



Feasibility of machine learning methods for predicting hospital emergency room visits for respiratory diseases

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Abstract

The prediction of hospital emergency room visits (ERV) for respiratory diseases after the outbreak of PM_{2.5} is of great importance in terms of public health, medical resource allocation, and policy decision support. Recently, the machine learning methods bring promising solutions for ERV prediction in view of their powerful ability of short-term forecasting, while their performances still exist unknown. Therefore, we aim to check the feasibility of machine learning methods for ERV prediction of respiratory diseases. Three different machine learning models, including autoregressive integrated moving average (ARIMA), multilayer perceptron (MLP), and long short-term memory (LSTM), are introduced to predict daily ERV in urban areas of Beijing, and their performances are evaluated in terms of the mean absolute error (MAE), root mean squared error (RMSE), mean absolute percentage error (MAPE), and coefficient of determination (R^2). The results show that the performance of ARIMA is the worst, with a maximum R^2 of 0.70 and minimum MAE, RMSE, and MAPE of 99, 124, and 26.56, respectively, while MLP and LSTM perform better, with a maximum R^2 of 0.80 (0.78) and corresponding MAE, RMSE, and MAPE of 49 (33), 62 (42), and 14.14 (9.86). In addition, it demonstrates that MLP cannot detect the time lag effect properly, while LSTM does well in the description and prediction of exposure-response relationship between PM_{2.5} pollution and infecting respiratory disease.

Keywords PM_{2.5} exposure · Respiratory diseases · Emergency room visits · Machine learning

Introduction

The development of modern industry, accelerated urbanization process, and increasing consumption of energy have caused various environment problems. In particular, the air pollution problem has aroused great public attention and led to more and more concerns for public health, including cardiovascular diseases, respiratory diseases, psychological disturbances, early death, and so on (Cohen et al. 2017; Ren et al.

2016; Ho et al. 2014). There is evidence showing that the prevalence of respiratory diseases is increasing (Requia et al. 2018; Shaddick et al. 2018), and short or long exposure to high levels of PM_{2.5} pollution will lead to greater chance for respiratory problems according to epidemiological studies (Xing et al. 2016; kappos et al. 2004). Meanwhile, recent studies have revealed the lag effect between exposure and reaction; for example, Li et al. have proved that multi-day lag effect is greater than single-day lag effect (Li et al.

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2013). It will induce high peak of hospital emergency room visit (ERV) and sudden pressure for medical resources. Therefore, it is meaningful to predict the hospital ERV of respiratory diseases after high levels of PM_{2.5} pollution events, which can help government and hospital to make decisions and allocate relevant medical resources.

The common traditional regression methods for prediction, such as Grey model, Markov model, exponential smoothing model, and autoregressive integrated moving average (ARIMA) model, are usually easy and quick to perform and have been widely studied in previous studies (Kayacan et al. 2010; Song et al. 2014; Tseng et al. 2001). ARIMA is popular in time series analysis and forecast; for example, Luo et al. construct two traditional models, i.e., seasonal ARIMA model and single exponential smoothing model, and a combined model of the previous two to predict ERV. It demonstrates that traditional models are easy to be implemented and only need a small amount of calculation (Luo et al. 2017), which means that they are suitable for short-term forecast. In general, based on the statistical analyses of collected historical data, ERV for respiratory diseases over time can be predicted. However, there exists some disadvantages in these methods. One disadvantage is that traditional methods have difficulties in dealing with situations with multi-factor effects, that is, when multiple factors fluctuate, the prediction accuracy reduces significantly. In addition, traditional methods are influenced by human intervention to a certain extent, for instance, the selection of meteorological factors and the configuration of their weights in the prediction model.

It has been proven that various factors, including diverse air pollutants and weather conditions, are critical in the prediction of ERV for respiratory diseases (Zanobetti and Schwartz 2006; Green et al. 2010), but traditional methods are not good at handling multi-factor effects. On the contrary, artificial neural networks can overcome such disadvantage and have been applied in many studies of different fields (Peng et al. 1992; Kuligowski and Barros 1998; Feng et al. 2015; Li et al. 2017). Bibi et al. use the back propagation (BP) neural network model to predict ERV for the respiratory disease in Ashkelon, Israel (Bibi et al. 2002), and find out that the model performance is significantly improved after temperature, humidity, and air pollutants are taken into consideration. Artificial neural networks also have strong nonlinear mapping capabilities and are able to construct the model totally depending on dataset itself, that is to say, they can model the relationships among data through automatic self-learning rather than with prior knowledge (Schmidhuber 2015; LeCun et al. 2015). Polezer et al. employ three different artificial neural networks to assess the impact of PM_{2.5} on respiratory disease, including multilayer perceptron (MLP), extreme learning machines (ELM), and echo state networks (ESN), finding MLP shows best performance (Polezer et al. 2018). In addition, compared with regression methods, artificial neural networks exhibit

their superiority in simplifying the process of modeling the relationship between influencing factors and ERV.

