

# Scaling Evergreen Forest Photosynthesis: Needle → Tower → Landscape

FLUXNET Workshop | Lawrence Berkeley National Lab | 9 July 2024



**Troy Magney, Zoe Pierrat**

**Collaborators:** Dave Bowling, Rui Cheng, Barry Logan, Christian Frankenberg,  
Jochen Stutz, Katja Grossmann, Jaret Reblin, Andrew Maguire



# Scaling Evergreen Forest Photosynthesis: Needle → Tower → Landscape

1) How have and will evergreen forests respond to climate change?

2) What are the biotic and abiotic controls on needle and canopy photosynthesis?

3) How can we measure this across scales?

Needle biochemistry → Tree physiology → Ecosystem Ecology

Carbon Analytics

Forest Health

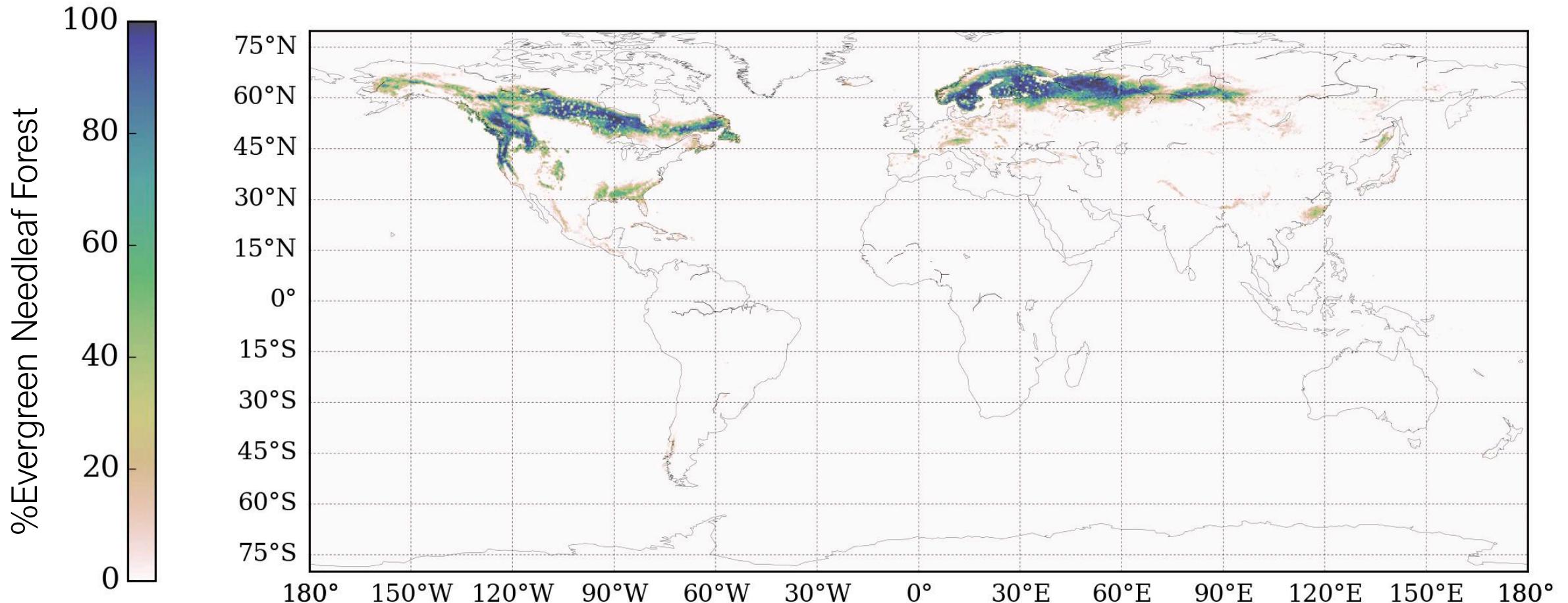
Photosynthesis

Forest Management

Forest-based Climate Solutions



**Evergreen Needleleaf Forests (ENF) are widespread, provide critical ecosystem services, and play a major role in the global carbon cycle**



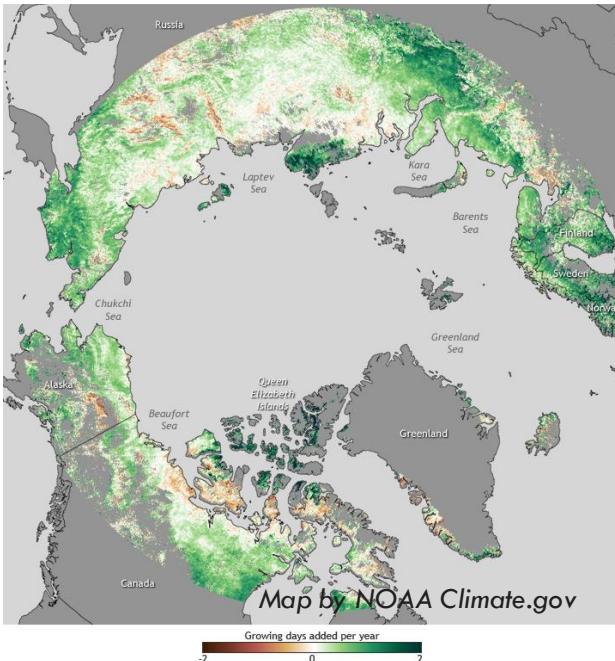
# The impacts of anthropogenic climate change have made the fate of ENF highly uncertain

