc1_harvestw2
C2	Community Infrastructure and	sectc2_harvestw2
	Transportation	
C3	Community Organizations	sectc3_harvestw2
C4	Community Resource	sectc4_harvestw2
	Managements	
C5	Community Changes	sectc5_harvestw2
C6	Community Key Events	sectc6_harvestw2
C7	Community Needs, Actions and	sectc7_harvestw2
	Achievements	
C8	Food Prices	sectc8_harvestw2

Note that, for purposes of maintaining the confidentiality of the data, all names and addresses have been removed from the datasets. Additionally, the GPS coordinates have also been removed as these could be used to locate households and plots with accuracy. See Appendix 4 and the next section on the geo-variables which are made available in lieu of actual locations of household dwellings and plots.

## 7.24 Geospatial variables

To increase the use of the GHS-Panel data, a set of geospatial variables has been provided by using the georeferenced plot and household locations in conjunction with various geospatial databases that were available to the survey team. More information is available in Appendix 4 on how these variables are constructed and linked to the GHS-Panel data. The table in Appendix 4 provides the name, type, source, reference period, resolution, description, and source of each geospatial variable included.

## 7.25 Consumption aggregate

Files containing revised aggregate household consumption expenditure have been uploaded in November 2018 (cons\_agg\_wave2\_visit1.dta and cons\_agg\_wave2\_visit2.dta). Annual expenditures for various food and non-food items were calculated and aggregated to household level and converted to per capita terms. All values contained in the files are in nominal terms. Please see Appendix 7 for further details on the methodology and assumptions used to calculating the aggregates.

## 7.26 Tracking status of household and individuals

Two additional data sets are released with Wave 2 which summarize the status of households and individuals across both waves: *htrack.dta* and *ptrack.dta*.

## 7.27 Agricultural Output Non-Standard Units Conversion Factors

In the field interviewees were asked to report the amount of crop items that they were engaged with. Kilograms, grams, liters, and centreliters were the standard units collected from interviewees, but oftentimes interviewees would give non-standard units such as "olodo" and "milk cup". In order to convert from non-standard units to the more widely understood standard units, the dataset *w2agnconversion.dta* was created with conversion factors between the two sets of units for crops. Units are either converted to kilograms or liters depending on the container. Some crops were not able to be measured and so do not have conversion factors.

In order to use the conversion factors, one has to multiply a crop item with a conversion factor. For example, the dataset *secta3\_harvestw2.dta* features question 8, which asks how much of the harvest was given to the landowner. One household is said to have given 10 mudu of shelled maize. In order to convert mudu to kg, the dataset *w2agnconversion.dta* has to be merged in, and then 10 is multiplied with the conversion factor under the variable name "conversion." One mudu of shelled maize is 1.5 kg, and so 10 mudu of shelled maize is 10.5 kg.

## 8.0 Using the Data

#### **8.1 File Structure**

The data should always be used in conjunction with the questionnaire and the interviewer's instruction manual. Where there are no issues of confidentiality all the variables from the questionnaire have been included in the data sets. In some cases there is an additional variable which contains the "other specify" information that was written in the questionnaire. So, for example, if there is a variable with two parts question 5a and question 5b, a third variable, question 5c, might be added which would contain the other "specify information".

## **8.2 Merging Datasets**

## **8.21 Household and Agriculture Datasets**

All household and agriculture datasets in both the post-planting and post-harvest files contain a variable (*hhid*), which is a unique identifier for the household. This variable is used as the unique key variable in the merging of all household type datasets. In some of the other types of datasets, additional key variables may be required in the merging process. In the case of individual type files, the variable that uniquely identifies the individual in the household is *indiv*. So in order to merge any two individual type files, both the variables *hhid* and *indiv* would be used. In the agriculture datasets, plot files are merged using *hhid*, *plotid* and *cropid*.

## 8.22 Post-Planting and Post-Harvest Datasets

Post-planting and post-harvest files can be merged using the methodology explained above. That is, the *hhid* is the same for a specific household in the post-planting and post-harvest visit. It should be noted that there was some attrition of households between the post-planting and post-harvest visits so some households in the post-planting files will not have a match in the post-harvest data sets. Note also that people may have left the households or joined them in the time between the two visits. Thus the number of people will vary between visits.

## **8.23 Community Datasets**

The community questionnaire is administered at the EA level so the location variables *lga* for local government area (LGA) and *ea* are unique for each community questionnaire. Merging of community files within the round or with community files from the other round or with any of the household or agriculture files from either round should be done using the *lga* and *ea variables*, in that order.

Location variables: *zone*, *state*, *lga*, *sector*, *ea* and *ric* have not been included in all the datasets. Instead, these variables have been included in the questionnaire cover datasets, i.e. secta\_harvestw2, secta\_plantingw2, sectc\_harvestw2 etc., and from there they can be merged into any of the other datasets using the key variables as explained above.

#### 8.3 Network Roster

A network roster is included in both the post-planting and post-harvest agriculture questionnaires. The network roster keeps a record of the list of places (businesses, markets, persons etc.) with which the household engages in agricultural trading activities. Each place is assigned the network code of the line in which it is in that section. Each place is recorded only once so we have for example, network codes N1, N2 etc. which is just a serialization of the places. This is similar to the household roster where an individual acquires the individual code of the line in which the person's name is written.

After the information has been entered in the network roster, the network code can be used in any section of the Agriculture Questionnaire where a place of trading is requested. The network roster contains information on the type of place and its location.

## **8.4 Food Consumption Unit Measures**

Changes were made to the questionnaires to improve the collection of food consumption and expenditure data. There were two main changes. The first change was to add a question i.e. question five shown in the questionnaire extract below. This question was aimed at determining the quantity of the food item that was consumed out of purchases, whether the item was purchased in the past 7 days or before. The previous method, as used in Wave 1, of relying on the actual quantity of purchase indicated in question 3 was unreliable since a household often purchased more than they consumed in the last 7 days. The inclusion of question 5 solved this problem and it was now possible to fully account for the acquisition of all food item consumed. That is, through purchases, own production and gifts.

The second change was an increase in the number the units used in the quantity measures (see questions, 2, 3, 5, 6 and 7 in the figure below). The units were now expanded to include, not only the standard units, but the more commonly used non-standard units. This reduced the need for the interviewers to do the conversion from nonstandard units to standard units and hence eliminated some of the errors identified in wave one.

