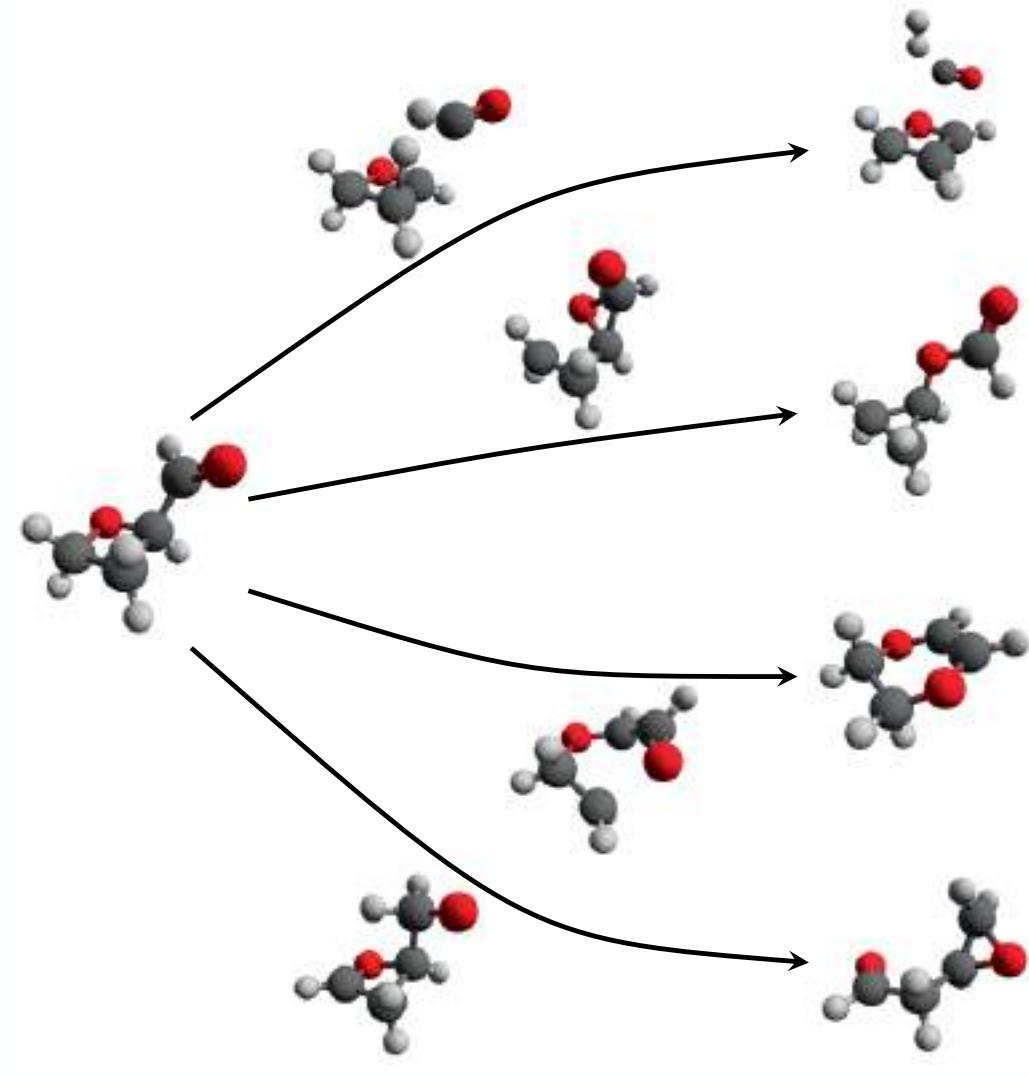


Machine learning fermionic matter

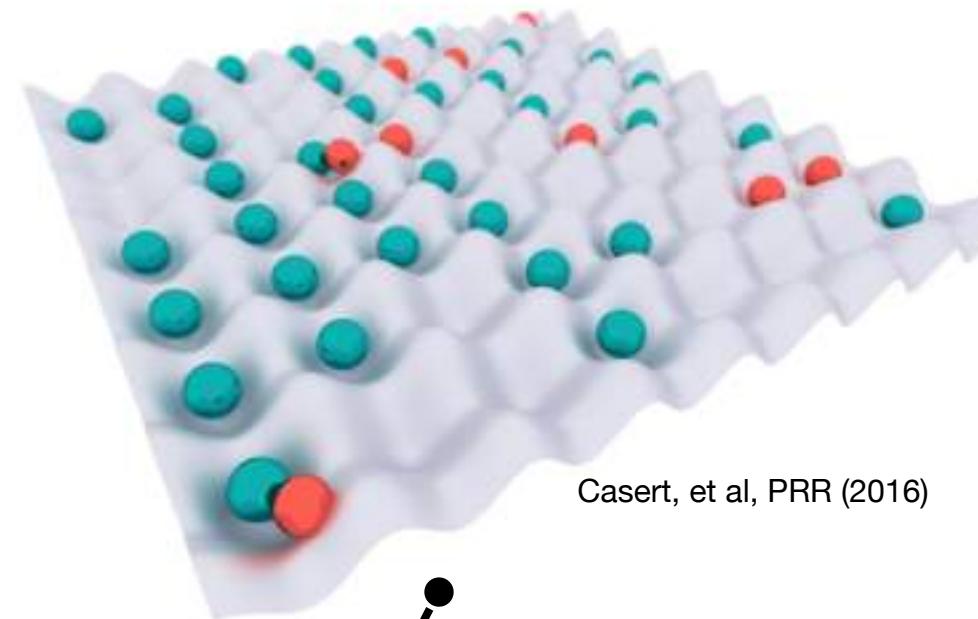
Strongly correlated systems in and out of equilibrium

Jannes Nys (ETH Zürich), 14th November 2024

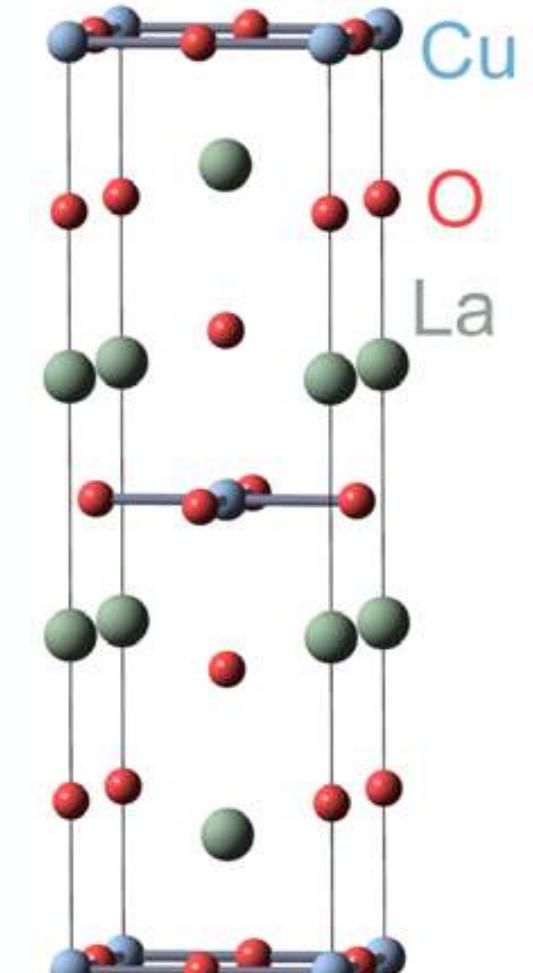




Crambow, et al, Scientific Data (2020)



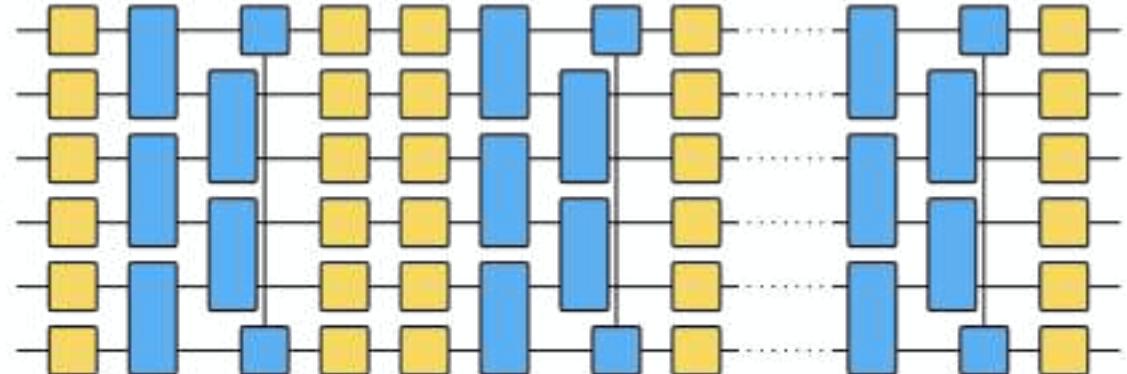
Casert, et al, PRR (2016)



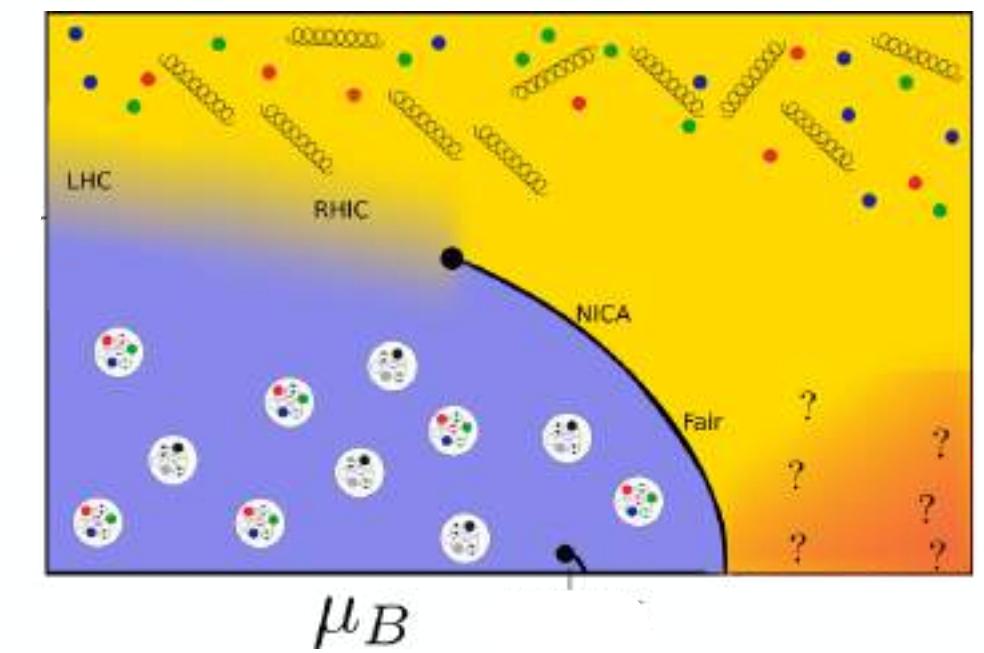
Chen, et al, Rep Prog Phys (2016)

Quantum many-body problem

- Ground states
- Excited states
- Quantum dynamics



T



Guenther, Eur. Phys. J (2021)

Correlations in many-body systems give rise to emergent collective quantum phenomena



- Cannot be described by treating particles independently.

Complexity of quantum physics

Quantum state:

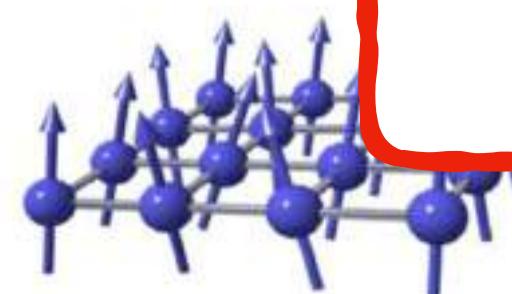
complex vector in Hilbert space: exponential (in # particles) = superposition

Entanglement:

no simple factorization into subsystems: consider entire system

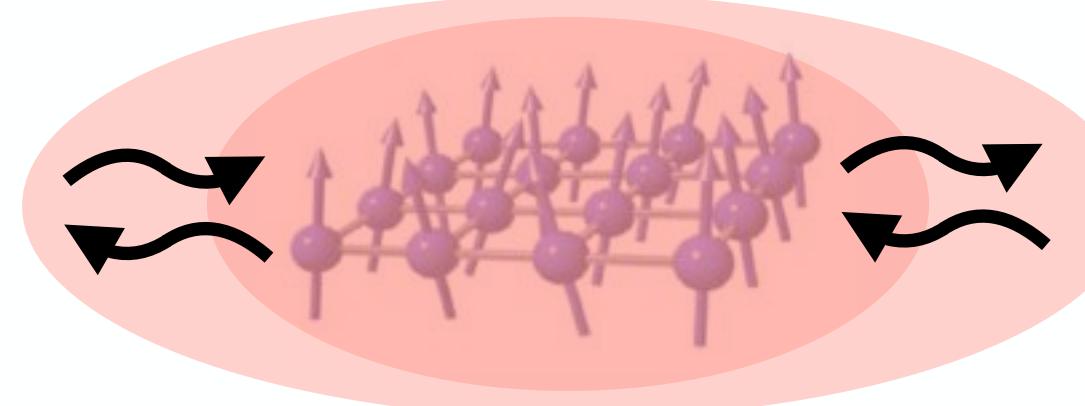
Quantum statistics (Bose-Einstein)

Numerical methods will play a major role in providing answers!



$$s_i \in \{\uparrow, \downarrow\}$$

... spins ≈ 16 petabytes

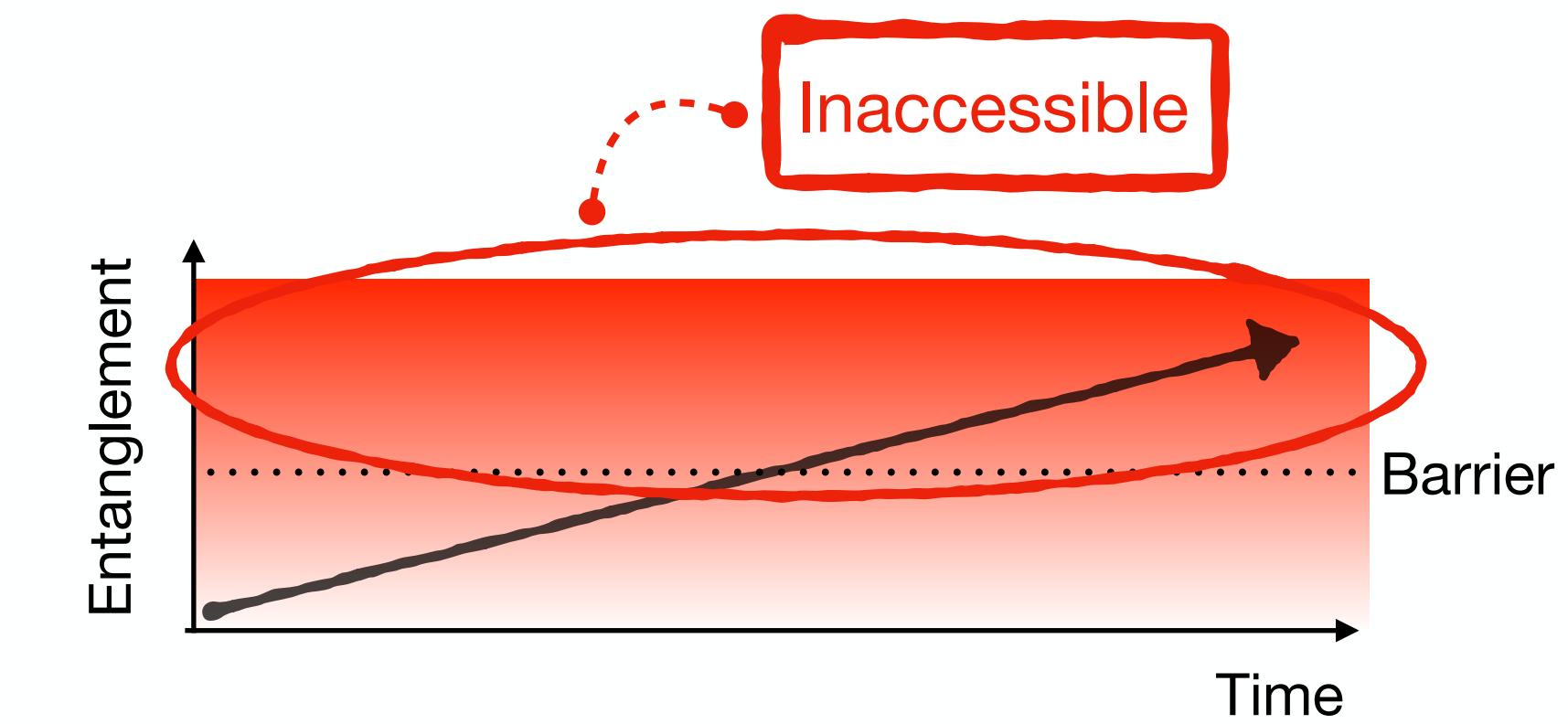
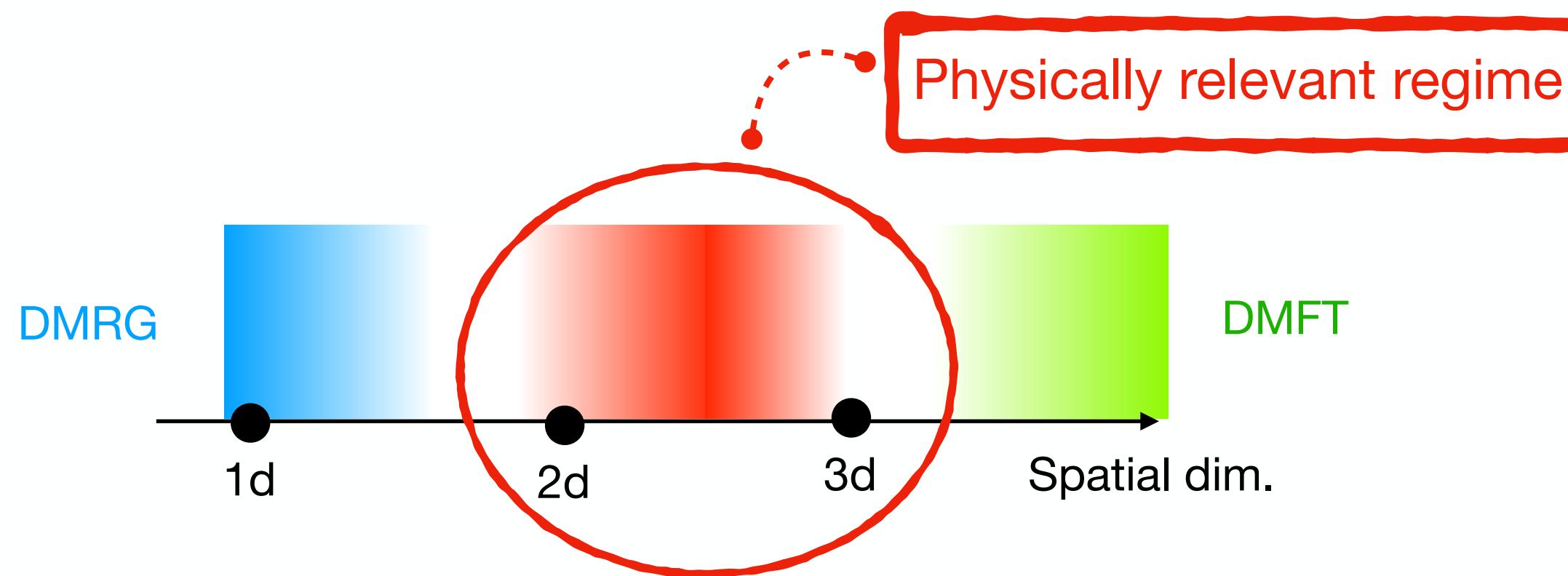


$$\rho = \sum_{s \in \{\uparrow, \downarrow\}^N} \sum_{s' \in \{\uparrow, \downarrow\}^N} \rho(s, s') |s\rangle \langle s'| \in \mathbb{C}^{2^N \times 2^N}$$

Fundamental open questions:

- Dynamics: How do quantum systems thermalize or fail to thermalize?
- Phases of matter in the strongly correlated & frustrated regime?
- Non-equilibrium dynamics of thermal states and behavior under perturbations?
- ...

