Rutgers University School of Engineering

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14:440:127 - Introduction to Computers for Engineers

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week 1

"The purpose of computing is insight, not numbers" Richard Hamming

"I hear and I forget,
I see and I remember,
I do and I understand."

Confucious

This course is an introduction to MATLAB, a powerful programming language and development environment for engineers and scientists.

Syllabus and other course materials can be found in:

https://sakai.rutgers.edu

exam dates, lecture notes, homeworks, etc.

MATLAB = Matrix Laboratory (Cleve Moler)

MATLAB ® is a registered trademark of The Mathworks Inc., http://www.mathworks.com

MATLAB & Simulink Student Version

Main Features of MATLAB

- Easy and efficient programming in a high-level language, with an interactive interface for rapid development.
- Vectorized computations for efficient programming, and automatic memory allocation.
- Built-in support for state-of-the-art numerical computing methods.
- Has variety of modern data structures and data types, including complex numbers.
- High-quality graphics and visualization.

- Symbolic math toolbox for algebraic and calculus operations, and solutions of differential equations.
- Simulation capability with SIMULINK.
- Portable program files across platforms.
- Large number of add-on toolboxes for applications and simulations.
- Huge database of user-contributed files & toolboxes, including a large number of available tutorials & demos.
- Allows extensions based on other languages, such as C/C++, supports Java and object-oriented programming.

MATLAB Toolbox Application Areas

- Parallel Computing (2)
- Math, Statistics, and Optimization (8)
- Control System Design and Analysis (6)
- Signal Processing and Communications (7)
- Image Processing and Computer Vision (4)
- Test and Measurement, Data Acquisition (5)
- Computational Finance, Datafeeds (5)
- Computational Biology (2)
- Code Generation and Application Deployment (7)
- Database Connectivity (2)

(48 toolboxes)

SIMULINK Applications

- Fixed-Point and Event-Based Modeling
- Physical Modeling (mechanics, driveline, hydraulics, RF, electronics, power systems, biology)
- Control Systems (design, optimization, aerospace)
- Signal & Image Processing and Computer Vision
- Communication Systems (digital, analog, wireless)
- Code Generation (for embedded systems, DSP chips and FPGAs)
- more

Web Resources

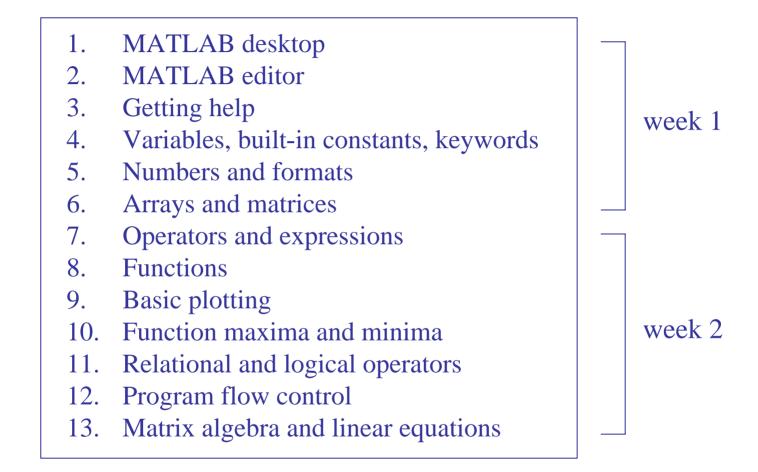
- Getting Started with MATLAB (HTML)
- Getting Started with MATLAB (PDF)
- MATLAB Examples
- MATLAB Online Tutorials and Videos
- MATLAB Interactive Tutorials
- MATLAB Toolbox Reference Manuals
- MATLAB Interactive CD
- <u>Newsletters</u>
- MATLAB User Community
- Other MATLAB Online Resources
- comp.soft-sys.matlab newsgroup
- Octave a free look-alike version of MATLAB
- NIST Digital Library of Mathematical Functions
- NIST Physical Constants

Weekly Topics

```
Week 1 - Basics – variables, arrays, matrices, plotting (ch. 2 & 3)
Week 2 - Basics – operators, functions, program flow (ch. 2 & 3)
Week 3 - Matrices (ch. 4)
Week 4 - Plotting – 2D and 3D plots (ch. 5)
Week 5 - User-defined functions (ch. 6)
Week 6 - Input-output formatting – fprintf, sprintf (ch. 7)
Week 7 - Program flow control & relational operators (ch. 8)
Week 8 - Matrix algebra – solving linear equations (ch. 9)
Week 9 - Structures & cell arrays (ch. 10)
Week 10 - Symbolic math (ch. 11)
Week 11 - Numerical methods – data fitting (ch. 12)
Week 12 – Selected topics
```

