

Benchmarking/Profiling (In)sanity

It all started when I stumbled upon `AppendableWriter` in `guava` which is nothing more than an “adapter” class that adapts an `Appendable` to a `Writer`. When looking over the code, the following caught my eye:

```
// It turns out that creating a new String is usually as fast, or faster
// than wrapping cbuf in a light-weight CharSequence.
target.append(new String(cbuf, off, len));
```

This statement smells... it looks like a decision made to quickly based on either a bad benchmark or a bad interpretation of the benchmark results. (which is unfortunately the norm)
So I created an implementation with a light weight wrapper, with a comment claiming the opposite (with slightly more detail though):

```
/*
I wrote a JMH benchmark, and the results are as expected the opposite:

Benchmark                                Mode Cnt   Score   Error Units
AppendableWriterBenchmark.guavaAppendable  thrpt  10  10731.940 ± 427.258 ops/s
AppendableWriterBenchmark.spf4jAppendable   thrpt  10  17613.093 ± 344.769 ops/s

Using a light weight wrapper is more than 50% faster!
See AppendableWriterBenchmark for more detail...
*/
appendable.append(CharBuffer.wrap(cbuf), off, off + len);
```

I have written a benchmark to validate my results based on the JMH benchmarking framework... (complete [code at](#)). I run these benchmarks as part of the release process of `spf4j`. The world was good for a while... after several JVM updates, OS updates.... I noticed something weird about my benchmark:

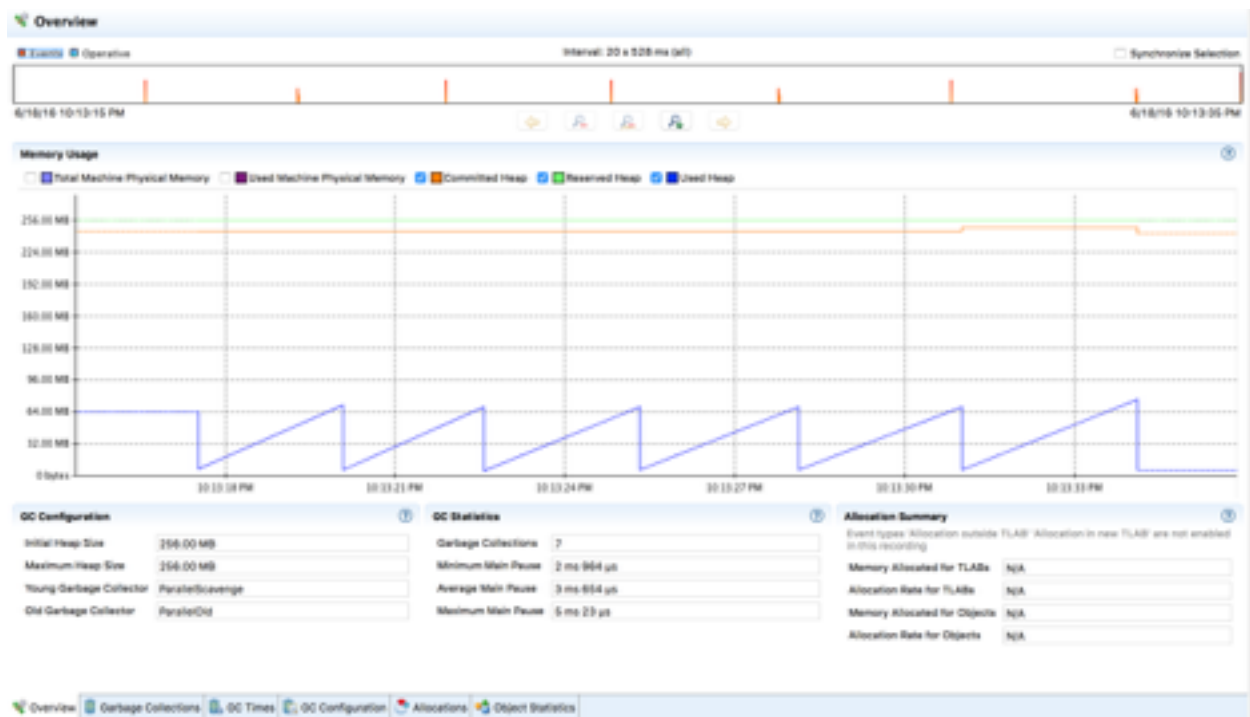
```
# JMH 1.12 (released 75 days ago)
# VM version: JDK 1.8.0_92, VM 25.92-b14
# VM invoker: /Library/Java/JavaVirtualMachines/jdk1.8.0_92.jdk/Contents/Home/jre/bin/java
# VM options: -Xmx256m -Xms256m -XX:+UnlockCommercialFeatures -Djmh.stack.profiles=/Users/zoly/NetBeansProjects/spf4j/spf4j-benchmarks/target -Dspf4j.executors.defaultExecutor.daemon=true -Djmh.executor=FJP -Djmh.fr.options=defaultrecording=true,settings=/Users/zoly/NetBeansProjects/spf4j/spf4j-benchmarks/src/main/jfc/profile.jfc
# Warmup: 10 iterations, 1 s each
# Measurement: 10 iterations, 1 s each
# Timeout: 10 min per iteration
# Threads: 8 threads, will synchronize iterations
```

```

# Benchmark mode: Throughput, ops/time
# Benchmark: com.google.common.io.AppendableWriterBenchmark.spf4jAppendable
# Run progress: 50.00% complete, ETA 00:00:21
# Fork: 1 of 1
# Preparing profilers: JmhFlightRecorderProfiler
# Profilers consume stderr from target VM, use -v EXTRA to copy to console
# Warmup Iteration 1: 70485.272 ops/s
# Warmup Iteration 2: 77614.082 ops/s
# Warmup Iteration 3: 4704.416 ops/s
# Warmup Iteration 4: 4730.839 ops/s
# Warmup Iteration 5: 4722.175 ops/s
# Warmup Iteration 6: 4718.885 ops/s
# Warmup Iteration 7: 4575.629 ops/s
# Warmup Iteration 8: 4710.284 ops/s
# Warmup Iteration 9: 4805.996 ops/s
# Warmup Iteration 10: 4747.728 ops/s
Iteration 1: 4903.879 ops/s
Iteration 2: 4912.047 ops/s
Iteration 3: 4972.782 ops/s
Iteration 4: 5015.564 ops/s
Iteration 5: 5021.001 ops/s
Iteration 6: 4958.149 ops/s
Iteration 7: 4883.546 ops/s
Iteration 8: 4733.823 ops/s
Iteration 9: 4800.601 ops/s
Iteration 10: 4896.969 ops/s

