# Study Material - Youtube

#### **Document Information**

• Generated: 2025-08-26 07:18:45

• Source: https://www.youtube.com/watch?v=3KQWN9SS7L0

• Platform: Youtube

• Word Count: 1,940 words

• Estimated Reading Time: ~9 minutes

• Number of Chapters: 4

• Transcript Available: Yes (analyzed from video content)

## **Table of Contents**

1. Inference in Denoising Diffusion Implicit Models (DDIMs)

2. Key Mathematical Concepts

3. Self-Assessment

4. Key Takeaways from This Video

## Video Overview

This video lecture provides a detailed mathematical explanation of the **inference process in Denoising Diffusion Implicit Models (DDIMs)**. The instructor, Prof. Prathosh A P, clarifies that while DDIMs share the same training procedure as Denoising Diffusion Probabilistic Models (DDPMs), their inference or sampling mechanism is fundamentally different and more flexible.

The core of the lecture is the derivation of the generalized sampling equation for DDIMs. This equation reveals that DDIM is a more general framework that includes DDPM as a special case. The lecture emphasizes two key special cases of the DDIM sampling process: one that recovers the original DDPM and another that leads to a fully deterministic process. This deterministic nature is a significant advantage, enabling unique **DDIM Inversion**, a concept crucial for applications like consistent image editing.

## Learning Objectives

Upon completing this lecture, students will be able to: - **Distinguish** between the training and inference processes of DDPMs and DDIMs. - **Understand** the mathematical foundation of the DDIM reverse process. - **Derive** the complete step-by-step sampling equation for generating an image from noise using DDIM. - **Explain** the role of the variance parameter  $\sigma_t$  in controlling the stochasticity of the sampling process. - **Identify** the conditions under which DDIM sampling becomes fully deterministic. - **Define** and explain the concept of DDIM Inversion and its practical importance.

#### **Prerequisites**

To fully grasp the concepts in this video, students should have a solid understanding of: - The foundational principles of **Denoising Diffusion Probabilistic Models (DDPMs)**, including the forward (noising) and reverse (denoising) processes. - The mathematical derivation of the **Evidence Lower Bound (ELBO)** for diffusion models. - Core concepts in probability theory, particularly **Gaussian distributions**, **Bayes' rule**, and conditional probability. - Basic calculus and linear algebra.

## **Key Concepts Covered**

- DDIM Inference
- Non-Markovian Reverse Process
- Deterministic Sampling

- DDIM Invertibility
- The role of variance parameter  $\sigma_t$

# Inference in Denoising Diffusion Implicit Models (DDIMs)

## The Core Idea: A Different Reverse Process

The lecture begins by establishing the most critical distinction between DDPMs and DDIMs (00:11):

There is no difference between training DDPM & DDIMs, but the inference process is different.

This means that a model trained using the DDPM objective function can be used for sampling with either the DDPM or the DDIM inference procedure. The power of DDIM lies in its more generalized and flexible approach to the reverse (sampling) process.

Inference, in the context of generative models, is the process of generating new data. For diffusion models, this means sampling from the reverse process (00:32), which involves iteratively denoising a sample from a simple distribution (like a Gaussian) to produce a complex data point (like an image). Specifically, we want to sample from the distribution  $p_{\theta}(x_{t-1}|x_t)$ .

The relationship can be visualized as follows:

```
graph TD
    A["Trained Model (DDPM Objective)"] --> B{"Inference Method"}
    B -->|DDPM Sampling (Stochastic)| C["Generated Sample 1"]
    B -->|DDIM Sampling (Generalized)| D["Generated Sample 2"]
```

Caption: A single model trained with the DDPM objective can use different inference strategies, like the stochastic DDPM sampler or the more flexible DDIM sampler.

## Mathematical Foundation of DDIM Sampling

## Intuitive Goal

The objective is to define a procedure to obtain a less noisy sample  $x_{t-1}$  from a more noisy sample  $x_t$ . DDIM achieves this by defining a non-Markovian reverse process, which, unlike the DDPM process, does not strictly depend only on the previous state. This generalization is parameterized by a variable  $\sigma$ , which controls the stochasticity of each reverse step.

