

### **Connections**

Traversing the Valley of Death: How best to find research ready for operations and operational needs where research can help.



# Barrier for effective applied space weather: (from the research side)

- Finding and knowing best how to communicate with end users
- 2) Knowing what research will produce useful tools to aid decision making processes.
- 3) Knowing the requirements and needs of the user community
- 4) Advertising how our research could be useful



#### **Connections**

## A New Framework for Tracking Progress

The AUL Framework



As space physics becomes both more inter – multi- and trans - disciplinary and more intertwined with commercial and government operations, there is a need for a framework to easily identify what projects can be used for specific applications and how close each project is to routine autonomous or on-demand implementation and operation. We have developed the Application Usability Level (AUL) framework and encourage publication of instrument-like papers for delivering and publicizing AULs to help the community quantify the progress of successful applications, metrics, and validation efforts.

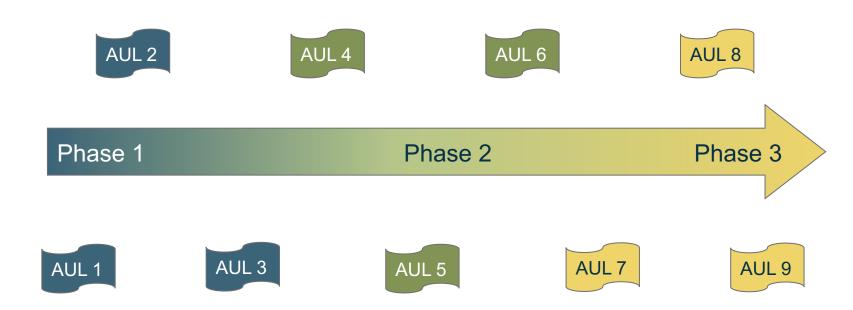
#### **Connections**



Proposed tracking method

#### **AUL: Application Usability Level**

An effective framework to aid in communication, track progress of a project towards completion, and advertise user needs and research capabilities.



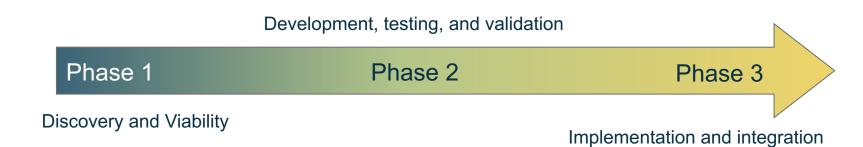
Proposed tracking method

### **Phases:**

Phase 1: Discovery and Viability

Phase 2: Development, Testing, and Validation

Phase 3: Implementation and integration into operational status.











## Proposed tracking method

#### **Phase 1: Discovery and Viability**

In phase I fundamental research becomes applied. Not all research may or should progress beyond the very first AUL. However, if there is a potential user identified, whether they are a fellow researcher or an industry partner, then this phase will determine whether the project should progress to phase II.

Establishment of requirements

Phase 1

**Discovery and Viability** 





Basic research

Assess viability and current state of the art

## Proposed tracking method

#### Phase 2: Development, Testing, and Validation

In phase II there is a focus on finalizing development of the new state-ofthe-art project integrating the resulting tools into the identified applications, demonstrating the feasibility of the new product and validating the new system.

Initial integration and verification

AUL 4

Complete Validation

AUL 6

Development, testing, and validation

#### Phase 2

AUL 5

Demonstration in relevant context







## Proposed tracking method

#### **Phase 3: Implementation and Integration**

The project is handed off and fully integrated into the end user's application in phase III. This also includes new validation efforts to determine how well the new application performs in a "real world" setting. Validation and continued use in an operational environment drives discovery of new science questions, problems, and of course new applications.

