

Using Block Matrices to Provide Erasure Capabilities to Blockchains Without Losing Integrity

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Hashing and Hash Functions

- Hashing: “A method of calculating a relatively unique output for an input of any size.”
- Any change to the input, no matter how small, will result in a completely different output
- Preimage resistant
- Second preimage resistant
- Collision resistant
- SHA-256

Input Text	SHA-256 Digest Value
1	0x6b86b273ff34fcea19d6b804eff5a3f5747ada4eaa22f1d49c01e52ddb7875b4b
2	0xd4735e3a265e16eee03f59718b9b5d03019c07d8b6c51f90da3a666eec13ab35
Hello, World!	0xdffd6021bb2bd5b0af676290809ec3a53191dd81c7f70a4b28688a362182986f

What is a Block Matrix?

- A data structure which supports addition of hash-linked records while also allowing the deletion of records yet still maintaining assurance other blocks are unchanged
- Stores hashes of each row and column

	0	1	2	3	4	
0						H _{0,-}
1						H _{1,-}
2						H _{2,-}
3			X			H _{3,-}
4						H _{4,-}
	H _{-,0}	H _{-,1}	H _{-,2}	H _{-,3}	H _{-,4}	

Figure 1. Block matrix

How do Block Matrices Maintain Security?

- Hashes provide us assurance information in every other block is unchanged if one block is modified

	0	1	2	3	4	
0						H _{0,-}
1						H _{1,-}
2						H _{2,-}
3			X			H _{3,-}
4						H _{4,-}
	H _{-,0}	H _{-,1}	H _{-,2}	H _{-,3}	H _{-,4}	

Figure 1. Block matrix

Block Matrix Population Algorithm

- Algorithm:

```
while (new blocks) { // i, j = row, column indices
  if (i == j) {add null block; i = 0; j++;}
  else if (i < j) {add block(i,j); swap(i,j);}
  else if (i > j) {add block(i,j); j++; swap(i,j);}
}
```

- Special ordering creates certain desirable properties
 - Balance
 - Capability of deleting consecutive blocks

	0	1	2	3	4	
0	•	1	3	7	13	H _{0,-}
1	2	•	5	9	15	H _{1,-}
2	4	6	•	11	17	H _{2,-}
3	8	10	12	•	19	H _{3,-}
4	14	16	18	20	•	H _{4,-}
	H _{-,0}	H _{-,1}	H _{-,2}	H _{-,3}	H _{-,4}	etc.

Figure 2. Block matrix with numbered cells

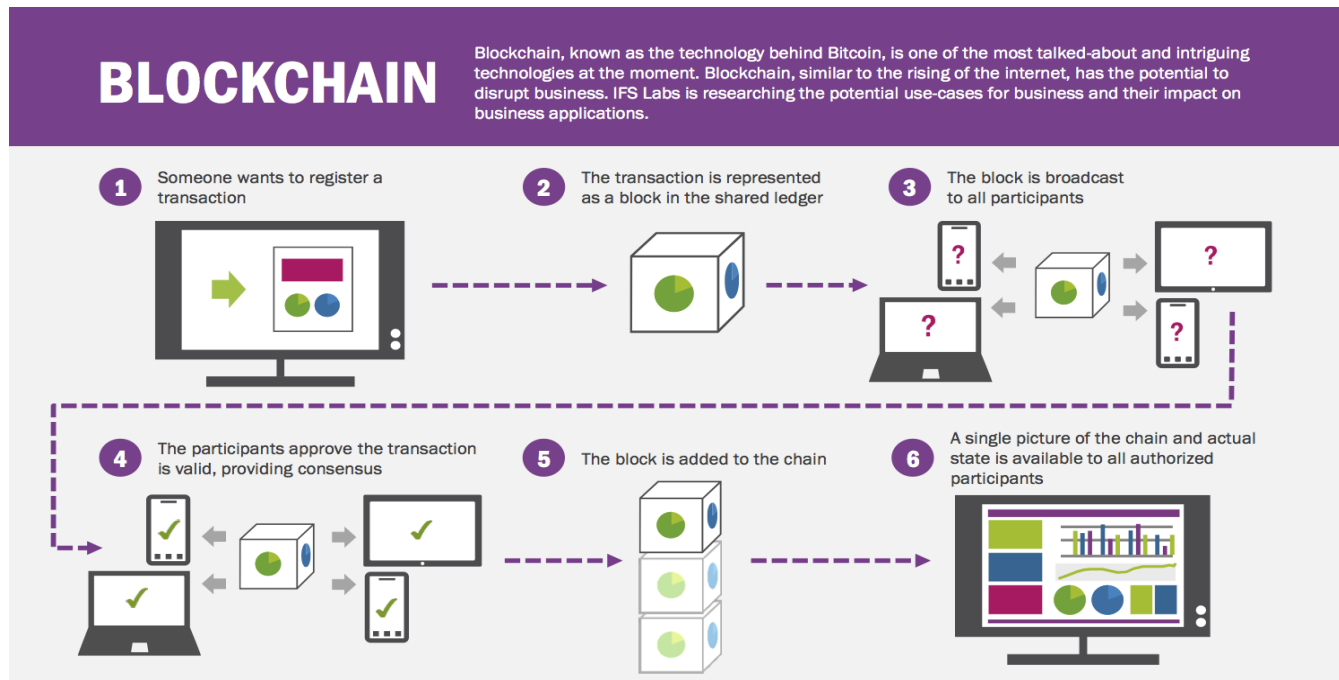
Vs.

