

### Outline

- Process management -> Section-1
  - creation, differentiation, termination
- Inter-process communication (IPC) -> Section-1
  - Unix-based
  - Posix
- Threads -> Section-2
- Thread synchronization -> Section-2



### Section Outline

- Process definition
- Process handling in Unix
- Process creation
- Process differentiation
- Process termination
- Process synchronization



## What is a Process

#### Definition;

- A process is an instance of a running program.
- Not the same as "program" or "processor"
  - A program is a set of instructions and initialized data in a file, usually found on a disk.
- A process is an instance of that program while it is running, along with the state of all the CPU registers and the values of data in memory.



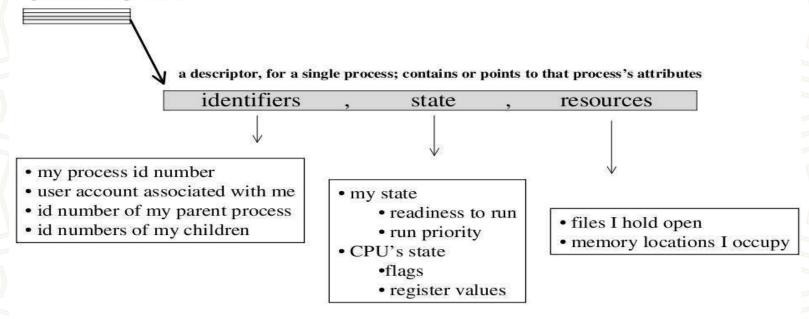
# **Process Handling**

- Constituents of a process
  - Its code
  - Data
    - its own
    - OS's data used by/for process
  - Various attributes OS needs to manage it



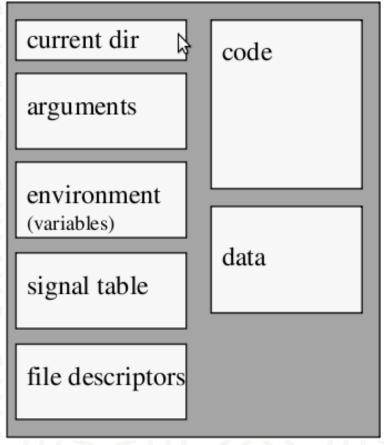
## Process Handling

- OS keeps track of all processes
  - Process table/array/list
  - Elements are process descriptors (aka control blocks)
  - Descriptors reference code & data





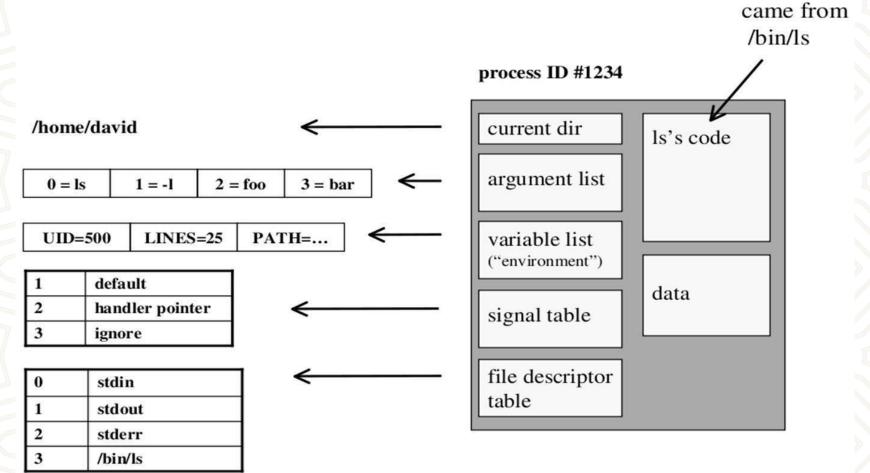
# Single process in Unix (consolidated view)



- Some important properties
  - code
  - data
  - current directory
  - argument list
  - environment list
  - responses to signals
  - list of open files