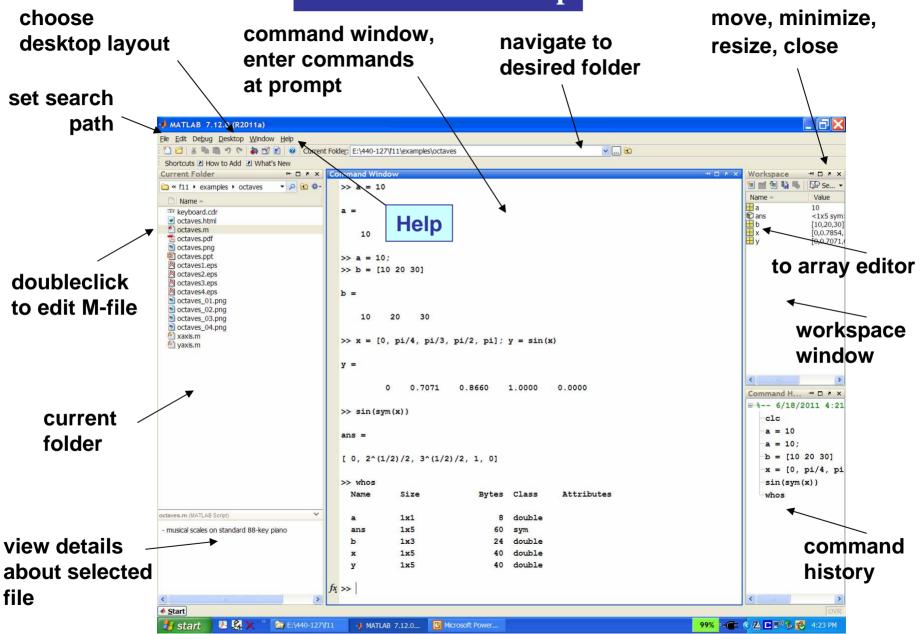
Textbook: H. Moore, MATLAB for Engineers, 2nd ed., Prentice Hall, 2009

MATLAB Basics

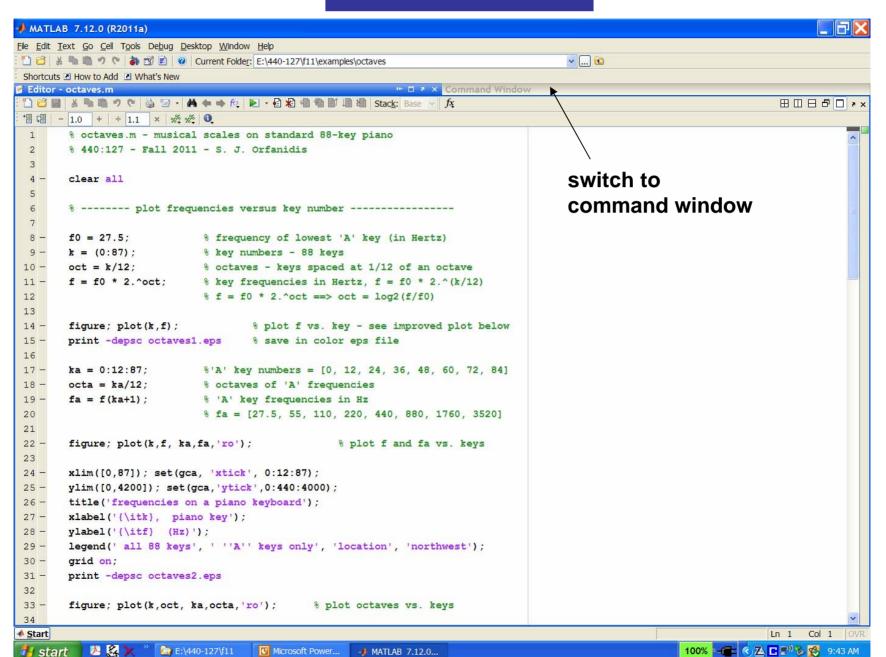


These should be enough to get you started. We will explore them further, as well as other topics, in the rest of the course.