Although artificial neural networks have advantages in analyzing nonlinear relationship, it is difficult to describe relationship with time lag effect. Previous studies have found that there is time lag between exposure to fine particles and reaction of ERV for respiratory diseases (Mantovani et al. 2016; Halonen et al. 2008; Gasparrini et al. 2010), and it is worthwhile considering such time lag effect when predicting ERV. Traditional neural networks cannot capture the time lag effect between the exposure to PM_{2.5} and reaction, making prediction results less accurate. Long short-term memory (LSTM) model, a deep learning method, whose structure is inherently suitable for catching the time lag effect (Hochreiter and Schmidhuber 1997; Gers et al. 2000), brings possibility to handle this time lag effect problem.

Many researchers introduce various methods into topics related to public health, such as predicting morbidity and mortality, hospital admission, and so on, while there is little literature about using machine learning methods for predicting hospital ERV for respiratory diseases. Additionally, it is unclear to what degree different machine learning methods will affect the prediction accuracy. Therefore, we explore the feasibility of machine learning methods for predicting ERV for respiratory diseases. The ARIMA model, MLP model, and LSTM model, regarded as representative machine learning methods, are evaluated and compared, in order to support better allocation of medical resources.

Accordingly, the structure of the paper is organized as follows: “Data and methods” introduces the study area, data, machine learning methods, and evaluation metrics used in the study. “Results and discussion” describes the results and discussions of the different models, which is followed by conclusions in “Conclusion”.

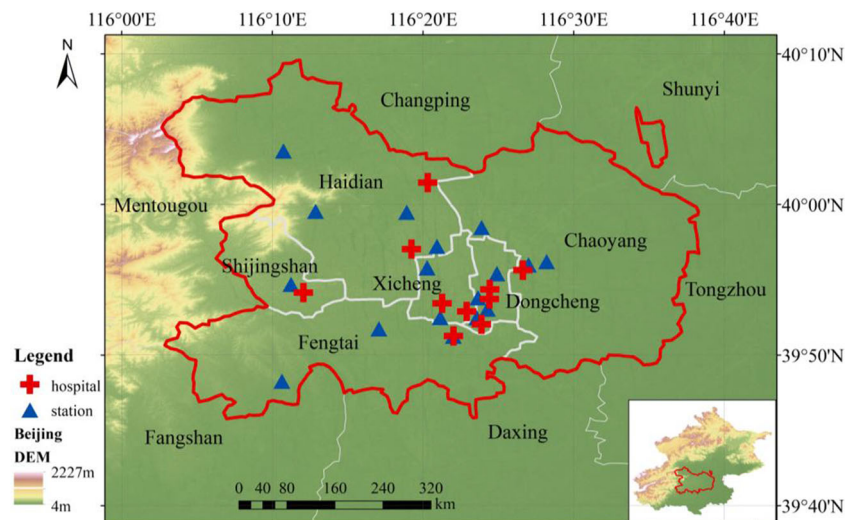
Data and methods

Study area

Beijing, the capital of China, is the political and economic center of the China and has dense populations and intense human activity (Han et al. 2014). According to Beijing National Economic and Social Development Statistical Bulletin 2019 (<http://www.beijing.gov.cn/>), at the end of 2019, the resident population reaches 21.536 million, of which 18.65 million are urban residents, accounting for 86.6% of the resident population.