#### Recalling the Key Posterior Distribution

The derivation of the DDIM sampling step starts from the posterior distribution  $q_{\sigma}(x_{t-1}|x_t,x_0)$ , which was established in the development of the DDIM framework. This distribution is Gaussian and its form is central to the entire process (02:02).

The posterior distribution is given by:

$$q_{\sigma}(x_{t-1}|x_t, x_0) \approx \mathcal{N}\left(\text{mean}, \sigma_t^2 I\right)$$

where the mean is:

$$\mathrm{mean} = \sqrt{\bar{\alpha}_{t-1}} x_0 + \sqrt{1 - \bar{\alpha}_{t-1} - \sigma_t^2} \cdot \frac{x_t - \sqrt{\bar{\alpha}_t} x_0}{\sqrt{1 - \bar{\alpha}_t}}$$

#### Step-by-Step Derivation of the Sampling Equation

The sampling process for  $x_{t-1}$  involves approximating this posterior distribution. Since we don't know the true initial image  $x_0$  during inference, we must first predict it.

## Step 1: Predict the Original Image $x_0$ (01:14)

The trained neural network,  $\hat{\epsilon}_{\theta^*}(x_t, t)$ , predicts the noise that was added to get to state  $x_t$ . We can use this predicted noise to estimate the original image  $x_0$ . This estimate is denoted as  $\mu_{\theta^*}(x_t)$ .

From the forward process definition,  $x_t = \sqrt{\bar{\alpha}_t} x_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon_t$ , we can rearrange to solve for  $x_0$ :

$$x_0 = \frac{x_t - \sqrt{1 - \bar{\alpha}_t} \epsilon_t}{\sqrt{\bar{\alpha}_t}}$$

By replacing the true noise  $\epsilon_t$  with the network's prediction  $\hat{\epsilon}_{\theta^*}(x_t,t)$ , we get our prediction for  $x_0$ :

$$\text{Predicted } x_0 \triangleq \mu_{\theta^*}(x_t) = \frac{x_t - \sqrt{1 - \bar{\alpha}_t} \hat{\epsilon}_{\theta^*}(x_t, t)}{\sqrt{\bar{\alpha}_t}}$$

### Step 2: Deconstruct the Sampling Step (04:52)

To sample  $x_{t-1}$ , we use the structure of the posterior  $q_{\sigma}(x_{t-1}|x_t,x_0)$ . We replace the true  $x_0$  with our prediction  $\mu_{\theta^*}(x_t)$ . The sampling step can be broken down into three intuitive components:

- 1. A term pointing to the predicted original image  $(x_0)$ : This is the first part of the posterior's mean,  $\sqrt{\bar{\alpha}_{t-1}}x_0$ . It guides the sample towards the estimated clean image.
- 2. A term representing the direction from  $x_t$ : The term  $\frac{x_t \sqrt{\alpha_t} x_0}{\sqrt{1 \bar{\alpha}_t}}$  represents the direction of the noise  $\epsilon_t$ . When we substitute our predicted  $x_0$ , this simplifies to our predicted noise  $\hat{\epsilon}_{\theta^*}$ . This component is scaled by  $\sqrt{1 \bar{\alpha}_{t-1} \sigma_t^2}$ .
- 3. Random noise: An additional Gaussian noise term,  $\sigma_t Z$  where  $Z \sim \mathcal{N}(0, I)$ , is added to introduce stochasticity. The magnitude of this noise is controlled by  $\sigma_t$ .

## Step 3: The General DDIM Sampling Equation (07:35)

Combining these components gives the final sampling equation for DDIM:

$$x_{t-1} = \underbrace{\sqrt{\bar{\alpha}_{t-1}} \left( \frac{x_t - \sqrt{1 - \bar{\alpha}_t} \hat{\epsilon}_{\theta^*}}{\sqrt{\bar{\alpha}_t}} \right)}_{\text{Component 1: Pointing to predicted } x_0} + \underbrace{\sqrt{1 - \bar{\alpha}_{t-1} - \sigma_t^2} \cdot \hat{\epsilon}_{\theta^*}}_{\text{Component 2: Direction of noise}} + \underbrace{\sigma_t Z}_{\text{Component 3: Random noise}}$$

This equation, shown at (07:35), is the complete rule for one step of the DDIM reverse process.

