



# BLM2502

# Theory of Computation

# BLM2502 Theory of Computation

## » Course Outline

Week	Content
1.	Introduction to Course
2.	Computability Theory, Complexity Theory, Automata Theory, Set Theory, Relations, Proofs, Pigeonhole Principle
3.	Regular Expressions
4.	Finite Automata
5.	<b>Deterministic and Nondeterministic Finite Automata</b>
6.	<b>Epsilon Transition, Equivalence of Automata</b>
7.	Pumping Theorem
8.	Context Free Grammars
9.	Parse Tree, Ambiguity,
10.	Pumping Theorem
11.	Turing Machines, Recognition and Computation, Church-Turing Hypothesis
12.	Turing Machines, Recognition and Computation, Church-Turing Hypothesis
13.	Review

NFA

Non-Deterministic

Finite Automata

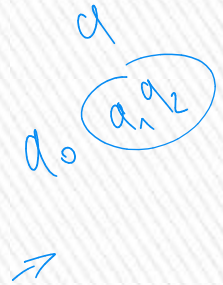


Deterministic Same inputs always,  
Same outputs

# Formal Definition of NFA

$$M = (Q, \Sigma, \delta, q_0, F)$$

$Q$ : Set of states, i.e.  $\{q_0, q_1, q_2\}$



$\Sigma$ : Input alphabet, i.e.  $\{a, b\}$   $\epsilon \notin \Sigma$

$\delta$ : Transition function  $Q \times \Sigma \rightarrow 2^Q$

$q_0$ : Initial state

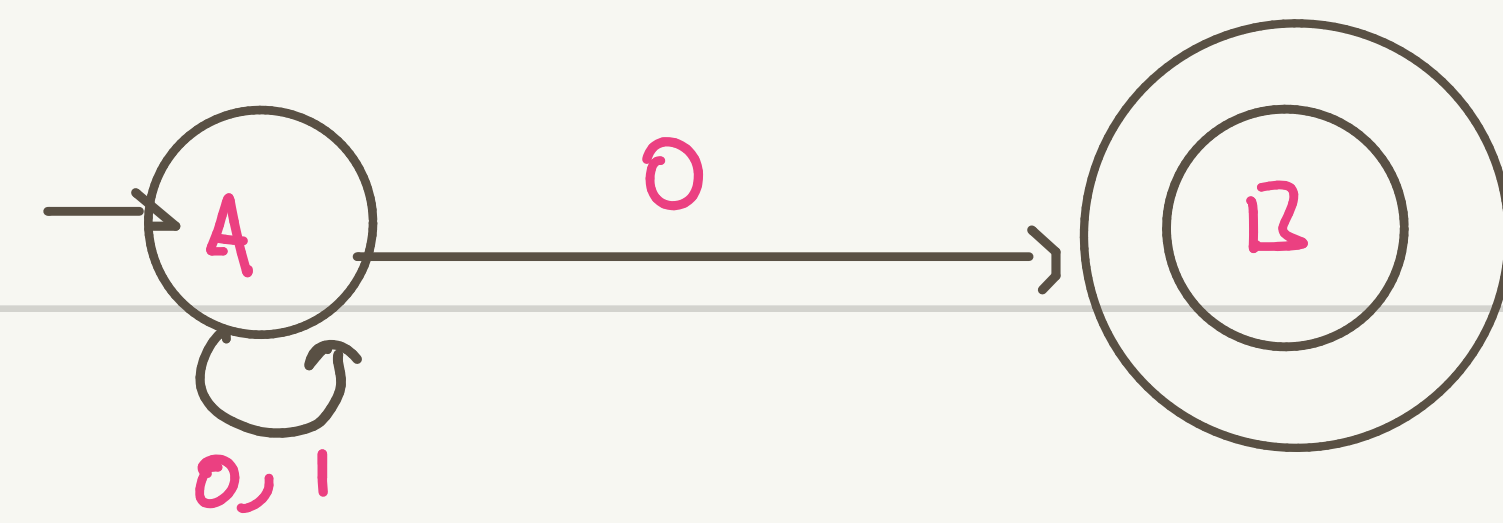
$F$ : Accepting states

A

A	B	A	B
AB	$\epsilon$	AB	$\epsilon$
A	B	A	B
AB	$\epsilon$	AB	$\epsilon$

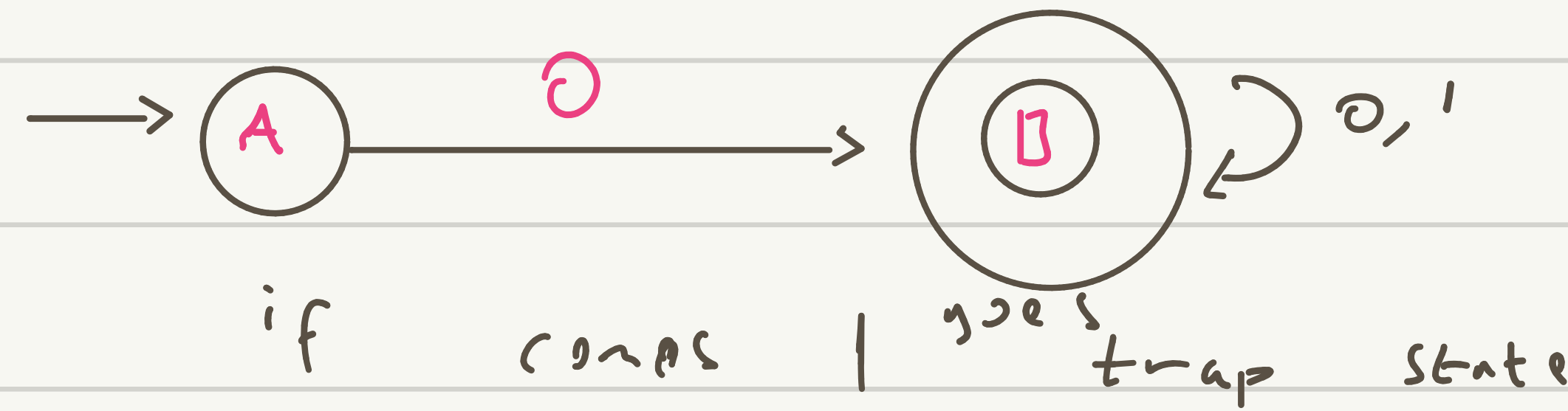
B





→ Non deterministic  $L = \{w \mid w \text{ ends with } 0\}$

↳ Bu anas, olarak düşünürüz ve anasından herhang  
biri L yi islerigorsa yazılabilir.

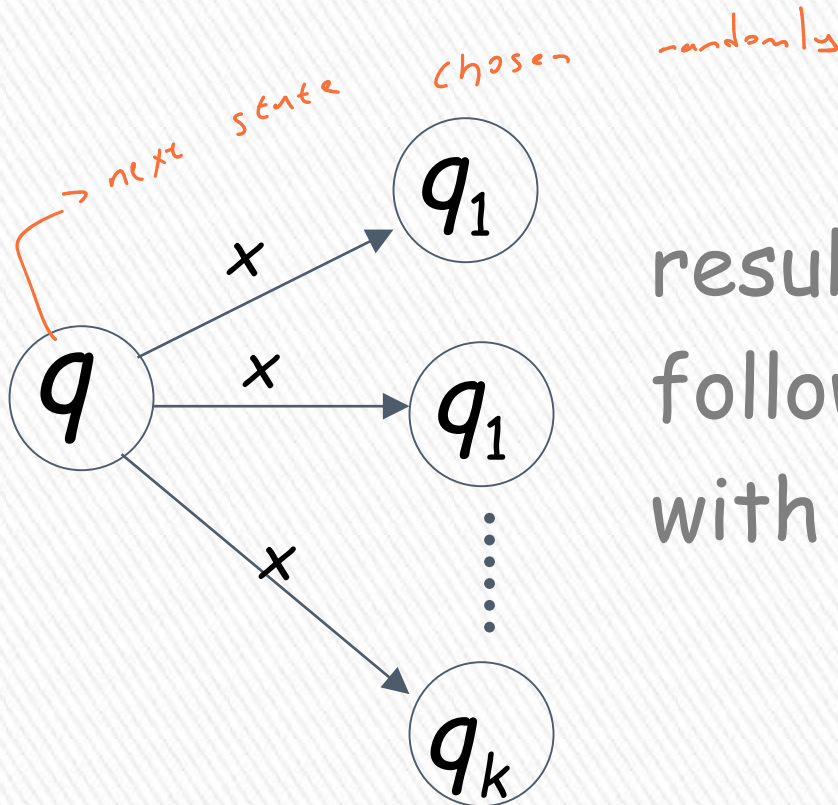


$L = \{w \mid w \text{ starts with } 0\}$

# Transition Function $\delta$

non-deterministic

$$\delta(q, x) = \{q_1, q_2, \dots, q_k\}$$

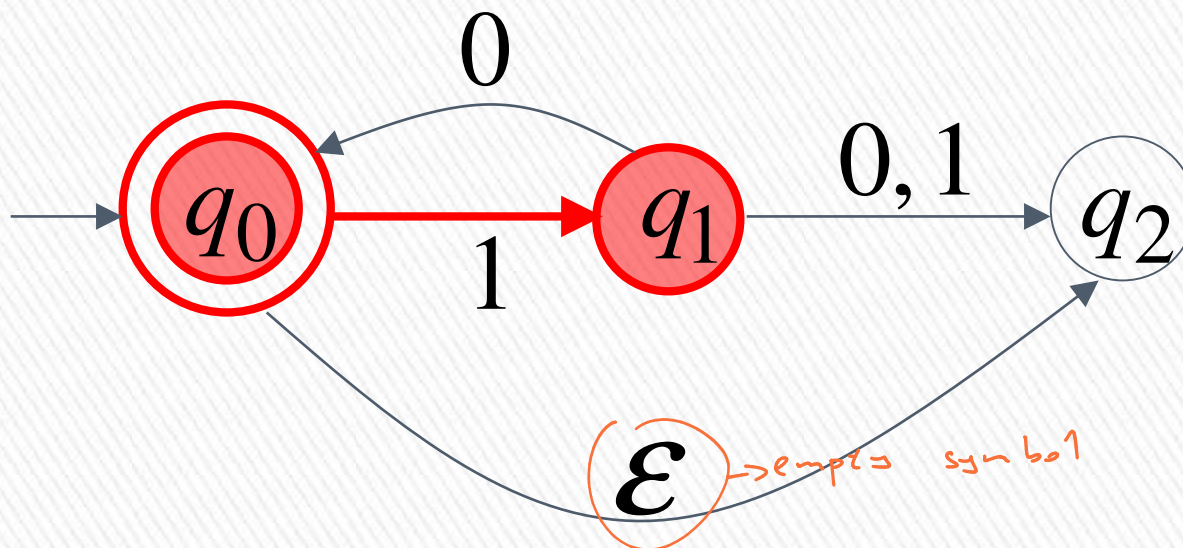


resulting states with  
following **one** transition  
with symbol  $x$

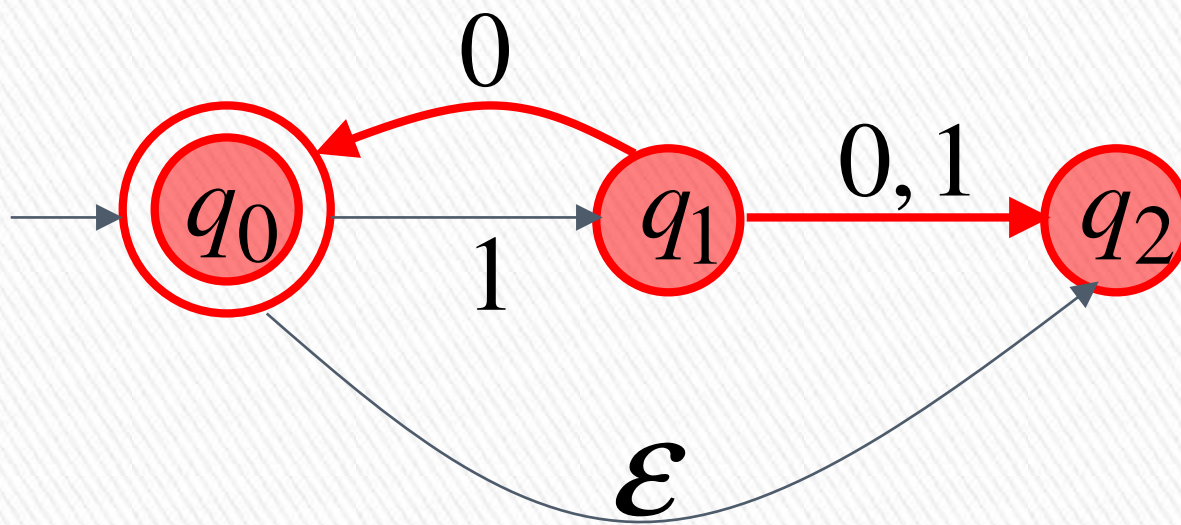




$$\delta(q_0, 1) = \{q_1\}$$

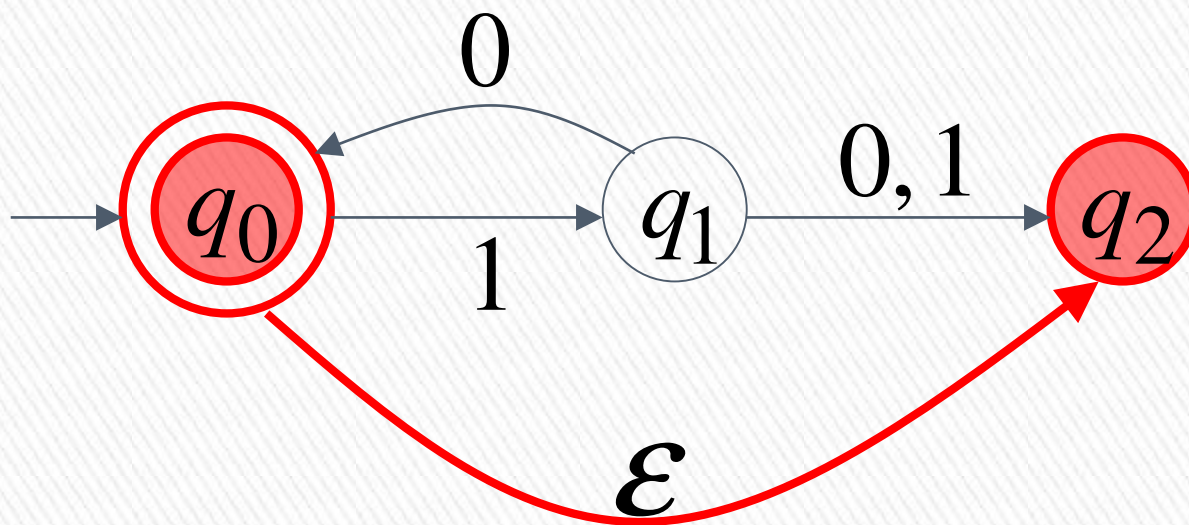


$$\delta(q_1, 0) = \{q_0, q_2\}$$

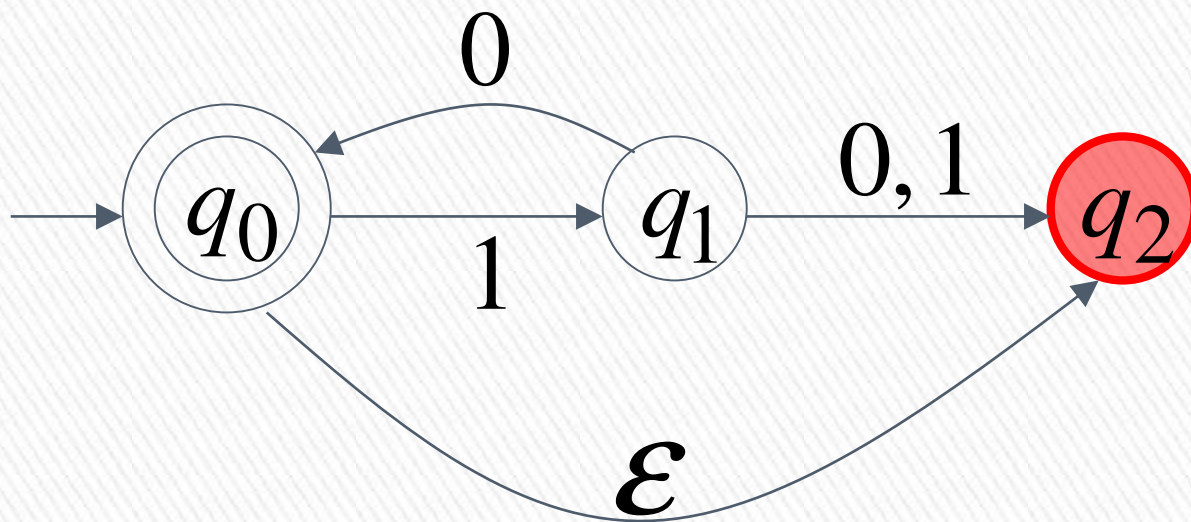




$$\delta(q_0, \varepsilon) = \{q_2\}$$

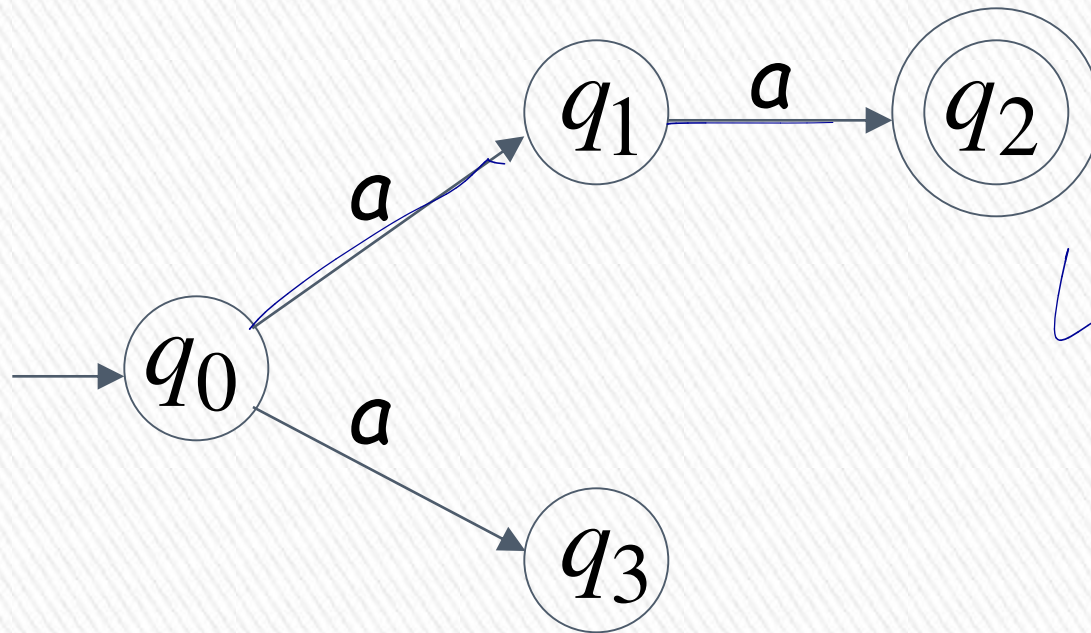


$$\delta(q_2, 1) = \emptyset$$



# Nondeterministic Finite Automaton (NFA)

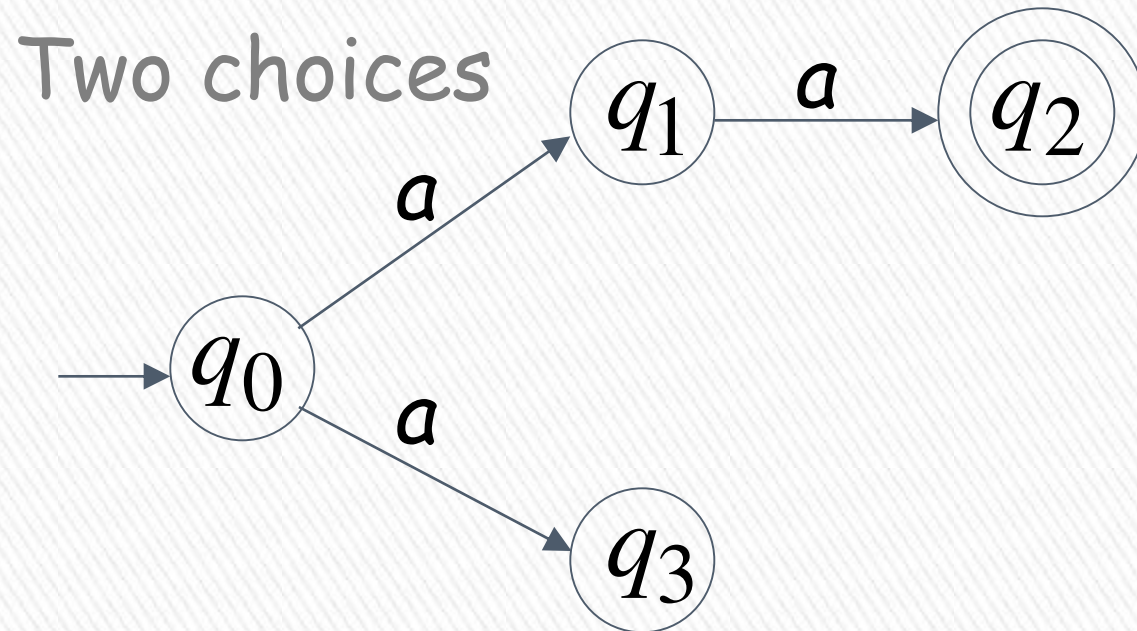
Alphabet =  $\{a\}$



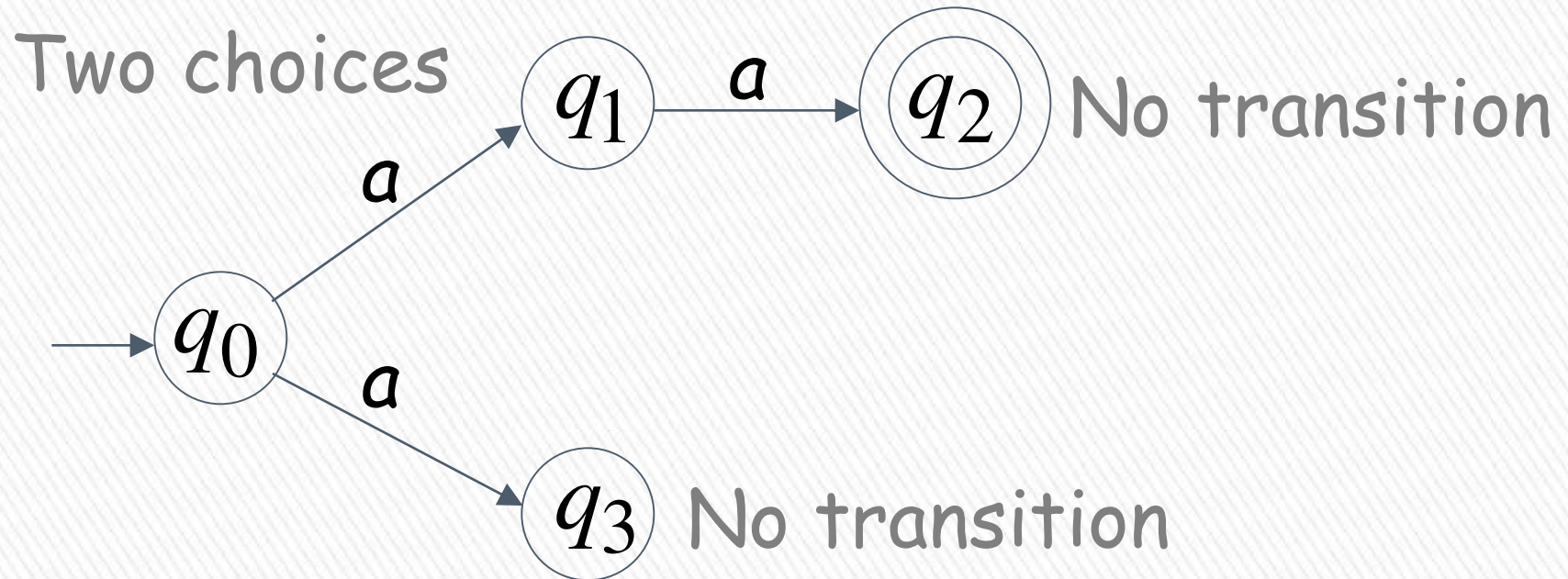
$$L = \{a^n\}$$



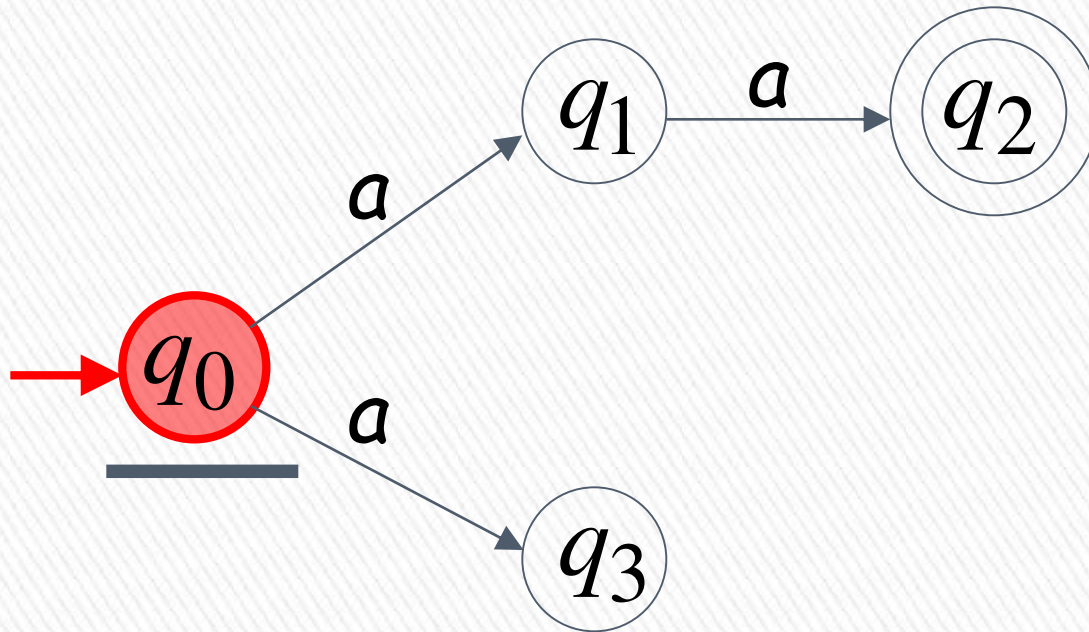
Alphabet =  $\{a\}$



Alphabet =  $\{a\}$

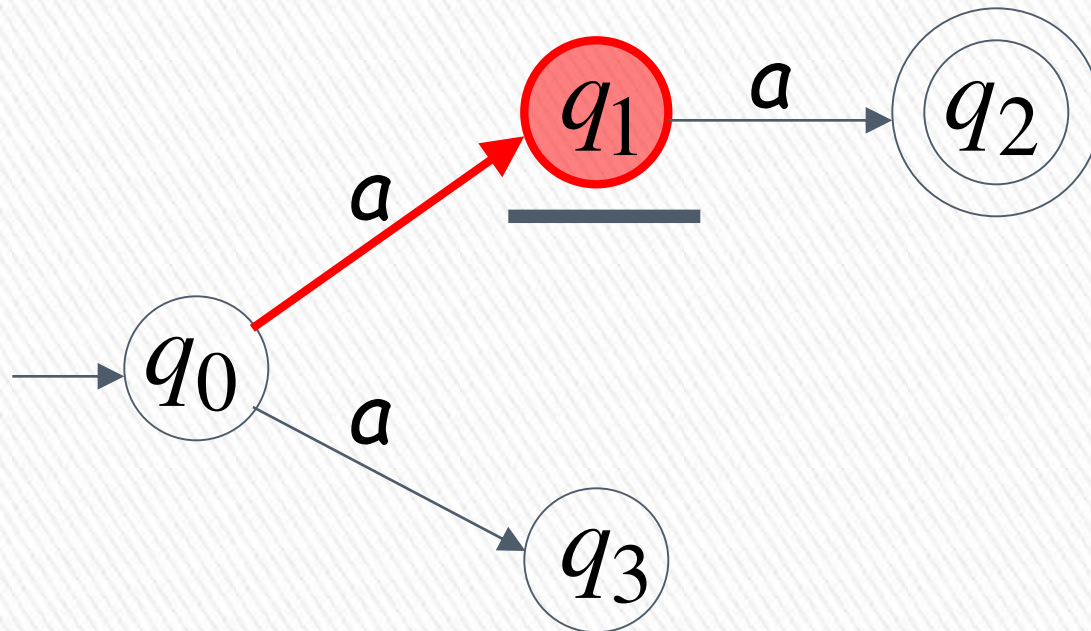


# First Choice





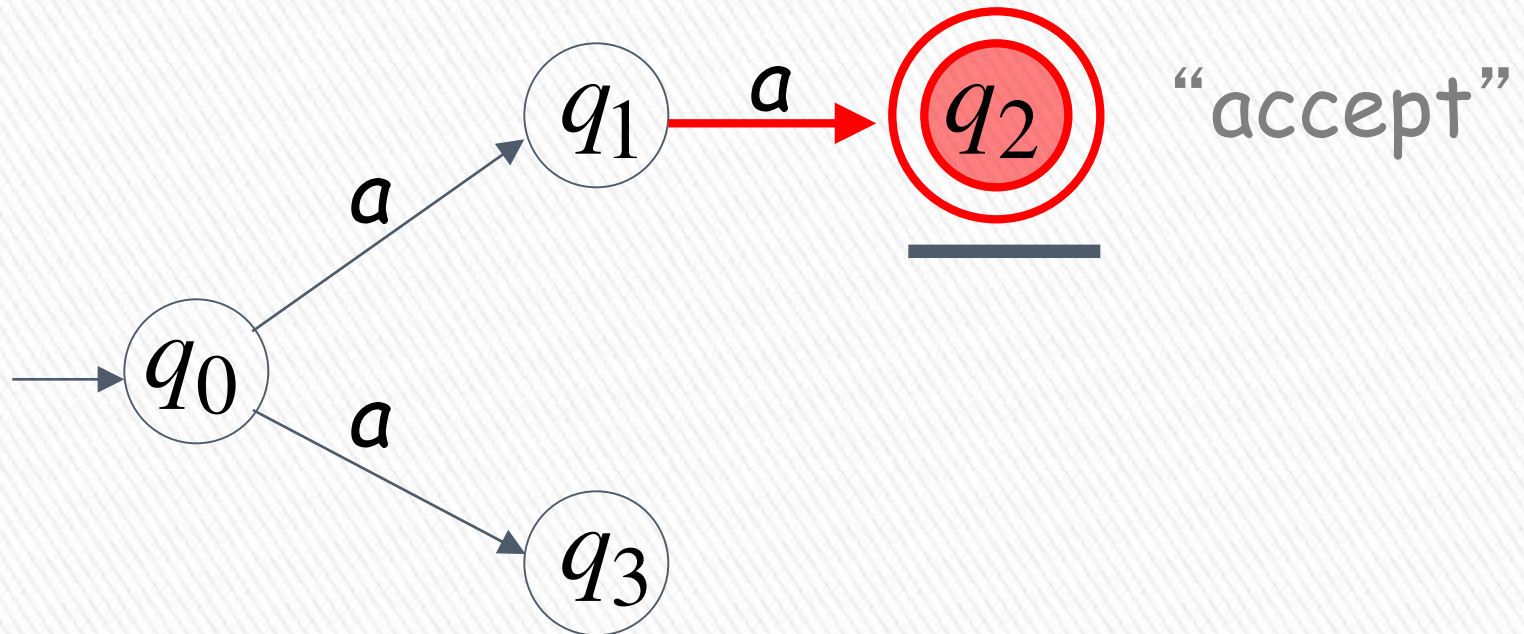
# First Choice



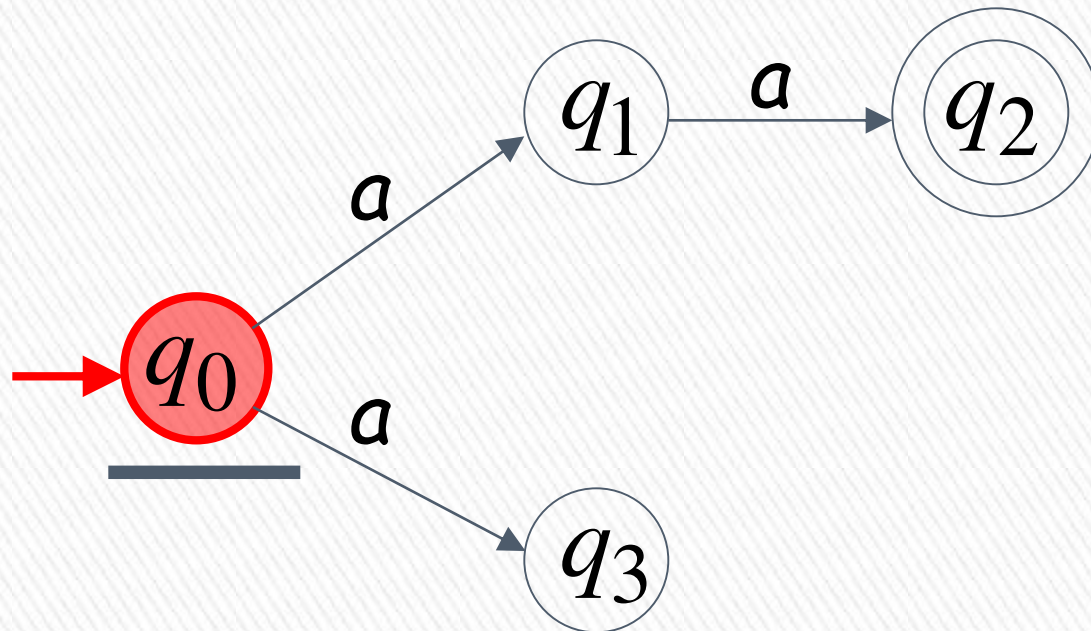
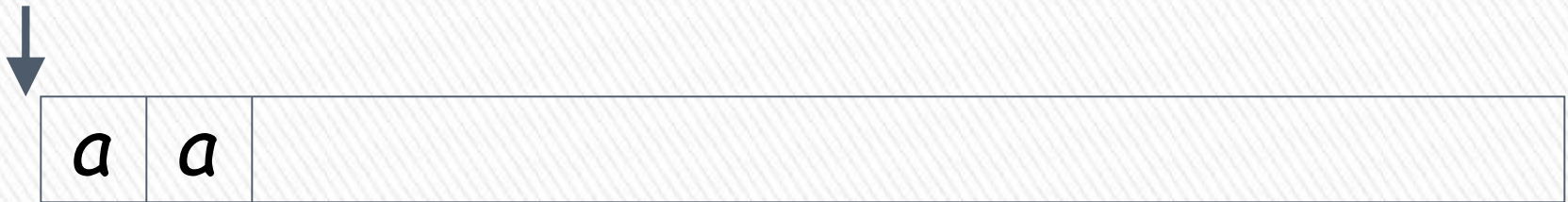
# First Choice