```

More than 10x degradation during warmup ?!!! What the heck? This smells like a memory issue... but what the **** is going on? There are no leaks as the memory chart captured during the benchmark looks benign:



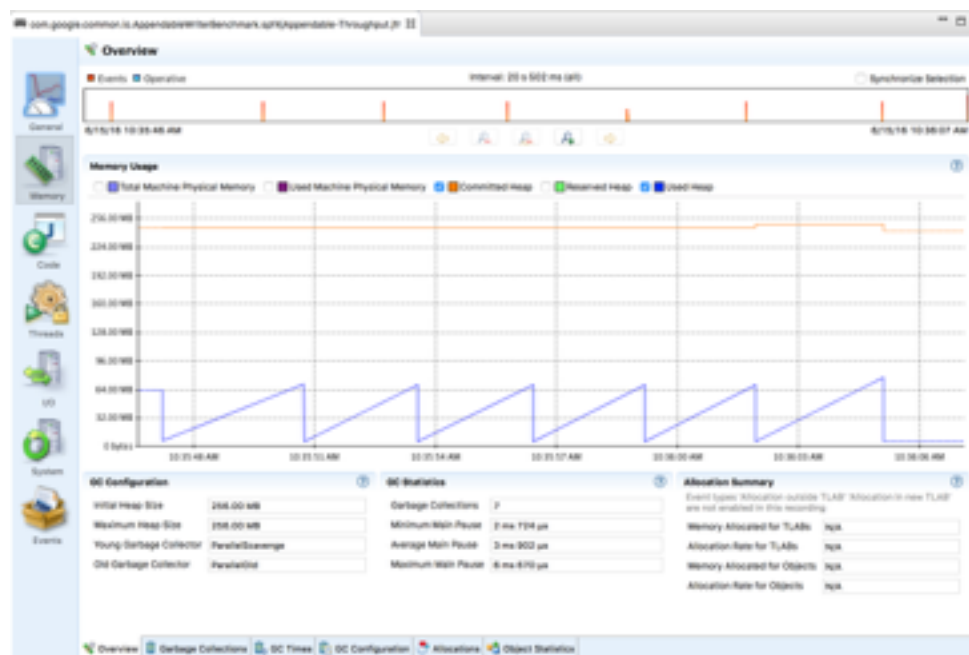
I can see that GC is working smoothly, why would some piece of code just slow down? (warmup is supposed to make things faster after all...), is the JIT doing a “deoptimization”?, is it memory