Widespread greening

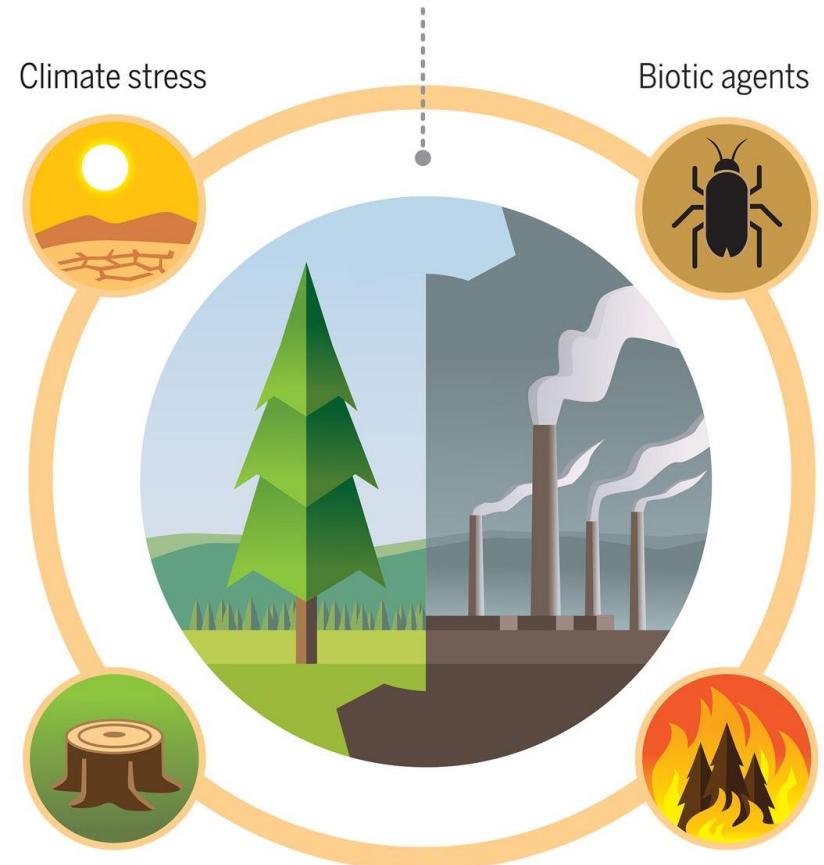


From Cindy Starr/NASA's Goddard Space Flight Center

Longer growing seasons



A myriad of climate related threats



Anderegg et al. 2020, Science



Insects and disease



Fire

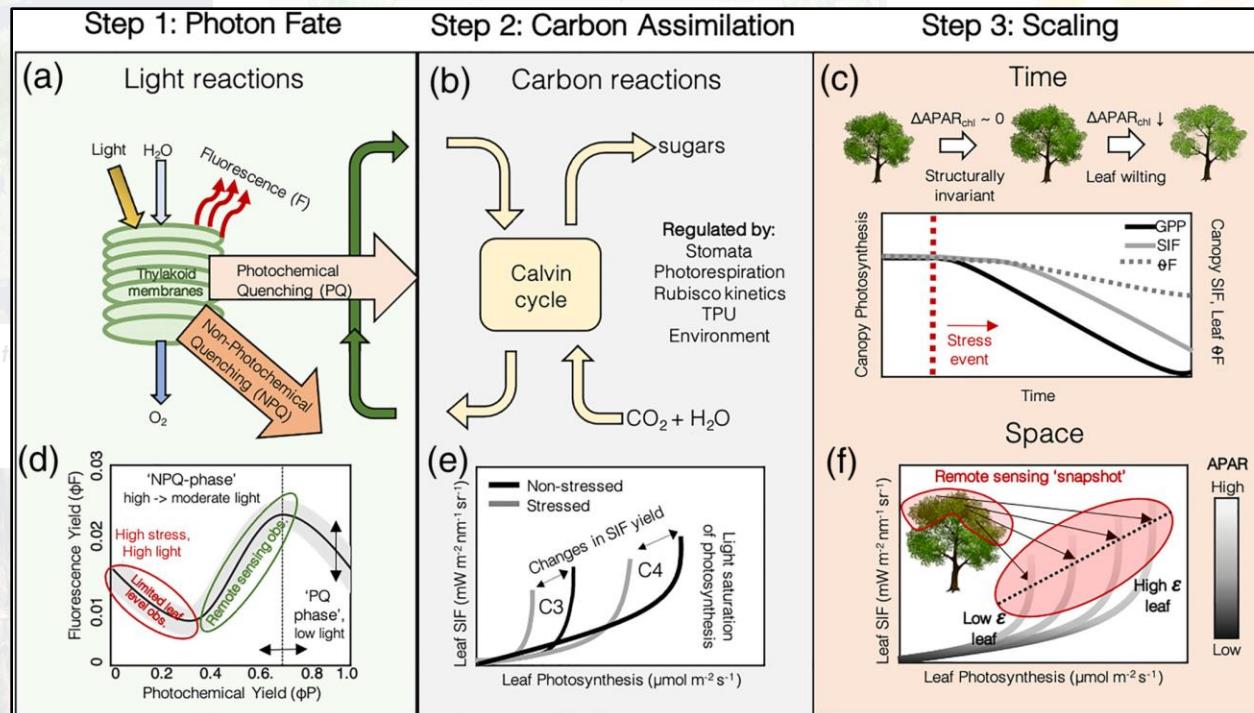
# Photosynthesis (the timing and magnitude of CO<sub>2</sub> uptake) plays a central role.

## But it's challenging to measure across scales

Widespread  
And requires a nuanced understanding of the light and carbon  
reactions of photosynthesis