### Is -I foo bar





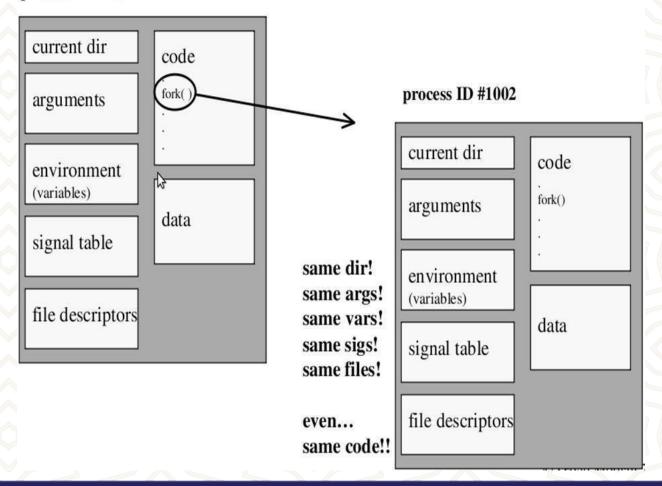
### **Process Creation**

- OS perspective
  - find empty slot in process table
  - write a process descriptor and
  - put it there
  - read in program code from disk
- User perspective
  - System calls
    - fork(), exec()



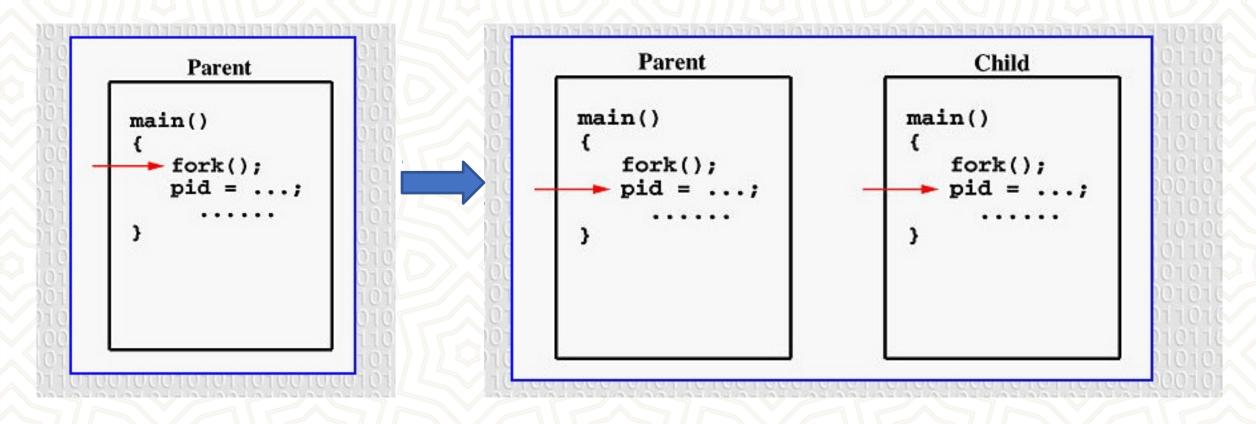
# fork() system call

process ID #1001





# fork() system call





# A Simple fork() Example

```
#include <stdio.h>
#include <unistd.h>
int main ( void ) {
    printf("Message before fork\n");
    fork();
    printf("Message after fork\n");
    return 0;
}
```

Ex\_1\_fork1.c

- a simple fork example
- Message after fork is printed twice!!

```
File Edit View Terminal Help

lucid@ubuntu:~/Downloads$ ./Fork1

Message before fork

Message after fork

lucid@ubuntu:~/Downloads$ Message after fork

lucid@ubuntu:~/Downloads$
```



### Self Identification

```
#include <stdio.h>
#include <unistd.h>
int main ( void ) {
        int forkResult;
        printf("process id : %i\n",getpid());
        forkResult = fork();
        printf("process id : %i - result : %d\n",
                getpid(), forkResult);
        return 0;
```

Ex\_2\_fork2.c

- for the parent process fork returns child's pid
- for the child process fork returns 0

```
File Edit View Terminal Help
lucid@ubuntu:~/Downloads$ ./Fork2
process id : 2682
process id : 2682 - result : 2683
lucid@ubuntu:~/Downloads$ process id : 2683 - result : 0
```



