



# Database Management Systems Module 06: Introduction to SQL/1

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# Week 01 Recap

- Module 01: Course Overview
  - Why Databases?
  - KYC: Know Your Course
- Module 02: Introduction to DBMS/1
  - Levels of Abstraction
  - Schema & Instance
  - Data Models
  - DDL & DML
  - SQL
  - Database Design
- Module 03: Introduction to DBMS/2
  - Database Design
  - Database Engine, Users, Architecture
  - History of DBMS

- Module 04: Introduction to Relational Model/1
  - Attribute Types
  - Relation Schema and Instance
  - Keys
  - Relational Query Languages
- Module 05: Introduction to Relational Model/2
  - Relational Operations
  - Aggregate Operations



# **Module Objectives**

- To understand relational query language
- To understand data definition and basic query structure





# **Module Outline**

- History of SQL
- Data Definition Language (DDL)
- Basic Query Structure (DML)



#### History of SQL

Data DefinitionLanguage (DDL)Basic Query Structure(DML)

# HISTORY OF SQL



# **History of Query Language**

- IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL:
  - SQL-86
  - SQL-89
  - SQL-92
  - SQL:1999 (language name became Y2K compliant!)
  - SQL:2003
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
  - Not all examples here may work on your particular system



- History of SQL
- Data Definition Language (DDL)
- Basic Query Structure (DML)

# DATA DEFINITION LANGUAGE (DDL)



## **Data Definition Language**

The SQL data-definition language (DDL) allows the specification of information about relations, including:

- The schema for each relation
- The domain of values associated with each attribute
- Integrity constraints
- And as we will see later, also other information such as
  - The set of indices to be maintained for each relations
  - Security and authorization information for each relation
  - The physical storage structure of each relation on disk

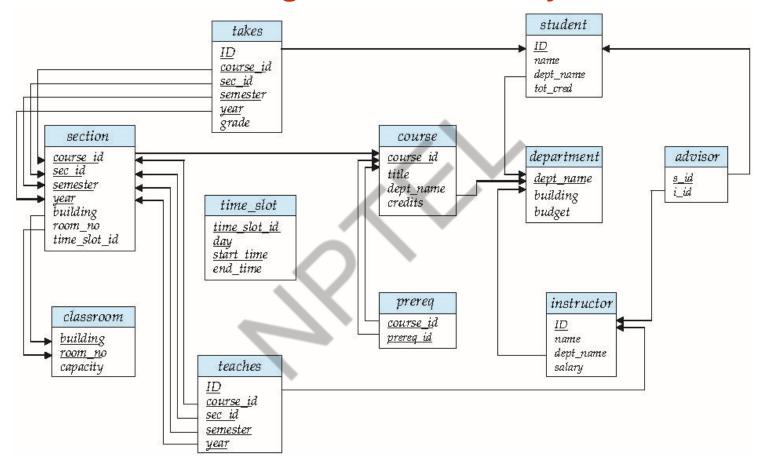


# **Domain Types in SQL**

- **char(n).** Fixed length character string, with user-specified length n
- varchar(n). Variable length character strings, with user-specified maximum length *n*
- int. Integer (a finite subset of the integers that is machine-dependent)
- smallint. Small integer (a machine-dependent subset of the integer domain type)
- **numeric(p,d).** Fixed point number, with user-specified precision of p digits, with d digits to the right of decimal point. (ex., numeric(3,1), allows 44.5 to be stores exactly, but not 444.5 or 0.32)
- real, double precision. Floating point and double-precision floating point numbers, with machine-dependent precision
- float(n). Floating point number, with user-specified precision of at least *n* digits
- More are covered in Chapter 4



## **Schema Diagram for University Database**





### **Create Table Construct**

An SQL relation is defined using the create table command:

```
create table r(A_1 D_1, A_2 D_2, ..., A_n D_n, (integrity-constraint<sub>1</sub>), ..., (integrity-constraint<sub>k</sub>))
```

- r is the name of the relation
- each  $A_i$  is an attribute name in the schema of relation r
- $D_i$  is the data type of values in the domain of attribute  $A_i$
- Example:

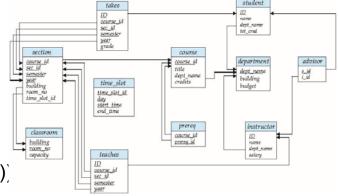
```
create table instructor (

ID char(5),

name varchar(20),

dept_name varchar(20),

salary numeric(8,2)
```





# **Integrity Constraints in Create Table**

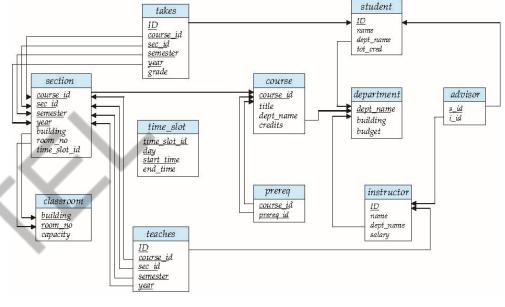
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- not null
- primary key  $(A_1, ..., A_n)$
- foreign key  $(A_m, ..., A_n)$  references r

#### Example:

primary key (ID),
foreign key (dept\_name) references department);

primary key declaration on an attribute automatically ensures not null





### **And a Few More Relation Definitions**

create table student (

ID varchar(5),

name varchar(20) not null,

dept\_name varchar(20),
tot\_cred varchar(3,0),

primary key (ID),

foreign key (dept\_name)
 references department);

create table takes (

ID varchar(5),
course\_id varchar(8),
sec\_id varchar(8),
semester varchar(6),
year numeric(4,0),

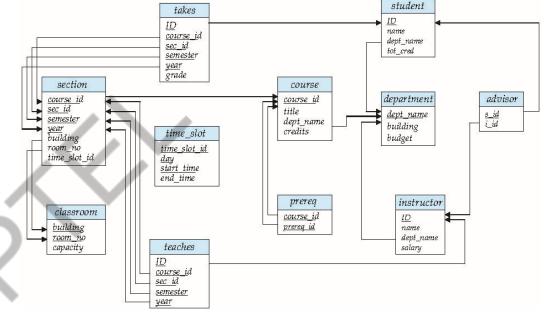
grade varchar(2),

**primary key** (ID, course\_id, sec\_id, semester, year),

foreign key (ID) references student,

foreign key (course\_id, sec\_id, semester, year) references section);

 Note: sec\_id can be dropped from primary key above, to ensure a student cannot be registered for two sections of the same course in the same semester





