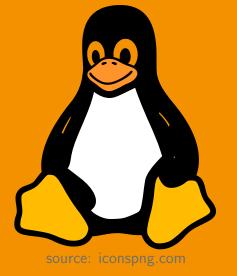


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OS 5 – Process/Thread



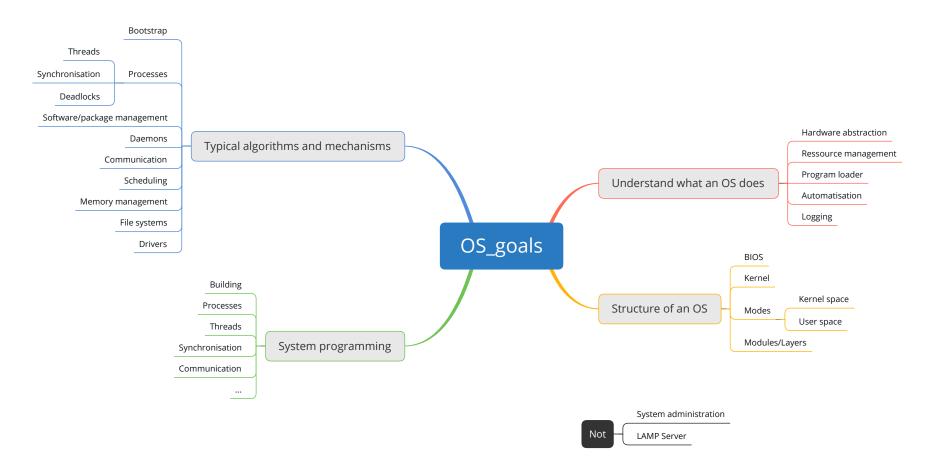
The lecture is based on the work and the documents of Prof. Dr. Ludwig Frank

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Goal



Goal



Goal

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Goal



OS::Process/Thread

- What is a process/thread
- Process hierarchy
- Processes management
- Thread management
- Parallelisation





Intro

What is a process?



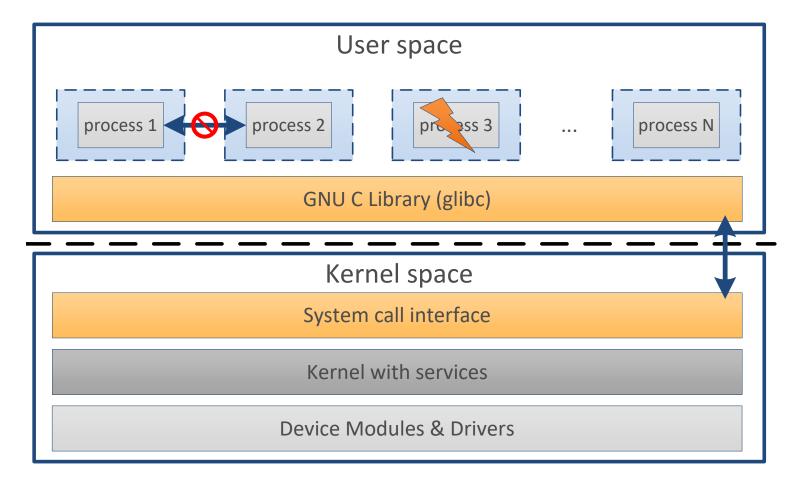
Process definition

A process is an **instance of a computer program** that is being executed.

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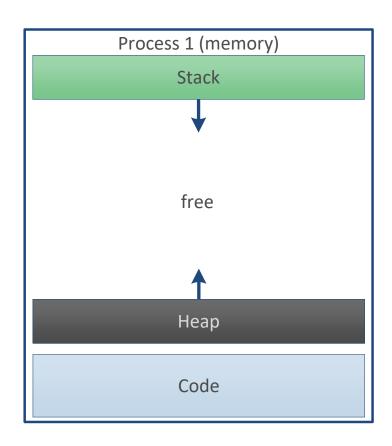
Process isolation