Validation in Relevant context

AUL 8

Phase 3

Implementation and integration

AUL 7

Application prototype

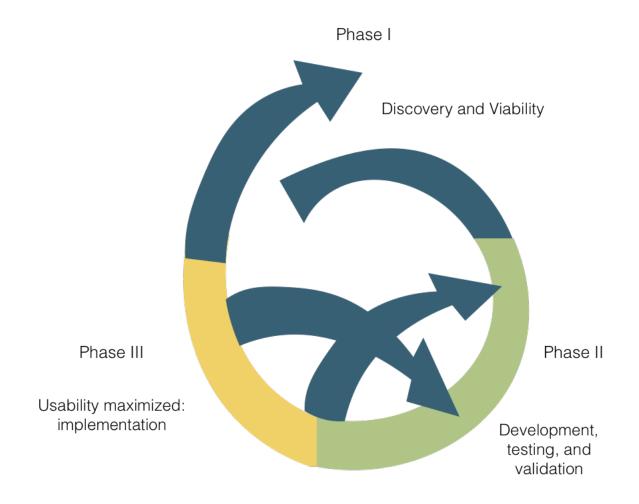
AUL 9

Approved for on demand use

## Beyond Phase 3

#### A spiral process:

As a project progresses, roadblocks, new understandings, changes to requirements may all pop up. This may mean that the project circles back to lower AUL levels for a moment until they are addressed. This is perfectly normal.





## Beyond Phase 3

### **Beyond Phase 3: The next Application**

During Validation in level 9 new requirements to improve the application may be identified. With the new requirements, a new application is defined and the process starts again.

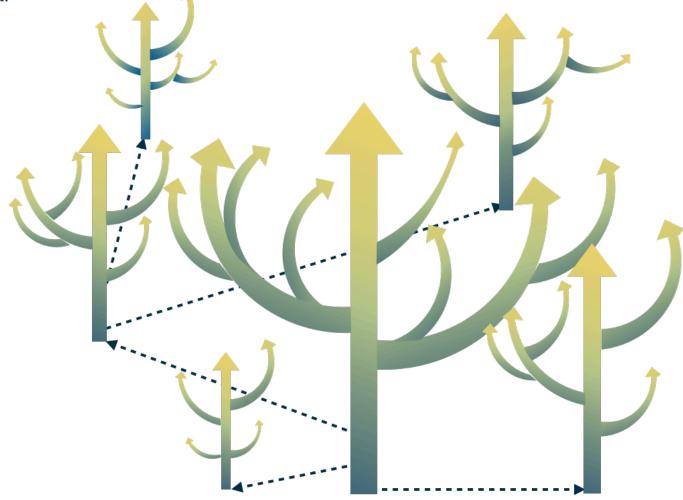




## Beyond Phase 3

#### A forest of AULs: New Users and New Areas to move into

AULs are much like an Aspen grove. The basic research foundation can give rise to many applications as one moves through the framework. It's also true that as one works on a project with a user, new applications can be discovered and pursued.



### Examples:

#### An AUL 1 project

Jeff has a new CubeSat mission and believes that their data will be useful to modelers. They have just written their instrument paper and have started considering the temporal and types of data products which will be useful as an input for modelers.

#### **Phase 1 Milestones:**

- ✓ AUL1 Ideas for how project output may enhance decision making or be applied to an end user application.
- ✓ AUL1 Research is documented and disseminated for the project, so that the usability may be assessed by way of the AUL method.
- ✓ AUL1 Potential interested end users are identified, but not necessarily contacted.

#### Phase 1

Discovery and Viability



## Examples:

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#### **Phase 2 Milestones:**

- ✓ AUL2 Formalization of the application.
- ✓ AUL2 An end user is contacted and avenues of communication are established.
- AUL2 Identification and formalization of the requirements and metrics necessary for successful application of the project for the end user's needs.

Establishment of requirements

AUL 2

#### Phase 1

Discovery and Viability



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#### Phase 1

Discovery and Viability



Basic search

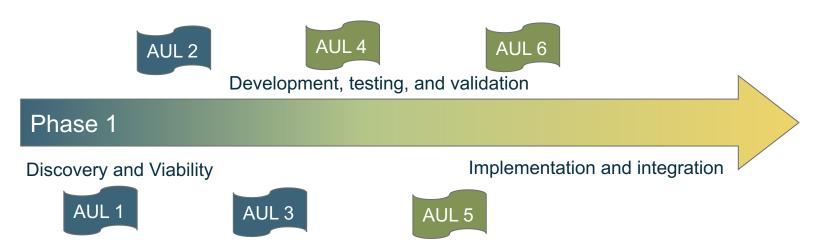
## Examples:

#### An AUL 6 project

Brett has a new real-time forecasting model of plasma bubbles for the Australian Bureau of Meteorology. Together they have determined specific metrics and requirements. The new model has been validated and working for the relevant environments in a simulated operational environment at RMIT and shown to be better than the current state of the art. The results were just published in Space Weather.