	0	1	2	3
0	1	2	5	10
1	3	4	7	12
2	6	8	9	14
3	11	13	15	16

Figure 3. Block matrix with diagonal used

What are blockchain networks?

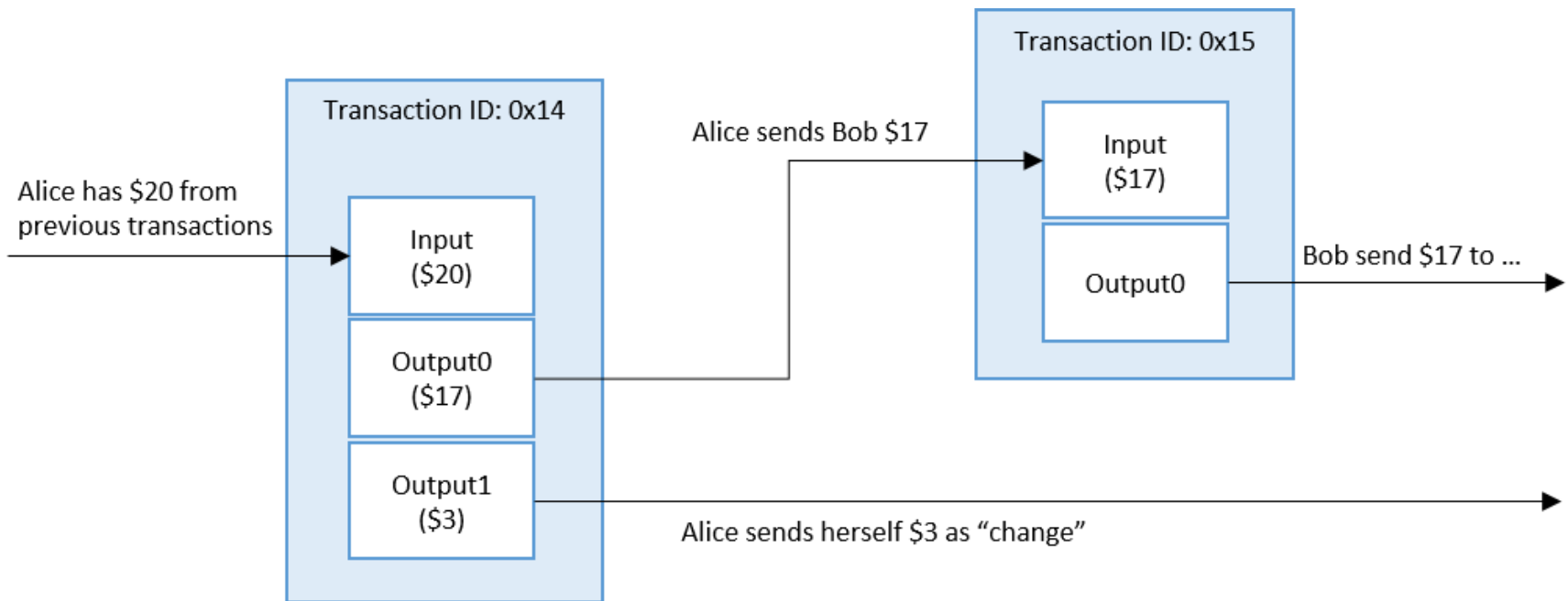
- Distributed digital ledger systems
 - Tamper evident
- Transactions are public
- Each member of the network has a full record of transactions



