# Introduction to Nuclear Magnetic Resonance Spectroscopy

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#### 1.1. Introduction to Magnetic Resonance

- Magnetic resonance (MR) is a phenomenon of resonant energy absorption by a system of nuclei (and electrons).
- Nuclear magnetic resonance (NMR) results from the intrinsic magnetic moment of the nuclei of some atoms. Magnetic moments of electrons are exploited in electron spin resonance (ESR).
- Magnetic resonance (MR) generally involves placing a sample in a strong magnetic field (to generate polarisation at a fixed resonant frequency) and detecting signals produced following application of pulsed radio-frequency electromagnetic fields (RF pulses).
- MR is a very powerful method for studying the structure of materials: used in physics, chemistry, biology, medicine etc.

#### 1.2. Applications of NMR

 NMR spectroscopy is used for chemical analysis and for molecular structure determination

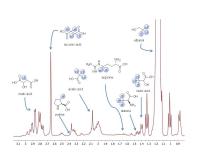


Fig.1: <sup>1</sup>H NMR spectrum of a sample of Spanish wine (http://www.unirioja.es/gsoe/NMR.htm)



Fig.2: NMR-derived structure of a prion http://www.pnas.org/content/94/14/7281.full

#### 1.2. Applications of NMR

 NMR relaxometry can be used to monitor molecular environment

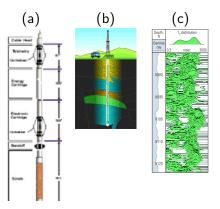


Fig.3: (a) NMR-logging probe, (b) Schematic positioning of the probe in a well, (c)  $T_2$ -relaxation profile along the bore. Sources: 1) Allenet al. Oilfield review, Autumn 2000; 2) Coates, Xiao NMR Logging Principles and Applications, Hulliburton

#### 1.2. Applications of NMR

NMR forms the basis for magnetic resonance imaging (MRI)

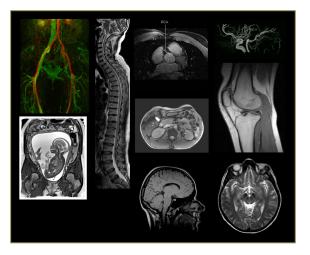


Fig.4: Example magnetic resonance images of blood vessel (in legs), fetus in utero, spine, heart, abdomen, head, blood vessels (in brain), knee, brain (courtesy of Prof. Richard Bowtell)

#### 1.3. Magnetic moments in magnetic field.

• Consider charges moving in a limited volume. The position of a charge  $\mathbf{e_n}$  will be given by a vector  $\mathbf{r_n}$  and its velocity by  $\mathbf{v_n}$ . The overall magnetic moment of such a system is defined as:

$$\mathbf{M} = \frac{1}{2} \sum_{\mathbf{n}} e_{\mathbf{n}} \mathbf{r}_{\mathbf{n}} \times \mathbf{v}_{\mathbf{n}} \tag{1}$$

 If all the charges and masses are the same, then M can be rewritten as:

$$\mathbf{M} = \frac{e}{2m} \sum_{\mathbf{n}} m \mathbf{r_n} \times \mathbf{v_n} = \gamma \mathbf{L}, \tag{2}$$

where

$$L = \sum_{n} p_n \times r_n \tag{3}$$

is the mechanical angular momentum.

• Gyromagnetic ratio (or magnetogyric):

$$\gamma = \frac{e}{2m} \tag{4}$$

#### 1.3. Magnetic moments in magnetic field.

 When a magnetic moment M is placed into an external uniform permanent magnetic field B, its energy is given by:

$$E = -\mathbf{M} \cdot \mathbf{B} \tag{5}$$

• The torque acting on the system:

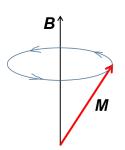
$$\frac{d\mathbf{L}}{dt} = \mathbf{M} \times \mathbf{B} \tag{6}$$

• Now using equation 2 we can obtain the equation describing the motion of vector **M**:

$$\frac{d\mathbf{M}}{dt} = \gamma \mathbf{M} \times \mathbf{B} \tag{7}$$

# 1.3. Magnetic moments in magnetic field.

• In a uniform magnetic field directed along z-axis  $\mathbf{B} = (0, 0, B_0)$ , the equation for individual components of  $\mathbf{M}$  follow the equations:



$$rac{dM_x}{dt} = \omega_L M_y$$
  $rac{dM_y}{dt} = -\omega_L M_x$  (8)  $rac{dM_z}{dt} = 0,$  where  $\omega_L = \gamma B_0$  - Larmor frequency.

