

CSC791/495-011

Dr. Blair D. Sullivan

August 18, 2017

An Academic Problem

- n faculty members, known conflicts between some pairs.
- how many guests (max) can we invite w/no fistfights? give an algorithm to find a solution.

Suggestion: model problem as graph

V = faculty
E = conflicts

n = 1000

Try 1 Look at all possible guest lists & pick one with largest size & no fights

$$2^n \cdot n^2 \sim 10^{300}$$

~~sets~~

Reality I can't afford to not invite more than \underline{k} faculty members

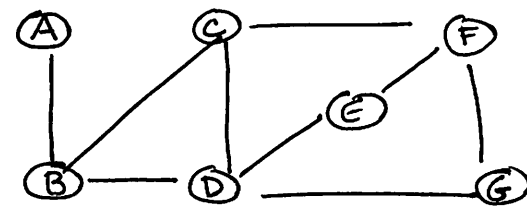
↑ very small

try brute force on finding non-invited faculty:

k = 10

$$\text{consider all subsets of size } k: O\left(\binom{n}{k} \cdot (n-k)^2\right) \sim 10^{23}$$

Resolving Conflicts



$n = 7$
 $q: k = 3$

Strategy: make easy decisions 1st

- ① if there's a faculty member w/no conflicts, invite them (k)
- ② if a faculty member has $\geq k+1$ conflicts, add to black list ($k \rightarrow k-1$)

claim ① & ② are "safe" operations — if there is a solution w/ $\leq k$ on the blacklist
 \Rightarrow performing these doesn't (can't) change YES/NO answer.

Alg: iteratively apply ① & ② until you can't anymore. What can we say about remaining instance — how many faculty could be on undecided list?

If this remainder has too many conflicts \Rightarrow it must be a NO-instance.


? How many conflicts can be resolved by excluding k people? Each person is in $\leq k$ conflicts $\Rightarrow \leq k^2$ conflicts.

③ If $> k^2$ conflicts \Rightarrow answer NO. (applies only after ② is done) $\left. \begin{array}{c} \circ - \circ \\ \circ - \circ \\ \circ - \circ \end{array} \right\} k^2$

Now $\leq k^2$ conflicts & every remaining faculty is in ≥ 1 conflict $\Rightarrow \leq 2k^2$ faculty.

Brute force: $\binom{2k^2}{k} \binom{k^2}{n}$ overall: exercise $\sim 10^{16}$

Resolving Conflicts, II

- ① remove vertices of degree 0 (invite them; k stays same)
- ② remove vertices of degree $\geq k+1$ (blacklist them; $k \rightarrow k-1$)
- ③ remove vertices of degree 1 (invite them; blacklist their neighbor; $k \rightarrow k-1$)
"Safe" b/c nbr also has $\deg \geq 1$ & all conflicts must be resolved
 • must blacklist ≥ 1 vertex from each edge.
- ④ reject if $> k^2$ conflicts (b/c all degrees are $\leq k$)

Claim at most k^2 faculty still need decisions.

Pf If $\leq k^2$ conflicts & every remaining vertex has $\deg \geq 2$

$\Rightarrow \leq k^2$ faculty remaining.

BF runtime $O\left(\binom{k^2}{k}\right) \sim 10^{13}$

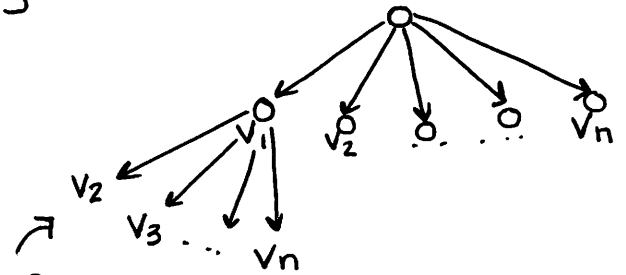
An Egalitarian Approach

Algorithm 1 Pick a vertex uniformly at random & black list it ($k \rightarrow k-1$).