As shown in Fig. 1, Beijing is high in the northwest and low in the southeast and the southeast area of Beijing is an alluvial plain. This special topography is the geographical cause of air pollution in Beijing, especially when the southeast wind prevails, pollutants will accumulate in the southeast

Fig. 1 Distribution of used hospitals (red crosses) and air quality monitor stations (blue triangles)



plain and blow straight into the urban area along with the wind. In addition, the mountains on three sides make it difficult for the pollutants accumulated in the urban area to spread, aggravating the urban air pollution. Located in the East Asian monsoon region, Beijing has a warm temperate semi-humid and semi-arid monsoon climate, which determines that foreign pollutants in Beijing mainly come from the northwest and southeast directions. Besides, the distribution of precipitation in Beijing is uneven throughout the whole year, that is, the precipitation is mainly concentrated in summer while there is less precipitation in the other seasons. In winter, the smog problem caused by heating gets worse due to the lack of precipitation (Sun et al. 2013). In January 2013, a long-lasting episode of severe haze occurred in central and eastern China (Wang et al. 2014), Beijing is also deeply affected. Therefore, we choose Beijing urban area as our study region and implement our experiments in 2013. The urban areas include Dongcheng District, Xicheng District, Chaoyang District, Haidian District, Fengtai District, and Shijingshan District (Fig. 1).

Dataset

The $PM_{2.5}$ concentration data and meteorological data are measured by air quality monitoring stations established by Beijing Environmental Protection Bureau, which can be accessed from the website <http://zx.bjmemc.com.cn>. The provided elements include daily mean concentration of $PM_{2.5}$ ($\mu g/m^3$), daily mean temperature ($^{\circ}C$), daily mean relative humidity (%), and daily mean wind speed (MSPD) (m/s). There is a total of 17 air quality monitoring stations, and the daily average values of elements mentioned above are used to describe the air condition of Beijing urban area on the exact day. The basic statistic information of the dataset is shown in

Table 1, including maximum value, minimum value, and average value of the all used data. It is noteworthy that the $PM_{2.5}$ pollution in urban area of Beijing is quite severe, with average $PM_{2.5}$ concentration reached 102.11 $\mu g/m^3$ in 2013, which is nearly 3 times above the second level of concentration limit of ambient air pollutants (35 $\mu g/m^3$) according to the ambient air quality standards (GB 3095-2012).

In order to represent the spatial distribution of the population in main urban area, the ERV data are collected from 10 comprehensive hospitals in the main urban area of Beijing, covering a period from January 1, 2013 to December 31, 2013. In addition, the ERV data is recorded by electronic medical system from one to three class-A hospitals in each urban area of Beijing (Xu et al. 2016). The locations of involved hospitals are shown as red crosses in Fig. 1. In this paper, the operational definition for the total ERV for respiratory diseases is ICD-10:J00-J99, including upper respiratory tract infection (ICD-10:J00, J02-J06), lower respiratory tract infections (ICD-10: J12-J22, J44 [except J44.103 and J44.901]), chronic obstructive pulmonary disease (ICD-10: J44.103, J44.901), and asthma (ICD-10:J45-46), according to the 10th edition of the International Classification of Diseases Code J00-J99. The basic statistic information of ERV is shown in Table 1. Besides, if daily admission exceeds the 4th quartile of within this week, this day is regarded as influenza epidemics. (Wong et al. 2002).

In addition, before the experiment, all kinds of data are standardized according to Equation (1), so that the scale of different influencing factors can be kept being the same.

$$v_i^* = \frac{v_i - \frac{1}{n} \sum_{i=1}^n v_i}{\sqrt{\frac{\sum_{i=1}^n (v_i - \bar{v})^2}{n}}} \quad (1)$$

Table 1 The basic information of the used dataset

	PM _{2.5} (μg/m ³)	Temperature (°C)	Relative humidity (%)	MSPD (m/s)	ERV(person)
Maximum	508.53	29.01	93.25	6.60	556
Minimum	6.65	−12.56	18.95	0.70	129
Average	102.11	11.30	58.67	2.12	253

where v_i^* represents the i th value of certain elements after standardization, v_i represents the original value of i th element.

Machine learning methods

Three typical machine learning methods including ARIMA, MLP, and LSTM used are introduced in this section. For easy expression and better understanding, the whole dataset is denoted as $\mathbf{D} = \{\mathbf{D}_1, \mathbf{D}_2, \dots, \mathbf{D}_n\}$, in which n represents the length of the times series. For the i th day, \mathbf{D}_i is denoted as $\mathbf{D}_i = \{x_{1,i}, x_{2,i}, \dots, x_{m,i}, y_i\}$, in which $x_{m,i}$ is the average of the m th influencing factor on the i th day, m represents the number of factors, and y_i represents the total ERV of involved hospitals of the certain day. Since the lag effect is considered in this study, the predictions are made based on 1- to 5-day lag assumptions, naming as timestep t , which means the observed data of previous several days are used to predict the patient number on the certain day, i.e., $\{\mathbf{D}_{i-t}, \dots, \mathbf{D}_{i-2}, \mathbf{D}_{i-1}\}$ is used to predict $\{y_i\}$. In addition, the whole dataset is divided as training and testing data, and the ERV is predicted using three different machine learning methods for inter-comparisons.