## The Role of the Variance Parameter $\sigma_t$

The parameter  $\sigma_t$  is crucial as it determines the nature of the sampling process.

## Special Case 1: Recovering DDPM (09:36)

The DDIM framework is a generalization of DDPM. If we set the variance  $\sigma_t^2$  to a specific value used in the original DDPM paper, the DDIM sampling process becomes identical to the DDPM process. When  $\sigma_t^2 = \tilde{\beta}_t = \frac{1 - \bar{\alpha}_{t-1}}{1 - \bar{\alpha}_t} \beta_t$ , the process is equivalent to DDPM.

## Special Case 2: Deterministic Sampling (DDIM) (11:01)

A groundbreaking special case occurs when we set  $\sigma_t = 0$  for all timesteps t. - The random noise term,  $\sigma_t Z$ , vanishes completely. - The sampling process becomes **fully deterministic**.

The sampling equation simplifies to:

$$x_{t-1} = \sqrt{\bar{\alpha}_{t-1}} \left( \frac{x_t - \sqrt{1 - \bar{\alpha}_t} \hat{\epsilon}_{\theta^*}}{\sqrt{\bar{\alpha}_t}} \right) + \sqrt{1 - \bar{\alpha}_{t-1}} \cdot \hat{\epsilon}_{\theta^*}$$

In this mode, the only source of randomness is the initial noise vector  $x_T \sim \mathcal{N}(0, I)$ . For a fixed  $x_T$ , the entire reverse trajectory  $x_{T-1}, x_{T-2}, \dots, x_0$  is fixed, and the final generated image  $x_0$  will always be the same.

## DDIM Inversion: The Power of Determinism (16:43)

The deterministic nature of DDIM (when  $\sigma_t = 0$ ) leads to a powerful capability: **unique invertibility**, also known as **DDIM Inversion**.

- Concept: Since the reverse process is deterministic, the forward process is also deterministic and can be inverted. This means for any given real image  $x_0$ , we can find the *unique* latent noise vector  $x_T$  that generates it.
- Process:
  - 1. Start with a real image  $x_0$ .
  - 2. Apply the deterministic DDIM equations in the forward direction  $(x_t \text{ from } x_{t-1})$  to obtain the sequence  $x_1, x_2, \dots, x_T$ .
  - 3. The resulting  $x_T$  is the unique latent code for the original image  $x_0$ .
- Application (Image Editing): This is extremely useful for image editing. An existing image can be "inverted" to its latent code. This code can then be manipulated (e.g., guided by a new text prompt), and the reverse DDIM process can be run to generate a new, edited image that preserves the structure of the original.

The DDIM Inversion and generation process can be visualized as follows:

```
sequenceDiagram
```

participant I as Image\_Space
participant L as Latent\_Space

I->>L: Start with real image \$x\_0\$

L->>L: Apply DDIM Forward Process (Inversion)

L-->>I: Obtain unique latent code \$x\_T\$

Note over L: Modify latent code or text prompt

L->>L: Apply DDIM Reverse Process (Generation)

L-->>I: Generate new, edited image \$x'\_0\$

Caption: The DDIM Inversion process maps a real image to a unique latent code, which can then be modified and used to generate a new image.

# **Key Mathematical Concepts**

• DDIM Reverse Process Posterior Mean (02:03):

$$\mathrm{mean} = \sqrt{\bar{\alpha}_{t-1}} x_0 + \sqrt{1 - \bar{\alpha}_{t-1} - \sigma_t^2} \cdot \frac{x_t - \sqrt{\bar{\alpha}_t} x_0}{\sqrt{1 - \bar{\alpha}_t}}$$

This is the mean of the Gaussian distribution for  $x_{t-1}$  given  $x_t$  and  $x_0$ .

