

CSC791/495

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# When "All Natural" Doesn't Suffice

lots of problems ARE FPT wrt natural parameter

Thus far: { we saw that  $k$ -coloring is NP-hard at  $k=5 \Rightarrow$  not FPT wrt  $k$ .  
(also not XP)  
k-clique also does not have a  $f(k)n^{o(1)}$  algorithm (unless ... bad things happen)

Known Problems:

Other (more practical) Issues: value of parameter may be prohibitively large .

good example: vertex cover

\* your problem has already studied w/ natural parameter.

# Alternative Medicine

Problem: Given a graph  $G$  and  $k \in \mathbb{Z}^+$ , does  $G$  contain a clique on  $k$  vertices?

Natural Parameter:  $k$  (clique is  $W[1]$ -hard  $\Rightarrow$  not FPT)

Dual Parameter:  $\ell = n - k$  Is there a  $k$ -clique? Use time  $f(\ell)n^{O(1)}$

observe: if YES  $\Rightarrow$  every non-edge has  $\geq 1$  endpoint in a set of  $\leq n - k$  vertices. [smells like VC]

Consider  $\bar{G}$  (complement of  $G$ ). If  $\bar{G}$  has a vertex cover of size  $\leq n - k \Rightarrow G$  has a  $k$ -clique.

Structural Parameter:  $d = \max$  degree of  $G$  ( $\Delta$ )

Win b/c VC is  $2^{n-k} \stackrel{?}{\leftarrow} n^{O(1)} = 2^{\ell} n^{O(1)} \checkmark$

① If  $k > d \Rightarrow$  NO. So we can assume  $k \leq d$ .

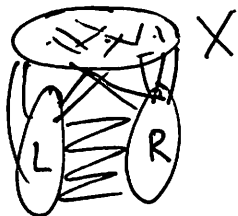
② If  $v$  is in a clique  $\Rightarrow$  the whole clique lives in  $N(v) \cup \{v\} = N[v]$ . But  $N[v] \leq d + 1$

Brute force:  $\binom{d+1}{k} \cdot n \leadsto (d+1)^k \cdot n \leq (d+1)^d \cdot n$  better bound:  $O(2^{d+1}) n^{O(1)}$

Distance Parameter:  $b = |X|$  s.t.  $G \setminus X$  is bipartite

observe: easy to solve clique in bipartite graphs.

Given:  $G, |X| = b, \neq k$ .



where can a clique "live"? ( $k \geq 3$ )

observe: it can have  $\leq 1$  vertex from each of  $L$  &  $R$ .

Pick  $u \in L, v \in R$ , look in  $X \cup \{u\} \cup \{v\}$  for a  $k$ -clique.  $\binom{b+2}{k}$


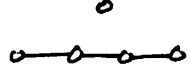
how many pairs matter? # edges in bipartite graph  $\leq n^2$  again  $k \leq b + 2 \Rightarrow$  fpt wrt  $b$ .

# Bounded De....

• we've had lots of success w/  $\Delta$ .

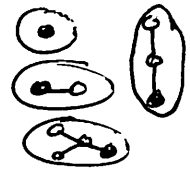
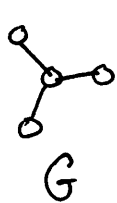
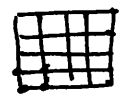
Defn A graph is d-degenerate if every <sup>(induced)</sup> subgraph has a vertex of degree  $\leq d$ .  
 The ~~degen~~ degeneracy of a graph is the minimum  $d$  so that  $G$  is  $d$ -degenerate.

