

## Exercise sheet 12 – Memory management

### Goals:

- Memory management

### Exercise 12.1: Memory management

- (a) If you have a system without a MMU, is it possible to have threads?

**Proposal for solution:** Yes, it is possible to have threads without a MMU at Linux. System like Arduinos with Atmega or Cortex M processors als can have threads but does not have an MMU.

- (b) If you have a system without a MMU, is it possible to have “real” processes with all that security?

**Proposal for solution:** No, without a MMU it is not really possible to have processes that are very fast and very secure, because no MMU means no virtual memory.

- (c) What is a cache?

**Proposal for solution:** A cache is an associative memory, which stores data of a request or computation. The next time this data is needed, it is read from the cache instead, which is much faster.

- (d) In the context of caching: What is a hit and what is a fault?

**Proposal for solution:** A hit occurs if the requested data is already in the cache. A fault occurs if the requested data is not in the cache (usually at the first access).

- (e) Can the operating system protect the memory of a process against others without the help of the CPU?

**Proposal for solution:** No, not really. Because memory access is done directly with CPU commands.

- (f) What is position independent code (PIC)?

**Proposal for solution:** It means, that the code can be loaded on any location on the main memory.

- (g) Consider a system with virtual memory, MMU, and swapping. Is it required that the code of the executables (ELFs) is build with position independent code?

**Proposal for solution:** No, because every process has its own virtual memory where it can always use the same virtual addresses.

- (h) Can fragmentation problems be solved by variable partition sizes (each process can choose its own required partition size)?

**Proposal for solution:** No, the problem is somewhat alleviated but it still exists, because the number of processes is limited by the partitions and there will be gaps formed automatically.

- (i) What is swapping?

**Proposal for solution:** See `os_12_memory_management_handout.pdf` slide 23.

- (j) Does swapping improve the performance?

**Proposal for solution:** It depends! Because transferring data from memory to the disk and vice versa takes a lot of time. The overall performance may go down. But it helps to execute a lot of processes where some can be swapped. And usually, a lot of processes are required to fully utilise the CPU.

- (k) Is it right, that the virtual memory has to be smaller than the real memory, because the operating systems also needs some memory?

**Proposal for solution:** No, the virtual memory has the theoretically available size and is usually greater than the real memory.

- (l) What is a virtual address space?

**Proposal for solution:** The virtual address space consists of all virtual addresses.

- (m) What is a page table and how is a virtual address transformed into a real address?

**Proposal for solution:** See `os_12_memory_management_handout.pdf` slide 30.

- (n) What happens on a page fault and how is the operating system involved?

**Proposal for solution:** See `os_12_memory_management_handout.pdf` slide 31.

- (o) Does thrashing help to improve the systems performance?

**Proposal for solution:** No, thrashing happens when the operating system is almost fully busy with swap in/swap out.

### Exercise 12.2: Memory allocation strategies

Consider a main memory that contains the following free partitions: 10K, 4K, 20K, 18K, 7K, 9K, 12K, and 15K. Between the free partitions there are used partitions of an unknown size.

- (a) Visualise the situation: Draw a sketch of the memory view.

*Now, the following subsequent requests for memory partitions occur: 12K, 10K, and 9K.*