### And more still

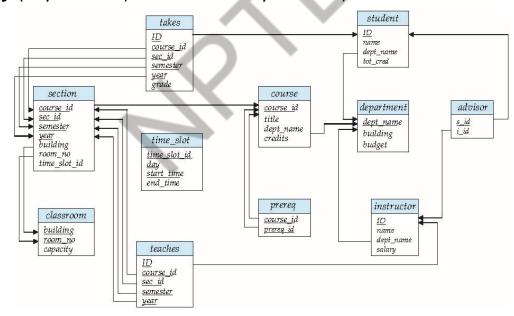
create table course (

course\_id
title
dept\_name
credits

varchar(8),
varchar(50),
varchar(20),
numeric(2,0),

primary key (course\_id),

foreign key (dept\_name) references department);





## **Updates to tables**

- Insert
  - insert into instructor values ('10211', 'Smith', 'Biology', 66000);
- **Delete** 
  - Remove all tuples from the student relation
    - delete from student
- **Drop Table** 
  - drop table r
- **Alter** 
  - alter table r add A D
    - where A is the name of the attribute to be added to relation r and D is the domain of A
    - All exiting tuples in the relation are assigned *null* as the value for the new attribute
  - alter table r drop A
    - where A is the name of an attribute of relation r
    - Dropping of attributes not supported by many databases



- History of SQL
- ■Data Definition Language (DDL)
- Basic Query Structure (DML)

# **BASIC QUERY STRUCTURE**



# **Basic Query Structure**

A typical SQL query has the form:

**select** 
$$A_1, A_2, ..., A_n$$
 **from**  $r_1, r_2, ..., r_m$  **where**  $P$ 

- A<sub>i</sub> represents an attribute
- $R_i$  represents a relation
- P is a predicate
- The result of an SQL query is a relation



### The select Clause

- The **select** clause lists the attributes desired in the result of a query
  - corresponds to the projection operation of the relational algebra
- Example: find the names of all instructors:

select name from instructor

- NOTE: SQL names are case insensitive (i.e., you may use upper- or lower-case letters.)
  - E.g., Name ≡ NAME ≡ name
  - Some people use upper case wherever we use bold font



# The select Clause (Cont.)

- SQL allows duplicates in relations as well as in query results
- To force the elimination of duplicates, insert the keyword distinct after select
- Find the department names of all instructors, and remove duplicates

**select distinct** *dept\_name* **from** *instructor* 

The keyword all specifies that duplicates should not be removed

**select all** dept\_name **from** instructor



## The select Clause (Cont.)

An asterisk in the select clause denotes "all attributes"

select \*
from instructor

An attribute can be a literal with no from clause

select '437'

- Results is a table with one column and a single row with value "437"
- Can give the column a name using:
- **select** '437' **as** *F*00
- An attribute can be a literal with from clause

select 'A'
from instructor

 Result is a table with one column and N rows (number of tuples in the instructors table), each row with value "A"



# The select Clause (Cont.)

The **select** clause can contain arithmetic expressions involving the operation, +, –, \*, and /, and operating on constants or attributes of tuples

The query:

**select** *ID*, *name*, *salary/12* **from** *instructor* 

- would return a relation that is the same as the instructor relation, except that the value of the attribute salary is divided by 12
- Can rename "salary/12" using the as clause:

select ID, name, salary/12 as monthly\_salary



### The where Clause

- The where clause specifies conditions that the result must satisfy
  - Corresponds to the selection predicate of the relational algebra
- To find all instructors in Comp. Sci. dept

select name from instructor where dept\_name = 'Comp. Sci.'

- Comparison results can be combined using the logical connectives and, or, and not
  - To find all instructors in Comp. Sci. dept with salary > 80000

select name from instructor where dept\_name = 'Comp. Sci.' and salary > 80000

Comparisons can be applied to results of arithmetic expressions



### The from Clause

- The **from** clause lists the relations involved in the query
  - Corresponds to the Cartesian product operation of the relational algebra
- Find the Cartesian product *instructor X teaches*

select \* from instructor, teaches

- generates every possible instructor teaches pair, with all attributes from both relations
- For common attributes (e.g., ID), the attributes in the resulting table are renamed using the relation name (e.g., instructor.ID)
- Cartesian product not very useful directly, but useful combined with where-clause condition (selection operation in relational algebra)



## **Cartesian Product**

#### instructor

ID

10101

12121

15151

22222

32343

Einstein

El Said

name	dept_name	salary
Srinivasan	Comp. Sci.	65000
Wu	Finance	90000
Mozart	Music	40000

95000

60000 07000

Physics

History

#### teaches

	ID	course_id	sec_id	semester	year
	10101	CS-101	1	Fall	2009
1	10101	CS-315	1	Spring	2010
1	10101	CS-347	1	Fall	2009
1	12121	FIN-201	1	Spring	2010
1	15151	MU-199	1	Spring	2010
₫	22222	PHY-101	1	Fall	2009

Inst.ID	name	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
	***				•••		•••	
	•••							
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Pinance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Pinance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Pinance	90000	22222	PHY-101	1	Fall	2009
***	•••							
•••	•••			•••				



# **Module Summary**

- Introduced relational query language
- Familiarized with data definition and basic query structure





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# Database Management Systems Module 07: Introduction to SQL/2

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# **Module Recap**

- History of SQL
- Data Definition Language (DDL)
- Basic Query Structure (DML)



# **Module Objectives**

- To complete the understanding of basic query structure and set operations
- To familiarize with null values and aggregation





# **Module Outline**

- Additional Basic Operations
- Set Operations
- Null Values
- Aggregate Functions



- Additional Basic Operations
- Set Operations
- Null Values
- Aggregate Functions

# ADDITIONAL BASIC OPERATIONS



#### **Cartesian Product**

- Find the Cartesian product instructor X teaches
- select \* from instructor, teaches
  - generates every possible instructor teaches pair, with all attributes from both relations
  - For common attributes (e.g., ID), the attributes in the resulting table are renamed using the relation name (e.g., instructor.ID)
- Cartesian product not very useful directly, but useful combined with where-clause condition (selection operation in relational algebra)



## **Cartesian Product**

#### instructor

ID	пате	dept_name	salary
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
22156	Loui	Total .	07000

#### teaches

	ID	course_id	sec_id	semester	year
	10101	CS-101	1	Fall	2009
1	10101	CS-315	1	Spring	2010
1	10101	CS-347	1	Fall	2009
1	12121	FIN-201	1	Spring	2010
1	15151	MU-199	1	Spring	2010
₫	22222	PHY-101	1	Fall	2009

Inst.ID	name	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
	***				•••		•••	
	•••							
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Pinance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Pinance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Pinance	90000	22222	PHY-101	1	Fall	2009
***	•••							
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# **Examples**