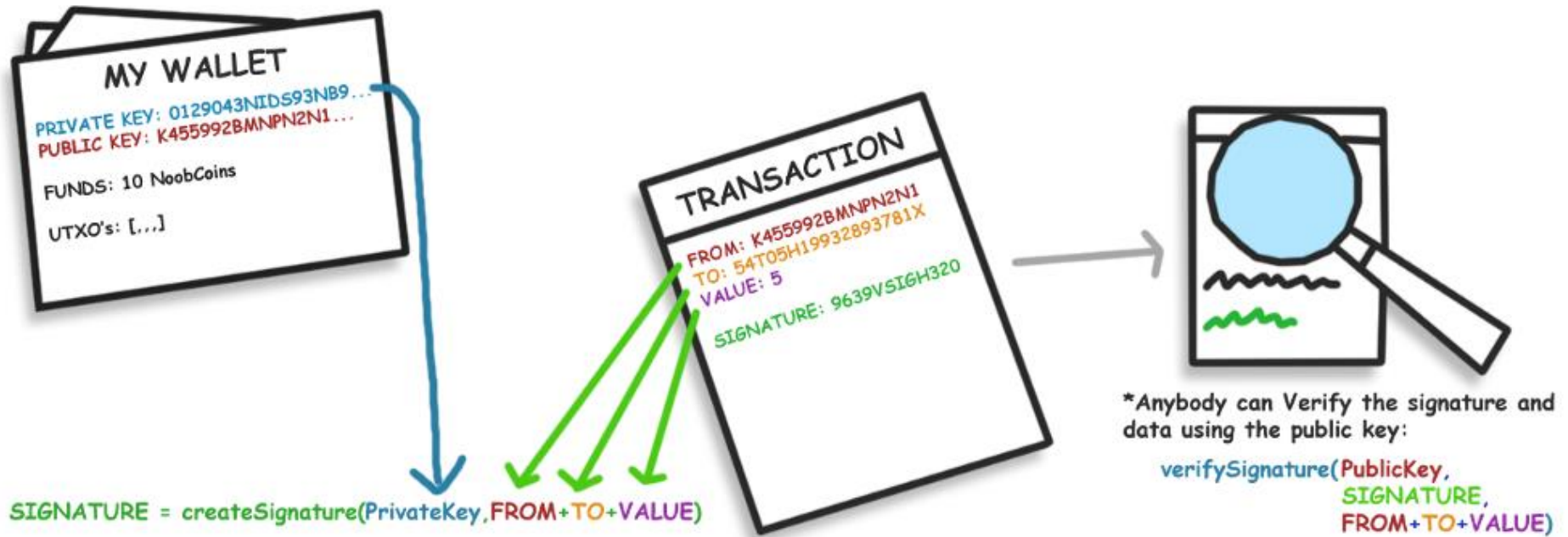
Proof of Work Consensus Model

- Blocks will contain a nonce, an arbitrary number that is only used once
 - $\text{hash}(\text{data} + \text{nonce}) = \text{digest}$
- Need to meet a target criteria:
 - E.g. $\text{SHA256}(\text{"blockchain"} + \text{Nonce}) = \text{Hash Value starting with "000000"}$
 - $\text{SHA256}(\text{"blockchain0"}) =$
`0xbd4824d8ee63fc82392a6441444166d22ed84eaa6dab11d4923075975acab938`
(not solved)
 - $\text{SHA256}(\text{"blockchain1"}) =$
`0xdb0b9c1cb5e9c680dfff7482f1a8efad0e786f41b6b89a758fb26d9e223e0a10`
(not solved)
 - $\text{SHA256}(\text{"blockchain10730895"}) =$
`0x000000ca1415e0bec568f6f605fcc83d18cac7a4e6c219a957c10c6879d67587`
(solved!)
- Once this is solved, the block can be added to the blockchain and people get to work on mining the next “block”
- Generally there is an incentive for mining a block (e.g. some part of the asset a.k.a some “coins”)