allocations? Lets use the “drunk man anti-method” ([see](#) for detail) and do an experiment with G1GC:

```
# JMH 1.12 (released 75 days ago)
# VM version: JDK 1.8.0_92, VM 25.92-b14
# VM invoker: /Library/Java/JavaVirtualMachines/jdk1.8.0_92.jdk/Contents/Home/jre/bin/java
# VM options: -XX:+UseG1GC -Xmx256m -Xms256m -XX:+UnlockCommercialFeatures -Djmh.stack.profiles=/Users/zoly/NetBeansProjects/spf4j/spf4j-benchmarks/target -Dspf4j.executors.defaultExecutor.daemon=true -Djmh.executor=FJP -Djmh.fr.options=defaultrecording=true,settings=/Users/zoly/NetBeansProjects/spf4j/spf4j-benchmarks/src/main/jfc/profile.jfc
# Warmup: 10 iterations, 1 s each
# Measurement: 10 iterations, 1 s each
# Timeout: 10 min per iteration
# Threads: 8 threads, will synchronize iterations
# Benchmark mode: Throughput, ops/time
# Benchmark: com.google.common.io.AppendableWriterBenchmark.spf4jAppendable

# Run progress: 50.00% complete, ETA 00:00:21
# Fork: 1 of 1
# Preparing profilers: JmhFlightRecorderProfiler
# Profilers consume stderr from target VM, use -v EXTRA to copy to console
# Warmup Iteration 1: 62995.070 ops/s
# Warmup Iteration 2: 70660.918 ops/s
# Warmup Iteration 3: 73127.240 ops/s
# Warmup Iteration 4: 69744.209 ops/s
# Warmup Iteration 5: 71235.279 ops/s
# Warmup Iteration 6: 70993.784 ops/s
# Warmup Iteration 7: 73375.331 ops/s
# Warmup Iteration 8: 74783.664 ops/s
# Warmup Iteration 9: 74197.163 ops/s
# Warmup Iteration 10: 74138.756 ops/s
Iteration 1: 73277.416 ops/s
Iteration 2: 72664.450 ops/s
Iteration 3: 72385.558 ops/s
Iteration 4: 71675.424 ops/s
Iteration 5: 74182.841 ops/s
Iteration 6: 75584.596 ops/s
Iteration 7: 74036.789 ops/s
Iteration 8: 72369.089 ops/s
Iteration 9: 72409.741 ops/s
Iteration 10: 72425.595 ops/s
```

Surprise surprise! The performance degradation magically disappears... heap chart looks good as well:



So for whatever reason this degradation does not happen with the G1 Garbage collector, but why? I can't image G1 GC being so magic...

I have improved the SP4j profiler (www.spf4j.org) to get the ability to collect iteration specific Stack samples with JMH (Spf4jJmhProfiler) and here is a comparison between Iteration 1 (fast) and Iteration 6 (slow) with the default GC (Parallel):



Here are 2 iteration views (one from warmup, another one from measurement) with G1GC:



Hmm in the G1 world, like in the observed fast iteration with the Parallel GC, we don't see the `HeapCharBuffer.get` invocation as much, which means this invocation is probably inlined.... Which makes me think that a de-optimization happens and this de-optimization is related somehow to Garbage collection?...