• Predicted Original Image  $\mu_{\theta^*}(x_t)$  (05:05):

$$\mu_{\theta^*}(x_t) = \frac{x_t - \sqrt{1 - \bar{\alpha}_t} \hat{\epsilon}_{\theta^*}(x_t, t)}{\sqrt{\bar{\alpha}_t}}$$

This equation uses the trained model's noise prediction to estimate the clean image from a noisy one.

• General DDIM Sampling Step (07:35):

$$x_{t-1} = \sqrt{\bar{\alpha}_{t-1}} \mu_{\theta^*}(x_t) + \sqrt{1 - \bar{\alpha}_{t-1} - \sigma_t^2} \cdot \hat{\epsilon}_{\theta^*}(x_t, t) + \sigma_t Z$$

This is the complete rule for generating  $x_{t-1}$  from  $x_t$ .

• Deterministic DDIM Sampling Step ( $\sigma_t = 0$ ) (11:45):

$$x_{t-1} = \sqrt{\frac{\bar{\alpha}_{t-1}}{\bar{\alpha}_t}}(x_t - \sqrt{1 - \bar{\alpha}_t}\hat{\epsilon}_{\theta^*}) + \sqrt{1 - \bar{\alpha}_{t-1}}\hat{\epsilon}_{\theta^*}$$

This simplified form is used when a deterministic path from noise to image is desired.

## Self-Assessment

- Question: What is the fundamental difference between the training and inference stages of DDPMs and DDIMs? > Answer: The training process, which involves optimizing the same ELBO, is identical for both. The difference lies entirely in the inference (sampling) process. DDIM uses a generalized, non-Markovian reverse process, while DDPM uses a specific, Markovian one.
- 2. Question: Explain the role of the parameter  $\sigma_t$  in the DDIM sampling equation. What happens at the two extremes discussed in the lecture? > Answer:  $\sigma_t$  controls the amount of stochasticity (randomness) in each reverse step. > When  $\sigma_t^2$  is set to a specific value related to the noise schedule  $(\tilde{\beta}_t)$ , the DDIM process becomes equivalent to the stochastic DDPM process. > When  $\sigma_t = 0$ , the random noise component is eliminated, making the sampling process entirely deterministic.
- 3. Question: What is DDIM Inversion, and why is it a significant capability? > Answer: DDIM Inversion is the process of using the deterministic forward process of a DDIM (with  $\sigma_t = 0$ ) to map a real image  $x_0$  to a unique latent noise vector  $x_T$ . This is significant because it provides a one-to-one mapping between an image and its latent representation, which is crucial for tasks like image editing, where one needs to modify an existing image while preserving its core structure.
- 4. **Problem:** Write down the simplified sampling equation for a deterministic DDIM (i.e., when  $\sigma_t = 0$ ). > **Answer:** >

$$x_{t-1} = \sqrt{\bar{\alpha}_{t-1}} \left( \frac{x_t - \sqrt{1 - \bar{\alpha}_t} \hat{\epsilon}_{\theta^*}}{\sqrt{\bar{\alpha}_t}} \right) + \sqrt{1 - \bar{\alpha}_{t-1}} \cdot \hat{\epsilon}_{\theta^*}$$

# Key Takeaways from This Video

- **DDIM** as a Generalization: DDIM is not a completely new model but a generalized inference framework for models trained with the DDPM objective.
- Flexibility in Sampling: DDIM allows for a trade-off between stochasticity and determinism through the  $\sigma_t$  parameter.
- **Deterministic Path:** Setting  $\sigma_t = 0$  creates a deterministic mapping from noise to image, which is a major departure from the stochastic nature of DDPMs.
- Unique Invertibility: The deterministic nature of DDIMs enables a unique inversion from an image back to its latent code, unlocking powerful applications in image manipulation and editing.
- Faster Generation: The non-Markovian property allows for sampling with fewer timesteps, leading to significantly faster image generation compared to DDPMs.