• A solution to this system of differential equations with initial values of  $M_x(0), M_y(0), M_z(0)$  has the following form:

$$M_{X}(t) = M_{X}(0)\cos(\omega_{L}t) + M_{Y}(0)\sin(\omega_{L}t)$$

$$M_{Y}(t) = -M_{Y}(0)\sin(\omega_{L}t) + M_{Y}(0)\cos(\omega_{L}t)$$

$$M_{Z}(t) = M_{Z}(0)$$
(9)

#### 1.4. Orbital angular momentum operator

• In quantum mechanics physical quantity A ise represented by an operator  $\hat{A}$ . The mechanical angular momentum is replaced by its corresponding operator:

$$\mathbf{L} = \sum_{n} \mathbf{p_n} \times \mathbf{r_n} \longleftrightarrow \hat{\mathbf{L}} = \frac{1}{\hbar} \sum_{n} \hat{\mathbf{r}_n} \times \hat{\mathbf{p}_n} = -i \sum_{n} \hat{\mathbf{r}_n} \times \nabla_{\mathbf{n}}$$
(10)

Angular momentum operator properties. Commutation:

$$[\hat{\mathcal{L}}_y, \hat{\mathcal{L}}_z] = i\hat{\mathcal{L}}_x, [\hat{\mathcal{L}}_z, \hat{\mathcal{L}}_x] = i\hat{\mathcal{L}}_y, [\hat{\mathcal{L}}_x, \hat{\mathcal{L}}_y] = i\hat{\mathcal{L}}_z$$
 (11)

Angular momentum squared, and its commutation properties:

$$\hat{L}^2 = \hat{L}_x^2 + \hat{L}_y^2 + \hat{L}_z^2 \tag{12}$$

$$[\hat{L}^2, \hat{L}_x] = [\hat{L}^2, \hat{L}_y] = [\hat{L}^2, \hat{L}_z] = 0$$
 (13)

#### 1.4. Orbital angular momentum operator

• Eigenfunctions of both  $\hat{L}^2$  and  $\hat{L}_z$  operators can be characterized by integer quantum numbers I and m respectively. These eigen functions will be denoted as  $|Im\rangle$ . Their eigenvalues are:

$$\hat{L}_z|\mathit{Im}\rangle = m|\mathit{Im}\rangle \tag{14}$$

$$\hat{L}^2|\mathit{Im}\rangle = I(I+1)|\mathit{Im}\rangle \tag{15}$$

• Another useful operator are raising and lowering operators:

$$\hat{L}_{+} = \hat{L}_{x} + i\hat{L}_{y}, \hat{L}_{-} = \hat{L}_{x} - i\hat{L}_{y}$$

$$\langle Im|\hat{L}_{+}|I(m-1)\rangle = \langle I(m-1)|\hat{L}_{-}|Im\rangle = \sqrt{(I+m)(I-m+1)}$$
(17)

#### **Problem**

Calculate  $[\hat{L}_+, \hat{L}_x] = ?$ ,  $[\hat{L}_+, \hat{L}_-] = ?$ 

$$\begin{aligned} [\hat{L}_{y}, \hat{L}_{z}] &= i\hat{L}_{x}, [\hat{L}_{z}, \hat{L}_{x}] = i\hat{L}_{y}, [\hat{L}_{x}, \hat{L}_{y}] = i\hat{L}_{z} \\ \hat{L}^{2} &= \hat{L}_{x}^{2} + \hat{L}_{y}^{2} + \hat{L}_{z}^{2} \\ [\hat{L}^{2}, \hat{L}_{x}] &= [\hat{L}^{2}, \hat{L}_{y}] = [\hat{L}^{2}, \hat{L}_{z}] = 0 \\ \hat{L}_{+} &= \hat{L}_{x} + i\hat{L}_{y}, \hat{L}_{-} = \hat{L}_{x} - i\hat{L}_{y} \end{aligned}$$