1. MATLAB Desktop



2. MATLAB Editor



3. Getting Help

Several ways of getting help:

- help menu item on MATLAB desktop opens up searchable help browser window
- 2) from the following commands:

comments begin with %

```
>> helpdesk
                       % open help browser
>> help topic
                       % e.g., help log10
>> doc topic
                       % e.g., doc plot
>> help
                       % get list of all help topics
>> help dir
                       % get help on entire directory
>> help syntax
                       % get help on MATLAB syntax
>> help /
                       % operators & special characters
>> docsearch text
                       % search HTML browser for 'text'
>> lookfor topic
                       % e.g., lookfor acos
```

4. Variables, Constants, Keywords

Variables require no special declarations of type or storage. Examples:

```
>> x = 3;
                                    % simple scalar
>> y = [4, 5, 6];
                             % row vector of length 3
>> z = [4; 5; 6];
                            % column vector of length 3
>> A = [1,2,3; 4,5,6]; % 2x3 matrix
>> s = 'abcd efg';
                                              % string
>> s = {'abc', 'defg', '123-456'}; % cell array
  y = [4, 5, 6], \quad z = \begin{bmatrix} 4 \\ 5 \\ 6 \end{bmatrix}, \quad A = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{bmatrix}
```

math notation

```
\gg x = 3
x =
>> y = [4, 5, 6]
y =
    4 5 6
>> z = [4; 5; 6] % note, z = y'
z =
>> A = [1 2 3; 4 5 6]
A =
    4
      5
```

What are your variables? How to clear them? Use workspace window, or the commands:

who, whos, clear, clc, close

```
>> who
Your variables are:
Ayz
>> whos
                    Bytes Class Attributes
           Size
 Name
           2\times3
                       48
                          double
 A
                    24 double
         1x3
                       24 double
           3x1
>> clear all
                 % clear all variables from memory
>> clc
                 % clear command window
>> close all
                 % close all open figures
```

Operating system commands:

```
>> path
                     % display search path
>> pathtool
                    % modify search path
>> addpath dir % add directory to path
>> cd dir
                    % change directory
>> pwd
                     % print working directory
>> dir
                     % list all files in current dir
>> what
                    % list MATLAB files only
>> which file
                    % display location of file
>> edit file
                    % invoke MATLAB editor
>> quit
                    % quit MATLAB
>> exit
                     % quit MATLAB
```

Special built-in math constants that should not (though they can) be re-defined as variables:

```
eps
             % machine epsilon - floating-point accuracy
i,i
             % imaginary unit, i.e., sqrt(-1)
Inf, inf
             % infinity
intmax
             % largest value of specified integer type
intmin
             % smallest value of specified integer type
NaN, nan
             % not-a-number, e.g., 0/0, inf/inf
pi
             % pi
realmax
             % largest positive floating-point number
realmin
             % smallest positive floating-point number
```

Note: i, j are commonly used for array and matrix indices. If you're dealing with complex-valued data, avoid redefining both i, j.

Values of special constants:

```
% equal to 2^{(-52)}
>> eps
ans =
  2,2204e-016
                   % MATLAB's floating-point accuracy
                    % i.e., 2.2204 * 10^(-16)
>> intmax
                   % 2^(31)-1 for 32-bit integers
ans =
  2147483647
>> intmin
                   % equal to -2^{(31)}
ans =
 -2147483648
>> realmax
                   % equal to (2-eps)*2^(1023)
ans =
                   % i.e., 1.7977 * 10^(308)
  1.7977e+308
>> realmin
                   % 2^{(-1022)} = 2.2251 * 10^{(-308)}
ans =
  2,2251e-308
```

Special keywords that cannot be used as variable names:

```
>> iskeyword
ans
    'break'
                           'function'
    'case'
                           'global'
    'catch'
                           'if'
    'classdef'
                           'otherwise'
    'continue'
                           'parfor'
    'else'
                           'persistent'
    'elseif'
                           'return'
    'end'
                           'switch'
    'for'
                           'try'
                           'while'
```

'true' , 'false'

5. Numbers and Formats

MATLAB by default uses double-precision (64-bit) floating-point numbers following the IEEE floating-point standard. You may find more information on this standard in:

Representation of Floating-Point Numbers

C. Moler, "Floating Points," MATLAB News and Notes, Fall, 1996 (PDF file)

$$0 \le f < 1$$
 $f_{min} = eps = 2^{-52}$

machine epsilon

MATLAB can also use single-precision (32-bit) floating point numbers if so desired.