- identical? not what we had in mind!
- more useful if child does different stuff
- can we give it different behaviour?
  - · in the form of source code
  - in the form of an existing binary executable
    - exec() family of functions



```
Child
        Parent
                                 main()
                                             pid = 0
main()
          pid = 3456
                                  pid=fork();
 pid=fork();
                                    if (pid == 0)
   if (pid == 0)
                                       ChildProcess();
      ChildProcess();
   else
                                    else
                                       ParentProcess();
      ParentProcess();
                                 void ChildProcess()
void ChildProcess()
                                 void ParentProcess()
void ParentProcess()
                                    . . . . .
```



```
Child
        Parent
main()
           pid = 3456
                                  main()
                                                pid = 0
   pid=fork();
                                     pid=fork();
   if (pid == 0)
                                      if (pid == 0)
      ChildProcess();
                                         ChildProcess();
   else
                                     else
      ParentProcess();
                                         ParentProcess();
void ChildProcess()
                                  void ChildProcess()
                                      . . . . .
void ParentProcess()
                                  void ParentProcess()
   . . . . .
                                      . . . . .
```



```
Parent
                                            Child
 main()
             pid = 3456
                                   main()
                                                pid = 0
    pid=fork();
                                      pid=fork();
     if (pid == 0)
                                      if (pid == 0)
        ChildProcess();
                                         ChildProcess();
     else
                                      else
       ParentProcess();
                                         ParentProcess();
 void ChildProcess()
                                  void ChildProcess()
void ParentProcess()
                                   void ParentProcess()
     . . . . .
                                      . . . . .
```



# Process Differentiation by source code

Ex\_3\_fork3.c

File Edit View Terminal Help
lucid@ubuntu:~/Downloads\$ ./Fork3
(2767) Parent does something...
(2767) Parent do completely different stuff
lucid@ubuntu:~/Downloads\$ (2768) Child can do some stuff



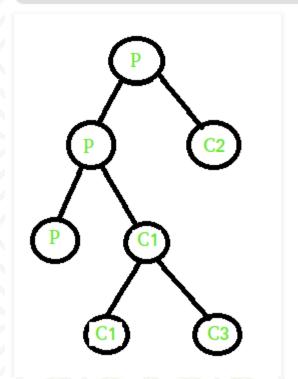


# Fork Practice Question

```
#include <stdio.h>
#include <unistd.h>
int main()
    if (fork()) {
        if (!fork()) {
            fork();
            printf("1 ");
        else {
            printf("2 ");
    else {
        printf("3 ");
    printf("4 ");
    return 0;
```

Output:

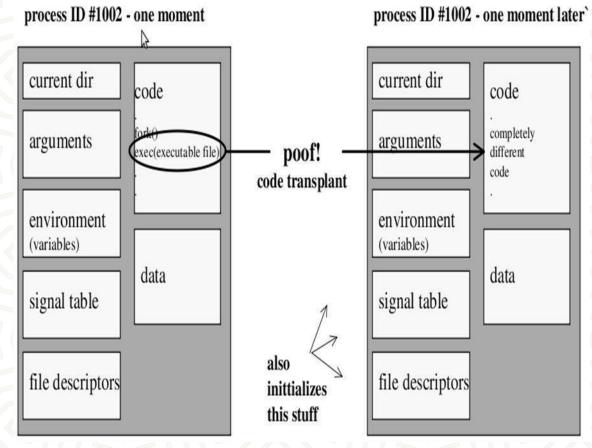
```
2 4 1 4 1 4 3 4
```



Ex\_4\_fork4.c



# Process Differentiation by exec() function





# Process Differentiation by exec() function

- exec() family of functions
  - int **execl** (const char \*pathname, const char \*arg0, ...);
  - int execv (const char \*pathname, char \*const argv[]);
  - int execle (const char \*pathname, const char \*arg0, ..., 0, char \*const envp[]);
  - int **execlp** (const char \*filename, const char \*arg0, ...);
  - int execvp (const char \*filename, char \*const argv[]);
  - int execve (const char \*pathname, char \*const argv[], char \*const envp[]);



# A Simple exec() Example

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
int main ( void ) {
       printf("Parent does stuff and then calls fork...\n");
       if(fork()) { // Parent
               printf("... parent do something completely different\n");
        } else {
                     // Child
                printf("Child runs an executable...\n");
                execl("/bin/ls", "/bin/ls", "-l", "/etc/apache2/conf.d/", NULL);
                             lucid@ubuntu:~/Downloads$ ./Exec
       exit(0);
                             Parent does stuff and then calls fork...
```

Ex 5 exec.c