#### 1.4. Orbital angular momentum operator

 Classical magnetic moment will have its own quantum analogue, the operator of angular momentum:

$$\mathbf{M} = \gamma \mathbf{L} \longleftrightarrow \hat{\boldsymbol{\mu}} = \gamma \hbar \hat{\mathbf{L}} \tag{18}$$

• Given the electron charge  $e = 1.6 \cdot 10^{-19}$ C, and mass  $m = 9.1 \cdot 10^{-31}$ kg Bohr magneton:

$$\beta_{e} = \gamma \hbar = \frac{e\hbar}{2m} \approx 9.27 \cdot 10^{-24} \,\text{J} \cdot \text{T}^{-1} \tag{19}$$

 Similarly a nuclear magneton could be calculated for a proton (<sup>1</sup>H nucleus):

$$\beta = \gamma_N \hbar = \frac{e\hbar}{2m_p} \approx 5.05 \cdot 10^{-27} \text{J} \cdot \text{T}^{-1} \tag{20}$$

#### 1.5. Spin angular momentum operator

However, real nuclei and electrons have spins (intrinsic magnetic moment). Their z-axis projection m takes integer and half-integer values:  $m=\frac{1}{2},1,\frac{3}{2},2$  etc. Similar to the equation for the orbital angular momentum Eq.18. For nuclei spins we get its magnetic moment as:

$$\hat{\boldsymbol{\mu}}_{\mathsf{N}} = \gamma_{\mathsf{N}} \hbar \hat{\mathbf{I}},\tag{21}$$

where  $\hat{\bf l}$  stands for the nuclear spin operator. All the properties of angular momentum operators listed in Eqs.11-17 will be true for  $\hat{\bf l}$ .

#### 1.5. Spin angular momentum operator

• Many nuclei in the periodic table are magnetic, i.e. have spin  $I \neq 0$ . Their magnetic moments could be measured in units of  $\beta_N$ :

$$\hat{\boldsymbol{\mu}}_{N} = \gamma_{N} \hbar \hat{\mathbf{I}} = g_{N} \beta_{N} \hat{\mathbf{I}}, \tag{22}$$

where  $g_N$  - dimensionless g-factor.

		•		
	Natural	Nuclear		$\gamma_N$ , Gyromagnetic
Nucleus	abundance	spin	$g_N$ , g-factor	ratio (10 <sup>7</sup>
	%	(1)		rad/T*s)
$^{1}H$	99.98	$\frac{1}{2}$	5.585	26.7519
$^{2}H$	1.5*10 <sup>-2</sup>	ī	0.857	4.1066
<sup>13</sup> C	1.108	$\frac{1}{2}$	1.405	6.7283
$^{14}N$	99.635	1	0.403	1.9338
$^{15}N$	0.365	$\frac{1}{2}$	-0.567	-2.712

• Electron magnetic moments can be measured in units of Bohr magnetons:  $\hat{\mu}_{\mathbf{S}} = -\gamma_e \hbar \hat{\mathbf{S}} = -g_e \beta_e \hat{\mathbf{S}}$ , and for a free electron spin  $g_e \approx 2.0023$ .

#### Summary of Lecture 1

- Applications of NMR: chemistry, biology, medicine, industry ...
- Magnetic moment in magnetic field: Classical description
- Recap of angular momentum operator properties: commutation properties.
- Nuclei have their own nuclear magnetic moment. Described using spin angular momentum operator.

**Suggested reading:** Harris: 1.1, 1.2, 1.3, 1.4, 1.6, 2.4

#### 1.6. Spin in a magnetic field

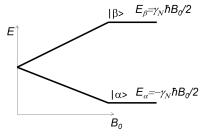
 Let's quantum mechanically describe the system of spins in the magnetic field. Eq. 5 can be rewritten in a form of Hamiltonian:

$$E = -\mathbf{M} \cdot \mathbf{B} \longleftrightarrow \mathcal{H} = -\hat{\boldsymbol{\mu}}_{\mathbf{N}} \cdot \mathbf{B} \tag{23}$$

• when the magnetic field is directed along z-axis  $\mathbf{B} = (0, 0, B_0)$ :

$$\mathcal{H} = -\hat{\boldsymbol{\mu}}_{\mathbf{N}} \cdot \mathbf{B} = -\gamma_{N} \hbar B_{0} \hat{\boldsymbol{I}}_{z} \tag{24}$$