There are also several integer data types that are useful in certain applications, such as image processing or programming DSP chips. The integer data types have 8, 16, 32, or 64 bits and are signed or unsigned:

```
int8, int16, int32, int64 uint8, uint16, uint32, uint64
```

For more information do:

- >> help class % determine datatype

Complex Numbers

By default, MATLAB treats all numbers and expressions as complex (even if they are real).

No special declarations are needed to handle complex-number operations. Examples:

```
% or, 3+4j, 3+4*i, 3+4*j
>> z = 3+4i;
                          % real part of z
>> x = real(z);
>> y = imag(z);
                 % inaginary part of z
>> R = abs(z);
                  % absolute value of z
>> theta = angle(z); % phase angle of z in radians
>> w = conj(z);
                 % complex conjugate, w=3-4i
                          % test if z is real or complex
>> isreal(z);
z=x+j\,y=R\,e^{j	heta}\,,\quad R=|z|=\sqrt{x^2+y^2}\,,\quad 	heta=rctanrac{y}{x}
                           math notation: \theta = Arg(z)
  cartesian & polar forms
```

```
equivalent definitions:
>> z = 3+4j
z =
                           z = 3+4*j
   3.0000 + 4.0000i
                           z = 3+4i
                           z = 3 + 4 * i
>> x = real(z)
                           z = complex(3,4)
x =
     3
>> y = imag(z)
y =
     4
>> R = abs(z)
R =
     5
                            % in radians
>> theta = angle(z)
theta =
    0.9273
\Rightarrow abs(z - R*exp(j*theta)) + abs(z-x-j*y) % test
ans =
  6.2804e-016
```

Display Formats

```
>> format
                     % default - 4 decimal places
>> format short
                     % same as the default
>> format long
                     % 15 decimal places
>> format short e % 4 decimal - exponential format
>> format short g
                     % 4 decimals - exponential or fixed
>> format long e % 15 decimals - exponential
>> format long g % exponential or fixed
>> format shorteng % 4 decimals, engineering
>> format longeng % 15 decimals, engineering
>> format hex
                     % hexadecimal
>> format rat % rational approximation
>> format compact % conserve vertical spacing
>> format loose
                     % default vertical spacing
>> vpa(x,digits) % variable-precision-arithmetic
```

These affect only the display format – internally all computations are done with full (double) precision

Example - displayed value of 10*pi in different formats:

```
31,4159
                          % format, or format short
31,415926535897931
                          % format long
3.1416e+001
                          % format short e
31,416
                          % format short q
3.141592653589793e+001
                          % format long e
31,4159265358979
                          % format long g
31,4159e+000
                          % format shorteng
31,4159265358979e+000
                          % format longeng
>> vpa(10*pi)
                          % symbolic toolbox
ans =
31,415926535897932384626433832795
>> vpa(10*pi,20)
                          % specify number of digits
ans =
31,415926535897932385
      >> help format
      >> help vpa
      >> help digits
```

input/output functions: disp, input

```
>> x = 10; disp('the value of x is:'); disp(x);
the value of x is:
    10
>> x = input('enter x: ') % numerical input
enter x: 100
                                  % 100 entered by user
x =
                        prompt string in single quotes
   100
>> y = input('enter string: ', 's'); % string input
enter string: abcd efg
>> y = input('enter string: ')
                                   string entered with no quotes
enter string: 'abcd efg'
                                   string entered in quotes
y =
abcd efg
                    >> help disp
>> help fprintf
                    >> help input
                    >> help menu
>> help sprintf
```

6. Arrays and Matrices

arrays and matrices are the most important data objects in MATLAB

We discuss briefly:

- a) row and column vectors
- b) transposition operator, '
- c) colon operator,:
- d) equally-spaced elements, linspace
- e) accessing array elements
- f) dynamic allocation & de-allocation
- g) pre-allocation

The key to efficient MATLAB programming can be summarized in three words:

vectorize, vectorize, vectorize

and avoid all loops

Compare the two alternative computations:

```
x = [2,-3,4,1,5,8];
y = zeros(size(x));
for n = 1:length(x)
    y(n) = x(n)^2;
end
```

```
x = [2,-3,4,1,5,8];

y = x.^2;
```