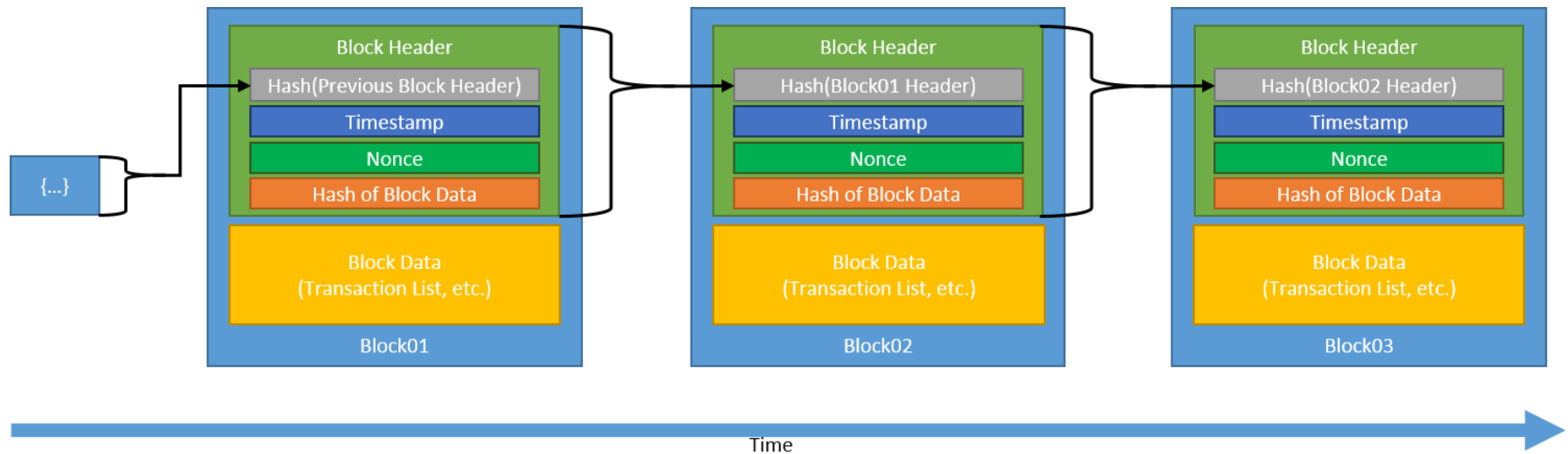
Transactions



Public and Private Keys



Structure of a Traditional Blockchain



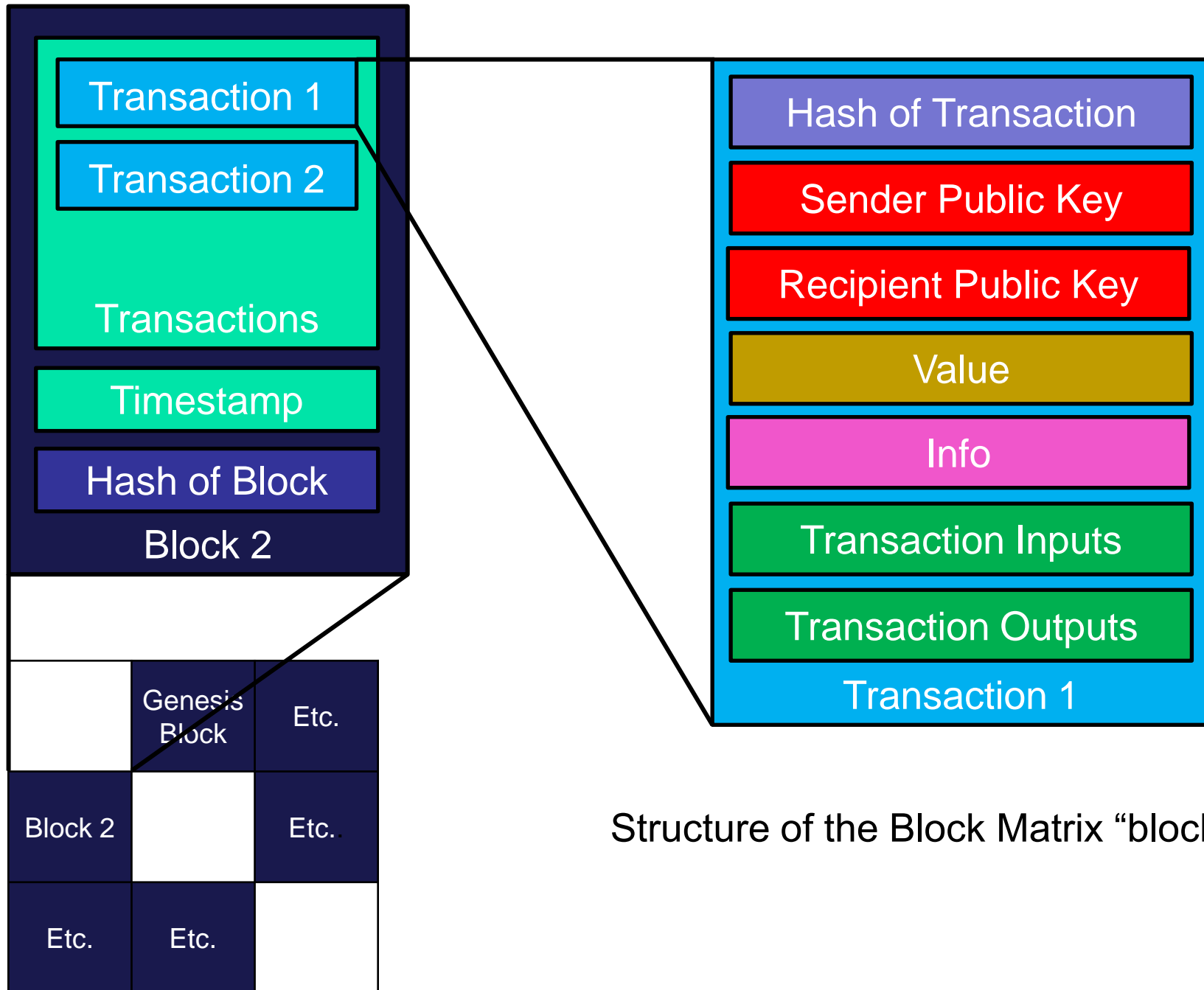
The Problem

- Immutability means any information on a blockchain can never be removed
- Increasing privacy regulations make this a problem
- EU General Data Protection Regulation (GDPR)

Applying Block Matrices to Blockchains

- Similar structure and security as a blockchain
- capability of deleting or modifying certain parts of a transaction or block
- Same transaction model, same cryptographic key/address model

Empty	Block 1	Block 3	Block 7	Block 13	Row 0 Hash
Block 2	Empty	Block 5	Block 9	Block 15	Row 1 Hash
Block 4	Block 6	Empty	Block 11	Block 17	Row 2 Hash
Block 8	Block 10	Block 12	Empty	Block 19	Row 3 Hash
Block 14	Block 16	Block 18	Block 20	Empty	Row 4 Hash
Col 0 Hash	Col 1 Hash	Col 2 Hash	Col 3 Hash	Col 4 Hash	