• For spin  $I=\frac{1}{2}$  such Hamiltonian produces a two-level system. Its energy levels corresponding to eigenfunctions  $|\alpha\rangle$  and  $|\beta\rangle$ :



## 1.6. Spin in a magnetic field

 The transition between the two states requires an energy quantum<sup>1</sup>:

$$h\nu_L = \gamma \hbar B_0, \omega_L = \gamma B_0, \nu_L = \frac{\gamma B_0}{2\pi}$$
 (25)

 $\omega_L$  and  $\nu_L$  is the Larmor frequency (anglular and cyclic respectively)

		•		
Nucleus	Natural abundance %	Nuclear spin (I)	Larmor	$\gamma_N$ , Gyro-
			frequency at	magnetic
			11.744T,	ratio (10 <sup>7</sup>
			MHz	rad/T*s)
<sup>1</sup> H	99.98	$\frac{1}{2}$	500	26.7519
$^{2}H$	1.5*10 <sup>-2</sup>	$\overline{1}$	76.753	4.1066
<sup>13</sup> C	1.108	$\frac{1}{2}$	125.721	6.7283
$^{14}N$	99.635	$ar{1}$	36.118	1.9338
<sup>15</sup> N	0.365	$\frac{1}{2}$	50.664	-2.712

#### 1.7. Equilibrium magnetization

NMR measurements are generally made on bulk samples which contain very large numbers of nuclear spins (e.g. 1 cm³ contains  $N\approx 6.7\cdot 10^{22}$   $^1\text{H}$  atoms) The measured signals therefore result from the collective effect of a large number of magnetic moments that can be described using a bulk magnetization. At thermal equilibrium, the numbers of nuclei in the  $|\alpha\rangle$  state  $N_{\alpha}$  and  $|\beta\rangle$  state  $N_{\beta}$  follow Boltzmann distribution:

$$\frac{N_{\alpha}}{N_{\beta}} = e^{-\frac{\gamma B_0}{kT}} \approx (1 - \frac{\gamma B_0}{kT}), \tag{26}$$

when  $\gamma B_0 \ll kT$ . Overall magnetization then can be calculated as:

$$M_z = N_\alpha(-\frac{1}{2}\gamma\hbar) + N_\beta(\frac{1}{2}\gamma\hbar) = N\frac{\gamma^2\hbar^2B_0}{4kT}$$
 (27)

#### **Problem**

- What is the value of  $\frac{\gamma_N \hbar B_0}{kT}$  for proton nuclei (<sup>1</sup>H) at 9.4 T magnetic field at 300 K?
- What is the value of  $\frac{\gamma_e \hbar B_0}{kT}$  electron (<sup>1</sup>H) at 9.4 T magnetic field at 4 K?

Electron charge 
$$e=1.602\cdot 10^{-19}$$
 C Electron mass  $m_e=9.109\cdot 10^{-31}$  kg Proton mass  $m_e=9.109\cdot 10^{-31}$  kg Proton mass  $m_p=1.673\cdot 10^{-27}$  kg Plank constant  $\hbar=1.054\cdot 10^{-34}$   $\frac{\text{J}\cdot\text{s}}{rad}$  Proton g-factor  $g_p=5.585$  Electron g-factor  $g_e=2.0023$  Nuclear magneton  $\beta_N=5.05\cdot 10^{-27}$  J  $\cdot$  T  $^{-1}$  Bohr magneton  $\beta_e=9.27\cdot 10^{-24}$  J  $\cdot$  T  $^{-1}$  Proton gyromagnetic ratio  $\gamma_N=26.7519\cdot 10^7$   $\frac{\text{rad}}{T\cdot\text{s}}$  Electron gyromagnetic ratio  $\gamma_e=1.76\cdot 10^{11}$   $\frac{\text{rad}}{T\cdot\text{s}}$  Boltzmann constant  $k=1.38\cdot 10^{-23}$  J  $\cdot$  K  $^{-1}$  Avogadro's constant  $N_A=6.023\cdot 10^{23}$  mol  $^{-1}$ 

#### 1.8. Resonant energy absoption.

 Let's apply oscillating magnetic field to our system. A spin system Hamiltonian becomes time-dependent and for an oscillation along the x-axis we obtain:

$$\mathcal{H}(t) = -\hat{\boldsymbol{\mu}}(\mathbf{B_0} + \mathbf{B(t)}) =$$

$$= -\gamma \hbar \hat{l_z}(B_0 + B_1(t)) =$$

$$= -\gamma \hbar \hat{l_z}B_0 - \gamma \hbar \hat{l_x}B_1 \cos(\omega t),$$
(28)

where  $H_1$  and  $\omega$  are the amplitude and the frequency of the oscillating magnetic field.