All input is consumed



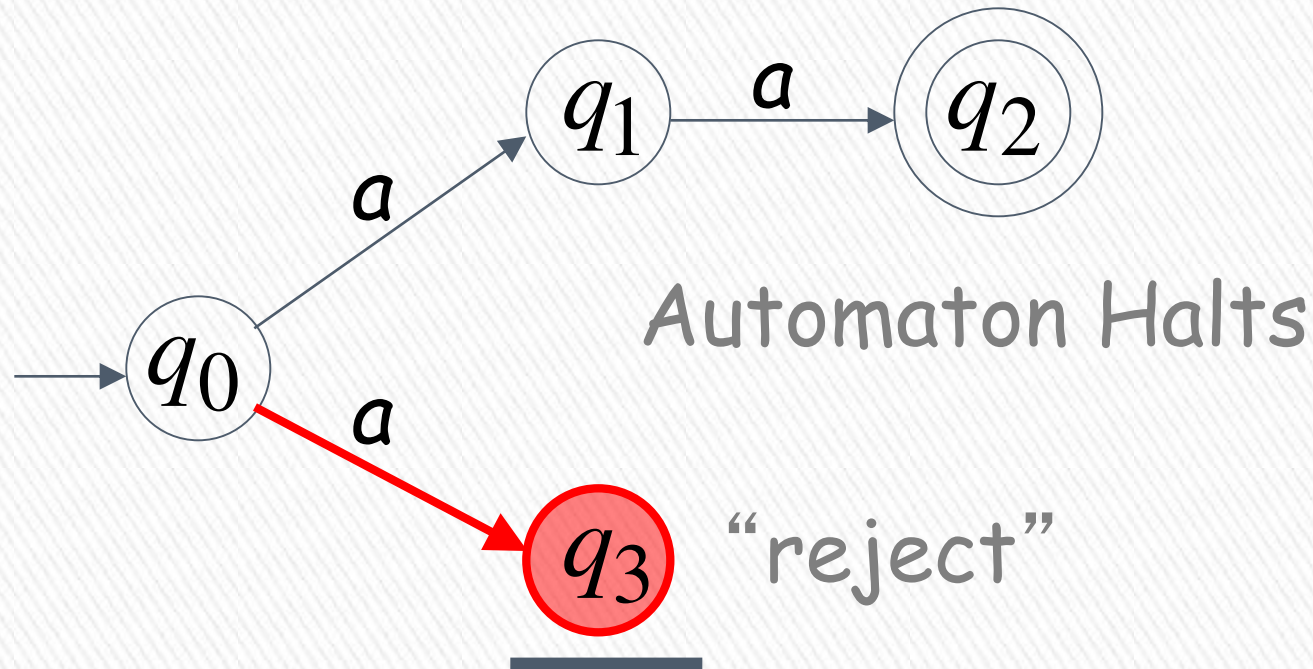
# Second Choice



## Second Choice



Input cannot be consumed



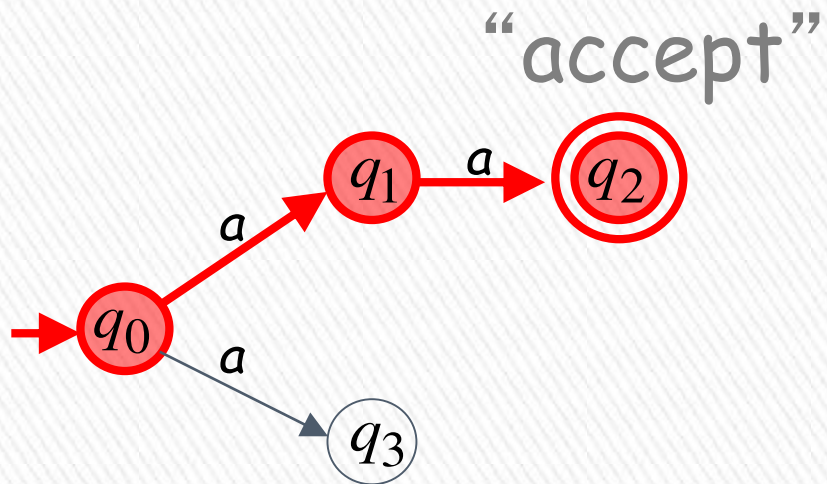
## An NFA accepts a string:

if there exists a computation of the NFA that accepts the string

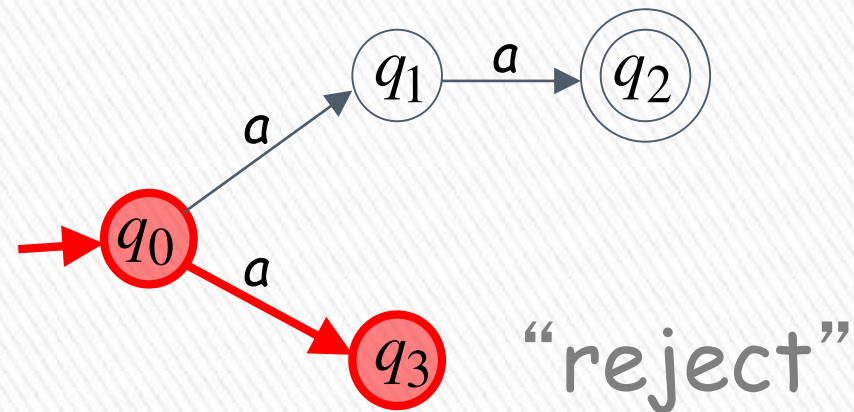
i.e., all the input string is processed and the automaton is in an accepting state



*aa* is accepted by the NFA:



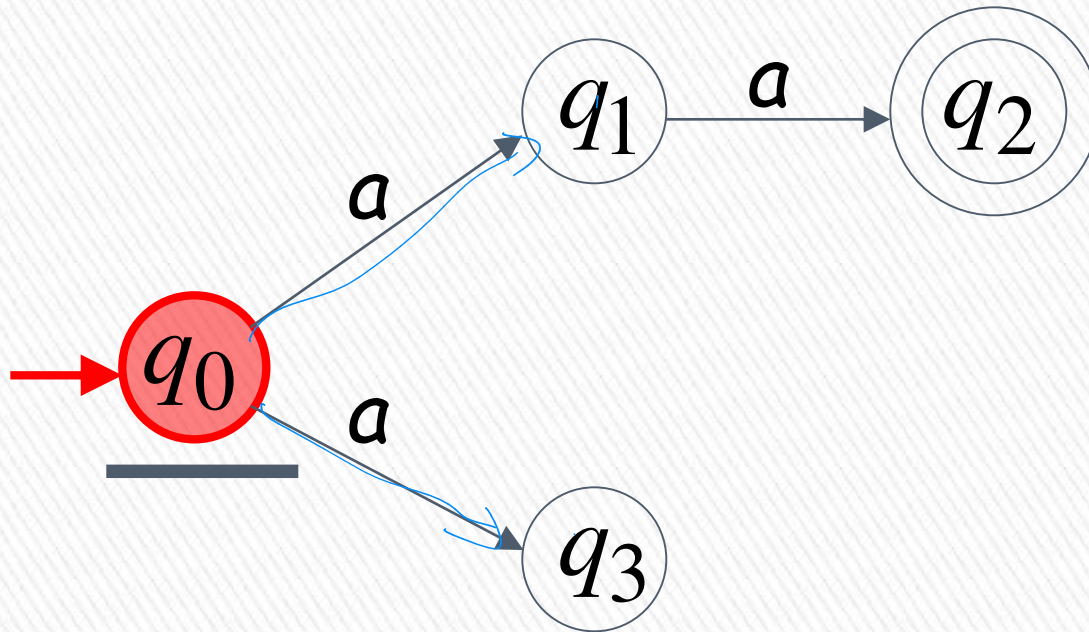
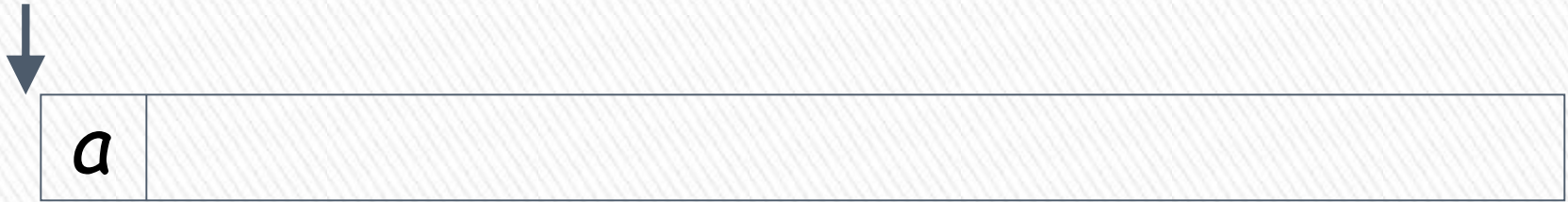
because this  
computation  
accepts *aa*



this computation  
is ignored



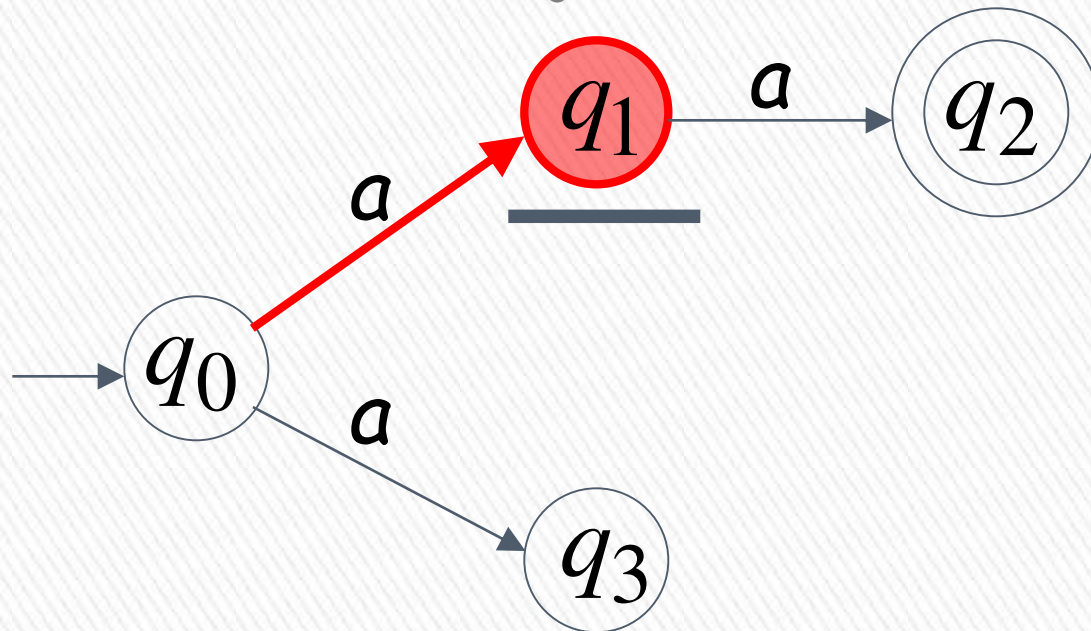
# Rejection example



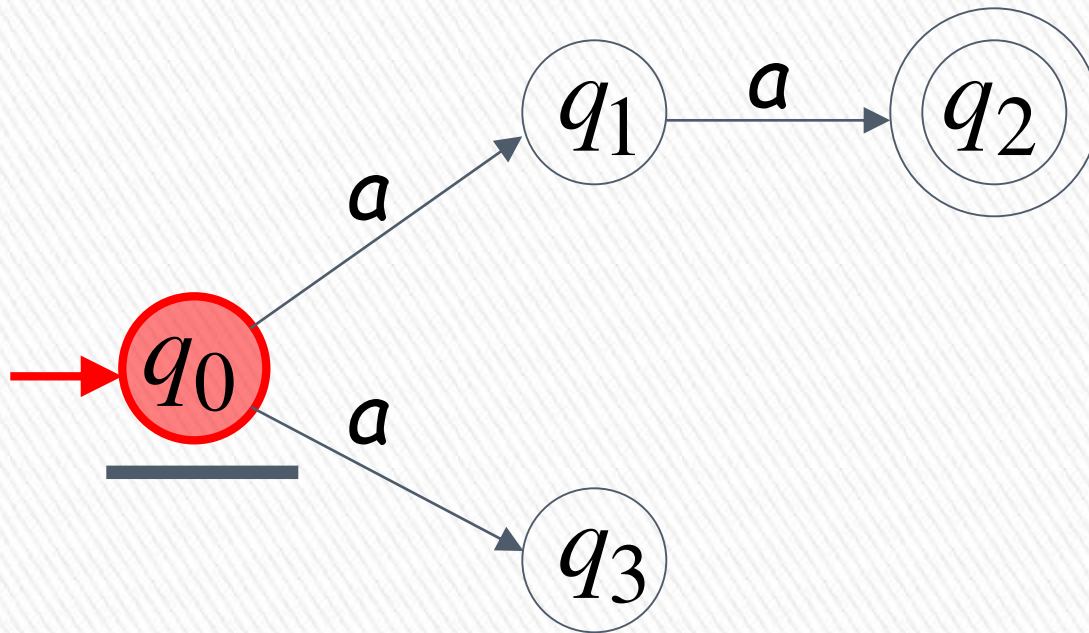
# First Choice



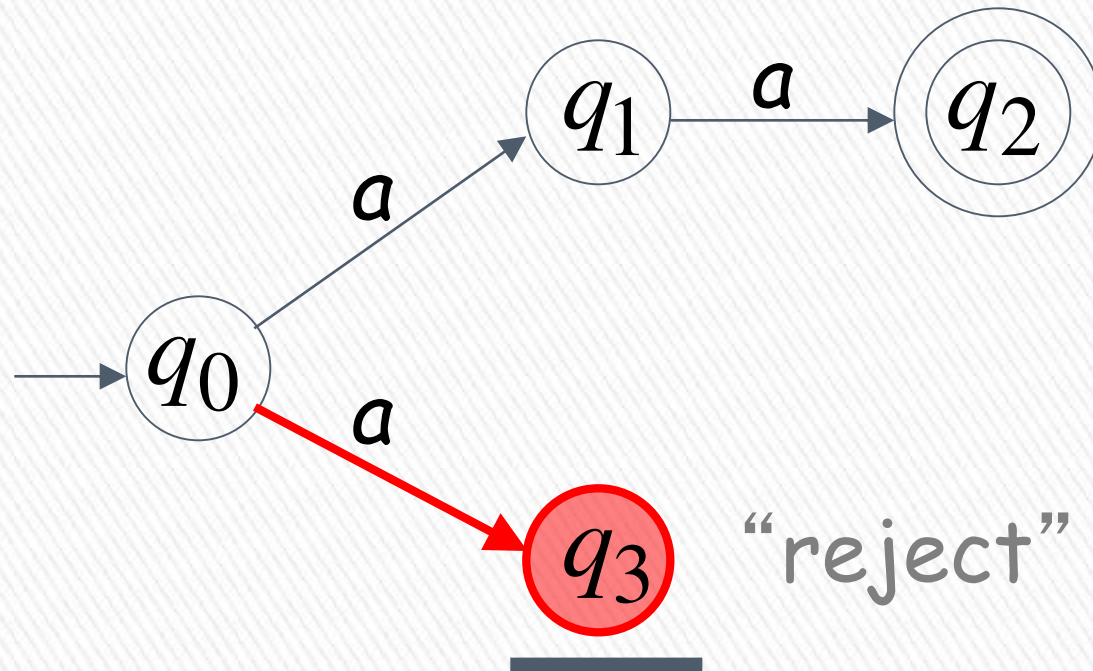
“reject”



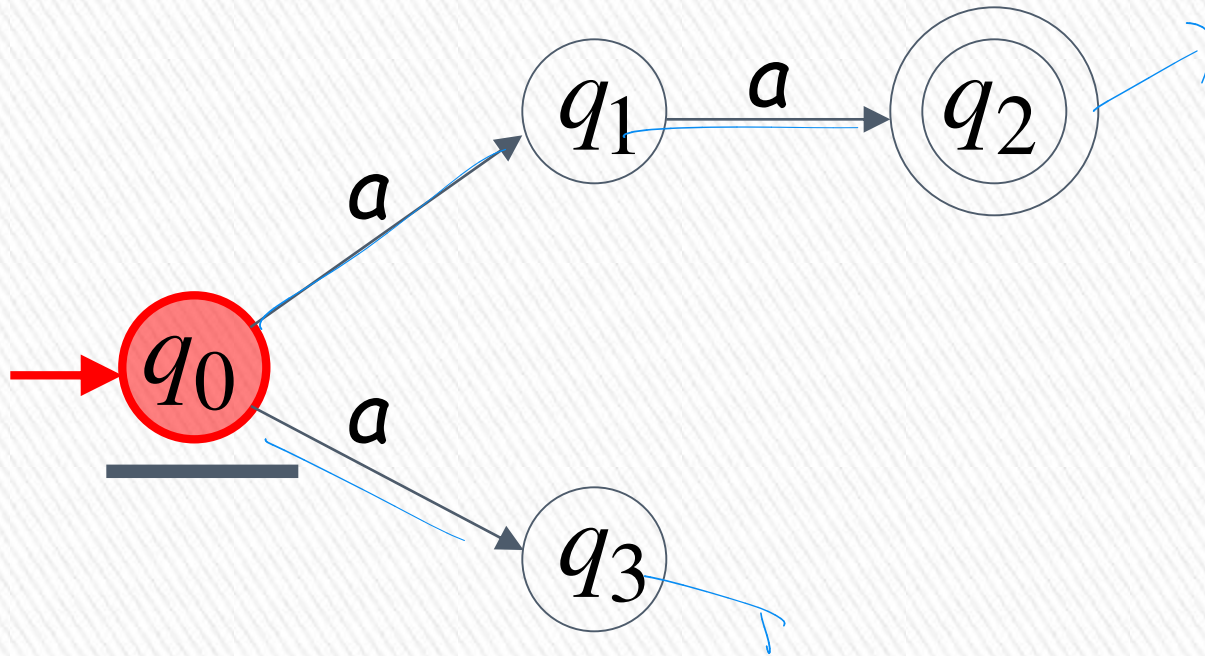
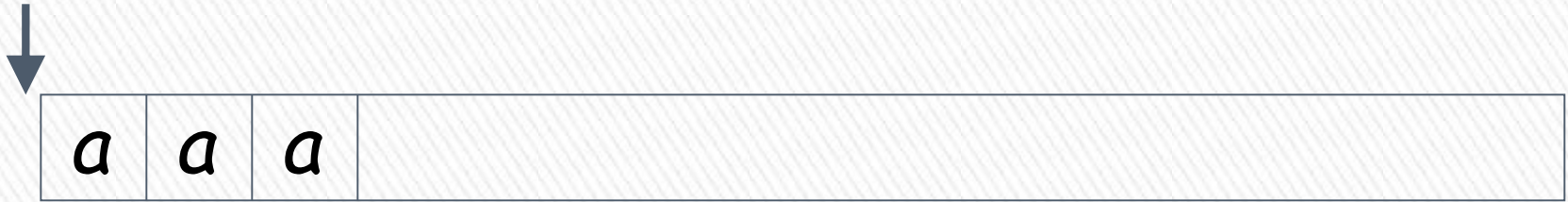
# Second Choice



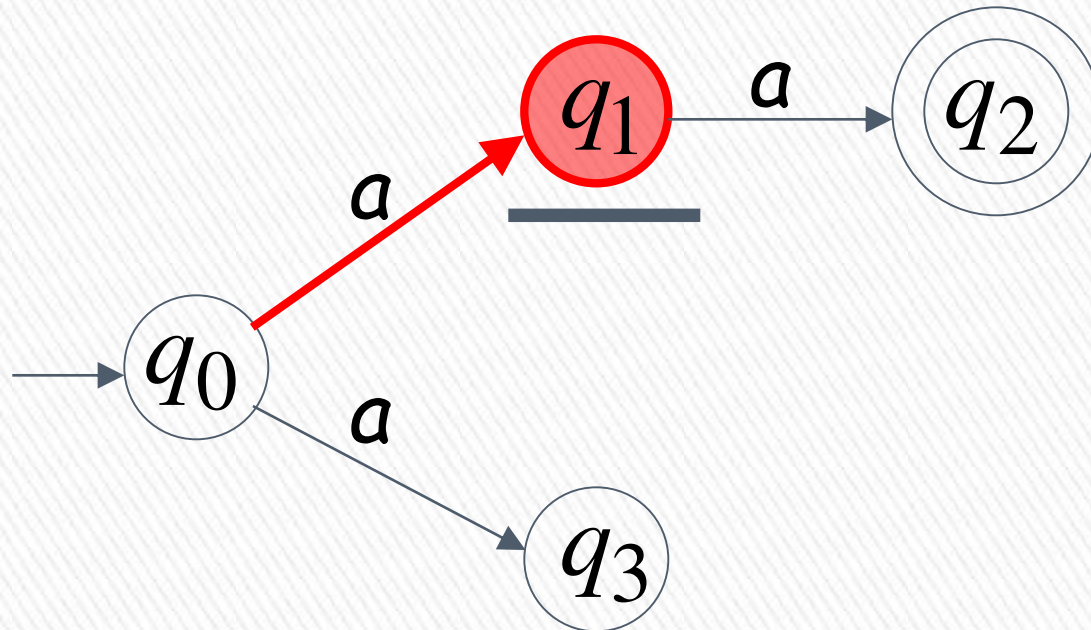
## Second Choice



# Another Rejection example



# First Choice

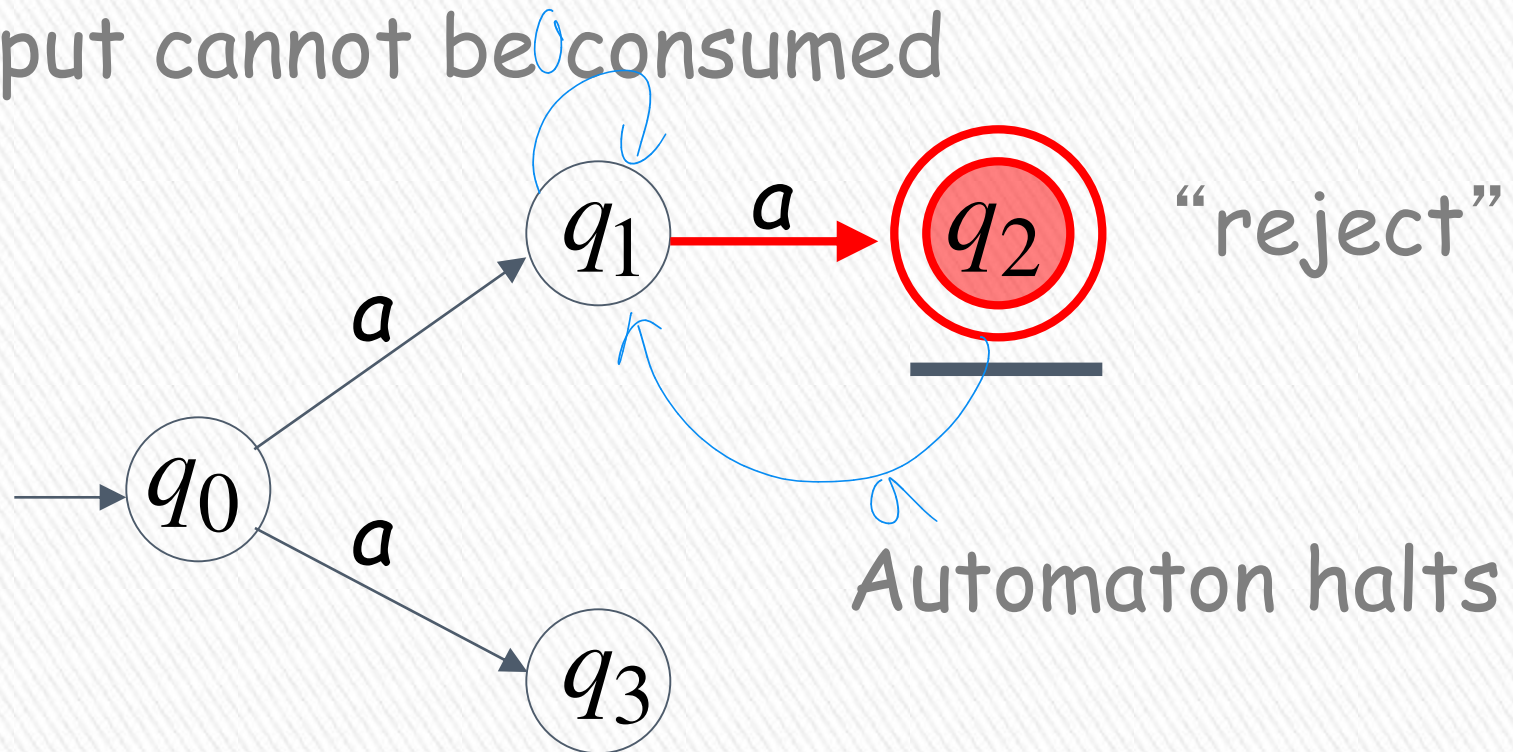




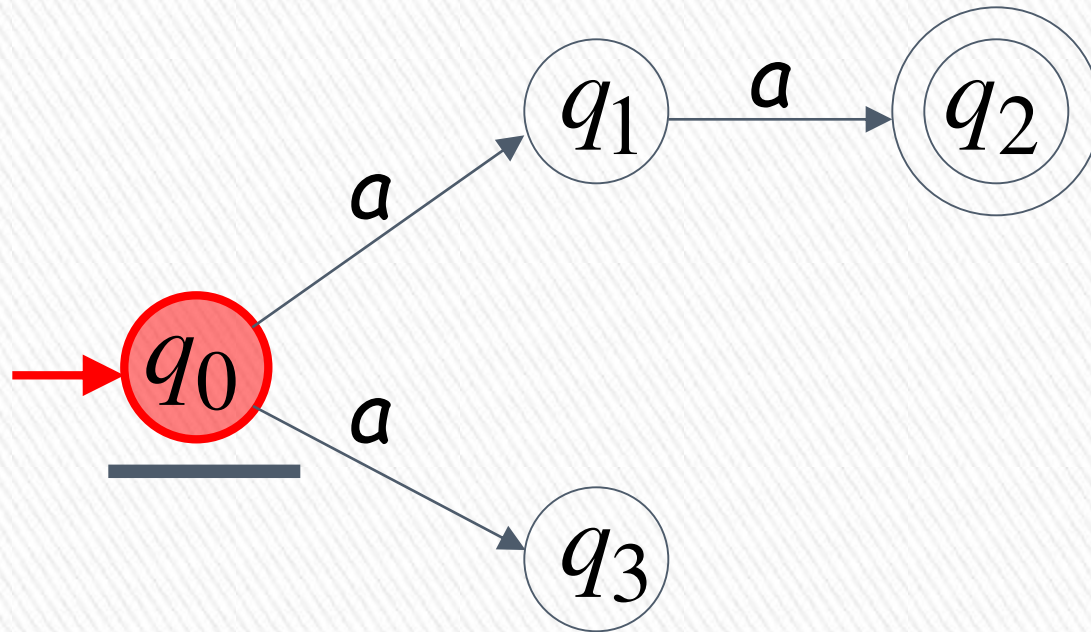
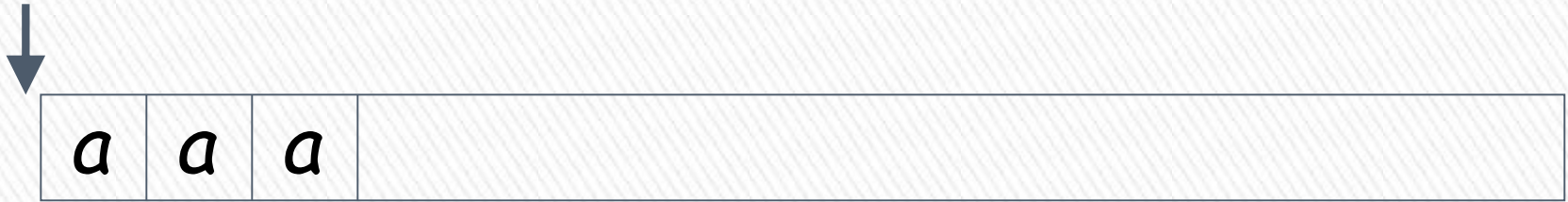
# First Choice



Input cannot be consumed



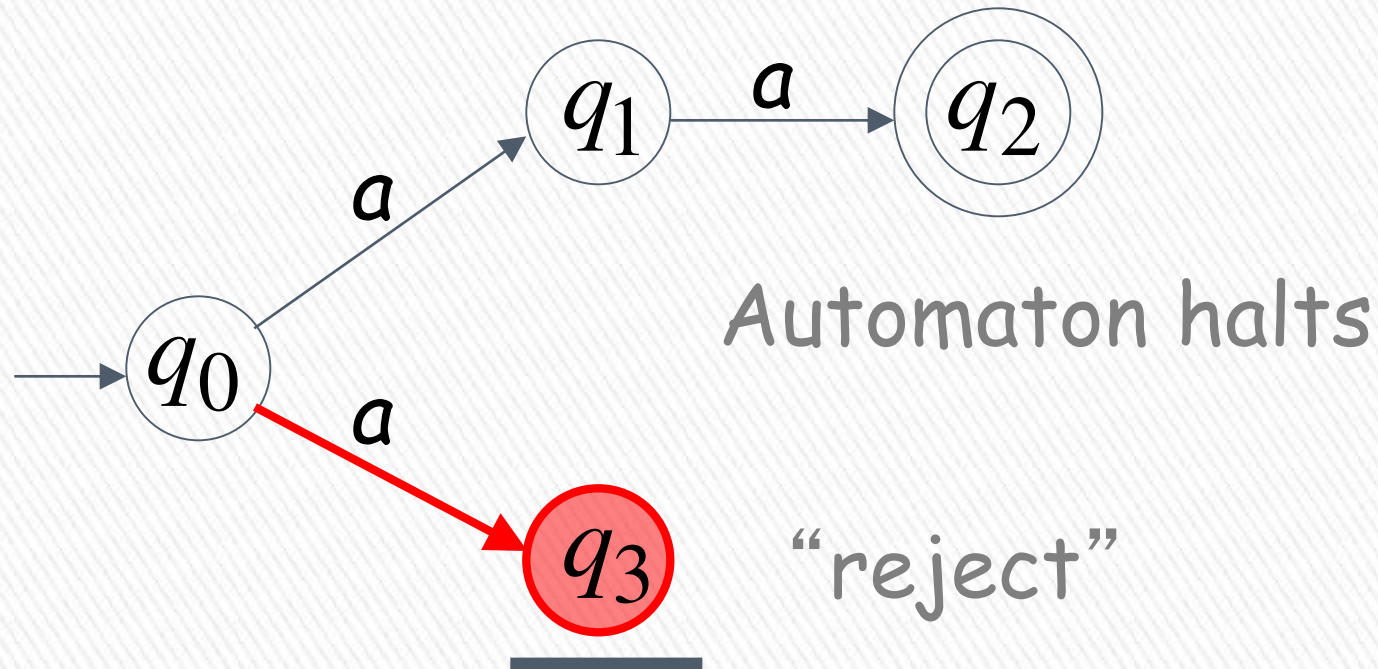
# Second Choice



# Second Choice



Input cannot be consumed



## An NFA rejects a string:

if there is no computation of the NFA that accepts the string.

For each computation:

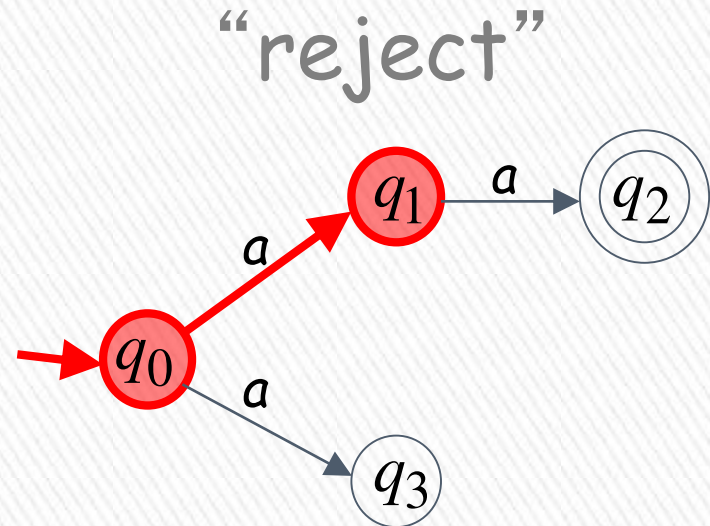
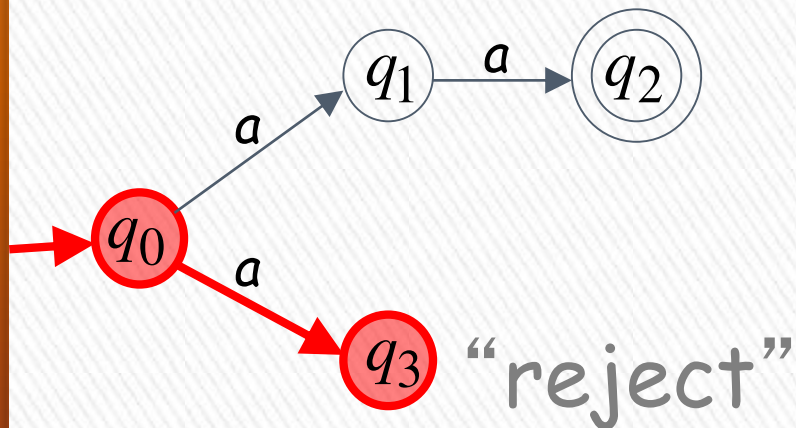
- All the input is consumed and the automaton is in a non final state

OR

- The input cannot be consumed

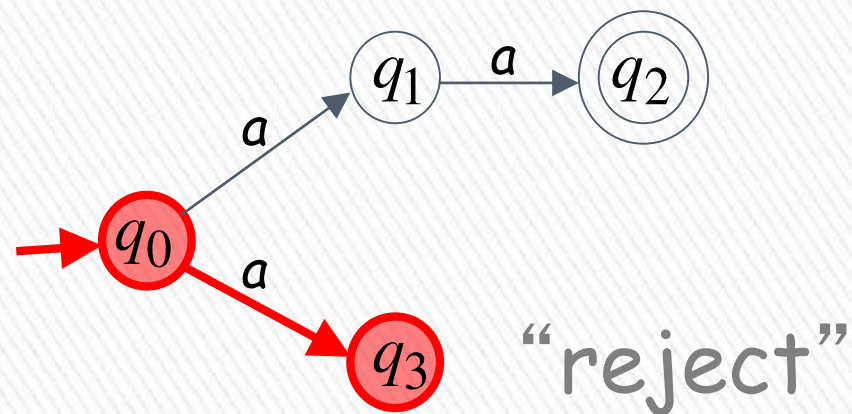
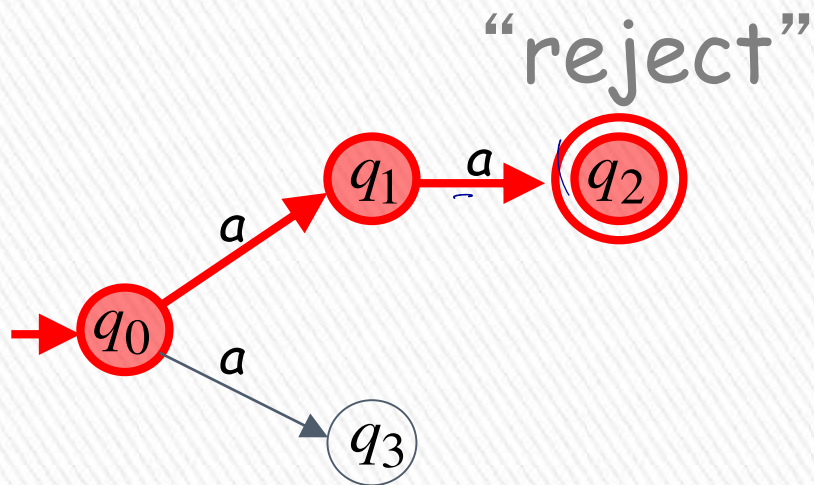


$a$  is rejected by the NFA:



All possible computations lead to rejection >

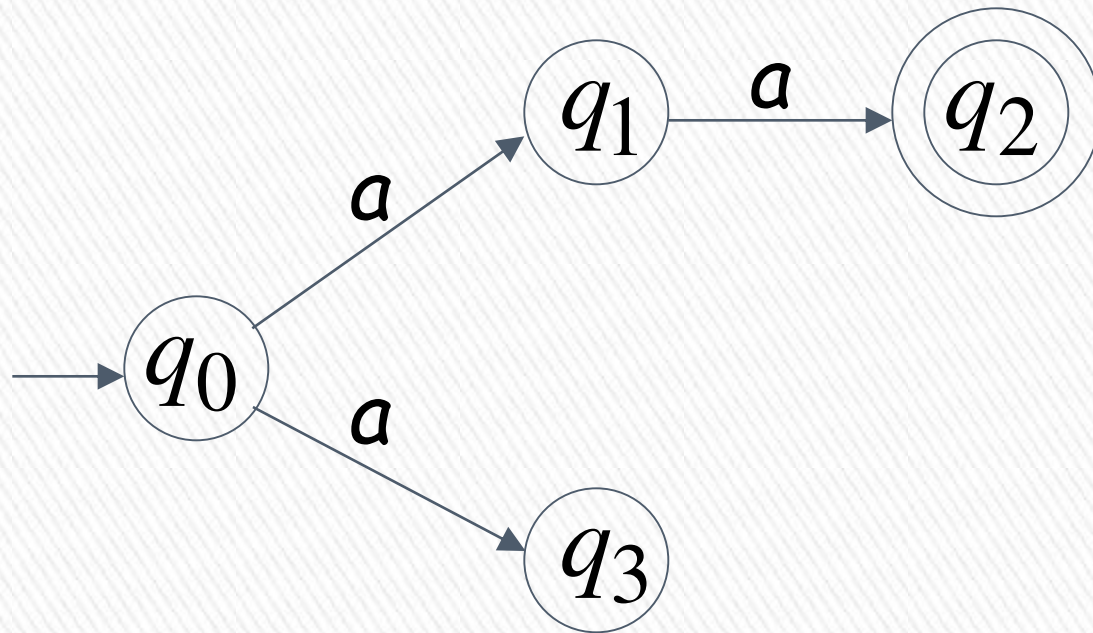
aaa is rejected by the NFA:



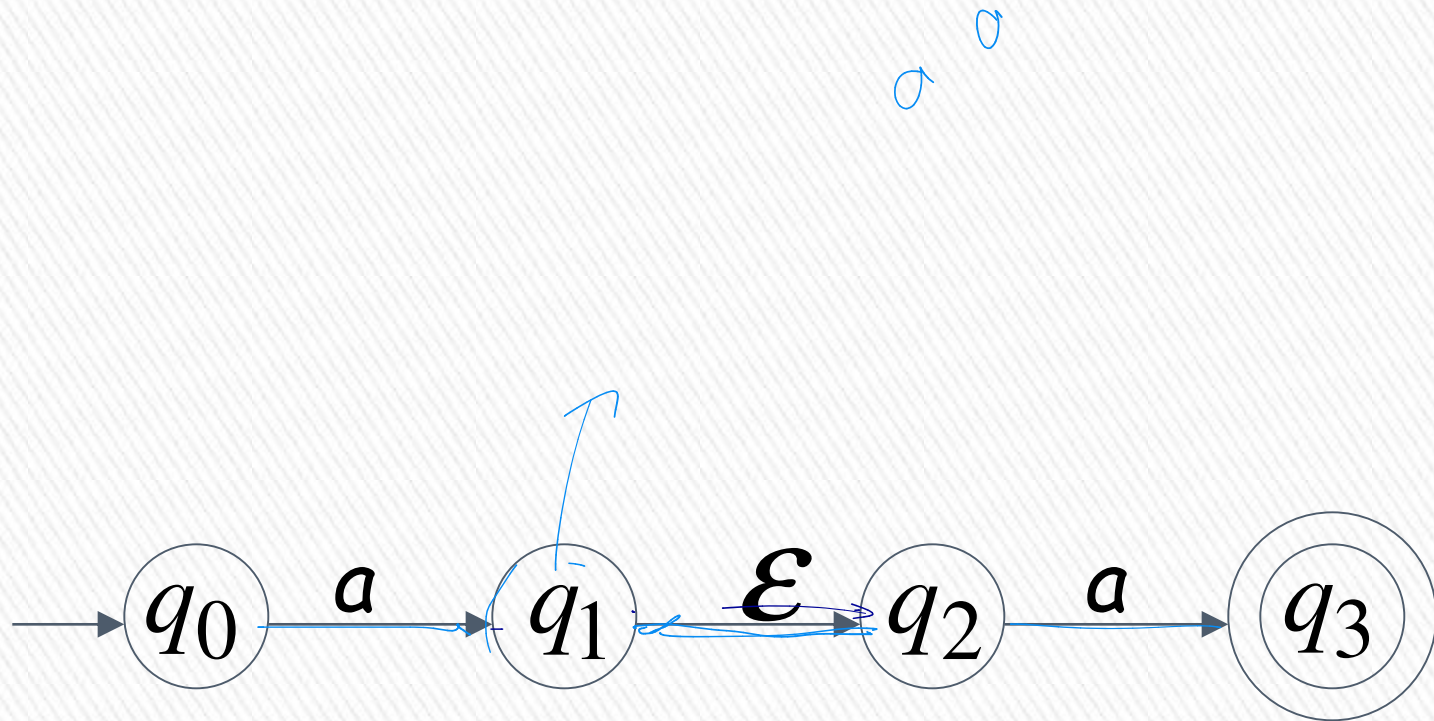
All possible computations lead to rejection

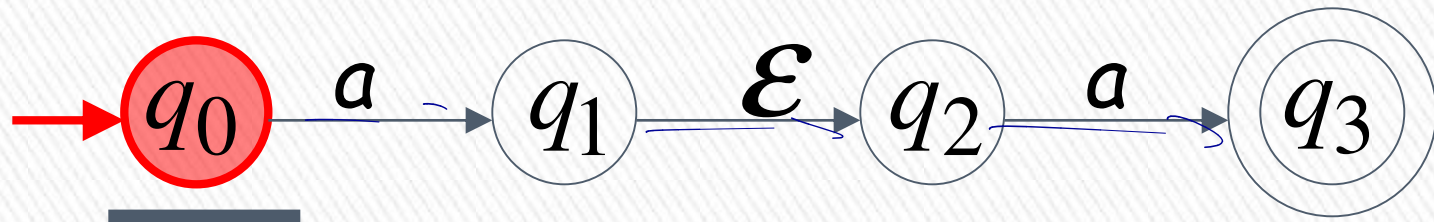


Language accepted:  $L = \{aa\}$



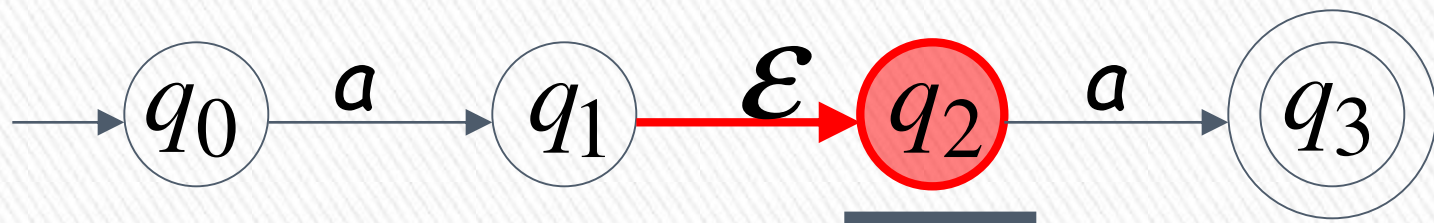
# Epsilon Transition



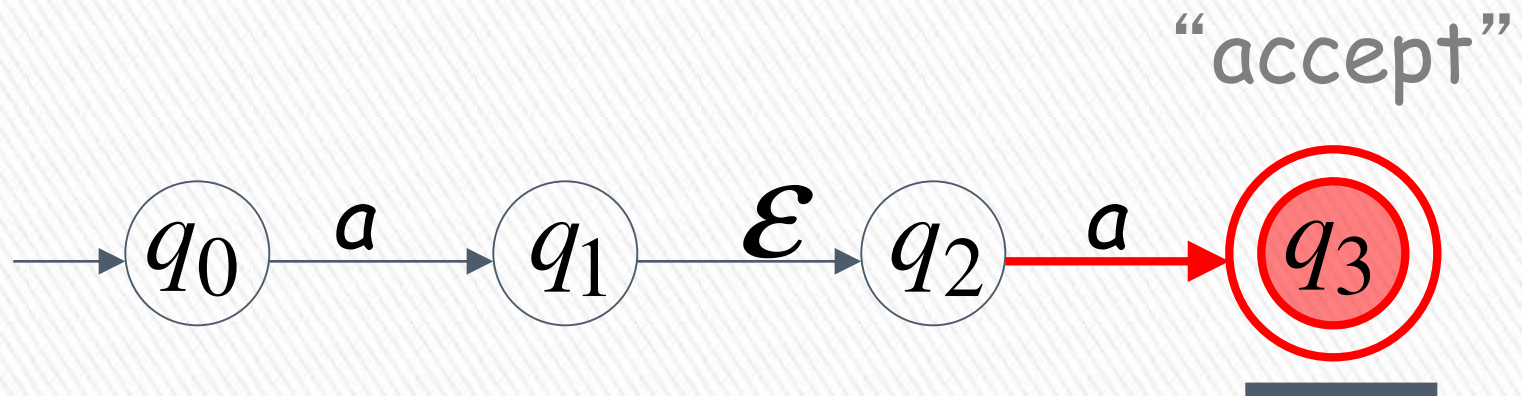




input tape head does not move



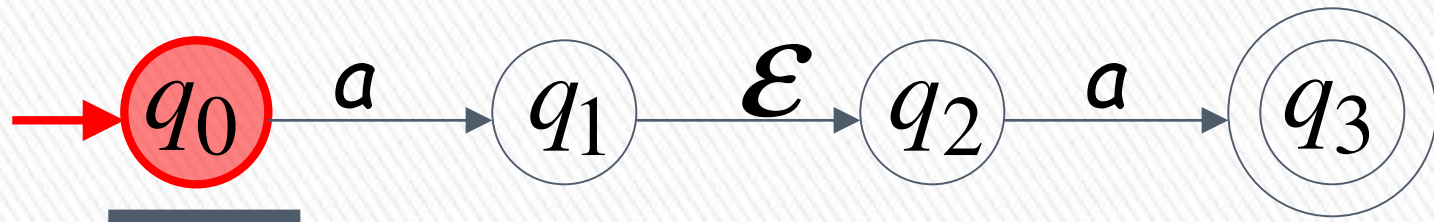
all input is consumed



String  $aa$  is accepted



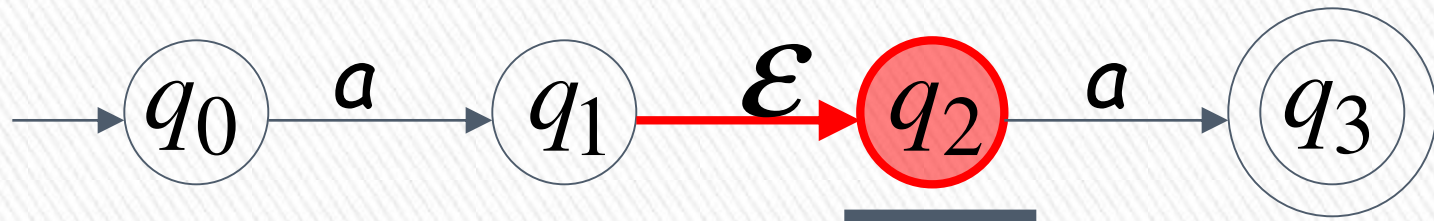
# Rejection Example



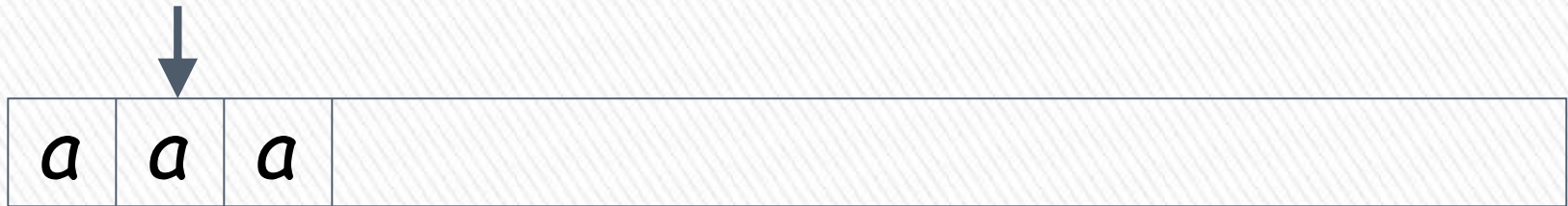




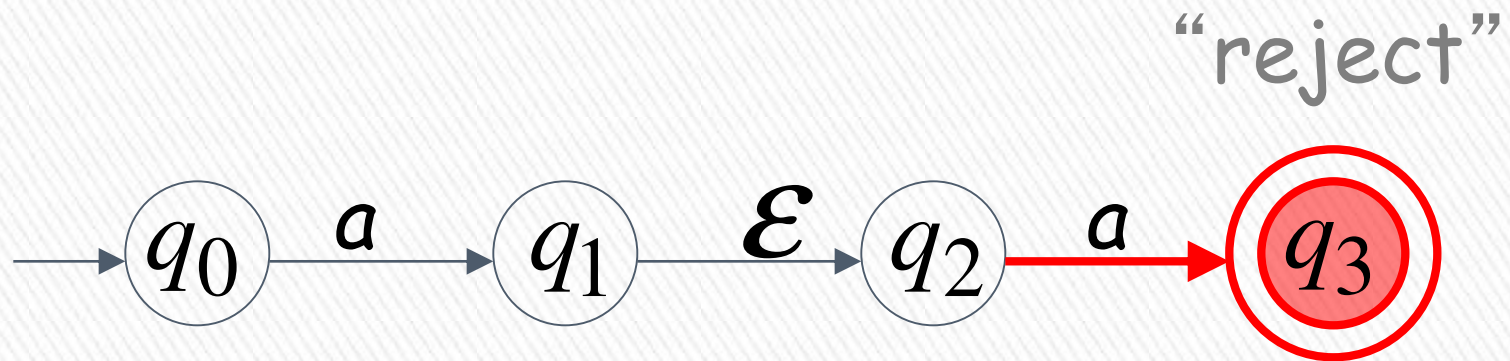
(read head doesn't move)



Input cannot be consumed



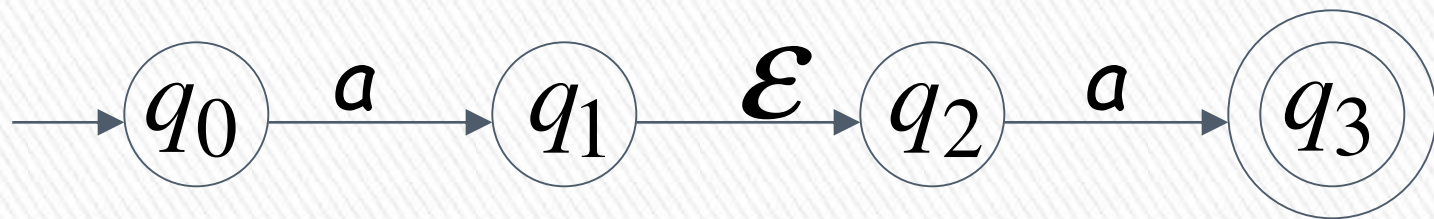
Automaton halts



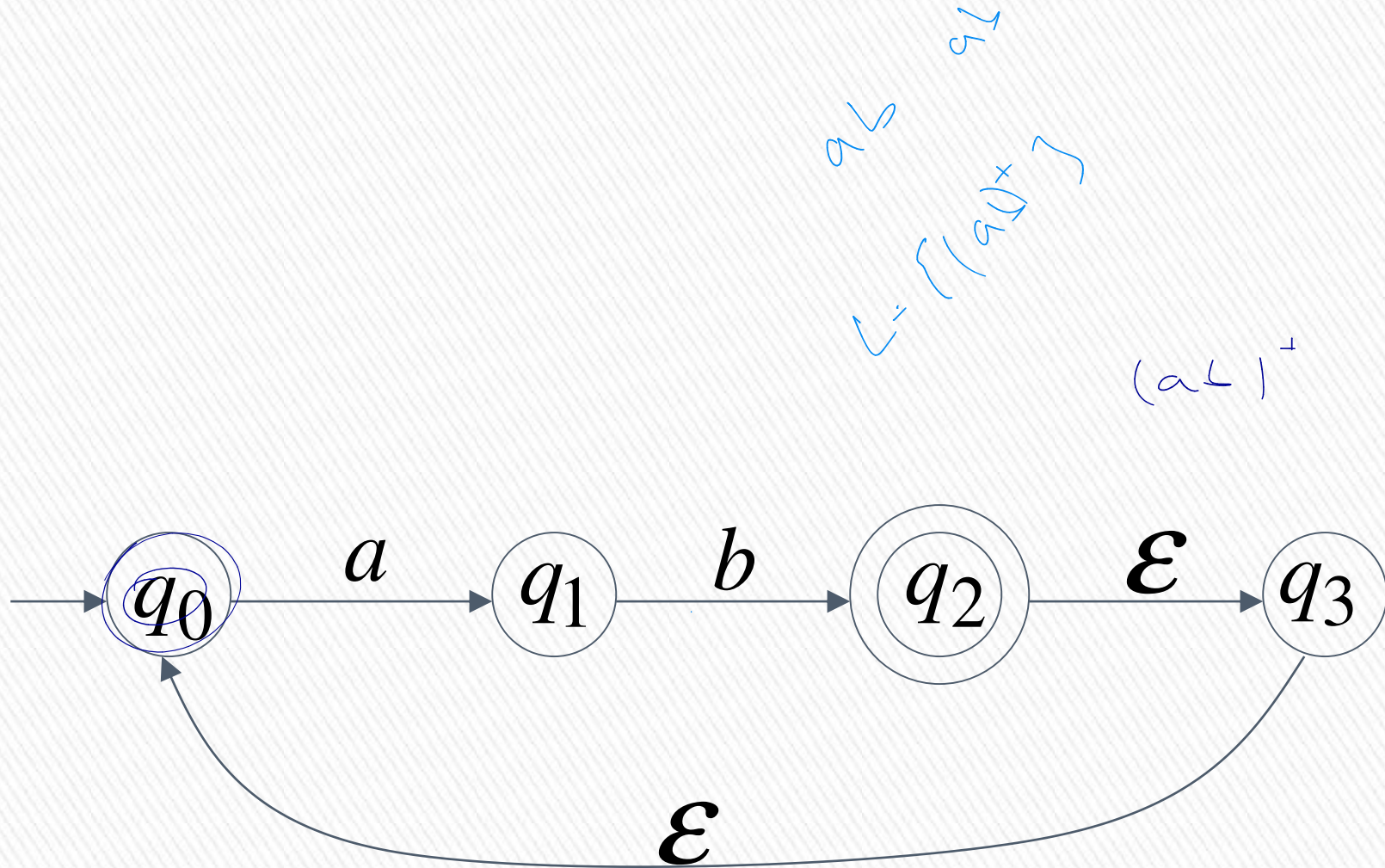
String **aaa** is rejected

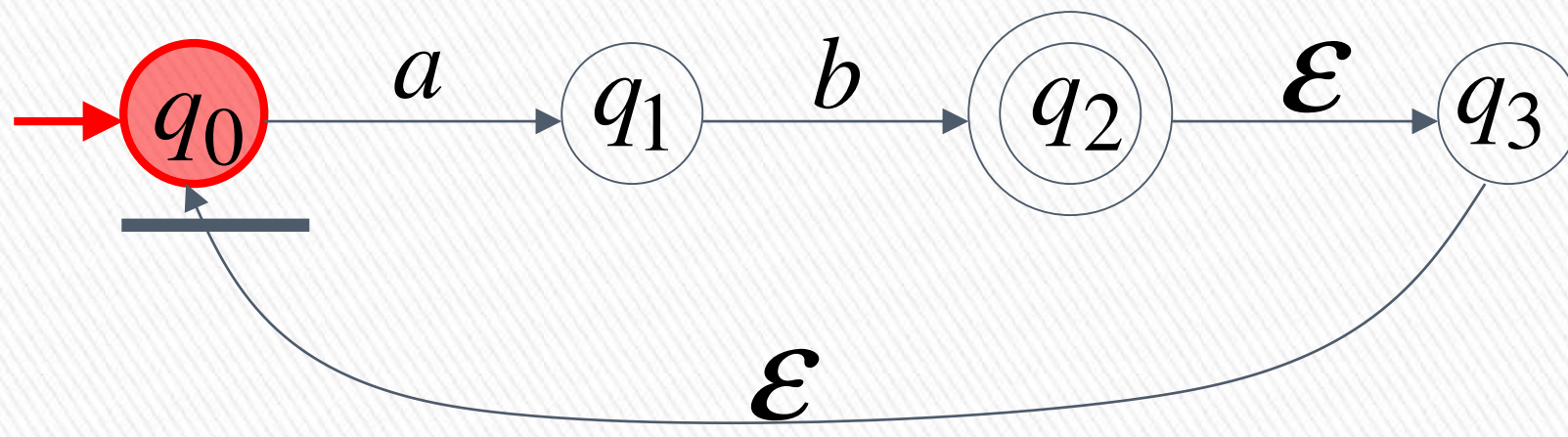


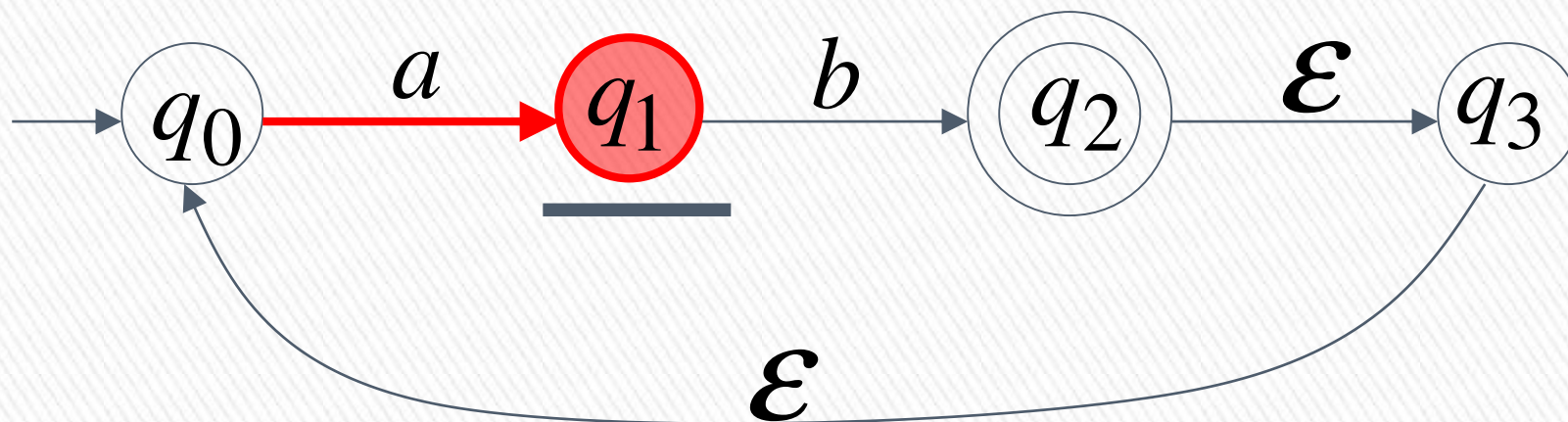
Language accepted:  $L = \{aa\}$



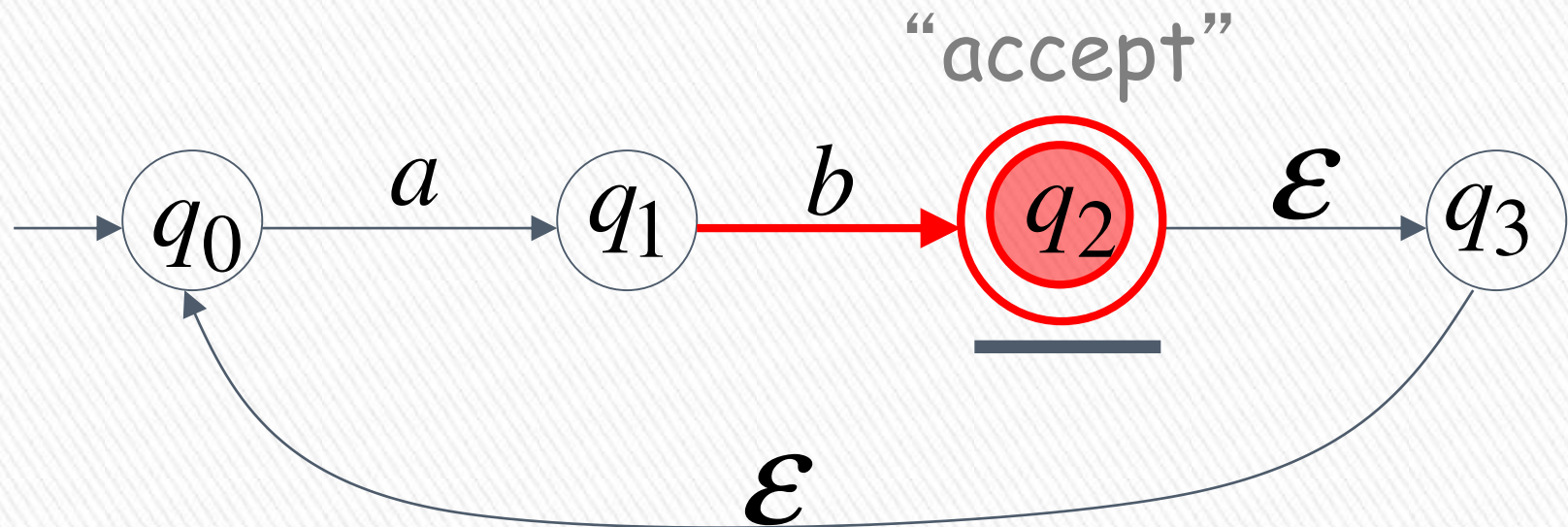
# Another NFA Example



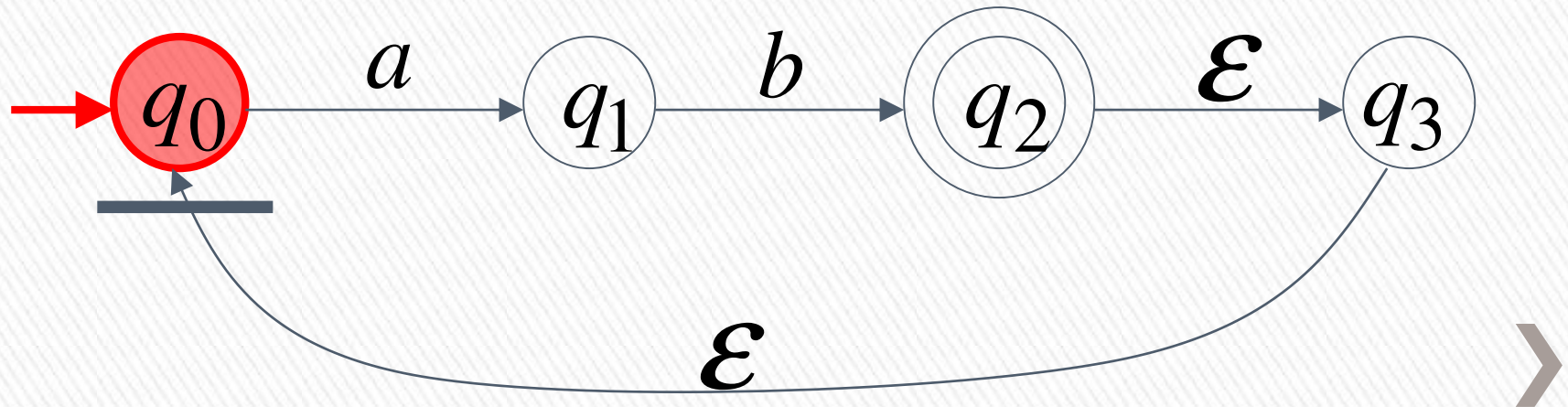
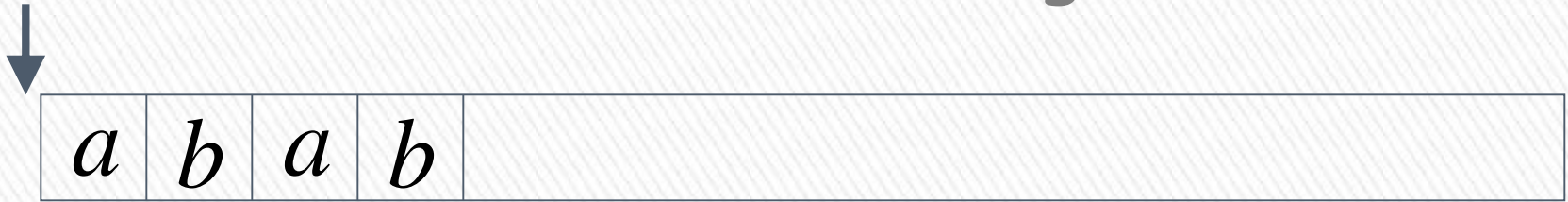