Strongly correlated quantum systems

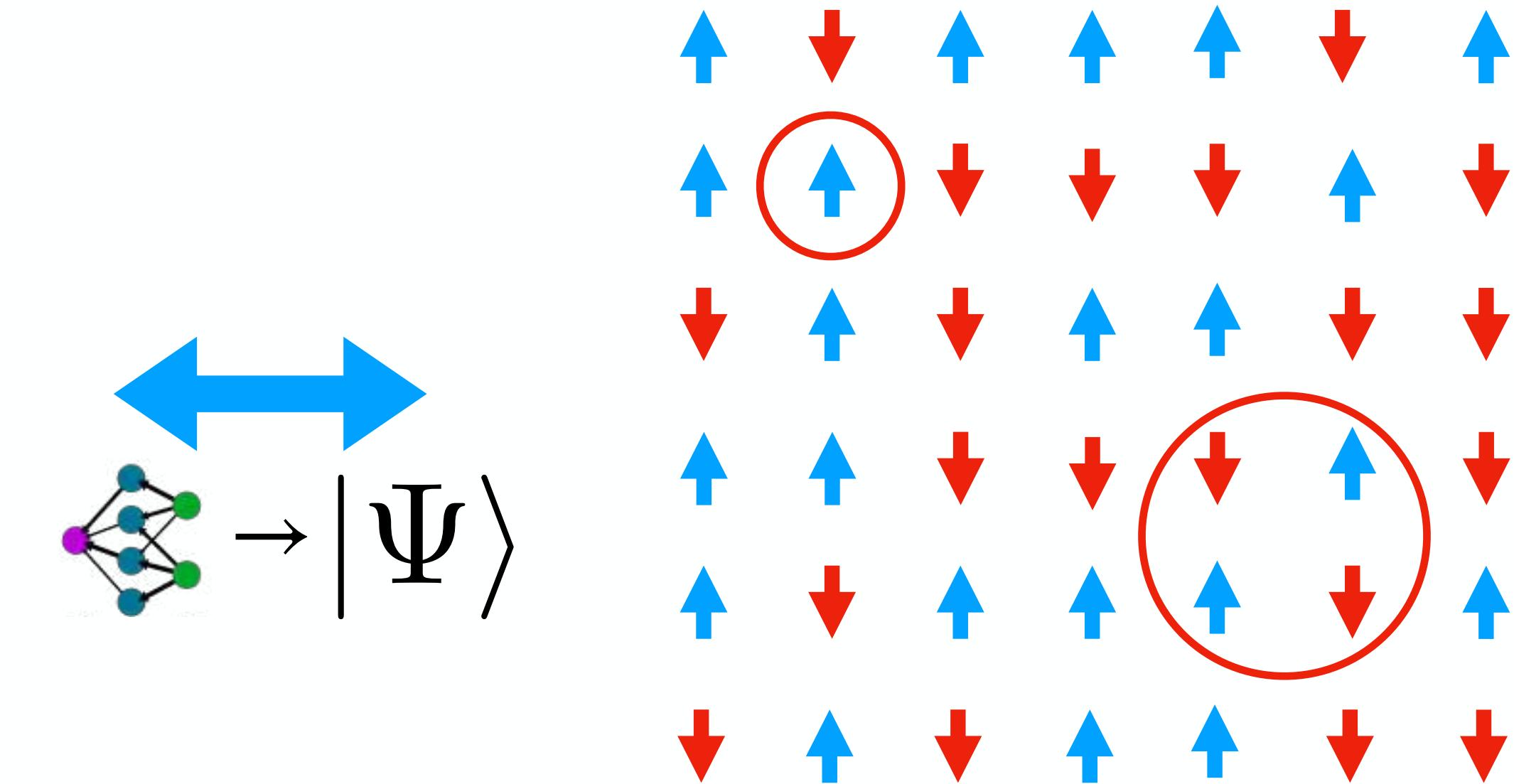
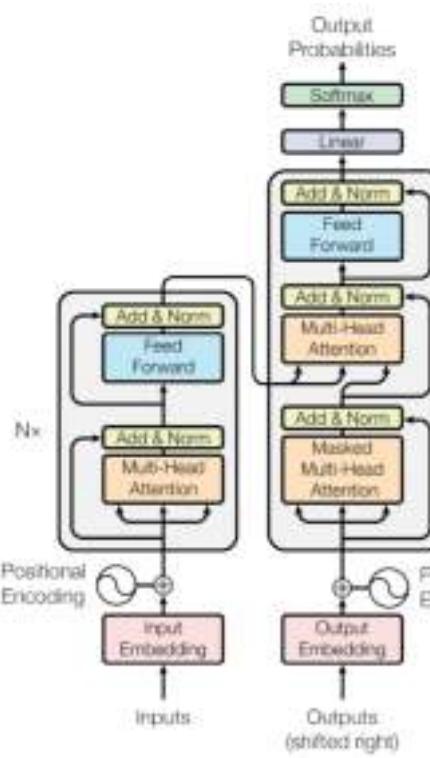
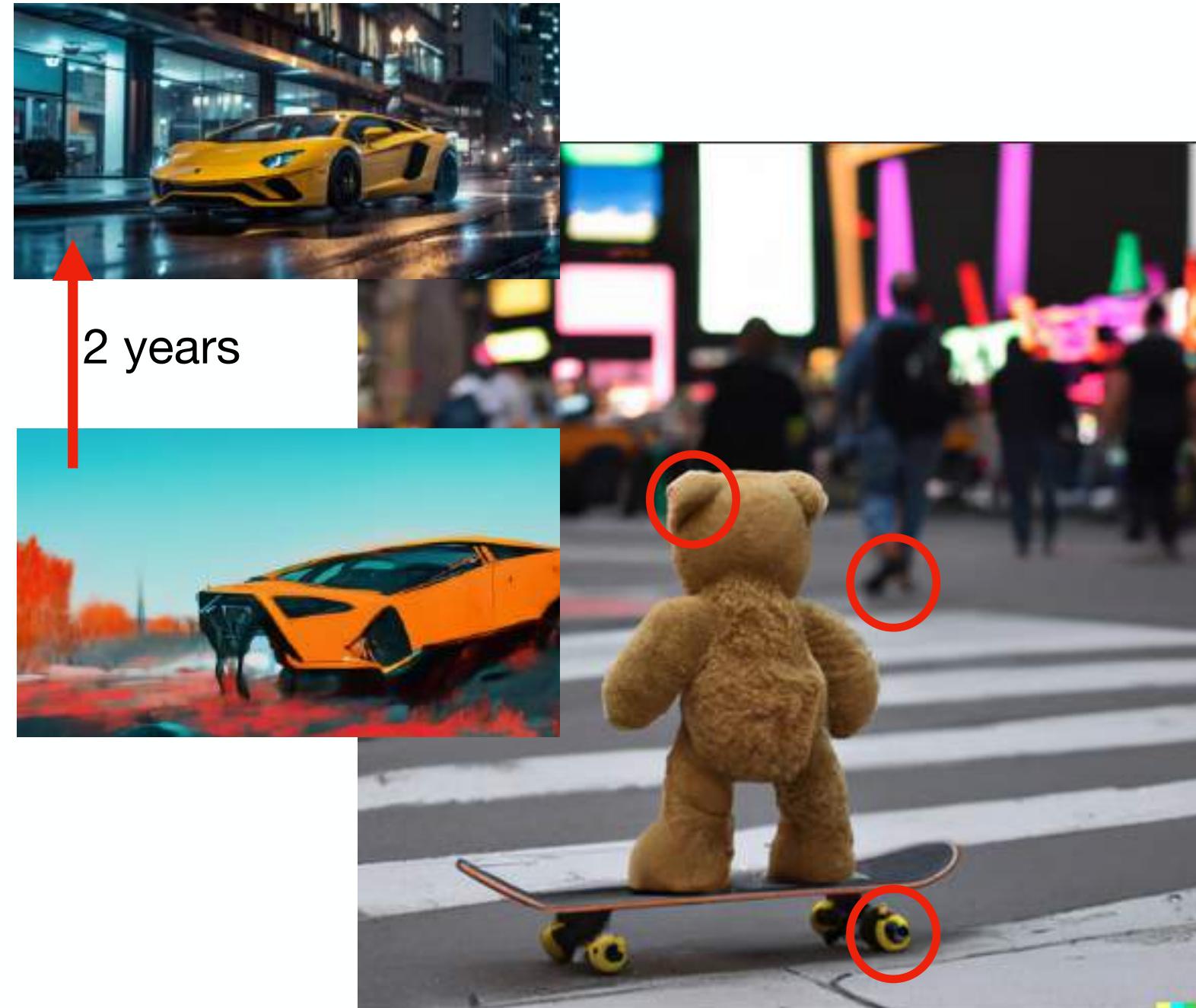


MANY-BODY PHYSICS Science 386.6719 (2024): 296-301

Variational benchmarks for quantum many-body problems

Dian Wu^{1,2}, Riccardo Rossi^{1,3}, Filippo Vicentini^{2,4,5}, Nikita Astrakhantsev⁶, Federico Becca⁷, Xiaodong Cao⁸, Juan Carrasquilla^{9,10}, Francesco Ferrari¹¹, Antoine Georges^{4,5,8,12}, Mohamed Hibat-Allah^{9,13,14,15}, Masatoshi Imada^{16,17,18,19}, Andreas M. Läuchli^{1,20}, Guglielmo Mazzola²¹, Antonio Mezzacapo²², Andrew Millis^{8,23}, Javier Robledo Moreno^{8,24}, Titus Neupert⁶, Yusuke Nomura^{25,26}, Jannes Nys^{1,2}, Olivier Parcollet^{8,27}, Rico Pohle^{17,19}, Imelda Romero^{1,2}, Michael Schmid¹⁷, J. Maxwell Silvester²⁸, Sandro Sorella^{29†}, Luca F. Tocchio³⁰, Lei Wang^{31,32}, Steven R. White²⁸, Alexander Wietek³³, Qi Yang^{31,34}, Yiqi Yang³⁵, Shiwei Zhang⁸, Giuseppe Carleo^{1,2*}

Machine learning & Quantum physics



Neural networks excel in

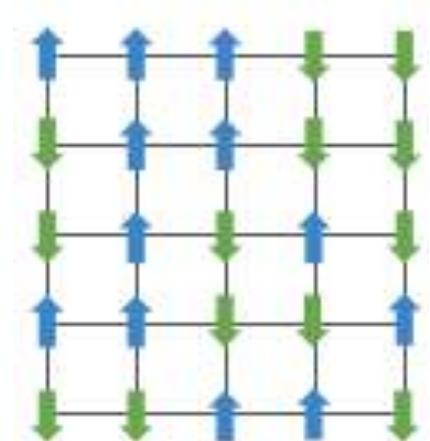
- Compressing high dimensional functions → *large Hilbert space*
- Efficiently representing strong correlations → *strong entanglement*
- Efficient gradients (backprop) → *variational optimization*

Neural representations of quantum states

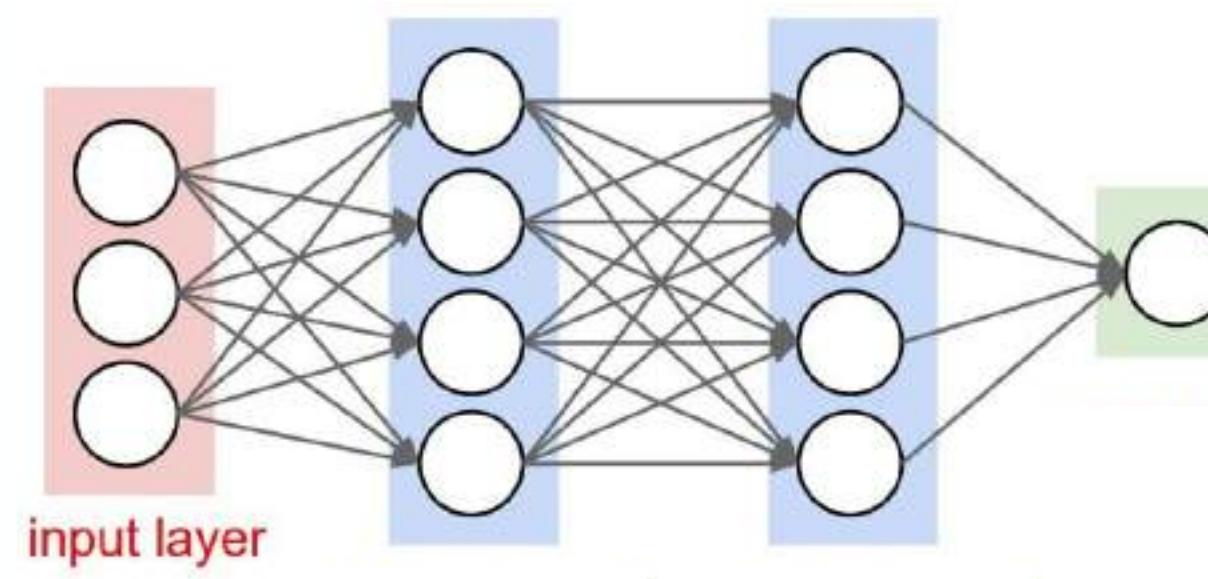
$$|\Psi\rangle = \Psi_{\uparrow,\uparrow,\dots,\uparrow} |\uparrow, \uparrow, \dots, \uparrow\rangle + \Psi_{\uparrow,\uparrow,\dots,\downarrow} |\uparrow, \uparrow, \dots, \downarrow\rangle + \dots + \Psi_{\downarrow,\downarrow,\dots,\downarrow} |\downarrow, \downarrow, \dots, \downarrow\rangle$$

$\bullet \cdots \bullet \in \mathbb{C}$

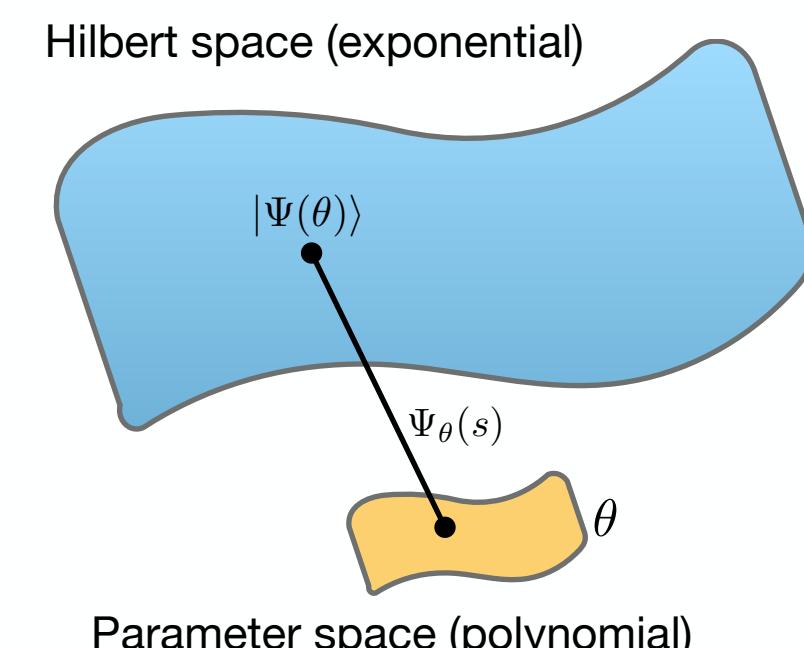
$$|\Psi\rangle = \sum_{s_i \in \{\uparrow, \downarrow\}} \Psi_\theta(s_1, \dots, s_N) |s_1, \dots, s_N\rangle \in \mathbb{C}^{2^N}$$



(s_1, \dots, s_N)

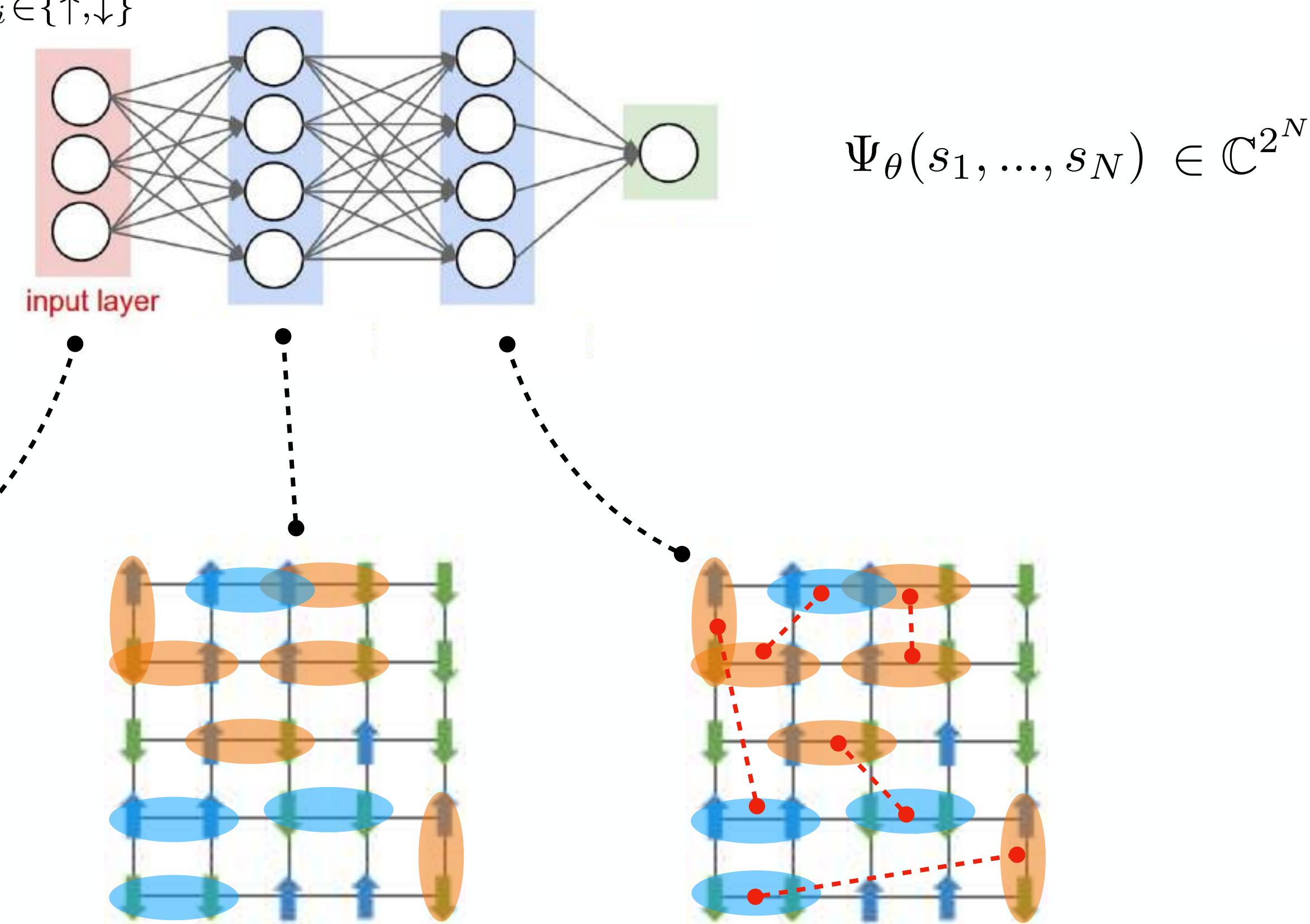


$\Psi_\theta(s_1, \dots, s_N) \in \mathbb{C}$



Neural representations of quantum states

$$|\Psi\rangle = \sum_{s_i \in \{\uparrow, \downarrow\}} \Psi_\theta(s_1, \dots, s_N) |s_1, \dots, s_N\rangle \in \mathbb{C}^{2^N}$$



Neural representations of quantum states

$$|\Psi\rangle = \sum_{s_i \in \{\uparrow, \downarrow\}} \Psi_\theta(s_1, \dots, s_N) |s_1, \dots, s_N\rangle \in \mathbb{C}^{2^N}$$

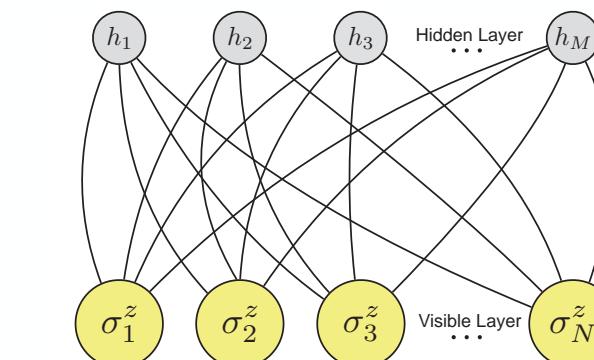
Spin systems: exact for toric code with MLP

Carrasquilla & Melko, Nature Physics, 2017

Spin systems: Restricted Boltzmann Machines

Carleo & Troyer, Science 2017

$$\Psi_\theta(s_1, \dots, s_N) = \frac{1}{Z} \sum_{h_i \in \{\uparrow, \downarrow\}} e^{-E(s, h)}$$