ARIMA model is one of the most classic models for prediction of time series, which has been widely applied in many areas, such as electricity price prediction, energy consumption forecast, and so on (Contreras et al. 2003; Zhang et al. 2003; Yuan et al. 2016). The basic idea of the ARIMA model is to use a certain mathematical model to describe the random time series of the data, then predict the future values based on the past, and present values, so-called autoregression. An ARIMA (p, d, q) model can be described as following formula.

$$\left(1 - \sum_{i=1}^p \phi_i L^i\right) (1-L)^d \mathbf{Y} = \left(1 + \sum_{i=1}^q \theta_i L^i\right) \varepsilon \quad (2)$$

where L represents the lag operator, p represents the number of autoregressive terms, q represents the number of moving average terms, and d represents the degree of differencing, and ϕ , θ , and ε are relevant parameters. In the ARIMA model, $\mathbf{Y} = \{y_{i-t}, \dots, y_{i-2}, y_{i-1}\}$ is used to predict $\{y_i\}$. Specifically, Akaike information criterion (AIC) is used to determine the rank of ARMA model, and five ARIMA model is constructed based on time lag assumptions of 1–5 days with the smallest AIC.

MLP model is one of the most effective artificial neural network technologies for modeling and forecasting, so it has

been used as a benchmark model by many studies (Bui et al. 2017). MLP has been widely used to simulate nonlinear and complex processes in the real world, because of its high general approximation ability (Pham et al. 2017; Sadowski et al. 2018). MLP includes at least three layers: input layer, hidden layer, and output layer. The neurons of each layer in MLP are fully interconnected. The number of neurons in the input layer, hidden layer, and output layer is determined by the number of independent variables, the size of the training data set, and the classification task. At the same time, MLP model training can be divided into two stages based on forward propagation algorithm and back propagation algorithm (Bui et al. 2016). The perceptron forms a new linear input combination (or a nonlinear input combination) by assigning different weights to the input, and then generates a single output based on several true-valued inputs. It can be described by the following formula:

$$\mathbf{y}_i = \varphi \left(\sum_{i=1}^{nt} \omega_i \mathbf{x}_i + b \right) = \varphi(\omega^T \mathbf{x} + b) \quad (3)$$

where \mathbf{y} represents the total ERV of 10 hospital, ω represents the weight vector, the input vector \mathbf{x} includes nt values representing n influencing factors of previous t days, b is the deviation, and φ is the nonlinear activation function.

An MLP model with one input layer, one hidden layer, and one output layer is constructed to make the prediction of patient number asking for medical help after exposure to high levels of PM_{2.5} pollution. The number of nodes in the input layer is set corresponding with the time lag and the number of nodes in the output layer is a constant value of 1. The remaining parameter settings of the model include the number of hidden layer nodes, the activation function of each remaining layer, optimizer selection, batch size, number of iterations, and so on, which are all determined by tree-structured Parzen estimator (TPE) algorithm within given ranges; the final chosen parameters are shown in Table 2. And the model loss function is set to mean square error (MSE).

Adopted set values are italicized

Learning the long-term dependencies is a difficult problem for recurrent neural networks (RNNs), because of the “gradient vanishing and blowing up” phenomenon in long process of back propagation (Hochreiter and Schmidhuber 1997; Bengio et al. 1994). In order to handle this long-time lag task, LSTM model is proposed by Hochreiter and Jürgen

Table 2 The structure of the MLP model

Parameter name	Search space
The activation function of the first hidden layer	Sigmoid, tanh, <i>ReLU</i>
The activation function of output layer	<i>Sigmoid</i> , tanh, ReLU
Number of nodes in the first hidden layer	16, 32, 64, 128
Optimizer	SGD, <i>Adam</i> , RMSprop
Batch size	8, 16, 32, 64, 128
Number of iterations	250, 500