SECTION 7B: FOOD EXPENDITURE How much of consumptic Within the past 7 days, dic How much in total did How much did the low much did How much of How much of your household the members of this vour household household purchase of consumption of this consumption of this of this [ITEM] came from gifts and other sources household eat/drink any consume of this [ITEM] this [ITEM] during the pend on this [ITEM] came from [ITEM] came from owi of this [ITEM] within the in the past 7 days? past 7 days? [ITEM] during th purchases made during production during the during the past 7 days? household? past 7 days? the past 7 days or past 7 days? before? IF NONE WRITE 0 FOR PLEASE ONLY LIST ITEMS IF NONE WRITE 0 FOR T QUANTITY AND LEAVE IE NONE WRITE O FOR THIS QUESTION QUANTITY AND LEAVE UNIT BLANK (►Q5) QUANTITY AND LEAVE IF NONE , WRITE 0 FOR E HOUSEHOLD AND REFERS TO THE UNIT BLANK UNIT BLANK EXCLUDE FOOD QUANTITY AND LEAVE UNIT BLANK M UNIT CODE KILOGRAMS (kg)...01 QUANTITY IN CONSUMED OUTSIDE THE QUESTION 3 HOUSEHOLD. UNIT CODE
KILOGRAMS (kg) . .01
GRAMS (g) . .02
LITRE (1) . .03
CENTILITRE (c1) ..04 UNIT CODE KILOGRAMS (kg)...01 UNIT CODE
KILOGRAMS (kg) ...01
GRAMS (g) ...02
LITRE (1) ...03
CENTILITRE (c1) ...04 UNIT CODE
KILOGRAMS (kg) ...01
GRAMS (g) ....02
LITRE (1) .....03 C GRAMS (g).....02 LITRE (1).....03 ASK THIS QUESTION FOR GRAMS (g) .....02 LITRE (1) .....03 CENTILITRE (c1) ..04 ALL ITEMS, BEFORE MOVING ON TO THE NEXT CENTILITRE (cl) .. 04 QUESTIONS FOR ITEMS WITH YES 4UDU.....05 DLODO......06 MUDU.....05 OLODO.....06 CONGO......07 PAINT RUBBER....08 CONGO......07
PAINT RUBBER....08 LARGE DERICA....09
MEDIUM DERICA....10 LARGE DERICA....09 MEDIUM DERICA....10 LARGE DERICA....09 MEDIUM DERICA....10 MEDIUM DERICA. 10
SMALL DERICA. 11
MILK CUP. 12
CIGARETTE CUP. 13
TIYA. 14
KOBIOWU. 15 SMALL DERICA....11 SMALL DERICA....11 MILK CUP...
CIGARETTE CUP...
TIYA...
KOBIOWU... MILK CUP.....12 CIGARETTE CUP....13 MILK CUP......12 CIGARETTE CUP....13 TIYA......14 KOBIOWU......15 TIYA..... KOBIOWU.... TIYA..... NO...2 (► NEXT ITEM) QUANTITY QUANTITY NAIRA QUANTITY QUANTITY QUANTITY

#### **8.41 Unit Conversion Factors**

The inclusion of nonstandard unit options for food quantities made it necessary for a conversion table to be prepared that would include these nonstandard units and their conversion factors to standard units. In order to prepare this conversion table, items that were commonly purchased using the nonstandard units, were purchased and measured using the nonstandard unit and then measured using the standard units.

For some items such as grains the conversion factor did not vary significantly for items within the group so the same conversion factor was used for these similar items.

This set of nonstandard unit options have also been used to replace the standard unit options in other sections and questions where food quantity is required. It is also used in agriculture questionnaire for quantities including seeds used for planting as well as crop harvest. The food non-standard units conversion factors files are named "food\_conv\_w2pp" and "food\_conv\_w2ph" for the post planting and post-harvest modules respectively.

#### 8.42 Use of Pictures

Pictures of food items with their weights were introduced in the post-harvest visit of Wave 1. During the survey the respondents indicating that they purchased a food item and were unable to state the quantity in standard units were shown the picture of the item (usually a set of the item with different weights) and asked which one best matched the quantity that they purchased. The standard weight attached to the item they indicated was then recorded in the quantity response for the question.

In Wave 2, additional pictures of food items with their weights were introduced, thus increasing the original set from Wave 1. See appendix 5 for the set of pictures of food items introduced in Wave 2.

## 9.0 Overall Problems and Challenges Faced During Wave 2

Designing and implementing a complex survey such as the GHS-Panel presents various challenges. In this section we outline some key issues that arose, lessons learned and make recommendations for the next Wave of the survey.

## 9.1 Tracking

It was discovered that a number of households that were identified as relocated during the postplanting visit were actually households that no longer wanted to participate in the panel survey. Interestingly, the gifts that were given during the post-harvest survey prompted most of these households to reverse their decision to withdraw from the panel. Those households were removed from the post-planting tracking list.

A major area of concern was the way in which the interviewers completed the tracking forms. In many instances the tracking form was not properly completed and this resulted in significant difficulty, and even failure, in tracking the relocated households. Where problems were identified with the post-planting tracking forms, interviewers were instructed to update the forms during the post-harvest survey. Even with this instruction many of the forms were not properly completed and had to be updated during the tracking exercise. If, after properly updating

For future tracking exercises, the tracking form should be entered at the same time as the questionnaires and the data submitted to NBS head office for inspection. Where there are problems in the data, the field staff be informed so that corrections can be made.

## 9.2 Pre-filling of Questionnaires

Special care has to be taken to ensure that house hold members were pre-field in the same lines that they were placed in the wave one visits, even in cases where those household members had left the household. This was done so as to ensure that household member would have the same household number and individual number in all waves and visits thus enabling the matching of individuals across all the panel surveys. The need to ensure consistent line positions is also true of the plots and crops in the agriculture questionnaire. In this case consistency must be maintained for this data between the post-planting visit and the subsequent post-harvest visit.

At the end of the wave one set of surveys, all questionnaires were moved from state offices to the NBS head office in Abuja. The pre-filling of wave two post-planting questionnaires, therefore had to take place at the NBS head office where the wave one questionnaires were available. This proved to be a challenging exercise for staff at the NBS head office, in terms of managing the process and ensuring good quality work. As a result errors were made during the prefilling exercise. These errors proved to be a problem during the post-planting visit because the field staff no longer had access to previous the questionnaires to check and make corrections where necessary.

During the wave two visit, post-planting questionnaires were not moved from the state offices so they were available to the field staff for the prefilling of the post-harvest questionnaires. The prefilling of post-harvest questionnaires was conducted at the zonal training centers where the field staff prefilled all the questionnaires for their own state. The prefilling was done under the supervision of the trainers at the zone the location to ensure that the errors in the pre-filling exercise were minimized. This approach proved to yield better results than when the questionnaires were prefilled at NBS head office.

## **9.3 Omitted Questions**

A few questions between Waves 1 and 2 were dropped from the questionnaires due to printer error. This includes questions that, given the structure of the surveys in Wave 1, were to come after question 18 in Wave 2 Agriculture Questionnaire post-harvest Section A3 (questions 19-23 from Wave 1), as well as questions that come after question 24 in Wave 2 Agriculture post-harvest Section A6 (Questions 25-28). These questions therefore were not asked of respondents in Wave 2 and so no data is collected concerning these questions.

## 9.4 Availability of Electricity

Electricity was required by the data entry operator to operate the laptop computer and printer when in the field. This problem was anticipated so inverters were purchased and made available to each data entry operator. The intention was that these inverters would be connected to the cigarette lighter socket or battery of the vehicle that was providing transportation for the team. By doing so electrical power would be generated to run the laptop and printer. Unfortunately, in most cases, no vehicle was available since the team was simply transported and left at the location. In addition some of the locations could only be accessed by motorcycles, which did not have the facility to operate the inverters.

In order to alleviate this problem, special provision had to be made for the purchase or renting of portable generators. This proved to be a satisfactory solution to the problem.

## **9.5 Security Problems**

During wave 2 of the panel survey, the security situation has significantly deteriorated in number of states in the North-East and North-West zones. These problems have made it hazardous for field staff to go into certain areas to collect data. In Yobe and Kaduna State, for example, there are panel enumeration areas where no attempt is made to collect data. While in a number of other EAs throughout those zones, the security situation can change from day to day.