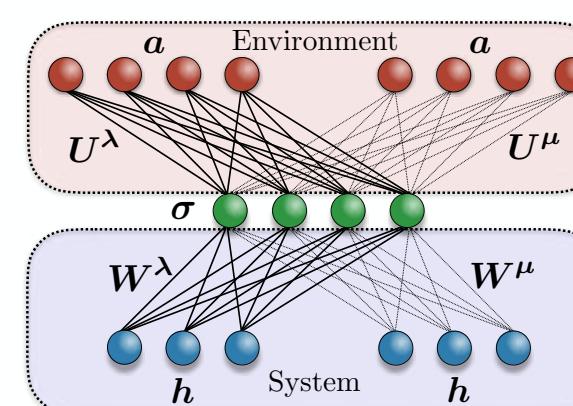
Complex-valued deep neural networks

$$= f_L \circ \dots \circ f_1(s_1, \dots, s_N) \in \mathbb{C}$$

Density matrices

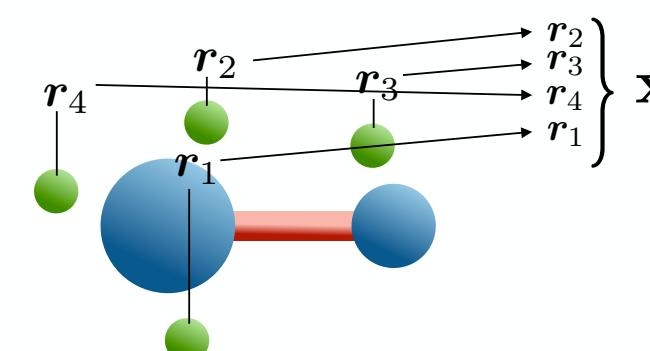
Torlai & Melko, PRL, 2018

$$\rho(s, s') = \sum_{\{h\}} \Psi(s, h) \Psi^*(s', h)$$



Continuous space Pfau, et al, PRR 2020 & Hermann, et al, NatChem (2020)

$$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_N) = \text{NN}(\mathbf{r}_1, \dots, \mathbf{r}_N)$$



Schrödinger equation

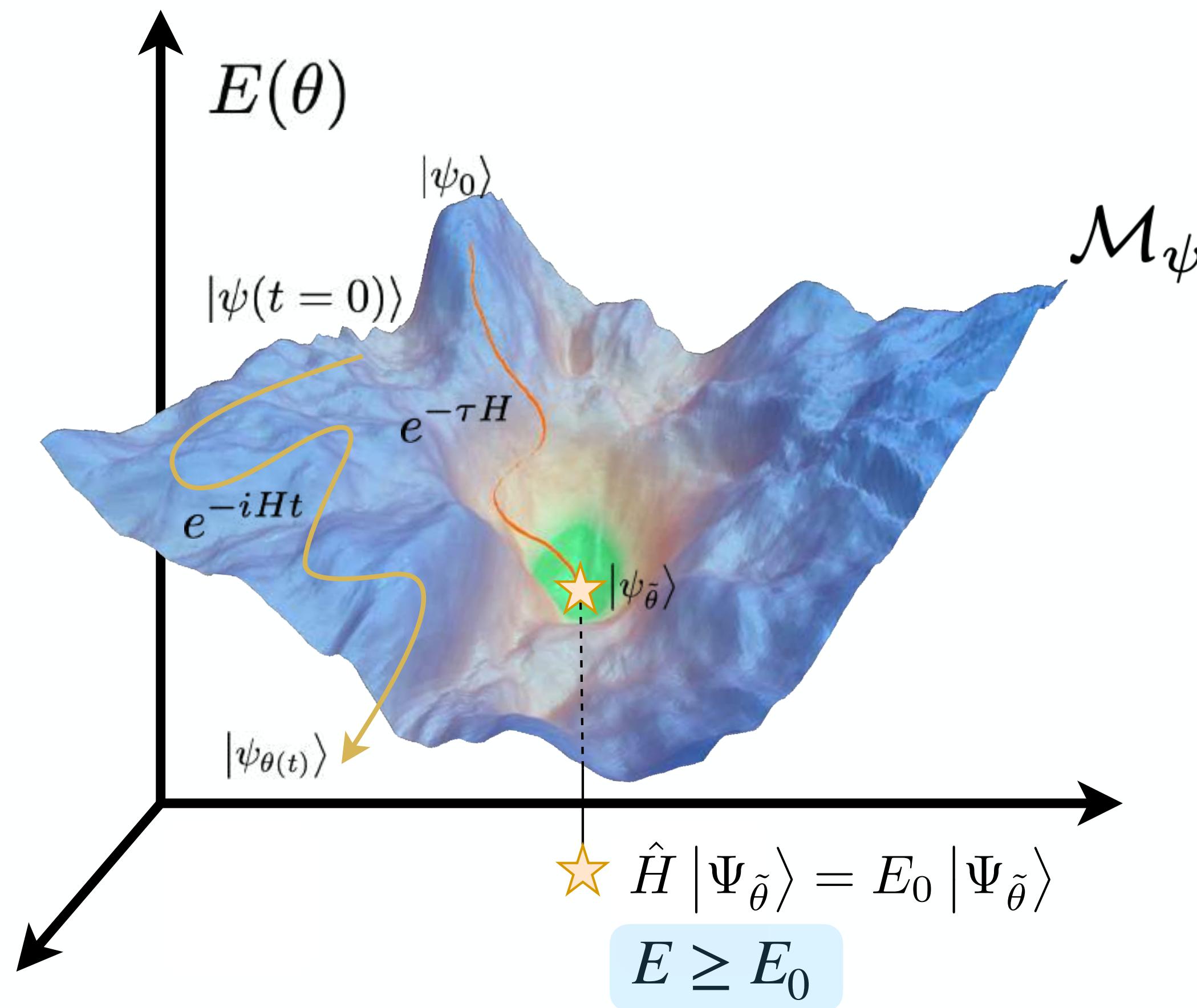
Part 1

$$\hat{H} |\Psi\rangle = E |\Psi\rangle$$

Part 2

$$i \frac{d}{dt} |\Psi\rangle = \hat{H} |\Psi\rangle$$

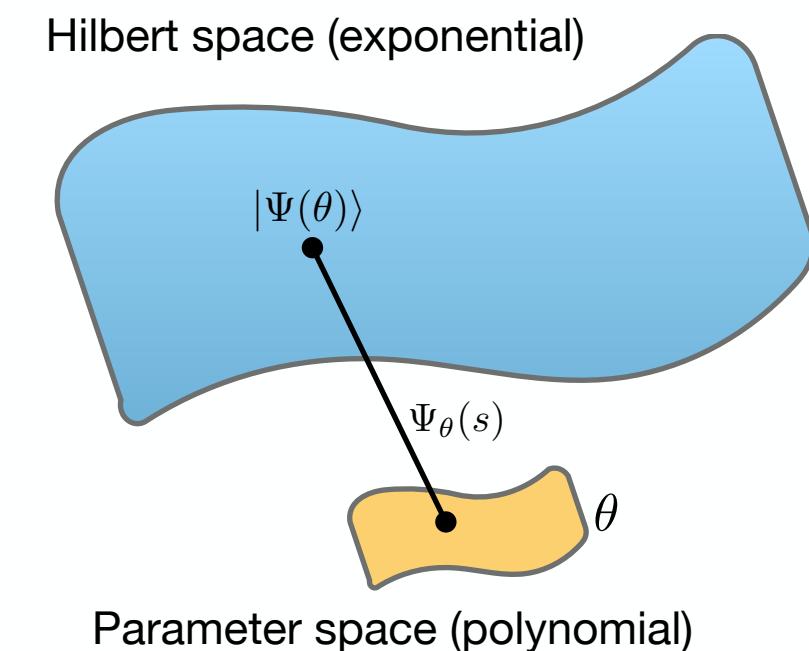
Variational optimization



Ground-state optimization (Ψ_θ)

$$\hat{H} |\Psi\rangle = E |\Psi\rangle$$

Variational principle: $E \geq E_0$



Energy → Loss

$$E = \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

Energy gradients

$$F_\theta = \partial_\theta \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

$$\rightarrow E = \mathbb{E}_{s \sim |\Psi|^2} \left[\frac{[\hat{H}\Psi](s)}{\Psi(s)} \right]$$

..... (Markov chain) Monte Carlo

$$\rightarrow F_\theta = \mathbb{E}_{s \sim |\Psi|^2} \left[\partial_\theta \log \Psi(s)^* \cdot \left(\frac{[\hat{H}\Psi](s)}{\Psi(s)} - E \right) \right]$$

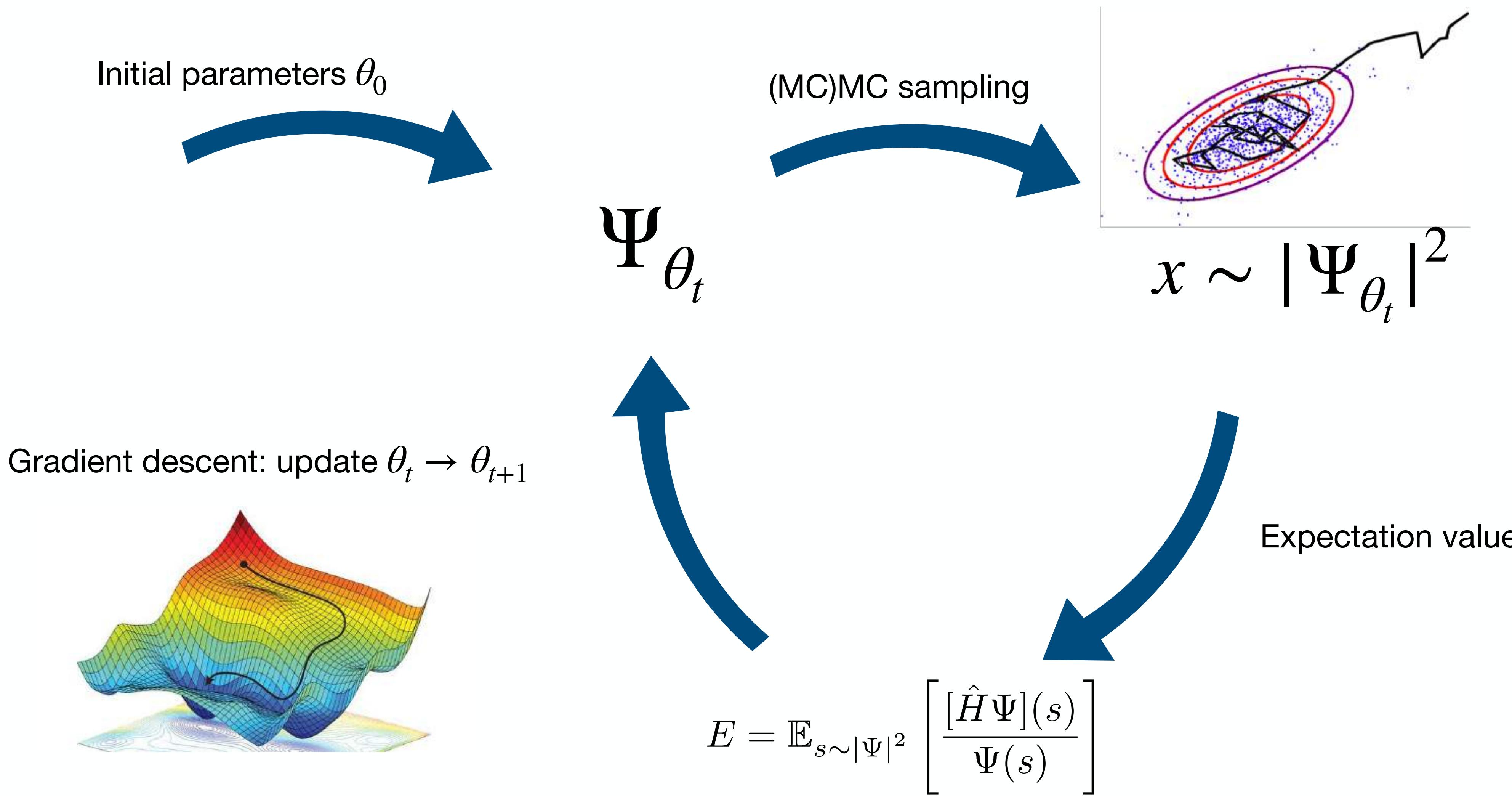


Variational Monte Carlo

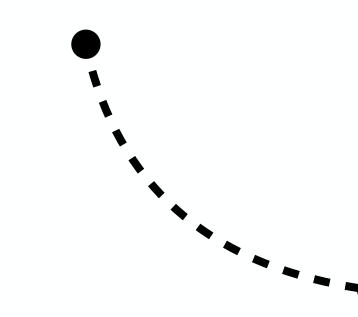
+ Natural gradients = “Stochastic Reconfiguration”

New alternative with better convergence: K.Neklyudov, J.Nys, M.Welling, et al., “Wasserstein quantum Monte Carlo”, NeurIPS (2023).

How to “train” neural representations?



Monte Carlo estimators of energy and gradient

$$\begin{aligned} E[\Psi] &= \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle} \\ &= \frac{1}{\langle \Psi | \Psi \rangle} \sum_{x \in \mathcal{H}} \Psi^*(x) [\hat{H} \Psi](x) \\ &= \sum_{x \in \mathcal{H}} \frac{|\Psi(x)|^2}{\langle \Psi | \Psi \rangle} \frac{[\hat{H} \Psi](x)}{\Psi(x)} \end{aligned}$$


Neural representations of quantum states

Theory: **representational power**

- Volume law entanglement with neural representations (*Deng et al., PRX, 2017*)
- Exact representation of various nonlocal states (*Glasser, et al., PRX 2018*)

Empirical: benchmarked performance

- Neural networks are less affected by:

- frustration,
- quantum statistics,
- high entanglement, and
- large correlation lengths

(*D.Wu, et al, Science (2024)*)

$$\hat{H} = J_1 \sum_R \hat{\mathbf{S}}_R \cdot \hat{\mathbf{S}}_{R+1} + J_2 \sum_R \hat{\mathbf{S}}_R \cdot \hat{\mathbf{S}}_{R+2}$$

Ground-state energy on the 10×10 square lattice at $J_2/J_1 = 0.5$.

Energy per site	Wave function	Year
-0.48941(1)	NNQS	2023
-0.494757(12)	CNN	2020
-0.4947359(1)	Shallow CNN	2018
-0.49516(1)	Deep CNN	2019
-0.495502(1)	PEPS + Deep CNN	2021
-0.495530	DMRG	2014
-0.495627(6)	aCNN	2023
-0.49575(3)	RBM-fermionic	2019
-0.49586(4)	CNN	2023
-0.4968(4)	RBM ($p = 1$)	2022
-0.49717(1)	Deep CNN	2022
-0.497437(7)	GCNN	2021
-0.497468(1)	Deep CNN	2022
-0.4975490(2)	VMC ($p = 2$)	2013
-0.497627(1)	Deep CNN	2023
-0.497629(1)	RBM+PP	2021
-0.497634(1)	Deep ViT	2023

Rende et al., Comm Phys (2024)

Overview

- **Fermionic neural network** representations
- **Applications**
 - Phase diagram of homogeneous electron gas
 - Electron dynamics: electrons out of equilibrium
- Future prospects

Fermionic neural networks?

$$\Psi(\dots, \mathbf{r}_j, \dots, \mathbf{r}_i, \dots) = -\Psi(\dots, \mathbf{r}_i, \dots, \mathbf{r}_j, \dots)$$



Non-interacting fermions

$$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_N) = \det \begin{bmatrix} \phi_1(\mathbf{r}_1) & \dots & \phi_1(\mathbf{r}_N) \\ \vdots & \ddots & \vdots \\ \phi_N(\mathbf{r}_1) & \dots & \phi_N(\mathbf{r}_N) \end{bmatrix}$$

Neural backflow
 $f_L \circ \dots \circ f_1(\mathbf{r}_1, \dots, \mathbf{r}_N) = [\mathbf{q}_1, \dots, \mathbf{q}_N]$

Luo & Clark, PRL (2019)



Interacting fermions

$$\det \begin{bmatrix} \phi_1(\mathbf{q}_1) & \dots & \phi_1(\mathbf{q}_N) \\ \vdots & \ddots & \vdots \\ \phi_N(\mathbf{q}_1) & \dots & \phi_N(\mathbf{q}_N) \end{bmatrix}$$

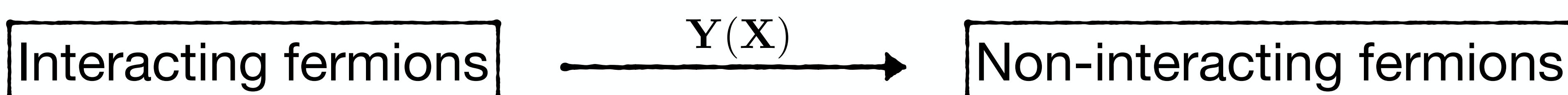
Backflow as coordinate transformations

- Imaginary time evolution: $\Phi_\tau(\mathbf{X}) = \langle \mathbf{X} | e^{-\tau H} | \Phi_0 \rangle$ $|\langle \Psi_0 | \Phi_0 \rangle| > 0$
- Representative \mathbf{X}' for each \mathbf{X}

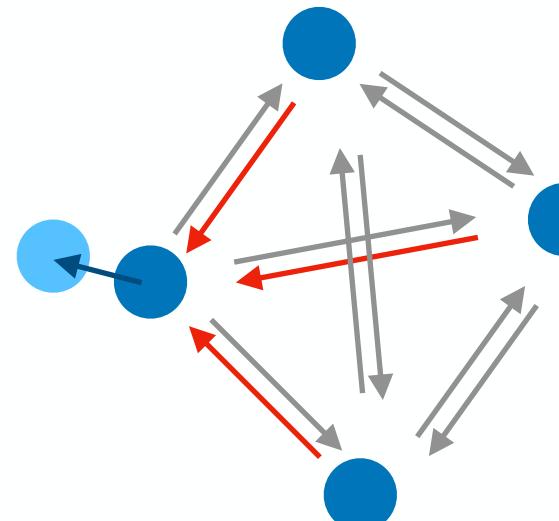
$$\Phi_\tau(\mathbf{X}) = \int_{\Omega} d\mathbf{X}' G_\tau(\mathbf{X}, \mathbf{X}') \Phi_0(\mathbf{X}') = \text{Vol}(\Omega) \times G_\tau(\mathbf{X}, \mathbf{Y}(\mathbf{X})) \Phi_0(\mathbf{Y}(\mathbf{X}))$$

- **Backflow transformation $\mathbf{Y}(\mathbf{X})$:**

$$\Phi_\tau(\mathbf{X}) = J(\mathbf{X}) \times \Phi_0(\mathbf{Y}(\mathbf{X}))$$

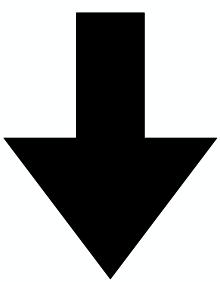


Backflow



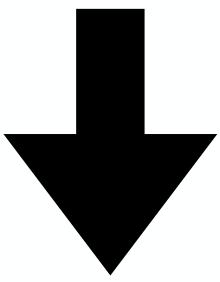
Feynman & Cohen (1956)

$$\mathbf{q}_i = \mathbf{r}_i + \sum_{j \neq i} f(\|\mathbf{r}_i - \mathbf{r}_j\|) (\mathbf{r}_i - \mathbf{r}_j)$$



Luo & Clark, PRL (2019)