```
... parent do something completely different
lucid@ubuntu:~/Downloads$ Child runs an executable...
/bin/ls: cannot access /etc/apache2/conf.d/: No such file or directory
```



#### **Process Termination**

- void exit (int status);
  - exits a process
  - normally return with status 0
- int atexit (void (\*function)(void));
  - · registers function to be executed on exit
- int wait (int \*child\_status)
  - suspends current process until one of its children terminates



# exit() vs return

#### return

 is an instruction of the language that returns from a function call.

#### exit

 is a system call (not a language statement) that terminates the current process.



# atexit() example

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
void parentCleaner ( void );
int main ( void ) {
       if(fork()) { // parent process
                atexit(parentCleaner);
               printf("this is parent %i\n",getpid());
                    // child process
       } else {
               printf("this is child %i\n",getpid());
       exit(0);
void parentCleaner ( void ) {
       printf("cleaning up parent...\n");
```

```
File Edit View Terminal Help
lucid@ubuntu:~/Downloads$ ./Exit1
this is parent 3262
cleaning up parent...
lucid@ubuntu:~/Downloads$ this is child 3263
```

 registers a function to clean up resource at process termination

Ex\_7\_atexit.c



#### **Zombie Process**

- When process terminates, still consumes system resources
  - Various tables maintained by OS
  - · Called a zombie; living corpse, half alive, half dead

#### Reaping

- Performed by parent on terminated child
- Parent is given exit status information
- Kernel discards process
- What if parent does not reap?
  - if any parent terminates without reaping a child, then child will be reaped by "init" process
  - so, only need explicit reaping in long-running processes



# Zombie example non-terminating parent

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
int main ( void ) {
       if(fork()) { // Parent
              printf("Running parent, pid : %i\n",getpid());
              while(1);
       } else { // Child
              printf("Terminating child, pid : %i\n", getpid());
              exit(0);
                                 lucid@ubuntu:~/Downloads$ ps -ef | grep Zombie
                                 lucid
                                           3380 2182 71 03:42 pts/0
                                                                        00:00:21 ./Zombie1
       exit (0);
                                                                        00:00:00 [Zombie1] <defunct>
                                 lucid
                                           3381 3380 0 03:42 pts/0
                                 lucid
                                          3402 3382 0 03:43 pts/1
                                                                        00:00:00 grep --color=auto Zombie
                                 lucid@ubuntu:~/Downloads$
```

Ex\_8\_zombie1.c



# Zombie example non-terminating child

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
int main ( void ) {
      if(fork()) { // Parent
             printf("Running parent, pid : %i\n",getpid());
             exit(0);
      } else { // Child
             printf("Terminating child, pid : %i\n", getpid());
             while(1);
                    lucid@ubuntu:~/Downloads$ ps -ef | grep Zombie
                                                              00:00:29 ./Zombie2
      exit (0);
                    lucid
                               3467
                                        1 77 03:45 pts/0
                               3473 3382 0 03:46 pts/1
                                                              00:00:00 grep --color=auto Zombie
                    lucid
                    lucid@ubuntu:~/Downloads$
```

Ex\_9\_zombie2.c



# Synchronizing with child

- int wait(int \*child\_status)
  - suspends current process until one of its children terminates
  - return value is the pid of the child process that terminated
  - If the child has already terminated, then wait returns its pid immediately
  - If child\_status != NULL, then the object it points to will be set to a status indicating why the child process terminated



# wait() Example

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#include <sys/types.h>
#include <sys/wait.h>
#define numOfChilds 5
int main ( void ) {
        int 1:
        int child status;
       pid t pid[numOfChilds];
       pid t wpid;
       for (i = 0; i < numOfChilds; i++) {
               if ((pid[i] = fork()) == 0) {
                        exit(100+i);
                                                // create & exit child
       for (i = 0; i < numOfChilds; i++) {
               wpid = wait(&child status);
                                             // wait for child
               if (WIFEXITED(child status)) { // check exit status
                       printf("Child %d terminated with exit status %d\n",
                                wpid, WEXITSTATUS(child status));
               } else {
                       printf("Child %d terminate abnormally\n", wpid);
       exit(0);
```