There have also been problems with the security of questionnaires. In Yobe state a number of questionnaires were lost as violence flared up and NBS state workers had to hurriedly pack and leave the state office for their own safety. Unfortunately the entered data was not properly saved for those questionnaires so the data is permanently lost.

Special care is now taken to ensure that completed questionnaires are entered as soon as possible after the interview. It is also important that the entered data is secured through

transmission to the head office using the online data communication system and through keeping multiple copies on separate media, such as a flash drives. The challenge with entering certain areas remains but when the opportunity arises for data to be collected in those areas, all steps are taken to ensure that the data is securely stored.

#### 9.6 GPS Units

New GPS units were acquired for use in wave 2. These units are more user friendly and efficient than the units used in wave one. A number of units used in wave one had stopped functioning and many others were functioning but faulty. The wave one units performed poorly in overcast weather and where there was tree cover. The interviewers also had problems using those units.

While the wave one units were sufficient to provide only one unit to each field team, the number of new GPS units purchased made it possible for nearly all teams to receive two units. This served to improve the pace at which the fieldwork was completed as one team, by breaking into groups, were able to measure two farms at the same time. This was particularly beneficial in states with a large number widely dispersed farms

## 9.7 Data Entry

Data entry has been a significant source of errors in both waves of the panel survey. Efforts were made in wave two to reduce the errors by evaluating the performance of the data entry operators and by replacing the worst performers and retraining those that seemed to have perceived potential to improve.

After administering performance tests to the data entry operators following the post-planting training, four were replaced and ten were retrained. After observation of the performance of the data entry operators in the post-planting survey, a further six operators were replaced making a total of ten replacements.

Replacement of data entry operators was made primarily from data entry staff at the head office. These staff were included as participants in the post-harvest zonal training and sent to the states to perform data entry activities during the course of the survey.

In addition to ensuring good performance among the data entry operators, the data entry systems should be upgraded to include a double data entry system. This should serve to further reduce the errors in the data capture process.

## 9.8 Delay in States Responding to Data Queries

In the panel surveys, the states retain custody for all questionnaires administered by their field staff within the state. During wave 1, this posed a significant problem when data sent to head office was flagged as having possible errors because the head office staff were not able to directly examine the questionnaires. When the data concerns were sent the states, there were

long delays in receiving responses and this led to significant delays in finalizing the data. In order to address this problem two measures were taken:

- 1. a near online data communication system was implemented that automatically transmitted the data very shortly after it was entered. This allowed for early identification of potential data errors or inconsistencies in the data
- 2. data quality checks were introduced as an activity in field monitoring activities. That is, the panel team from NBS head office were provided with the data and potential errors were identified for the states that they were selected to visit. During the visit the monitor would examine the questionnaires with the local team and identify genuine problems and make corrections or instruct remedial action, such as revisiting the household for clarification, where necessary.

These steps have served to significantly improve the data quality and the time taken to finalize data management and processing activities.

## **Appendix 1: How to Obtain Copies of the Data**

The data are available through the NBS web site:

http://www.nigerianstat.gov.ng/

or through the LSMS-ISA website:

http://www.worldbank.org/lsms-isa

Users do not need to obtain the permission of the NBS to receive a copy of the data, but will be asked to fill in a data access agreement. In this agreement, users agree to: (a) cite the National Bureau of Statistics as the collector of the data in all reports, publications and presentations; (b) provide copies of all reports publications and presentation to the National Bureau of Statistics (see address below) and the Poverty and Inequality Division of the World Bank (see address below); and (c) not pass the data to any third parties for any reasons.

Leo Sanni Statistical Information Officer Plot 762, Independence Avenue, Central Business District, FCT, Abuja Nigeria www.nigerianstat.gov.ng

www.nigerianstat.gov.ng Phone: +2348033865388

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LSMS Database Manager Poverty and Inequality Division The World Bank 1818 H Street, NW MSN MC3-306 Washington, DC 20433 www.worldbank.org/lsms-isa Email: lsms@worldbank.org

## **Appendix 2: Updates to the Data**

In June 2014 and February 2015, updates were made to the 2012-2013 GHS-Panel data. As of February 2015, all data downloaded from the web site included the following updates. If the data that you are using does not include these updates, you should download a newer version of the data by going to the LSMS web site (see Appendix 1).

## **June 2014 Updates**

#### **Post Planting - Household:**

#### Section 1: HOUSEHOLD ROSTER

- <u>Description:</u> Missing information on where members had moved within Nigeria corrected. There were several cases where the LGA name (s1q32a) was given but a corresponding LGA code (s1q32b) was missing or did not match the name. There were similar cases for State name (s1q32c) and code (s1q32d). LGA and State codes were added or corrected according to the name specified. Consistency between LGA and State was also checked, but was not always able to be corrected.
- Relevant file:
  - o sect1\_plantingw2
- Variables affected:
  - o s1q32a
  - o s1q32b
  - o s1q32c
  - o s1q32d

#### Section 7b: FOOD EXPENDITURE

- <u>Description:</u> Several observations were identified which had incorrectly specified units.
   In most instances, grams/centilitres were recorded when the values are actually in terms of kilograms/litres.
- Relevant file:
  - o sect7b\_plantingw2
- Variables affected:
  - o s7bq2b
  - o s7bq3b
  - o s7bq5b

## **Post Planting - Agriculture:**

#### Section 11c2: INPUT COST

- <u>Description</u>: A data entry error was identified whereby pesticide and herbicide amounts were entered without a decimal point. This resulted in most use amounts being inflated by a factor of 100. The relevant observations were identified and corrected.
- Relevant file:
  - o sect11c2\_plantingw2

- Variables affected:
  - o s11c2q2a
  - o s11c2q7a
  - o s11c2q11a
  - o s11c2q16a

#### Section 11d: FERTILIZER ACQUISITION

- <u>Description:</u> A data entry error was identified whereby fertilizer amounts were entered without a decimal point. This resulted in all use amounts being inflated by a factor of 100. The relevant observations were identified and corrected.
- Relevant file:
  - o sect11d\_plantingw2
- Variables affected:
  - o s11dq4
  - o s11dq16
  - o s11dq28

#### **Post Planting - Community:**

#### Section 2: FOOD PRICES

- <u>Description:</u> Another instance where improper units were specified/entered. In most cases, grams or centilitres were recorded when in fact the prices are for kilograms of litres. The appropriate observations were identified and corrected.
- Relevant file:
  - o sectc2\_plantingw2
- Variables affected:
  - $\circ$  c2q2

#### Post Harvest - Household:

#### Section 1: HOUSEHOLD ROSTER

- <u>Description:</u> Missing information on where members had moved within Nigeria corrected. There were several cases where the LGA name (s1q31a) was given but a corresponding LGA code (s1q31b) was missing or did not match the name. There were similar cases for State name (s1q31c) and code (s1q31d). LGA and State codes were added or corrected according to the name specified. Consistency between LGA and State was also checked, but was not always able to be corrected.
- Relevant file:
  - o sect1\_harvestw2
- Variables affected:
  - o s1q31a
  - o s1q31b
  - o s1q31c
  - o s1q31d