Structure of the Block Matrix “blockchain”

Java BlockMatrix Package

A mutable blockchain you can use yourself!

```
import blockmatrix.*;
```

```
public class Main {
```

```
    public static void main(String[] args) {  
        BlockMatrix bm = new BlockMatrix(5);  
        bm.setUpSecurity();
```

```
        //Create wallets:
```

```
        Wallet walletA = new Wallet();  
        bm.generate(walletA, 200f);
```

Making our block matrix with our desired dimensions

Creating our initial wallet that starts with all of the “coins”

Making our genesis transaction with the desired number of “coins”

- SHA-256 hashing
- Elliptic-Curve Keypairs

How to use it

- Create wallets: `Wallet walletB = new Wallet();`
- Create Blocks: `Block block2 = new Block();`
- Create transactions
 - Transaction `tr = walletA.sendFunds(walletB.getPublicKey(), 40f, "This is for the bananas!");`
- Add the transactions to blocks: `block2.addTransaction(tr);`
- Add the blocks to the block matrix! `bm.addBlock(block2);`

```
//testing
Wallet walletB = new Wallet();
Block block2 = new Block();
System.out.println("\nWalletA's balance is: " + walletA.getBalance());
System.out.println("\nWalletA is sending 40 coins to WalletB...");
block2.addTransaction(walletA.sendFunds(walletB.getPublicKey(), 40f, "This is for the
bananas!"));
bm.addBlock(block2);
System.out.println("\nWalletA's balance is: " + walletA.getBalance());
System.out.println("WalletB's balance is: " + walletB.getBalance());
```

- Clearing info in blocks: `bm.clearInfoInTransaction(2, 0);`

```
BlockMatrix bm = new BlockMatrix(3);  
bm.setUpSecurity();  
Wallet walletA = new Wallet();  
bm.generate(walletA, 200f);
```

Balance: 200

walletA

Genesis Transaction

Genesis Block

	Genesis Block	

Row Hashes

0900a..
e3b0..
e3b0..

Hash: d6nt..

Sender: Coinbase

Recipient: walletA

Value: 200f

Info: null

Inputs: null

Outputs: ...

Genesis Transaction

Column Hashes

e3b0..	0900a..	e3b0..
--------	---------	--------


```
Wallet walletB = new Wallet();
Block block2 = new Block();
Transaction tr =
walletA.sendFunds(walletB.getPublicKey(), 40f, "This
is for the bananas!");
block2.addTransaction(tr);
Bm.addBlock(block2);
```

Hash: a4sc...

Sender: walletA

Recipient: walletB

Value: 40f

Info: "This is for the...!"

Inputs: ...

Outputs: ...

tr

tr

block2

Balance: 100

walletA

Balance: 00

walletB

	Genesis Block	
block2		

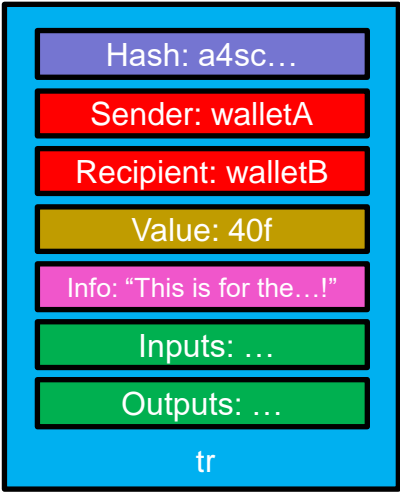
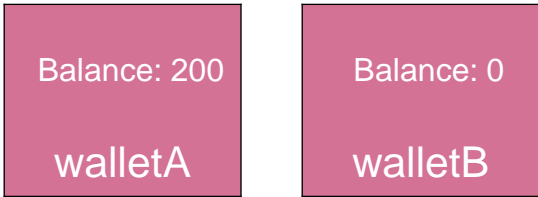
Row Hashes

099a..
e3b0..
e3b0..