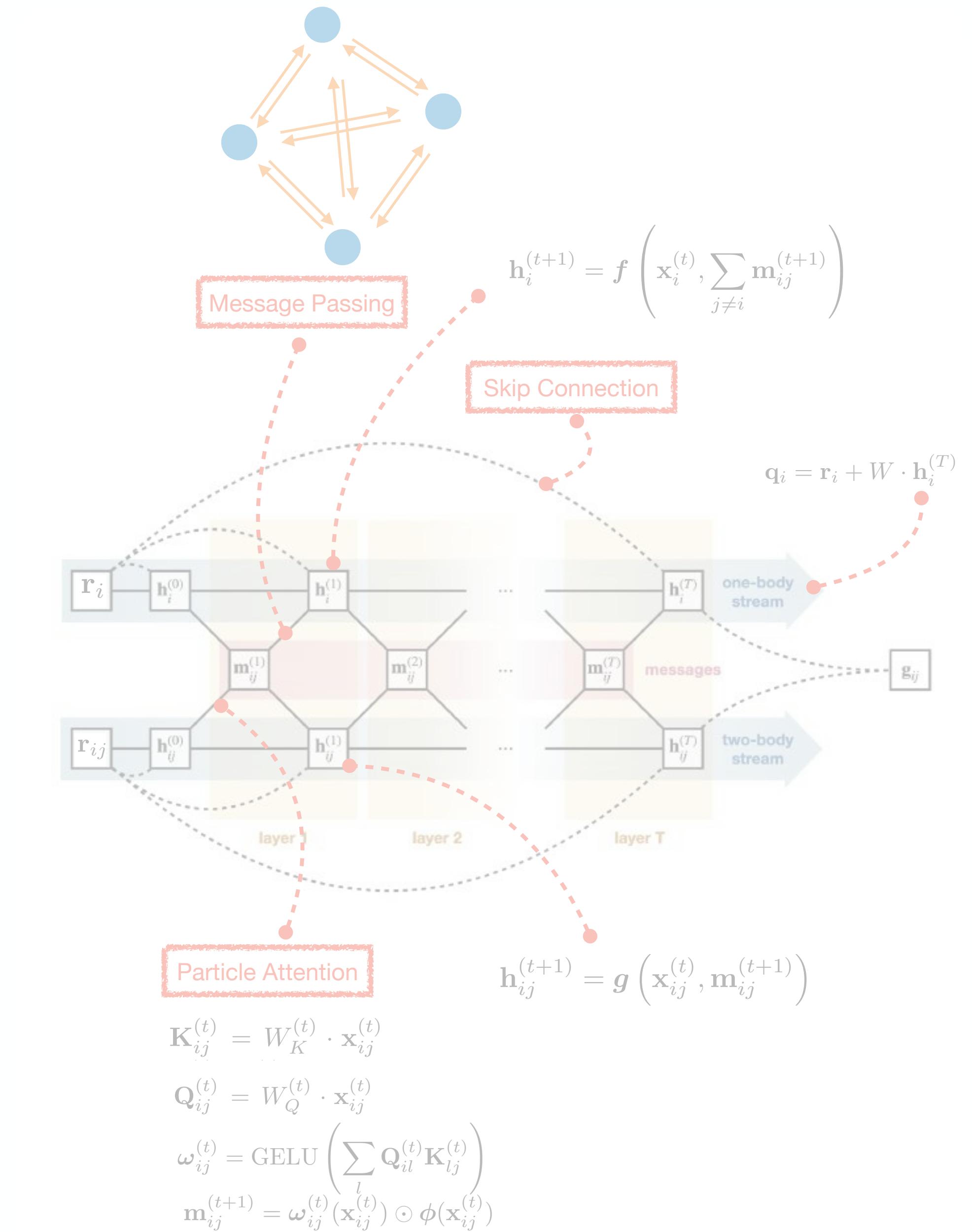
$$\mathbf{q}_i = \mathbf{r}_i + \text{NN}(\mathbf{r}_1, \dots, \mathbf{r}_N)$$



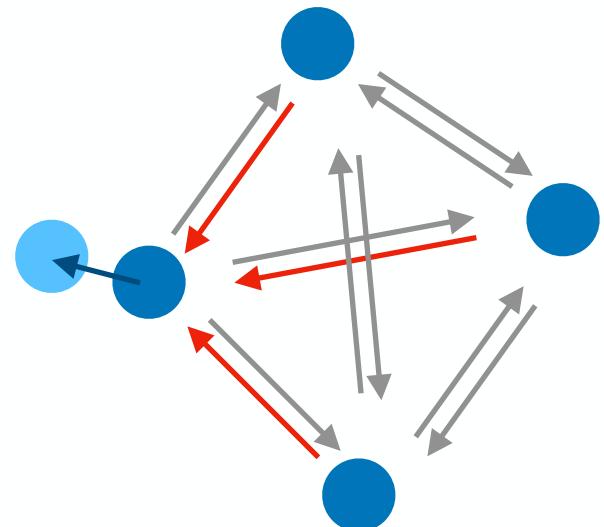
Graph neural networks:
permutation equivariance

$$[\dots, \mathbf{q}_j, \dots, \mathbf{q}_i, \dots] = f_L \circ \dots \circ f_1(\dots, \mathbf{r}_j, \dots, \mathbf{r}_i, \dots)$$

$$P_{ij}\mathbf{q} = f(P_{ij}\mathbf{r})$$



Particle attention: capturing correlations



$$\mathbf{x}_{ij}^{(0)} = [\mathbf{r}_{ij}, \|\mathbf{r}_{ij}\|, s_i \cdot s_j]$$

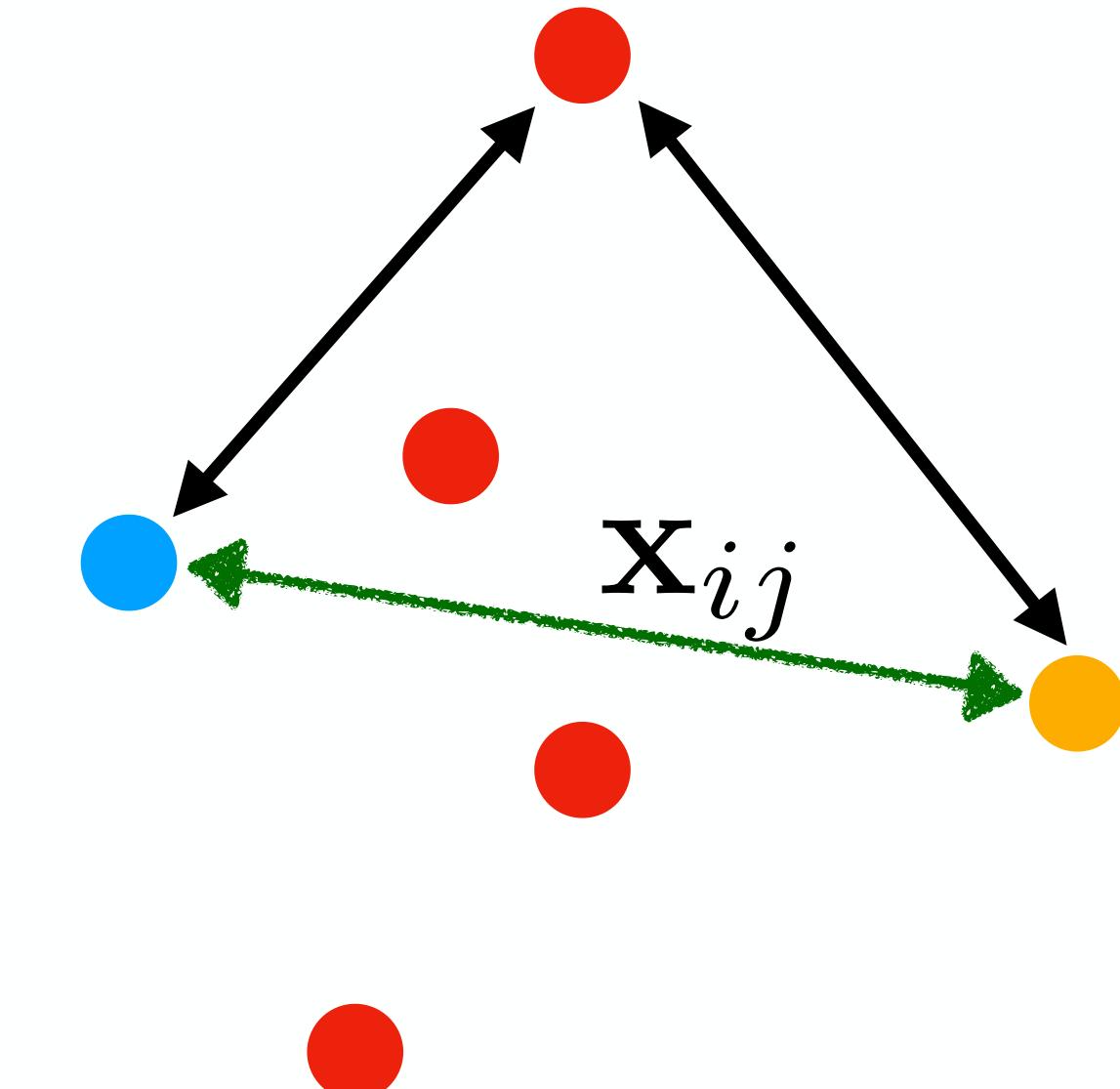
$$\mathbf{Q}_{ij} = Q \cdot \mathbf{x}_{ij}$$

$$\mathbf{K}_{ij} = K \cdot \mathbf{x}_{ij}$$

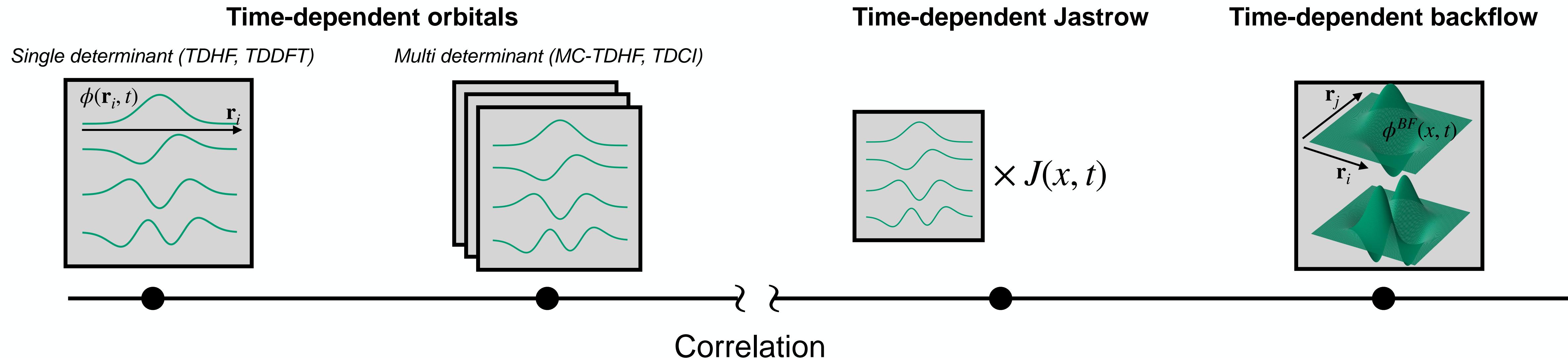
$$\omega_{ij} = \sigma \left(\sum_l \mathbf{Q}_{il} \cdot \mathbf{K}_{lj} \right)$$

$$\mathbf{m}_{ij} = \omega_{ij} \odot \mathbf{V}_{ij}$$

Permutation equivariance \rightarrow message passing



(Time-dependent) variational models



Anti symmetry: determinant

$$\Psi(x) = \begin{vmatrix} \phi_1(\mathbf{r}_1) & \dots & \phi_1(\mathbf{r}_N) \\ \vdots & \ddots & \vdots \\ \phi_N(\mathbf{r}_1) & \dots & \phi_N(\mathbf{r}_N) \end{vmatrix}$$

Single-body orbitals

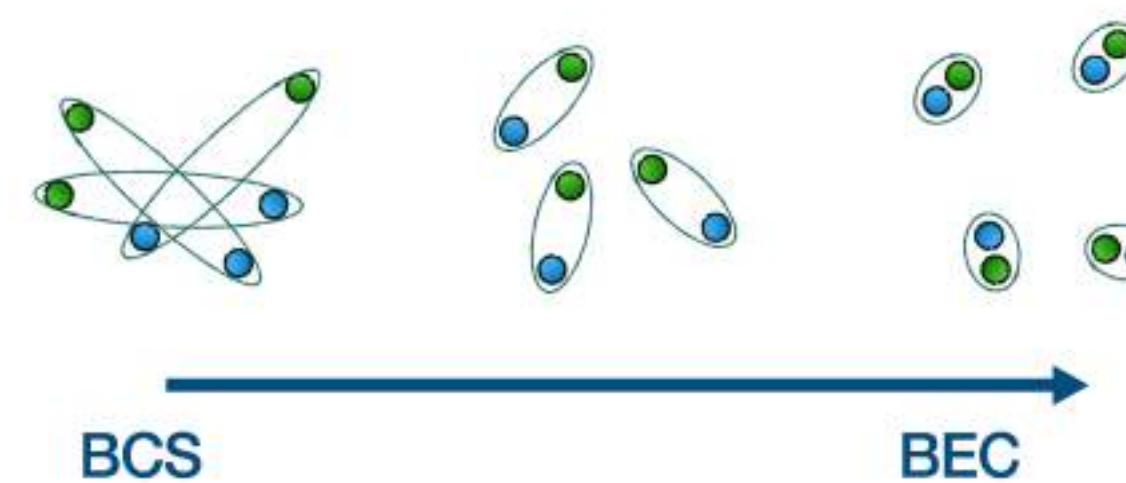
Superconductivity: BCS theory?

Neural backflow Pfaffian

Fermionic pairing

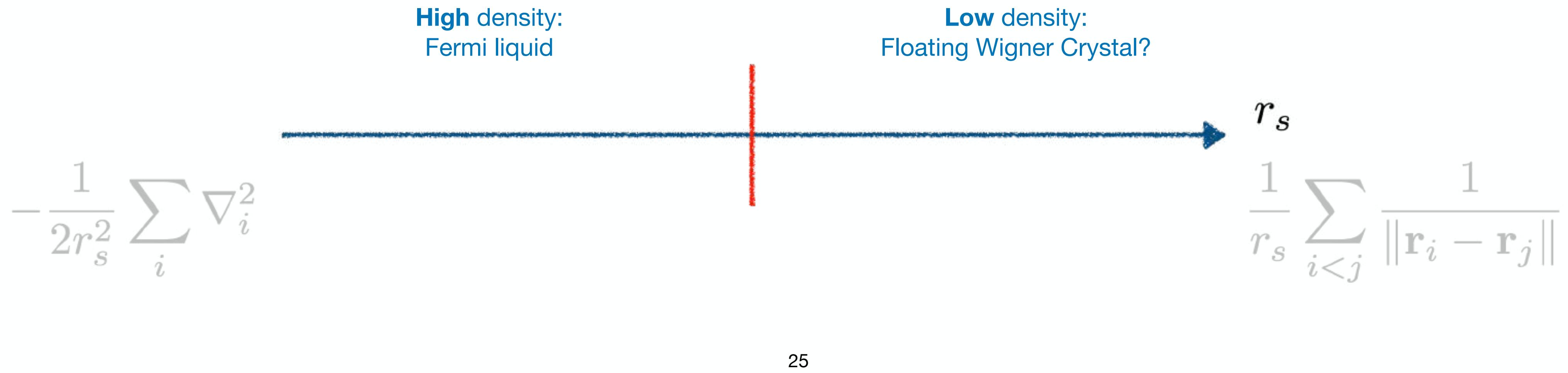
$$\Phi_{PJ}(X) = \text{pf} \begin{bmatrix} 0 & \phi(\mathbf{x}_1, \mathbf{x}_2) & \cdots & \phi(\mathbf{x}_1, \mathbf{x}_N) \\ \phi(\mathbf{x}_2, \mathbf{x}_1) & 0 & \cdots & \phi(\mathbf{x}_2, \mathbf{x}_N) \\ \vdots & \vdots & \ddots & \vdots \\ \phi(\mathbf{x}_N, \mathbf{x}_1) & \phi(\mathbf{x}_N, \mathbf{x}_2) & \cdots & 0 \end{bmatrix}$$

$\mathbf{x}_i = (\mathbf{r}_i, \sigma_i)$ $\phi(\mathbf{x}_i, \mathbf{x}_j) = \nu(\mathbf{x}_i, \mathbf{x}_j) - \nu(\mathbf{x}_j, \mathbf{x}_i)$



Homogeneous Electron Gas (3D)

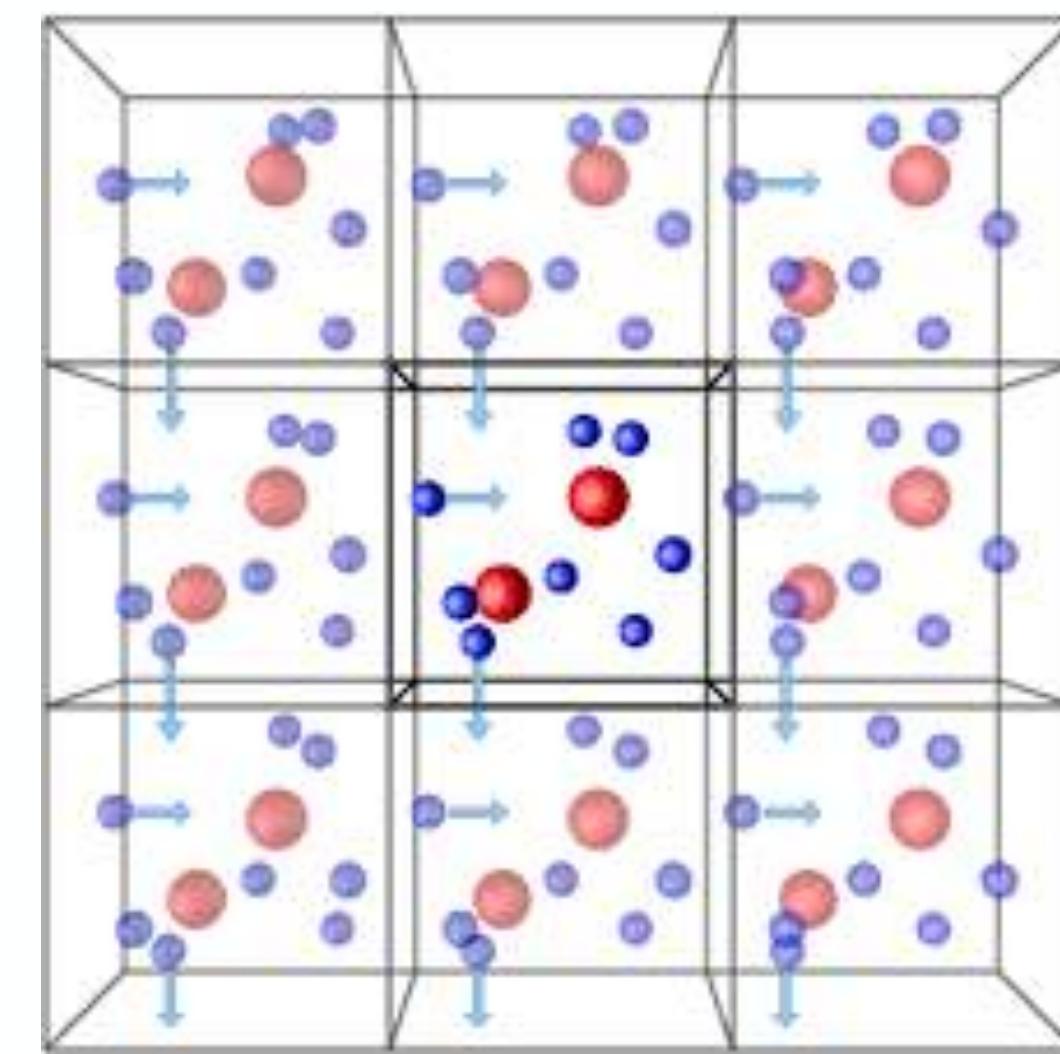
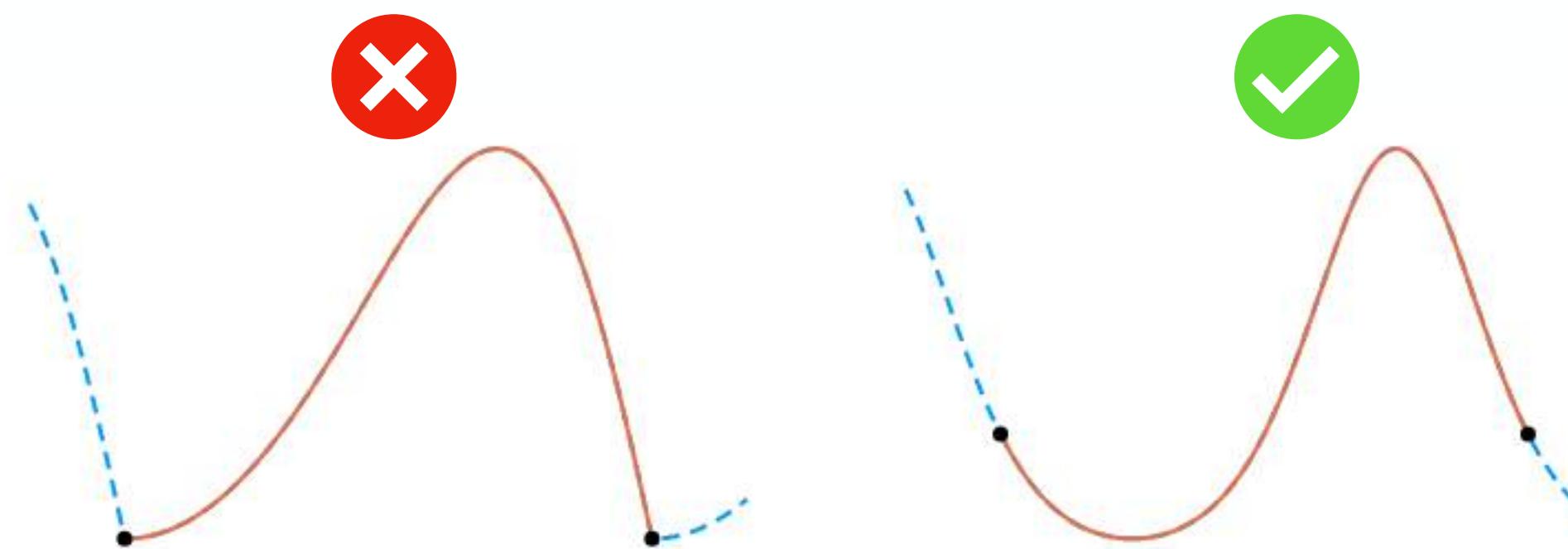
$$H = -\frac{1}{2r_s^2} \sum_i \nabla_i^2 + \frac{1}{r_s} \sum_{i < j} \frac{1}{\|\mathbf{r}_i - \mathbf{r}_j\|} + \text{background}$$