element-wise exponentiation .^
ordinary exponentiation ^

```
>> x = [0 1 2 3 4 5]
                                    % row vector
x =
>> x = 0:5
                                     % row vector
>> x = [0 1 2 3 4 5]'
                                      % column vector, (0:5)
                       the prime operator, , or transpose, turns row
                       vectors into column vectors, and vice versa
      3
                       caveat: is actually conjugate transpose,
                       use dot-prime, , for transpose w/o conjugation
      5
```

```
>> z = [i; 1+2i; 1-i] % column vector
z =
       0 + 1.0000i
  1.0000 + 2.0000i
  1.0000 - 1.0000i
>> z.'
                   % transpose without conjugation
ans =
       0 + 1.0000i 1.0000 + 2.0000i 1.0000 - 1.0000i
>> z'
                   % transpose with conjugation
ans =
       0 - 1.0000i 1.0000 - 2.0000i 1.0000 + 1.0000i
>> (z.')' % same as (z').', or, conj(z)
ans =
       0 - 1.0000i
  1.0000 - 2.0000i
  1.0000 + 1.0000i
```

about linspace:

$$x = linspace(a,b,N+1);$$

is equivalent to:

$$x = a : (b-a)/N : b;$$

i.e., N+1 equally-spaced points in the interval [a,b] or, dividing [a,b] into N equal sub-intervals

$$x(n) = a + \left(\frac{b-a}{N}\right)(n-1)$$
, $n = 1, 2, ..., N+1$ increment $x = 0$: 0.2 : 1 % in general, $x = a$:s:b >> $x = 1$ inspace(0,1,6) % see also logspace $x = 0$ 0.2000 0.4000 0.6000 0.8000 1.0000 6 points, 5 subintervals

>>
$$x = 0 : 0.7 : 1$$

 $x = 0 : 0.7$

$$x = a : s : b;$$

the number of subintervals within [a,b] is obtained by rounding (b-a)/s, down to the nearest integer,

% before rounding, (b-a)/s was in the three cases: % 1/0.3 = 3.3333, 1/0.4 = 2.5, 1/0.7 = 1.4286

Note: MATLAB array indices always start with 1 and may not be 0 or negative

exception:
logical indexing,
discussed later

Other languages, such as C/C++ and Fortran, allow indices to start at 0. For example, the same array would be declared/defined in C as follows:

rule of thumb: M = C + 1

accessing array entries:

```
>> x = [2, 5, -6, 10, 3, 4]
\mathbf{x} =
          5 -6 10 3
>> length(x) % length of x, see also size(x)
ans =
     6
\gg x(1)
               % first entry
ans =
\gg x(3)
               % third entry
ans =
    -6
>> x(end)
               % last entry - need not know length
ans =
     4
```

accessing array entries:

```
>> x(end-3:end)
                       % \mathbf{x} = [2, 5, -6, 10, 3, 4]
ans =
    -6 10 3
                             % last four
                       % list third-to-fifth entries
>> x(3:5)
ans =
    -6 10
>> x(1:3:end)
                       % every third entry
ans =
        10
>> x(1:2:end)
                       % every second entry
ans =
    2 -6 3
```

accessing array entries:

```
>> x = [2, 5, -6, 10, 3, 4];
>> x(end:-1:1) % list backwards, same as fliplr(x)
ans =
    4 3 10 -6 5 2
>> x([3,1,5]) % list [x(3),x(1),x(5)]
ans =
    -6 2 3
>> x(end+3) = 8
x =
   2 5 -6 10 3 4
                automatic memory re-allocation
```

automatic memory allocation and de-allocation:

```
>> clear x
\gg x(3) = -6
x =
       0 -6
\gg x(6) = 4
x =
         0 -6 0
>> x(end) = []
                            % delete last entry
x =
         0 -6
                    0
>> x = [2, 5, -6, 10, 3, 4];
>> x(3)=[]
                            % delete third entry
x =
               10 3
    2
         5
```

pre-allocation

Pre-allocation is useful for very large arrays, e.g., length > 10⁴, for example, in dealing with audio or image files, or finite-element methods.

See, for example, the program echoes.m, which reads an audio file and adds reverberation effects to it, as described in echoes.pdf, and discussed also in week-2 lectures.

```
>> help zeros
>> help ones
```