Schmidhuber in 1997 (Hochreiter and Schmidhuber 1997), which has new repeating unit, different from the unit in the standard RNN network (Ger et al. 2000). The developed memory unit includes four main elements: input gates, neurons with self-loop connections, forgetting gates, and output gates. In an LSTM cell, the forget gate decides what and how information to be discarded from the calculation, using non-linear functions and weight matrixes. The input gate determines what information to be added into the calculation (sigmoid layer) and gets the new candidate information (tanh layer). The cell updates the information. Finally, the output gate determines what and how information to be output, also including a sigmoid layer and a tanh layer. In general, the LSTM model can be described by the following formula:

$$y_i = LSTM(\mathbf{D}_{i-1}, \mathbf{D}_{i-2}, \dots, \mathbf{D}_{i-t}) \quad (4)$$

where i represents the i th day, y represents the ERV, *LSTM* represents the algorithm of the LSTM method, \mathbf{D}_i represents the vector of $\{x_{1,i}, x_{2,i}, \dots, x_{m,i}, y_i\}$, in which x represents the vector of different influencing factors, m represents the number of influencing factors, and t represents the timestep.

A three-layer LSTM together with two dense layers is constructed to make the prediction of how many patients will ask for medical help after a few days of exposure to high levels of $PM_{2.5}$. Dense layers are activated by ReLU function, and the loss function of the LSTM model is set as MSE. To optimize the parameters of the model, TPE algorithm is also used based on the *hyperopt* package (Bergstra et al. 2013) and *keras* package (Chollet 2015) of python in this study. The remaining parameters are listed in Table 3.

Adopted set values are italicized

Evaluation methods

Several evaluation indicators are chosen to measure the prediction accuracy of different models, including the mean absolute error (MAE), the root mean squared error (RMSE), the mean absolute percentage error (MAPE), and the coefficient of determination (R^2). Their formulas are as follows:

Table 3 The structure of the LSTM model

Parameter name	Search space
Number of nodes in layer 1 of LSTM	16, 32, 64, 128
Number of nodes in layer 2 of LSTM	16, 32, 64, 128
Number of nodes in layer 3 of LSTM	16, 32, 64, 128
Units of dense layer 1	/
Activation function of layer 1	Sigmoid, tanh, <i>ReLU</i>
Units of dense layer 2	/
Optimizer	SGD, <i>Adam</i> , RMSprop
Batch size	8, 16, 32, 64, 128
Number of iterations	250, 500
Initial learning rate	0.1, 0.05, 0.02

$$MAE = \frac{1}{n} \sum_{i=1}^n |\hat{y}_i - y_i| \quad (5)$$

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (\hat{y}_i - y_i)^2} \quad (6)$$

$$MAPE = \sum_{i=0}^n \left| \frac{\hat{y}_i - y_i}{\hat{y}_i} \right| \times \frac{100}{n} \quad (7)$$

$$R^2 = 1 - \frac{\sum_{i=0}^n (\hat{y}_i - y_i)^2}{\sum_{i=0}^n (y_i - \bar{y}_i)^2} \quad (8)$$

where i means the i th day, y_i represents the actual number of ERV, \bar{y}_i represents the mean value of actual number of ERV, and \hat{y}_i represents the predicted number of ERV.

Results and discussion

The paper deals with the feasibility different machine learning methods for predicting ERV for respiratory diseases after exposure to high levels of $PM_{2.5}$. To evaluate and compare these methods, four common statistical metrics are discussed; Table 4 gives the performances both for all methods and time steps. The R^2 varies between 0.61 and 0.8, indicating that the accuracy of various methods is influenced by the structures of different models to a large degree.

The best result of each method is italicized

The ARIMA model shows the best prediction results compared with observation when timestep is 1, with the maximum R^2 of 0.70. The performance of ARIMA gets worse when timestep increases. The MAE, RMSE, and MAPE get the minimum values when timestep equals 2, being 99, 124, and 25.56, respectively. With the increase on timestep, the MAE increases from 99 to 102, the RMSE stays stable between 124