Examples: Is this a weaker or stronger condition than bounded  $\Delta$ ? weaker

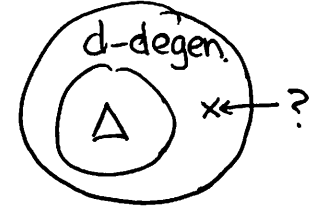
$d=2$ :  $\Delta=2 \Rightarrow$  (cycles)  (paths)  + disjoint unions

$\text{deg} \leq 2 \Rightarrow$  can we have  $\text{deg } 3$ ?

stars -  $\text{degen } 1$   
 grids -  $\text{degen } 2$

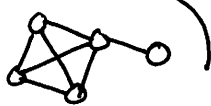


induced subgraphs



Q: Can we calculate it? (Yes in  $2^n$ ) in polynomial time?  
 (in poly time)

suggestion: look @ min deg vertex. (If  $> d \Rightarrow \text{No}$ )  
 delete it & recurse. the max degree of a vertex  
when chosen is the degeneracy.

claim  $\text{degen.} \geq \min \text{deg}$  ✓  
 (not equal: )

Useful observation: the above algorithm implies every  $d$ -degenerate graph has an ordering  $v_1, v_2, \dots, v_n$  so that  $v_i$  has  $\leq d$  neighbors w/ higher index.



## In-Class Exercise

Problem: Prove that  $k$ -Clique is FPT parameterized by degeneracy.

Algorithm ① get a degeneracy ordering  $v_1, \dots, v_n$  (linear time)

$$\deg_{\geq}(v_i) \leq d$$

② recall that any clique lives in  $N(v)$  for some  $v$ .

So check  $N(v_1)$  in time  $\leq \binom{d+1}{k}$   $\rightarrow$  if yes  $\Rightarrow$  return

$\rightarrow$  if no  $\Rightarrow$  safe to delete

Now  $G \setminus v_1$  has  $\deg(v_2) \leq d$ , so

we can repeat.

(iterate over the ordering)

$$O(2^{d+1} \cdot n)$$

Food for thought: ~~Max~~ Independent Set / Dominating Set?

# All the Parameters?

"natural parameter"

degeneracy

# edges

# components

# vertices

max degree

max deg in  $\overline{G}$

size of a (min) vertex cover

shortest  
longest<sup>^</sup> path  
(diameter)

shortest induced  
(~~long~~ cycle)  
girth

min degree

genus

0 - planar

1 - toroidal graphs



Max independent set

# induced  $P_3$ 's

# of vertices deleted  
to become bipartite  
(OCT #)



treewidth

treedepth

pathwidth

# Distance to Triviality

A useful perspective on parameterized complexity:

- ① NP-hard problem
- ② but it's easy / trivial (polynomial-time) on some class (subset of instances that share a property)
- ③ pick our parameter  $k$  to measure distance to  $\nearrow$  this class.

What have we seen that fits this model?

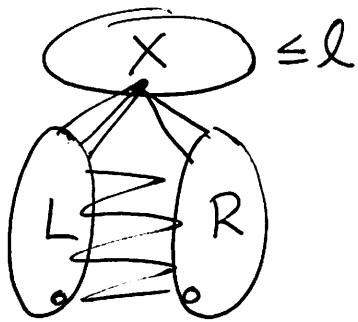
clique w/ OCT#

"trivial" could mean small ( $n \leq f(k)$  or  $k$  is very small)  
then natural parameters are often "distance" to triviality.