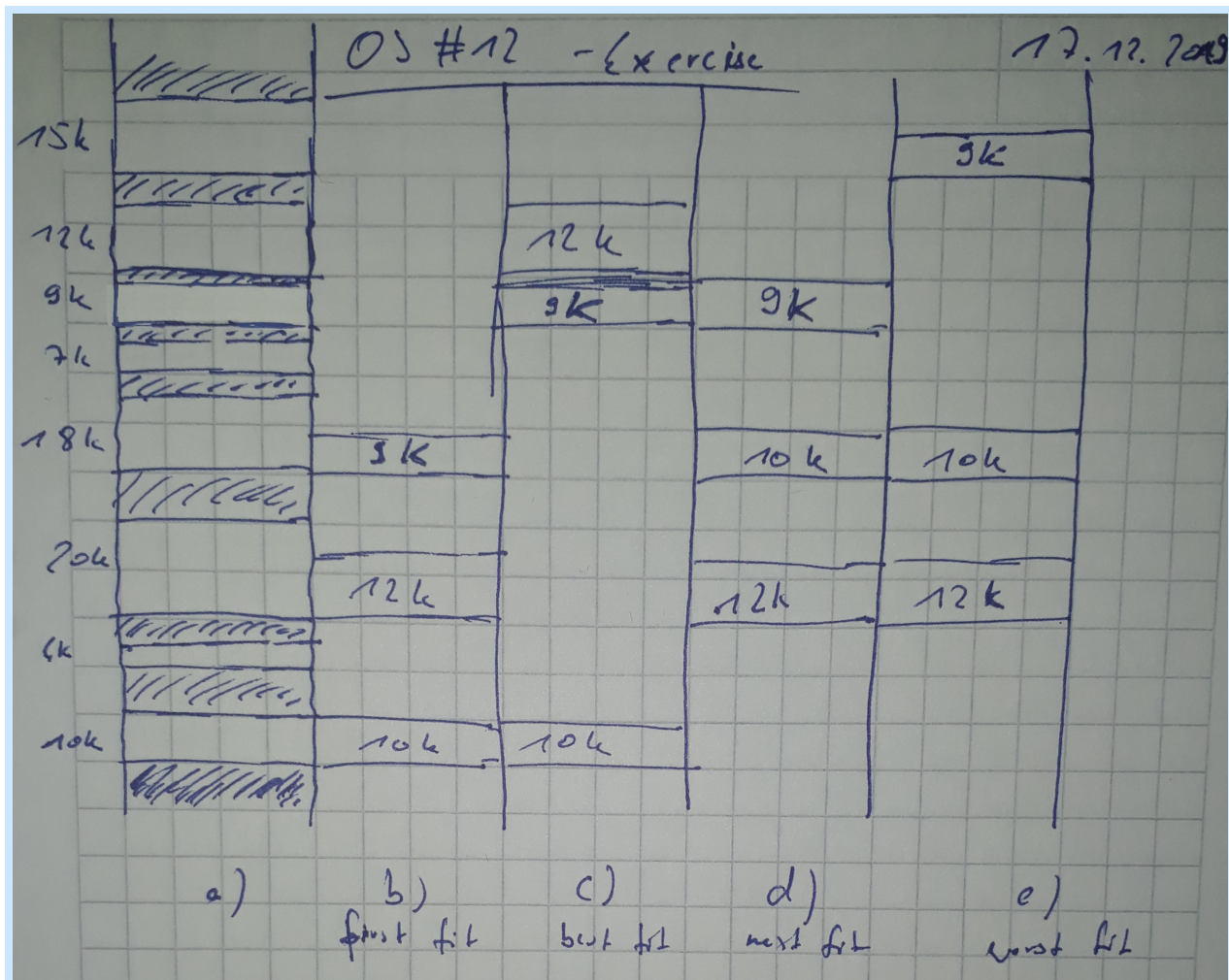
- (b) Show the results within your memory sketch when *first fit* is used.

- (c) Show the results within your memory sketch when *best fit* is used.

- (d) Show the results within your memory sketch when *next fit* is used.

- (e) Show the results within your memory sketch when *worst fit* is used.

**Proposal for solution:**



### Exercise 12.3: Memory management programming and OS memory mechanism

(a) How and where can a process acquire (allocate) main memory in C (there are two possibilities)?

#### Proposal for solution:

- Stack memory: by declaring variables inside functions.
- Heap memory: by using malloc or calloc

(b) How can a process release memory (distinct two possibilities)?

#### Proposal for solution:

- Stack memory: when the function goes out of scope, all variables that are declared inside the function goes out of scope and are automatically released.
- Heap memory: by using free.

(c) Write a small C program that shows how the main memory acquire (allocation) and release works (distinct two possibilities).

#### Proposal for solution:



```
1  #include <stdlib.h>
2  #include <stdio.h>
3
4  void function_with_stack_data(int num_bytes)
5  {
6      char stack_array[num_bytes];
7
8      //when the function is left, stack_array is automatically deleted from stack.
9  }
10
11 void function_with_heap_data(int num_bytes)
12 {
13     // Acquire (allocate) heap memory
14     char* heap_array = malloc(sizeof(char)*num_bytes);
15
16     //free the heap memory
17     free(heap_array);
18     heap_array = NULL;
19 }
20
21 int main(int argc, char** argv)
22 {
23     if(argc < 2){
24         printf("Error: no size is specified\n");
25         printf("Usage: %s <size>\n", argv[0]);
26         exit(EXIT_FAILURE);
27     }
28
29     int num_bytes = atoi(argv[1]);
30     if(num_bytes <= 0){
31         printf("Error: size has to be > 0\n");
32         exit(EXIT_FAILURE);
33     }
34
35     function_with_stack_data(num_bytes);
36     function_with_heap_data(num_bytes);
37
38     printf("The very end.\n");
39
40
41     return 0;
42 }
```

- (d) Is the operating system involved when acquire (allocation) and release of main memory is done by a process (distinct two possibilities)?

**Proposal for solution:**

- Stack memory: No, this is done by manipulating the stack pointer: decrease means acquire memory, increase means release memory.
- Heap memory: Yes, `malloc` and `free` involves SVCs and are handled by the OS.

- (e) Is the operating system involved when the process writes data into the main memory (distinct two possibilities)?

**Proposal for solution:**

- Stack memory: No, the process (machine code) directly writes into the main memory



using virtual addresses.

- Heap memory: No, the process (machine code) directly writes into the main memory using virtual addresses.