 Find the names of all instructors who have taught some course and the course\_id

select name, course\_id
from instructor, teaches
where instructor.ID = teaches.ID

Equi-Join, Natural Join

ID	пате	dept_name	salary		ID	course_id	sec_id	semester	yea
10101	Srinivasan	Comp. Sci.	65000	Î	10101	CS-101	1	Fall	200
12121	Wu	Finance	90000		10101	CS-315	1	Spring	201
15151	Mozart	Music	40000		10101	CS-347	1	Fall	200
22222	Einstein	Physics	95000	7	12121	FIN-201	1	Spring	201
32343	El Said	History	60000		15151	MU-199	1	Spring	201
22151		· ·	AH000		22222	PHY-101	1	Fall	200

пате	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
<del>Srinivasan</del>	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
<del>Srinivasan</del>	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
***							
						***	
Wu	Finance	90000	10101	CS-101	1	Fall	2009
Wu	Finance	90000	10101	CS-315	1	Spring	2010
Wu	Pinance	90000	10101	CS-347	1	Fall	2009
Wu	Pinance	90000	12121	FIN-201	1	Spring	2010
Wu	Finance	90000	15151	MU-199	1	Spring	2010
Wu	Pinance	00000	22222	PHY-101	1	Fall	2009
	Srinivasan Srinivasan Srinivasan Srinivasan Wu Wu Wu Wu Wu Wu Wu	Srinivasan Comp. Sci. Srinivasan Comp. Sci. Srinivasan Comp. Sci. Srinivasan Comp. Sci. Srinivasan Comp. Sci Wu Finance Wu Finance Wu Pinance Wu Finance Wu Finance Wu Finance Wu Finance	Srinivasan         Comp. Sci.         65000           Srinivasan         Comp. Sci.         65000	Srinivasan         Comp. Sci.         65000         10101           Srinivasan         Comp. Sci.         65000         12121           Srinivasan         Comp. Sci.         65000         15151           Srinivasan         Comp. Sci.         65000         22222	Srinivasan         Comp. Sci.         65000         10101         CS-315           Srinivasan         Comp. Sci.         65000         10101         CS-347           Srinivasan         Comp. Sci.         65000         12121         FIN-201           Srinivasan         Comp. Sci.         65000         15151         MU-199           Srinivasan         Comp. Sci.         65000         22222         PHY-101	Srinivasan         Comp. Sci.         65000         10101         CS-315         1           Srinivasan         Comp. Sci.         65000         10101         CS-347         1           Srinivasan         Comp. Sci.         65000         12121         FIN-201         1           Srinivasan         Comp. Sci.         65000         15151         MU-199         1           Srinivasan         Comp. Sci.         65000         22222         PHY-101         1	Srinivasan



# **Examples**

 Find the names of all instructors in the Art department who have taught some course and the course\_id

select name, course\_id
from instructor, teaches
where instructor.ID = teaches.ID and instructor. dept\_name = 'Art'



## **The Rename Operation**

The SQL allows renaming relations and attributes using the **as** clause:

old-name as new-name

Find the names of all instructors who have a higher salary than some instructor in 'Comp. Sci'.

> select distinct T.name from instructor as T, instructor as S where T.salary > S.salary and S.dept\_name = 'Comp. Sci.'

Keyword as is optional and may be omitted instructor **as**  $T \equiv instructor T$ 



### **Cartesian Product Example\***

Relation emp-super

person	supervisor
Bob	Alice
Mary	Susan
Alice	David
David	Mary

- Find the supervisor of "Bob"
- Find the supervisor of the supervisor of "Bob"
- Find ALL the supervisors (direct and indirect) of "Bob



#### **String Operations**

- SQL includes a string-matching operator for comparisons on character strings. The operator like uses patterns that are described using two special characters:
  - percent (%). The % character matches any substring
  - underscore ( \_ ). The \_ character matches any character
- Find the names of all instructors whose name includes the substring "dar"

select name from instructor where name like '%dar%'

Match the string "100%"

like '100 \%' escape '\'

in that above we use backslash (\) as the escape character



#### **String Operations (Cont.)**

- Patterns are case sensitive
- Pattern matching examples:
  - 'Intro%' matches any string beginning with "Intro"
  - '%Comp%' matches any string containing "Comp" as a substring
  - '\_\_\_' matches any string of exactly three characters
  - '\_\_\_ %' matches any string of at least three characters
- SQL supports a variety of string operations such as
  - concatenation (using "||")
  - converting from upper to lower case (and vice versa)
  - finding string length, extracting substrings, etc.



#### **Ordering the Display of Tuples**

List in alphabetic order the names of all instructors

select distinct name from instructor order by name

- We may specify desc for descending order or asc for ascending order, for each attribute; ascending order is the default.
  - Example: order by name desc
- Can sort on multiple attributes
  - Example: order by dept\_name, name



#### **Where Clause Predicates**

- SQL includes a **between** comparison operator
- Example: Find the names of all instructors with salary between \$90,000 and \$100,000 (that is,  $\geq$  \$90,000 and  $\leq$  \$100,000)

select name from instructor where salary between 90000 and 100000

Tuple comparison

select name, course\_id from instructor, teaches where (instructor.ID, dept\_name) = (teaches.ID, 'Biology');



#### **Duplicates\***

- In relations with duplicates, SQL can define how many copies of tuples appear in the result
- Multiset versions of some of the relational algebra operators given multiset relations r<sub>1</sub> and r<sub>2</sub>:
  - 1.  $\sigma_{\theta}(r_1)$ : If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$ , and  $t_1$  satisfies selections  $\sigma_{\theta}$ , then there are  $c_1$  copies of  $t_1$  in  $\sigma_{\theta}(r_1)$
  - 2.  $\Pi_A(r)$ : For each copy of tuple  $t_1$  in  $r_1$ , there is a copy of tuple  $\Pi_A(t_1)$  in  $\Pi_A(r_1)$  where  $\Pi_A(t_1)$  denotes the projection of the single tuple  $t_1$
  - 3.  $r_1 \times r_2$ : If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$  and  $c_2$  copies of tuple  $t_2$  in  $r_2$ , there are  $c_1 \times c_2$  copies of the tuple  $t_1$ .  $t_2$  in  $r_1 \times r_2$



#### **Duplicates (Cont.)\***

Example: Suppose multiset relations  $r_1$  (A, B) and  $r_2$  (C) are as follows:

$$r_1 = \{(1, a) (2,a)\}$$
  $r_2 = \{(2), (3), (3)\}$ 

- Then  $\Pi_B(r_1)$  would be  $\{(a), (a)\}$ , while  $\Pi_B(r_1) \times r_2$  would be
- $\{(a,2), (a,2), (a,3), (a,3), (a,3), (a,3)\}$
- SQL duplicate semantics:

**select** 
$$A_1, A_2, ..., A_n$$
 **from**  $r_1, r_2, ..., r_m$  **where**  $P$ 

is equivalent to the *multiset* version of the expression:

$$\prod_{A_1,A_2,...,A_n} (\sigma_P(r_1 \times r_2 \times ... \times r_m))$$



- Additional Basic Operations
- Set Operations
- ■Null Values
- Aggregate Functions

## SET OPERATIONS



#### **Set Operations**

Find courses that ran in Fall 2009 or in Spring 2010

(**select** course id **from** section **where** sem = 'Fall' **and** year = 2009) union (select course id from section where sem = 'Spring' and year = 2010)

Find courses that ran in Fall 2009 and in Spring 2010

(**select** course id from section where sem = 'Fall' and year = 2009) intersect (select course id from section where sem = 'Spring' and year = 2010)

Find courses that ran in Fall 2009 but not in Spring 2010

(select course id from section where sem = 'Fall' and year = 2009) except (select course\_id from section where sem = 'Spring' and year = 2010)



#### **Set Operations (Cont.)**

Find the salaries of all instructors that are less than the largest salary

```
select distinct T.salary
from instructor as T, instructor as S
where T.salary < S.salary
```

Find all the salaries of all instructors

```
select distinct salary from instructor
```

Find the largest salary of all instructors

```
(select "second query")
except
(select "first query")
```



#### **Set Operations (Cont.)**

- Set operations union, intersect, and except
  - Each of the above operations automatically eliminates duplicates
- To retain all duplicates use the corresponding multiset versions union all, intersect all and except all.
- Suppose a tuple occurs m times in r and n times in s, then, it occurs:
  - m + n times in r union all s
  - min(m,n) times in r intersect all s
  - $\max(0, m-n)$  times in r except all s



Additional Basic Operations

- ■Set Operations
- Null Values
- Aggregate Functions

### NULL VALUES



#### **Null Values**

- It is possible for tuples to have a null value, denoted by null, for some of their attributes
- null signifies an unknown value or that a value does not exist
- The result of any arithmetic expression involving null is null
  - Example: 5 + *null* returns null
- The predicate is null can be used to check for null values
  - Example: Find all instructors whose salary is null

select name from instructor where salary is null



#### **Null Values and Three Valued Logic**

- Three values true, false, unknown
- Any comparison with *null* returns *unknown* 
  - Example: 5 < null or null <> null or null = null
- Three-valued logic using the value *unknown*:
  - OR: (unknown or true) = true,(unknown or false) = unknown (unknown or unknown) = unknown
  - AND: (true and unknown) = unknown, (false and unknown) = false, (unknown and unknown) = unknown
  - NOT: (not unknown) = unknown
  - "P is unknown" evaluates to true if predicate P evaluates to unknown
- Result of where clause predicate is treated as false if it evaluates to unknown



- Additional Basic Operations
- Set Operations
- ■Null Values
- Aggregate Functions

### AGGREGATE FUNCTIONS



#### **Aggregate Functions**

 These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value

min: minimum value

max: maximum value

**sum:** sum of values

count: number of values



#### **Aggregate Functions (Cont.)**

Find the average salary of instructors in the Computer Science department

```
select avg (salary)
from instructor
where dept_name= 'Comp. Sci.';
```

 Find the total number of instructors who teach a course in the Spring 2010 semester

```
select count (distinct ID)
from teaches
where semester = 'Spring' and year = 2010;
```

Find the number of tuples in the course relation

```
select count (*) from course;
```



### **Aggregate Functions – Group By**

Find the average salary of instructors in each department select dept\_name, avg (salary) as avg\_salary from instructor group by dept\_name;

ID	пате	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000



### **Aggregation (Cont.)**

Attributes in select clause outside of aggregate functions must appear in group by list

```
/* erroneous query */
select dept_name, ID, avg (salary)
from instructor
group by dept_name;
```



#### **Aggregate Functions – Having Clause**

 Find the names and average salaries of all departments whose average salary is greater than 42000

select dept\_name, avg (salary)
from instructor
group by dept\_name
having avg (salary) > 42000;

Note: predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups



#### **Null Values and Aggregates**

Total all salaries

select sum (salary ) from instructor

- Above statement ignores null amounts
- Result is *null* if there is no non-null amount
- All aggregate operations except count(\*) ignore tuples with null values on the aggregated attributes
- What if collection has only null values?
  - count returns 0
  - all other aggregates return null



#### **Module Summary**

- Completed the understanding of basic query structure and set operations
- Familiarized with null values and aggregation



#### **Instructor and TAs**

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## Database Management Systems Module 08: Introduction to SQL/3

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#### **Module Recap**

- Additional Basic Operations
- Set Operations
- Null Values
- Aggregate Functions



### **Module Objectives**

- To understand nested sub-query in SQL
- To understand processes for data modification





#### **Module Outline**

- Nested Subqueries
- Modification of the Database





- Nested Subqueries
- Modification of the Database

# NESTED SUB-QUERIES



#### **Nested Subqueries**

- SQL provides a mechanism for the nesting of subqueries
- A **subquery** is a **select-from-where** expression that is nested within another query
- The nesting can be done in the following SQL query

**select** 
$$A_1, A_2, ..., A_n$$
 **from**  $r_1, r_2, ..., r_m$  **where**  $P$ 

#### as follows:

- $A_i$  can be replaced be a subquery that generates a single value
- $r_i$  can be replaced by any valid subquery
- P can be replaced with an expression of the form:

B < operation > (subquery)

where B is an attribute and coperation to be defined later



# Subqueries in the Where Clause



#### **Subqueries in the Where Clause**

- A common use of subqueries is to perform tests:
  - For set membership
  - For set comparisons
  - For set cardinality



#### **Set Membership**

Find courses offered in Fall 2009 and in Spring 2010

```
select distinct course id
from section
where semester = 'Fall' and year= 2009 and
      course_id in (select course_id
                    from section
                    where semester = 'Spring' and year= 2010);
```

Find courses offered in Fall 2009 but not in Spring 2010

```
select distinct course id
from section
where semester = 'Fall' and year = 2009 and
      course_id not in (select course_id
                        from section
                        where semester = 'Spring' and year= 2010);
```



#### **Set Membership (Cont.)**

 Find the total number of (distinct) students who have taken course sections taught by the instructor with ID 10101

Note: Above query can be written in a much simpler manner.
 The formulation above is simply to illustrate SQL features.