#### **Milestones:**

- ✓ AUL6 Prototype application system beta-tested in a simulated operational environment.
- ✓ AUL6 Projected improvements in performance of the state-of-the-art and/or decision making activity demonstrated in simulated operational environment.
- ✓ AUL6 Publication of the specific application and associated metrics and the projects progress towards this application.



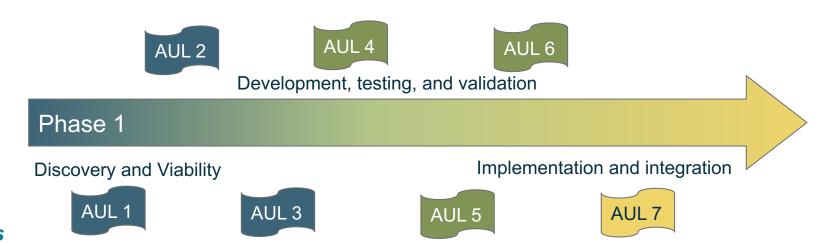


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- AUL7 The system must be fully integrated into the operational environment specified by the end user.
- AUL7 The system's functionality is tested and demonstrated in the end user's specified relevant environment.
- ✓ AUL7 Project team must demonstrate the functionality of the new system for the end user's application and disseminate the results.

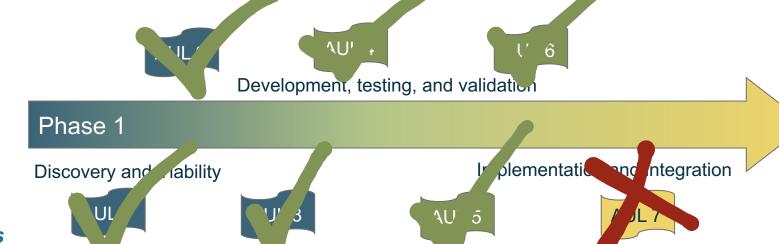


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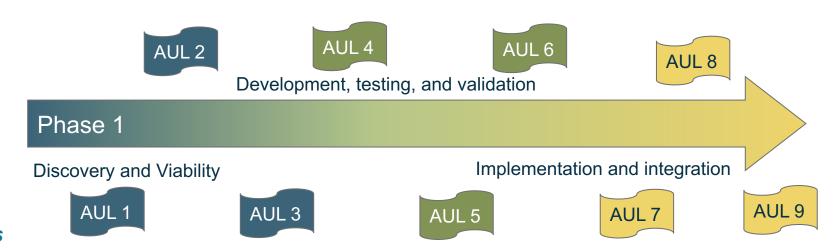


## Examples:

#### An AUL 9 project

Alexa is looking to design a mission and needs to understand how many satellites and in which orbits will optimize the data collected to answer the science questions. Aerospace has a tool that Stephanie works with to optimize orbits to specified ground constraints called GRIPS. This new version of GRIPS will optimize for constraints in space and provided science objectives. <a href="https://tinyurl.com/GRIPS4Science">https://tinyurl.com/GRIPS4Science</a>

- ✓ AUL8 The user must approve the addition of the new project to their operational application system.
- ✓ AUL8 Finalized application system tested, proven operational, and shown to operate within the specified requirements and metrics.
- ✓ AUL8 Applications qualified and approved by the user.
- ✓ AUL8 User documentation and training completed

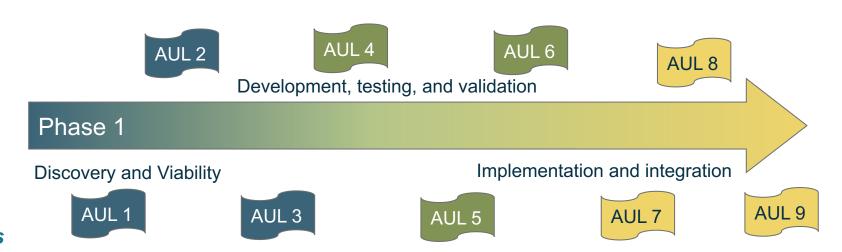


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- ✓ AUL9 Sustained and repeated use of the application by the specified users.
- ✓ AUL9 The continued validation of the project in the operational environment.
- ✓ AUL9 Dissemination of the validation efforts, metrics and new state of the art project to the relevant community for the specific application.