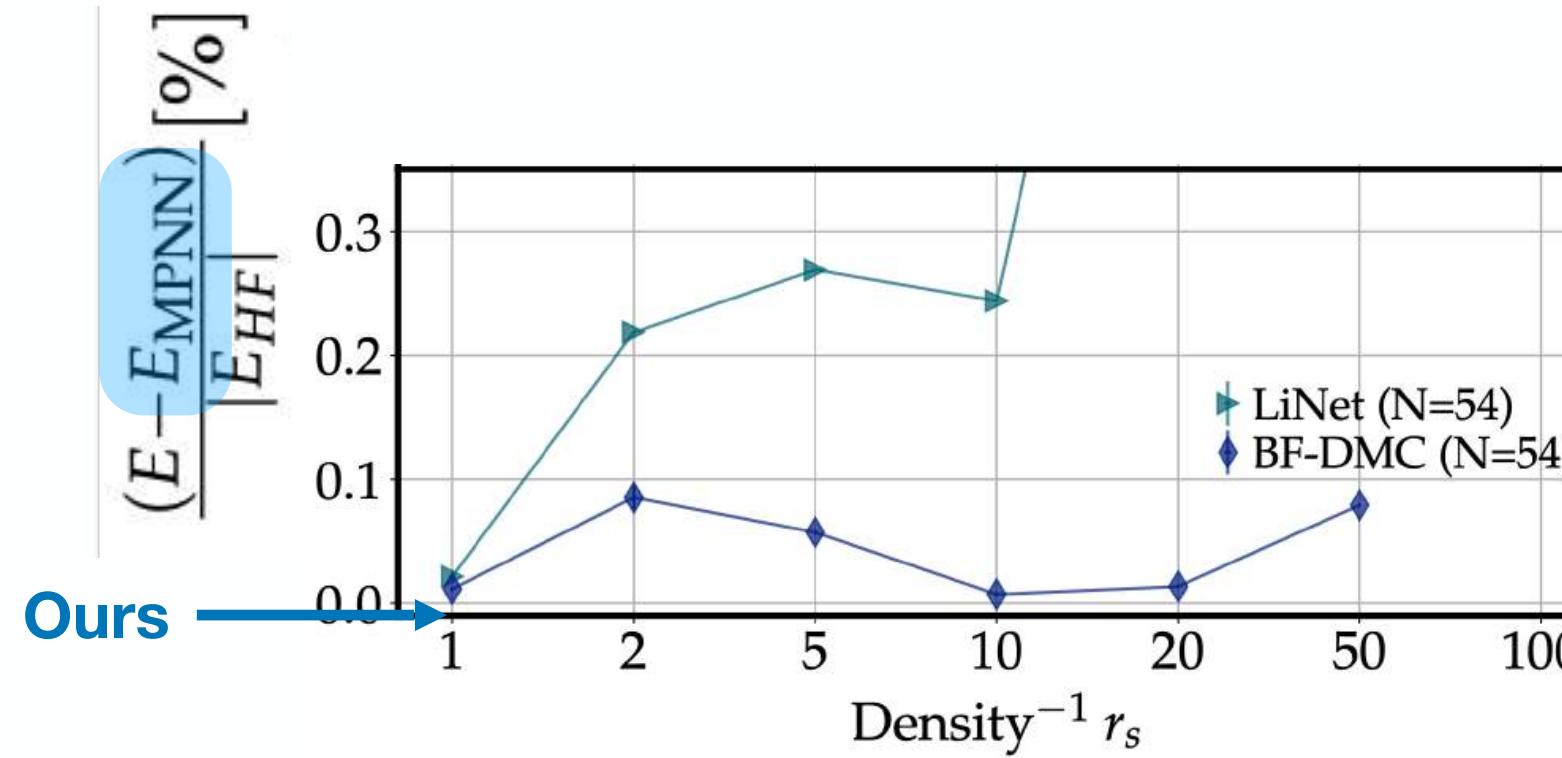
Periodic neural networks

$$\hat{H}(t) = -\frac{1}{2} \sum_{i=1}^N \nabla_{\mathbf{r}_i}^2 + V(x, t)$$

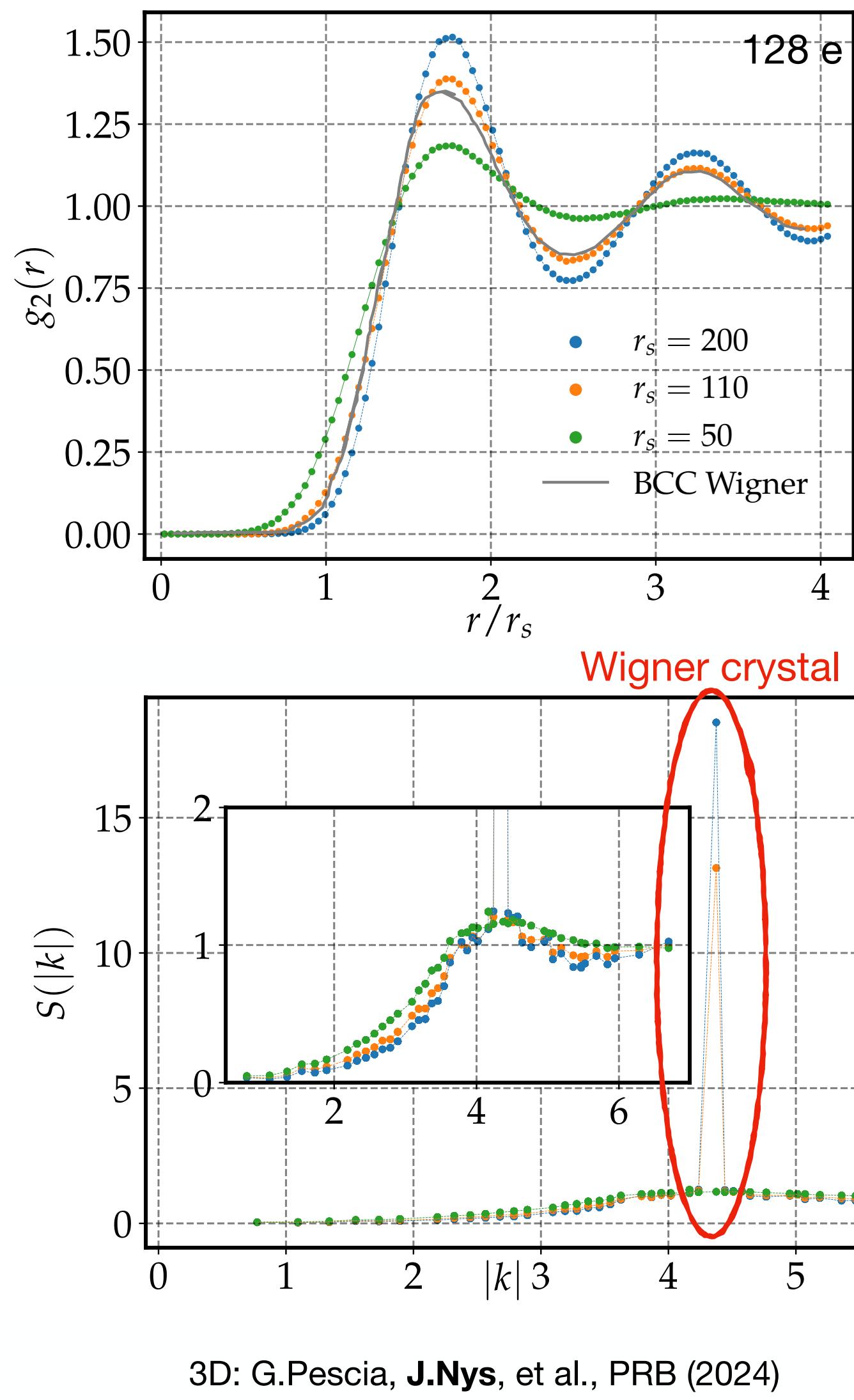
$$\begin{aligned}\mathbf{r}_{ij} &\mapsto (\cos(2\pi \mathbf{r}_{ij}/L), \sin(2\pi \mathbf{r}_{ij}/L)) \\ \|\mathbf{r}_{ij}\| &\mapsto \|\sin(\pi \mathbf{r}_{ij}/L)\|,\end{aligned}$$



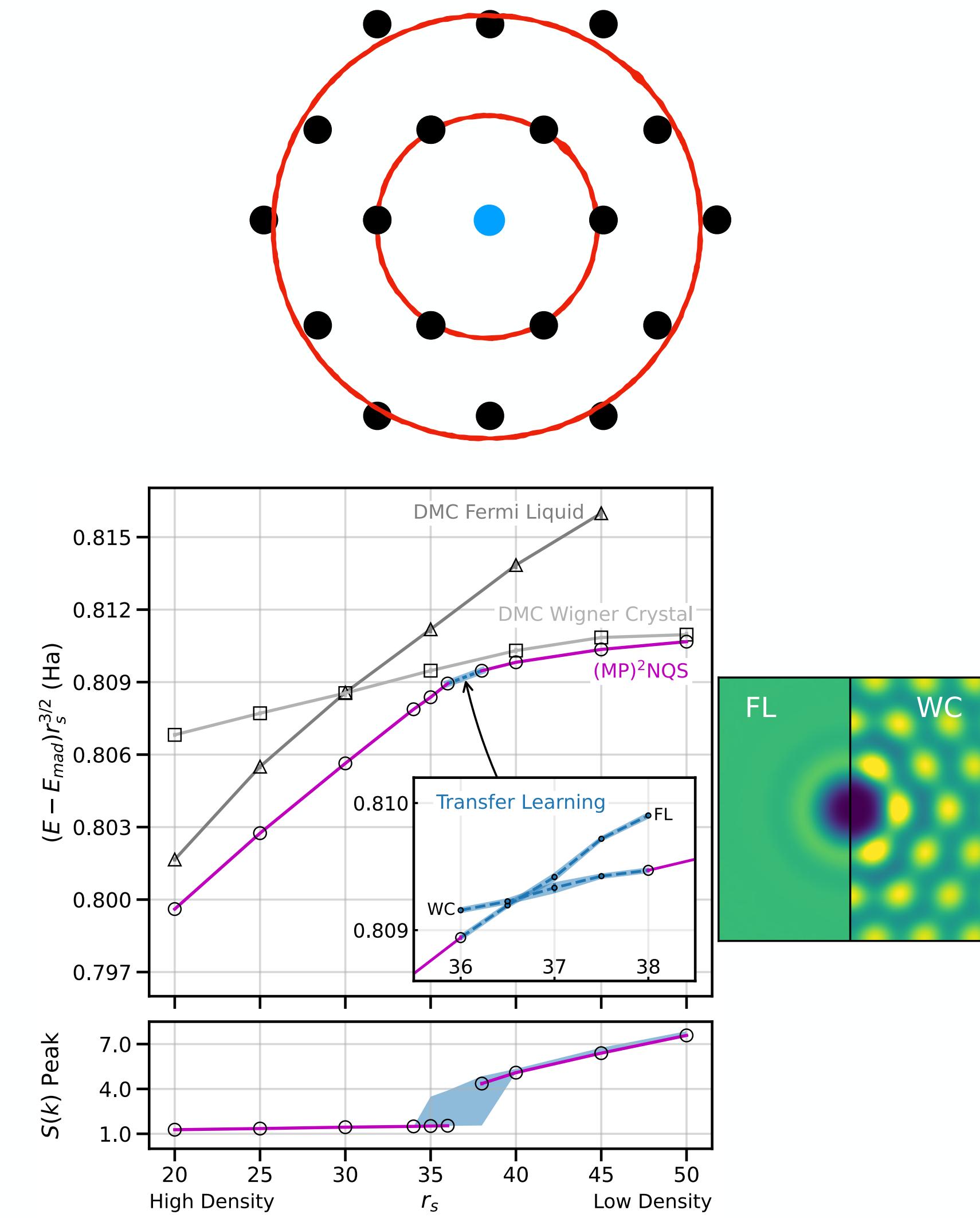
Homogeneous Electron Gas



- Many-body correlations: efficient encoding
- **Unbiased** phase diagram
- Accurate representation of the ground state
- Low number of parameters (10k)
- Better optimization (natural gradients)

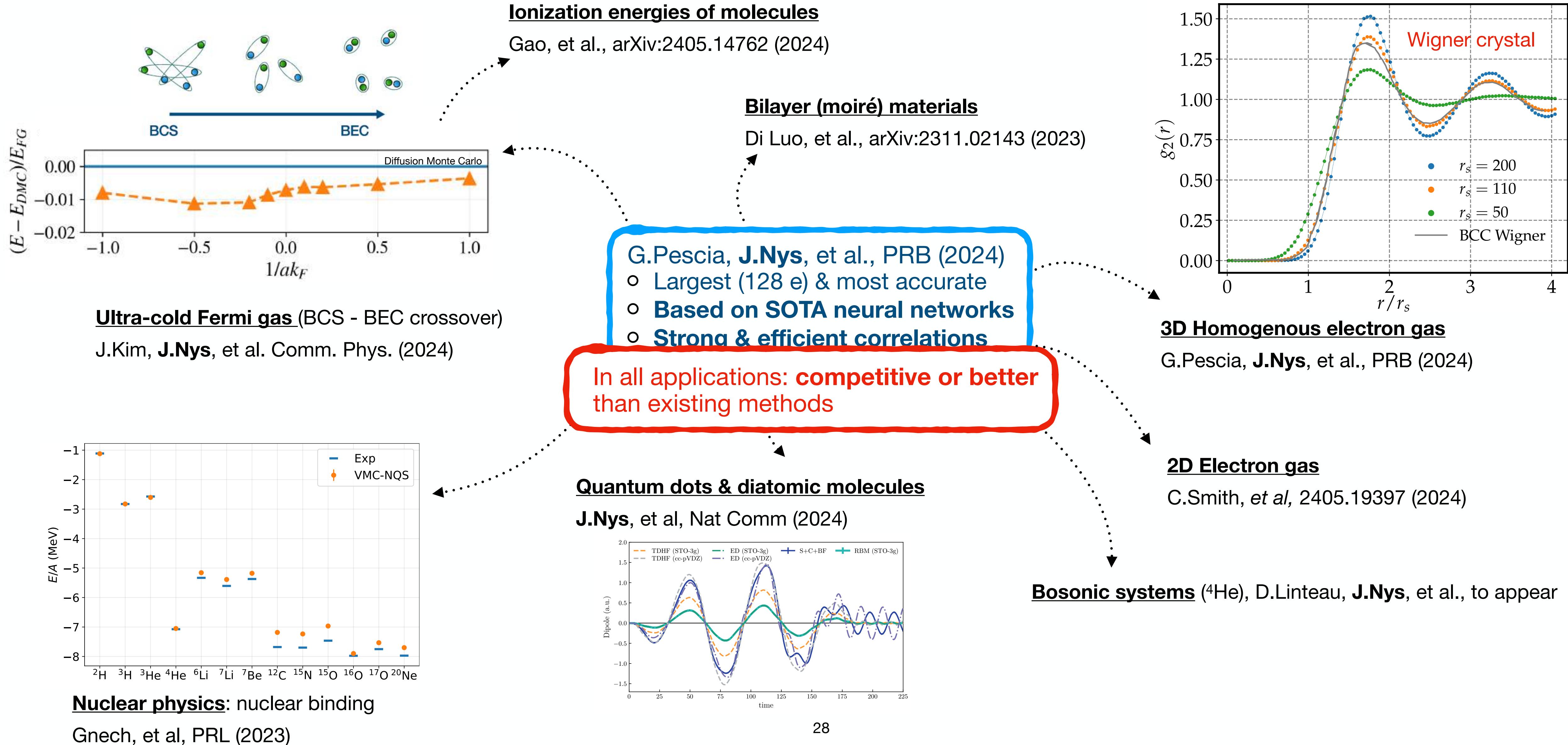


3D: G.Pescia, J.Nys, et al., PRB (2024)



2D: C. Smith, et al., 2405.19397 (2024)

Correlated fermionic wave functions

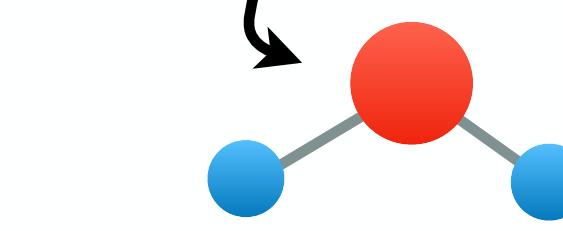


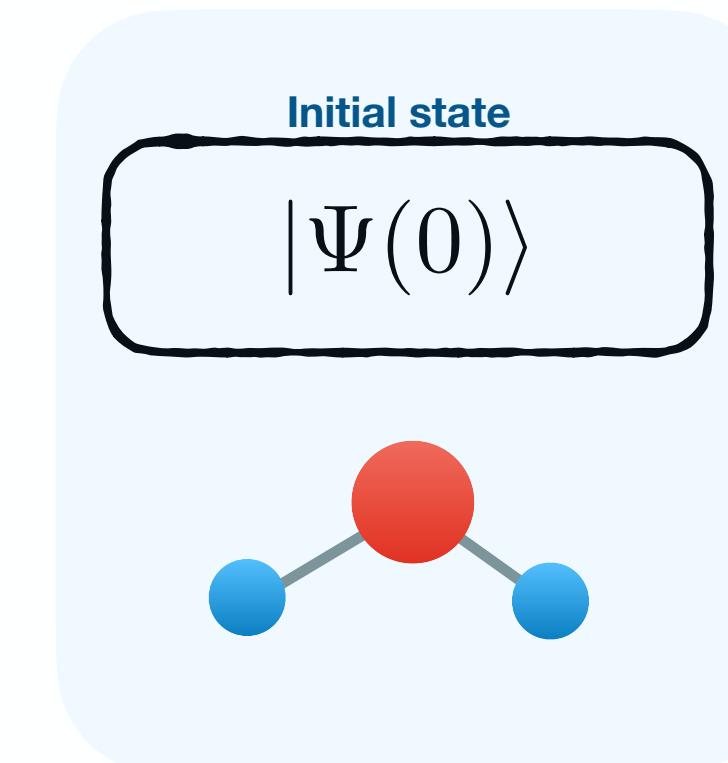
Real-time quantum dynamics

- One of the most significant problems of modern quantum physics
- No reliable classical methods available: approximations for ground states break down
- Quantum dynamics = flagships application of quantum computing

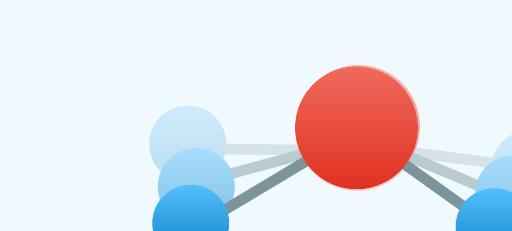
Examples:

- Excited states information
- Spectroscopic experiments
- Nonlinear responses
- Relaxation
- ...