#### Section 10b: FOOD EXPENDITURE

- <u>Description:</u> Several observations were identified which had incorrectly specified units. In most instances, grams/centilitres were recorded when the values are actually in terms of kilograms/litres. There were also some specific observations with obvious errors regarding the amount of food consumed, purchased, etc.
- Relevant file:
  - o sect10b\_harvestw2
- Variables affected:
  - o s10bq2a
  - o s10bq2b
  - o s10bq3a
  - o s10bq3b
  - o s10bq5a
  - o s10bq5b
  - o s10bq6a
  - o s10bq6b
  - o s10bq7b

#### **Miscellaneous:**

Food Nonstandard Unit Conversion files:

- <u>Description:</u> There was an error in the nonstandard unit conversion rate for small derica for all food items.
- Relevant files:
  - o food\_conv\_w2pp
  - o food\_conv\_w2ph
- Variables affected:
  - o conv

## February 2015 Updates

## **Post-Planting - Agriculture:**

Section 11h: MARKETING

- <u>Description</u>: A data entry error was identified whereby all quantities were entered without a decimal point. This resulted in most amounts being inflated by a factor of 100. The relevant observations were identified and corrected.
- Relevant file:
  - o sect11h\_plantingw2
- Variables affected:
  - o s11hq2a
  - o s11hq5a
  - o s11hq12a
  - o s11hq17a

- o s11hq18a
- o s11hq19a
- o s11hq20a
- o s11hq24a

#### **Post-Planting - Household:**

#### Section 1: HOUSEHOLD ROSTER

- <u>Description:</u> Information on whether the individual was still a member of the household, a new member of the household, and why they were no longer a member was missing for several households interviewed in the tracking phase. This information has now been included.
- Relevant file:
  - o sect1\_plantingw2
- Variables affected:
  - o s1q4
  - o s1q5
  - o s1q29
  - o s1q29b

#### Post-Harvest - Household:

#### Section 1: HOUSEHOLD ROSTER

- <u>Description:</u> In previous versions, there were a relatively large number of persons who had no response indicating whether they were still a member of the household (question 14). The cases where this question was missing were investigated and corrected. It was discovered that the majority of these cases were persons who had left the household prior to the post-planting visit. There were also some persons who did not leave prior to post-planting but whose status could be determined from other information in the post-harvest data. Where possible, missing values were filled when the individual's status could be determined. For a few members, the reason for visit was given in the text of the person's name. For these cases, the reason for leaving (question 28) was also filled.
- Relevant file:
  - o sect1 harvestw2
- Variables affected:
  - o s1q14
  - o s1q28

#### Section 2a, 2b, 3a, 3b, 4a, 4b, 5, and 6: INDIVIDUAL LEVEL SECTIONS

• <u>Description</u>: Following the changes to s1q14 in section 1 described above, observations in these sections for individuals that (1) were determined to no longer be members of the household AND (2) had no information in the sections were dropped. Observations with any information were kept even if they reported to no longer be members of the

household. We allow the user to decide whether these observations should be dropped or not.

- Relevant files:
  - o sect2a harvestw2
  - o sect2b\_harvestw2
  - o sect3a\_harvestw2
  - o sect3b\_harvestw2
  - o sect4a harvestw2
  - o sect4b\_harvestw2
  - o sect5 harvestw2
  - o sect6\_harvestw2

#### **Miscellaneous:**

Household and individual status files:

- <u>Description:</u> Two variables were added to both files that indicate whether the household had moved between wave 1 and the relevant visit (post-planting or post-harvest). This variable is only relevant for households that were located and interviewed. Those households that moved but were not located or interviewed are excluded.
- Relevant files:
  - o HHTrack
  - o PTrack
- Variables affected:
  - o moved\_w2v1 (new)
  - o moved w2v2 (new)

#### Household status file:

- <u>Description</u>: The household status variable was altered to better identify households that were tracked. Previously, tracked households were reported as interviewed, but a new category was added for households that were tracked and interviewed (value 5).
- Relevant files:
  - HHTrack
- Variables affected:
  - o hhstatus w2v1
  - $\circ$  hhstatus\_w2v2

#### Person status file:

- <u>Description:</u> The person status file was updated to reflect the changes to post-planting and post-harvest section 1 described above.
- Relevant files:
  - o PTrack
- Variables affected:
  - o pstatus\_w2v1
  - o pstatus\_w2v2

#### Basic Information Document:

• <u>Description:</u> Table 3.1 in the BID had incorrect information on the number of tracked households in wave 2. The correct information was added. An additional category was added to the table for households interviewed in the main phase of the survey (not the tracking phase). An additional description of the *tracked\_obs* variable in the data was added to section 6.

## **January 2019 Updates**

#### Consumption Aggregates

• Annual consumption expenditures added for each visit (cons\_agg\_wave2\_visit1.dta and cons\_agg\_wave2\_visit2.dta). Documentation on the methodology and data sets can be found in Appendix 7.

#### Household Weights

- A minor discrepancy in the household counts used in calculation of the weights in several EAs was discovered and corrected.
- <u>Variables affected:</u> wt\_w2v1, wt\_w2v2, wt\_wave2, wt\_combined, wt\_w2\_w3, & wt\_w1\_w2\_w3

## **Appendix 3: Agriculture Land Conversion Factors**

The table below shows the conversion factors used to convert self-reported land areas (for agricultural land area of crops planted and harvested) into hectares.

#### **General Conversion Factors to Hectares**

		Conversion
Zone	Unit	Factor
All	Plots	0.0667
All	Acres	0.4
All	Hectares	1
All	Sq Meters	0.0001

# **Zone Specific Conversion Factors to Hectares**

Zone	Conversion Factor					
Zone	Heaps	Ridges	Stands			
1	0.00012	0.0027	0.00006			
2	0.00016	0.004	0.00016			
3	0.00011	0.00494	0.00004			
4	0.00019	0.0023	0.00004			
5	0.00021	0.0023	0.00013			
6	0.00012	0.00001	0.00041			

