# Solving OpenAI's CarRacing environment using Deep Reinforcement Learning and Dropout

#### Patrik Gerber

University of Oxford Corpus Christi College, Oxford, UK patrik.gerber@ccc.ox.ac.uk

**Elvis Nunez** 

# Kaman Phamdo

Brown University
Somewhere in Los Angeles
elvis@brown.io
University of Maryland
Somewhere in Maryland
kaman@phamdo.com

#### Jiajing Guan

George Mason University Somewhere in Virginia? jiajingguan@gmail.com

# Nicholas Malaya

AMD Research Somewhere in Austin nicholas.malaya@amd.com

#### **Tonmoy Monsoor**

University of California Los Angeles Somewhere in Los Angeles mtonmoy@g.ucla.edu

#### **Abstract**

Deep Reinforcement Learning methods have seen many successes in recent years, ranging from solving classical video games to beating world class Go players. However, little progress has been made on the front of generalizability: successful models are trained for narrow, well-defined tasks, often using a vast amount of compute time. These models perform well in their specific task, but slight perturbations in the environment often cause disproportionate decrease in performance. Regularization methods have not yet been shown successful in tackling this issue of overfit. In this paper we attempt to give such a positive example, by applying the DDQN-algorithm with Dropout to solve OpenAI's CarRacing environment, using only a small subset of the state space for training.

#### 1 Introduction

Deep reinforcement learning allows an agent to learn through experience while dynamically interacting with an environment. Computer games are often used as a sandbox for investigating deep reinforcement learning algorithms because they provide an easy way to generate large amounts of data for experience. RL methods have achieved some success in learning how to play computer games using only images and score as input. In particular, DeepMind's DQN algorithm reached superhuman performance in several Atari games [?].

However, RL methods are limited by their sensitivity to perturbations in the environment. They can fail catastrophically when applied to environments that differ from where they were trained. Additionally, they typically require millions of frames of experience and days of training. It is crucial for these methods to become more robust if they are to be deployed in the real world.

We investigate OpenAI Gym's CarRacing-v0 environment [ref?], which is a simple, top-down view racing game as shown in Figure 1. The environment includes a racecar, a race track composed of tiles, and grass. The agent has control of the racecar, and its goal is to visit the tiles of the randomly generated race track as quickly as possible. The agent receives a *reward* of -0.1 at each time step and

 $+\frac{1000}{Number\ of\ tiles}$  for each track tile visited. The *state* is represented by 96x96 RGB screenshots of the game. The game ends when the agent visits all tiles on the track or when 1000 frames have passed. This environment is considered solved by OpenAI's guidelines when the agent achieves an average score of 900 or above over 100 consecutive games. This car racing game was recently solved by [1] using generative methods. To the best of our knowledge, it is previously unsolved using RL methods.

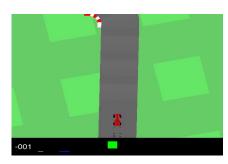


Figure 1: Screenshot of the car racing game.

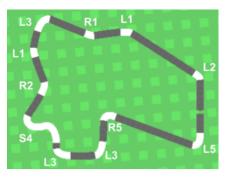


Figure 2: Characterization of different types of curves.

In this paper, we describe a Deep Reinforcement Learning algorithm that uses a convolutional architecture with dropout that successfully solves the game. Remarkably the model is trained on a limited environment made up of 3 tracks. This result shows that regularisation methods such as dropout can mitigate the overfit usually exhibited by these Deep Reinforcement Learning methods. Our code can be found at <a href="https://github.com/AMD-RIPS/RL-2018">https://github.com/AMD-RIPS/RL-2018</a>, and video of the best performing model can be found under TODO

#### 2 Method

#### 2.1 Model

We use the DDQN-algorithm for our experiments, as first described in [4]. It is a simple extension of the celebrated DQN-algorithm, proposed in [2]. The architecture of the Q-network is that described in the original paper [2], consisting of 3 convolutional layers followed by 2 dense layers and the output layer. The input to the network is a  $96 \times 96 \times 4$  image produced by stacking 4 consectuive frames of the game. Dropout (for a definition see [3]) is added to the second convolutional layer only, with a drop probability of 0.5.

### 2.2 Analyzing performance

We use two methods to analyze the performance of our models. The first one is to perform 100 consecutive test runs on randomly generated tracks, recording the average score. The second is to measure how the models perform on different types of curves in a racetrack. To do so, we developed a simple curve classification algorithm, which is demonstrated in Figure 2. Each curve is characterized as either a Left, Right, or S-shaped curve. An S-shaped curve is either a left turn followed by a right turn or a right turn followed by a left turn. Then, the steepness of the curve is ranked on a scale from 1 to 5, where 1 represents a very shallow curve and 5 represents a very steep curve. For each of these different types of curves we record the average percentage of tiles cleared.

## 3 Experiments

We train on three different environments: a single track, 3 different tracks and randomly generated tracks. We train on each of these environments both with and without dropout, giving a total of 6 training sessions. It is worth noting that the same fixed tracks were used for the first two environments when training with and without dropout. Training is over 3000 episodes and early stopping is used: only the best performing model is selected to be analyzed. Boxplots of the scores over 100 consecutive

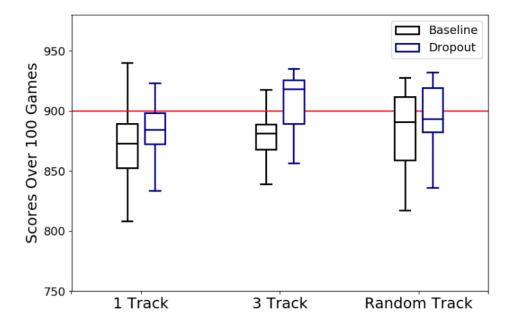


Figure 3: Comparison of the 6 models with 'Baseline' referring to models without dropout. The red line indicates the average score required to solve the environment.

games for the 6 models are shown in Figure 3. The model trained on 3 tracks using dropout achieves an average score of over 906 with standard deviation  $\sim$ 23, thereby solving the environment.

We used the curve characterization method to quantitatively measure whether applying dropout improved the ability to generalize to curves not seen during training. In particular, we analyzed the models that were trained on a single track, because these models only encountered a limited number of different types of curves during training. Even though both models were trained on the same track, the model with dropout performed better on each curve type. These results are demonstrated in Figure 4. This indicates that using dropout indeed allows for better generalization to curves not seen during training. Thus, dropout has the potential to be an effective regularizer in deep reinforcement learning problems.

#### 4 Conclusion

#### References

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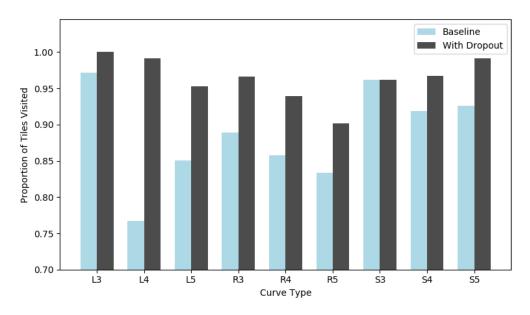


Figure 4: Comparison of performance of model trained on a single track, with 'Baseline' referring to no dropout.