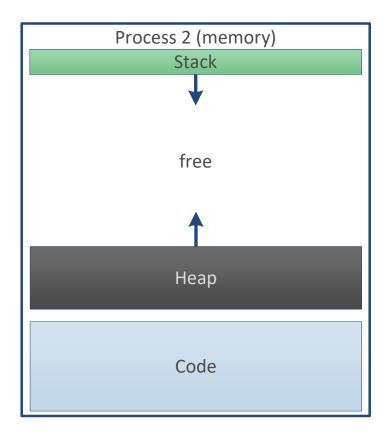


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Process memory view

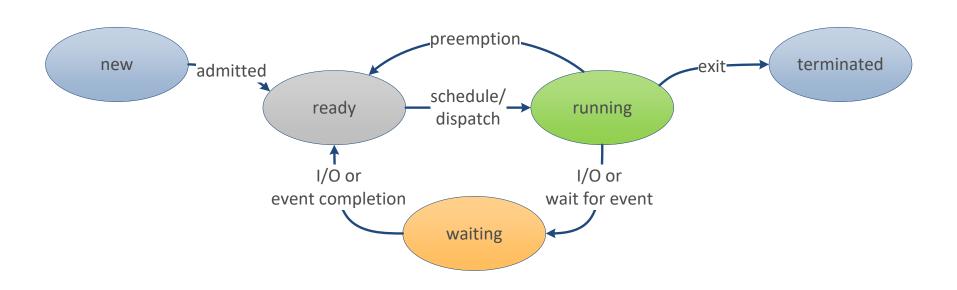




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Process states

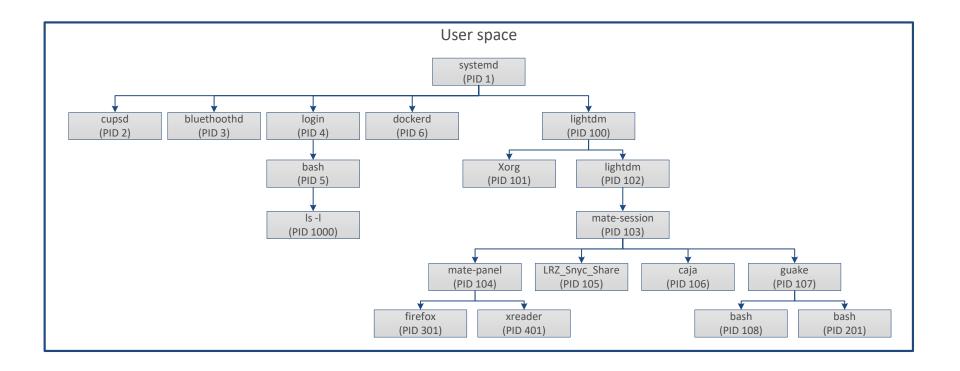


It is still a simplified view!

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Process hierarchy





Daemon: a special kind of a process

Daemon - disk and execution monitor (bacronym)

- A daemon is a process
- Operates in the background
- A daemons parent PID is 1 -> systemd
- Usually started from systemd as part of the boot procedure
- A daemon has no direct interaction (shell, keyboard, mouse)
 with the user
- Communication with a daemon: network, signals, pipes, shared memory, ...
- Working directory is /
- Usually uses a logfile to log events and errors

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Summary

Process specific properties

Process management

- Process id (PID)
- Parent process id (PPID)
- State
- Register entries
- Priority
- Signals
- Start time
- Environment variables

Process memory

- Code (pointer)
- Stack (pointer)
- Heap (pointer)

File management

- Root directory (/)
- Working directory
- File descriptors
- User id
- Group id



OS process table

Process control block (PCB)

- The **kernel manages** the different **processes**
- Each process has its own process control block (PCB)
 - Contains all process specific properties
 - The process table contains all PCBs
- In Linux: **struct** task struct {...}
- https://github.com/torvalds/linux/blob/master/include/linux/sched.h



Advantages of multiple processes

- Independent start of different processes
- Can be executed in parallel
- If a process crashes the others can continue their work
- No overwriting of the memory
- Security: No read of another process memory possible
- Independent development!
- Independent dependencies!
- **Each user** can have its **own processes**.