Note: All conversion is to Hectares

## **Appendix 4: Crop Codes**

CROP	CODE	CROP	CODE	CROP	CODE
BEANS/COWPEA	1010	GINGER	2100	COCOA	3040
CASSAVA OLD	1020	GINGER PEELED	2101	COCOA POD	3041
COCOYAM	1040	GINGER SPLIT	2102	COCOA BEANS	3042
COTTON	1050	OTHER SPICES/VANILA	2103	COCONUT	3050
SEED COTTON	1051	GUM ARABIC	2110	COFFE	3060
COTTON LINT	1052	OKRO	2120	COFFE ARABICA	3061
COTTON SEED	1053	ONION	2130	COFFEE ROBUSTER	3062
GROUND NUT/PEANUTS	1060	PEPPER	2140	DATE PALM	3070
UNSHELLED GROUND NUTS	1061	SWEET PEPPER	2141	GRAPE FRUIT	3080
SHELLED GROUND NUTS	1062	SMALL PEPPER	2142	GUAVA	3090
GUINEA COURN/SORGHUM	1070	ATARE	2143	JUTE	3100
MAIZE	1080	PIGEON PEA	2150	KOLANUT	3110
UNSHELLED MAIZE(COB)	1081	PINEAPPLE	2160	KOLANUT UNSHELLED	3111
SHELLED MAIZE(GRAIN)	1082	PLANTAIN	2170	KOLANUT SHELLED	3112
POP CORN MAIZE	1083	POTATO	2180	BITTER KOLA	3113
MELON	1090	SWEET POTATO	2181	LEMON	3120
UNSHELLED MELON	1091	PUMPKIN	2190	LIME	3130
SHELLED MELON	1092	PUMPKIN LEAVE	2191	LOCUST BEAN	3140
WATER MELON	1093	PUMPKIN FRUIT	2192	MANDARIN/TANGERINE	3150
MILLET/MAIWA	1100	PUMPKIN SEED	2193	MANGO	3160
RICE	1110	GREEN VEGETABLE	2194	ORANGE	3170
UNSHELLED RICE(PADDY)	1111	DRY LEAVES(KUKA)	2195	OIL PALM TREE	3180
SHELLED RICE(MILLED)	1112	RIZGA	2200	FRESH FRUIT BUNCH	3181
YAM	1120	SHEA NUTS	2210	FRESH NUT	3182
WHITE YAM	1121	SOYA BEANS	2220	PALM OIL	3183
YELLOW YAM	1122	SUGAR CANE	2230	PALM KERNEL	3184
WATER YAM	1123	TEA	2240	AGBONO(ORO SEED)	3190
THREE LEAVE YAM	1124	TOBACCO	2250	OIL BEAN	3200
ACHA	2010	TOMATO	2260	PAWPAW	3210
BAMBARA NUT	2020	WALNUT	2270	PEAR	3220
BANANA	2030	WHEAT	2280	AVOCADO PEAR	3221
BEENI-SEED/SESAME	2040	ZOBO	2290	RUBBER	3230
CARROT	2050	ZOBO SEED	2291	RUBBER LUMP	3231
CUCUMBER	2060	APPLE	3010	RUBBER SHEET	3232
CABBAGE	2070	CASHEW	3020	CHERRY(AGBALUMO)	3240
LETUS	2071	CASHEW FRUIT	3021	ERU	3250
GARDEN EGG	2080	CASHEW NUT	3022	IYERE	3260
GARLIC	2090	CHILLI	3030		

## **Appendix 5: Confidential Information, Geospatial Variables**

The GHS-Panel collects confidential information on respondents. The confidential variables pertain to (i) names of the respondents to the household and community questionnaires, (ii) village and constituency names, (iii) descriptions of household dwelling and agricultural plot locations, (iv) phone numbers of household members and their reference contacts, (v) GPS-based household and agricultural plot locations, (vi) names of the children of the head/spouse living elsewhere, (vii) names of the deceased household members, (viii) names of individuals listed in the network roster, and (ix) names of field staff. To maintain the confidentiality of our respondents, certain parts of the GHS-Panel database have not been made publicly available.

To enhance the GHS-Panel data, a set of geospatial variables has been generated using the georeferenced plot and household locations in conjunction with various geospatial databases that were available to the survey team. These include simple measures of distance, climatology, soil and terrain and other environmental factors. The variables are intended to provide some understanding of how geophysical characteristics vary across households and between communities.

All geospatial variables have been produced using the unmodified GPS data. Most of the underlying datasets are static (with exception of time-series), so the values should be largely unchanged relative to year 1, for non-mover households. Note that there may be some variation due to GPS data entry error, differences in data collection procedure, and technical limitations of the device. Geospatial variables are provided in 2 separate files: NGA\_PlotGeovariables\_Y2 and NGA\_HouseholdGeovariables\_Y2.

#### NGA\_PlotGeovariables\_Y2

The household plot-level file, *NGA\_PlotGeovariables\_Y2*, contains four variables measuring plot distance to household, slope of plot, elevation of plot and plot potential wetness index. The observations are uniquely identified by the combination of **hhid plotid**. The observations included in this file are plots that are owned and/or cultivated by the household and that have been visited for GPS-based land area measurement.

Coordinates of the plots are not included.

#### NGA\_HouseholdGeovariables\_Y2

The household-level file, NGA\_HouseholdGeovariables\_Y2, contains a range of variables measuring (on the basis of the household dwelling) distance to other features, climatology, landscape typology, soil and terrain, and growing season parameters. The observations are uniquely identified by **hhid**.

This file also contains modified GPS coordinates, which enables users to generate their own spatial variables while preserving the confidentiality of sample household and communities. Following the method developed for the Measure DHS program, the coordinate modification strategy relies on random offset of cluster center-point coordinates (or average of household

GPS locations by EA in GHS-Panel) within a specified range determined by an urban/rural classification. For urban areas a range of 0-2 km is used. In rural areas, where communities are more dispersed and risk of disclosure may be higher, a range of 0-5 km offset is used. An additional 0-10 km offset for 1% of rural clusters effectively increases the known range for all rural points to 10 km while introducing only a small amount of noise. Offset points are constrained at the state level, so that they still fall within the correct state for spatial joins, although boundary precision may be an issue for clusters located very close to the border.

In the second wave of panel data collection some households are tracked to a new location. These include both local and long-distance moves, although a majority of tracked households are within 5 km of the original location. The public coordinates for new locations that are within 5 km of the original household location remain unchanged (modified coordinates of original sample EA). The public coordinates of tracked households that are more than 5 km from original location are assigned a new offset location, according to the method described above. Additionally, the distance from original location is provided for tracked households with new locations.

The result is a set of coordinates, representative at the cluster level, that fall within known limits of accuracy. Users should take into account the offset range when considering different types of spatial analysis. Analysis of the spatial relationships between locations in close proximity would not be reliable. However, spatial queries using medium or low resolution datasets should be minimally affected by the offsets. Zonal statistics (average or range of values within an area corresponding to the known range) could help minimize the effect of offsets when combining with large scale data or high resolution grids with a high degree of local variation.

The tables below provide the name, type, source, reference period, resolution, and description of each variable. With the exception of 3 distance variables (dist\_road2, dist\_popcenter2, dist\_borderpost2), the source data are unchanged. The three distance variables have been updated using more reliable spatial datasets.

Table A4.1 NGA\_PlotGeovariables\_Y2

Theme	Source Source	Dataset Title	Variable Name	Variable Type	Reference Period	Resolution	Description	Web
Distance	LSMS-ISA	Plot Distance to Household	dist_household	Continuous	N/A	N/A	Plot distance to household	
	NASA	SRTM 90m	srtm_nga	Continuous	N/A	0.000833 dd	Elevation (m)	ftp://xftp.jrc.it/pub/srtmV 4/arcasci/
	USGS	Slope (percent)	srtmslp_nga	Continuous	N/A	0.000833 dd	Derived from unprojected 90m SRTM using DEM Surface Tools	http://pubs.usgs.gov/of/20 07/1188/, data provided USGS upon request
Soil & Terrain	AfSIS	Topographic Wetness Index	twi_nga	Continuous	N/A	0.000833 dd	Downloaded from AfSIS website. Derived from modified 90m SRTM. Local upslope contributing area and slope are combined to determine the potential wetness index: WI = ln (A s / tan(b)) where A s is flow accumulation or effective drainage area and b is slope gradient.	http://www.ciesin.columb ia.edu/afsis/bafsis_fullma p.htm#