illustrating dynamic allocation & pre-allocation

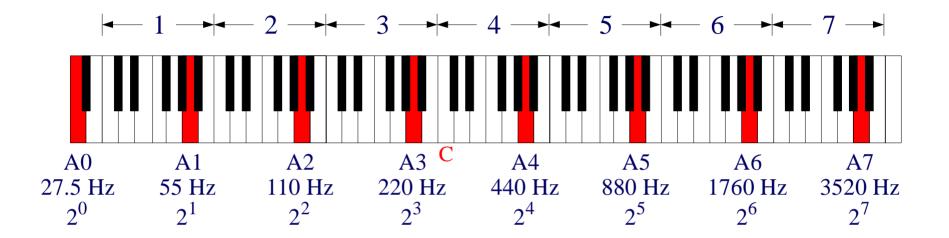
```
clear x;
for k=[3,7,10]
                       % k runs successively through
  x(k) = 3 + 0.1*k;
                       % the values of [3,7,10]
  disp(x);
                       % diplay current vector x
end
   0.0 0.0 3.3
   0.0 0.0 3.3 0.0 0.0 0.0 3.7
   0.0 0.0 3.3 0.0 0.0 0.0 3.7 0.0 0.0 4.0
x = zeros(1,10);
                       % pre-allocate x to length 10
for k=[3,7,10]
  x(k) = 3 + 0.1*k;
  disp(x);
end
   0.0
       0.0 3.3 0.0 0.0 0.0 0.0 0.0 0.0 0.0
   0.0 0.0 3.3 0.0 0.0 0.0 3.7 0.0 0.0 0.0
   0.0 0.0 3.3 0.0 0.0 0.0 3.7 0.0 0.0 4.0
```

Example: Octave Frequency Scales

- Tasks:
- calculate and plot the 88 frequencies of a standard 88-key piano keyboard
- introduce the concept of octave frequency scales
- generate and print the major notes (do, re, mi, fa, sol, la, si, do) of the middle (4th) octave, and play them forward & backward on the PC's soundcard (need earphones in the DSV lab)

Complete MATLAB program is in the M-file, **octaves.m**. Please, see also the handout, **octaves.pdf**, for more details.

standard 88-key piano keyboard



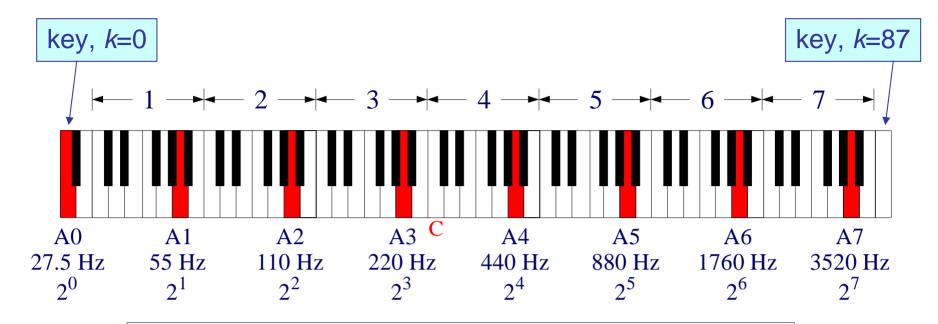
octaves =
$$\log_2\left(\frac{f}{f_0}\right) \implies f = f_0 \cdot 2^{\text{octaves}}$$

$$y(t) = \sin(2\pi f t) \rightarrow$$

generate tone and send it to MATLAB's sound() function Running the program, octaves.m, in command window:

publishing your programs to HTML is a good way to print and submit your homework problems. However, you must re-save it as a single file web archive (i.e., MHT file) that incorporates all the generated graphs (for Firefox you must install the Mozilla-Archive-Format add-on).

Alternatively, you can use any word processor and insert into it your MATLAB code and your graphs in WMF or EPS formats (this allows you to submit a single file, and preferably convert it into a PDF)



octaves =
$$\log_2\left(\frac{f}{f_0}\right) \implies f = f_0 \cdot 2^{\text{octaves}}$$

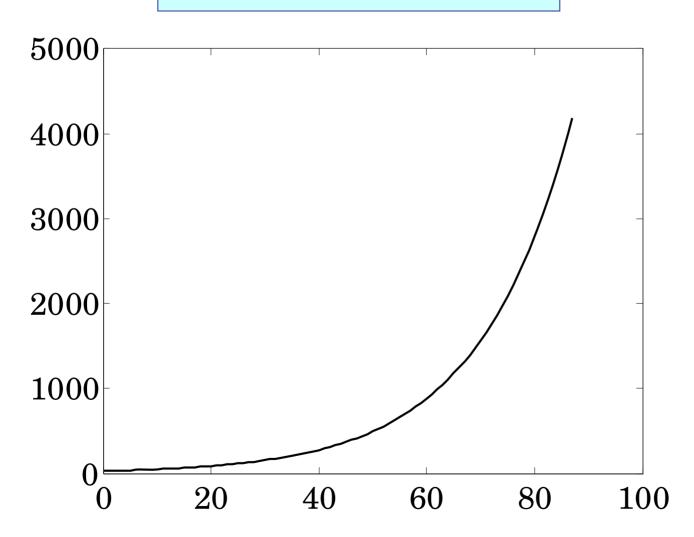
keys, k = [0, 1, 2, ..., 87]