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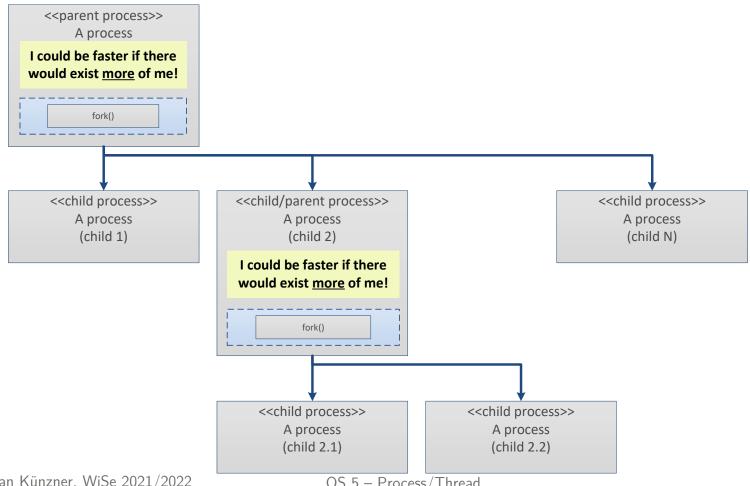
Programmatic: execute a command

```
#include <stdio.h>
                          //printf
   #include <stdlib.h>
                           //EXIT SUCCESS, system
   int main(int argc, char** argv)
 5
       //executes a command specified in command by calling /bin/sh -c command
       const char* const command = "ls -l /";
       int exit status = system(command);
       if(exit status == -1) {
10
           printf("%s can't be started.\n", command);
       } else {
           printf("%s exited with status: %d.\n", command, exit status);
13
14
15
16
       return EXIT SUCCESS;
17 }
      system (man): http://man7.org/linux/man-pages/man3/system.3.html
```

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Programmatic: fork idea



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Programmatic: fork example

```
#include <stdio.h>
                            //printf
                            //EXIT SUCCESS, EXIT FAILURE, system
    #include <stdlib.h>
                            //fork
    #include <unistd.h>
    #include <sys/wait.h> //waitpid
    int main(int argc, char** argv)
 8
        pid_t pid = fork();
 9
10
        switch(pid){
11
            case -1: //error
                printf("Error: fork failed.\n");
13
                exit(EXIT FAILURE);
14
                break:
15
            case 0: //child
16
                printf("Hi, I'm the fork with the PID %d!\n", getpid());
17
                break:
18
            default: //parent
19
                printf("Parent waits until child process with PID %d ends.\n", pid);
20
                waitpid(pid, NULL, 0);
21
                printf("Child process with PID %d exited.\n", pid);
22
23
                break;
25
        return EXIT SUCCESS;
       fork (man): http://man7.org/linux/man-pages/man2/fork.2.html
```

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Processes management on shell

cmd	Description
./command	Start a process.
kill	Stop (exit) a process.
wait	Wait until a child process has stopped.
ps aux	Show information about started processes.
top	Show live information about processes.
pstree	Show the process hierarchy.
renice	Change the priority of a process.



Thread definition

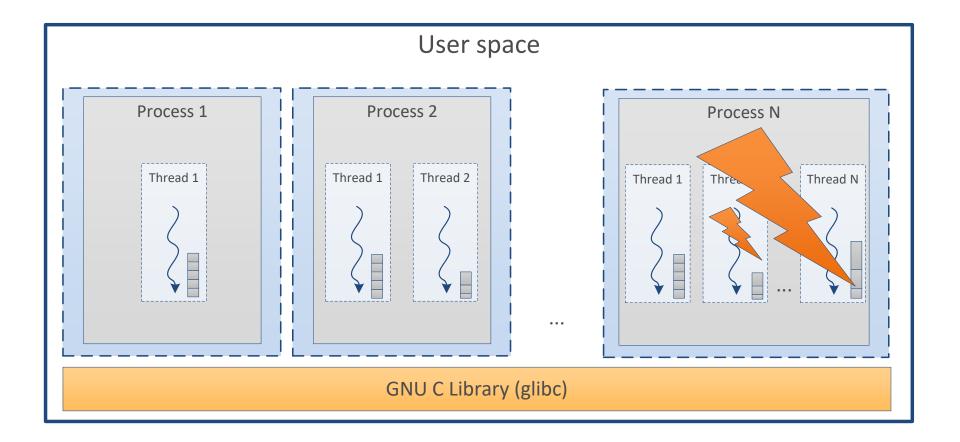
A thread is an **entity within a process** that can be **scheduled independently** of other threads for execution.