Lets dig in further, and turn on compilation output (-XX:+PrintCompilation):

```
5697 654 3 java.nio.Buffer::position (43 bytes) made zombie
5697 662 3 java.nio.Buffer::limit (62 bytes) made zombie
5697 969 3 org.apache.avro.generic.GenericData::getField (11 bytes)
5697 741 3 java.nio.HeapCharBuffer::get (15 bytes) made zombie
5697 739 3 java.nio.CharBuffer::charAt (16 bytes) made zombie
5697 745 3 java.nio.Buffer::<init> (121 bytes) made zombie
5697 747 3 java.nio.CharBuffer::<init> (22 bytes) made zombie
5697 754 3 java.lang.StringBuilder::append (8 bytes) made zombie
5697 750 3 71901.755 ops/s — LAST time it was fast!
java.nio.CharBuffer::length (5 bytes) made zombie
5697 753 3 org.spf4j.io.AppendableWriter::write (15 bytes) made zombie
5697 757 3 java.lang.AbstractStringBuilder::append (54 bytes) made zombie
5697 755 3 java.lang.StringBuilder::append (6 bytes) made zombie
5697 749 3 java.lang.AbstractStringBuilder::append (144 bytes) made zombie
5699 971 3 java.lang.String::toString (2 bytes)
5700 972 3 java.io.ObjectOutputStream$BlockDataOutputStream::setBlockDataMode (35 bytes)
# Warmup Iteration 3: 5728 973 3 java.util.Arrays::fill (21 bytes)
6712 975 3 java.util.ArrayList::<init> (12 bytes)
8121 984 n 0 java.lang.Class::isInstance (native)
8122 985 3 java.io.ObjectOutputStream::writeHandle (21 bytes)
5461.899 ops/s]
```

The key methods of the Benchmark seem to get transformed into zombies :-) (which is never good, everybody knows that zombies will come at you in a clumsy way and will do bad things to you). We are getting closer now... I seems like a de-optimization happens... let's dig in and try to understand why (good explanation worth reading at <https://gist.github.com/chrisvest/2932907>).

Since the difference in behavior makes this smelling like a memory related issue, lets look at the usage of the code cache (-XX:+PrintCodeCache):

```
CodeCache: size=245760Kb used=3366Kb max_used=3366Kb free=242393Kb
bounds [0x0000000010e997000, 0x0000000010ed07000, 0x0000000011d997000]
total_blobs=1280 nmethods=897 adapters=297
compilation: enabled
```

There seems to be no problem there... memory is not the issue here...

Lets look next into inlining(-XX:+PrintInlining):

```
@ 47 org.spf4j.io.AppendableWriter::close (39 bytes) inline (hot)
@ 8 org.spf4j.io.AppendableWriter::flush (24 bytes) inline (hot)
@ 1 org.spf4j.io.AppendableWriter::checkNotClosed (35 bytes) inline (hot)
@ 23 org.openjdk.jmh.infra.Blackhole::consume (42 bytes) disallowed by CompilerOracle
@ 20 com.google.common.io.AppendableWriterBenchmark::spf4jAppendable (52 bytes) force inline by CompilerOracle
@ 7 java.lang.StringBuilder::setLength (6 bytes) inline (hot)
@ 2 java.lang.AbstractStringBuilder::setLength (45 bytes) inline (hot)
```

```

@ 15 java.lang.AbstractStringBuilder::ensureCapacityInternal (16 bytes) inline (hot)
@ 15 org.spf4j.io.AppendableWriter::<init> (23 bytes) inline (hot)
@ 1 java.io.Writer::<init> (10 bytes) inline (hot)
@ 1 java.lang.Object::<init> (1 bytes) inline (hot)
@ 36 org.spf4j.io.AppendableWriter::write (15 bytes) inline (hot)
@ 5 java.nio.CharBuffer::wrap (8 bytes) inline (hot)
! @ 4 java.nio.CharBuffer::wrap (20 bytes) inline (hot)
@ 7 java.nio.HeapCharBuffer::<init> (14 bytes) inline (hot)
@ 10 java.nio.CharBuffer::<init> (22 bytes) inline (hot)
@ 6 java.nio.Buffer::<init> (121 bytes) inline (hot)
@ 1 java.lang.Object::<init> (1 bytes) inline (hot)
@ 55 java.nio.Buffer::limit (62 bytes) inline (hot)
@ 61 java.nio.Buffer::position (43 bytes) inline (hot)
@ 8 java.lang.StringBuilder::append (6 bytes) inline (hot)
\> TypeProfile (307072/307072 counts) = java/lang/StringBuilder
@ 2 java.lang.StringBuilder::append (8 bytes) inline (hot)
@ 2 java.lang.AbstractStringBuilder::append (54 bytes) inline (hot)
@ 45 java.nio.CharBuffer::length (5 bytes) inline (hot)
@ 1 java.nio.Buffer::remaining (10 bytes) inline (hot)
@ 50 java.lang.StringBuilder::append (8 bytes) inline (hot)
@ 4 java.lang.StringBuilder::append (10 bytes) inline (hot)
@ 4 java.lang.AbstractStringBuilder::append (144 bytes) inline (hot)
@ 18 java.nio.CharBuffer::length (5 bytes) inline (hot)
@ 1 java.nio.Buffer::remaining (10 bytes) inline (hot)
@ 89 java.lang.AbstractStringBuilder::ensureCapacityInternal (16 bytes) inline (hot)
@ 12 java.lang.AbstractStringBuilder::expandCapacity (50 bytes) inline (hot)
@ 43 java.util.Arrays::copyOf (19 bytes) inlining too deep
@ 116 java.nio.CharBuffer::charAt (16 bytes) inline (hot)
@ 2 java.nio.Buffer::position (5 bytes) accessor
@ 8 java.nio.Buffer::checkIndex (24 bytes) inline (hot)
@ 12 java.nio.HeapCharBuffer::get (15 bytes) inline (hot)
@ 7 java.nio.Buffer::checkIndex (22 bytes) inlining too deep
@ 10 java.nio.HeapCharBuffer::ix (7 bytes) inlining too deep