Table A4.2 NGA\_HouseholdGeovariables\_Y2

Theme	Source Source	eholdGeovariable Dataset Title	Variable Name	Variable Type	Reference Period	Resolution	Description	Web
	FERMA	Household Distance to Main Road	dist_road2	Continuous	2013	N/A	Household distance to nearest federal road included in FERMA survey, 2013	
	WorldCities	Household Distance to Towns	dist_popcenter2	Continuous	2012	N/A	Population for cities of > 20,000 listed in worldcities database, c. 2012	http://www.worldcities.us/ni geria_cities/
Distance	USAID FEWSNET	Household Distance to Key Market Centers	dist_market	Continuous	N/A	N/A	Household distance to nearest major market (FEWSNET key market centers)	
	GoogleEarth and other map sources	Household Distance to Border Posts	dist_borderpost 2	Continuous	N/A	N/A	Household distance to nearest border post on main road, primary crossings only	
	Wikipedia and other map sources	Household Distance to State Capital	dist_admctr	Continuous	N/A	N/A	Household distance to to the capital of the State of residence	
	UC Berkeley	WorldClim Bioclimatic Variables	af_bio_1	Continuous	1960-1990	0.008333 dd	Average annual temperature calculated from monthly climatology, multiplied by 10 (°C)	http://www.worldclim.org/bi oclim
logy	UC Berkeley	WorldClim Bioclimatic Variables	af_bio_8	Continuous	1960-1990	0.008333 dd	Average temperature of the wettest quarter, from monthly climatology, multiplied by 10. (°C)	http://www.worldclim.org/bi oclim
Climatology	UC Berkeley	WorldClim Bioclimatic Variables	af_bio_12	Continuous	1960-1990	0.008333 dd	Total annual precipitation, from monthly climatology (mm)	http://www.worldclim.org/bioclim
	UC Berkeley	WorldClim Bioclimatic Variables	af_bio_13	Continuous	1960-1990	0.008333 dd	Precipitation of wettest month, from monthly climatology (mm)	http://www.worldclim.org/bioclim
	UC Berkeley	WorldClim Bioclimatic Variables	af_bio_16	Continuous	1960-1990	0.008333 dd	Precipitation of wettest quarter, from monthly climatology (mm)	http://www.worldclim.org/bi oclim
Landscape Typology	ESA and UC Louvain	GlobCover v 2.3	fsrad3_lcmaj	Categorical	2009	0.002778 dd	Majority landcover class within approximately 1km buffer	http://ionial.esrin.esa.int/

Theme	Source	Dataset Title	Variable Name	Variable Type	Reference Period	Resolution	Description	Web
	ESA and UC Louvain	GlobCover v 2.3	fsrad3_agpct	Continuous	2009	0.002778 dd	Percent under agriculture within approx 1 km buffer	http://ionia1.esrin.esa.int/
	IFPRI	IFPRI standardized AEZ based on elevation, climatology	ssa_aez09	Categorical		0.008333 dd	Agro-ecological zones created using WorldClim climate data and 0.0833dd resolution LGP data from IIASA.	http://harvestchoice.org/prod uction/biophysical/agroecolo gy
	NASA	SRTM 90m	srtm_nga	Continuous		0.000833 dd	Elevation (m)	ftp://xftp.jrc.it/pub/srtmV4/a rcasci/
	USGS	Slope (percent)	slopepct_nga	Continuous		0.008333 dd	Derived from 90m SRTM, aggregated to 1km block	http://pubs.usgs.gov/of/2007/ 1188/, data provided USGS upon request
Soil & Terrain	AfSIS	Topographic Wetness Index	twi_nga	Continuous		0.000833 dd	Downloaded from AfSIS website. Derived from modified 90m SRTM. Local upslope contributing area and slope are combined to determine the potential wetness index: WI = ln (A s / tan(b)) where A s is flow accumulation or effective drainage area and b is slope gradient.	http://www.ciesin.columbia. edu/afsis/bafsis_fullmap.htm #
Soil	LSMS-ISA	Terrain Roughness	srtm_nga_5_15	Categorical		0.000833 dd	Derived from 90m SRTM using 15 Meybeck relief classes and 5x5 pixel neighborhood	
	FAO	Harmonized World Soil Database	SQ1	Categorical		0.083333 dd	Nutrient availability	http://www.iiasa.ac.at/Resea rch/LUC/External-World- soil-database/HTML/
	FAO	Harmonized World Soil Database	SQ2	Categorical		0.083333 dd	Nutrient retention capacity	http://www.iiasa.ac.at/Resea rch/LUC/External-World- soil-database/HTML/
	FAO	Harmonized World Soil Database	SQ3	Categorical		0.083333 dd	Rooting conditions	http://www.iiasa.ac.at/Resea rch/LUC/External-World- soil-database/HTML/
	FAO	Harmonized	SQ4	Categorical		0.083333	Oxygen availability to	http://www.iiasa.ac.at/Resea

Theme	Source	Dataset Title	Variable Name	Variable Type	Reference Period	Resolution	Description	Web
		World Soil Database				dd	roots	rch/LUC/External-World- soil-database/HTML/
	FAO	Harmonized World Soil Database	SQ5	Categorical		0.083333 dd	Excess salts	http://www.iiasa.ac.at/Resea rch/LUC/External-World- soil-database/HTML/
	FAO	Harmonized World Soil Database	SQ6	Categorical		0.083333 dd	Toxicity	http://www.iiasa.ac.at/Resea rch/LUC/External-World- soil-database/HTML/
	FAO	Harmonized World Soil Database	SQ7	Categorical		0.083333 dd	Workability (constraining field management)	http://www.iiasa.ac.at/Resea rch/LUC/External-World- soil-database/HTML/
	NOAA CPC	Rainfall Estimates (RFE)	anntot_avg	Continuous	2001-2012	0.1 dd	Average 12-month total rainfall (mm) for Jan-Dec	ftp://ftp.cpc.ncep.noaa.gov/fews/newalgo_est_dekad/
ers	NOAA CPC	Rainfall Estimates (RFE)	wetQ_avg	Continuous	2001-2012	0.1 dd	Average total rainfall in wettest quarter (mm) within 12-month periods from Jan-Dec	ftp://ftp.cpc.ncep.noaa.gov/f ews/newalgo_est_dekad/
Crop Season Parameters	NOAA CPC	Rainfall Estimates (RFE)	wetQ_avgstart	Continuous	2001-2012	0.1 dd	Average start of wettest quarter in dekads 1-36, where first dekad of Jan =1	ftp://ftp.cpc.ncep.noaa.gov/f ews/newalgo_est_dekad/
Crop	NOAA CPC	Rainfall Estimates (RFE)	h2012_tot	Continuous	2012	0.1 dd	12-month total rainfall (mm) in Jan-Dec, starting January 2012	ftp://ftp.cpc.ncep.noaa.gov/f ews/newalgo_est_dekad/
	NOAA CPC	Rainfall Estimates (RFE)	h2012_wetQ	Continuous	2012	0.1 dd	Total rainfall in wettest quarter (mm) within 12- month periods starting January 2012	ftp://ftp.cpc.ncep.noaa.gov/f ews/newalgo_est_dekad/