#### Set Comparison – "some" Clause

 Find names of instructors with salary greater than that of some (at least one) instructor in the Biology department

select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept name = 'Biology';

Same query using > some clause



#### **Definition of "some" Clause\***

F <comp> some  $r \Leftrightarrow \exists t \in r$  such that (F <comp> t) Where <comp> can be: <,  $\le$ , >, =,  $\neq$ 

$$(5 < \mathbf{some} \begin{vmatrix} 0 \\ 5 \\ 6 \end{vmatrix}) = \text{true}$$

$$(6 < \mathbf{some} \begin{vmatrix} 0 \\ 5 \end{vmatrix}) = \text{false}$$

$$(5 < \mathbf{some} \begin{vmatrix} 0 \\ 5 \end{vmatrix}) = \text{true}$$

$$(5 \neq \text{some} \mid \frac{0}{5})$$
 = true (since  $0 \neq 5$ )  
(= some) = in

However,  $(\neq some) \not\equiv not in$ 



#### Set Comparison – "all" Clause

 Find the names of all instructors whose salary is greater than the salary of all instructors in the Biology department



#### **Definition of "all" Clause\***

 $F < comp > all \ r \Leftrightarrow \forall \ t \in r \ (F < comp > t)$ 

$$(5 < \mathbf{all} \quad \begin{array}{c} 0 \\ 5 \\ 6 \end{array}) = \text{false}$$

$$(5 < \mathbf{all} \quad \begin{array}{c} 6 \\ 10 \end{array}) = \text{true}$$

$$(5 = \mathbf{all} \quad \begin{array}{c} 4 \\ 5 \end{array}) = \text{false}$$

$$(5 \neq \mathbf{all} \quad \begin{array}{c} 4 \\ 6 \end{array}) = \text{true (since } 5 \neq 4 \text{ and } 5 \neq 6)$$

$$(\neq \mathbf{all}) \equiv \mathbf{not in}$$



## **Test for Empty Relations**

- The **exists** construct returns the value **true** if the argument subquery is nonempty
- exists  $r \Leftrightarrow r \neq \emptyset$
- not exists  $r \Leftrightarrow r = \emptyset$



#### **Use of "exists" Clause**

Yet another way of specifying the query "Find all courses taught in both the Fall 2009 semester and in the Spring 2010 semester"

```
select course id
from section as S
where semester = 'Fall' and year = 2009 and
       exists (select *
               from section as T
               where semester = 'Spring' and year = 2010
                      and S.course_id = T.course_id);
```

- **Correlation name** variable S in the outer query
- **Correlated subquery** the inner query



#### Use of "not exists" Clause

Find all students who have taken all courses offered in the Biology department.

```
select distinct S.ID, S.name
from student as S
where not exists ( (select course_id
                   from course
                   where dept_name = 'Biology')
                  except
                   (select T.course id
                    from takes as T
                    where S.ID = T.ID);
```

- First nested query lists all courses offered in Biology
- Second nested query lists all courses a particular student took
- Note:  $X Y = \emptyset \Leftrightarrow X \subset Y$
- *Note:* Cannot write this query using = **all** and its variants



### **Test for Absence of Duplicate Tuples**

- The unique construct tests whether a subquery has any duplicate tuples in its result
- The unique construct evaluates to "true" if a given subquery contains no duplicates
- Find all courses that were offered at most once in 2009

```
select T.course_id
from course as T
where unique (select R.course_id
from section as R
where T.course_id= R.course_id
and R.year = 2009);
```



# Subqueries in the From Clause



#### Subqueries in the From Clause

- SQL allows a subquery expression to be used in the from clause
- Find the average instructors' salaries of those departments where the average salary is greater than \$42,000
  - select dept\_name, avg\_salary from (select dept\_name, avg (salary) as avg\_salary from instructor group by dept\_name) where avg\_salary > 42000;
- Note that we do not need to use the having clause
- Another way to write above query
  - select dept\_name, avg\_salary from (select dept\_name, avg (salary) from instructor group by dept\_name) as dept\_avg (dept\_name, avg\_salary) where avg\_salary > 42000;



#### With Clause

- The with clause provides a way of defining a temporary relation whose definition is available only to the query in which the with clause occurs
- Find all departments with the maximum budget

with max\_budget (value) as (select max(budget) from department) select department.name from department, max\_budget **where** *department.budget* = *max\_budget.value*;



## **Complex Queries using With Clause\***

 Find all departments where the total salary is greater than the average of the total salary at all departments

```
with dept_total (dept_name, value) as
        (select dept_name, sum(salary)
        from instructor
        group by dept_name),
dept_total_avg(value) as
        (select avg(value)
        from dept_total)
select dept_name
from dept_total, dept_total_avg
where dept_total.value > dept_total_avg.value;
```



## Subqueries in the Select Clause



### **Scalar Subquery**

- Scalar subquery is one which is used where a single value is expected
- List all departments along with the number of instructors in each department

```
select dept_name,
(select count(*)
  from instructor
  where department.dept_name = instructor.dept_name)
as num_instructors
from department,
```

Runtime error if subquery returns more than one result tuple



- Nested Subqueries
- Modification of the Database

## MODIFICATION OF THE DATABASE



#### **Modification of the Database**

- Deletion of tuples from a given relation
- Insertion of new tuples into a given relation
- Updating of values in some tuples in a given relation



#### **Deletion**

Delete all instructors

delete from instructor

- Delete all instructors from the Finance department delete from instructor where dept\_name= 'Finance';
- Delete all tuples in the *instructor* relation for those instructors associated with a department located in the Watson building

delete from instructor where dept name in (select dept name from department **where** *building* = 'Watson');



### **Deletion (Cont.)**

Delete all instructors whose salary is less than the average salary of instructors

- Problem: as we delete tuples from deposit, the average salary changes
- Solution used in SQL:
  - 1. First, compute avg (salary) and find all tuples to delete
  - 2. Next, delete all tuples found above (without recomputing **avg** or retesting the tuples)



#### Insertion

Add a new tuple to course

insert into course values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);

or equivalently

insert into course (course\_id, title, dept\_name, credits) values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);

Add a new tuple to student with tot\_creds set to null

insert into student values ('3003', 'Green', 'Finance', null);



### **Insertion (Cont.)**

Add all instructors to the student relation with tot\_creds set to 0

insert into student select ID, name, dept\_name, 0 from instructor

- The **select from where** statement is evaluated fully before any of its results are inserted into the relation
- Otherwise queries like

insert into table1 select \* from table1 would cause problem



#### **Updates**

- Increase salaries of instructors whose salary is over \$100,000 by 3%, and all others by a 5%
  - Write two update statements:

```
update instructor
  set salary = salary * 1.03
  where salary > 100000;
update instructor
  set salary = salary * 1.05
  where salary <= 100000;</pre>
```