lated threats

Biotic agents



## Geophysical Research Letters

### COMMENTARY

10.1029/2020GL091098

#### Key Points:

- Solar-induced fluorescence (SIF) is

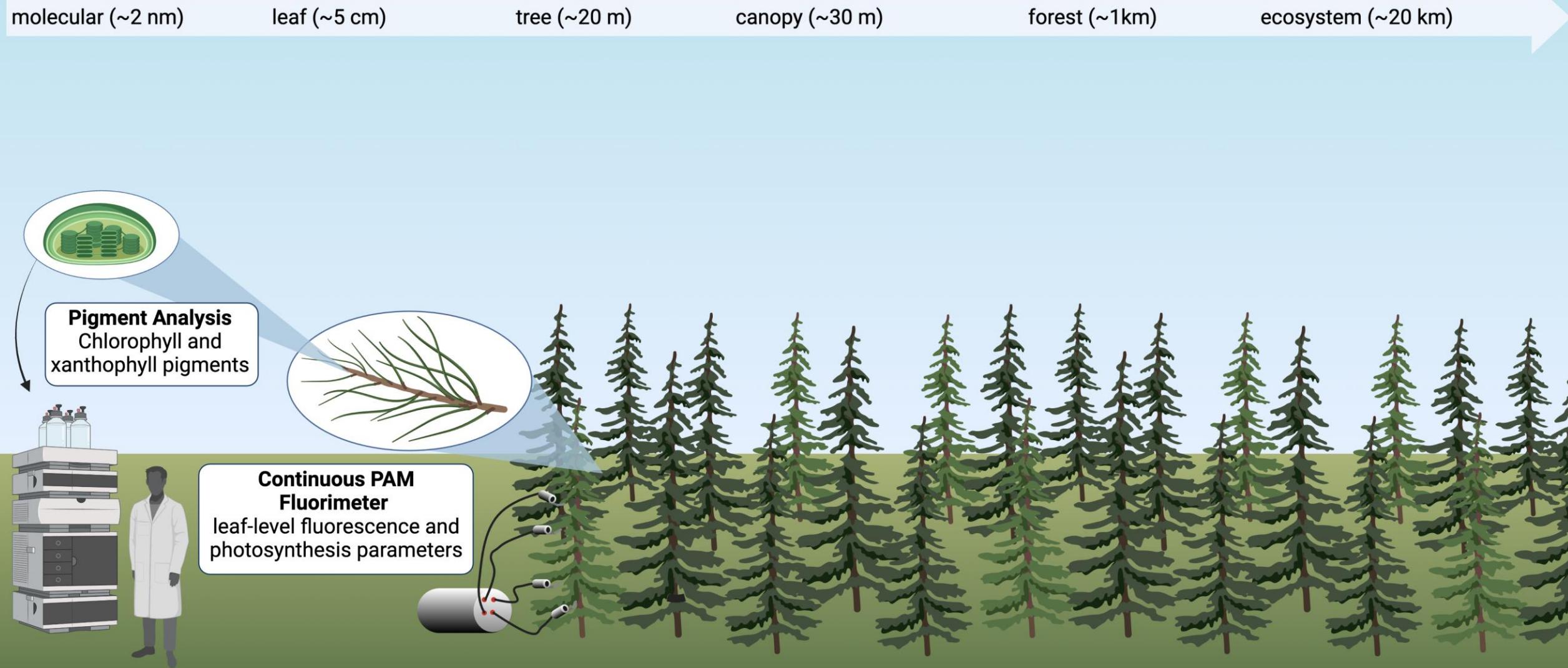
### On the Covariation of Chlorophyll Fluorescence and Photosynthesis Across Scales

Troy S. Magney<sup>1</sup> , Mallory L. Barnes<sup>2</sup> , and Xi Yang<sup>3</sup>

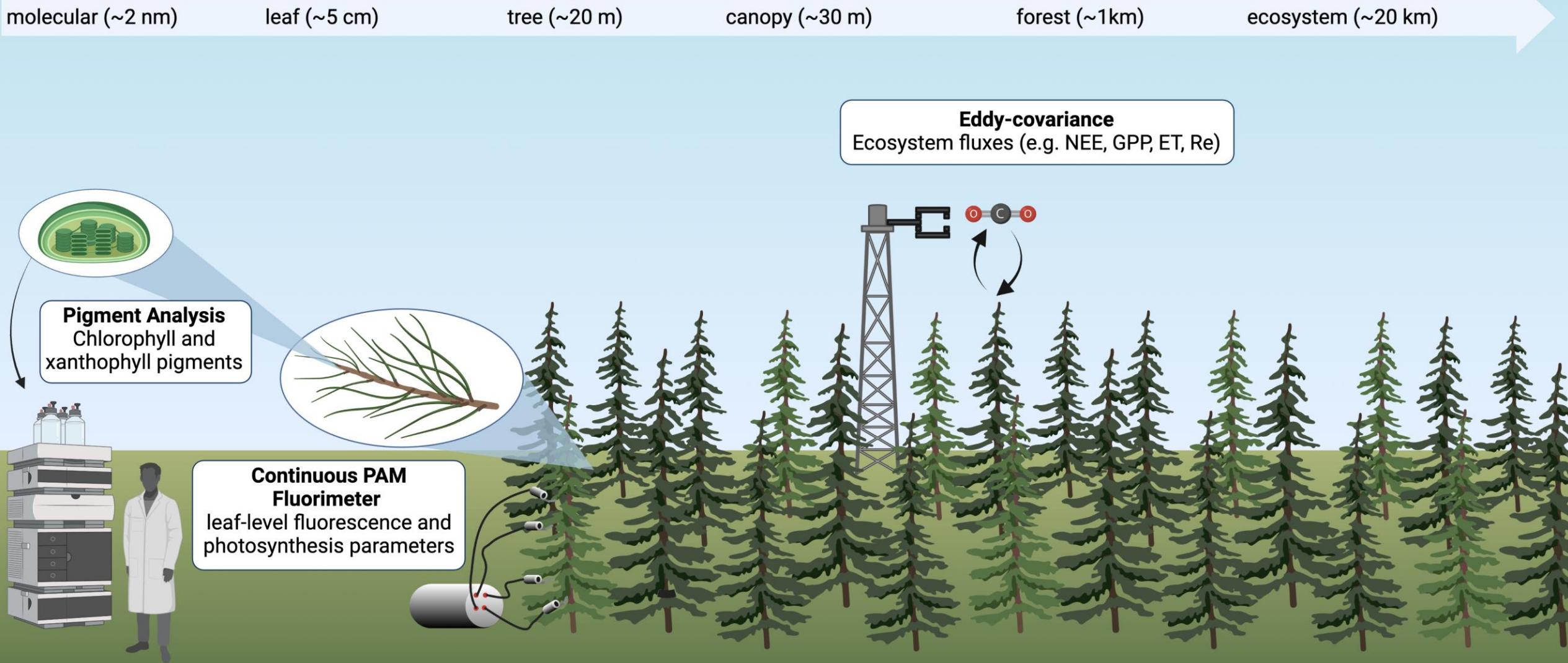
Anderegg et al. 2020, Science

Pests

# At the leaf/needle scale we can quantify ENF photosynthetic parameters using pigment analysis, PAM fluorimetry, and gas-exchange