Theme	Source	Dataset Title	Variable Name	Variable Type	Reference Period	Resolution	Description	Web
	NOAA CPC	Rainfall Estimates (RFE)	h2012_wetQstar t	Continuous	2012	0.1 dd	Start of wettest quarter in dekads 1-36, where first dekad of January 2012 =1	ftp://ftp.cpc.ncep.noaa.gov/f ews/newalgo_est_dekad/
	BU	MOD12Q2 Land Cover Dynamics (PHENOLOGY )	eviarea_avg	Continuous	2001-2012	0.004176 dd	Average total change in greenness (integral of daily EVI values) within growing season, averaged by state	ftp://e4ftl01.cr.usgs.gov/MO TA/MCD12Q2.005
		MOD12Q2 Land Cover Dynamics (PHENOLOGY	evimax_avg	Continuous	2001-2012	0.004176 dd	Average EVI value at peak of greenness, averaged by state	ftp://e4ftl01.cr.usgs.gov/MO TA/MCD12Q2.005
	BU	MOD12Q2 Land Cover Dynamics (PHENOLOGY	grn_avg	Continuous	2001-2012	0.004176 dd	Average timing of onset of greenness increase in day of year 1-356, within early growing season, averaged by state	ftp://e4ftl01.cr.usgs.gov/MO TA/MCD12Q2.005
	BU	MOD12Q2 Land Cover Dynamics (PHENOLOGY	sen_avg	Continuous	2001-2012	0.004176 dd	Average timing of onset of greenness decrease in day of year 1-356, within growing season, averaged by state	ftp://e4ftl01.cr.usgs.gov/MO TA/MCD12Q2.005
	BU	MOD12Q2 Land Cover Dynamics (PHENOLOGY )	h2012_eviarea	Continuous	2012	0.004176 dd	Total change in greenness (integral of daily EVI values) within growing season of 2012, averaged by state	ftp://e4ftl01.cr.usgs.gov/MO TA/MCD12Q2.005
		MOD12Q2 Land Cover Dynamics (PHENOLOGY )	h2012_evimax	Continuous	2012	0.004176 dd	EVI value at peak of greenness within growing season of 2012, averaged by state	ftp://e4ftl01.cr.usgs.gov/MO TA/MCD12Q2.005

Theme	Source	Dataset Title	Variable Name	Variable Type	Reference Period	Resolution	Description	Web
	BU	MOD12Q2 Land Cover Dynamics (PHENOLOGY	h2012_grn	Continuous	2012	0.004176 dd	Onset of greenness increase in day of year 1-356, within growing season of 2012, averaged by state	ftp://e4ftl01.cr.usgs.gov/MO TA/MCD12Q2.005
	BU	MOD12Q2 Land Cover Dynamics (PHENOLOGY )	h2012_sen	Continuous	2012	0.004176 dd	Onset of greenness decrease in day of year 1- 356, within growing season of 2012, averaged by state	ftp://e4ftl01.cr.usgs.gov/MO TA/MCD12Q2.005

## **Appendix 6: Description of Food Photo Files**

**Table A5.1: Description of Food Photo Files from Wave 1 Collection** 

<b>.</b>	Item	noto Files from wave 1 Collection		Specification in th	ne JPG:
			Item if	•	Non standard
File name	Code	Name	other	KGS/liters	unit
i10guineacorn1_5kg	10	Guinea Corn		1.5	One Mudu
i11millet1_7kg	11	Millet		1.7	One Mudu
i12maize1_48kg	12	Maize		1.48	One Mudu
	13;				
i13i14rice1kg	14	Rice - local ; Rice - Imported		1	One Mudu
i17yamflour1_43kg	17	Yam Flour		1.43	
i31yamroots	31	Yam Roots		2.3 3.2 5.2	
i32gariwhite1_25kg	32	Gari White		1.25	One Mudu
i34cocoyam1_4kg	34	Cocoyam		1.4	
i34cocoyam1_73kg	34	Cocoyam		1.73	
i34cocoyam_69kg	34	Cocoyam		0.69	
i36sweetpotatoes	36	Sweet Potatoes		1 2.2 3.6	Неар
i42whitebeans1_35kg	42	White beans		1.35	One Mudu
i43groundnuts1_5kg	43	Groundnut		1.5	One Mudu
			Melon		
i44othMelonseeds_2kg	44	Other nuts/seeds/pulses	Seeds	0.20	Small Derica
			Melon		
i44othMelonseeds_9kg	44	Other nuts/seeds/pulses	Seeds	0.9	Large Deeica
			Melon		
i44othMelonseeds_35kg	44	Other nuts/seeds/pulses	Seeds	0.35	Medium Size
i44othRedbeans1_65	44	Other nuts/seeds/pulses	Red Beans	1.65	One Mudu
i60bananas1_3kg	60	Bananas		1.30	
i60bananas1_55kg	60	Bananas		1.55	
i60bananas3_6kg	60	Bananas		3.60	
i61oranges	61	Oranges		1.2 2.60 4.3	

	Item			Specification in the JPG:			
			Item if				Non standard
File name	Code	Name	other	KGS/	liters		unit
i64pineapples	64	Pineapples		1.90	2.2	2.50	
i66othPawpaw	66	Other fruits	Pawpaw	2.3	3.00	3.4	
i70tomatoes	70	Tomatoes		1.00	2.4	4.50	
i73gardeneggs	73	Garden Eggs		0.4	0.65	0.9	
		Other vegetables (fresh or					
i78othCarrot_1kg	78	canned)	Carrot	0.10			
		Other vegetables (fresh or					
i78othCarrot_05kg	78	canned)	Carrot	0.05			
		Other vegetables (fresh or					
i78othCarrot_17kg	78	canned)	Carrot	0.17			
		Other vegetables (fresh or	Green				
i78othGreenpepper1_5kg	78	canned)	pepper	1.5			
		Other vegetables (fresh or	Green				
i78othGreenpepper1kg	78	canned)	pepper	1.00			
		Other vegetables (fresh or	Green				
i78othGreenpepper_53kg	78	canned)	pepper	0.53			
		Other vegetables (fresh or					
i78othRedpepper	78	canned)	Red pepper	0.80	1.5	4.00	
i83agrieggs2kg	83	Agricultural Eggs		2			Crate
i83agrieggs_1kg	83	Agricultural Eggs		0.10			6 pieces
i83agrieggs_89kg	83	Agricultural Eggs		0.89			12 pieces
							Small, medium,
i103driedfish.jpg	103	Dry Fish		0.30	1.1	1.90	large

**Table A5.1: Description of Food Photo Files from Wave 2 Collection** 

	Item	tem		Speci	fication	in the JPG:	JPG:
			Item if				Non standard
File name	Code	Name	other	KGS/liters			unit
i20maizeunshelledw2	20	Maize (unshelled)		0.25	0.3	0.35	
i25breadw2	25	Millet		0.2	0.3	0.8	
i31yamrootsv2w2	31	Yam - roots		2.2	2.8	3.3	
i34cocoyam1_4kgv2w2	34	Cocoyam		1.4			
i35plantains1_3kgw2	35	Plantains		1.3			
i36sweetpotatoesv2w2	36	Sweet potatoes		0.2	0.45	0.85	
i37potatoes1kgw2	37	Potatoes		1			
i43groundnutsv2w2	43	Groundnut		0.03	0.075	0.1	
i61orangesv2w2	61	Oranges		1.2	2.6	4.3	
i63avocadopearsw2	63	Avocado pears		0.16	0.25	0.3	
i64pineapplesv2w2	64	Pineapples		1.9	2.2	2.5	
i66othPawpawv2w2	66	Other fruits	Paw paw	2.3	3.0	3.4	
i70tomatoesv2w2	70	Tomatoes		0.04	0.05	0.075	
i72onionsw2	72	Onions		0.05	0.1	0.15/0.28	
i73gardeneggs	73	Garden eggs		0.4	0.65	0.9	
i76freshpepperw2	76	Fresh pepper		0.18	0.2		
i100freshfishw2	100	Fresh fish		0.5	1.45		