```

Now that is something interesting! Inlining too deep?! and exactly for the method I am seeing in my profile when things are being slow!

Let's increase the inlining level a bit and see what happens (default is 9) (-XX:MaxInlineLevel=12) :

```

# Run progress: 0.00% complete, ETA 00:00:20
# Fork: 1 of 1
# Warmup Iteration 1: 83142.216 ops/s
# Warmup Iteration 2: 103245.276 ops/s
# Warmup Iteration 3: 96166.461 ops/s
# Warmup Iteration 4: 96081.599 ops/s
# Warmup Iteration 5: 95880.021 ops/s
# Warmup Iteration 6: 95450.397 ops/s
# Warmup Iteration 7: 94175.079 ops/s
# Warmup Iteration 8: 80373.298 ops/s
# Warmup Iteration 9: 76777.619 ops/s
# Warmup Iteration 10: 89284.943 ops/s
Iteration 1: 83158.890 ops/s
Iteration 2: 81364.498 ops/s
Iteration 3: 86231.231 ops/s
Iteration 4: 84652.819 ops/s
Iteration 5: 84800.907 ops/s
Iteration 6: 82218.109 ops/s
Iteration 7: 86128.886 ops/s
Iteration 8: 84813.081 ops/s
Iteration 9: 79925.358 ops/s
Iteration 10: 85968.824 ops/s

```

Benchmark	Mode	Cnt	Score	Error	Units
AppendableWriterBenchmark.spf4jAppendable	thrp	10	83926.260	± 3285.072	ops/s

Hurray! No more degradation with the Parallel GC!!!! There is still something interesting happening in warmup iteration 2 though... (I have a feeling more can be squeezed out here...and it has to do with zombies :-))

Let's redo the benchmarks with our newly learned tweaks...

With G1GC: # VM options: -XX:MaxInlineLevel=12 -XX:+UseG1GC -Xmx256m -Xms256m

Benchmark	Mode	Cnt	Score	Error	Units
AppendableWriterBenchmark.guavaAppendable	thrpt	10	44132.545	± 615.835	ops/s
AppendableWriterBenchmark.spf4jAppendable	thrpt	10	76099.587	± 1877.786	ops/s