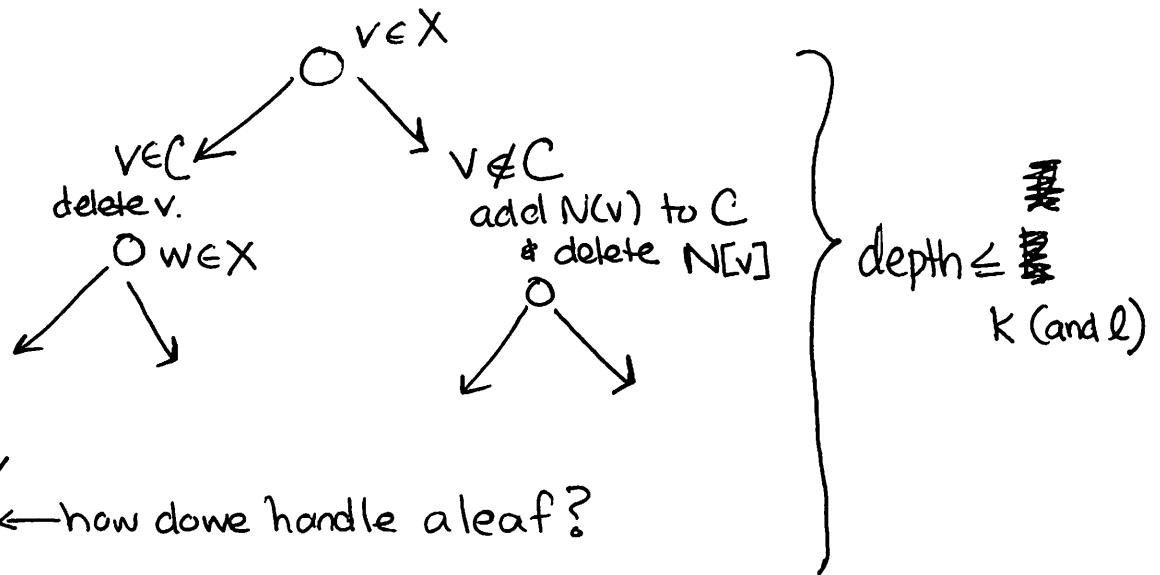
# When more problems help

Problem: Given a graph  $G$ ,  $k \in \mathbb{Z}^+$  and an odd cycle transversal  $X$  of  $G$  with size at most  $l$ . Does  $G$  have a vertex cover of size at most  $k$ ?

Goal: Show this is FPT parameterized by  $l$ .



If we're aiming at bounded search tree,  $X$  is an obvious candidate for branching.



at a leaf:

either:  $k=0$   $\rightarrow$  YES if no edges  
 $\rightarrow$  NO else

or:  $l=0 \Rightarrow G$  is bipartite

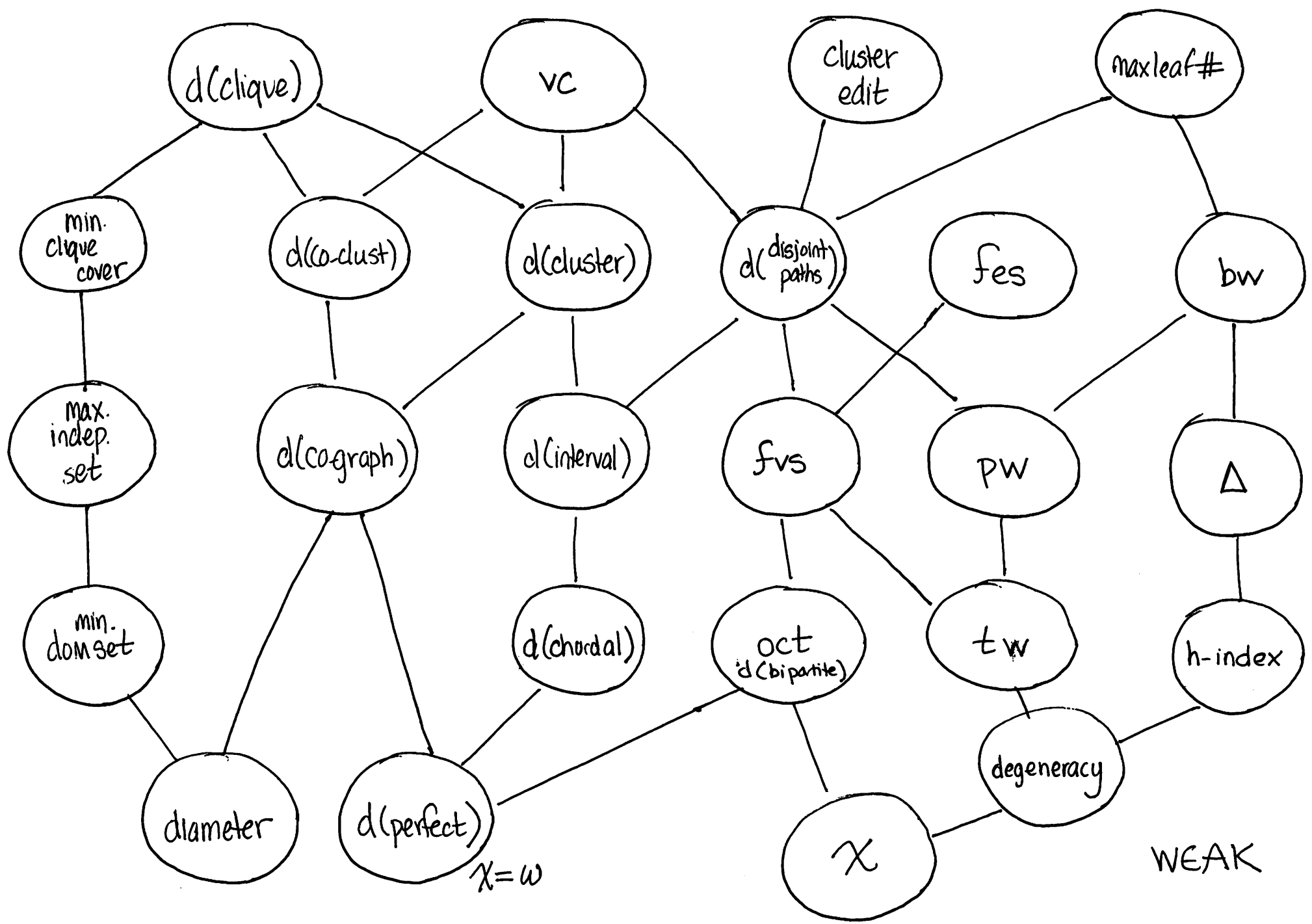
$\swarrow$  how do we handle a leaf?