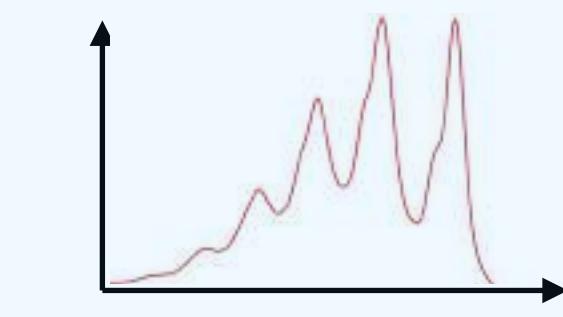

$$|\Psi(0)\rangle \xrightarrow{e^{-i\hat{H}t}} |\Psi(t)\rangle$$



Unitary Dynamics

$$\frac{d|\Psi\rangle}{dt} = -i\hat{H}|\Psi\rangle$$


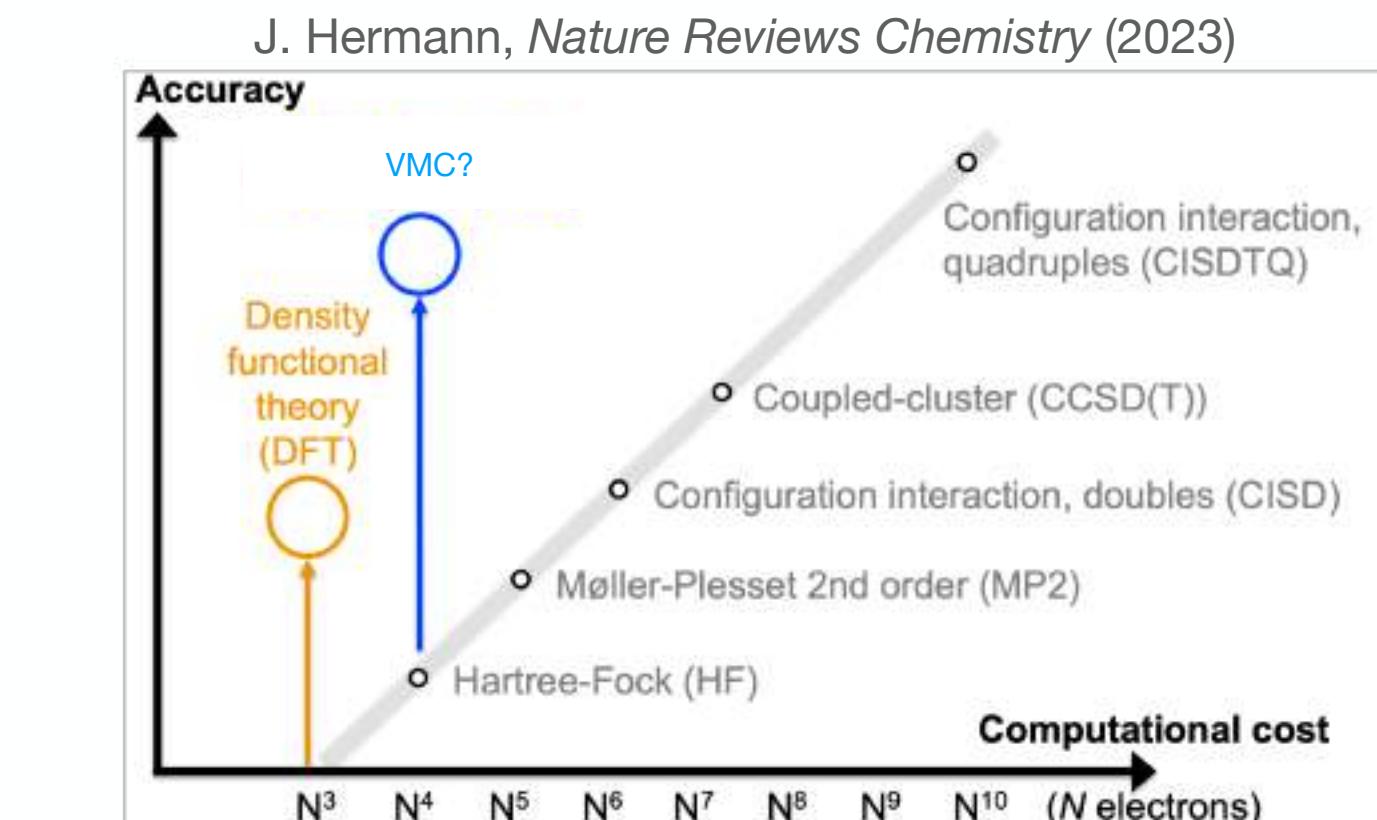
Measure

$$\langle\Psi(t)|\hat{O}|\Psi(t)\rangle$$


State of the art: classical methods

- Quantum chemistry & mat**
- TD-HF
 - MC-TDHF
 - RT-TDDFT
 - TD-Configuration Interaction (CI)
 - TD-Coupled cluster (CC)

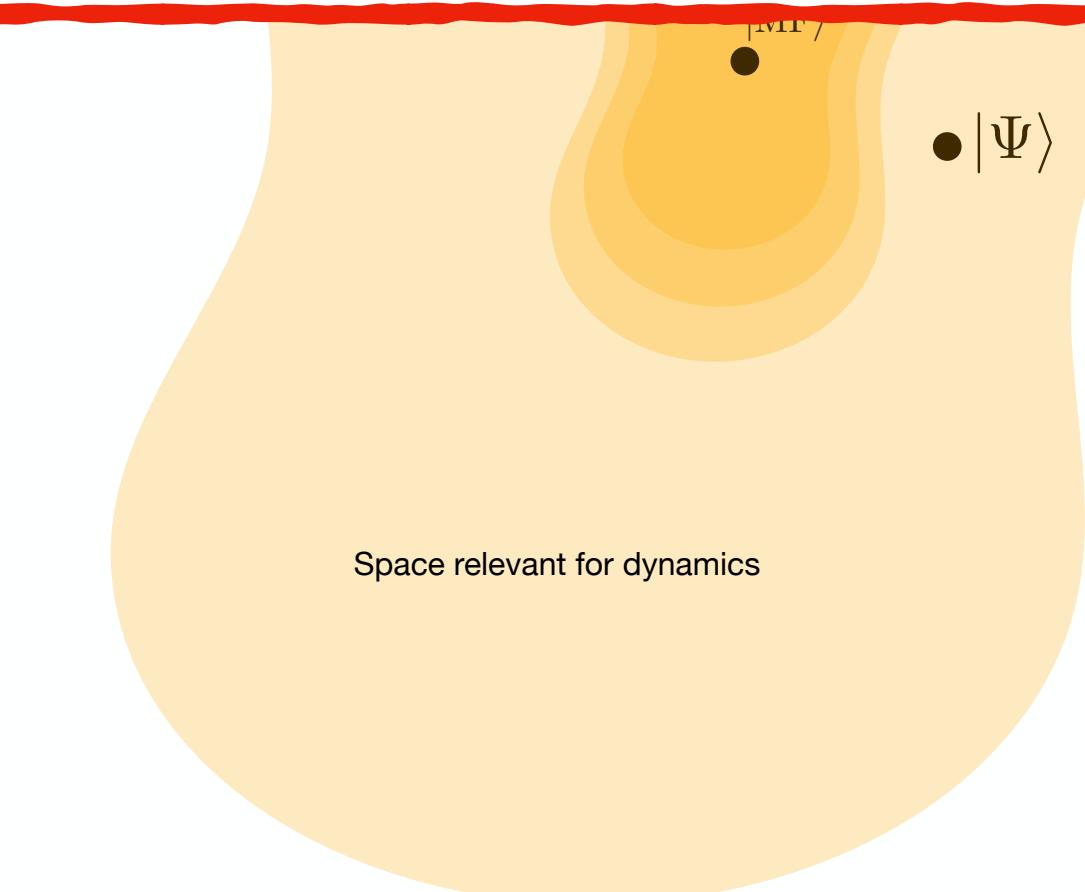
How can we go beyond DFT and HF to properly account for electron correlation in real time?
Li, et al, Chem Rev (2020)



Need for new methods to account for strong correlations in real-time dynamics!

- Condensed matter**
- Exact diagonalization
 - Tensor networks

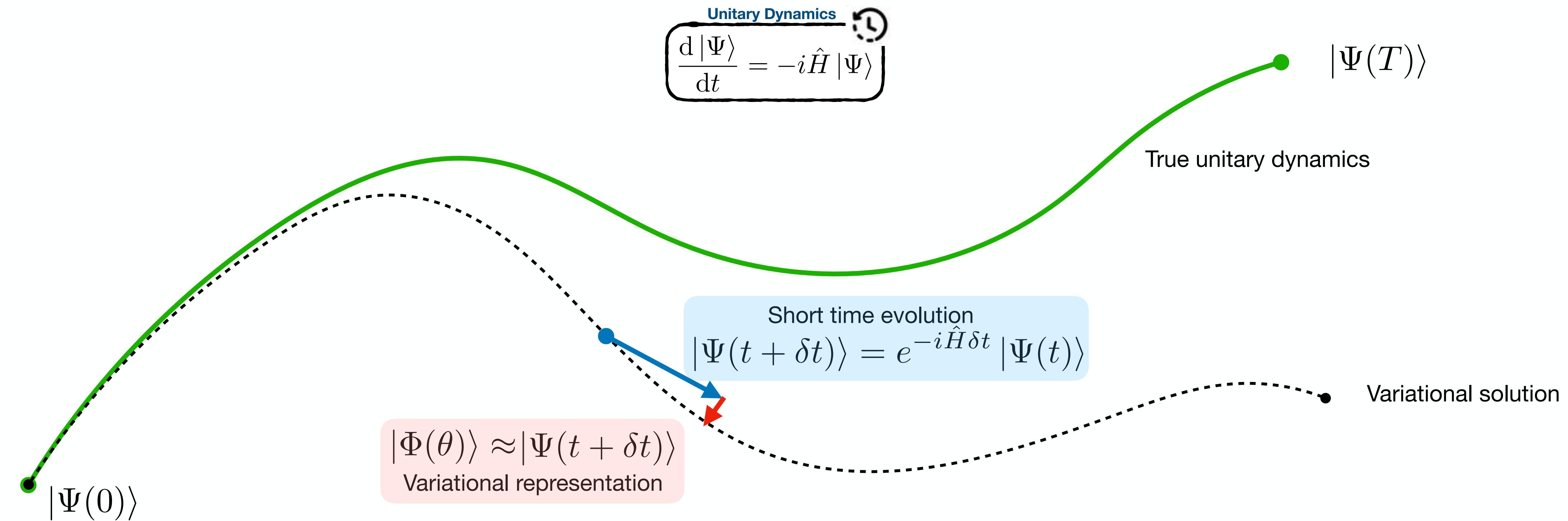
Idea: close to ground states with area law
Challenge: scaling with entanglement



**Given recent progress in variational methods for ground-state problems
with strong correlations:**

**Can we transform them into accurate methods to solve real-time quantum
dynamics problems?**

Variational dynamics



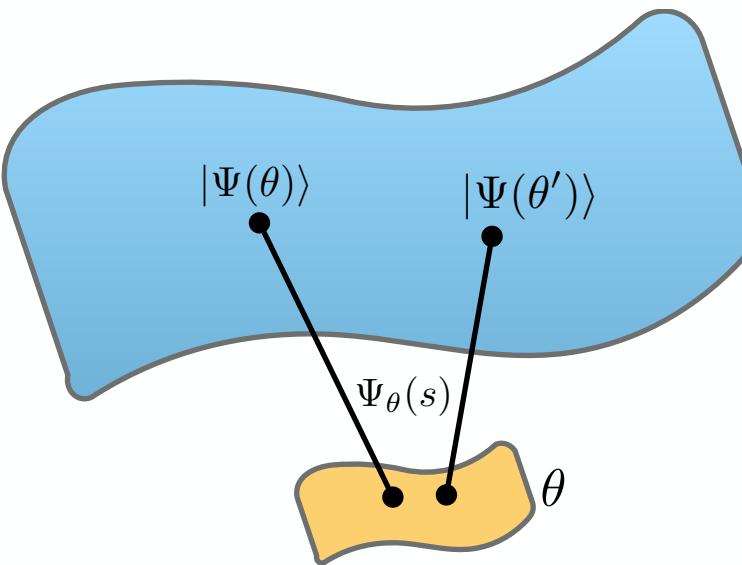
Dynamics: approach 1

Real-time evolution

$$\frac{d|\Psi\rangle}{dt} = -i\hat{H}|\Psi\rangle$$

G. Carleo, et al., PRX, (2017)

$$G \cdot \dot{\theta} = -iF$$



$\mathcal{O}(N_p^3 N_s)$

Energy

$$E = \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

$$\rightarrow E = \mathbb{E}_{s \sim |\Psi|^2} \left[\frac{[\hat{H}\Psi](s)}{\Psi(s)} \right]$$

Energy forces

$$F_\theta = \partial_\theta \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

$$\rightarrow F_\theta = \mathbb{E}_{s \sim |\Psi|^2} \left[\partial_\theta \log \Psi(s)^* \cdot \left(\frac{[\hat{H}\Psi](s)}{\Psi(s)} - E \right) \right] \in \mathbb{C}^{N_p}$$

Quantum Geometric Tensor

$$G_{\theta,\theta'} = \frac{\langle \partial_\theta \Psi | \partial_{\theta'} \Psi \rangle}{\langle \Psi | \Psi \rangle} - \frac{\langle \partial_\theta \Psi | \Psi \rangle \langle \Psi | \partial_{\theta'} \Psi \rangle}{\langle \Psi | \Psi \rangle^2}$$

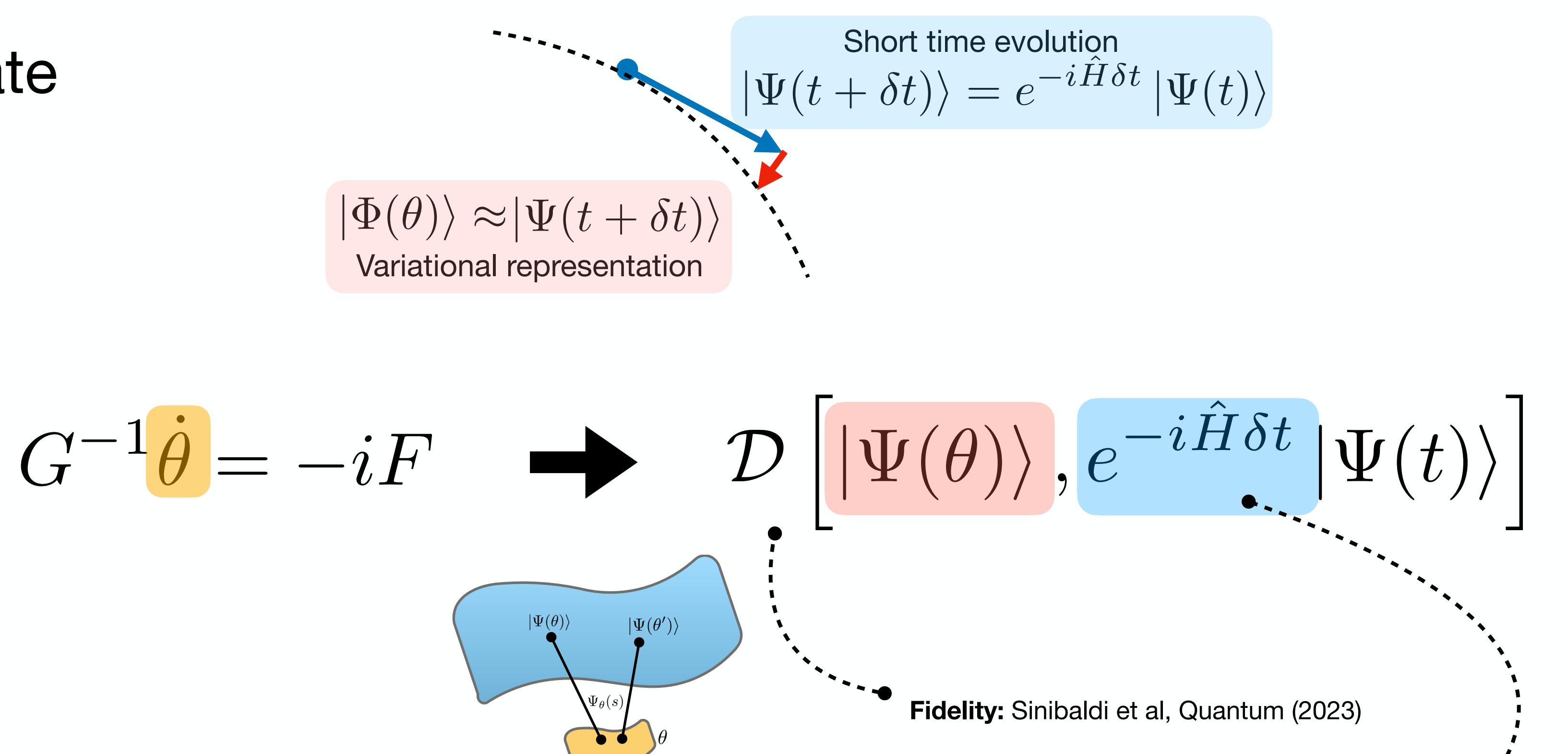
$$\rightarrow G_{\theta,\theta'} = \mathbb{E}_{s \sim |\Psi|^2} [\partial_\theta \log \Psi(s)^* \cdot \Delta \partial_{\theta'} \log \Psi(s)] \in \mathbb{C}^{N_p \times N_p}$$

Dynamics: approach 2

Projected tVMC: maximize the overlap between

[Gutiérrez & Mendl, Quantum, 6, 627 (2022)]

- time evolved state
- variational state



Novel time propagator expansions

$$e^{-i\delta t \hat{H}} = \prod_{k=1}^K \hat{R}_k + \mathcal{O}(\delta t^{K+1})$$

⋮

$$\hat{R}_k = 1 - i c_k \delta t \hat{H}$$

Example: Taylor expansion matching

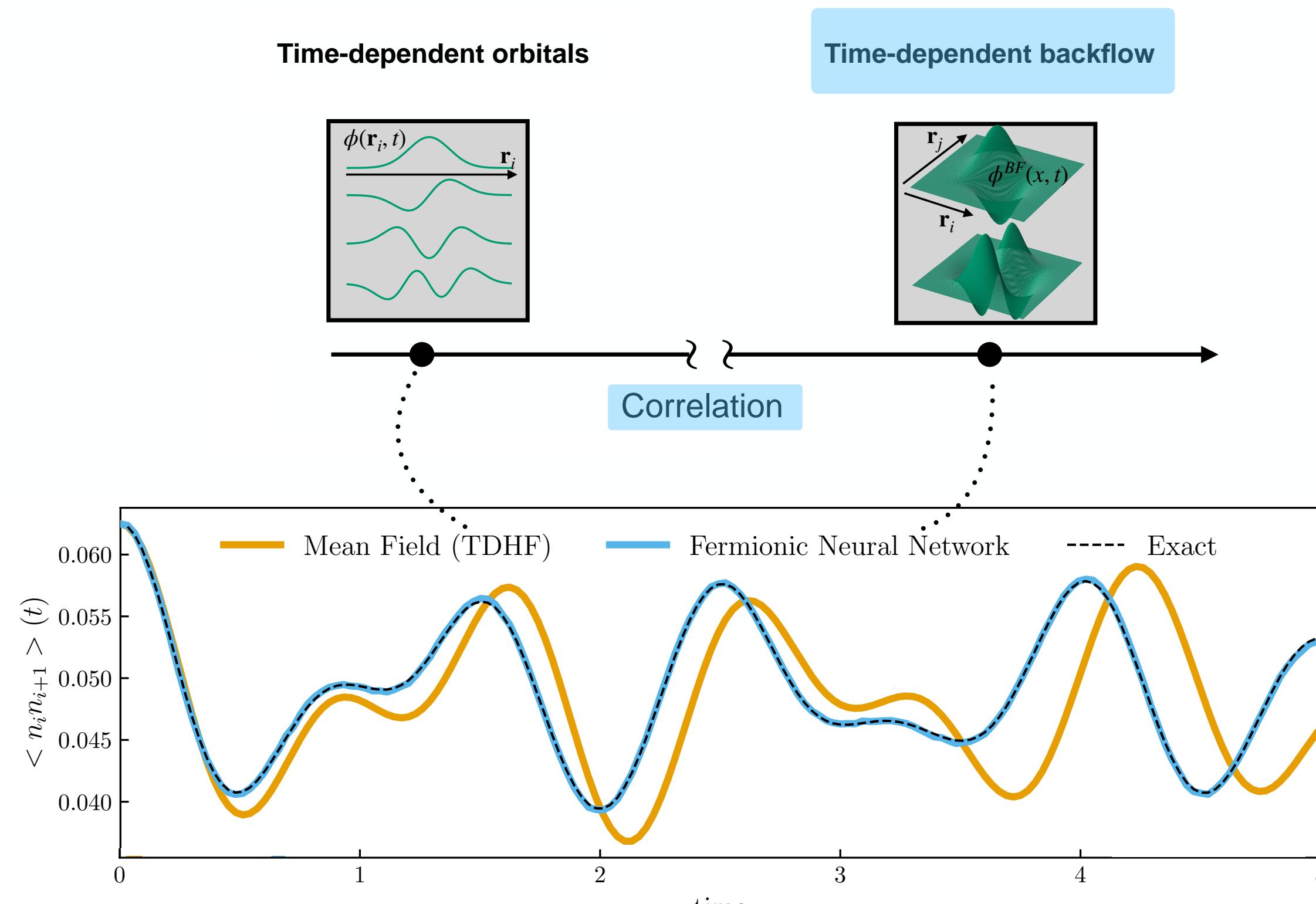
“Taylor root expansion”