Apply recursively until either $k=0$ $\left\{ \begin{array}{l} \text{if } |E| = 0 \Rightarrow \text{YES} \\ \text{else} \Rightarrow \text{NO} \end{array} \right.$
or $|V| = 0 \Rightarrow \text{YES}$

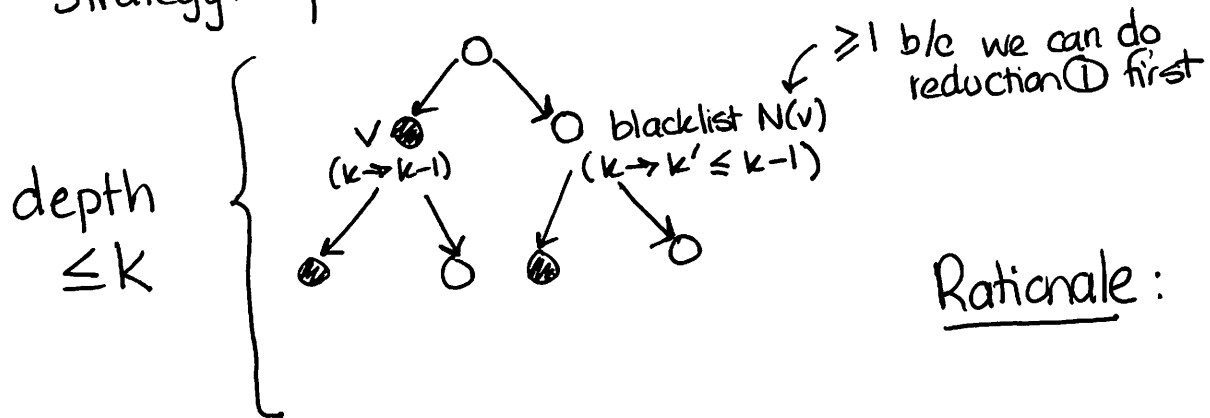
Claim $O(n^k)$

Rationale Apply reduction ① first. Then we have $n(n-1) \dots (n-(k-1)) \leq n^k$ leaves in our search tree



Algorithm 2 Observe that if v is invited, its neighbors in G cannot be.

Strategy: pick a vertex v (uniformly @ random). Either blacklist v OR blacklist all neighbors of v .



Claim $O(2^k \cdot n \cdot k) \sim 10^7$

Rationale: $\leq 2^k$ leaves in search tree

Lesson(s) Learned

① model problem as a graph (abstraction)

② we gave algorithms for an NP-hard problem (VERTEX COVER)

with running times of the form $n^{f(k)}$ and $f(k) n^c$
w/c constant

these are parameterized algorithms w/

parameter k

← relevant measure of the input

③ it might be important to reduce $f(k)$
#/or n^c

Course Highlights

git, latex & slack
will be your friends!

→ all about solving (NP-hard) problems - efficiently!

→ two major projects

- ① how to identify an interesting (& approachable) open problem
- ② actual research - start to finish:
lit review, positive & negative results,
collaboration, & communication (paper & pres.)

→ big focus on communication

* weekly homework is writing up a polished proof for a problem worked on in class. Clarity counts more than correctness!

* proof review exercises (hands-on now!)

* weekly research log required

→ special topics will be based on interest & relevance to ongoing research projects.

Not a Panacea

- Can't afford to alienate other faculty. So I rent k rooms.
Now, I need to group all n faculty into $\leq k$ sets so no fistfights occur in each room.
- again model w/conflict graph. This now asks for a partition of V into $\leq k$ independent sets (a proper k -coloring)
- k -coloring is NP-hard, but I could hope for $n^{f(k)}$ (or $f(k)n^c$)

Problem: 5-coloring is NP-hard.

Thm k -coloring has no FPT (XP) algorithm unless $P=NP$.

Proof ^(sketch) Assume not - that is, \exists an algorithm to solve k -coloring w/ $O(f(k)n^c)$ running time for some constant c . Then we can solve 5-coloring in $O(f(5) \cdot n^c)$, but $f(5)$ and c are constants, so this implies that 5-coloring $\in P$. If $P \neq NP$, $\rightarrow \leftarrow$. □

Hardness is Subtle

- o bouncer problem : n people, need to know if any cliques of size $\geq k$.

Can we give an XP algorithm?

Approach: look at all subsets of size k .

$$O\left(\binom{n}{k} \cdot k^2\right) \sim n^k$$

→ Unknown if there is an FPT algorithm

NP-hardness fails to give us insight b/c k -CLIQUE is not NP-hard for fixed k .

Need more refined notion of complexity & hardness.

W[1]-hierarchy