- The order is important
- Can be done better using the case statement (next slide)



## **Case Statement for Conditional Updates**

Same query as before but with case statement



### **Updates with Scalar Subqueries**

Recompute and update tot\_creds value for all students

```
update student S
set tot_cred = (select sum(credits)
               from takes, course
               where takes.course id = course.course id and
                      S.ID= takes.ID.and
                      takes.grade <> 'F' and
                      takes.grade is not null);
```

- Sets tot\_creds to null for students who have not taken any course
- Instead of **sum**(*credits*), use:

```
case
  when sum(credits) is not null then sum(credits)
  else 0
end
```



## **Module Summary**

- Introduced nested sub-query in SQL
- Introduced data modification





#### **Instructor and TAs**

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## Database Management Systems Module 09: Intermediate SQL/1

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## **Module Recap**

- Nested Subqueries
- Modification of the Database





## **Module Objectives**

- To learn SQL expressions for Join and Views
- To understand transactions





#### **Module Outline**

- Join Expressions
- Views
- Transactions





- Join Expressions
- ■Views
- Transactions

## JOIN EXPRESSIONS



#### **Joined Relations**

- Join operations take two relations and return as a result another relation
- A join operation is a Cartesian product which requires that tuples in the two relations match (under some condition).
- It also specifies the attributes that are present in the result of the join
- The join operations are typically used as subquery expressions in the from clause



## **Types of Join between Relations**

- Cross join
- Inner join
  - Equi-join
    - Natural join
- Outer join
  - Left outer join
  - Right outer join
  - Full outer join
- Self-join





#### **Cross Join**

- CROSS JOIN returns the Cartesian product of rows from tables in the join
  - **Explicit**

select \*

from employee cross join department,

**Implicit** 

select \*

from employee, department,



## Join operations – Example

Relation course

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

Relation prereq

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

Observe that

prereq information is missing for CS-315 and course information is missing for CS-437



#### **Inner Join**



course inner join prereq

course_id	title	dept_name	credits	prere_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190

If specified as natural, the 2<sup>nd</sup> course\_id field is skipped

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

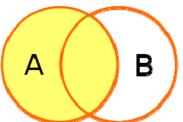


#### **Outer Join**

- An extension of the join operation that avoids loss of information
- Computes the join and then adds tuples form one relation that does not match tuples in the other relation to the result of the join
- Uses null values



#### **Left Outer Join**



course natural left outer join prereq

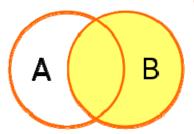
course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101



## **Right Outer Join**



course natural right outer join prereq

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101



#### **Joined Relations**

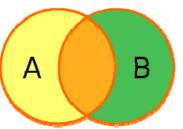
- **Join operations** take two relations and return as a result another relation
- These additional operations are typically used as subquery expressions in the from clause
- **Join condition** defines which tuples in the two relations match, and what attributes are present in the result of the join
- Join type defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated

Join types
inner join
left outer join
right outer join
full outer join

Join Conditions	
natural	
<b>on</b> < predicate>	
<b>using</b> $(A_1, A_1,, A_n)$	



# **Full Outer Join**



course natural full outer join prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101



# Joined Relations – Examples

course inner join prereq on course.course\_id = prereq.course\_id

course_id	title	dept_name	credits	prere_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190

- What is the difference between the above (equi\_join), and a natural join?
- course left outer join prereq on course.course\_id = prereq.course\_id

course_id	title	dept_name	credits	prere_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190
CS-315	Robotics	Comp. Sci.	3	null	null



# Joined Relations – Examples

course natural right outer join prereq

course_id	title	dept_name	credits	prere_id
BIO-301 CS-190 CS-347	Genetics Game Design	Biology Comp. Sci.	4 4 null	BIO-101 CS-101 CS-101

course full outer join prereq using (course\_id)

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101





- Views
- Transactions







#### **Views**

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database.)
- Consider a person who needs to know an instructors name and department, but not the salary. This person should see a relation described, in SQL, by

**select** *ID*, *name*, *dept\_name* **from** *instructor* 

- A view provides a mechanism to hide certain data from the view of certain users
- Any relation that is not of the conceptual model but is made visible to a user as a "virtual relation" is called a view



#### **View Definition**

 A view is defined using the create view statement which has the form

create view v as < query expression >

- where <query expression> is any legal SQL expression
- The view name is represented by v
- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates
- View definition is not the same as creating a new relation by evaluating the query expression
  - Rather, a view definition causes the saving of an expression;
     the expression is substituted into queries using the view



## **Example Views**

- A view of instructors without their salary
  - create view faculty as select ID, name, dept\_name from instructor
- Find all instructors in the Biology department select name from faculty where dept\_name = 'Biology'
- Create a view of department salary totals create view departments\_total\_salary(dept\_name, total\_salary) as select dept\_name, sum (salary) from instructor group by dept\_name;



## **Views Defined Using Other Views**

- create view physics\_fall\_2009 as **select** course\_id, sec\_id, building, room\_number from course, section where course.course id = section.course id and course.dept\_name = 'Physics' and section.semester = 'Fall' and section.year = '2009';
- create view physics\_fall\_2009\_watson as **select** *course\_id*, *room\_number* from physics\_fall\_2009 where building= 'Watson';



# **View Expansion**

Expand use of a view in a query/another view

create view physics\_fall\_2009\_watson as (select course\_id, room\_number from (select course\_id, building, room\_number from course, section where course\_id = section.course\_id and course.dept\_name = 'Physics' and section.semester = 'Fall' and section.year = '2009') where building= 'Watson';



# **Views Defined Using Other Views**

- One view may be used in the expression defining another view
- A view relation  $v_1$  is said to *depend directly* on a view relation  $v_2$  if  $v_2$  is used in the expression defining  $v_1$
- A view relation v<sub>1</sub> is said to depend on view relation v<sub>2</sub> if either v<sub>1</sub> depends directly to v<sub>2</sub> or there is a path of dependencies from v<sub>1</sub> to v<sub>2</sub>
- A view relation *v* is said to be *recursive* if it depends on itself



## **View Expansion\***

- A way to define the meaning of views defined in terms of other views
- Let view v<sub>1</sub> be defined by an expression e<sub>1</sub> that may itself contain uses of view relations
- View expansion of an expression repeats the following replacement step:

#### repeat

Find any view relation  $v_i$  in  $e_1$ Replace the view relation  $v_i$  by the expression defining  $v_i$ **until** no more view relations are present in  $e_1$ 