```
lucid@ubuntu:~/Downloads$ ./Wait1
Child 3630 terminated with exit status 100
Child 3631 terminated with exit status 101
Child 3633 terminated with exit status 103
Child 3634 terminated with exit status 104
Child 3632 terminated with exit status 102
lucid@ubuntu:~/Downloads$
```

Ex\_10\_wait1.c



## References

- man pages
- http://www.cs.princeton.edu/courses/archive/fall01/ cs217/slides/process.pdf
- http://www.cs.cmu.edu/afs/cs.cmu.edu/academic/class/ 15213-f08/www/lectures/lecture-11.pdf
- http://csapp.cs.cmu.edu
- http://homepage.smc.edu/morgan\_david/linux/a12processes.pdf
- https://www.geeksforgeeks.org/fork-system-call/amp/

### Section Outline

- What is IPC
- IPC standards
- Posix IPC Methods
  - Pipes
  - Fifos
  - Signals
  - Semaphores
  - Message queues
  - Shared memory



### What is IPC

- Inter-process communication (IPC) is a set of methods for the exchange of data among multiple threads in one or more processes.
  - Processes may be running on one or more computers connected by a network.
  - IPC methods are divided into methods for message passing, synchronization, shared memory, and remote procedure calls (RPC).

### IPC Methods

- Unix
- System V
- POSIX
- Others
  - Sockets
  - Dbus
  - So on...



## POSIX IPC

- Pipe
- FIFO
- Signals
- Semaphores
- Message Queues
- Shared Memory



# Persistence of IPC Objects







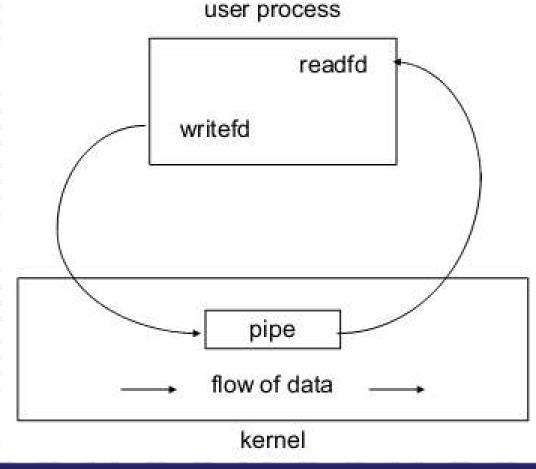
- process-persistent IPC:
  - exists until last process with
  - IPC object closes the object
- kernel-persistent IPC
  - exists until kernel reboots or
  - IPC object is explicitly deleted
- file-system-persistent IPC
  - exists until IPC object is
  - explicitly deleted



# Pipes

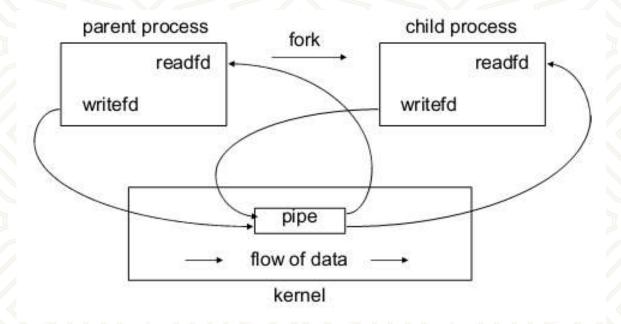
- A pipe provides a one-way flow of data
  - example: who | sort | lpr
- The difference between a file and a pipe:
  - pipe is a data structure in the kernel.
- A pipe is created by using the pipe system call
  - int pipe (int\* filedes);
  - Two file descriptors are returned
- filedes[0] is open for reading
- filedes[1] is open for writing
- Typical size is 512 bytes (Minimum limit defined by POSIX)

# Pipe (Single Process)





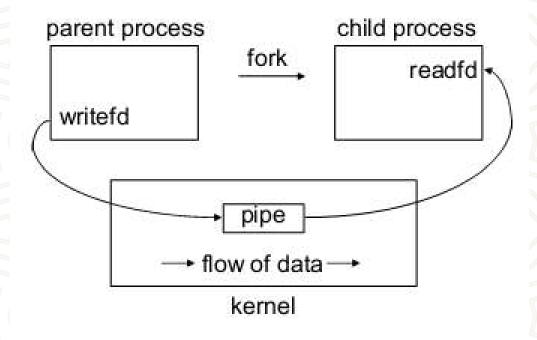
# Pipe (Two Process)



#### Just after fork



# Pipe (Two Process)



- Parent opens file, child reads file
  - parent closes
     read end of
     pipe
  - child closes
     write end of pipe



# A simple pipe example

```
int main (int argc, char *argv[])
        int pipel[2];
        pid t childpid;
        pipe(pipel);
        if((childpid=fork())==0) {// Child
                close(pipel[1]);
                server(pipel[0]);
                exit(0);
        close(pipel[0]);
        client(pipel[1]);
        waitpid(childpid, NULL,0); // wait for child to terminate
        exit(0);
```

Ex\_1\_pipe.c