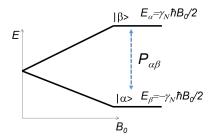
• According to perturbation theory the transition probability between the initial state  $|a\rangle$  and the final state  $|b\rangle$  with a time dependent Hamiltonian  $\hat{V}(t)=2\hat{F}\cos(\omega t)$  is (Fermi's golden rule):

$$P_{ab} = \frac{2\pi}{\hbar} |\langle a|\hat{F}|\rangle b|^2 \delta(E_{ab} - \hbar\omega), \tag{29}$$

where  $E_{ab} = E_a - E_b$  is an energy difference between the energies of levels a and b.

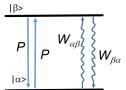
• For a two level system described before, the matrix element  $\langle \alpha | \hat{I}_x | \beta \rangle = \frac{1}{2}$ . The transition probability then becomes:

$$P_{\alpha\beta} = \frac{\pi}{2\hbar} (\gamma H_1)^2 \delta(E_{\alpha\beta} - \hbar\omega), \tag{30}$$



 The effect of resonant absorption (and emission) of electromagnetic irradiation at the frequency matching the energy difference in a nuclear system is called Nuclear Magnetic Resonance (NMR).

 In a two-level system the transition will take place due to the action of external irradiation, but also due to interaction with the environment.



P - the rate of transitions driven by external field,  $W_{\alpha\beta}, W_{\beta\alpha}$ - rates of spontaneous spin flips due to interaction with

environment.

In thermal equilibrium:

$$N^0_{\alpha}W_{\alpha\beta}=N^0_{\beta}W_{\beta\alpha},$$
 i.e. (31)

$$N_{\alpha}^{0}W_{\alpha\beta} = N_{\beta}^{0}W_{\beta\alpha}, \text{i.e.}$$
 (31)  

$$\frac{W_{\beta\alpha}}{W_{\alpha\beta}} = \exp^{-\frac{\gamma\hbar B_{0}}{kT}} \approx 1 - \frac{\gamma\beta B_{0}}{kT}$$
 (32)

Equation for populations of levels:

$$\frac{dN_{\alpha}}{dt} = -N_{\alpha}(P + W_{\alpha\beta}) + N_{\beta}(P + W_{\beta\alpha}) 
\frac{dN_{\beta}}{dt} = N_{\alpha}(P + W_{\alpha\beta}) - N_{\beta}(P + W_{\beta\alpha})$$
(33)

• If we introduce the average rate of spontaneous transitions  $W=\frac{1}{2}(W_{\alpha\beta}-W_{\beta\alpha})$ , then  $W_{\alpha\beta}=W(1+\frac{\gamma\hbar B_0}{2kT})$  and  $W_{\beta\alpha}=W(1-\frac{\gamma\hbar B_0}{2kT})$ , the equations can be rewritten:

$$\frac{dN_{\alpha}}{dt} = (N_{\beta} - N_{\alpha})P + (N_{\beta} - N_{\alpha})W - W\frac{\gamma\beta B_{0}}{2kT}N 
\frac{dN_{\beta}}{dt} = -(N_{\beta} - N_{\alpha})P - (N_{\beta} - N_{\alpha})W + W\frac{\gamma\beta B_{0}}{2kT}N 
(34)$$

• Denote the population difference as  $n=N_{\beta}-N_{\alpha}$  and thermal equilibrium population difference  $n_0=N_{\beta}^0-N_{\alpha}^0\approx N\frac{\gamma\hbar B_0}{2kT}$  the equations can be rewritten as:

$$\frac{dn}{dt} = -2nP - 2nW + 2Wn_0, \tag{35}$$

(36)

or

$$\frac{dn}{dt} = -2nP - \frac{(n-n_0)}{T_1},$$
 where  $T_1 = \frac{1}{2W}$  is called spin-lattice relaxation time determines how quickly a spin system reaches a thermal

equilibrium with environment.