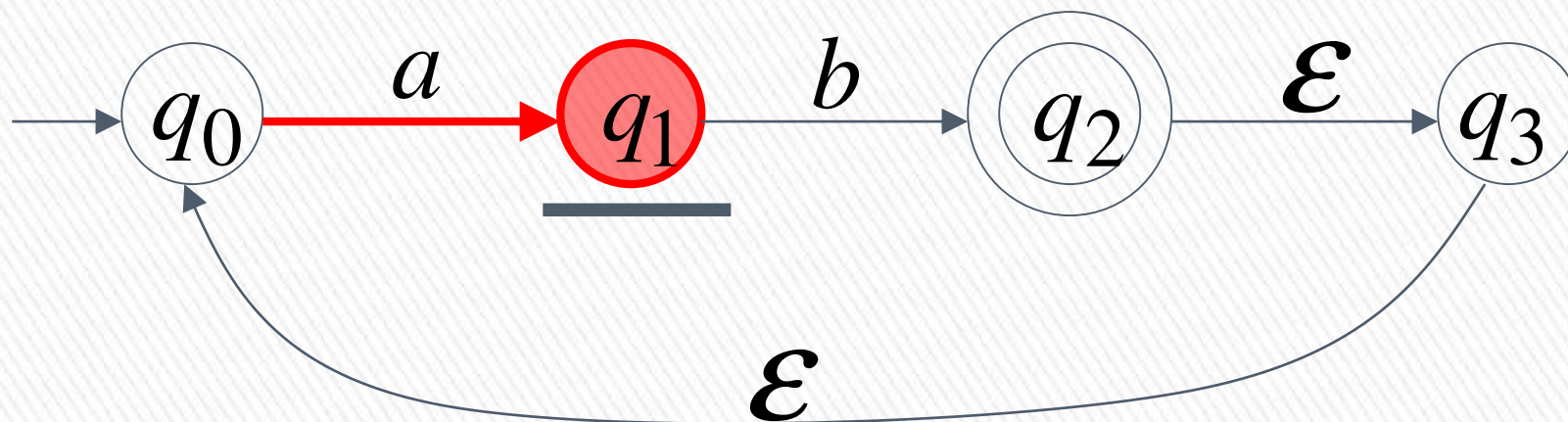


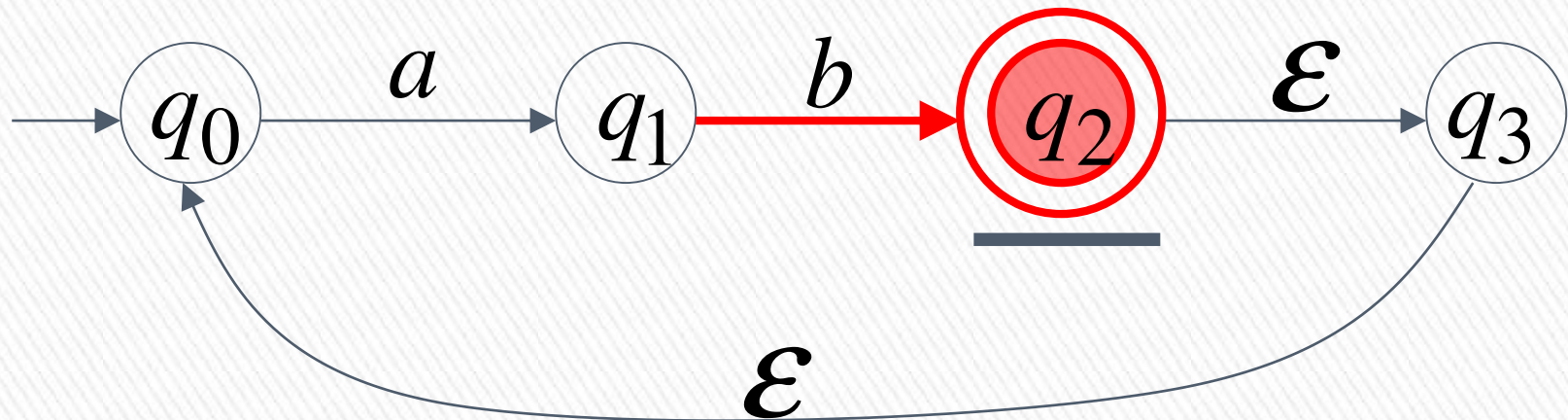


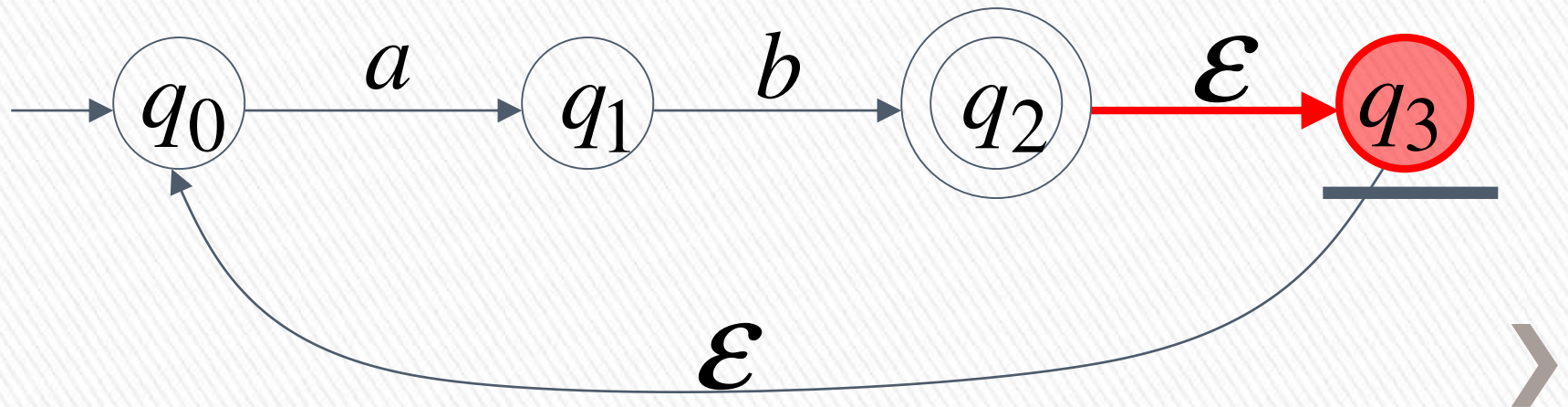


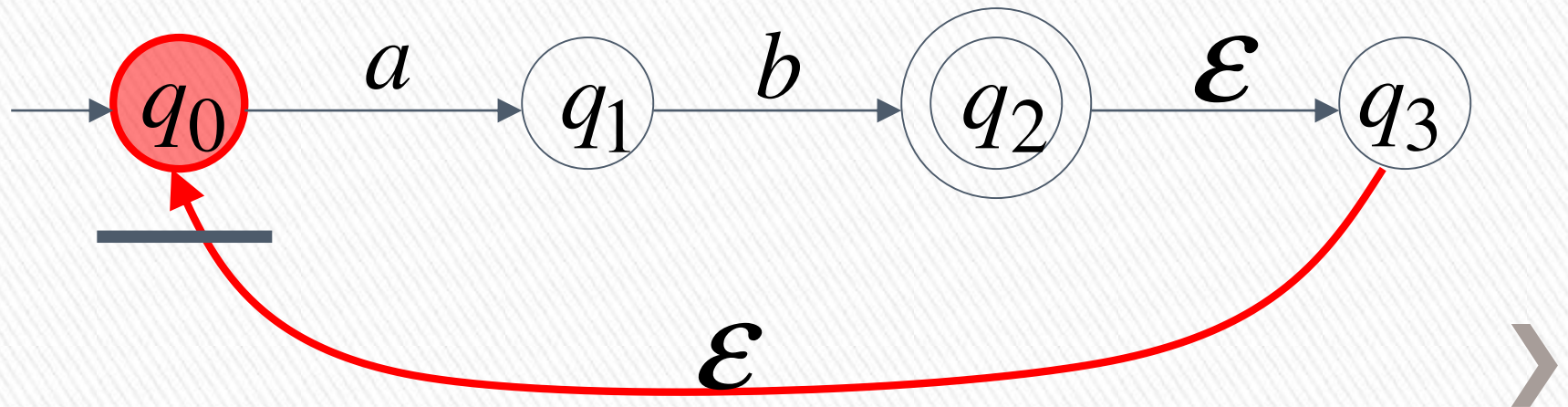
## Another String

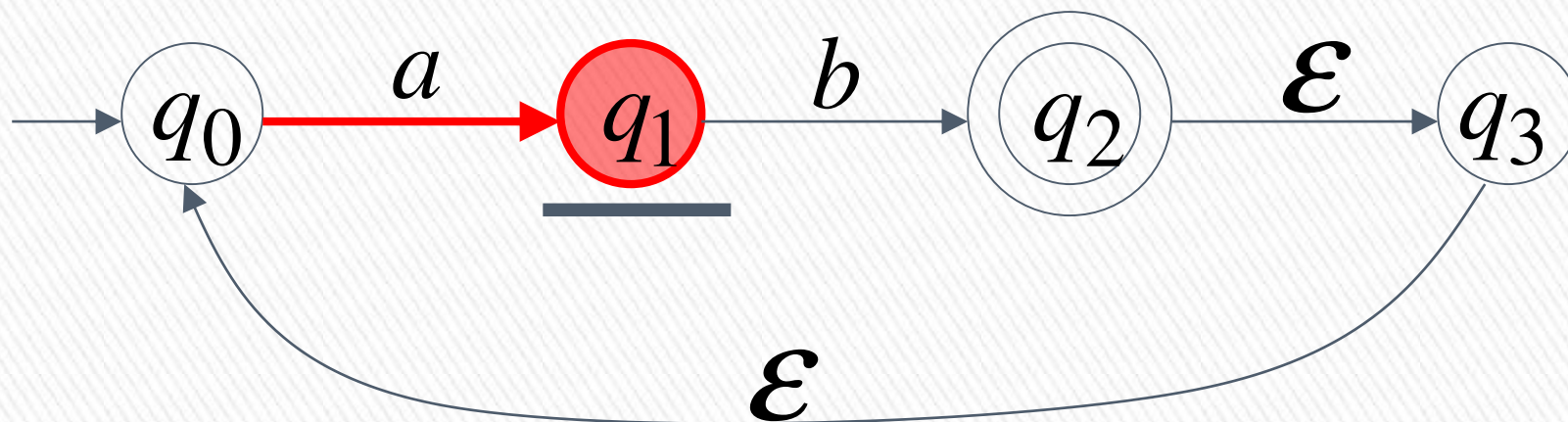




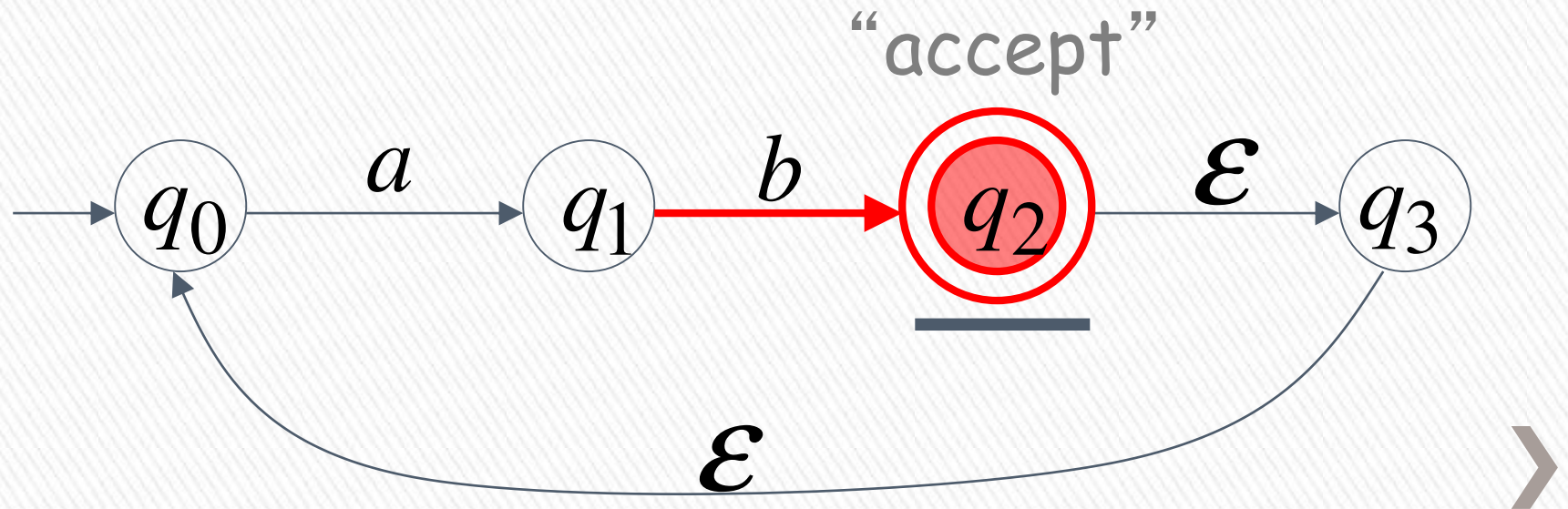






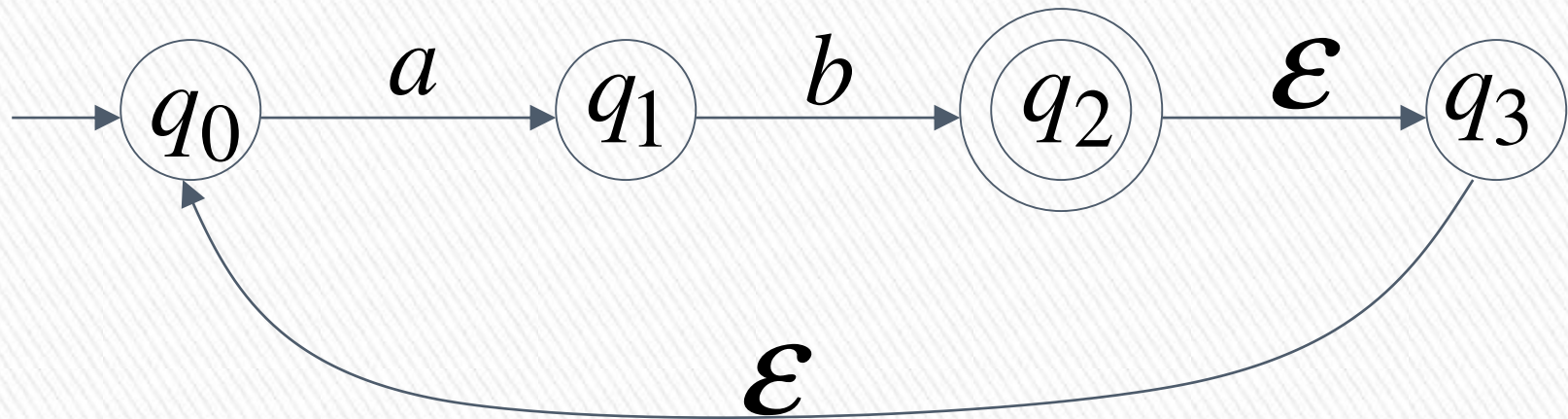






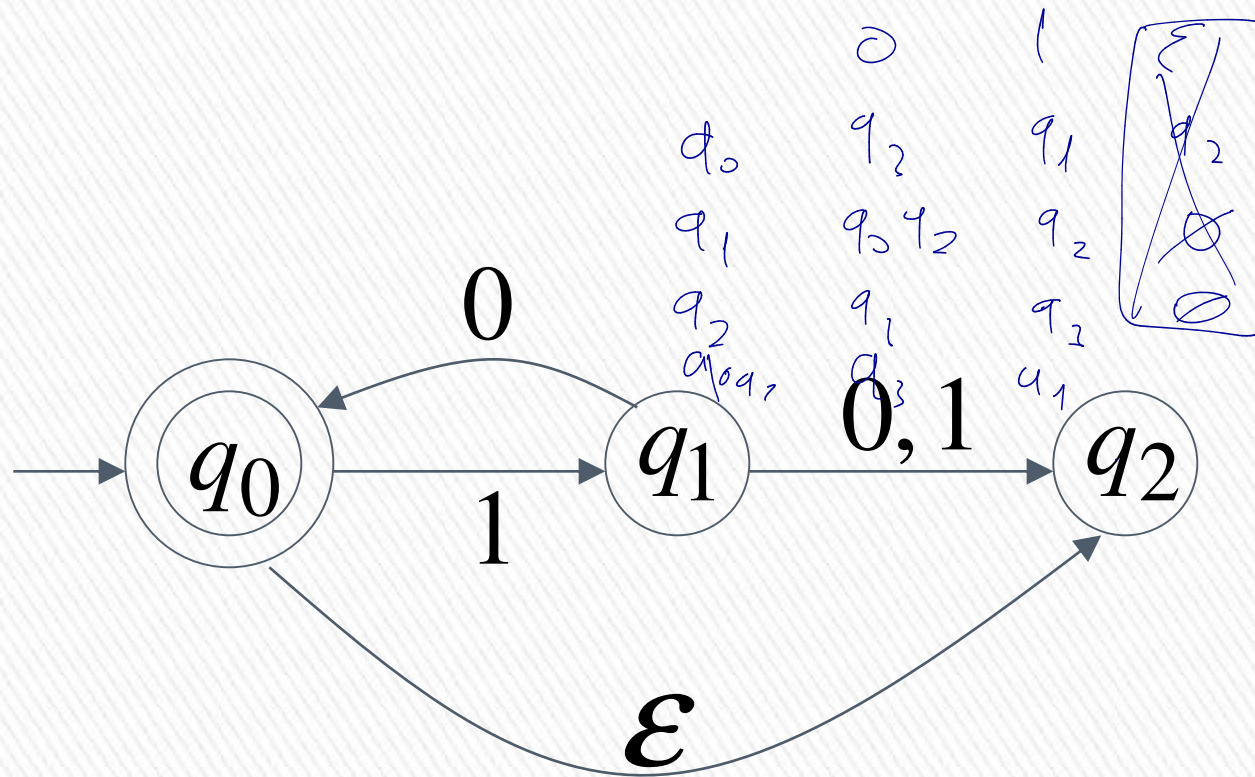
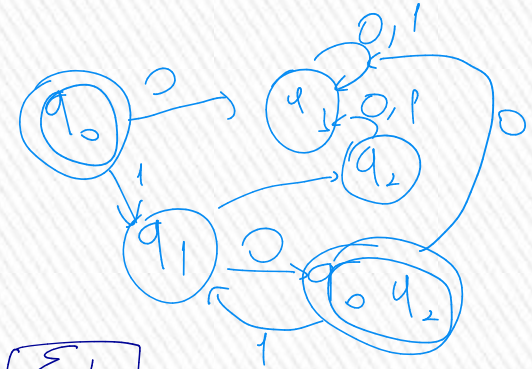
Language accepted

$$L = \{ab, abab, ababab, \dots\}$$
$$= \{ab\}^+$$



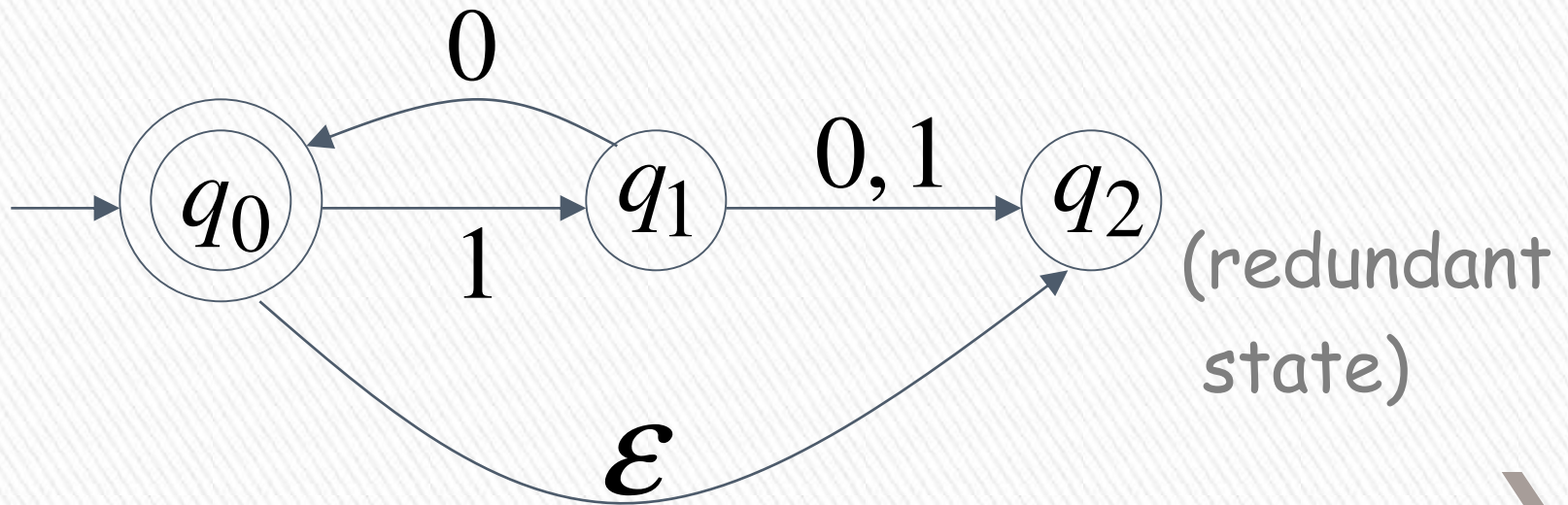
# Another NFA Example

	0	1	$\epsilon$
$q_0$	$q_3$	$q_1$	$q_2$
$q_1$	$q_0, q_2$	$q_2$	$q_1$
$q_0, q_2$	$q_3$	$q_1$	$q_2$



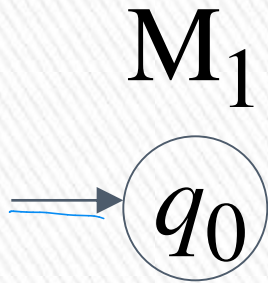
# Language accepted

$$\begin{aligned} L(M) &= \{\varepsilon, 10, 1010, 101010, \dots\} \\ &= \{10\}^* \end{aligned}$$

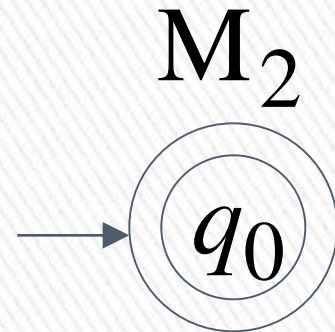


## Remarks:

- The  $\varepsilon$  symbol never appears on the input tape
- Simple automata:



$$L(M_1) = \{ \}$$

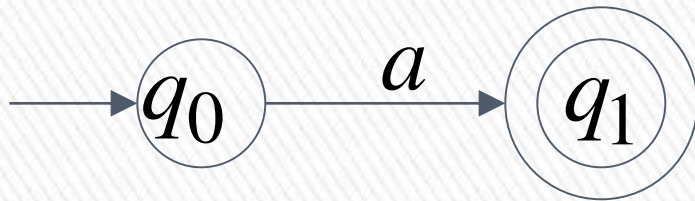


$$L(M_2) = \{ \varepsilon \}$$



- NFAs are interesting because we can express languages easier than DFAs

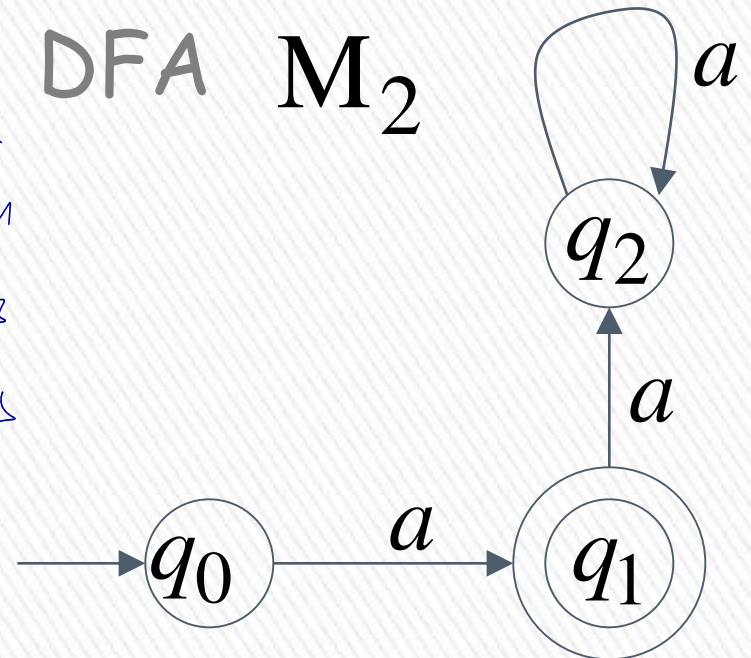
NFA  $M_1$



$$L(M_1) = \{a\}$$

DFA  $M_2$

$q_0$   $q_1$   
 $q_1$   $q_3$   
 $q_2$   $q_4$



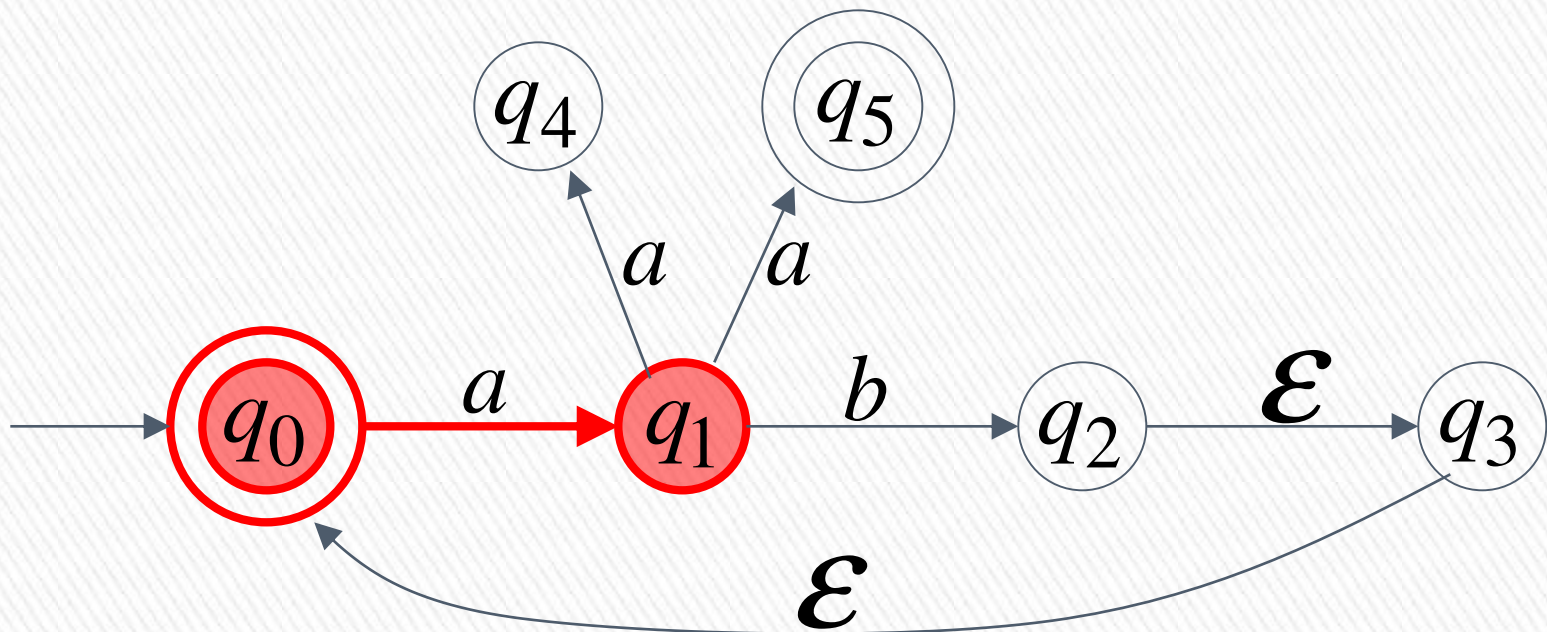
$$L(M_2) = \{a\}$$



# Extended Transition Function $\delta^*$

Same with  $\delta$  but applied on strings

$$\delta^*(q_0, a) = \{q_1\}$$

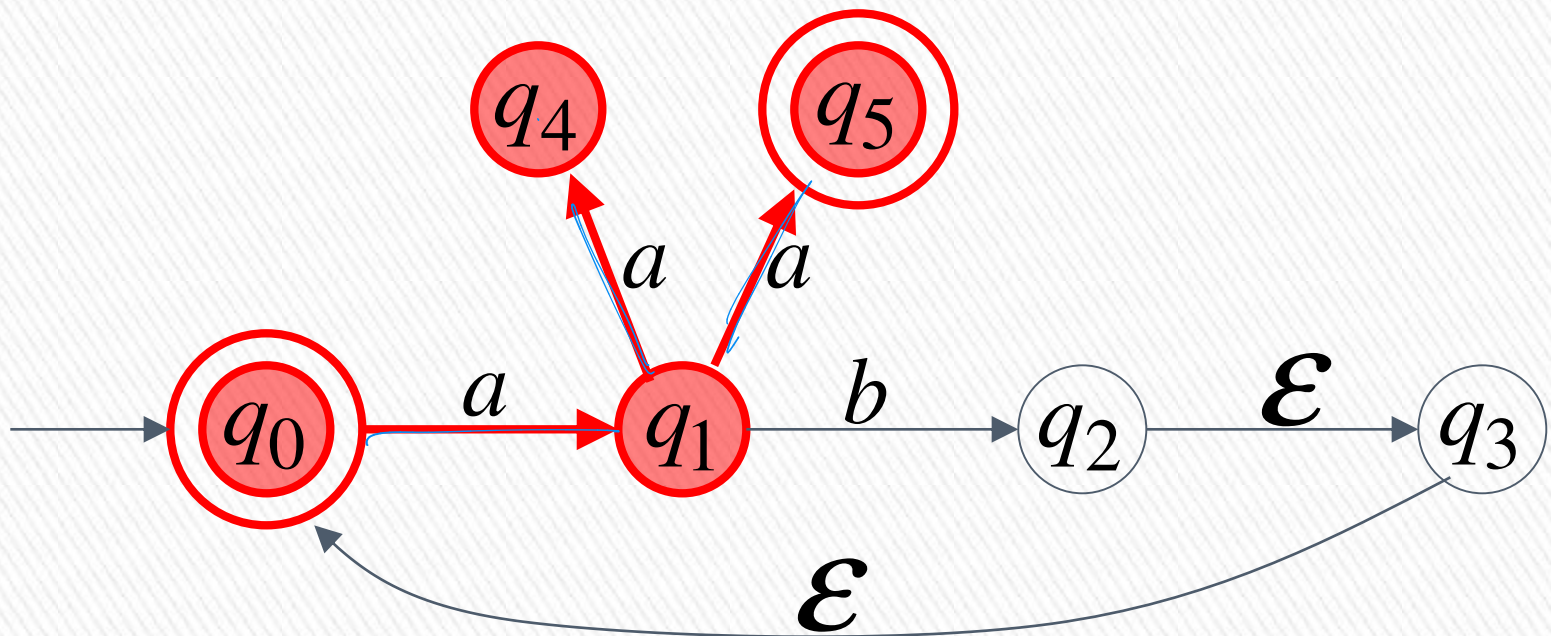




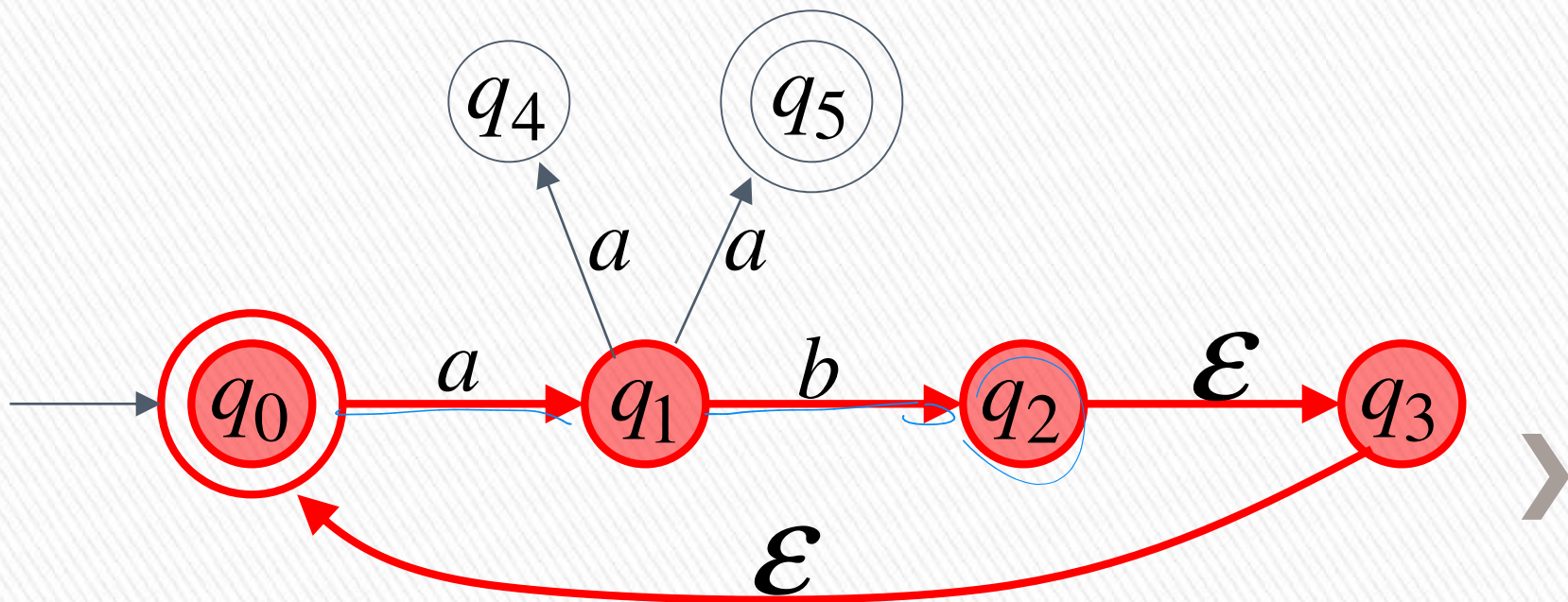
$$\delta^*(q_0, aa) = \{q_4, q_5\}$$

$$\delta^*(q_0, a) = \{q_1\}$$

$$\delta^*(q_1, a) = \{q_4, q_5\}$$



$$\delta^*(q_0, ab) = \{q_2, q_3, q_0\}$$



In general

$q_j \in \delta^*(q_i, w)$  : there is a walk from  $q_i$  to  $q_j$   
with label  $w$



$$w = \sigma_1 \sigma_2 \cdots \sigma_k$$



# The Language of an NFA

» The language accepted by  $M$  is:

$$L(M) = \{w_1, w_2, \dots, w_n\}$$

» where

$$\delta^*(q_0, w_m) = \{q_i, \dots, q_k, \dots, q_j\}$$

» and there is some  $q_k \in F$

(accepting state)

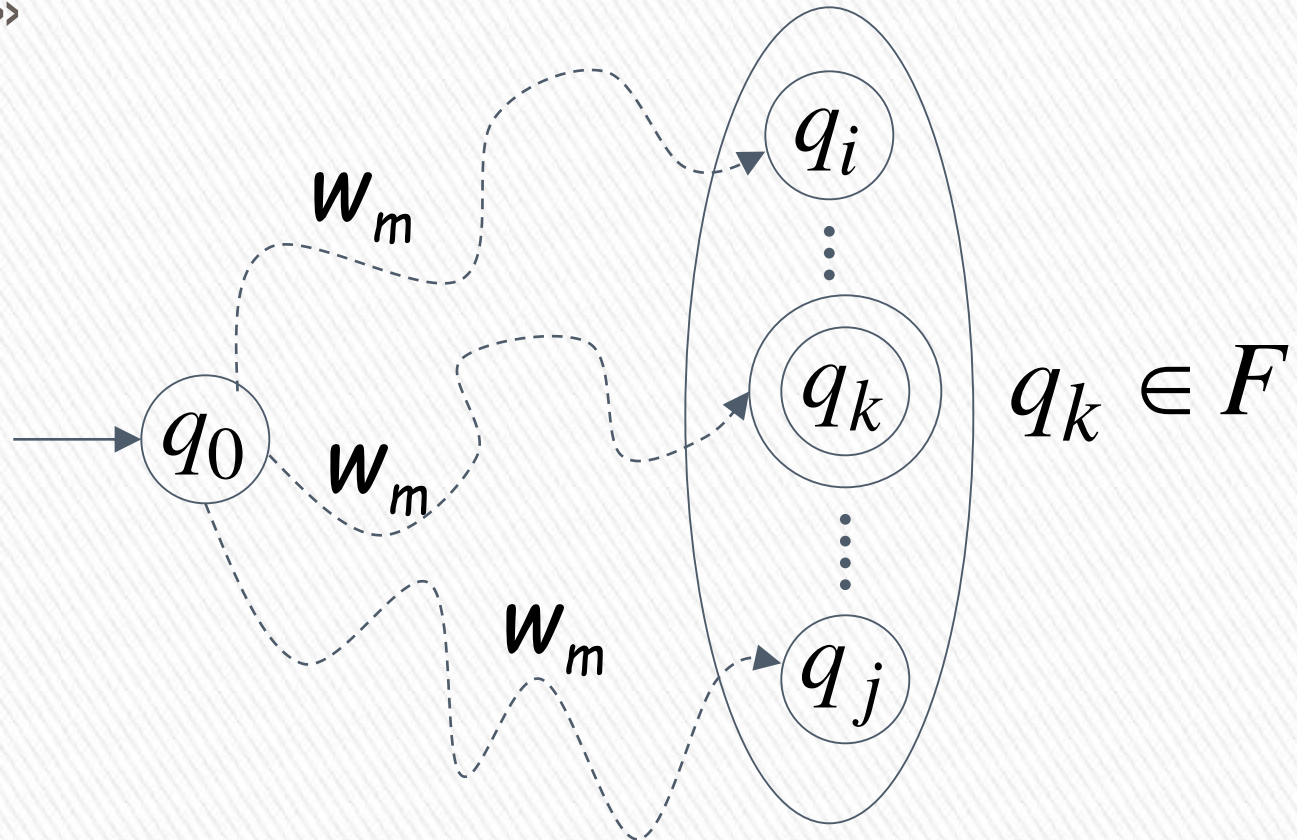


$$w_m \in L(M)$$

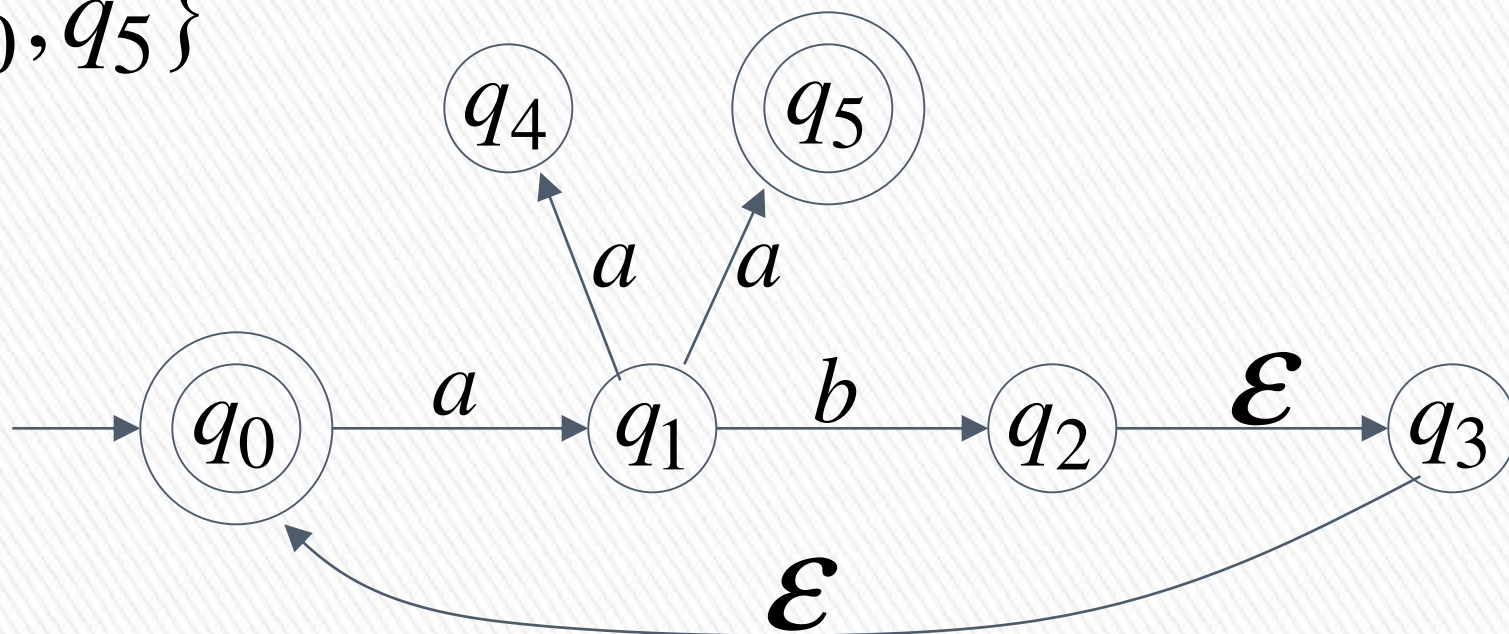
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$$\delta^*(q_0, w_m)$$



$$F = \{q_0, q_5\}$$

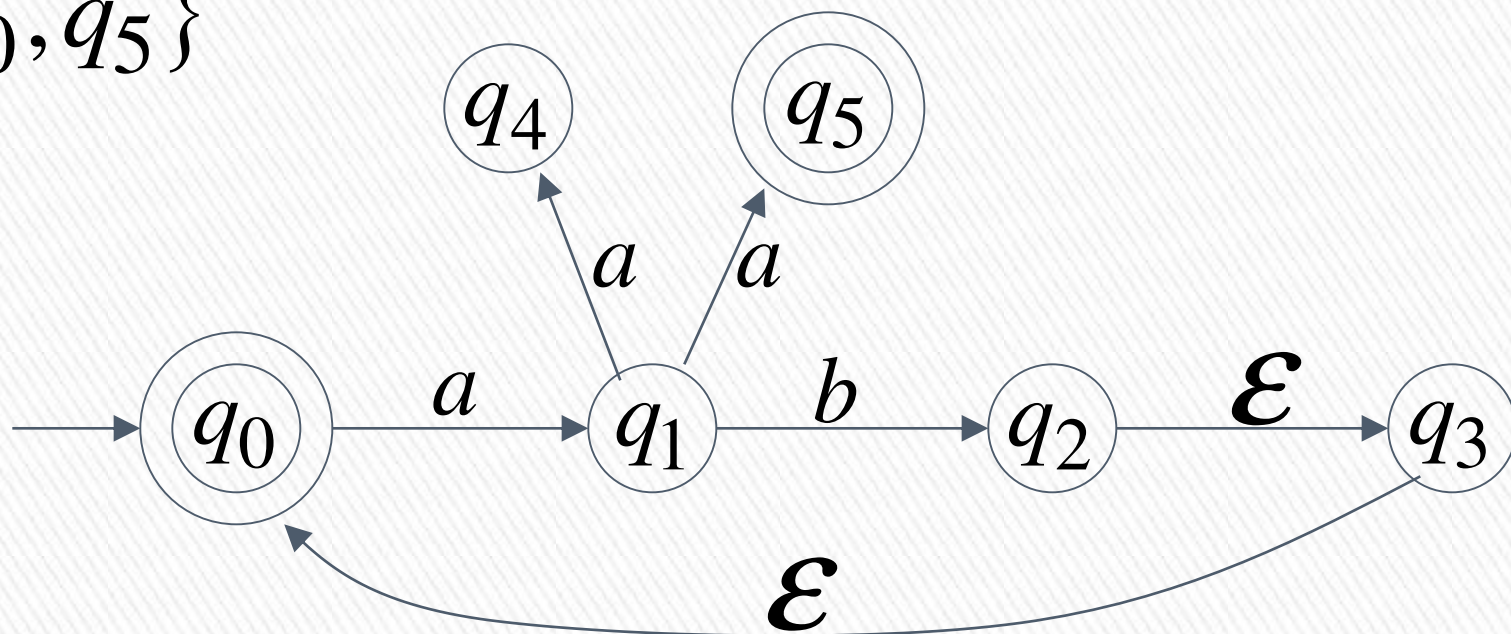


$$\delta^*(q_0, aa) = \{q_4, \underline{q_5}\} \xrightarrow{\quad} aa \in L(M)$$

$\swarrow \in F$



$$F = \{q_0, q_5\}$$

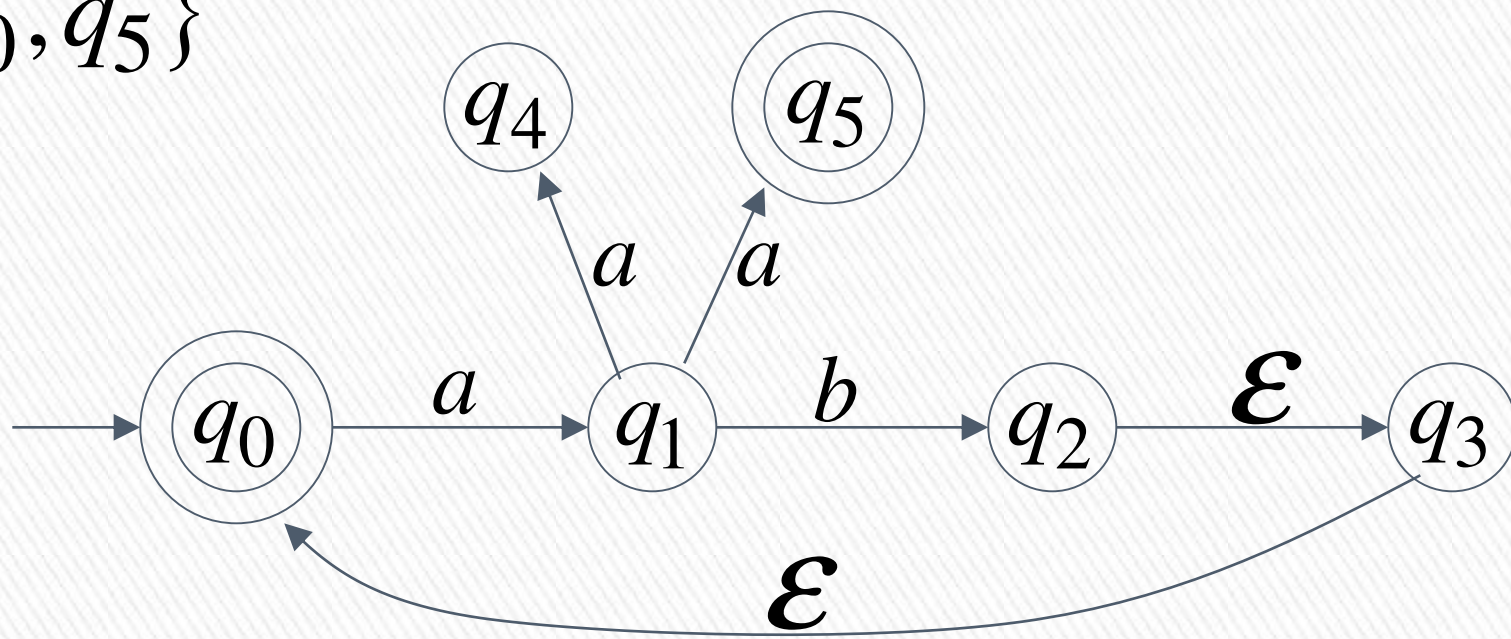


$$\delta^*(q_0, ab) = \{q_2, q_3, \underline{q_0}\} \xrightarrow{\quad} ab \in L(M) \gg$$