Column Hashes

e3b0..	099a..	e3b0..
--------	--------	--------

```
Wallet walletB = new Wallet();
Block block2 = new Block();
Transaction tr =
walletA.sendFunds(walletB.getPublicKey(), 40f, "This
is for the bananas!");
block2.addTransaction(tr);
bm.addBlock(block2);
bm.clearInfoInTransaction(2, 0);
```



	Genesis Block	
block2		

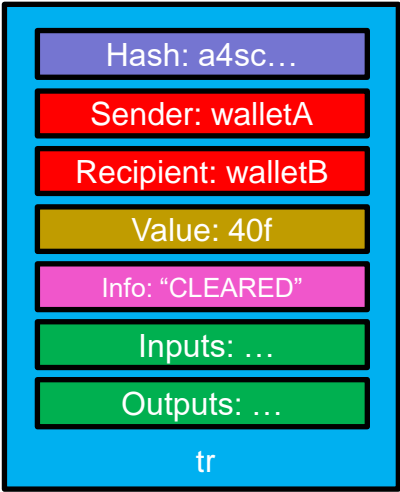
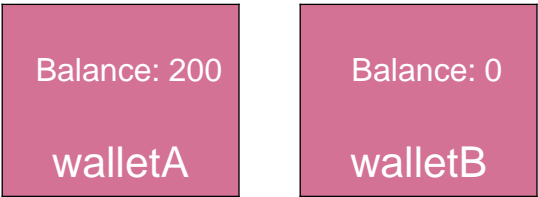
Row Hashes

099a..
719c..
e3b0..

Column Hashes

719c..	099a..	e3b0..
--------	--------	--------

```
Wallet walletB = new Wallet();
Block block2 = new Block();
Transaction tr =
walletA.sendFunds(walletB.getPublicKey(), 40f, "This
is for the bananas!");
block2.addTransaction(tr);
bm.addBlock(block2);
bm.clearInfoInTransaction(2, 0);
```



	Genesis Block	
block2		

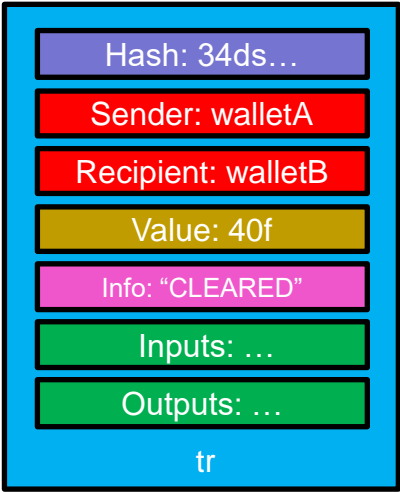
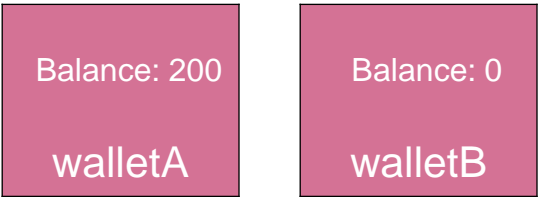
Row Hashes

099a..
719c..
e3b0..

Column Hashes

719c..	099a..	e3b0..
--------	--------	--------

```
Wallet walletB = new Wallet();
Block block2 = new Block();
Transaction tr =
walletA.sendFunds(walletB.getPublicKey(), 40f, "This
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bm.clearInfoInTransaction(2, 0);
```



	Genesis Block	
block2		

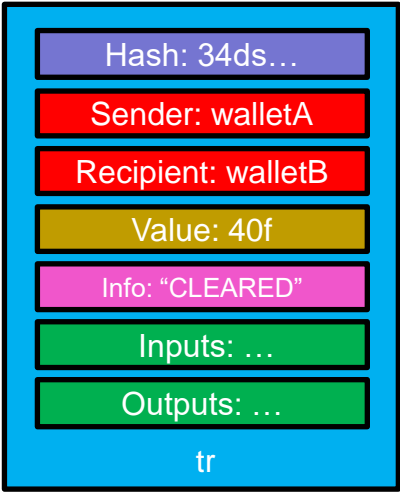
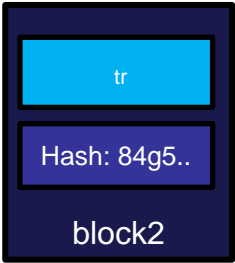
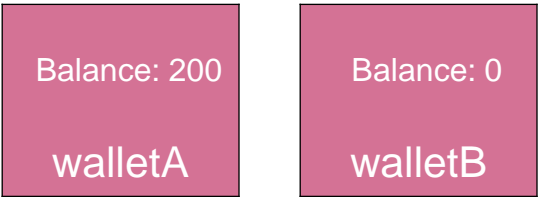
Row Hashes