$$\hat{R}_1 \hat{R}_2 = \mathbb{I} - i \hat{H} \delta t (c_1 + c_2) - \hat{H}^2 \delta t^2 c_1 c_2 \quad \rightarrow \quad U_{\text{Taylor}} = \mathbb{I} - i \hat{H} \delta t - \hat{H}^2 \delta t^2 \frac{1}{2}$$

$$c_1 = \frac{1 \pm i}{2}$$
$$c_2 = \frac{1 \mp i}{2}$$

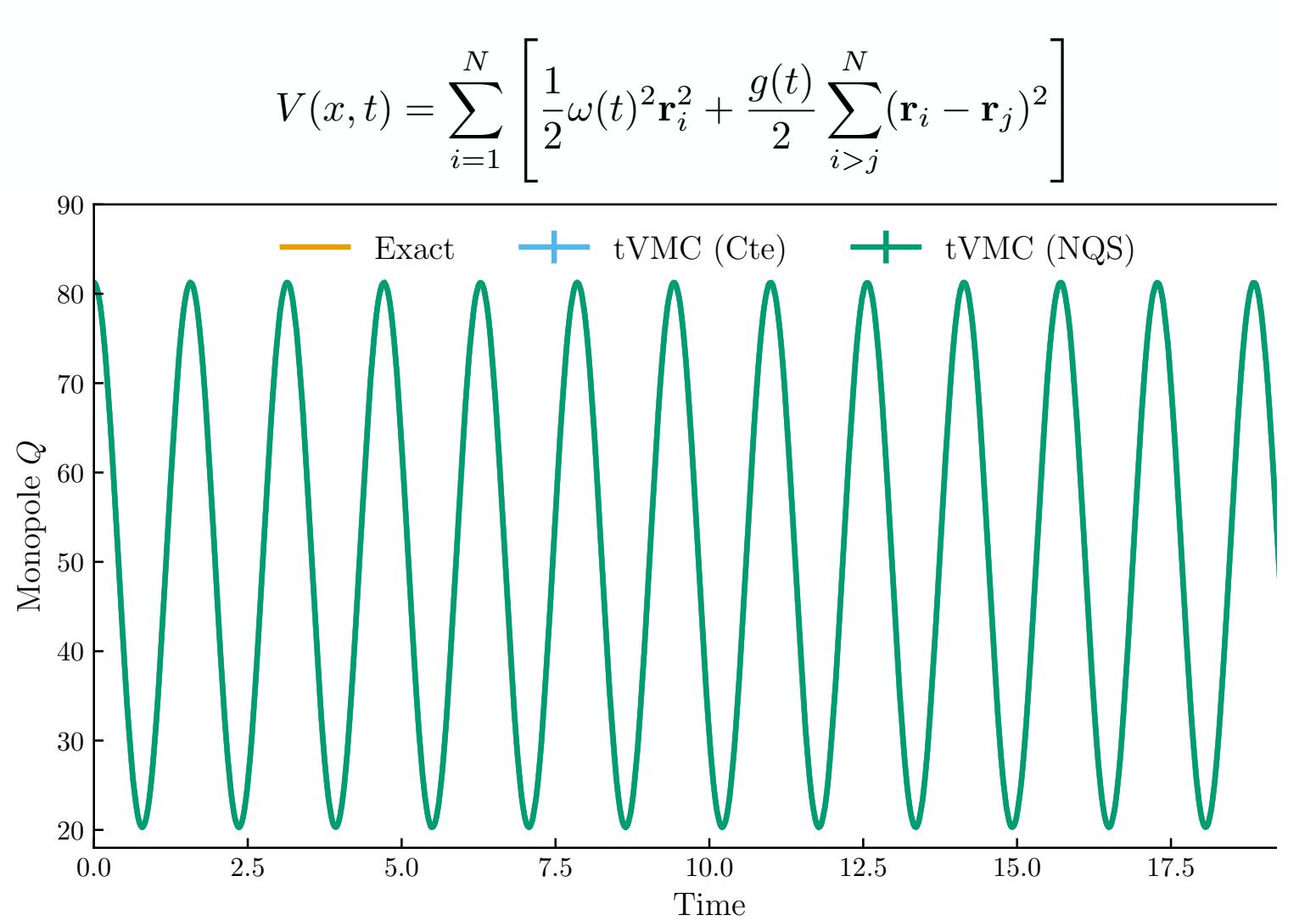
Electrons out of equilibrium

Time-dependent variational wave functions for electronic systems

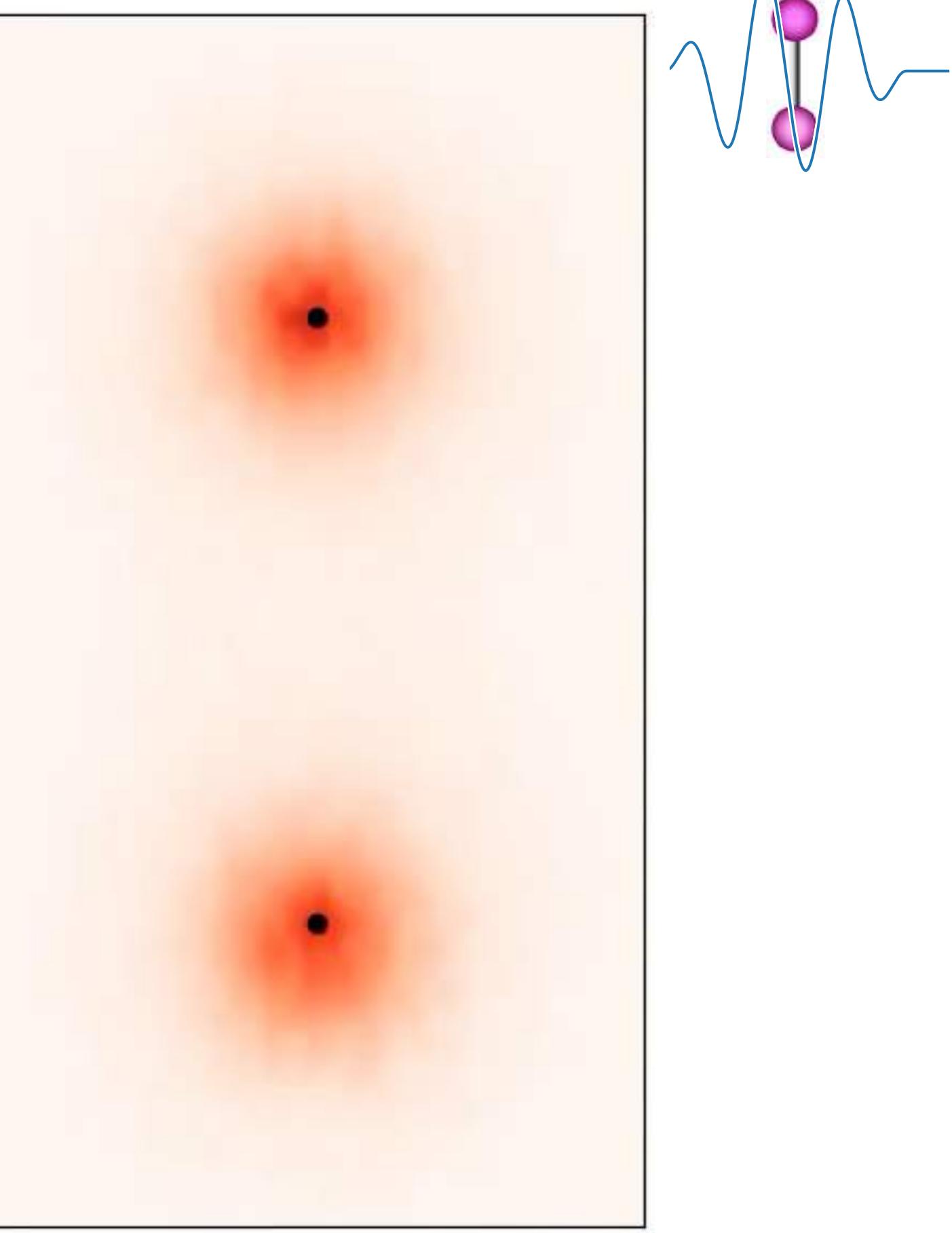
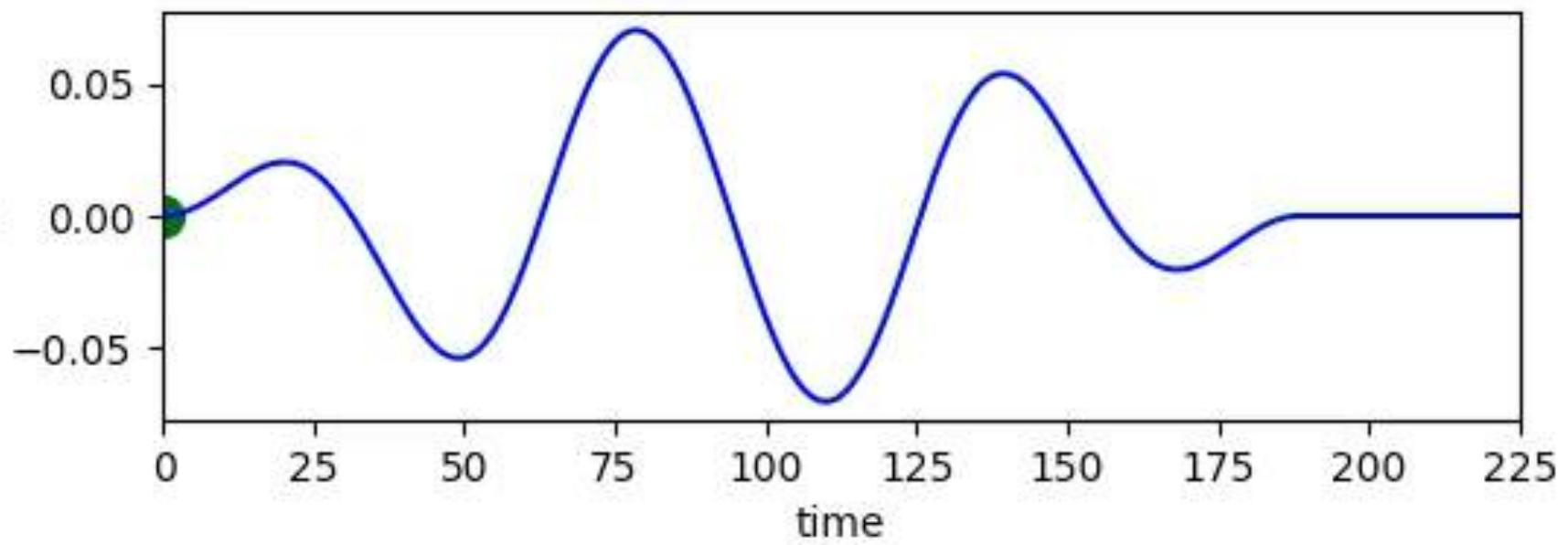


Time-dependent fermionic neural networks efficiently represent
long-range correlations & strong entanglement

Ab-initio variational

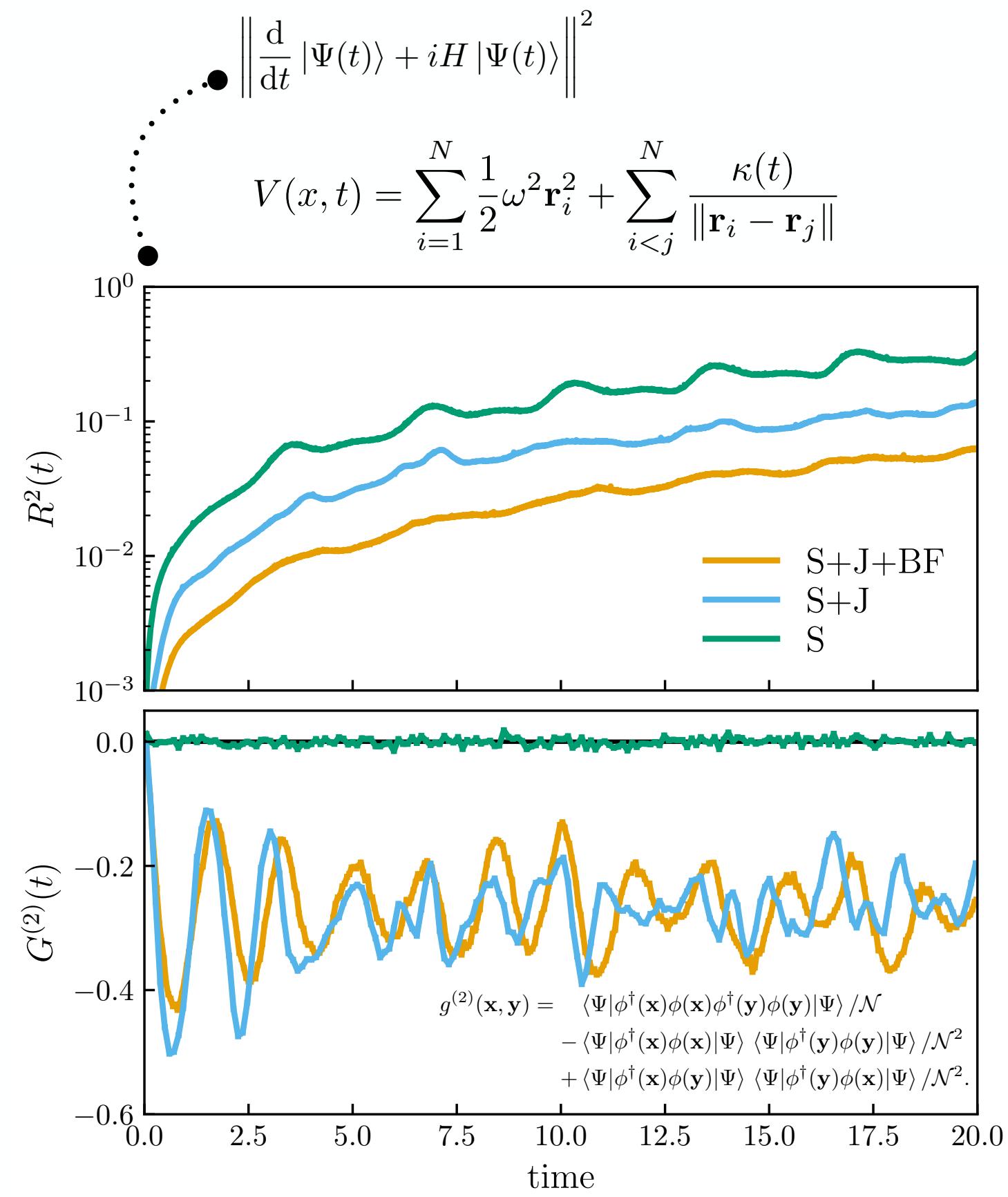


1D harmonic interaction (solvable)



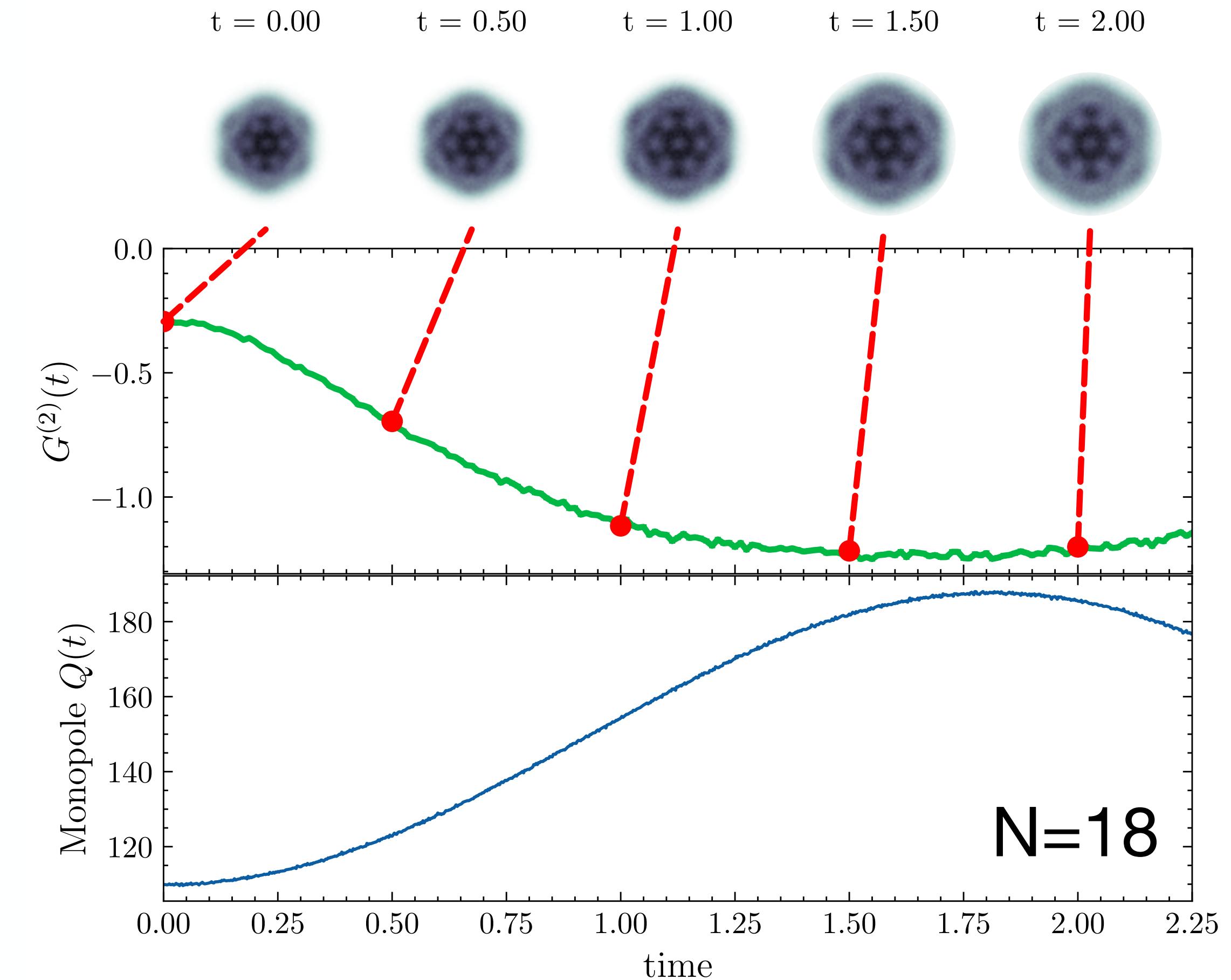
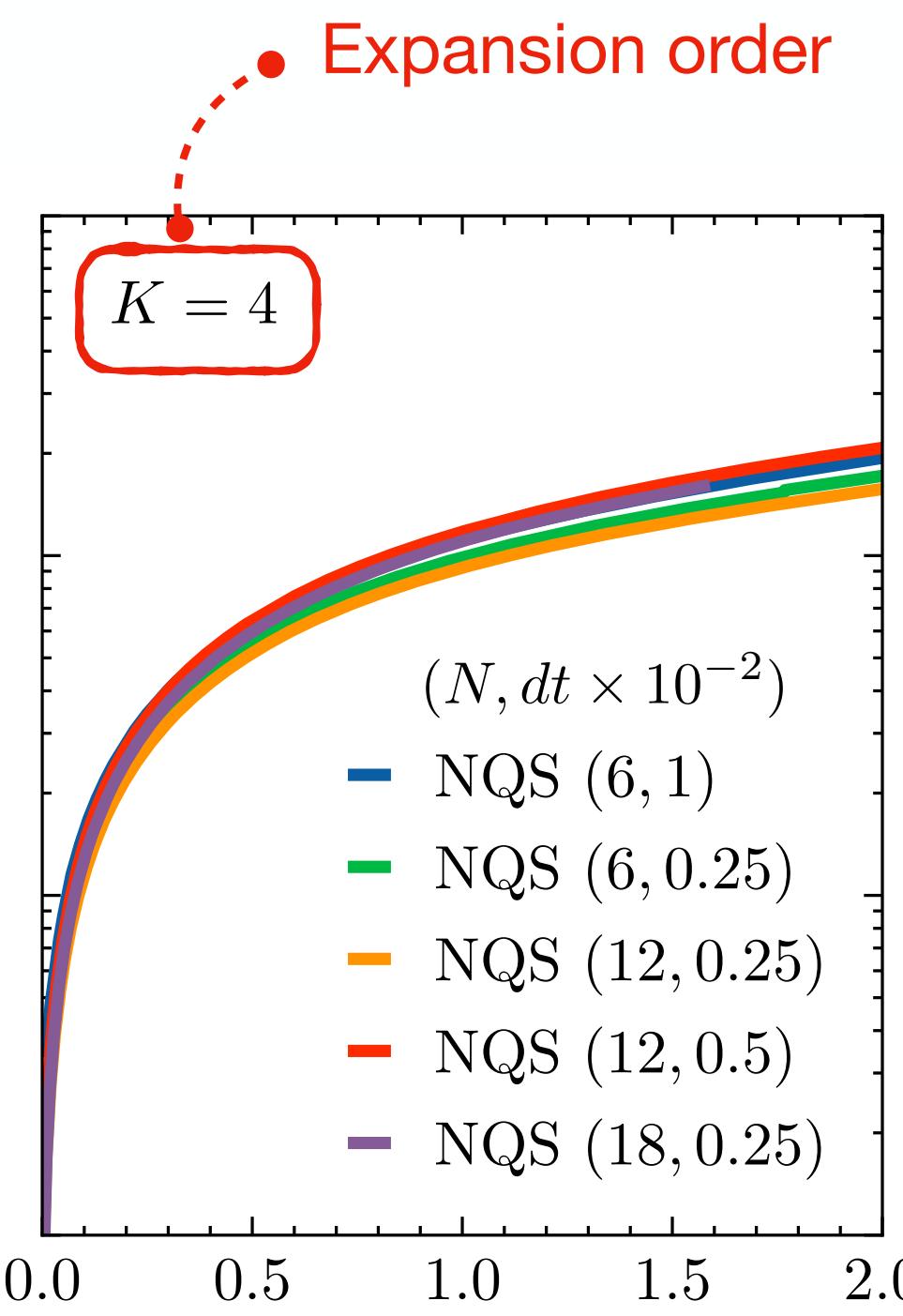
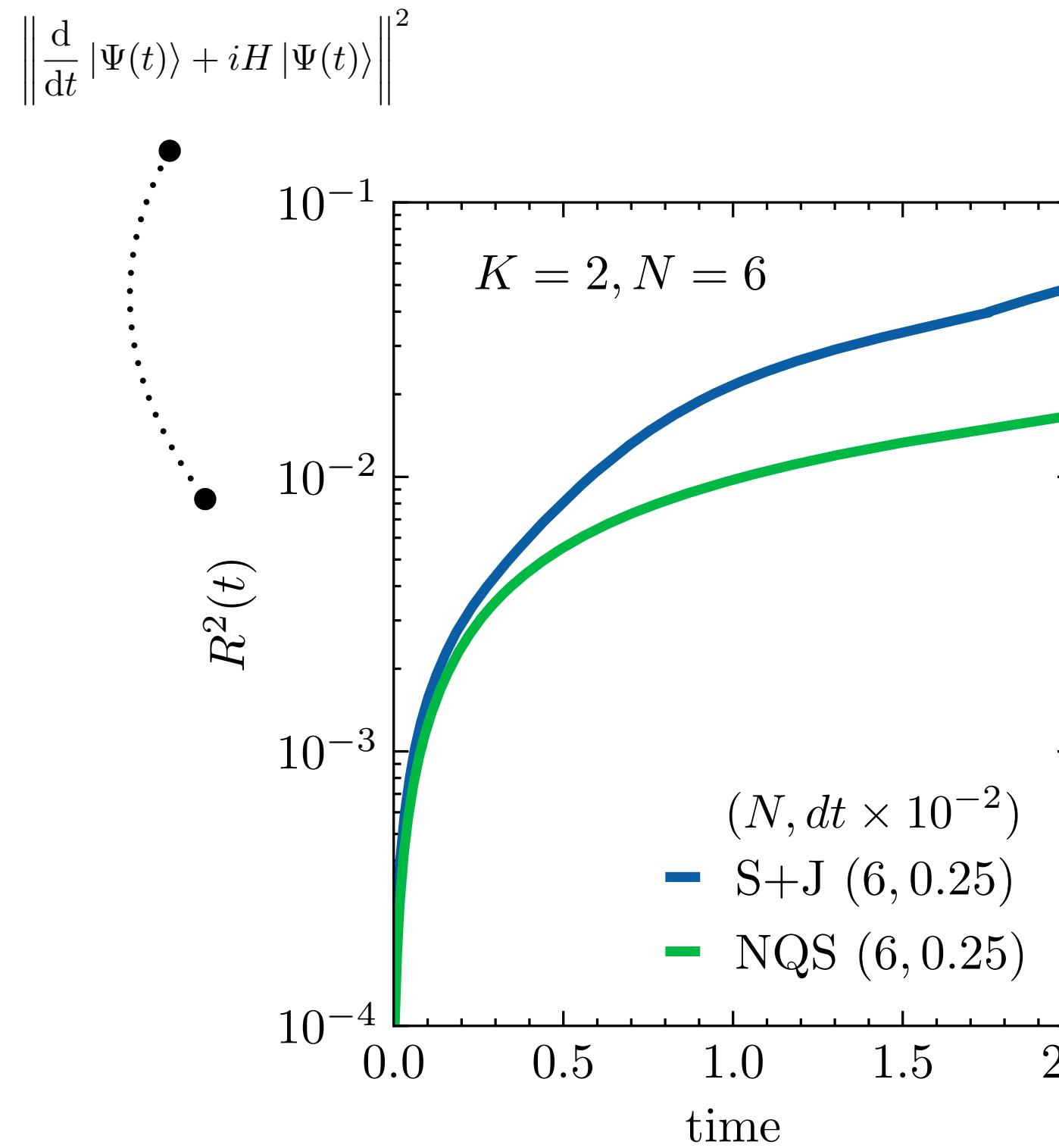
2D quantum dot