Lemma VC is poly-time on bipartite graphs.



# Parameter Ecology

STRONG



# Above Guarantees

Typical CSP/SAT researcher: "SAT is trivially FPT w.r.t # variables; why care about PAC?"

Thm: 3SAT has  $O^*(2^{k_v})$  and  $O^*(2^{3k_c})$  algorithms where  $k_v = \# \text{vars}$ ,  $k_c = \# \text{clauses}$ .

◦ What about SAT parameterized by  $k_c$ ? Try branching.

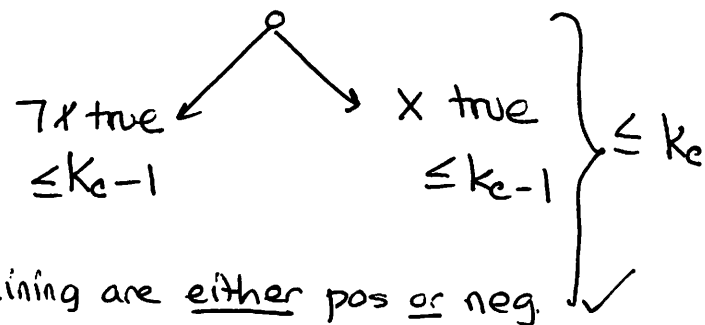
If  $x$  and  $\neg x$  appear in  $\varphi \Rightarrow$  branch on  $x$ .

either way  $\geq 1$  clause gets satisfied. Delete it.

$k_c = 0 \Rightarrow \text{YES}$ .

argue leaves in poly-time:

run out of variables  $\Rightarrow$  all remaining are either pos or neg. ✓



◦ If we consider MaxSAT (satisfy  $\geq k$  clauses), is it more interesting?

If  $\geq 2k$  clauses  $\Rightarrow$  WIN. random assignment satisfies  $\lceil m/2 \rceil$  clauses

If  $< 2k$  clauses use SAT algorithm above ☺

$m = k_c$

◦ This motivates an "above guarantee" formulation: can we do  $k$  better than random?

Is there an assignment satisfying  $\lceil m/2 \rceil + \underbrace{k}_{\text{parameter}}$  clauses?

# Today's Problems

① Give an FPT algorithm for  $k$ -coloring parameterized by vertex cover.

Problem Given a graph  $G$  and a vertex cover  $X$  of size  $\ell$ , is  $G$   $k$ -colorable?

② Give an FPT algorithm for (minimum)  $k$ -dominating set parameterized by feedback vertex set.

FVS  $X \Rightarrow$   
 $G \setminus X$  a forest