In Linux: A thread is a lightweight process!

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Thread illustration





Thread properties

Properties for each thread

- Thread id (TID)
- State
- Register entries
- Stack (pointer)

Shared process properties

- Address space (code/heap)
- Global variables
- Opened files
- Child processes
- Signals
- Working directory
- Environment variables







Summary

OS thread/process table

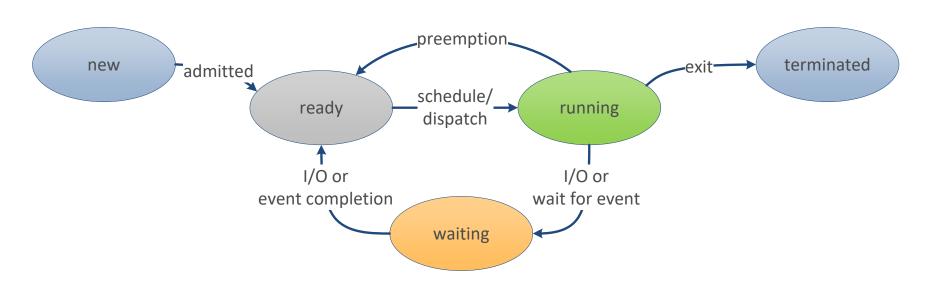
Thread control block (TCB)

- The **kernel manages** the different **threads** (similar to a process)
- Each thread has its own thread control block (TCB)
 - Contains all thread specific properties
 - Each process knows the thread TCBs in its PCB
- In Linux:
 - struct task_struct {...}
 - It is used for processes and threads
 - This is the reason for the term: "lightweight process"
 - https://github.com/torvalds/linux/blob/master/include/linux/sched.h



Thread states

Similar to the process states.



It is still a simplified view!

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Programmatic: pthread example #include <stdio.h> //printf

```
#include <stdlib.h>
                              //EXIT SUCCESS, EXIT FAILURE
                              //pthread_create, pthread join
    #include <pthread.h>
 56
    void* thread_work() {
         printf("Hi, I'm the thread with the TID %lu!\n", pthread_self());
 7
8
9
         return NULL; //the thread ends here
10
    int main(int argc, char** argv) {
11
         //create thread
12
         pthread t thread id;
13
         int thread create state = pthread create(&thread id, NULL, &thread work, NULL);
14
         if( thread create state != 0) {
             printf("Failed creating thread\n");
15
16
             exit(EXIT FAILURE);
17
18
19
         //join thread (wait until the thread has finished)
20
         printf("Main thread waits until child thread with TID %lu ended.\n", thread id);
21
         int thread exit_state = pthread_join(thread_id, NULL);
22
23
         if(thread exit state != 0){
             printf("Thread exited with failure %d.\n", thread exit state);
24
25
26
         printf("Child thread with TID %lu exited.\n", thread id);
         return EXIT SUCCESS;
28
         pthreads (man): http://man7.org/linux/man-pages/man7/pthreads.7.html
       pthread create(): https://man7.org/linux/man-pages/man3/pthread create.3.html
       pthread_join(): https://man7.org/linux/man-pages/man3/pthread_join.3.html
Prof. Dr. Florian Künzner, WiSe 2021/2022
```



Process vs thread

Quiz: When to use a process or a thread?

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Summary

Summary and outlook Summary

- What is a process/thread
- Process hierarchy
- Processes management
- Thread management
- Parallelisation

Outlook

- Process synchronisation
- Semaphore
- Mutual exclusion