• In equilibrium, when  $\frac{dn}{dt} = 0$ :

$$n = \frac{n_0}{1 + 2PT_s} \tag{37}$$

when the power is very large  $PT_1 \gg 1$ ,  $n \to 0$ , i.e. the system is saturated and no signal can be observed.

#### Summary of Lecture 2

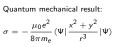
- Spin  $I = \frac{1}{2}$  in a magnetic field. Two-level system.
- System of spins in a magnetic field is capable of absorbing radiation at a resonant frequency.
- Population dynamics in a two-level system. Signal as function of radiation power and saturation.

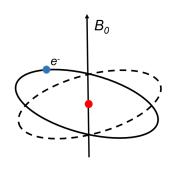
**Suggested reading:** Harris 1.5, 1.7, Slichter 1.3 Harris 1.20 - CW NMR spectrometer

#### 2.1. Chemical shifts.

- The magnetic fields experienced by nuclei in atoms and molecules are affected by the interaction of the surrounding electrons orbitals with the applied magnetic field  $B_0$ .
- This effect slightly shifts the Larmor frequency in a chemical specific manner - this is known as the chemical shift. It allows the identification of chemical species from NMR spectra.
- Classical illustration of diamagnetic chemical shift:

$$\begin{split} \boldsymbol{\omega} &= \frac{e}{2m_e} \boldsymbol{B_0} \\ \boldsymbol{j} &= -e[\boldsymbol{\omega} \times \boldsymbol{r}] \rho_e = -\frac{e^2}{2m_e} [B_0 \times \boldsymbol{r}] \rho_e \\ d\boldsymbol{B_i} &= \frac{\mu_0}{4\pi r^3} [\boldsymbol{j} \times \boldsymbol{r}] dV \\ d\boldsymbol{B_i} &= -\frac{\mu_0 e^2}{8\pi m_e r^3} [[\boldsymbol{B_0} \times \boldsymbol{r}] \times \boldsymbol{r}] \rho_e dV \\ \boldsymbol{B_{iz}} &= -B_0 \frac{\mu_0 e^2}{8\pi m_e} \int \rho_e \frac{x^2 + y^2}{r^3} dV \end{split}$$





#### 2.1. Chemical shifts.

# Let's calculate the effect of ring current in cyclic aromatic molecules. Consider benzene molecule:

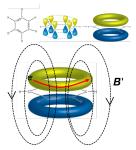


Fig.5: (top) Schematic repsresentation of electron orbitals in a benzene molecule, (bottom) local fields in a benzene molecule produced by electron currents induced by a magnetic field.

• Larmor precession frequency of electrons  $\omega_L=\frac{e E_0}{2m_e}$ . Current can be calculated as charge 6e, divided by precession period  $\frac{2\pi}{\omega_I}$ :

$$i = \frac{3e^2B_0}{2\pi m_e} \tag{38}$$

• Circular conductor creates a magnetic moment  $\mu=i\cdot\pi r^2$ , totalling in:

$$\mu = -\frac{3e^2B_0r^2}{2m_e} \tag{39}$$

The magnetic field created by a magnetic moment  $\mathbf{B} = \frac{\mu_0}{4\pi} \left( \frac{\mathbf{r}(\mathbf{mr})}{r^5} - \frac{\mathbf{m}}{r^3} \right) \text{ reduces to:}$ 

$$B_i = -\frac{\mu_0}{4\pi} \frac{m}{r^3} = \frac{3\mu_0 e^2}{8\pi} \frac{r^2}{(r+d)^3} B_0 \tag{40}$$

$$\sigma = - - \frac{\mu_0}{4\pi m_e} \frac{m}{r^3} = \frac{3\mu_0 e^2}{8\pi} \frac{r^2}{(r+d)^3}$$
 (41)

• Given benzene molecule radius  $r=1.4 \mbox{\normalfont\AA}$ , CH-bond length  $d=1.1 \mbox{\normalfont\AA}$  we obtain:

$$\sigma \approx -5.3 \cdot 10^{-6}, \sigma_{iso} \approx -1.8 \cdot 10^{-6}$$
 (42)