## **Appendix 7: Summary Description of Consumption Aggregate Construction**

#### Introduction

- 1. **Objective.** This note summarizes the principle and decisions taken to construct the consumption aggregate from Nigeria's General Household Survey Panel "GHS-Panel". The GHS-Panel questionnaire is based on the living standards measurement survey; it is a multi-topic survey that can be used to measure consumption.
- 2. **Survey Structure.** Data collection for the GHS-Panel was carried out in three waves with each household visited twice in each wave. The three waves occurred as follows:

Wave 1: 2010/2011 Wave 2: 2012/2013 Wave 3: 2015/2016

Each wave consisted of two visits to households. Visit 1 data were collected during the months of September, October and November of the calendar year, designated as "post-planting" agricultural season. Visit 2 data were generally collected during the months of February, March and April of the following calendar year – "post-harvest" agricultural season. Households who moved/migrated have been tracked when/if possible. However, it should be noted that Nigeria is a large country with different climatic zones so the designations of post-planting and post-harvest might not always be correct for all parts of the country.

- 3. **Consistency.** Some questionnaire sections or modules were not administered constantly across years and/or visits. For example, Section 8 (housing and utilities) and durables were present in Wave 3-Visit 1, but not in Wave 3-Visit 2. Education expenditures were available in Wave 3-Visit 2, but not in Wave3-Visit 1. Thus, the computation and structure of the consumption aggregate varies slightly year to year (visit to visit) but with the aim of maintaining comparability across the survey waves.
- 4. **Consumption Aggregate Components.** The consumption aggregate is the sum of household consumption expenditures of four groups:
  - (1) Food
  - (2) Education
  - (3) Non-food, including, Clothing, Fuel, Electricity, Utilities, Transportation, Communication, Recreation and Other Services

(4) Imputed Housing Rent

#### **Food**

- 5. **Recall period.** The food module is administered with a recall of the past 7 days.
- 6. **Categories.** The food consumption module covers about 16 general food categories (grains, flour, tubers, cereals, baked food, etc.), and alcohol. Altogether, there are 116 food items (including drinks and alcohol). The survey includes questions on the source of the food consumption, i.e., purchased, own production and from other sources (gift, etc.). In addition, the food consumption component includes the expenses on food and meals consumed away from home.
- 7. **Valuation.** General principle of valuation of food consumption is to use unit values, derived from reported purchases, and quantities consumed from all sources (purchased, own and other sources). There are several challenges in deriving the unit values and consumption expenditures: (i) units of measurement of food from various sources are different (also, the units of measurement are different in various waves/visits); (ii) quantities of food from various sources do not always add up to total quantity consumed; and (iii) either only quantity or value is reported.
- 8. **Unit Values.** To the extent possible the various units of measurement are normalized to the common unit of measurement (i.e., kilogram, liter, etc.). If a household reported a purchase of the food item, the unit values are taken at the household level. In other cases, the unit values represent the median values for specific food item at the smallest strata, that is, enumeration areas ("EAs"), state, zone, country, conditioned that the number of unit values per strata is no less than 30. In cases, when only total food consumption was available (i.e., no information on sources) and when total food consumption was higher than sum of its source components, the total food consumption was taken (i.e., largest value).
- 9. **Annual food consumption.** Food consumption is valued at the level of specific food item. The efforts are made to replace the outliers, i.e., values higher than 3 standard deviation from the mean have been replaced by median values (except in wave 3<sup>4</sup>), which are believed to represent the measurement/data entry errors. Subsequently, the food consumption is annualized by multiplying the 7-day consumption by factor of 52.14. The last step is to aggregate the food consumption to 16 food grouping levels (plus alcohol and food away from home), for purchased and own source food separately.

<sup>4</sup> The questionnaire for Wave 3 was improved to reduce the occurrence of outliers by reducing the application of non-standard units of measurement.

10. **Nominal food consumption** represents the annual value of per capita food consumption in each visit/year, without price (spatial and temporal) adjustments.

#### **Education**

- 11. **Recall period.** The recall period is for one school/academic year.
- 12. **Education expenditures** represent the annual per capita spending on education and related expenses (tuition, books, transport, etc.) in each visit/year, without price (spatial and temporal) adjustments.

#### Non-Food

- 13. **Recall period.** The recall period varies by category and for 7 days, 1 month, 6 months, and 12 months
- 14. **Categories.** GHS-Panel collects data on purchases of an extensive set of non-food items and services:
  - (1) Recreational and culture items: newspapers, magazines, cinemas, etc.
  - (2) Energy: kerosene, liquid gas, diesel, charcoal, etc.
  - (3) Utilities: water, electricity, garbage disposal, etc.
  - (4) Clothing and footwear
  - (5) Personal care items: soaps, cosmetics, vitamins etc.
  - (6) Household goods, maintenance and services: dwelling repairs, small furnishings, domestic services, small electric appliances, etc.
  - (7) Communication: transportation, postal, internet, phone charges, etc.
- 15. **Treatment of large purchases.** Non-food expenditures are valued at purchase or self-reported monetary value. Non-food expenditures exclude large expenses like mortgage, ceremonial spending (funerals, weddings, dowries etc.). Also, given that the questionnaire does not contain information on the initial cost/price of durables (for example, auto, washing machine, air conditioner etc.) the decision was taken to exclude the use value of durables from the consumption aggregate.

- 16. **Reference period.** Various non-food items have different reference period corresponding to the likely frequency of purchases. Depending on the reference period the expenditures are annualized and the efforts are made to replace the outliers (i.e., values higher than 3 standard deviation from mean have been replaced by median values (except in wave 3)). Final step is to disaggregate the non-food expenditures into to 3 groups: (i) fuel and utilities; (ii) transportation and (iii) other non-food.
- 17. **Nominal non-food expenditures** represent the annual value of per capita non-food expenditures in each visit/year, without price (spatial and temporal) adjustments.

## **Housing rent**

- 18. **Recall period.** Recall of paid housing rent of 30 days
- 19. **Imputed rent.** A hedonic regression model is applied to estimate/predict the rent, which could be viewed as monetary flow of services received by household from occupying the dwelling. The dependent variable is actual rent paid, regressed on a set of housing variables like, location, number of rooms, material of roof, material of floor, material of wall, amenities/utilities (toilet, bathroom types, water sources, electricity connection etc.). The imputed rent is predicted value of housing applied to all households and represents the annual per capita value in each visit/year, without price (spatial and temporal) adjustments.

## Weights

**20.** The file contains household, cross-sectional weight variable that directly matched from *HHTrack* file; No post-stratification (i.e. calibration) of weights was carried out.

## **Consumption aggregate**

21. The attached data file contains raw consumption aggregate variable, "totcons", which is calculated as a sum of all food, total education, all non-food expenditures and total imputed rent. Like its components the aggregate is presented in annual, per capita terms for each visit/year, without price (spatial and temporal) adjustments. Important to note, that the GHS-Panel based consumption aggregate is not used for official monitoring of poverty in Nigeria.