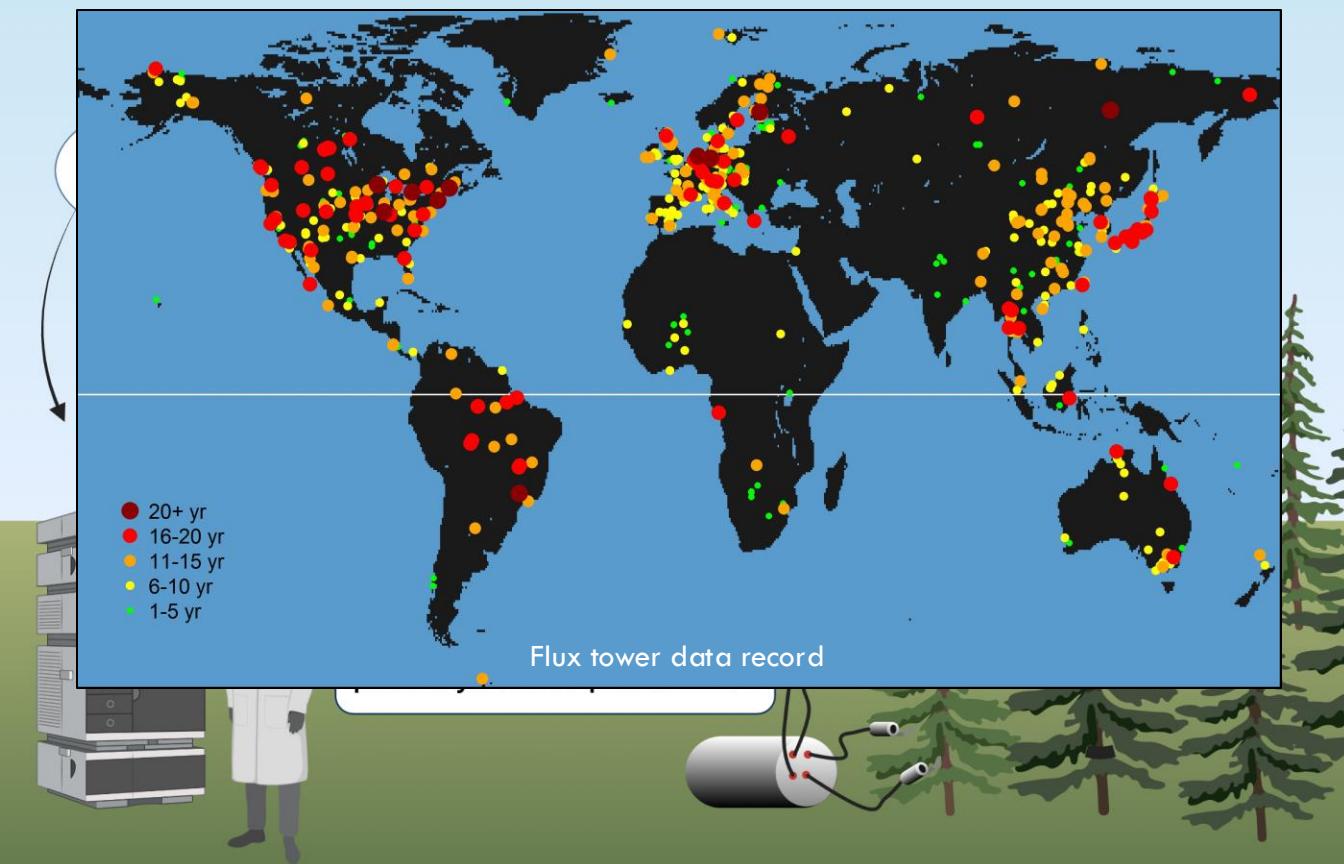


# At the site/canopy-level we can use the eddy-covariance technique to derive gross-primary production (GPP)



# Site-level and flux tower observations are great.... but only optics can allow us to scale across space and time

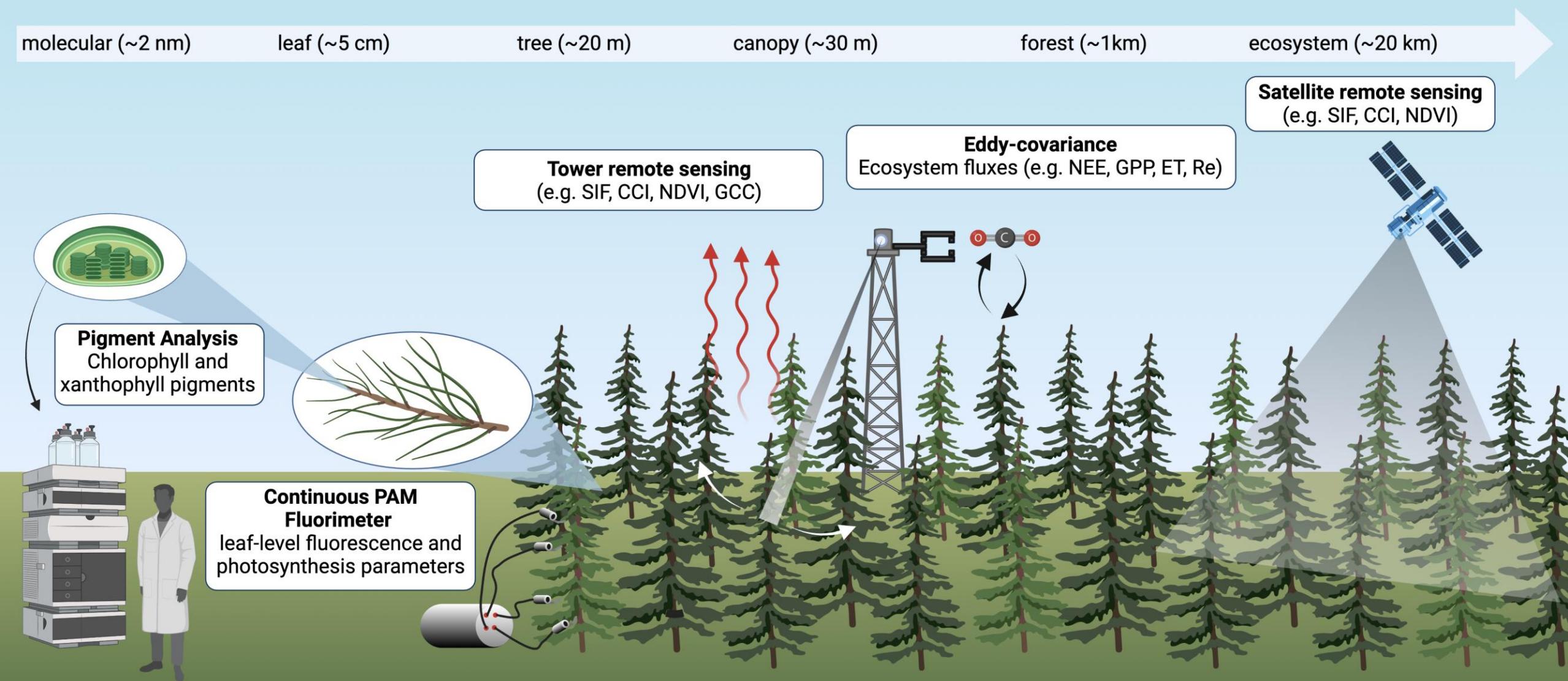