$\swarrow$   
 $\in F$



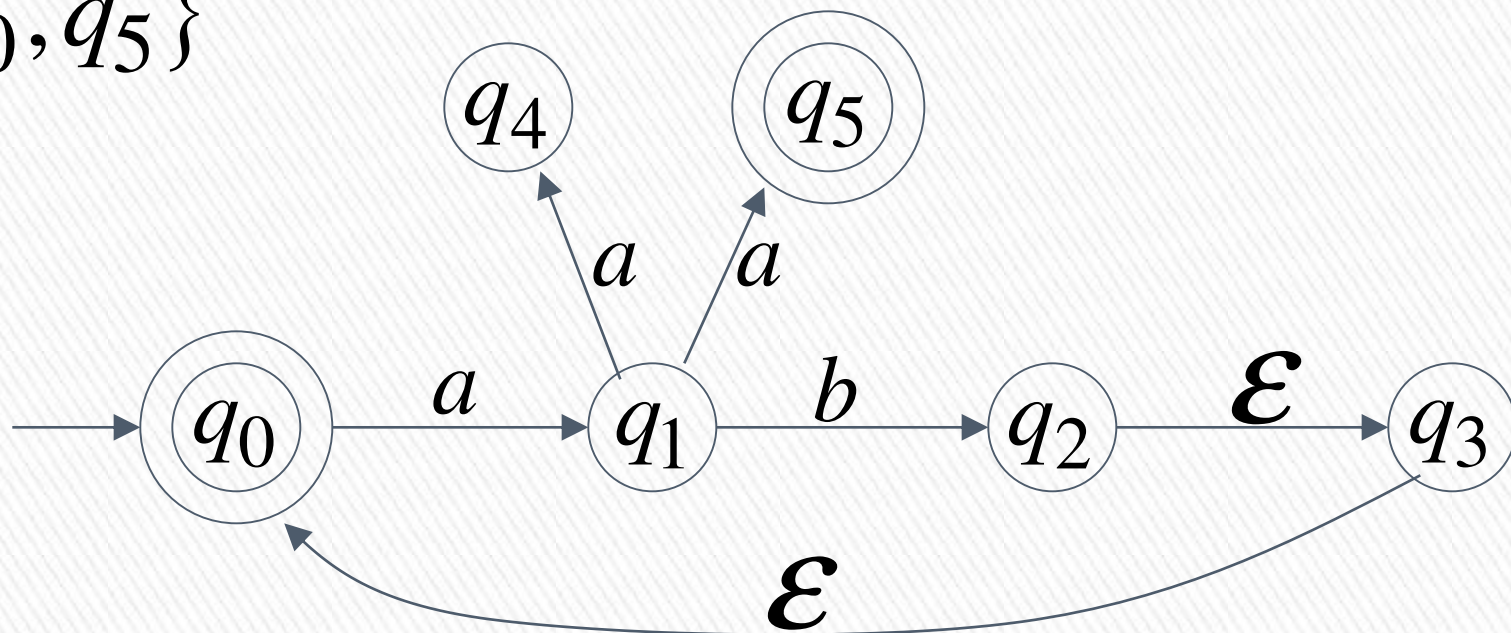
$$F = \{q_0, q_5\}$$



$$\delta^*(q_0, abaa) = \{q_4, \underline{q_5}\} \quad \xrightarrow{\text{yellow arrow}} \quad abaa \in L(M)$$

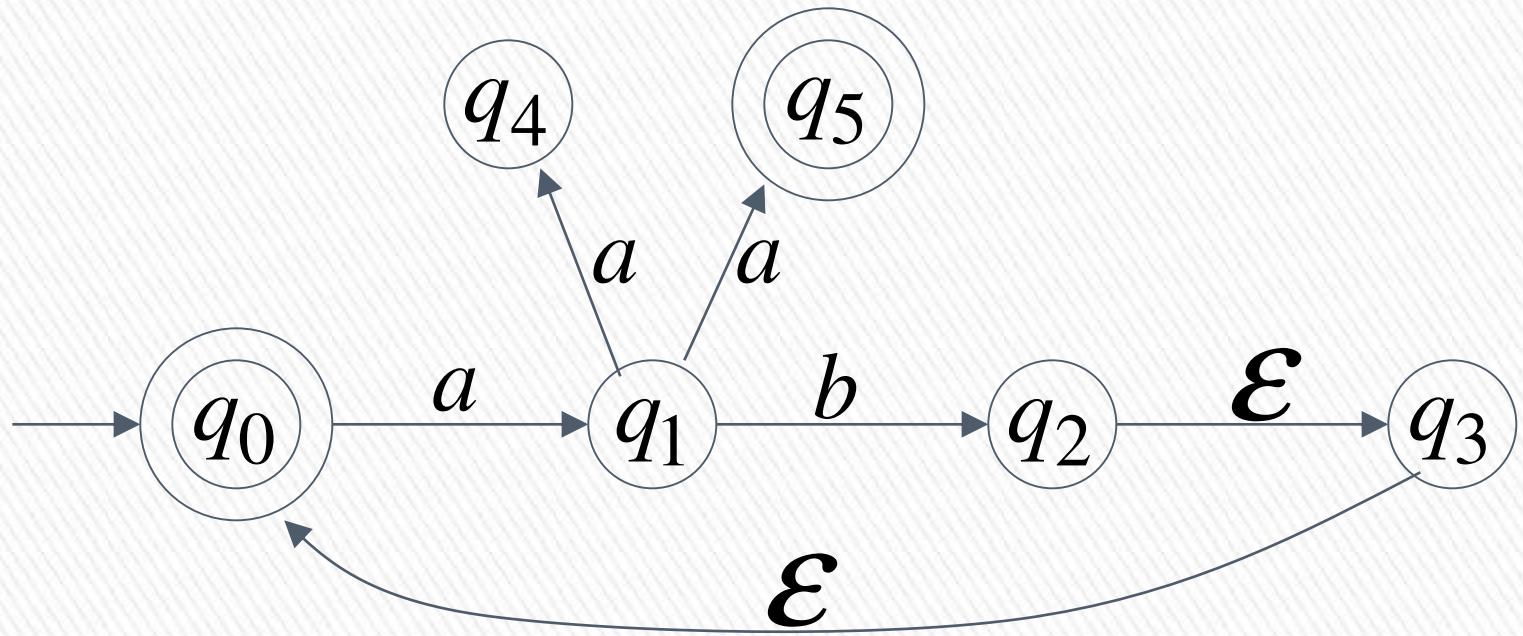
$\nwarrow \in F$ 
➤

$$F = \{q_0, q_5\}$$



$$\delta^*(q_0, aba) = \{q_1\} \xrightarrow{\text{yellow arrow}} aba \notin L(M) \triangleright$$

$\nwarrow \notin F$



$$L(M) = \{ab\}^* \bigcup \{ab\}^* \{aa\}$$

$\swarrow$   
 or



# Equivalence of Machines

## » Definition:

if: machine  $M_1$  and machine  $M_2$  differ:  
accept condition exists

» Machine  $M_1$  is equivalent to machine  $M_2$

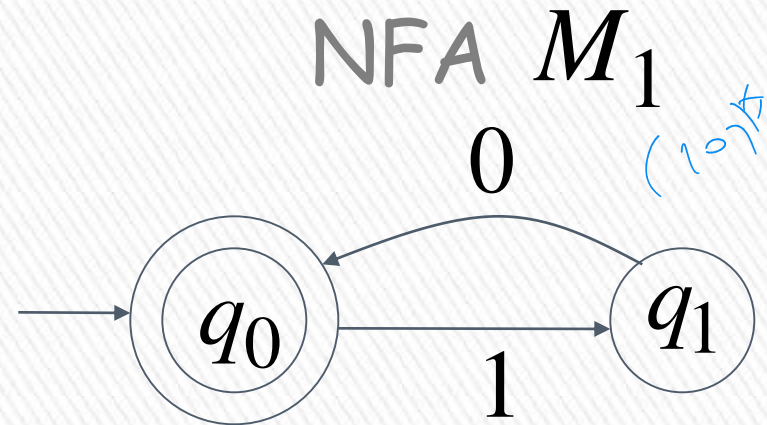
if  $L(M_1) = L(M_2)$



# Example of equivalent machines

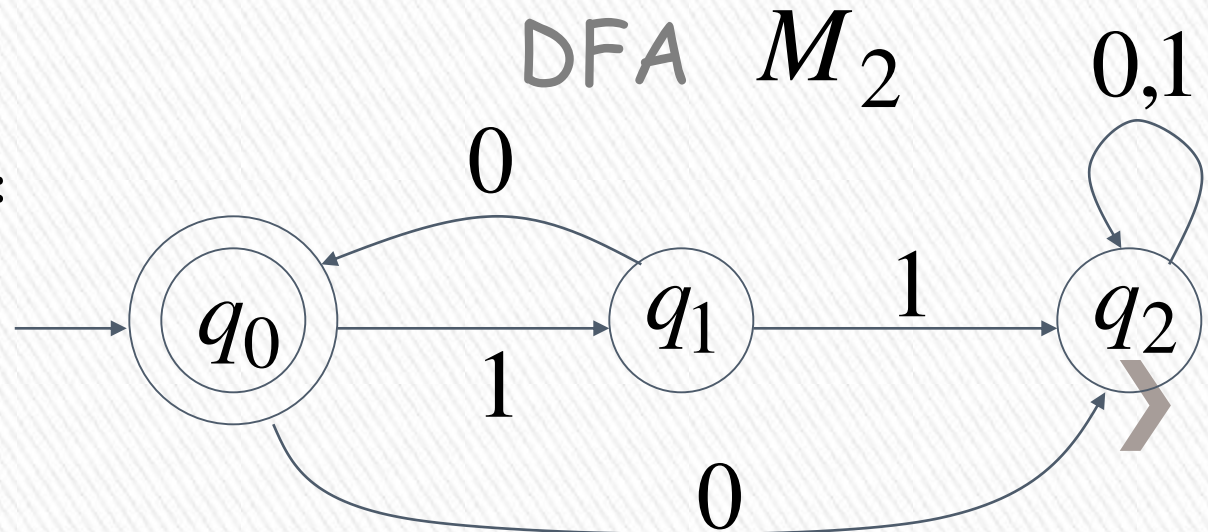
>>

$$L(M_1) = \{10\}^*$$



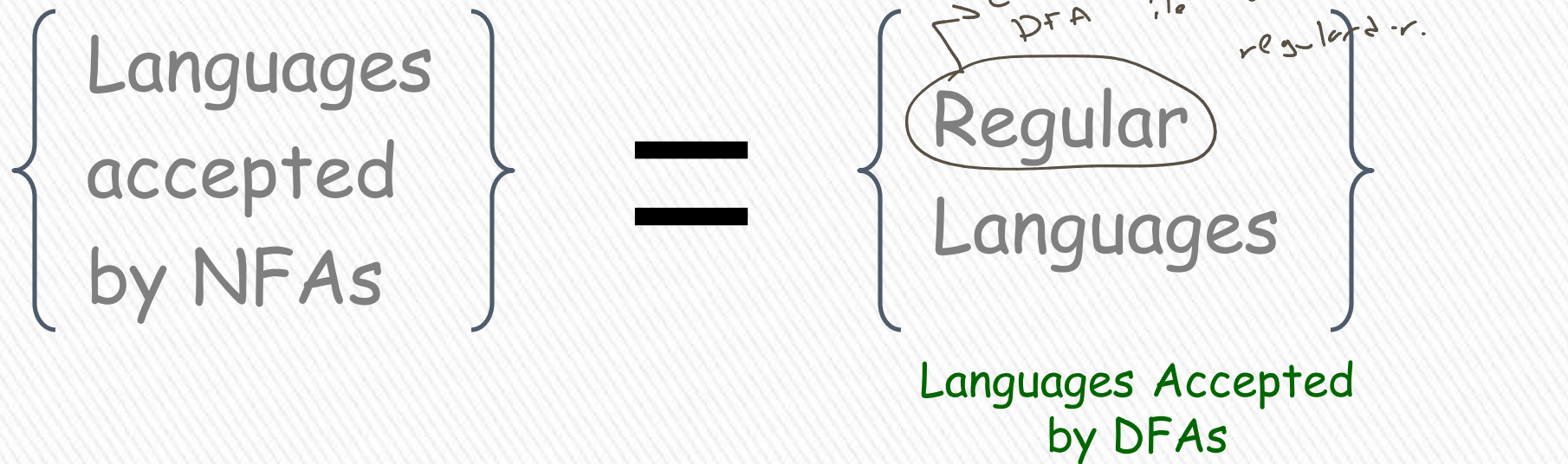
2. kann weniger oder äquivalent mit? versuchen  
hier die  $L(M_1) = \{10\}^*$  krip. maschine: DFA oder NFA  
zeichnen.

$$L(M_2) = \{10\}^*$$



# NFAs accept Regular Languages

## Theorem:



NFAs and DFAs have the same computation power, accept the same set of languages



**Proof:** we only need to show

NFA  $\rightarrow$  DFA's  
for inclusion

DFA  $\rightarrow$  NFA's

{ Languages  
accepted  
by NFAs }

$\supseteq$

{ Regular  
Languages }

AND

{ Languages  
accepted  
by NFAs }

$\subseteq$

{ Regular  
Languages }





## Proof-Step 1

$$\left\{ \begin{array}{l} \text{Languages} \\ \text{accepted} \\ \text{by NFAs} \end{array} \right\} \supseteq \left\{ \begin{array}{l} \text{Regular} \\ \text{Languages} \end{array} \right\}$$

Every DFA is trivially an NFA



Any language  $L$  accepted by a DFA  
is also accepted by an NFA



## Proof-Step 2

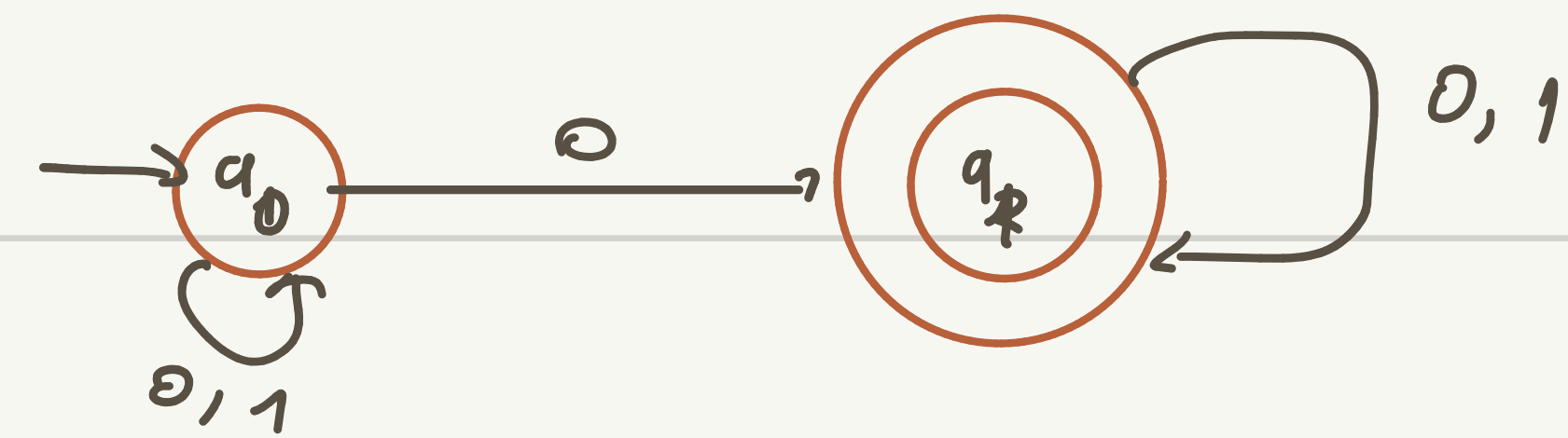
$$\left\{ \begin{array}{l} \text{Languages} \\ \text{accepted} \\ \text{by NFAs} \end{array} \right\} \subseteq \left\{ \begin{array}{l} \text{Regular} \\ \text{Languages} \end{array} \right\}$$

Any NFA can be converted to an equivalent DFA



Any language  $L$  accepted by an NFA is also accepted by a DFA 

$L_1 = \{ \text{set of all strings that contain 0} \}$



$\Sigma = \{0,1\}$

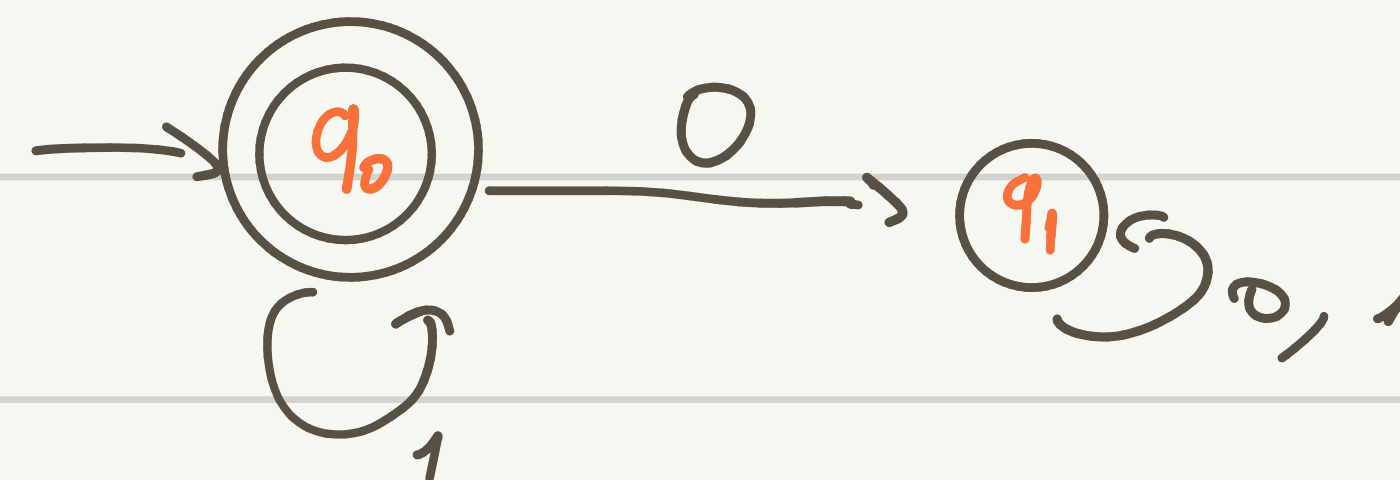
$Q = \{q_0, q_1\}$

$q_0$  initial state

$F = \{q_1\}$

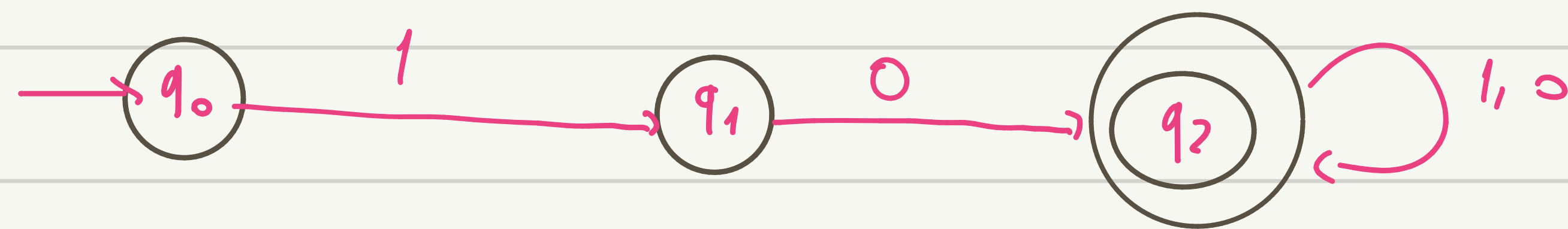
$\delta$	0	1	$\epsilon$
$q_0$	$q_0, q_1$	$q_0$	$\emptyset$
$q_1$	$q_1$	$q_1$	$\emptyset$

$L_2 = \{ \text{string not containing 0} \}$

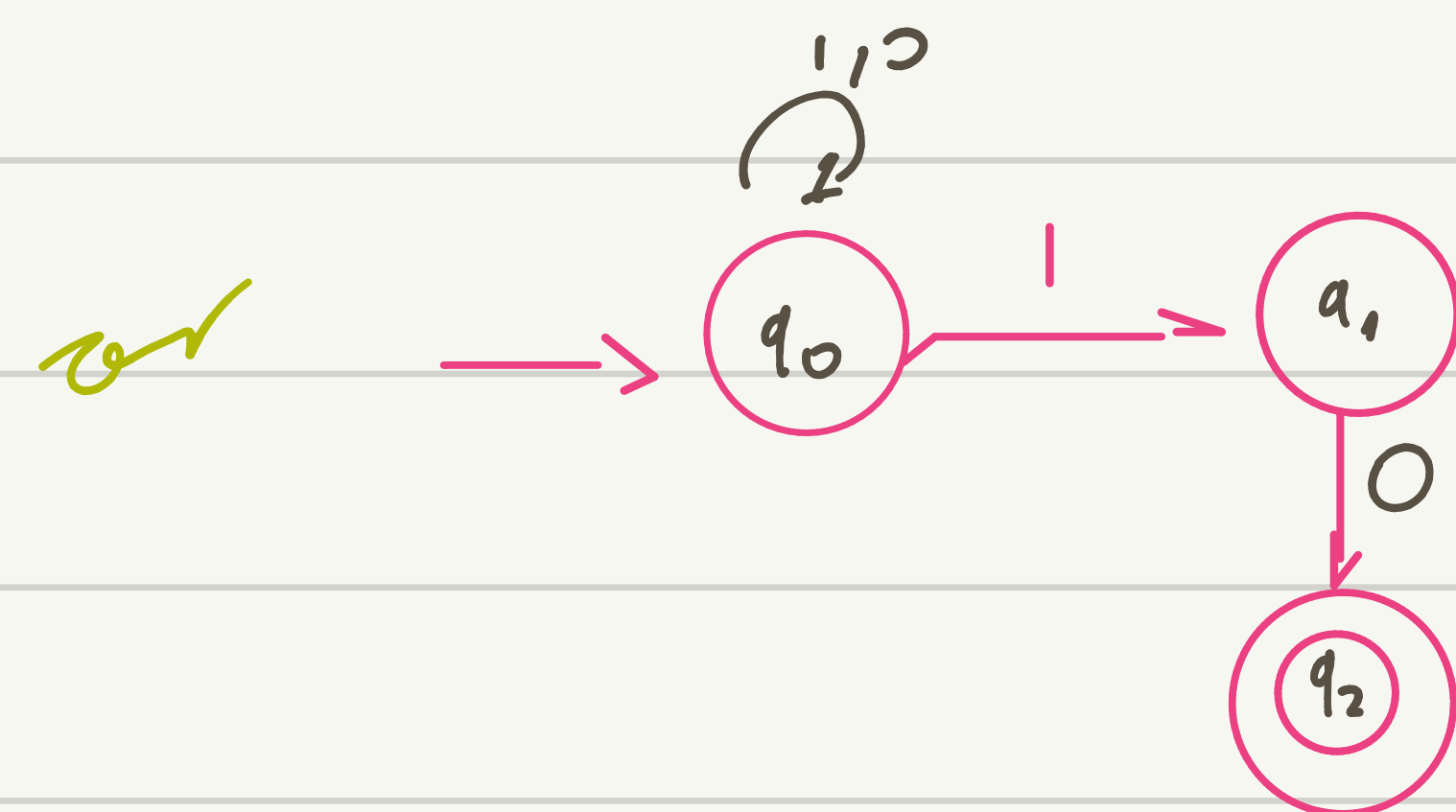
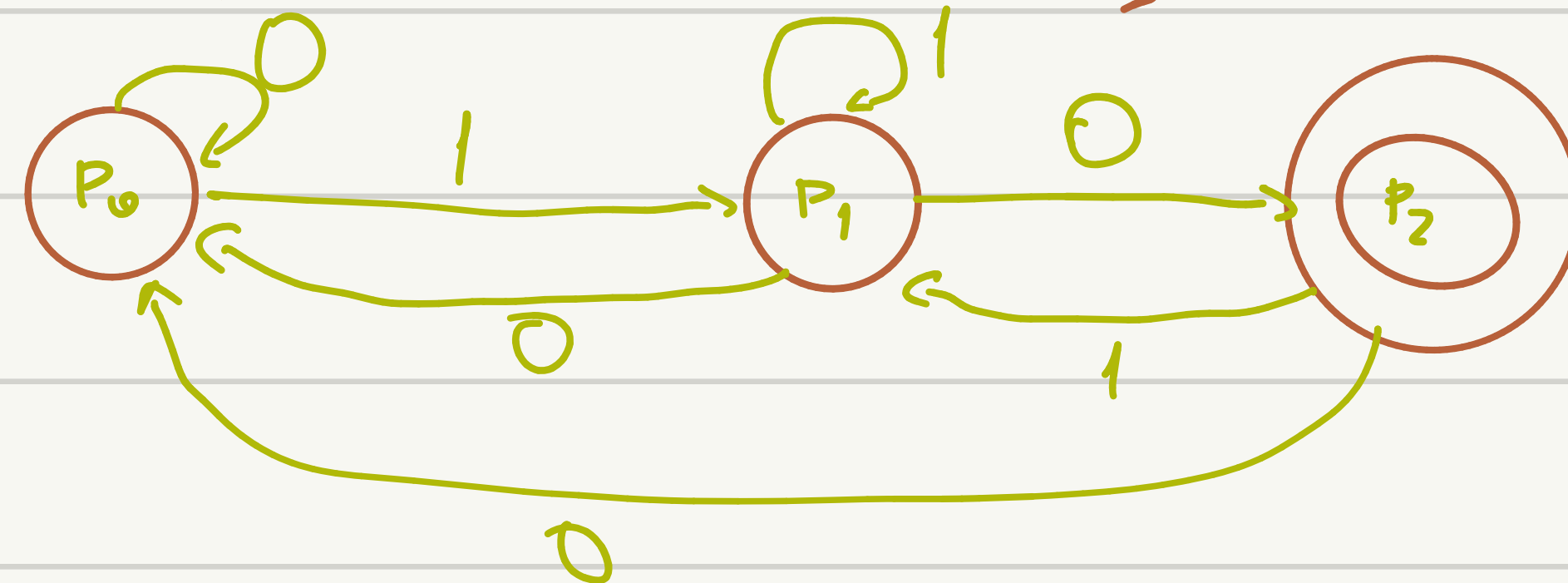


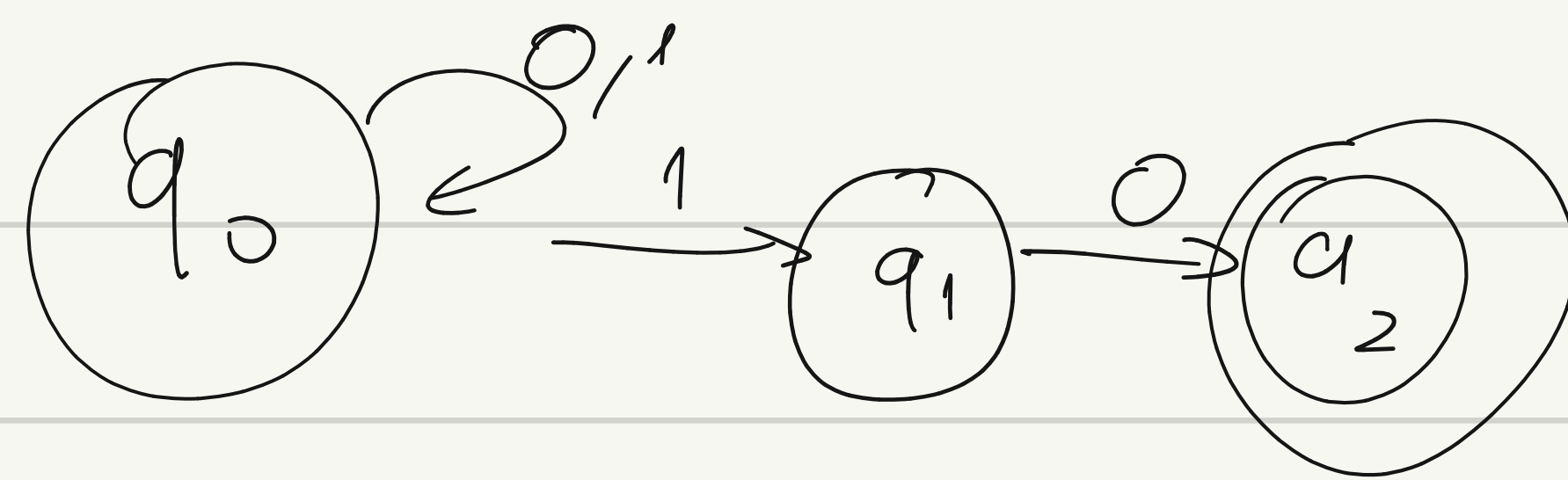
$\delta$	0	1	$\epsilon$
$q_0$	$q_1$	$q_0$	$q_0$
$q_1$	$q_1$	$q_1$	$\emptyset$

$L_3 = \{ \text{set of strings starts with 10} \}$

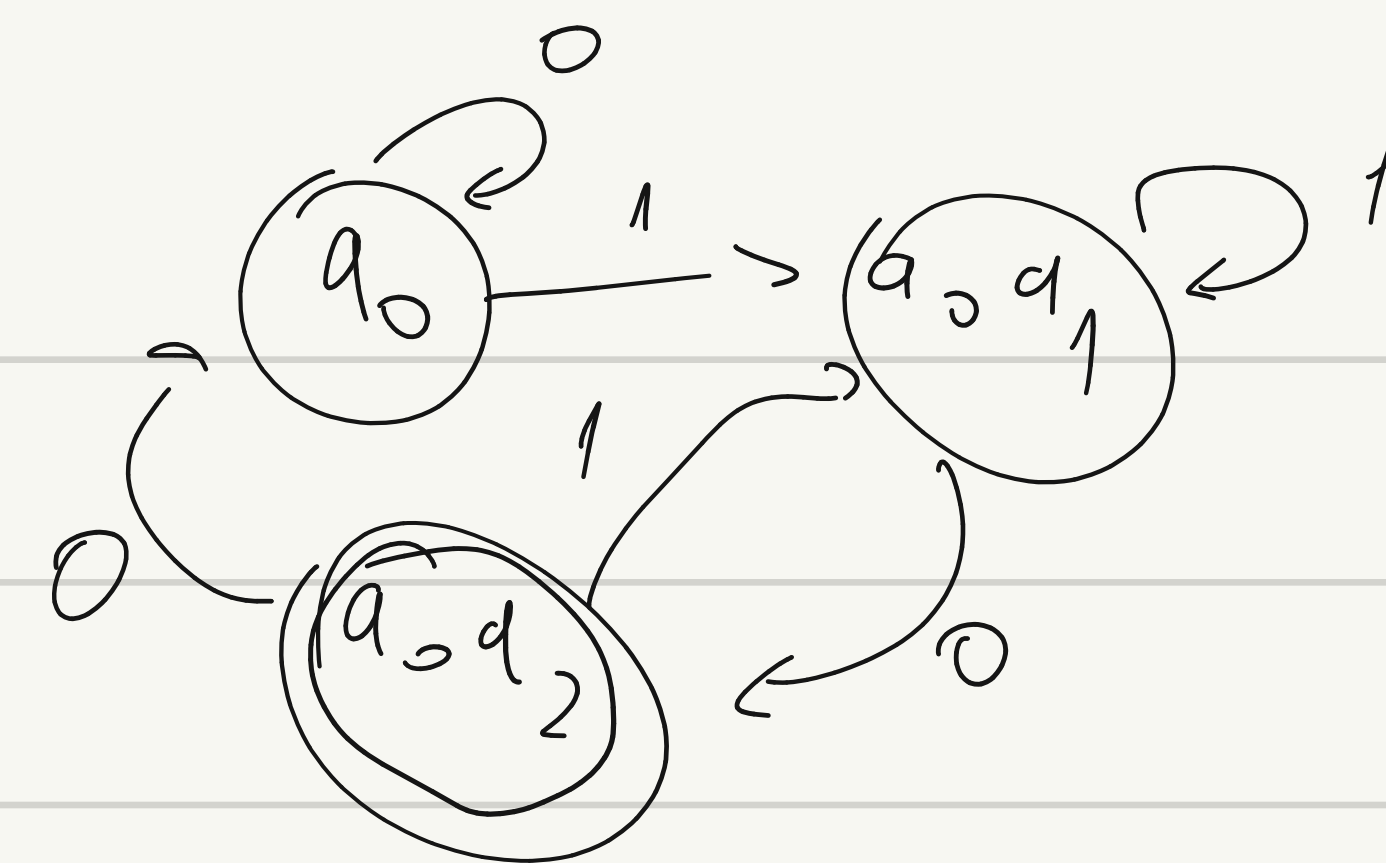


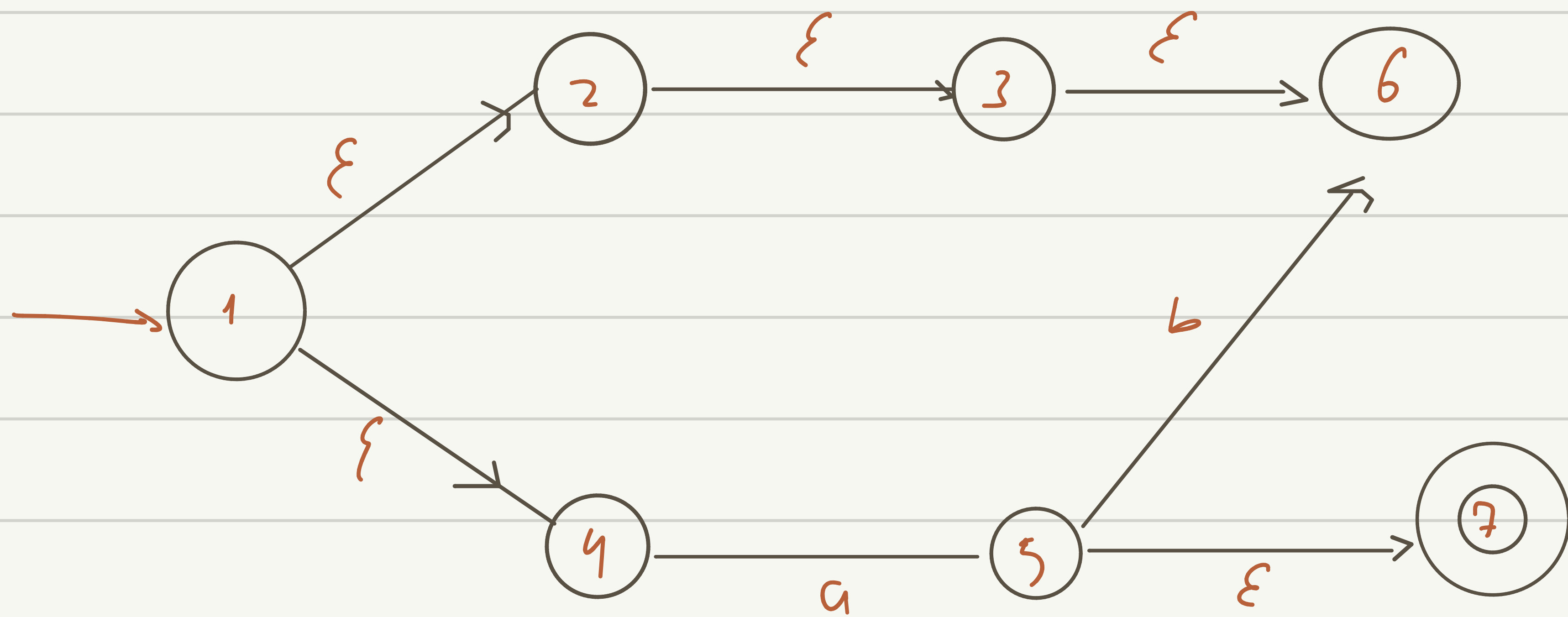
$L_4 = \{ \text{ends with 10} \}$





	0	1
$q_0$	$q_0$	$q_0 q_1$
$q_0 q_1$	$q_0 q_1$	$q_0 q_1$
$q_0 q_2$	$q_0$	$q_0 q_1$