Quantum dynamics



Correlations

2D Quantum dot quench

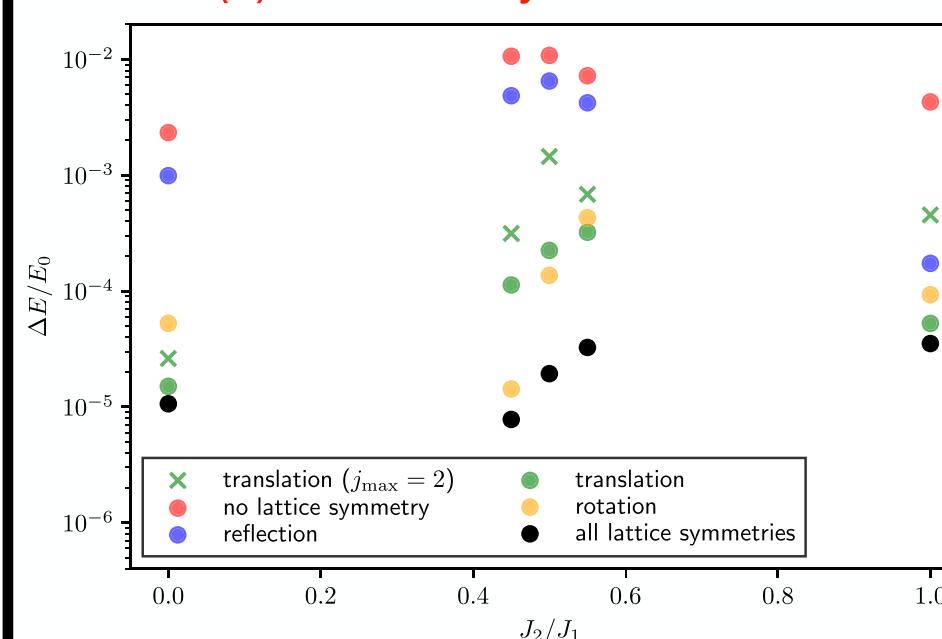


Overview of recent progress

New variational methods to capture strongly correlated systems

(Non-Abelian) symmetries

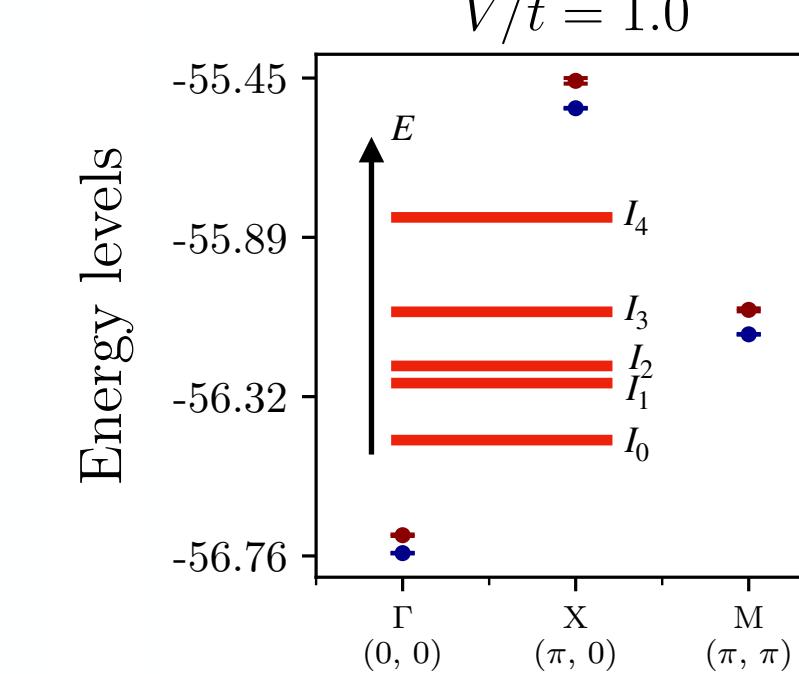
SU(2) + lattice symmetries in 2D



T.Vieijra, JN, et al. PRL (2020)
T.Vieijra, JN, PRB (2021)

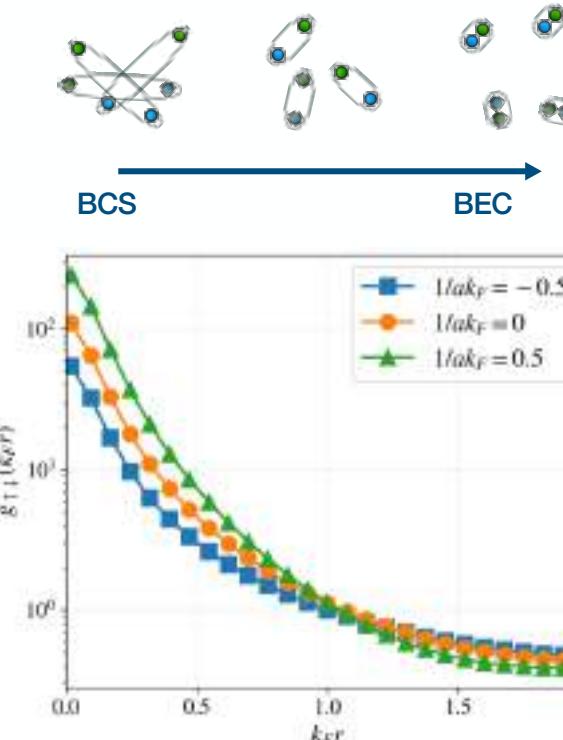
Electron spectroscopy

$V/t = 1.0$



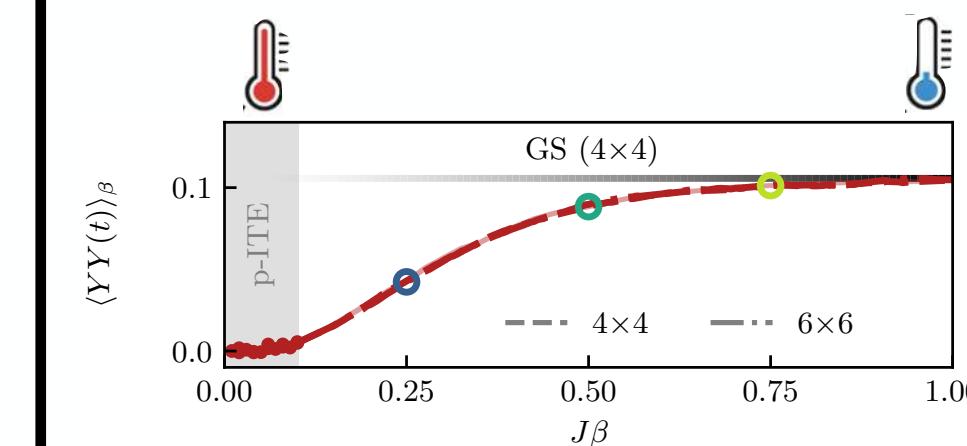
I.Romero, JN, et al, arxiv (2024)

Fermion pairing: BEC-BCS cross.



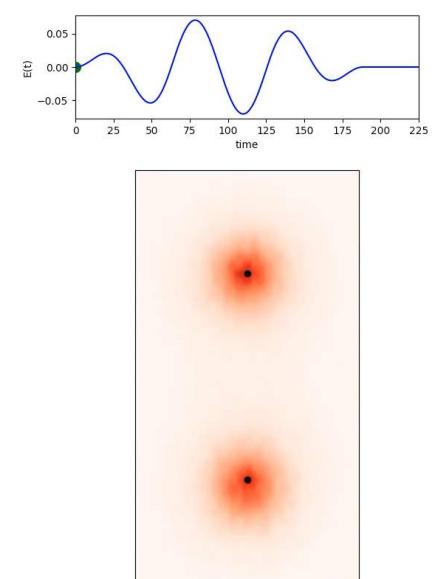
J.Kim, JN, et al, CommPhys (2023)

Thermal spins



JN, et al, PRB (2024)

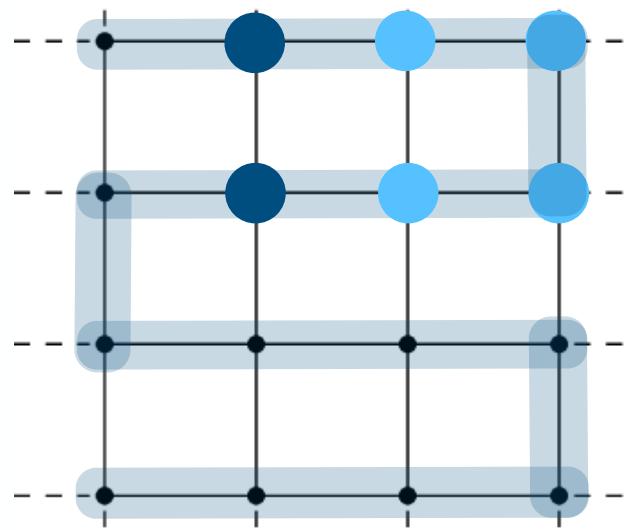
Electron dynamics



JN, et al, Nat Comm (2024)

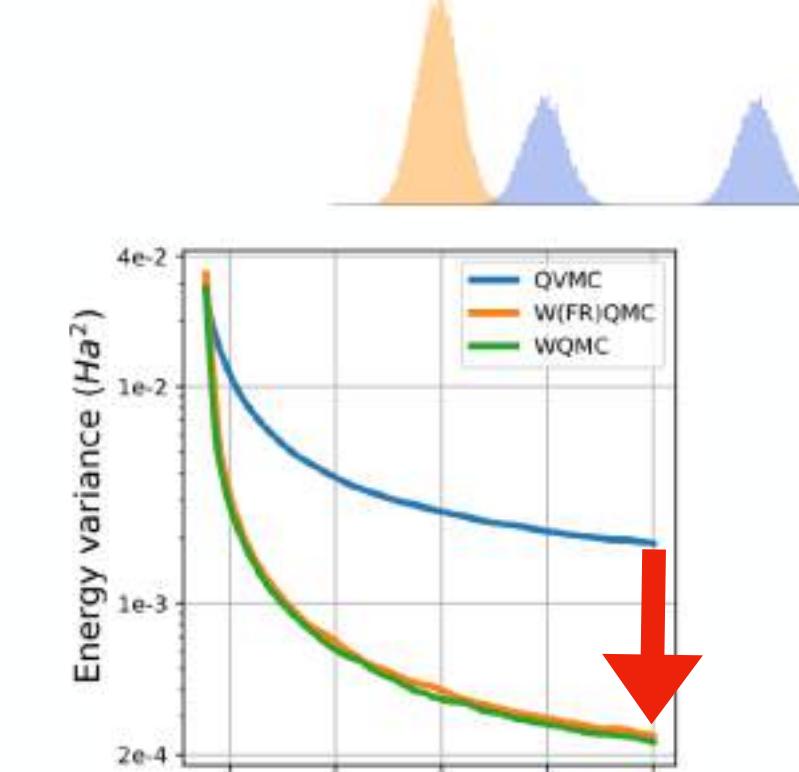
Representing fermions

Exact solutions of bosonization & local fermion-to-qubit mappings



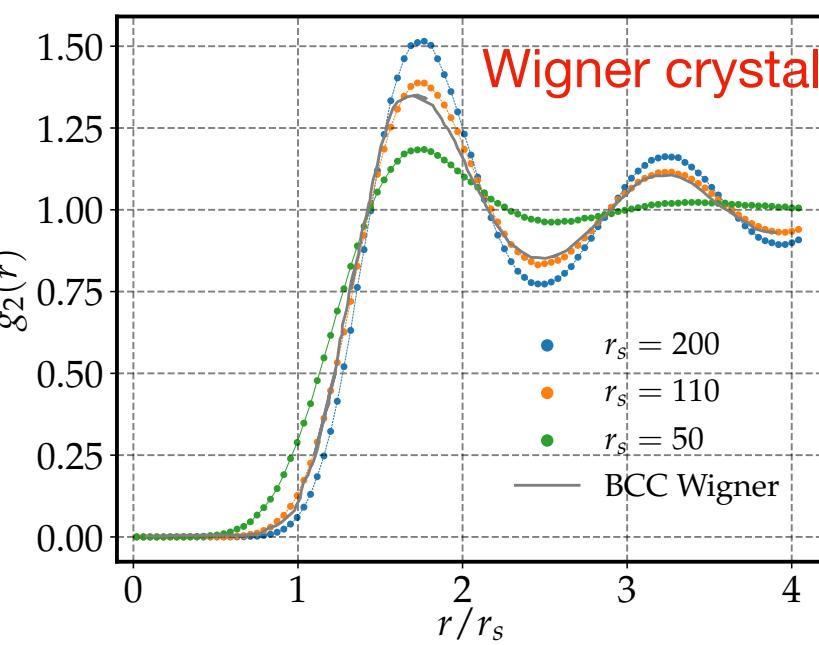
JN & Carleo, Quantum (2022)
JN & Carleo, Quantum (2023)

Advanced optimization in VMC



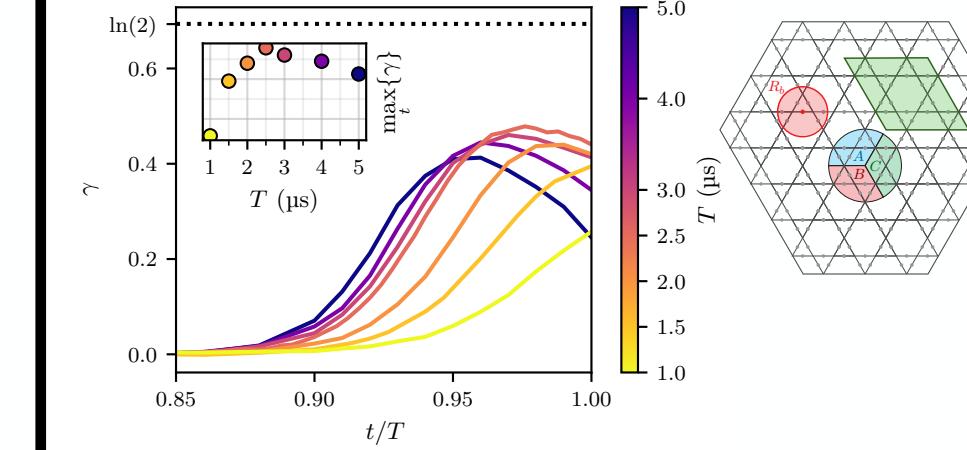
K.Neklyudov, JN, et al. NeurIPS (2024)

Bulk corr. electrons: 3D HEG



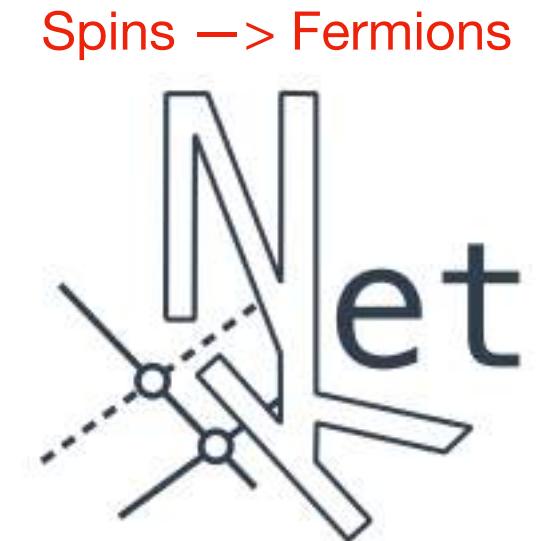
G.Pescia, JN, et al., PRB (2024)

Validation: analog simulators



L.Mauron, JN, et al, arxiv (2024)

Open Source VMC



NetKet.org, SciPost (2022)