molecular (~2 nm) leaf (~5 cm) tree (~20 m) canopy (~30 m) forest (~1km) ecosystem (~20 km)



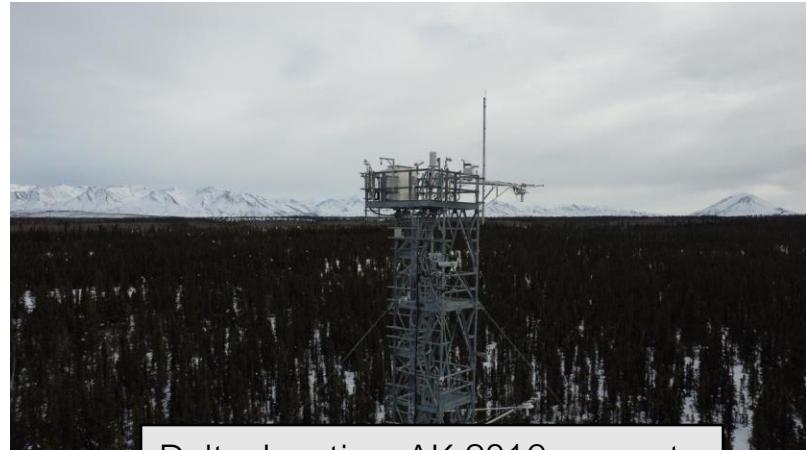
**Eddy-covariance**  
Ecosystem fluxes (e.g. NEE, GPP, ET, Re)



# Tower and satellite-based optical metrics that are sensitive to underlying biology are an essential tool for understanding ENF