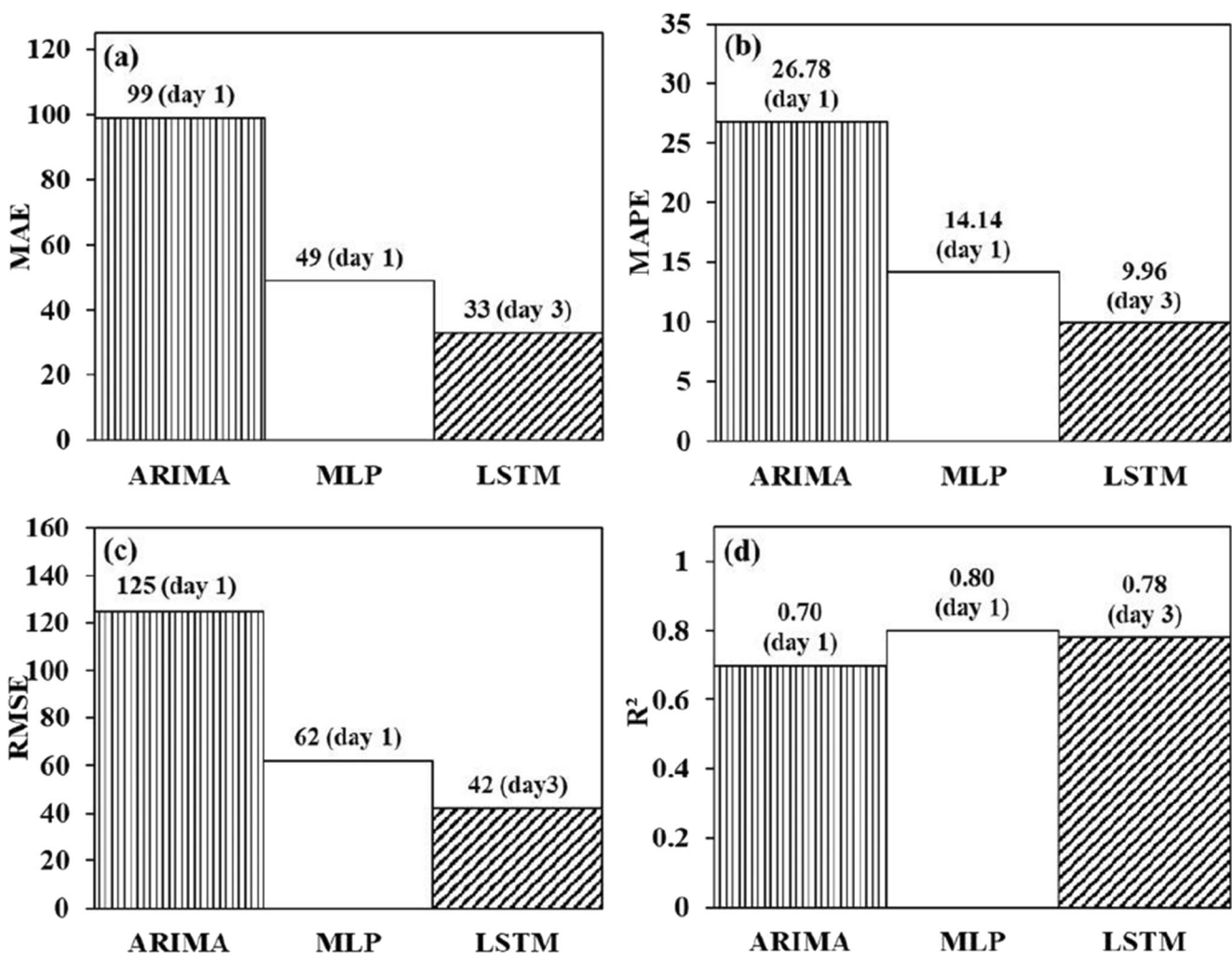
Table 4 Performance comparison of different methods

<i>t</i>	Metrics	ARIMA	MLP	LSTM
1	MAE (person)	99	49	64
	RMSE (person)	125	62	75
	MAPE (%)	26.78	14.14	19.46
	R^2	0.70	0.80	0.70
2	MAE (person)	99	45	60
	RMSE (person)	124	59	71
	MAPE (%)	26.56	12.66	18.86
	R^2	0.66	0.75	0.70
3	MAE (person)	99	51	33
	RMSE (person)	124	63	42
	MAPE (%)	26.72	14.59	9.86
	R^2	0.67	0.80	0.78
4	MAE (person)	100	55	36
	RMSE (person)	125	68	47
	MAPE (%)	27.07	15.72	10.52
	R^2	0.64	0.76	0.73
5	MAE (person)	101	60	48
	RMSE (person)	125	72	54
	MAPE (%)	27.14	16.93	14.39
	R^2	0.61	0.74	0.73

and 125, and the MAPE increases from 26.78 to 27.14, while the R^2 decreases from 0.70 to 0.61. ARIMA model does not perform well in predicting the hospital ERV for respiratory diseases. ARIMA is an autoregression method depending on historical data series; hence, the limited length of the data series used in this study might account for the poor performance of ARIMA. On the other hand, the fact that ARIMA model only depends on one-dimension dataset without taking other influencing factors into consideration might be another cause for the prediction results.