keys are separated by 1/12 of an octave

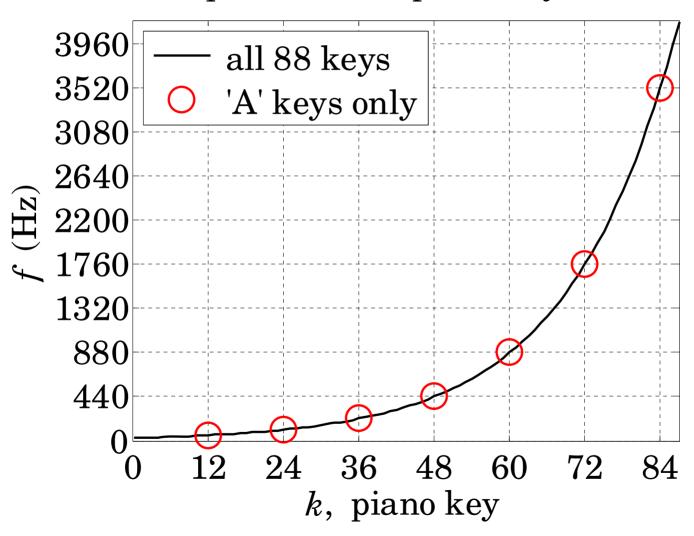
```
f0 = 27.5;
k = 0:87;
f = f0 * 2.^(k/12);
figure; plot(k,f);
```

MATLAB code from file octaves.m

plain vanilla plot with system default choices for axes limits, tick marks, and no labels



frequencies on a piano keyboard



```
ka = 0:12:87;
fa = f(ka+1);
figure; plot(k,f, ka,fa,'ro');

% ka = [0, 12, 24, 36, 48, 60, 72, 84]
% fa = [27.5, 55, 110, 220, 440, 880, 1760, 3520]
```

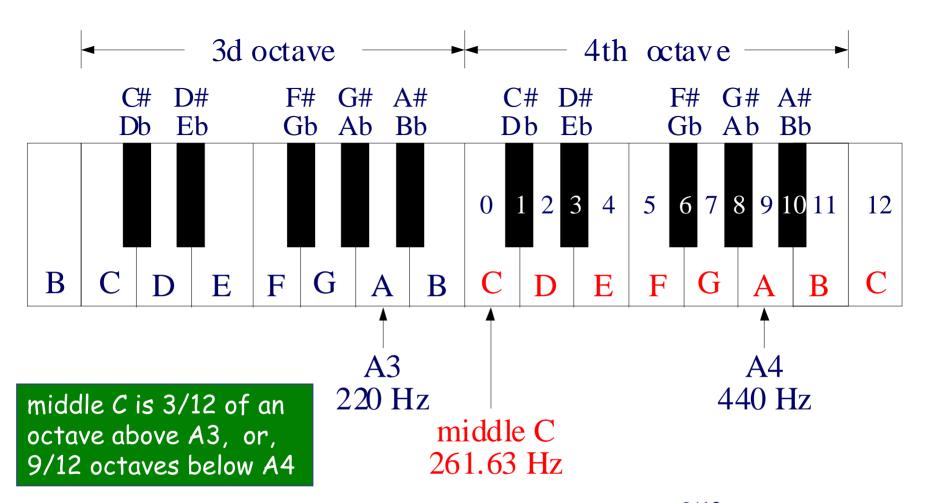
Next, we add commands to annotate the graph with axis labels, axis limits, tick marks, grid, title, and legends