```
void client (int writefd ) {
        size t len;
        char buff[MAX LINE];
        fgets(buff, MAX LINE, stdin);
        len = strlen(buff);
        if(buff[len-1]=='\n')
                len--:
        write(writefd, buff, len);
 lucid@ubuntu:~/Downloads$ ./Pipe
 hello.txt
 This
 is
 the
 content
 of
 Hello.txt
 file
 lucid@ubuntu:~/Downloads$
```

#### **FIFOs**

- Pipes have no names, they can only be used between processes that have a parent process in common.
- FIFO stands for first-in, first-out
- Similar to a pipe, it is a one-way (half duplex) flow of data
- A FIFO has a pathname associated with it, allowing unrelated processes to access a single FIFO
- FIFOs are also called named pipes



### **FIFOs**

```
#include <sys/types.h>
#include <sys/stat.h>
```

int mkfifo (const char \*pathname, mode\_t mode)

returns 0 if OK, -1 on error



## FIFO example

```
int main (int argc, char *argv[]) {
    int readfd, writefd;

    if((mkfifo(FIFO1, FIFO_MODE)<0)&&(errno != EEXIST)) {
        printf("can not open %s\n",FIFO1);
        exit(-1);
    }

    if((mkfifo(FIFO2, FIFO_MODE)<0)&&(errno!= EEXIST)) {
        printf("can not open %s\n",FIFO2);
        exit(-1);
    }

    readfd = open(FIFO1, O_RDONLY);
    writefd = open(FIFO2, O_WRONLY);
    server(readfd, writefd);
    exit(0);
}</pre>
```

```
lucid@ubuntu:~/Downloads$ ./Server
received file name (hello.txt)
sending contents of the file back to client...
lucid@ubuntu:~/Downloads$
```

enter a file name

hello.txt

This

the

file

content

Hello.txt

lucid@ubuntu:~/Downloads\$ ./Client

sending file name to server

lucid@ubuntu:~/Downloads\$

- Ex\_2\_client.c
- Ex\_2 server.c

Example: https://www.geeksforgeeks.org/named-pipe-fifo-example-c-program/

Yıldız Teknik Üniversitesi - Bilgisayar Mühendisliği Bölümü

# Signals

- Definition
- Signal Types
- Generating Signals
- Responding to a Signal
- POSIX Signal Functions
- Signals & System Calls



### Definition

- A signal is an asynchronous event which is delivered to a process
- Asynchronous means that the event can occur at any time
  - may be unrelated to the execution of the process
  - e.g. user types ctrl-C, or the modem hangs



# Common use of Signals

- Ignore a Signal
- Clean up and Terminate
- Dynamic Reconfiguration
- Report Status
- Turn Debugging on/off
- Restore Previous Handler
- Signals & System Calls



# Generating a Signal

- Use the Unix command
  - \$> kill -KILL 4481
  - Sends a SIGKILL signal to pid 4481
- ps -l
  - To make sure process died

```
#include <sys/types.h>
#include <signal.h>
```

int kill (pid\_t pid, int sig);

Sends a signal to a process or a group of processes

Return 0 if ok, -1 on error r Mühendisliği Bölümü

# PID Options

- If pid is positive, then signal sig is sent to the process with the ID specified by pid.
- If pid equals 0, then sig is sent to every process in the process group of the calling process.
- If pid equals -1, then sig is sent to every process for which the calling process has permission to send signals, except for process 1 (init), but see below.
- If pid is less than -1, then sig is sent to every process in the process group whose ID is -pid.
- If sig is 0, then no signal is sent, but error checking is still performed; this can be used to check for the existence of a process ID or process group ID

# Responding to a Signal

- A process can;
  - Ignore/discard the signal (not possible for SIGKILL & SIGSTOP)
  - Execute a signal handler function, and then possibly resume execution or terminate
  - Carry out default action for that signal
- The choice is called the process' signal disposition



# POSIX Signal System

- The POSIX signal system, uses signal sets, to deal with pending signals that might otherwise be missed while a signal is being processed
- The signal set stores collection of signal types
- Sets are used by signal functions to define which signal types are to be processed
- POSIX contains several functions for creating, changing and examining signal sets



#### **POSIX Functions**