The results of MLP model show the relatively good value in terms of evaluation metrics, the MAE increases from 45 to 60, the RMSE increases from 59 to 72, the MAPE increases from 12.66 to 16.93, and the R^2 decreases from 0.80 to 0.74. The minimum MAE (45), RMSE (59), MAPE (12.66) are achieved on day 2, and maximum R^2 (0.80) are achieved on day 1 and day 3.

In contrast, LSTM performs better than MLP and ARIMA, achieving the best accuracy when timestep is 3 with the MAE,

**Fig. 2** Prediction accuracy of three different models in terms of MAE (a), MAPE (b), RMSE (c), and R^2 (d)

RMSE, MAPE, and R^2 of 33, 42, 9.86, and 0.78, respectively. When timestep decreases or increases, the prediction results get less accuracy with highest MAE of 64, RMSE of 75, MAPE of 19.46 on day 1, and lowest R^2 of 0.70 both on day 1 and day 2.

Each model shows respective best prediction accuracy on different days; among them, the result of ARIMA shows highest R^2 on day 1, the result of MLP shows best performance on day 1, and the result of LSTM shows best performance on day 3. The comparison is conducted using the best accuracy of each method, shown in Fig. 2.

Different methods achieve best prediction accuracy on different lag day, which is day 1 of ARIMA, day 1 of MLP, and day 3 of LSTM. Comparing each best accuracy, the MLP model and LSTM model show better performance compared with ARIMA model. The reason might be that these two methods can consider multiple influencing factors at the same time, like meteorological factors and influenza, leading higher prediction accuracy, while ARIMA model just makes prediction based on autoregression.

As for time lag effect detection, the MLP model performs best on day 1, with highest R^2 and relatively low error, while the LSTM model shows best performance on day 3. Considering previous study, there indeed exists lag effect between exposure and reaction (Guaita et al. 2011; Liu et al. 2017); the results indicate that MLP model cannot handle the time lag in the time series properly, while LSTM model shows advantage on the detection of lag effect. This means models which have structure to describe long time dependence, like LSTM, is meaningful to this kind of study.

Among selected models, ARIMA model has the worst performance; LSTM reaches the highest accuracy. These data-driven machine learning methods can overcome the disadvantages of traditional statistical methods, requiring no prior knowledge and avoiding human intervention. On the other hand, the well-designed model, LSTM, whose structure makes it possible to memorize the temporal characteristics of the time series, can also handle the time lag problem, achieving best performance on day 3. In the practice of forecasting hospital ERV for respiratory, the ability for accurate forecast and catching time lag effect are both of great significance. Therefore, machine learning methods can be used to patient number forecast, and LSTM shows advantage comparing with other methods used in this study.

Conclusion

In this paper, three machine learning methods, including the ARIMA, MLP, and LSTM model, are applied to predict hospital ERV for respiratory diseases after exposure to fine particles. The performances of three methods are evaluated using data from Beijing urban area in 2013, and inter-comparison is

made for the prediction accuracy. The results show that ARIMA model performs the worst on predicting patient number, MLP model performs relatively better, and LSTM model has the best performance, with the minimum MAE of 33, MAPE of 9.86, RMSE of 42, and maximum R^2 of 0.80 on day 3, respectively. In addition, MLP model hardly catches the time lag, while LSTM model shows better ability in detecting time lag, which indicates that LSTM model is more suitable to predict hospital ERV for respiratory diseases after exposure to PM_{2.5}. This study is of practical value to support medical resource allocation and policy decision.

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Author contribution L.Y. principally conceived the idea of the study and designed the experiment, J.L. and P.B. performed the experiment and were major contributors in writing the manuscript, and X.X., N.L., and H.J. participated in the completion of this work.

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Data Availability All data generated or analyzed during this study in this article are available from the corresponding author on reasonable request.

Declarations

Ethics approval and consent to participate Not applicable.

Consent for publication Not applicable.

Conflict of interest The authors declare that they have no conflict of interest.

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