$L = \{a, aa\}$

## Lemma:

If we convert NFA  $M$  to DFA  $M'$   
then the two automata are equivalent:

$$L(M) = L(M')$$

## Proof:

We only need to show:  $L(M) \subseteq L(M')$

AND

$$L(M) \supseteq L(M') \quad \rangle$$

First we show:  $L(M) \subseteq L(M')$

We only need to prove:

$$w \in L(M) \quad \longrightarrow \quad w \in L(M')$$





NFA

Consider  $w \in L(M)$



symbols

$$w = \sigma_1 \sigma_2 \cdots \sigma_k$$



symbol



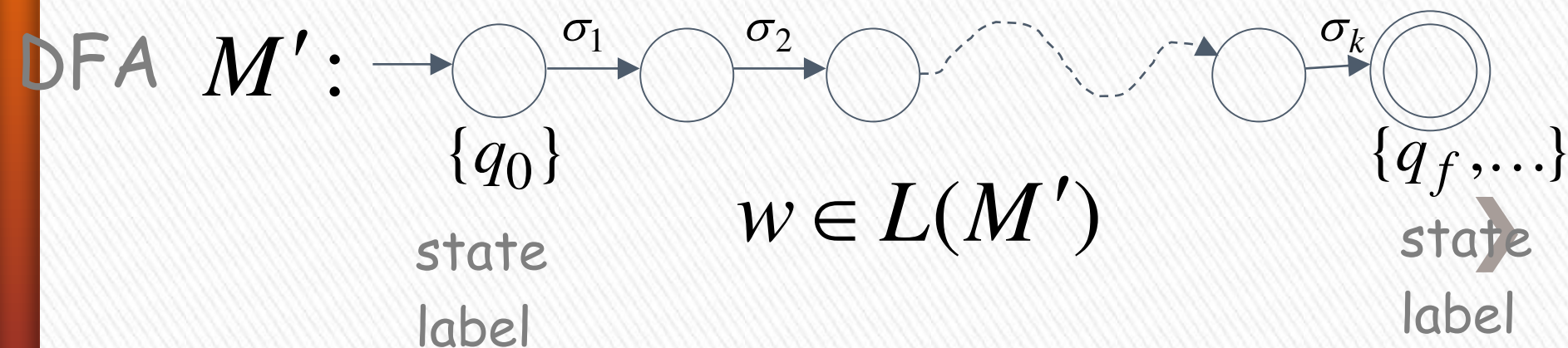
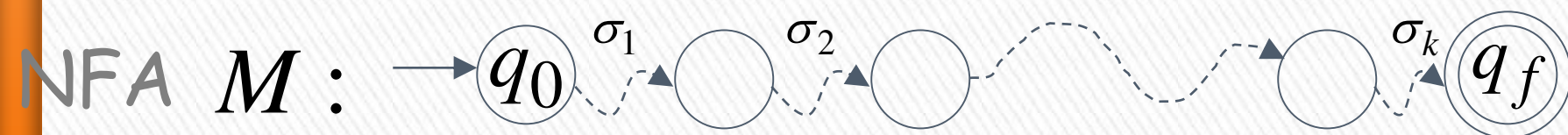
denotes a possible sub-path like

symbol



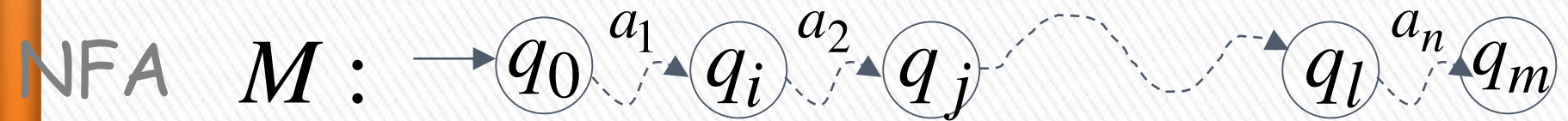
We will show that if  $w \in L(M)$

$$w = \sigma_1 \sigma_2 \cdots \sigma_k$$

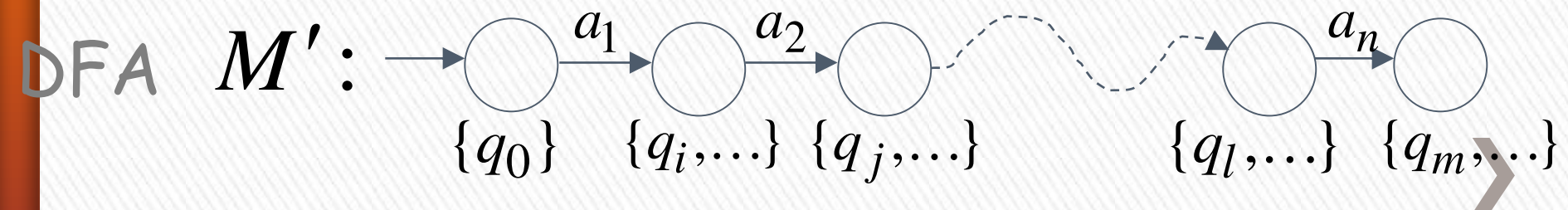


More generally, we will show that if in  $M$ :

(arbitrary string)  $v = a_1 a_2 \cdots a_n$

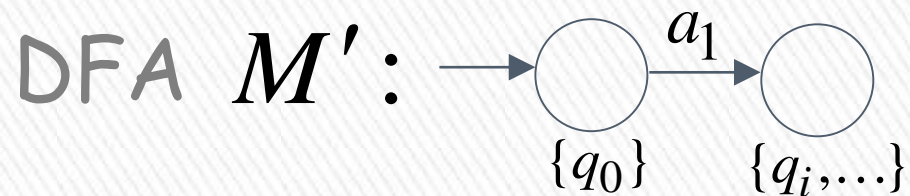


then



# Proof by induction on $|v|$

Induction Basis:  $|v| = 1$        $v = a_1$



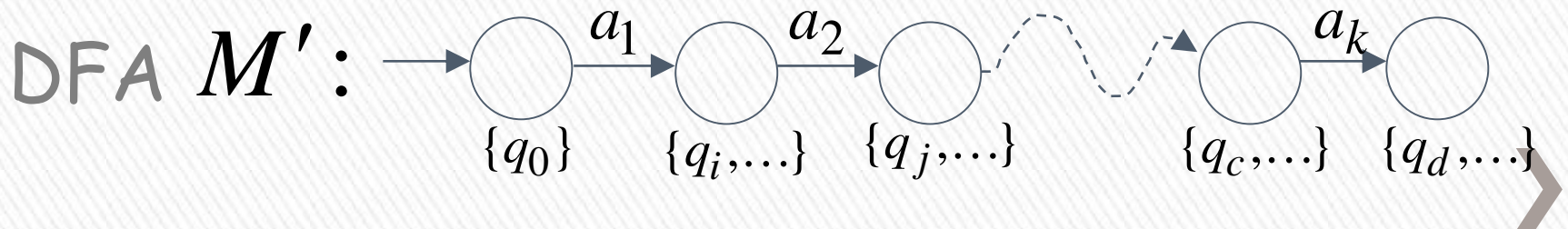
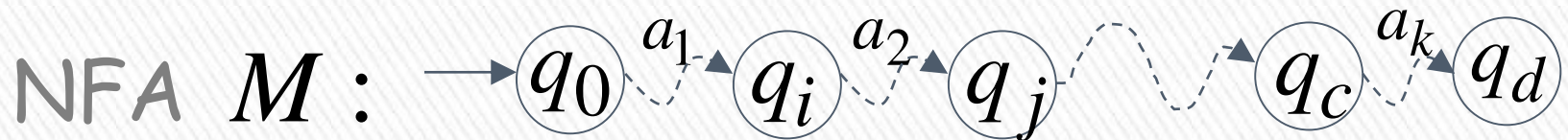
is true by construction of  $M'$



Induction hypothesis:  $1 \leq |v| \leq k$

$$v = a_1 a_2 \cdots a_k$$

Suppose that the following hold

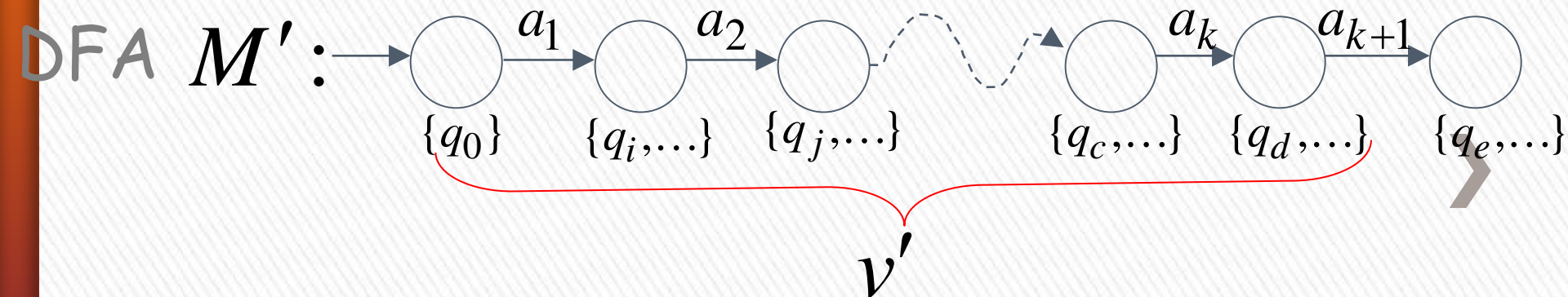
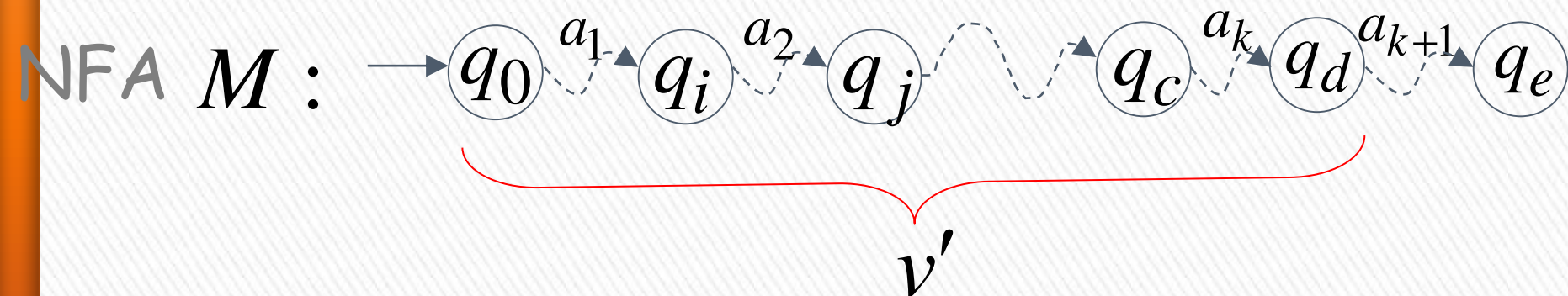




Induction Step:  $|v| = k + 1$

$$v = \underbrace{a_1 a_2 \cdots a_k}_{v'} a_{k+1} = v' a_{k+1}$$

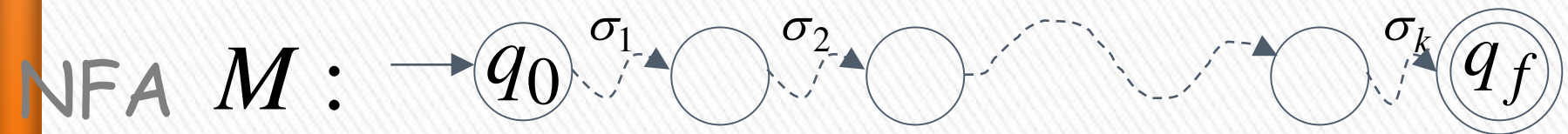
Then this is true by construction of  $M'$



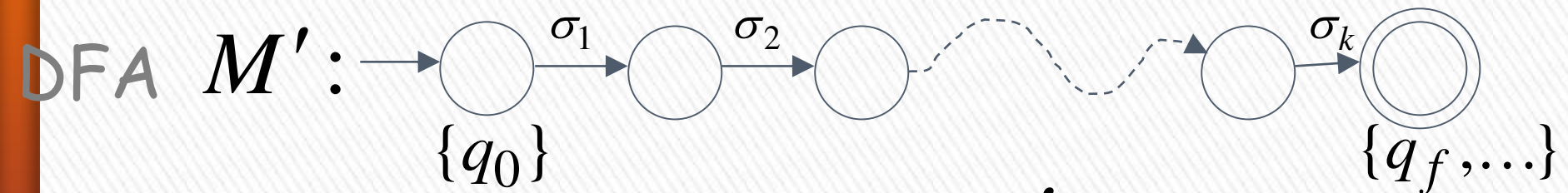


Therefore if  $w \in L(M)$

$$w = \sigma_1 \sigma_2 \cdots \sigma_k$$



then



$$w \in L(M')$$



We have shown:  $L(M) \subseteq L(M')$

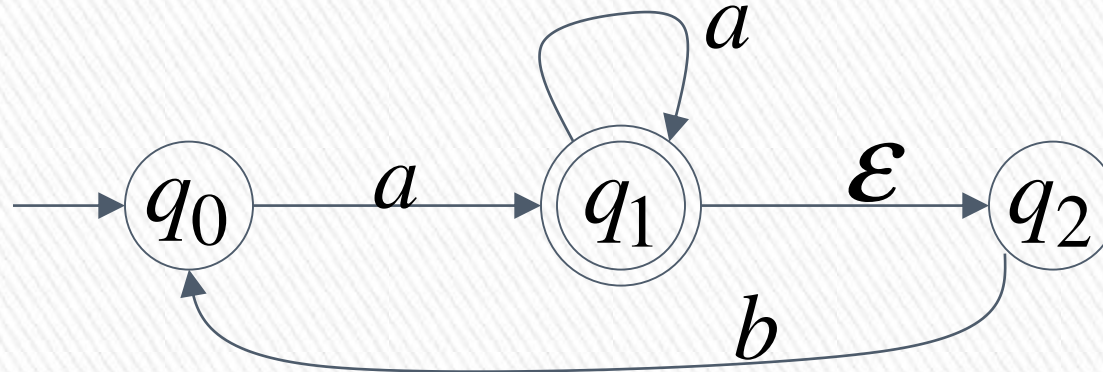
With a similar proof  
we can show:  $L(M) \supseteq L(M')$

Therefore:  $L(M) = L(M')$

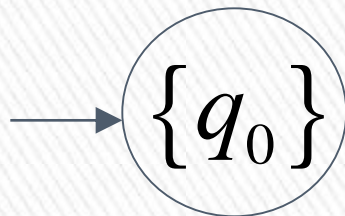


END OF LEMMA PROOF

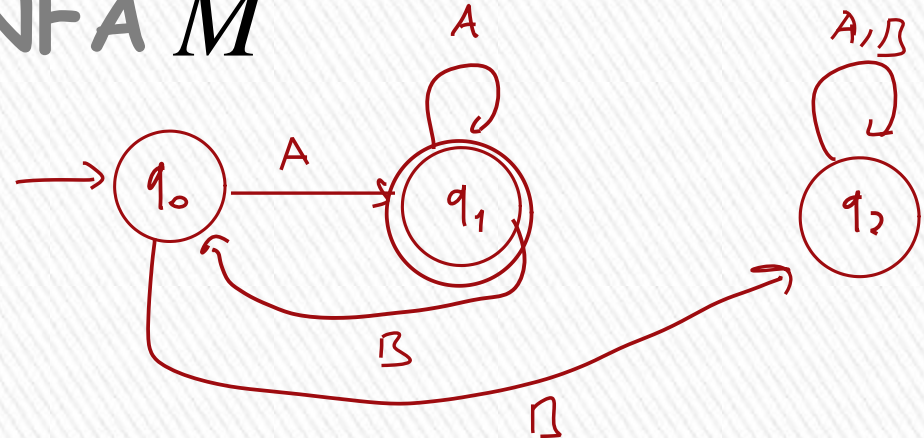
# Conversion NFA to DFA



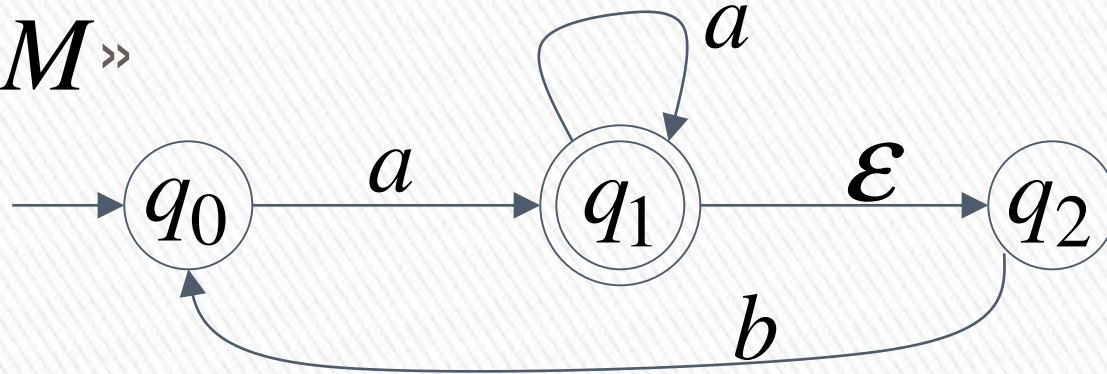
NFA  $M$



DFA  $M'$

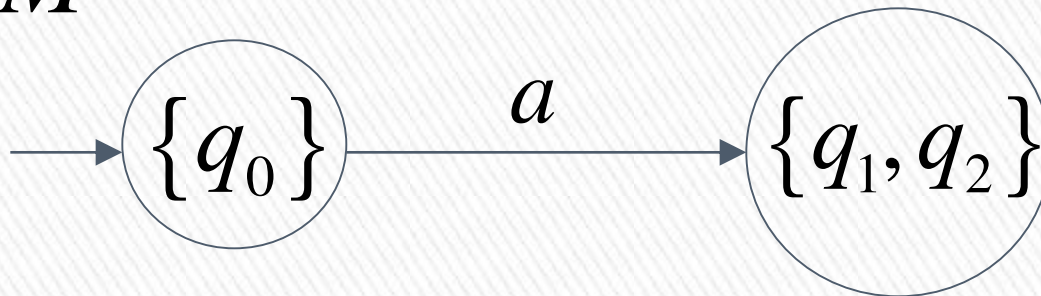


NFA  $M \gg$



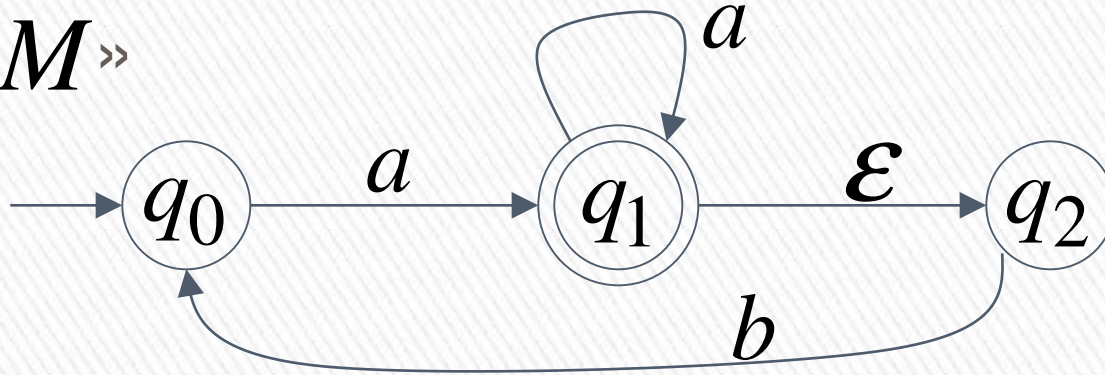
$$\delta^*(q_0, a) = \{q_1, q_2\}$$

DFA  $M'$

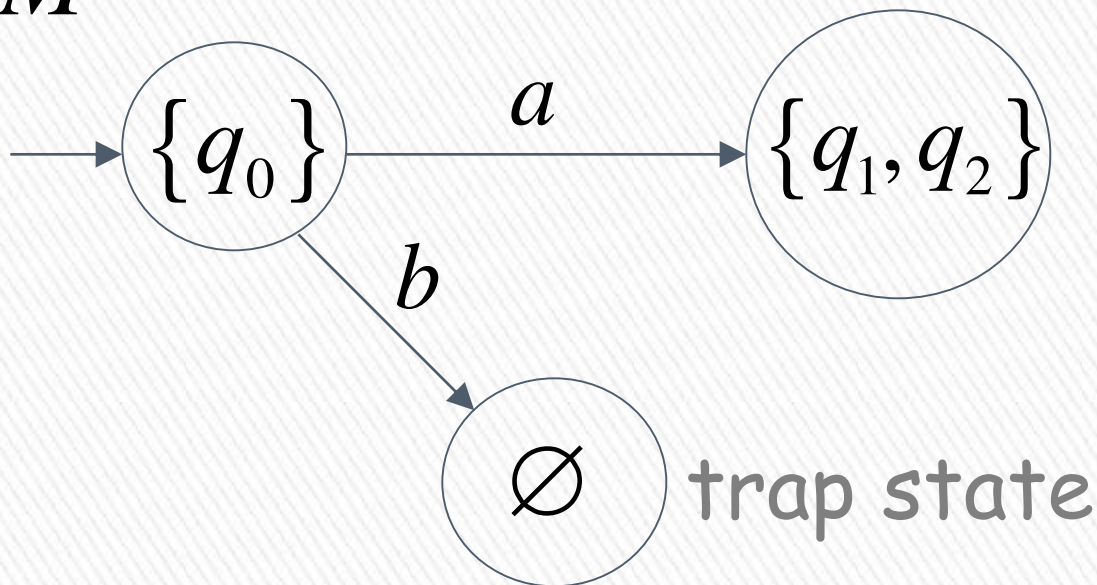


$$\delta^*(q_0, b) = \emptyset \quad \text{empty set}$$

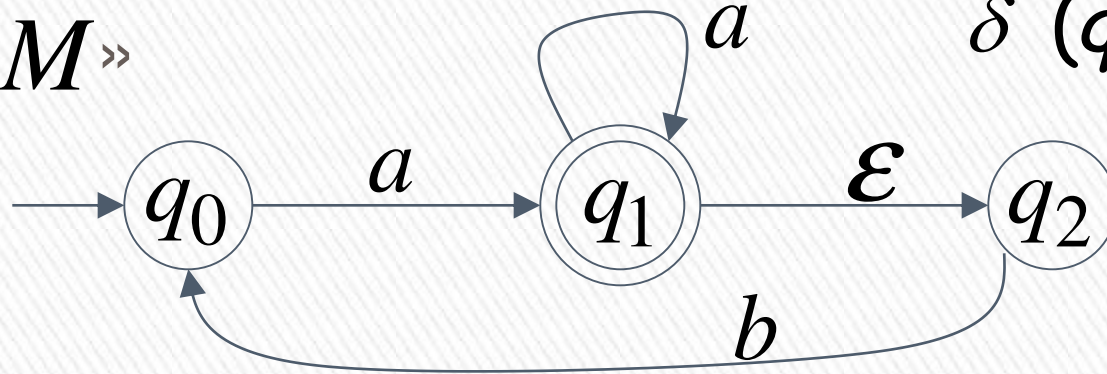
NFA  $M$



DFA  $M'$



NFA  $M \gg$



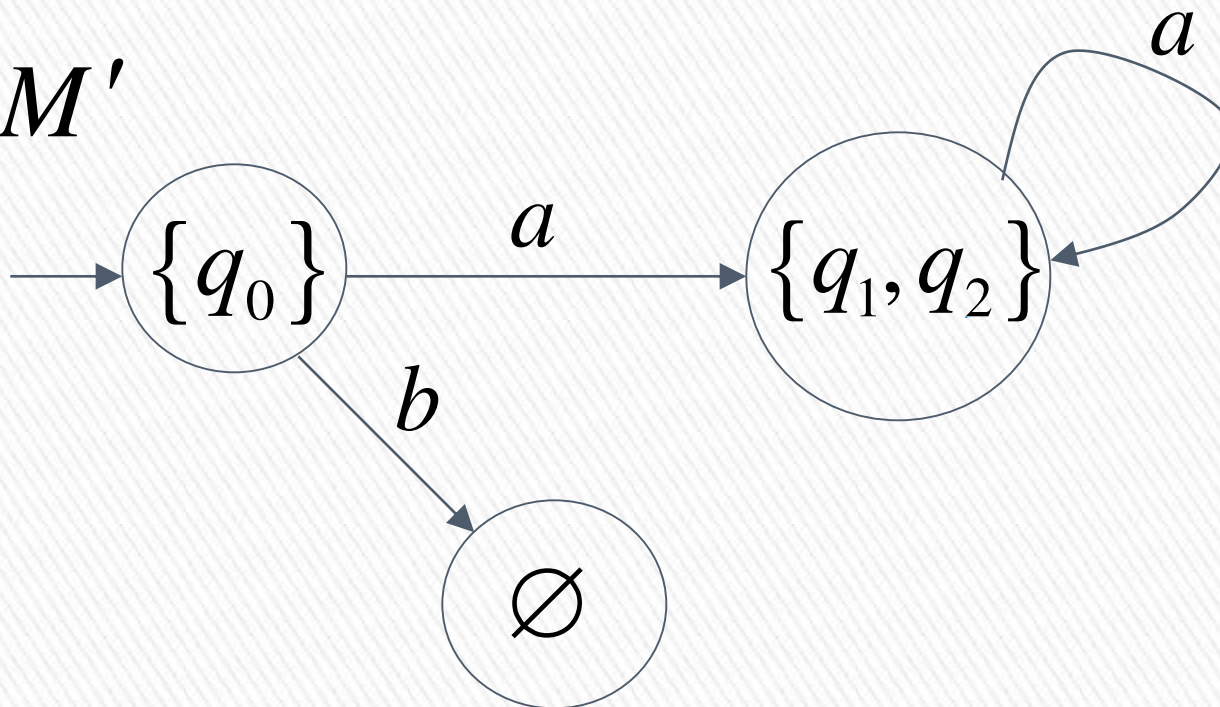
$$\delta^*(q_1, a) = \{q_1, q_2\}$$

$$\delta^*(q_2, a) = \emptyset$$

union

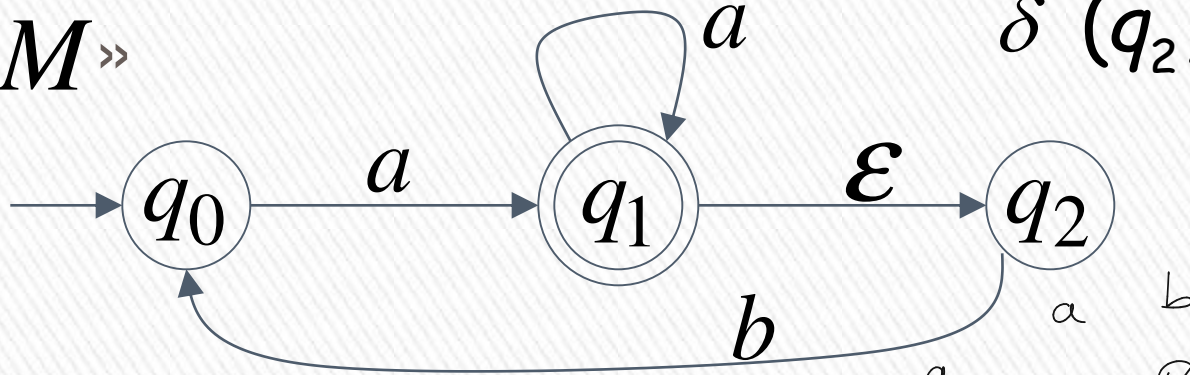
$$\{q_1, q_2\}$$

DFA  $M'$





NFA  $M \gg$



$$\delta^*(q_1, b) = \{q_0\}$$

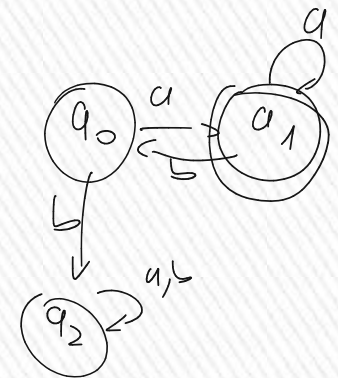
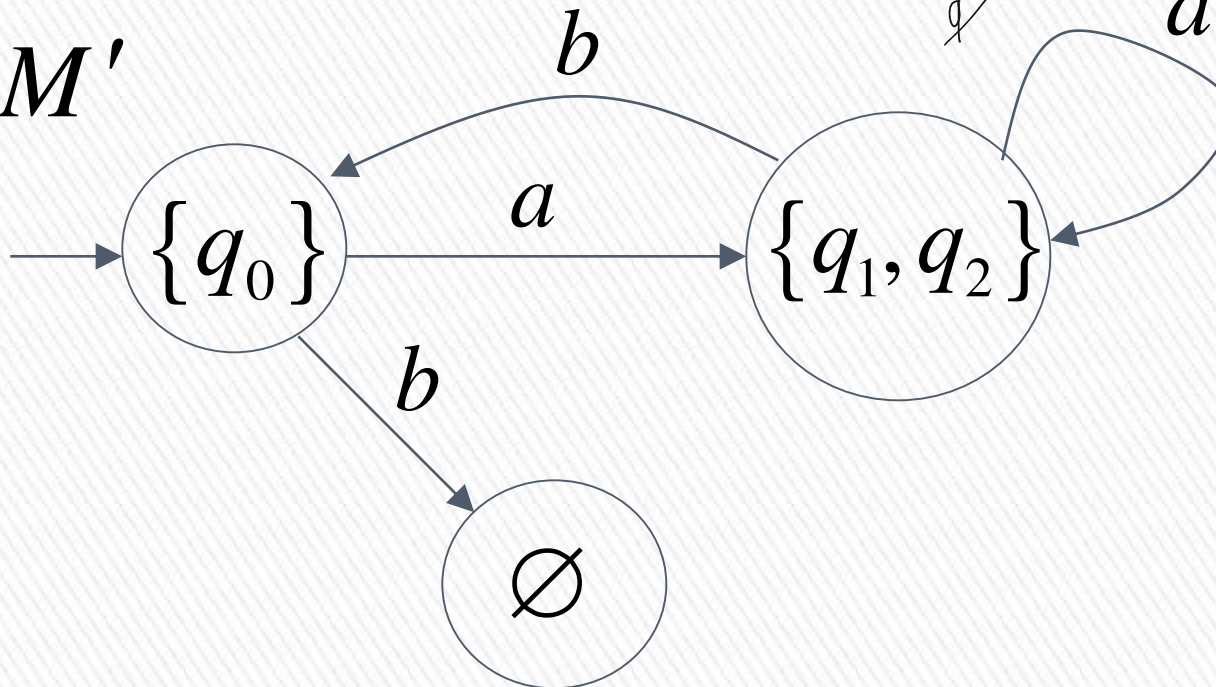
$$\delta^*(q_2, b) = \{q_0\}$$

union

$\{q_0\}$

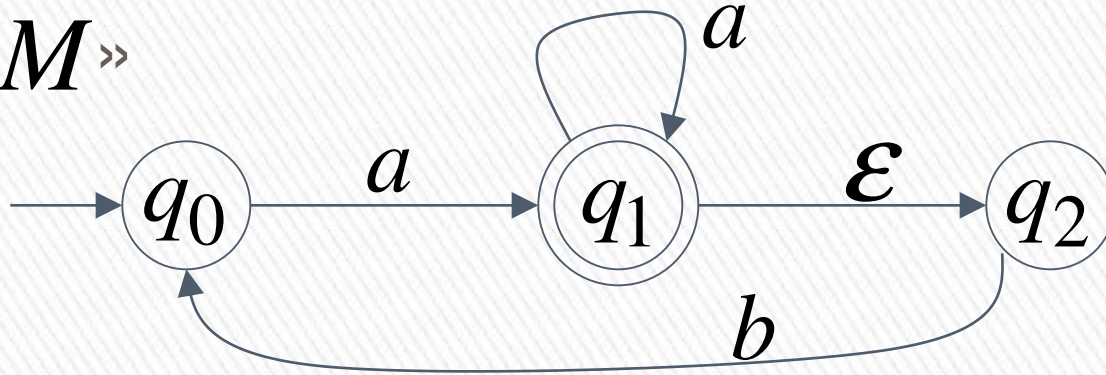
$q_0$	$q_1$	$\emptyset$
$q_1$	$q_1$	$q_0$
$q_2$		$a$