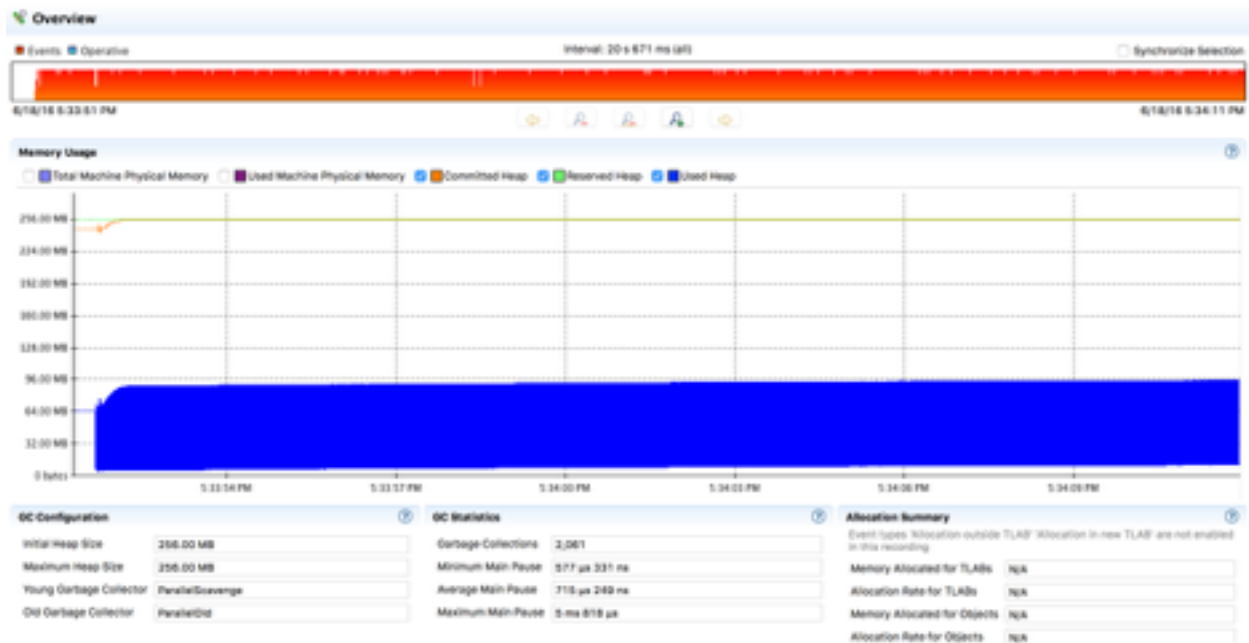
With Parallel GC(default in my case): # VM options:-XX:MaxInlineLevel=12 -Xmx256m -Xms256m

Benchmark	Mode	Cnt	Score	Error	Units
AppendableWriterBenchmark.guavaAppendable	thrpt	10	45104.009	± 395.868	ops/s
AppendableWriterBenchmark.spf4jAppendable	thrpt	10	81934.901	± 1121.964	ops/s

We observe significantly better performance with the spf4j implementation with both GC implementations. And this time G1 GC loses its magic...

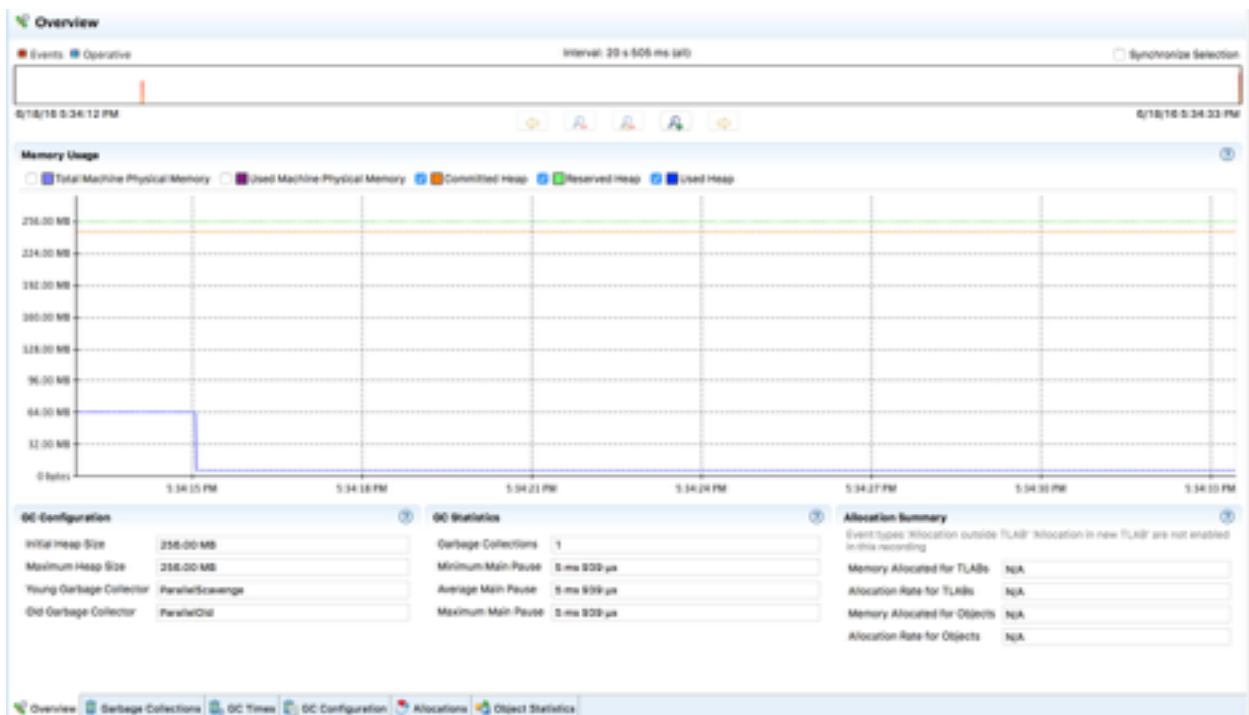
We could have made a naive conclusion that G1GC is superior to the Parallel GC based on one of my first observations (drunk man anti-method sometimes leads to drunk man conclusions)... Or the conclusion the guava developers made...