```
#include <signal.h>
int sigemptyset ( sigset t *set );
int sigfillset ( sigset t *set );
int sigismember (const sigset t *set,int signo);
int sigaddset ( sigset t *set, int signo );
int sigdelset ( sigset t *set, int signo );
int sigprocmask (int how, const sigset t *set,
sigset t *oldset);
```

# sigprocmask()

- A process uses a signal set to create a mask which defines the signals it is blocking from delivery
  - Good for critical sections where you want to block certain signals.
- How meanings
  - SIG\_BLOCK set signals are added to mask
  - SIG\_UNBLOCK set signals are removed from mask
  - SIG\_SETMASK set becomes new mask



## A Critical Code Region

```
sigset t newmask, oldmask;
sigemptyset( &newmask );
sigaddset( &newmask, SIGINT );
/* block SIGINT; save old mask */
sigprocmask( SIG BLOCK, &newmask, &oldmask );
/* critical region of code */
/* reset mask which unblocks SIGINT */
sigprocmask( SIG SETMASK, &oldmask, NULL);
```

# sigaction()

- Supercedes (more powerful than) signal()
  - can be used to code a non-resetting signal()

```
#include <signal.h>
  int sigaction (int signo,
  const struct sigaction *act,
  struct sigaction *oldact )
```



# sigaction Structure

```
struct sigaction {
  void (*sa_handler)( int ); //action to be taken or SIG_IGN, SIG_DFL
  sigset_t sa_mask; //additional signal to be blocked
  int sa_flags; // modifies action of the signal
  void (*sa_sigaction)( int, siginfo_t *, void * );
}
```

#### sa\_flag

SIG\_DFL reset handler to default upon return

SA\_SIGINFO denotes extra information is passed to handler (.i.e. specifies the use of the "second" handler in the structure.

# sigaction() Behavior

- A signo signal causes the sa\_handlersignal handler to be called.
- While sa\_handler executes, the signals in sa\_mask are blocked. Any more signo signals are also blocked.
- sa\_handler remains installed until it is changed by another sigaction()call. No reset problem



# A Simple Example !!!

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#include <sys/types.h>
#include <signal.h>
void ouch( int );
int main (void) {
        struct sigaction act;
        act.sa handler = ouch;
        sigemptyset(&act.sa mask);
        act.sa flags = 0;
        sigaction(SIGINT, &act, 0);
        while(1) {
                printf("Hello world\n");
                sleep(1);
        exit (0);
void ouch( int sigNo ) {
        printf("received SIGINT...\n");
```

```
lucid@ubuntu:~/Downloads$ ./Signal
Hello world
Hello world
Hello world
Hello world
Hello world
^Creceived SIGINT...
Hello world
Hello world
Hello world
^Creceived SIGINT...
Hello world
Hello world
Hello world
^Z
[1]+ Stopped
                               ./Signal
lucid@ubuntu:~/Downloads$
```

Ex\_3\_signal1.c



# Ignoring Signals

- Other than SIGKILL and SIGSTOP, signals can be ignored.
- Instead of in the previous program:
   act.sa handler = catchint /\* or whatever \*/

We use:

act.sa handler = SIG IGN;

The ^C key will be ignored



## Restoring Previous Action

• The third parameter to sigaction, oact, can be used:

```
/* save old action */
sigaction( SIGTERM, NULL, &oact);
/* set new action */
act.sa_handler = SIG_IGN;
sigaction( SIGTERM, &act, NULL );
/* restore old action */
sigaction( SIGTERM, &oact, NULL );
```



Changing and Reverting to the

```
/*you_shot_me.c*/
void handler_3(int signum){
  printf("Don't you dare shoot me one more time!\n");
  //Revert to default handler, will exit on next SIGINT
  signal(SIGINT, SIG DFL);
void handler_2(int signum){
  printf("Hey, you shot me again!\n");
  //switch handler to handler 3
  signal(SIGINT, handler_3);
void handler_1(int signum){
  printf("You shot me!\n");
  //switch handler to handler 2
  signal(SIGINT, handler 2);
int main(){
  //Handle SIGINT with handler 1
  signal(SIGINT, handler 1);
  //loop forever!
  while(1);
```

```
#> ./you_shout_me
^CYou shot me!
^CHey, you shot me again!
^CDon't you dare shoot me one more time!
^C
```

https://www.usna.edu/Users/cs/aviv/classes/ic221/s17/units/06/unit.html