# We can test this approach across ENF forests by collecting multi-scale data across a latitudinal gradient



Delta Junction, AK 2019-present



Niwot Ridge, CO 2017-2021

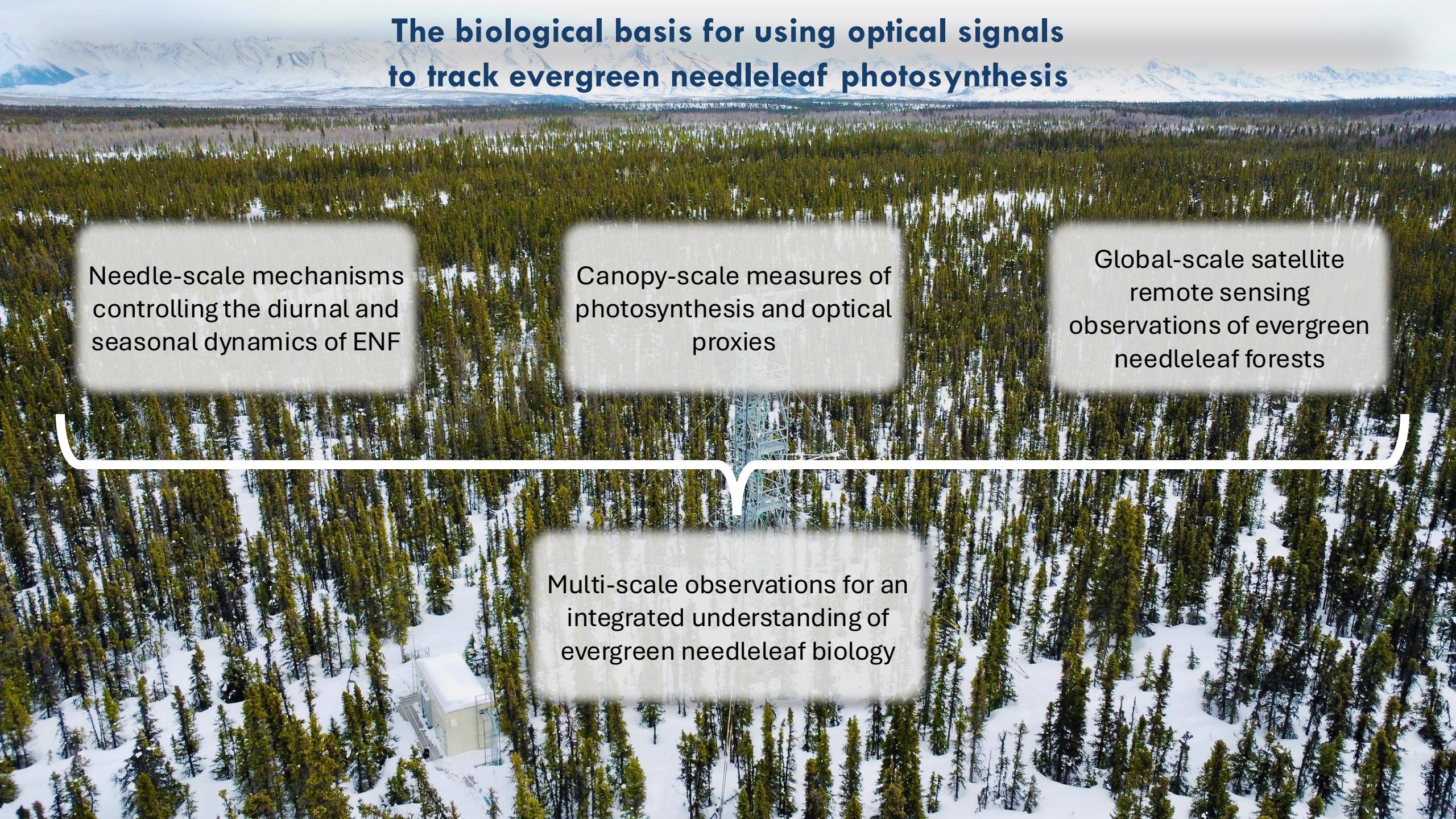


Old Black Spruce, Sask. 2018-present



Ordway Swisher, FL 2019-present





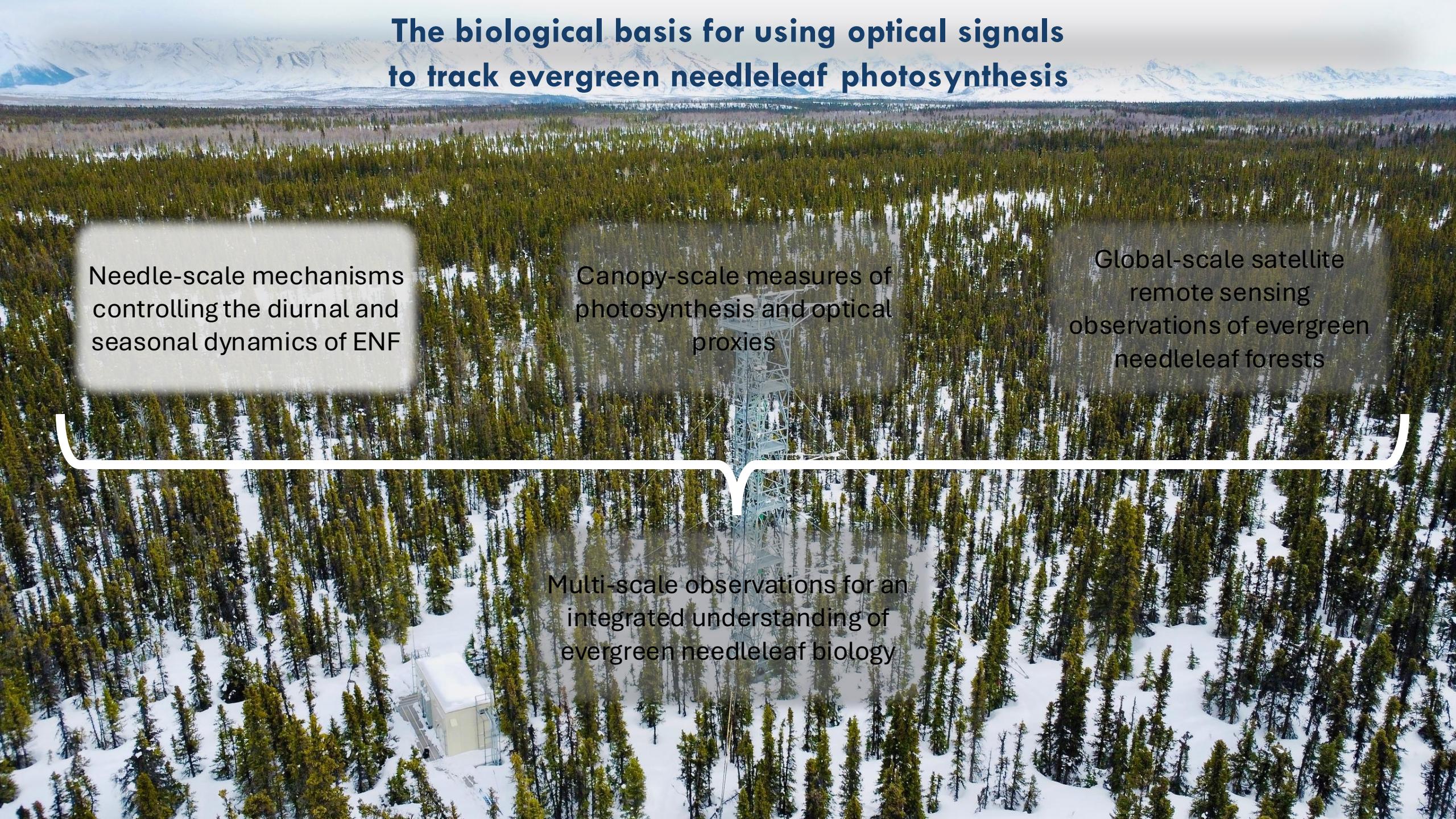
# The biological basis for using optical signals to track evergreen needleleaf photosynthesis

Needle-scale mechanisms  
controlling the diurnal and  
seasonal dynamics of ENF

Canopy-scale measures of  
photosynthesis and optical  
proxies

Global-scale satellite  
remote sensing  
observations of evergreen  
needleleaf forests