>> help plot

note: we defined fa as a subset of f, but we could have defined it directly as, fa = f0 * 2.^(ka/12);

```
----- red color, open circles
ka = 0:12:87;
fa = f(ka+1);
                                            plot fa vs. ka.
figure; plot(k,f, ka,fa,'ro');
                                            i.e.. 'A' kevs
% ka = [0, 12, 24, 36, 48, 60, 72, 84]
% fa = [27.5, 55, 110, 220, 440, 880, 1760, 3520]
                                                 set axis limits
xlim([0,87]); set(gca,'xtick', 0:12:87);
                                                 and tick marks
ylim([0,4200]); set(gca,'ytick', 0:440:4000);
title('frequencies on a piano keyboard');
xlabel('{\itk}, piano key');
ylabel('{\itf} (Hz)');
legend(' all 88 keys', ' ''A'' keys only', 'location', 'nw');
grid on;
print -depsc octave2.eps % save plot in color EPS file
print -dmeta octave2.wmf
                             % save plot in windows metafile
```

note: we defined fa as a subset of f, but we could have defined it directly as, fa = f0 * 2.^(ka/12);



$$261.63 = 220 \cdot 2^{3/12} = 440 \cdot 2^{-9/12}$$

 4^{th} octave keys, k = 0.12, MATLAB index = k+1 = 1.13 major keys are a subset of k, m = [0, 2, 4, 5, 7, 9, 11, 12]

calculate frequencies in 4th octave

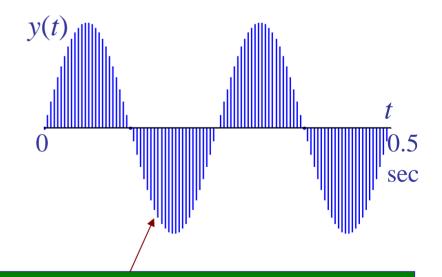
```
fc = 220 * 2^(3/12);
k = 0:12;
f = fc * 2.^(k/12);
```

- % middle C frequency
- % keys in 4th octave only
- % frequencies of 4th octave

% fc =
$$440 * 2^{(-9/12)}$$
; % alternative calculation

Next, for each *f* of the major keys, we generate a tone of half-second duration & play it on the PC's sound card (at the card's default sampling rate, fs = 8192 samples/sec):

$$y(t) = \sin(2 \pi f t), \quad 0 \le t \le 0.5 \text{ sec}$$



i.e.,
$$t = 0 : T : 0.5$$

time samples are spaced at the default sampling interval T = 1/fs = 0.122 msec

generate & play major notes in 4th octave

```
fs = 8192; T = 1/fs; % default sampling rate
Tmax = 0.5;
                        % half-second duration for notes
t = 0:T:Tmax;
                        % length(t) = 4097 points
                         % steps of T = 1/fs = 0.1221 msec
m = [0 \ 2 \ 4 \ 5 \ 7 \ 9 \ 11 \ 12]; % major keys in 4th octave
                         % CDEFGABC = do re mi fa sol la si do
                             % m+1 = [1 3 5 6 8 10 12 13]
for i=m+1,
  y = \sin(2*pi*f(i)*t);
                             % y has half-second duration
  sound(y,fs);
                             % play y at rate fs
end
pause;
                           % pause until a key is depressed
for i=fliplr(m+1),
                    % fliplr(m+1)=[13 12 10 8 6 5 3 1]
   y = \sin(2*pi*f(i)*t);
                           % play them in reverse order
   sound(y,fs);
end
```

formatted printing of frequencies and key names

k	oct=k/12	f=fc*2^(k/12)	keys	
0	0.0000	261.63	C	do
1	0.0833	277.18	C#	
2	0.1667	293.66	D	re
3	0.2500	311.13	D#	
4	0.3333	329.63	E	mi
5	0.4167	349.23	F	fa
6	0.5000	369.99	F#	
7	0.5833	392.00	G	sol
8	0.6667	415.30	G#	
9	0.7500	440.00	A	la
10	0.8333	466.16	A#	
11	0.9167	493.88	В	si
12	1.0000	523.25	C	do 🗸
				cell arrays

```
% formatted printing of frequencies and key names
% define cell arrays of key names to facilitate printing
keys = {'C', 'C#', 'D', 'D#', 'E', 'F', 'F#', 'G', 'G#',...
       'A', 'A#', 'B', 'C'};
                                      empty string
doremi = { 'do', '', 're', '', 'mi', 'fa', '', 'sol',...
               '', 'la', '', 'si', 'do'};
                                           cell arrays use {...}
fprintf('\n');
fprintf('k oct=k/12 f=fc*2^(k/12) keys^n');
fprintf('----\n');
for i=k+1,
 fprintf('%2d %1.4f %3.2f %s %s\n', ...
         i-1, k(i)/12, f(i), keys{i}, doremi{i});
end
                             i-th entry of cell arrays
                                               ellipsis
                                                continues to
                                               next line
>> help fprintf % formatted printing
>> doc fprintf
```