099a..
719c..
e3b0..

Column Hashes

719c..	099a..	e3b0..
--------	--------	--------

```
Wallet walletB = new Wallet();
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	Genesis Block	
block2		

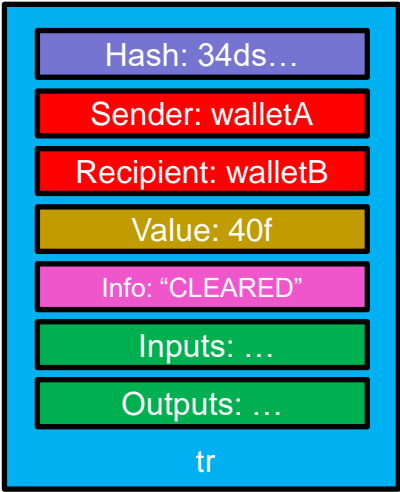
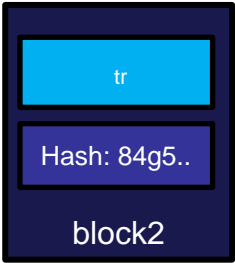
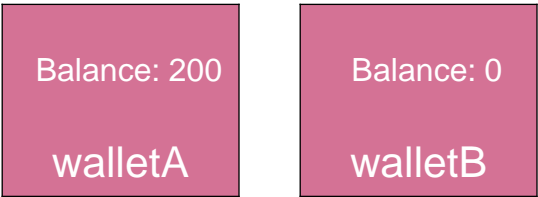
Row Hashes

099a..
719c..
e3b0..

Column Hashes

719c..	099a..	e3b0..
--------	--------	--------

```
Wallet walletB = new Wallet();
Block block2 = new Block();
Transaction tr =
walletA.sendFunds(walletB.getPublicKey(), 40f, "This
is for the bananas!");
block2.addTransaction(tr);
bm.addBlock(block2);
bm.clearInfoInTransaction(2, 0);
```



	Genesis Block	
block2		

Row Hashes

099a..
f84w..
e3b0..

Column Hashes

f84w..	099a..	e3b0..
--------	--------	--------

Ensuring Matrix Validity

- `isMatrixValid()` method
 - Encompassing function which checks if blockmatrix is secure
- Features:
 - Checks every block and ensures its hash is what it should be
 - Checks every row and column and ensures its hash is what it should be
 - Checks every transaction in each block and makes sure that
 - The transactions signature is valid
 - Inputs are equal to outputs in the transaction
 - Etc.
 - Checks that all deletions/modifications of data changed only one row and column hash, and the rest are unchanged

Future of BlockMatrix

- For the package:
 - Option to make BlockMatrix use proof of work or alternate consensus schemes
 - Web tool to easily see structure of your BlockMatrix
- General code:
 - Extension to peer-to-peer system
 - Creation of generic BlockMatrix data structure which can be used for any purpose
- For the concept:
 - Implementation in existing blockchains
 - Multichain
 - Hyperledger Fabric

Acknowledgements

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- Dylan Yaga, National Institute of Standards & Technology
- SURF Undergraduate Research Program, National Institute of Standards & Technology

References

- Kuhn, D. Richard, *A Data Structure for Integrity Protection with Erasure Capability*, May 2018.
- Yaga, Dylan, et al. *Blockchain Technology Overview Draft NISTIR 8202 v2*, January 2018