Multi-scale observations for an  
integrated understanding of  
evergreen needleleaf biology



# The biological basis for using optical signals to track evergreen needleleaf photosynthesis

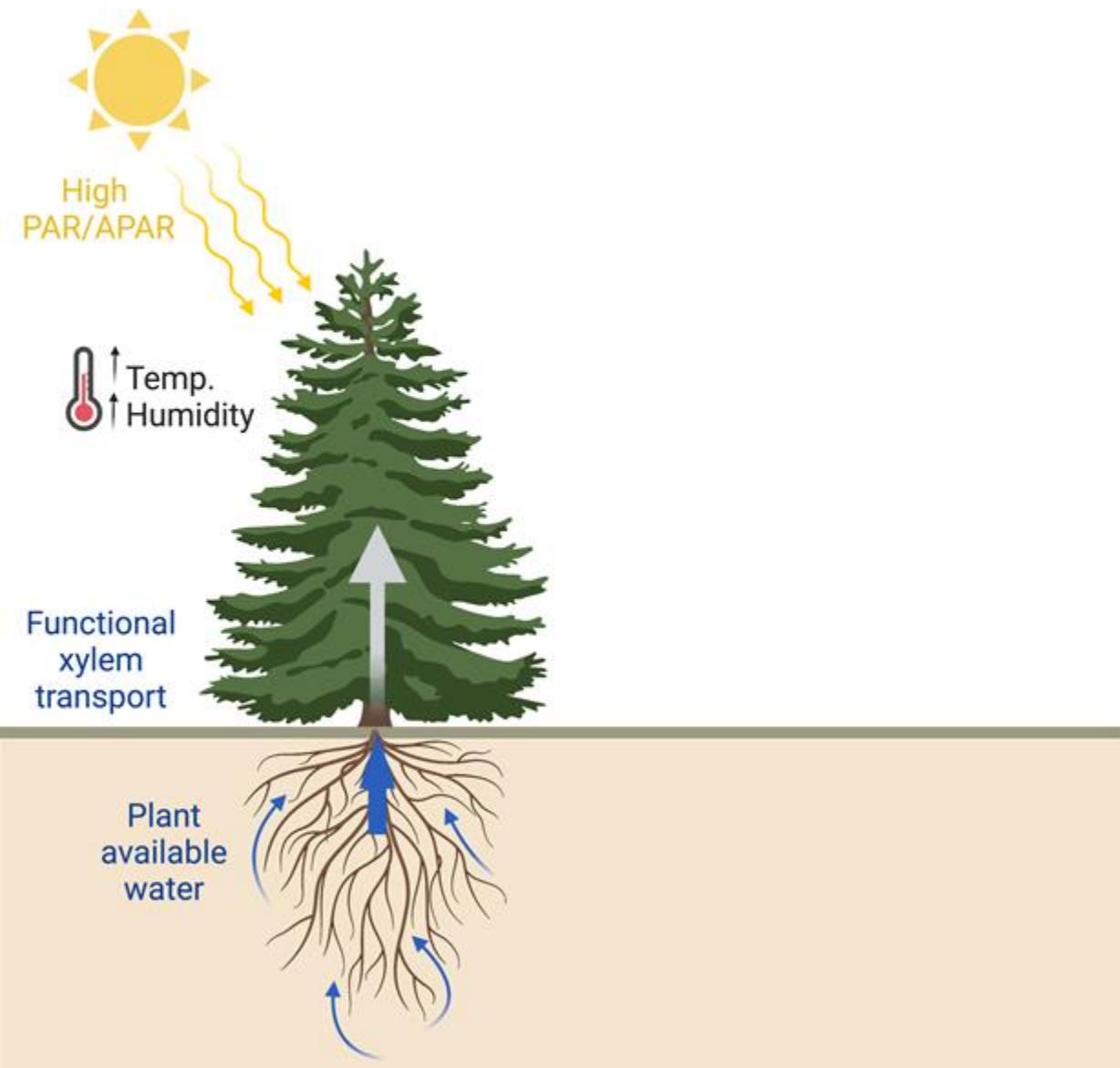
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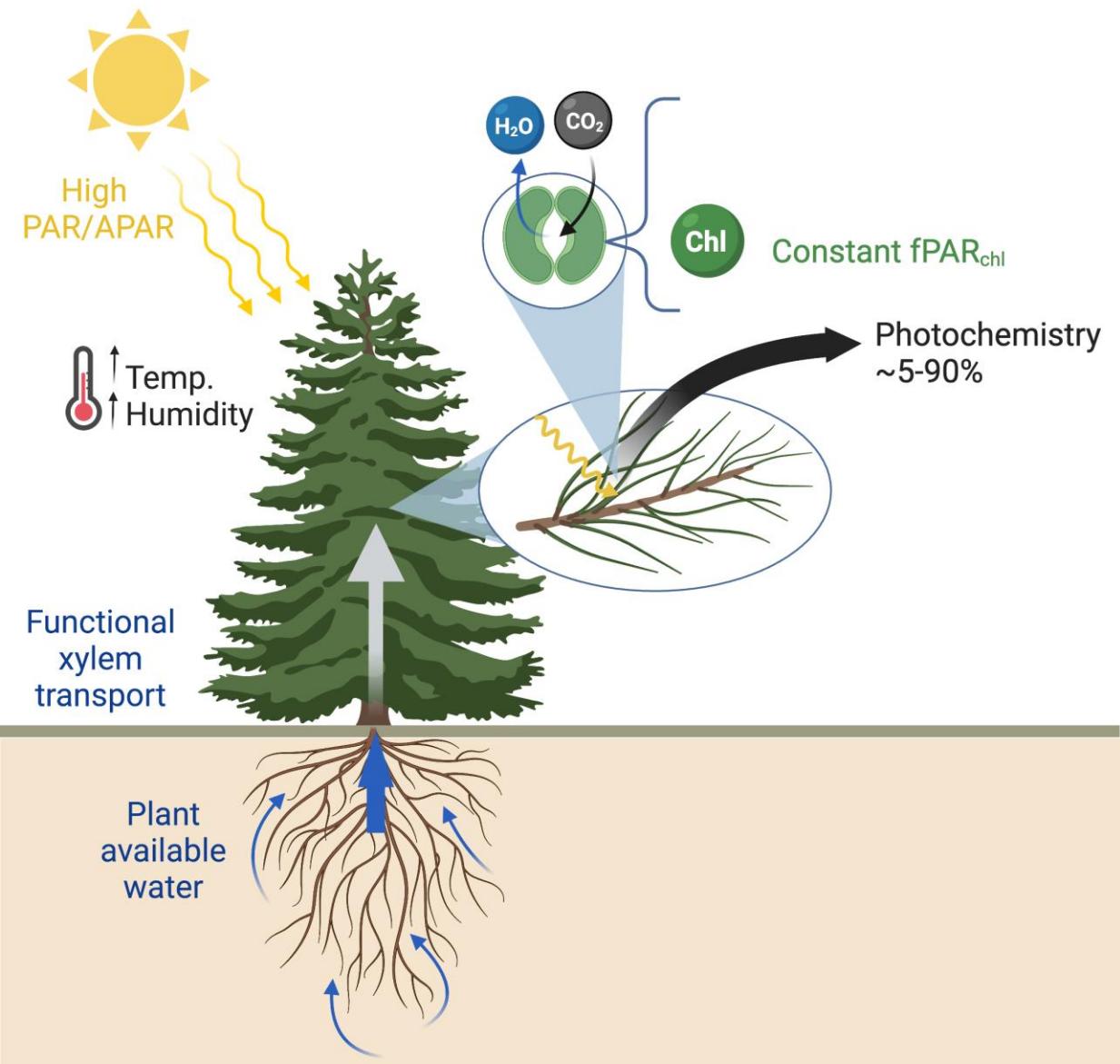