DFA  $M'$

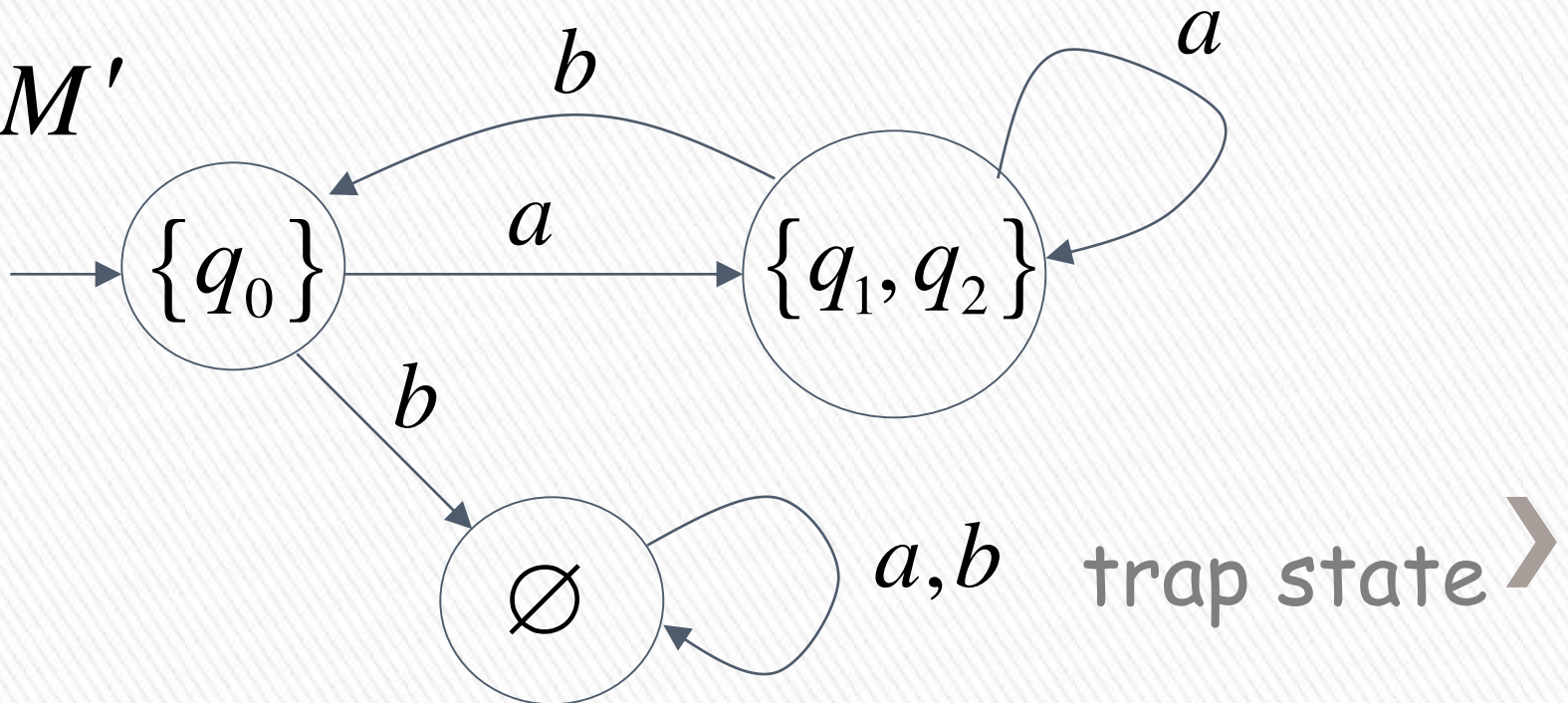




NFA  $M_{\gg}$

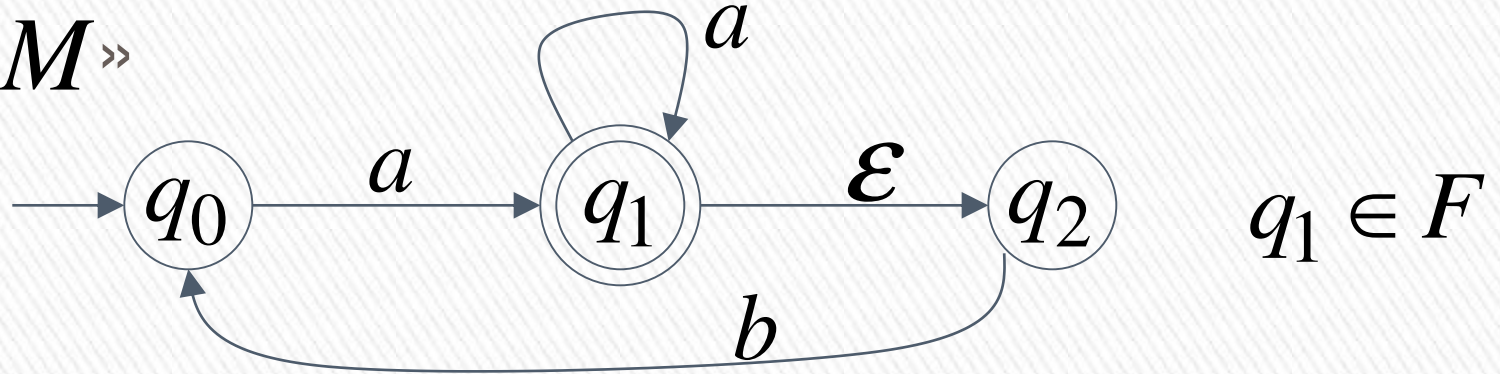


DFA  $M'$

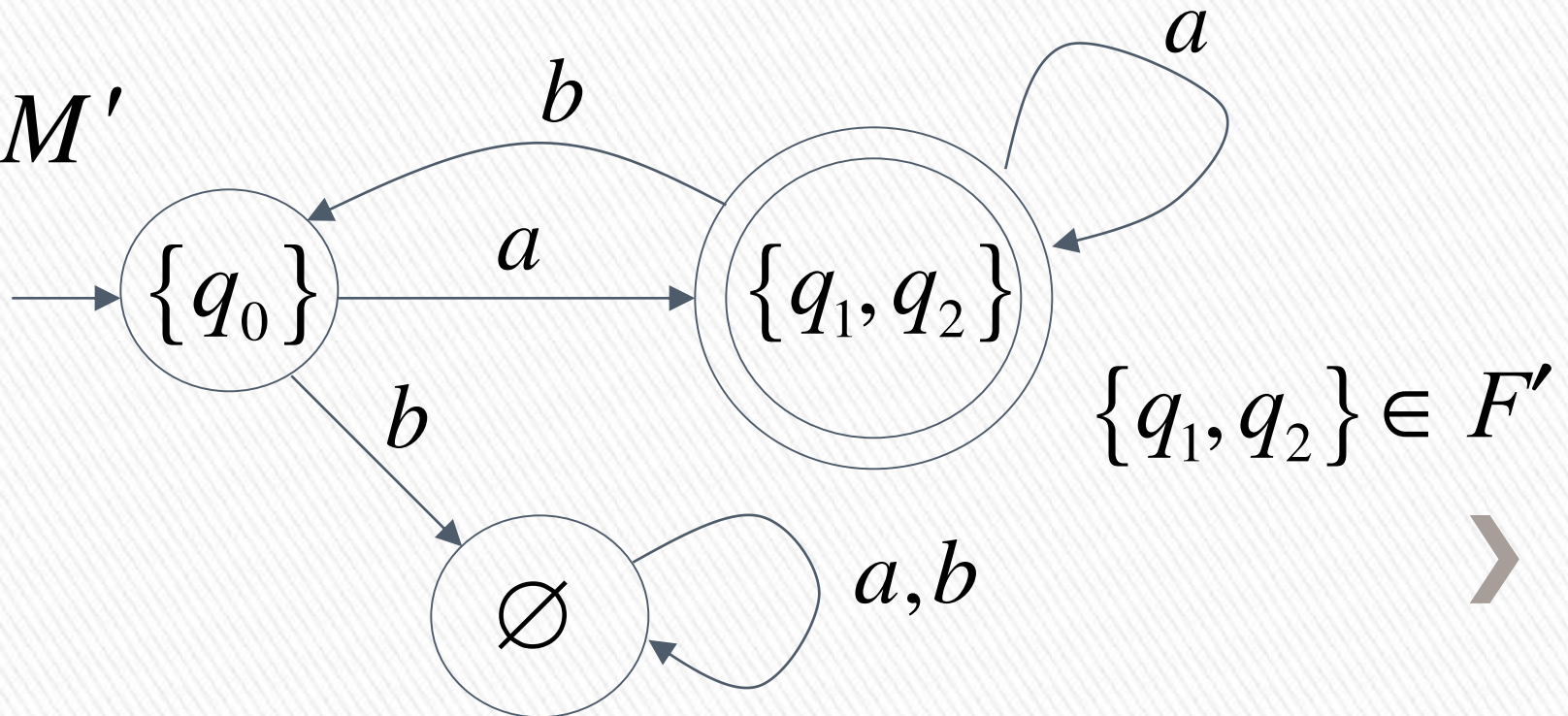


# END OF CONSTRUCTION

NFA  $M_{\gg}$



DFA  $M'$



# General Conversion Procedure

» Input: an NFA  $M$

» Output: an equivalent DFA  $M'$   
with  $L(M) = L(M')$



» The NFA has states

$$q_0, q_1, q_2, \dots$$

» The DFA has states from the power set

$$\emptyset, \{q_0\}, \{q_1\}, \{q_0, q_1\}, \{q_1, q_2, q_3\}, \dots$$



# Conversion Procedure Steps

»

## Step 1

» Initial state of NFA:  $q_0$

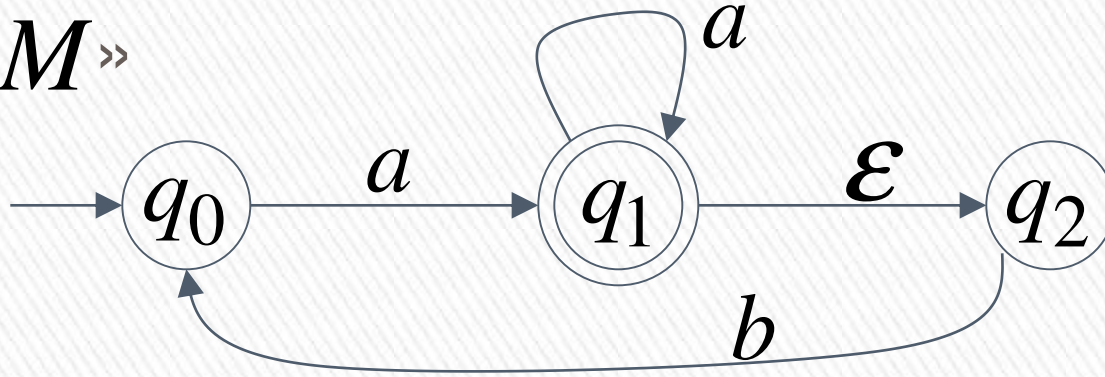


» Initial state of DFA:  $\{q_0\}$

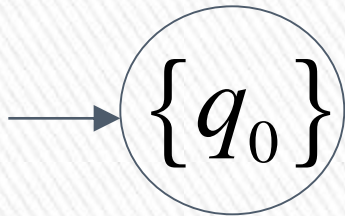


# Example

NFA  $M_{\gg}$



DFA  $M'$



## Step 2

For every DFA's state  $\{q_i, q_j, \dots, q_m\}$

compute in the NFA

$$\left. \begin{array}{l} \delta^*(q_i, a) \\ \cup \delta^*(q_j, a) \\ \dots \\ \cup \delta^*(q_m, a) \end{array} \right\} = \text{Union } \{q'_k, q'_l, \dots, q'_n\}$$

add transition to DFA

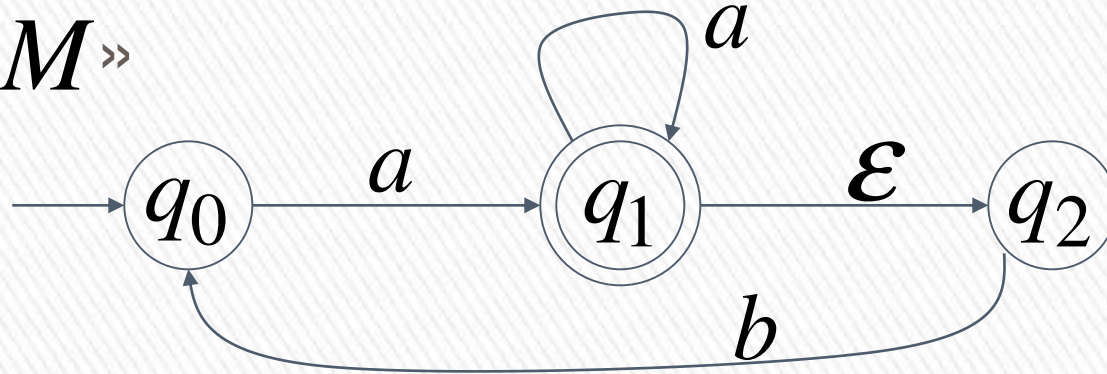
$$\delta(\{q_i, q_j, \dots, q_m\}, a) = \{q'_k, q'_l, \dots, q'_n\}$$



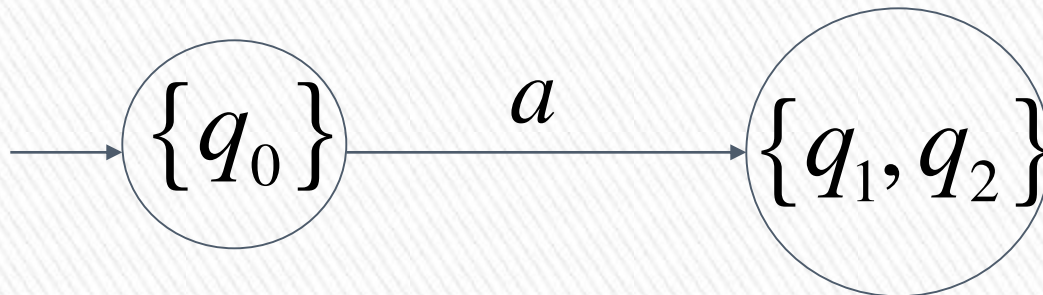


**Example**  $\delta^*(q_0, a) = \{q_1, q_2\}$

**NFA**  $M \gg$



**DFA**  $M'$   $\delta(\{q_0\}, a) = \{q_1, q_2\}$



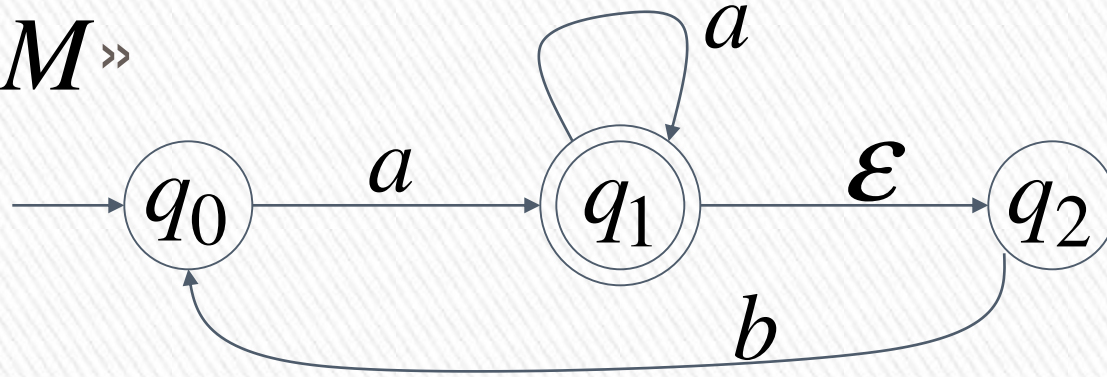
## Step 3

- » Repeat Step 2 for every state in DFA and symbols in alphabet until no more states can be added in the DFA

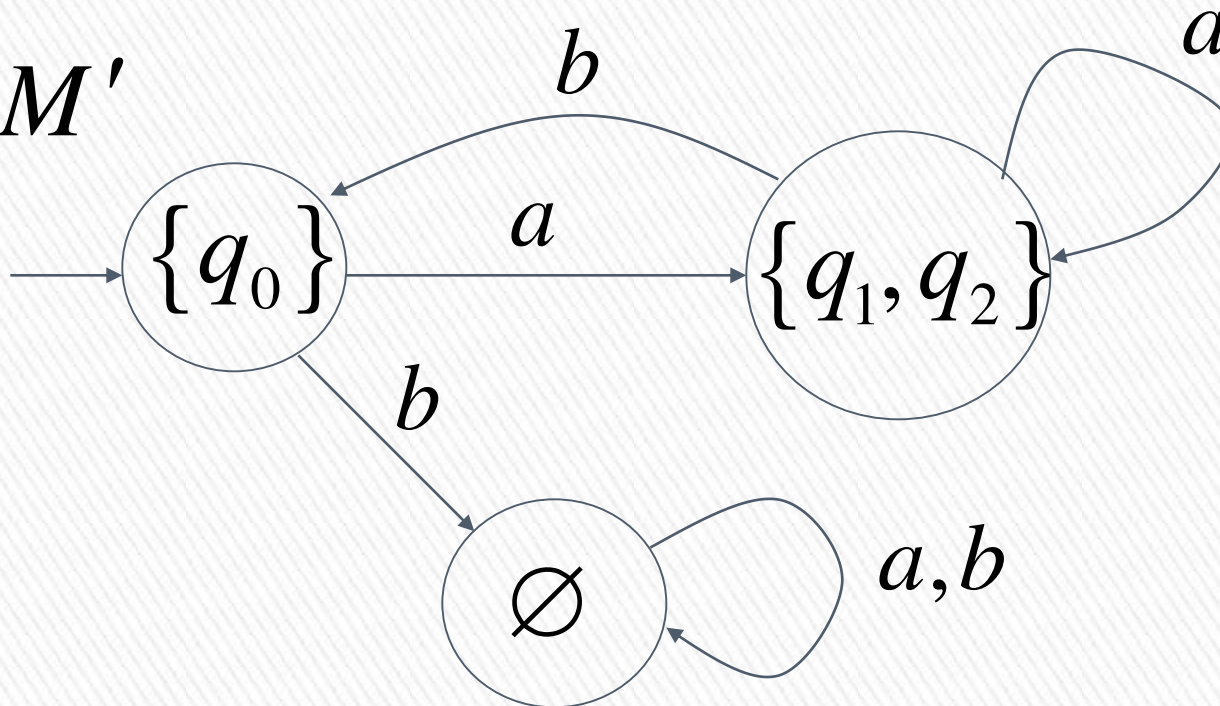


# Example

NFA  $M$



DFA  $M'$



## Step 4

» For any DFA state  $\{q_i, q_j, \dots, q_m\}$

» if some  $q_j$  is accepting state in NFA

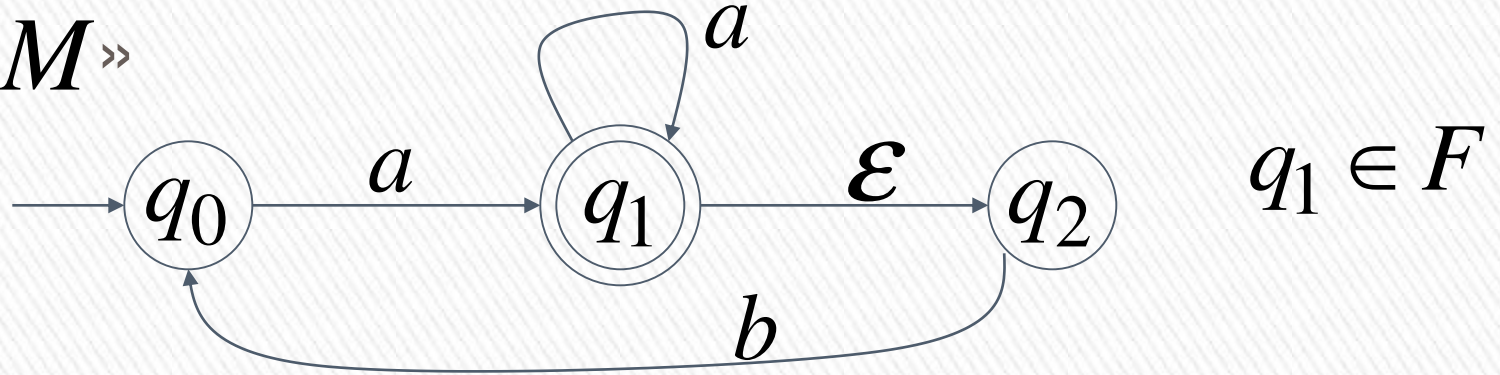
» Then,  $\{q_i, q_j, \dots, q_m\}$   
is accepting state in DFA

↳: qinda accept state olonlon  
hepsini final state yur ~~\*\*\*~~

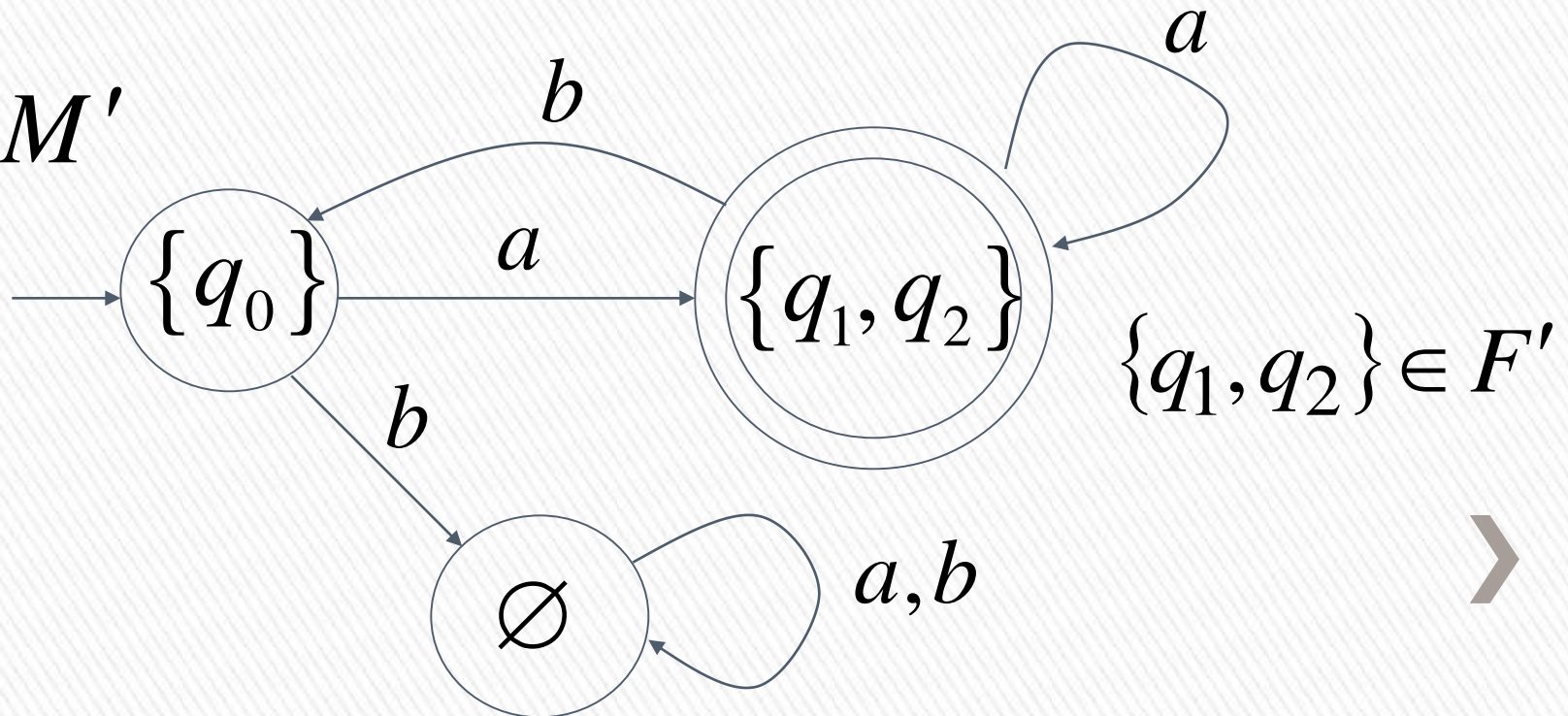


# Example

NFA  $M$

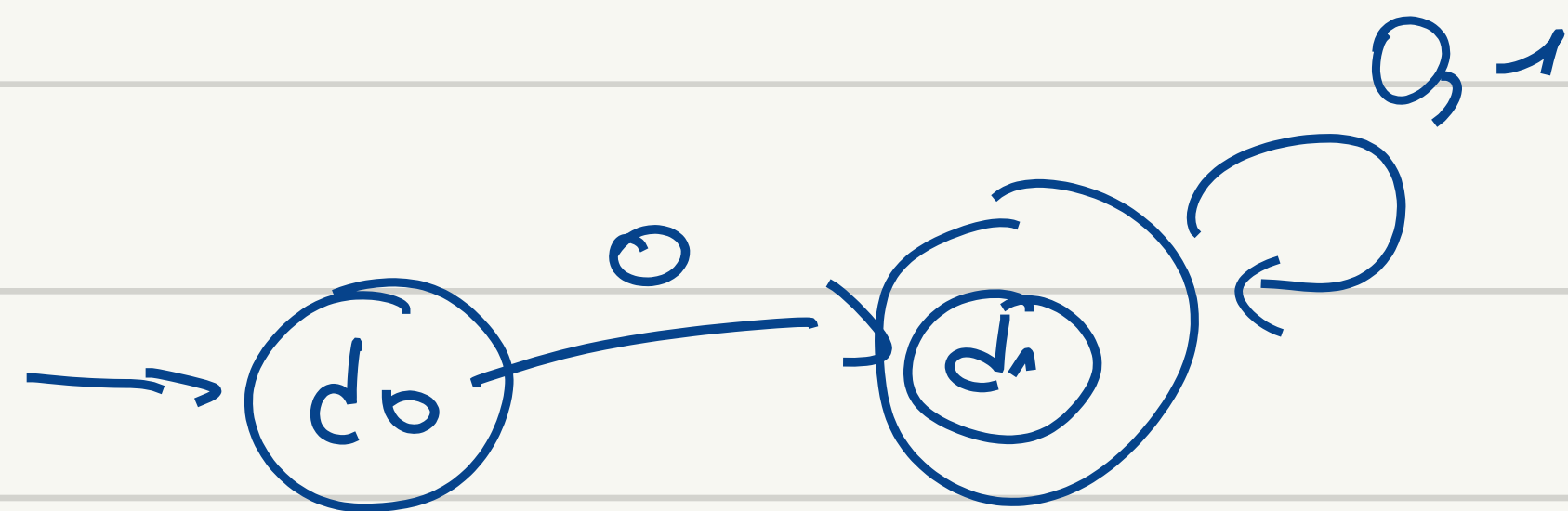


DFA  $M'$



- An NFA accepts all strings over the alphabet  $\Sigma = \{0, 1\}$  that begin with 0. Draw nfa, dfa

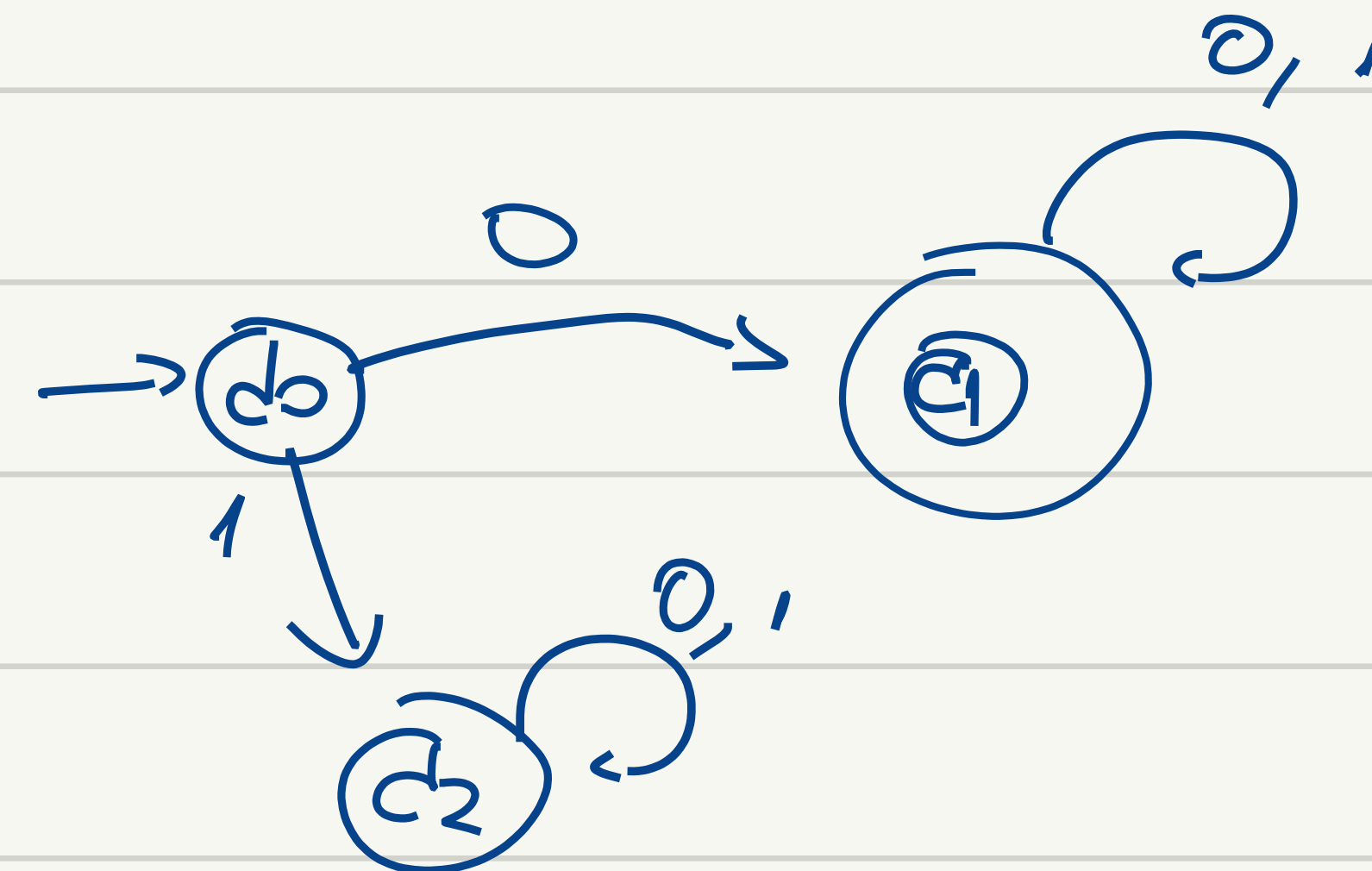
NFA



	0	1
q <sub>0</sub>	q <sub>1</sub>	∅
q <sub>1</sub>	q <sub>1</sub>	q <sub>1</sub>

	0	1
q <sub>0</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>2</sub>	q <sub>2</sub>	q <sub>2</sub>
q <sub>1</sub>	q <sub>1</sub>	q <sub>1</sub>

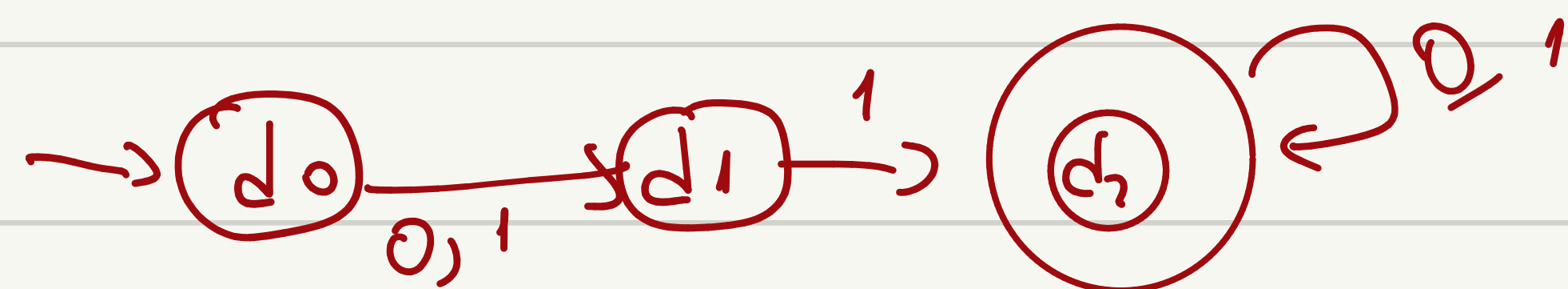
DFA



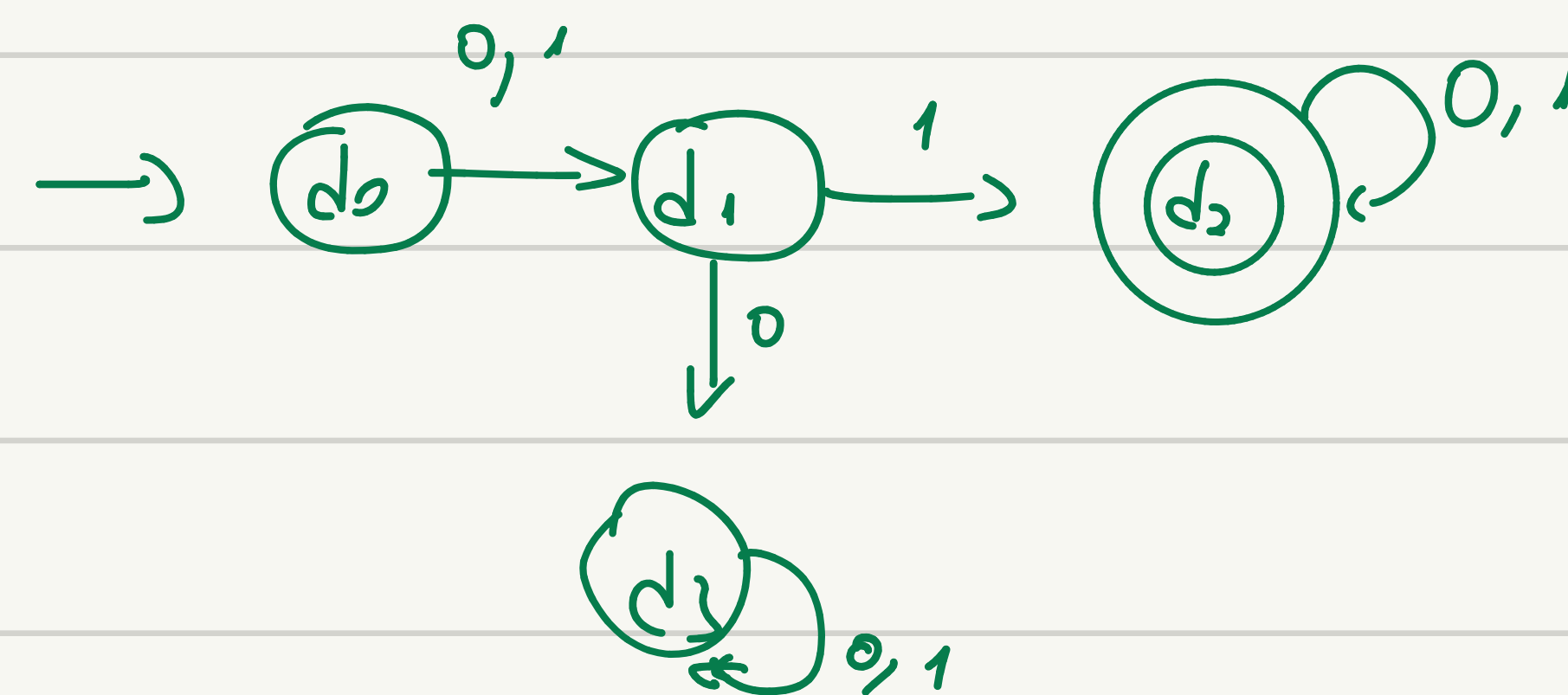
	0	1
q <sub>0</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>1</sub>	q <sub>1</sub>	q <sub>1</sub>
q <sub>2</sub>	q <sub>2</sub>	q <sub>2</sub>

- Design an NFA for the language that accept strings over  $\{0,1\}$  second symbol 2.