 As long as the view definitions are not recursive, this loop will terminate



#### **Recursive View**

- In SQL, recursive queries are typically built using these components:
  - A non-recursive seed statement
  - A recursive statement
  - A connection operator
    - The only valid set connection operator in a recursive view definition is UNION ALL
  - A terminal condition to prevent infinite recursion



# **Recursive View – Example**

In the context of a relation *flights*:

create table fli	ghts (	source	destination	carrier	cost
source	varchar(40),	Paris	Detroit	KLM	7
_	varchar(40),	Paris	New York	KLM	6
carrier cost	varchar(40), decimal(5,0));	Paris	Boston	American Airlines	8
0001	<b>accimal</b> (0,0)),	New York	Chicago	American Airlines	2
		Boston	Chicago	American Airlines	6
		Detroit	San Jose	American Airlines	4
		Chicago	San Jose	American Airlines	2

Find all the destinations that can be reached from 'Paris'



# **Recursive View – Example**

create recursive view reachable\_from (source,destination,depth) as (

select root.source, root.destination, 0 as depth

from flights as root

where root.source = 'Paris'

union all

**select** in1.source, out1.destination, in1.depth + 1

from reachable\_from as in1, flights as out1

where in1.destination = out1.source and
in1.depth <= 100);</pre>

Get the result by simple selection on the view:

select distinct source, destination

from reachable\_from;

This example view, reachable\_from, is called the *transitive closure* of the *flights* relation

source	destination
Paris	Detroit
Paris	New York
Paris	Boston
Paris	Chicago
Paris	San Jose

- A non-recursive seed statement
- A recursive statement
- A connection operator
- A terminal condition to prevent infinite recursion

source	destination	carrier	cost
Paris	Detroit	KLM	7
Paris	New York	KLM	6
Paris	Boston	American Airlines	8
New York	Chicago	American Airlines	2
Boston	Chicago	American Airlines	6
Detroit	San Jose	American Airlines	4
Chicago	San Jose	American Airlines	2

Source: https://info.teradata.com/HTMLPubs/DB\_TTU\_16\_00/index.html#page/SQL\_Reference%2FB035-1184-160K%2Fsme1472241335807.html%23wwID0EJ23T



## **The Power of Recursion**

- Recursive views make it possible to write queries, such as transitive closure queries, that cannot be written without recursion or iteration
  - Intuition: Without recursion, a non-recursive non-iterative program can perform only a fixed number of joins of flights with itself
    - This can give only a fixed number of levels of reachable destinations
    - Given a fixed non-recursive query, we can construct a database with a greater number of levels of reachable destinations on which the query will not work



## **The Power of Recursion**

- Computing transitive closure using iteration, adding successive tuples to reachable\_from
  - The next slide shows a *flights* relation
  - Each step of the iterative process constructs an extended version of reachable\_from from its recursive definition
  - The final result is called the *fixed point* of the recursive view definition.
- Recursive views are required to be monotonic. That is, if we add tuples to *flights* the view reachable\_from contains all of the tuples it contained before, plus possibly more



# **Example of Fixed-Point Computation**

source	destination	carrier	cost
Paris	Detroit	KLM	7
Paris	New York	KLM	6
Paris	Boston	American Airlines	8
New York	Chicago	American Airlines	2
Boston	Chicago	American Airlines	6
Detroit	San Jose	American Airlines	4
Chicago	San Jose	American Airlines	2

Iteration #	Tuples in Closure
0	Detroit, New York, Boston
1	Detroit, New York, Boston, San Jose, Chicago
2	Detroit, New York, Boston, San Jose, Chicago



# **Update of a View**

Add a new tuple to faculty view which we defined earlier

insert into faculty values ('30765', 'Green', 'Music');

This insertion must be represented by the insertion of the tuple

('30765', 'Green', 'Music', null)

into the instructor relation



### Some Updates cannot be Translated Uniquely

- create view instructor\_info as
   select ID, name, building
   from instructor, department
   where instructor.dept\_name= department.dept\_name;
- insert into instructor\_info values ('69987', 'White', 'Taylor');
  - which department, if multiple departments in Taylor?
  - what if no department is in Taylor?
- Most SQL implementations allow updates only on simple views
  - The from clause has only one database relation
  - The select clause contains only attribute names of the relation, and does not have any expressions, aggregates, or distinct specification
  - Any attribute not listed in the select clause can be set to null
  - The query does not have a group by or having clause



## **And Some Not at All**

create view history\_instructors as select \* from instructor where dept\_name= 'History';

What happens if we insert ('25566', 'Brown', 'Biology', 100000) into history\_instructors?



#### **Materialized Views**

- Materializing a view: create a physical table containing all the tuples in the result of the query defining the view
- If relations used in the query are updated, the materialized view result becomes out of date
  - Need to maintain the view, by updating the view whenever the underlying relations are updated



- Join Expressions
- ■Views
- Transactions

# TRANSACTIONS



#### **Transactions**

- Unit of work
- Atomic transaction
  - either fully executed or rolled back as if it never occurred
- Isolation from concurrent transactions
- Transactions begin implicitly
  - Ended by commit work or rollback work
- But default on most databases: each SQL statement commits automatically
  - Can turn off auto commit for a session (e.g. using API)
  - In SQL:1999, can use: begin atomic .... end
    - Not supported on most databases



# **Module Summary**

- Learnt SQL expressions for Join and Views
- Introduced transactions





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# Database Management Systems Module 10: Intermediate SQL/2

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# **Module Recap**

- Join Expressions
- Views
- Transactions





# **Module Objectives**

- To learn SQL expressions for integrity constraints
- To understand more data types in SQL
- To understand authorization in SQL





# **Module Outline**

- Integrity Constraints
- SQL Data Types and Schemas
- Authorization



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#### Integrity Constraints

- ■SQL Data Types and Schemas
- Authorization

# INTEGRITY CONSTRAINTS



# **Integrity Constraints**

- Integrity constraints guard against accidental damage to the database, by ensuring that authorized changes to the database do not result in a loss of data consistency
  - A checking account must have a balance greater than \$10,000.00
  - A salary of a bank employee must be at least \$4.00 an hour
  - A customer must have a (non-null) phone number



## **Integrity Constraints on a Single Relation**

- not null
- primary key
- unique
- **check** (P), where P is a predicate



# **Not Null and Unique Constraints**

- not null
  - Declare name and budget to be not null name varchar(20) not null budget numeric(12,2) not null
- unique  $(A_1, A_2, ..., A_m)$ 
  - The unique specification states that the attributes  $A_1$ ,  $A_2$ , ... A<sub>m</sub> form a candidate key
  - Candidate keys are permitted to be null (in contrast to primary keys).