A few more data points to visualize the resource usage difference...
The guava implementation Memory/GC overview:



Where we can see lots of allocations and garbage collection being done really fast, making sure the CPU is not bored..

And the spf4j implementation Memory/GC overview:



A lot less garbage created and collected which is where the extra performance (2x) is coming from. The quietness we see is probably also related to some extra escape analysis which eliminates the char buffer wrapper allocation involved here. It is always good to have the GC bored... Think of all the other garbage your app can now create :-)

Conclusion:

- 1) Benchmarking is hard. Really hard. Beware of benchmarks and benchmark results, most benchmarks and conclusions I am seeing on the web are BAD, be skeptical. (including this article :-))
- 2) Disregard any benchmark not made with a good framework (like JMH, [see](#) for more detail)
- 3) Single threaded benchmarks are not valuable most of the time, resource hogs might perform well single threaded, but when multithreaded they are less likely to do so.
- 4) Disregard benchmark results/conclusions that lack detail.
- 5) Understanding benchmarking measurements is hard. Really hard. Do NOT jump to conclusions, try to understand what is going on.
- 6) Drunk man anti-method can lead to drunk man conclusions, but it can still provide interesting knowledge.
- 7) Beware of tools, measuring a system changes a system, they will impact your measurements it is good to have a good understanding of how they work, and what the potential impact might be. Validate measurements made with one tool with another tool. (One of the reason I am using 2 profilers)

The tools used in this analysis are:

- 1) Java Flight Recorder + Java Mission Control to record and visualized profiles of the benchmarks. (<http://www.oracle.com/technetwork/java/javaseproducts/mission-control/java-mission-control-1998576.html>)
- 2) Spf4j stack sampling profiler + UI to record and visualize profiles. (<http://www.spf4j.org>)
- 3) JMH benchmarking framework. (<http://openjdk.java.net/projects/code-tools/jmh/>)

I made all the efforts to make the measurements and conclusions in this article as “correct” as possible, but they are not perfect, more measurements on more platforms would have been nice, with more iterations, on server hardware (not a macbook pro... although with 4 cores and 16Gb of ram + SSD it makes a better server than most of the cloud servers out there :-))...

The benchmark should also be improved to make it more realistic to actual real life workloads....

One thing we still have unanswered is: why is the inlining not an issue with G1 GC?

I will leave this for another day...

Also I will need to look into the JMH functionality that assists with inlining (the best of the beasts) (<https://groups.google.com/forum/#!msg/mechanical-sympathy/m4opvy4xq3U/7lY8x8SvHgwJ>)...

All code is on github: <https://github.com/zolyfarkas/spf4j/tree/master/spf4j-benchmarks>
For any comments/questions, email me at: zolyfarkas@yahoo.com

Enjoy!