NFA

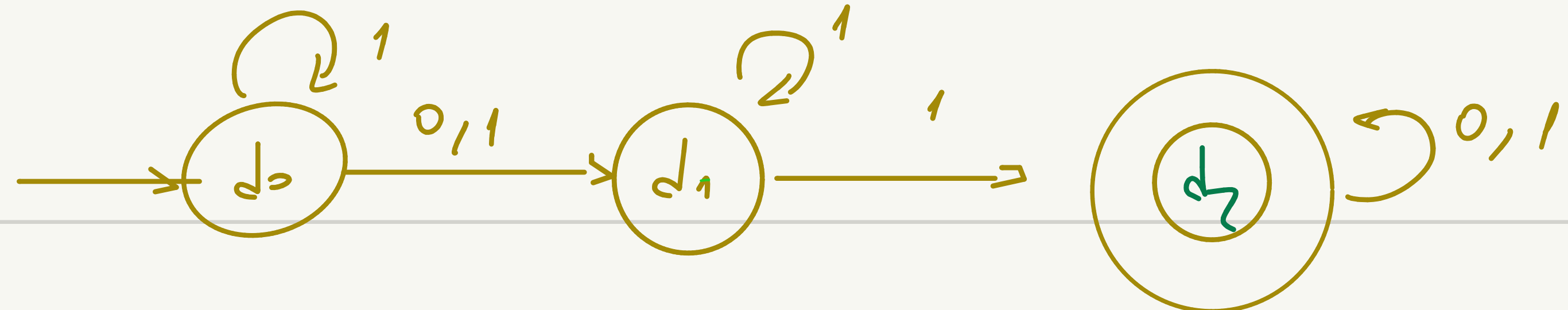


	0	1
d <sub>0</sub>	d <sub>1</sub>	d <sub>1</sub>
d <sub>1</sub>	∅	d <sub>2</sub>
d <sub>2</sub>	d <sub>2</sub>	d <sub>2</sub>



	0	1
d <sub>0</sub>	d <sub>1</sub>	d <sub>1</sub>
d <sub>1</sub>	d <sub>3</sub>	d <sub>2</sub>
d <sub>2</sub>	d <sub>2</sub>	d <sub>2</sub>
d <sub>3</sub>	d <sub>3</sub>	d <sub>3</sub>

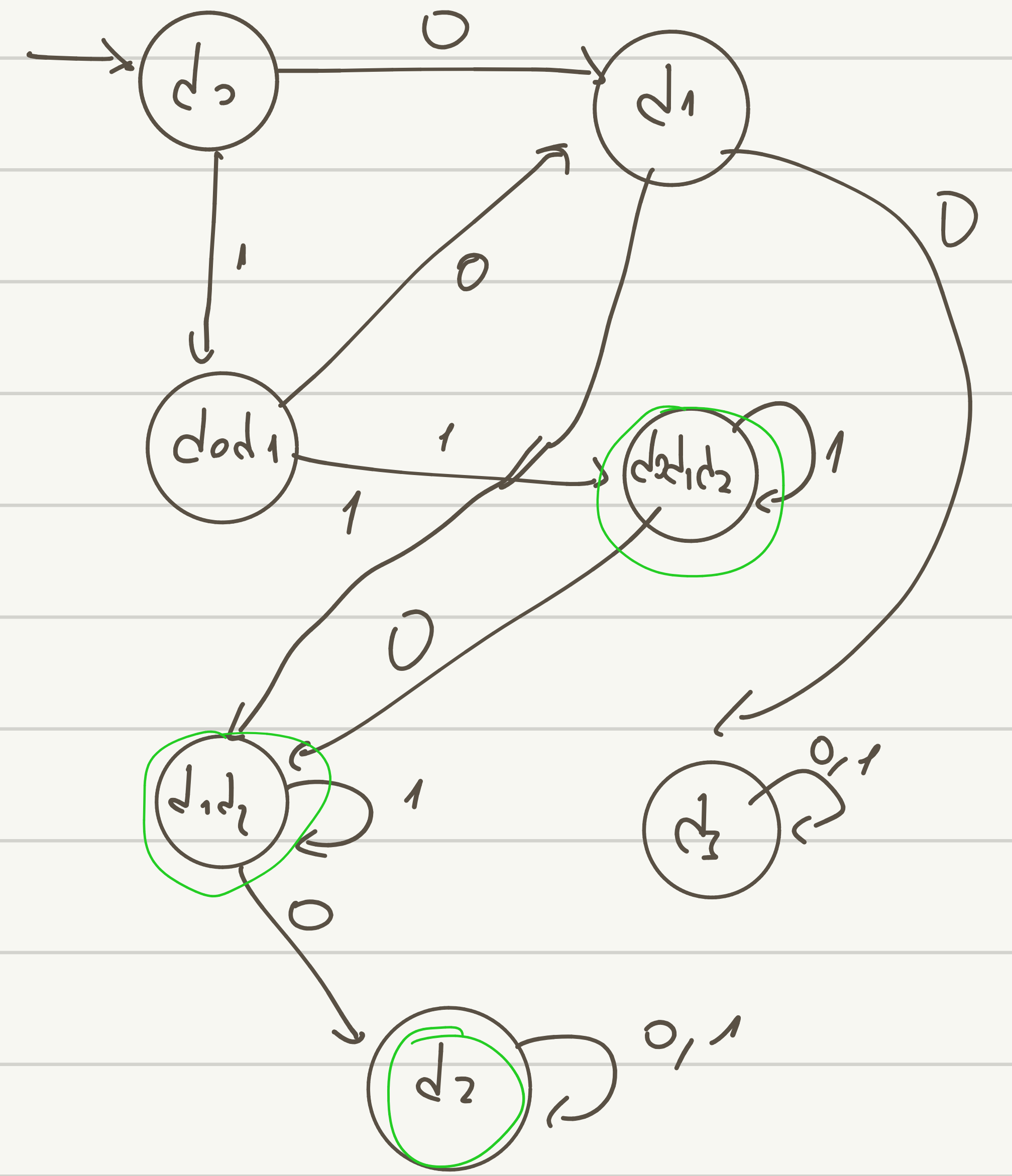




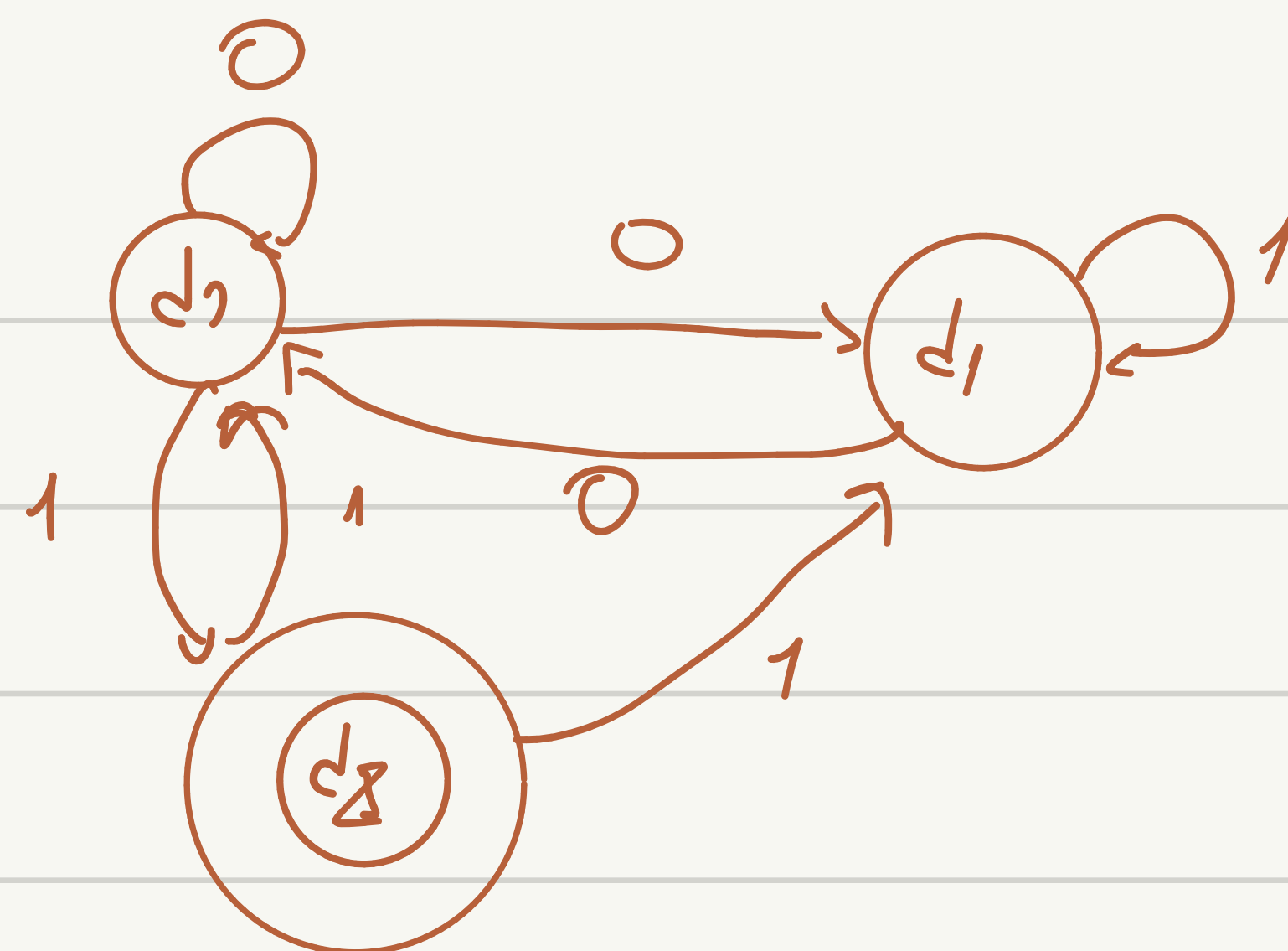
	0	1
d <sub>0</sub>	d <sub>1</sub>	d <sub>0</sub> d <sub>1</sub>
d <sub>1</sub>	∅	d <sub>1</sub> d <sub>2</sub>
d <sub>2</sub>	d <sub>2</sub>	d <sub>2</sub>

0/1

	0	1
d <sub>0</sub>	d <sub>1</sub>	d <sub>0</sub> d <sub>1</sub>
d <sub>0</sub> d <sub>1</sub>	d <sub>1</sub>	d <sub>0</sub> d <sub>1</sub> d <sub>2</sub>
d <sub>1</sub>	d <sub>3</sub>	d <sub>1</sub> d <sub>2</sub>
d <sub>1</sub> d <sub>2</sub>	d <sub>2</sub>	d <sub>1</sub> d <sub>2</sub>
d <sub>0</sub> d <sub>1</sub> d <sub>2</sub>	d <sub>1</sub> d <sub>2</sub>	d <sub>0</sub> d <sub>1</sub> d <sub>2</sub>
d <sub>2</sub>	d <sub>2</sub>	d <sub>2</sub>
d <sub>3</sub>	d <sub>3</sub>	d <sub>3</sub>

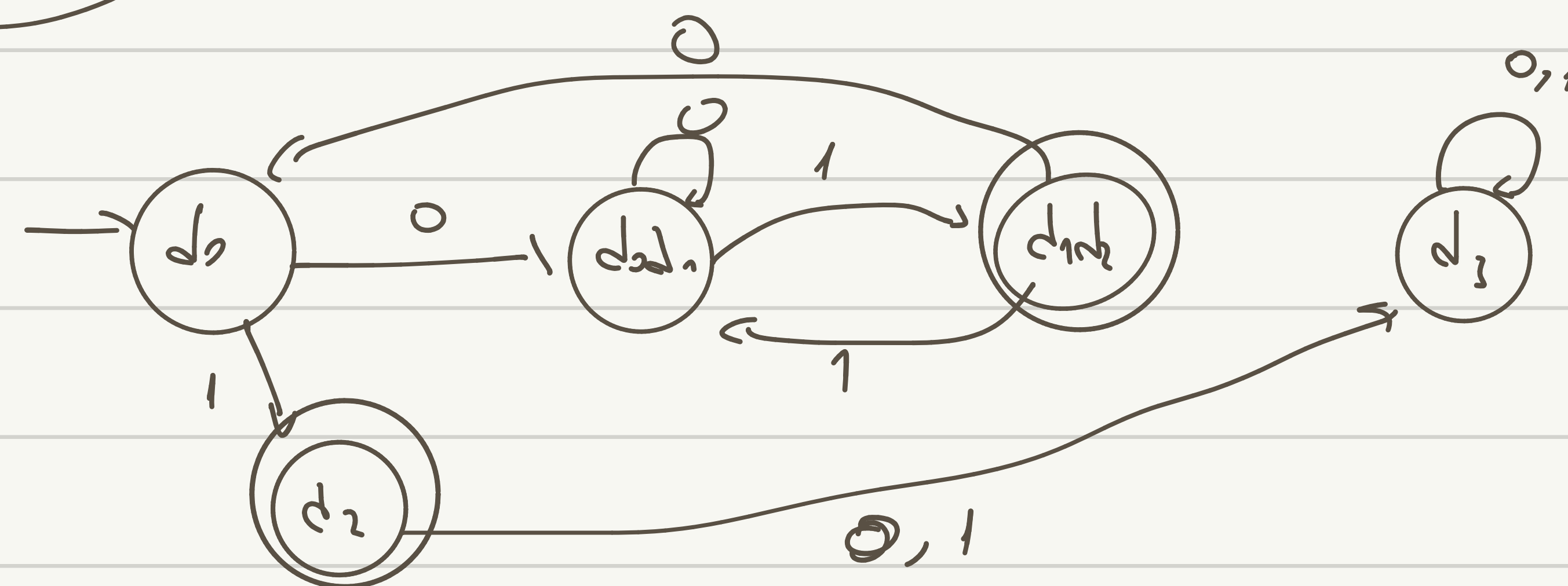


	0	1
$d_0$	$d_0 d_1$	$d_2$
$d_1$	$d_0$	$d_1$
$d_2$	$\emptyset$	$d_0 d_1$

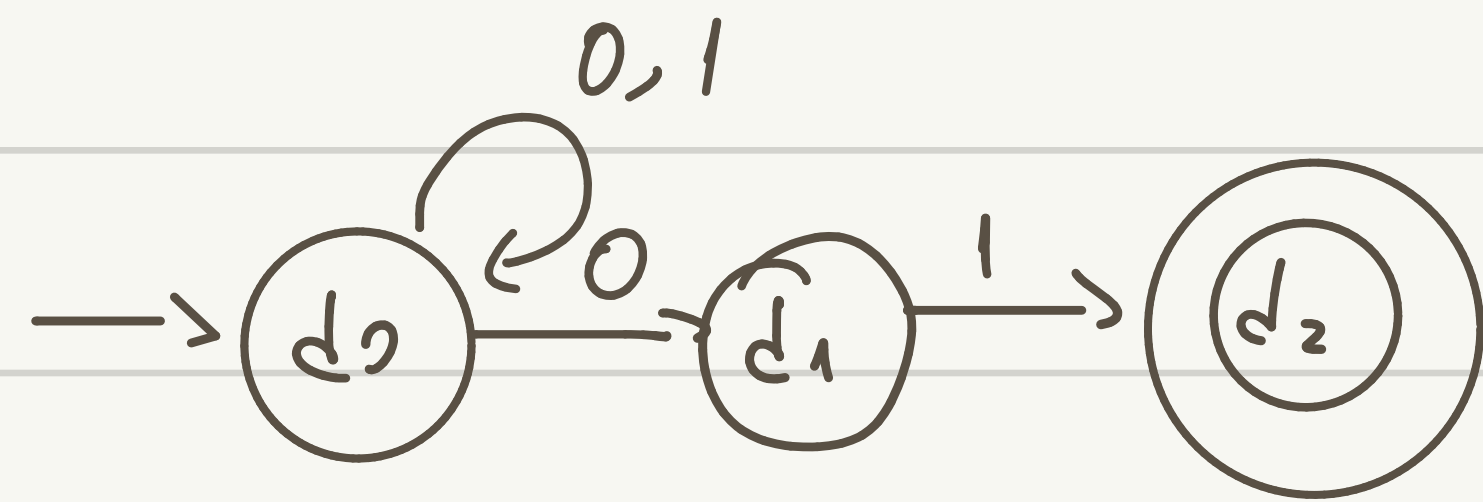


	0	1
$d_0$	$d_0 d_1$	$\overline{d_2}$
$d_0 d_1$	$d_0 d_1$	$d_1 d_2 \leftarrow \{d_0, 1\} \cup \{1, 1\}$
$d_1 d_2$	$d_0$	$d_0 d_1$
$d_2$	$d_3$	$d_3$
$d_3$	$d_3$	$d_3$

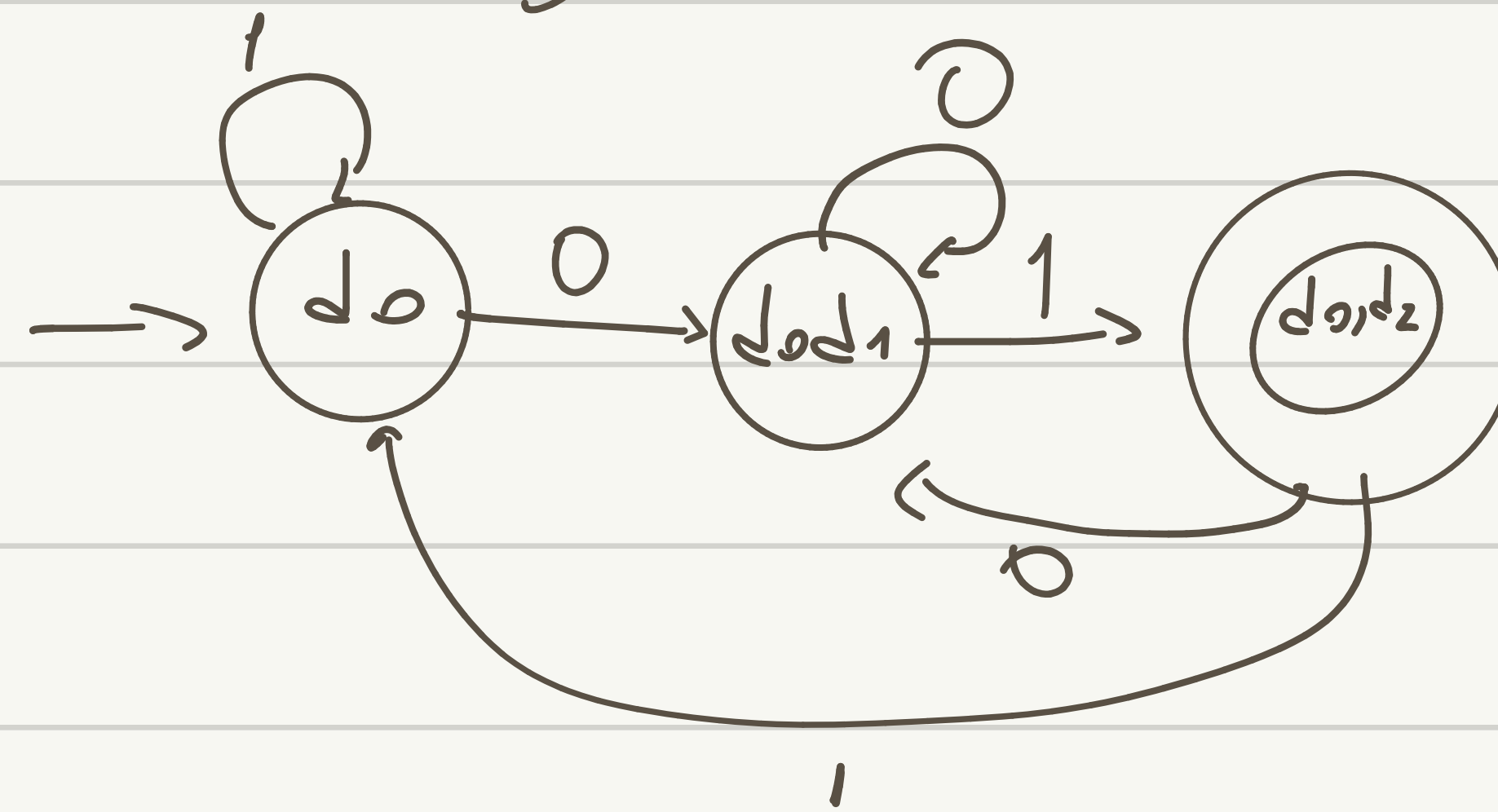
$\{d_0, 0\} \cup \{d_1, 0\}$



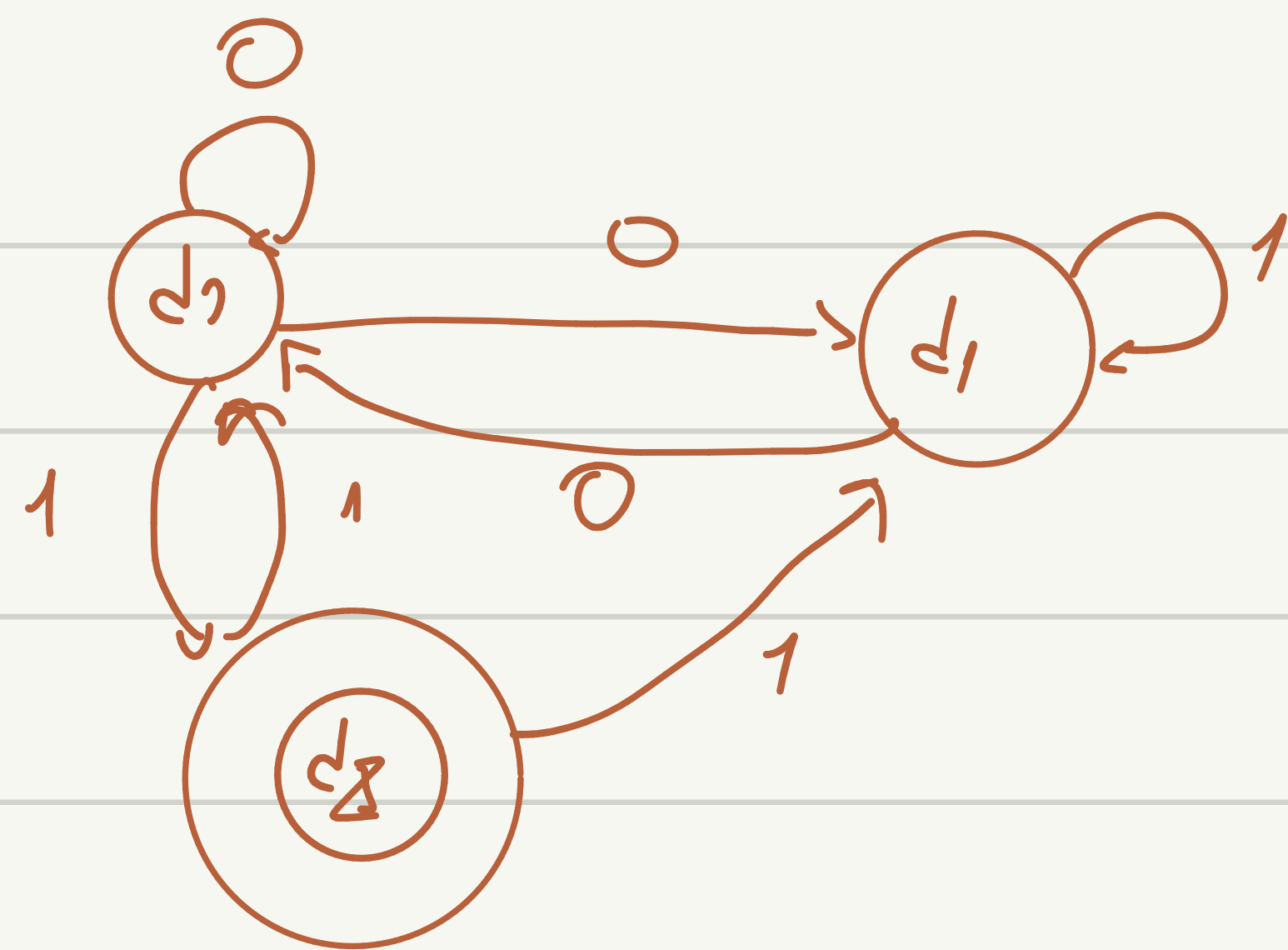
NFA ends with '01'



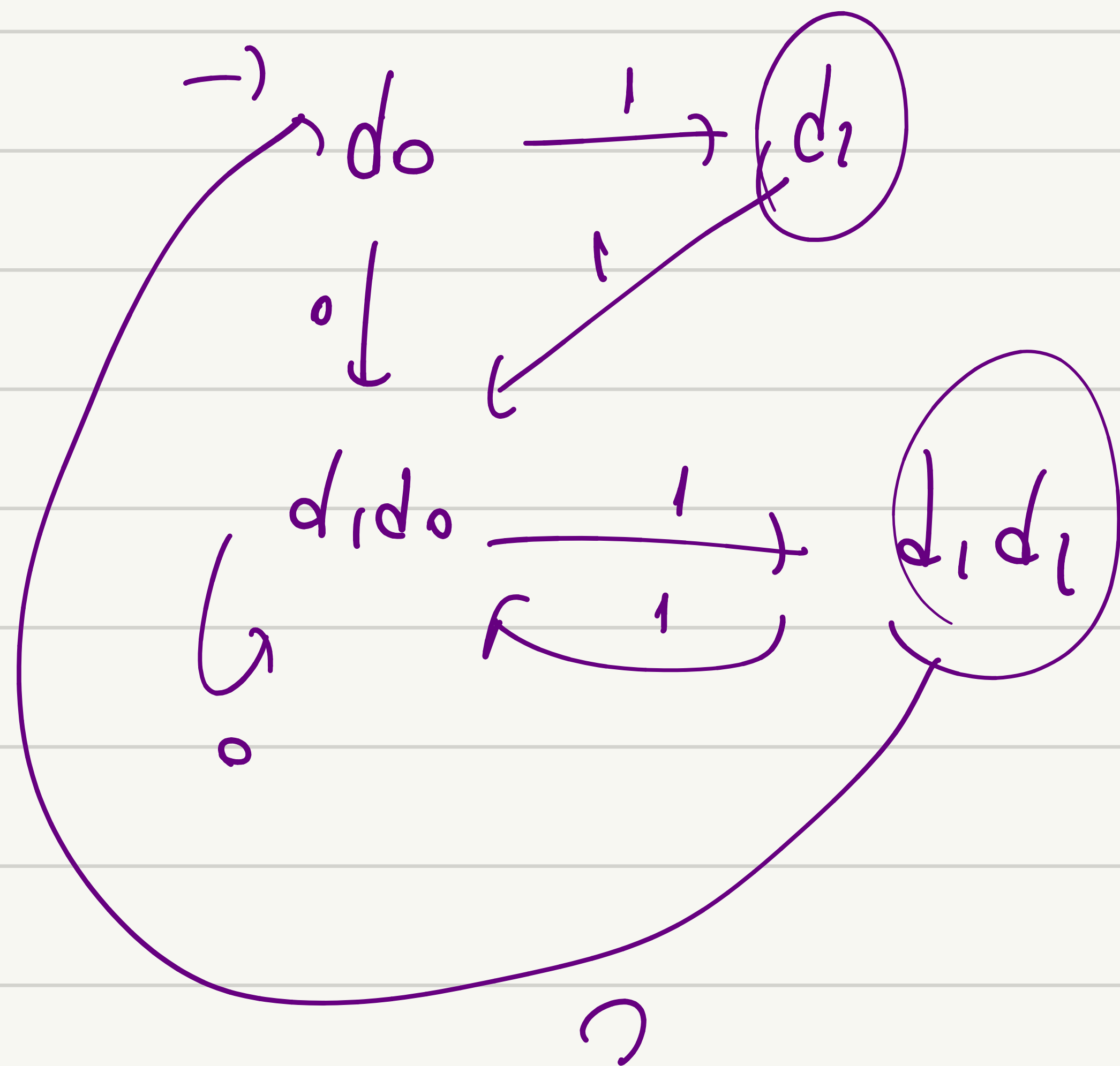
DF A



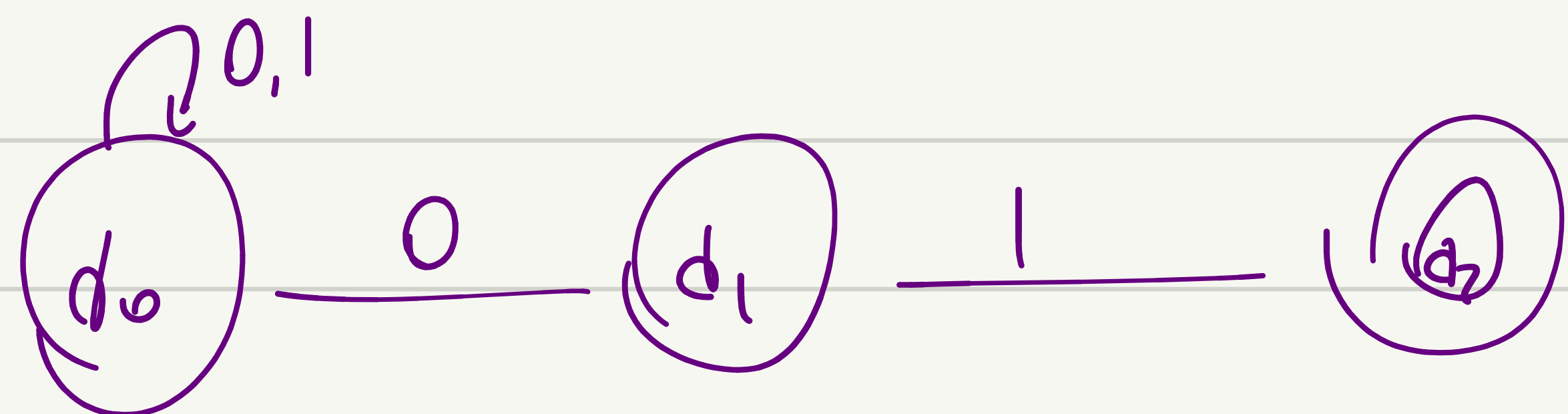
	0	1
d <sub>0</sub>	d <sub>0</sub> d <sub>1</sub>	d <sub>0</sub>
d <sub>0</sub> d <sub>1</sub>	d <sub>0</sub> d <sub>1</sub>	d <sub>0</sub> d <sub>1</sub> d <sub>2</sub>
d <sub>0</sub> d <sub>1</sub> d <sub>2</sub>	d <sub>0</sub>	d <sub>0</sub> d <sub>1</sub>



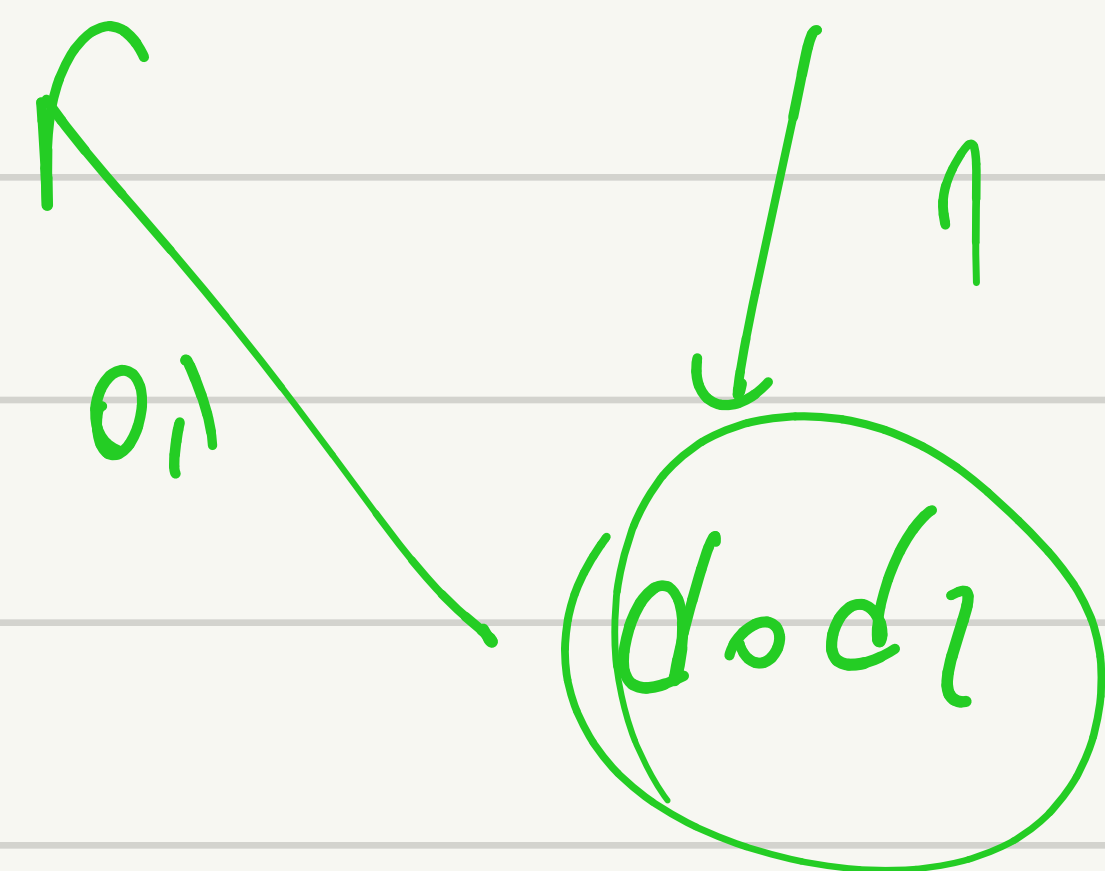
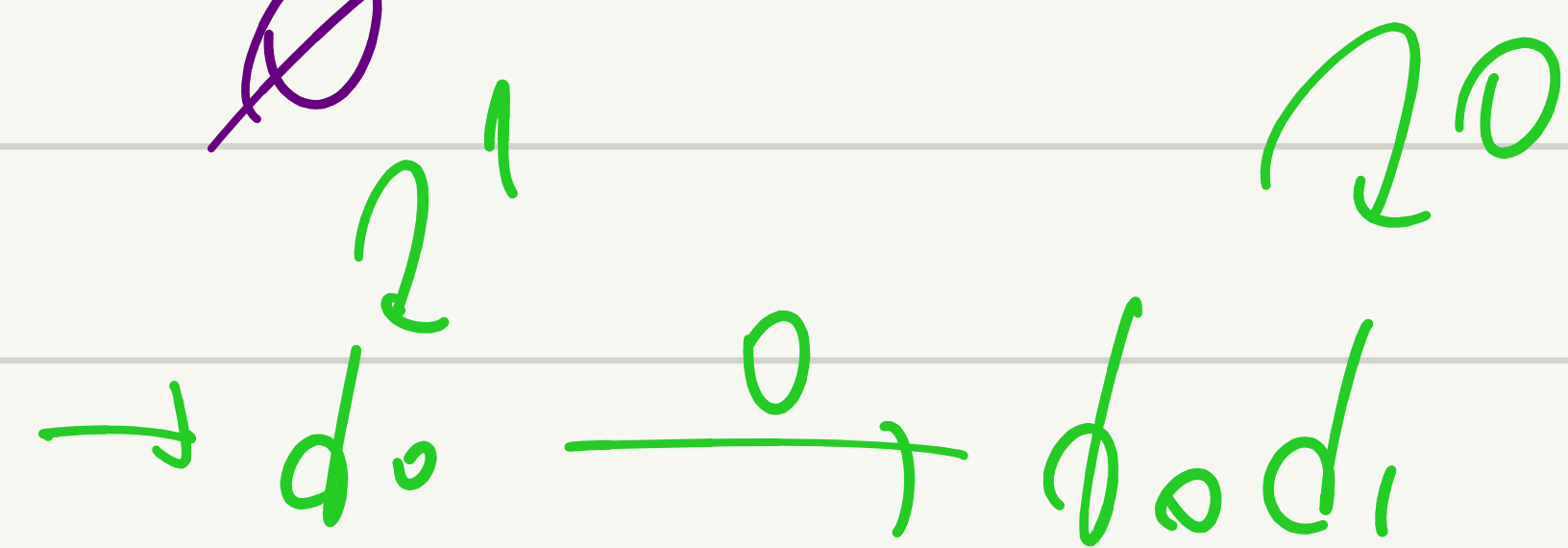
	0	1
d0	d0	d1
d1	$\emptyset$	d1
d0d1	d0d1	d1d2
d1d2	d0	d0d1
d3	d2	d3



NFA ends with '01'



	0	1
q <sub>0</sub>	q <sub>0</sub> q <sub>1</sub>	q <sub>0</sub>
q <sub>1</sub>	∅	q <sub>2</sub>
q <sub>2</sub>	∅	∅



	0	1
q <sub>0</sub>	q <sub>0</sub> q <sub>1</sub>	q <sub>0</sub>
q <sub>0</sub> q <sub>1</sub>	q <sub>0</sub> q <sub>1</sub>	q <sub>0</sub> q <sub>2</sub>
q <sub>0</sub> q <sub>2</sub>	q <sub>0</sub>	q <sub>0</sub>
q <sub>1</sub>		