#### The check clause

check (P) where P is a predicate Example: ensure that semester is one of fall, winter, spring or summer: create table section ( course\_id varchar (8), sec\_id varchar (8), semester varchar (6), year numeric (4,0), building varchar (15), room\_number varchar (7), time slot id varchar (4), primary key (course\_id, sec\_id, semester, year), check (semester in ('Fall', 'Winter', 'Spring', 'Summer')) );



## **Referential Integrity**

- Ensures that a value that appears in one relation for a given set of attributes also appears for a certain set of attributes in another relation
  - Example: If "Biology" is a department name appearing in one of the tuples in the *instructor* relation, then there exists a tuple in the *department* relation for "Biology"
- Let A be a set of attributes. Let R and S be two relations that contain attributes A and where A is the primary key of S. A is said to be a **foreign key** of R if for any values of A appearing in R these values also appear in S



# **Cascading Actions in Referential Integrity**

```
    create table course (
        course_id char(5) primary key,
        title varchar(20),
        dept_name varchar(20) references department
        )
    create table course (
        ...
        dept_name varchar(20),
        foreign key (dept_name) references department
            on delete cascade
            on update cascade,
        ...
        )
```

alternative actions to cascade: no action, set null, set default



## **Integrity Constraint Violation During Transactions**

```
create table person (
ID char(10),
name char(40),
mother char(10),
father char(10),
primary key ID,
foreign key father references person,
foreign key mother references person)
```

- How to insert a tuple without causing constraint violation?
  - insert father and mother of a person before inserting person
  - OR, set father and mother to null initially, update after inserting all persons (not possible if father and mother attributes declared to be **not null**)
  - OR defer constraint checking (will discuss later)



- Integrity Constraints
- SQL Data Types and Schemas
- Authorization

# SQL DATA TYPES AND SCHEMAS



## **Built-in Data Types in SQL**

- date: Dates, containing a (4 digit) year, month and date
  - Example: date '2005-7-27'
- time: Time of day, in hours, minutes and seconds.
  - Example: time '09:00:30'time '09:00:30.75'
- timestamp: date plus time of day
  - Example: timestamp '2005-7-27 09:00:30.75'
- interval: period of time
  - Example: interval '1' day
  - Subtracting a date/time/timestamp value from another gives an interval value
  - Interval values can be added to date/time/timestamp values



#### **Index Creation**

create table student (ID varchar (5), name varchar (20) not null, dept\_name varchar (20), tot\_cred numeric (3,0) default 0, primary key (ID))

- **create index** studentID\_index **on** student(ID)
- Indices are data structures used to speed up access to records with specified values for index attributes

select \* from student where ID = '12345'

- can be executed by using the index to find the required record, without looking at all records of student
- More on indices in Chapter 11



# **User-Defined Types**

create type construct in SQL creates user-defined type

create type Dollars as numeric (12,2) final

 create table department (dept\_name varchar (20), building varchar (15), budget Dollars);



#### **Domains**

create domain construct in SQL-92 creates user-defined domain types

create domain person\_name char(20) not null

- Types and domains are similar
- Domains can have constraints, such as not null, specified on them

```
create domain degree_level varchar(10)
constraint degree_level_test
check (value in ('Bachelors', 'Masters', 'Doctorate'));
```



# **Large-Object Types**

- Large objects (photos, videos, CAD files, etc.) are stored as a large object.
  - blob: binary large object -- object is a large collection of uninterpreted binary data (whose interpretation is left to an application outside of the database system)
  - clob: character large object -- object is a large collection of character data
  - When a query returns a large object, a pointer is returned rather than the large object itself



- Integrity Constraints
- SQL Data Types and Schemas
- Authorization

# AUTHORIZATION



#### **Authorization**

- Forms of authorization on parts of the database:
  - Read allows reading, but not modification of data
  - **Insert** allows insertion of new data, but not modification of existing data
  - Update allows modification, but not deletion of data
  - **Delete** allows deletion of data
- Forms of authorization to modify the database schema
  - **Index** allows creation and deletion of indices
  - **Resources** allows creation of new relations
  - **Alteration** allows addition or deletion of attributes in a relation
  - **Drop** allows deletion of relations



# **Authorization Specification in SQL**

- The grant statement is used to confer authorization grant <pri>grant <pri>ilege list>
   on <relation name or view name> to <user list>
- <user list> is:
  - a user-id
  - public, which allows all valid users the privilege granted
  - A role (more on this later)
- Granting a privilege on a view does not imply granting any privileges on the underlying relations
- The grantor of the privilege must already hold the privilege on the specified item (or be the database administrator)



# **Privileges in SQL**

- **select:** allows read access to relation, or the ability to query using the view
  - Example: grant users  $U_1$ ,  $U_2$ , and  $U_3$  select authorization on the *instructor* relation:

grant select on instructor to  $U_1$ ,  $U_2$ ,  $U_3$ 

- **insert**: the ability to insert tuples
- update: the ability to update using the SQL update statement
- delete: the ability to delete tuples.
- all privileges: used as a short form for all the allowable privileges



# **Revoking Authorization in SQL**

- The **revoke** statement is used to revoke authorization revoke <privilege list> on <relation name or view name > from <user list>
- Example: revoke select on branch from  $U_1$ ,  $U_2$ ,  $U_3$
- <privilege-list> may be all to revoke all privileges the revokee may hold
- If <revokee-list> includes **public**, all users lose the privilege except those granted it explicitly
- If the same privilege was granted twice to the same user by different grantees, the user may retain the privilege after the revocation
- All privileges that depend on the privilege being revoked are also revoked



#### Roles

create role instructor;

grant instructor to Amit;

- Privileges can be granted to roles:
  - grant select on takes to instructor,
- Roles can be granted to users, as well as to other roles create role teaching\_assistant grant teaching\_assistant to instructor,
  - Instructor inherits all privileges of teaching\_assistant
- Chain of roles
  - create role dean;
  - grant instructor to dean;
  - grant dean to Satoshi;



#### **Authorization on Views**

```
create view geo_instructor as
(select *
from instructor
where dept_name = 'Geology');
grant select on geo_instructor to geo_staff
```

Suppose that a geo\_staff member issues

```
select *
from geo_instructor,
```

- What if
  - geo\_staff does not have permissions on instructor?
  - creator of view did not have some permissions on instructor?



#### Other Authorization Features\*

- references privilege to create foreign key **grant reference** (dept\_name) **on** department **to** Mariano;
  - why is this required?
- transfer of privileges

grant select on department to Amit with grant option; revoke select on department from Amit, Satoshi cascade; revoke select on department from Amit, Satoshi restrict;



# **Module Summary**

- Learnt SQL expressions for integrity constraints
- Familiarized with more data types in SQL
- Discussed authorization in SQL



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