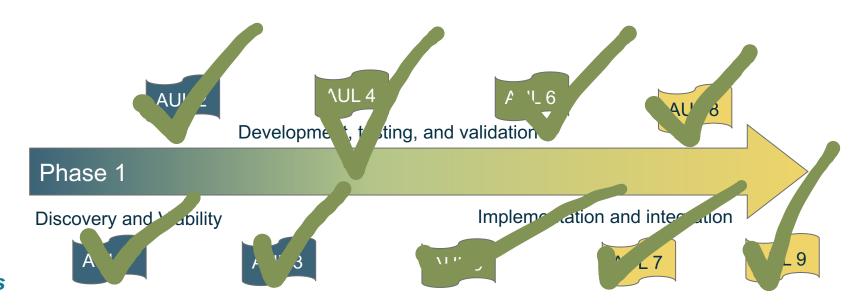


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### **Connections**

What research tools will produce useful tools to aid decision making processes?

## **Applications:**

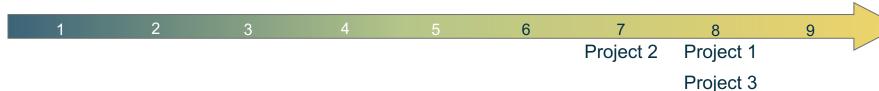
GIC impacts: User requirements and metrics



### Sudden event upsets: User requirements and metrics



### CME Arrival: User requirements and metrics



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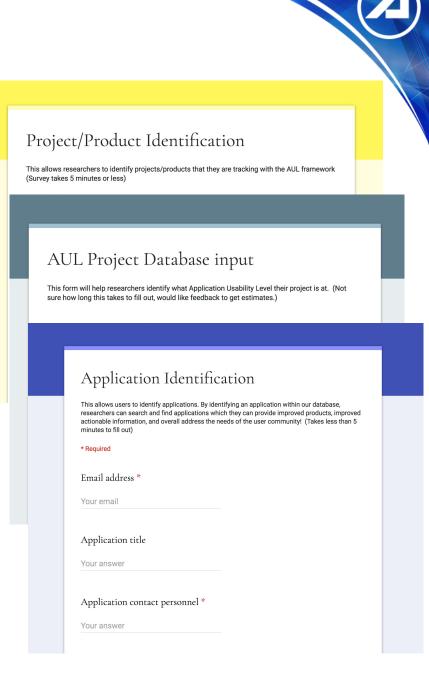
Coming next:

#### An AUL Database:

Add your project to the database <a href="https://tinyurl.com/AUL-Project-ID">https://tinyurl.com/AUL-Project-ID</a>

Add your project's progress to the database <a href="https://tinyurl.com/AUL-Milestones">https://tinyurl.com/AUL-Milestones</a>

Add your application to the database <a href="https://tinyurl.com/AUL-App-ID">https://tinyurl.com/AUL-App-ID</a>



### Get involved

## Assessment of Understanding and Quantifying Progress

This work has been completed as part of the activities of the Assessment of Understanding and Quantifying Progress working group which is part of the International Forum for Space Weather Capabilities Assessment. More information can be found at

International Forum for Space Weather Capabilities Assessment:

https://ccmc.gsfc.nasa.gov/assessment/forum-topics.php

Assessment of Understanding and Quantifying Progress:

https://ccmc.gsfc.nasa.gov/assessment/topics/trackprogress.php

http://spacewx.weebly.com/tracking-progress.html

The AUL White Paper from Aerospace:

https://tinyurl.com/AppUseFrame