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Multi-scale observations for an  
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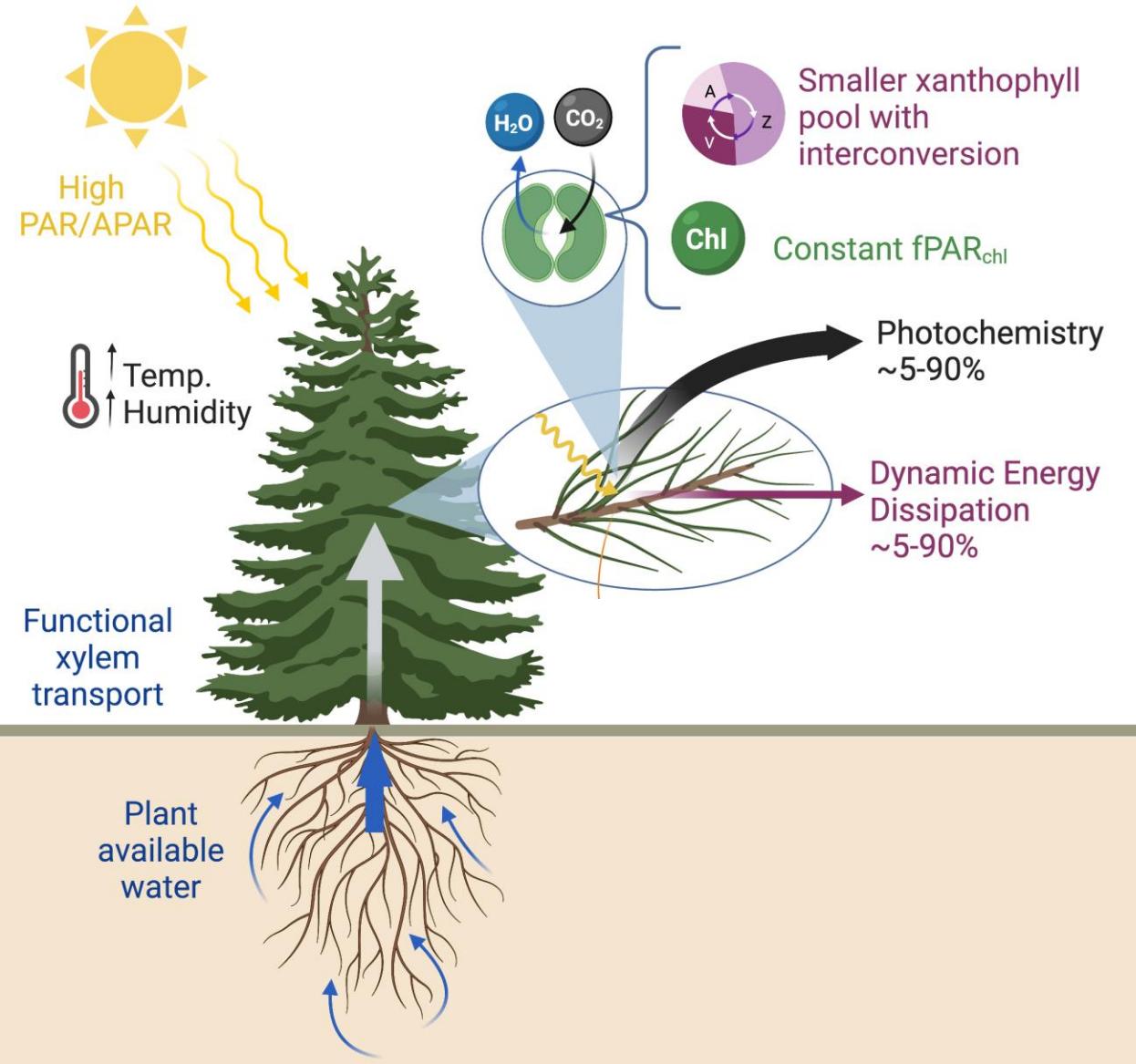
# Environmental conditions regulate light harvesting at the needle scale



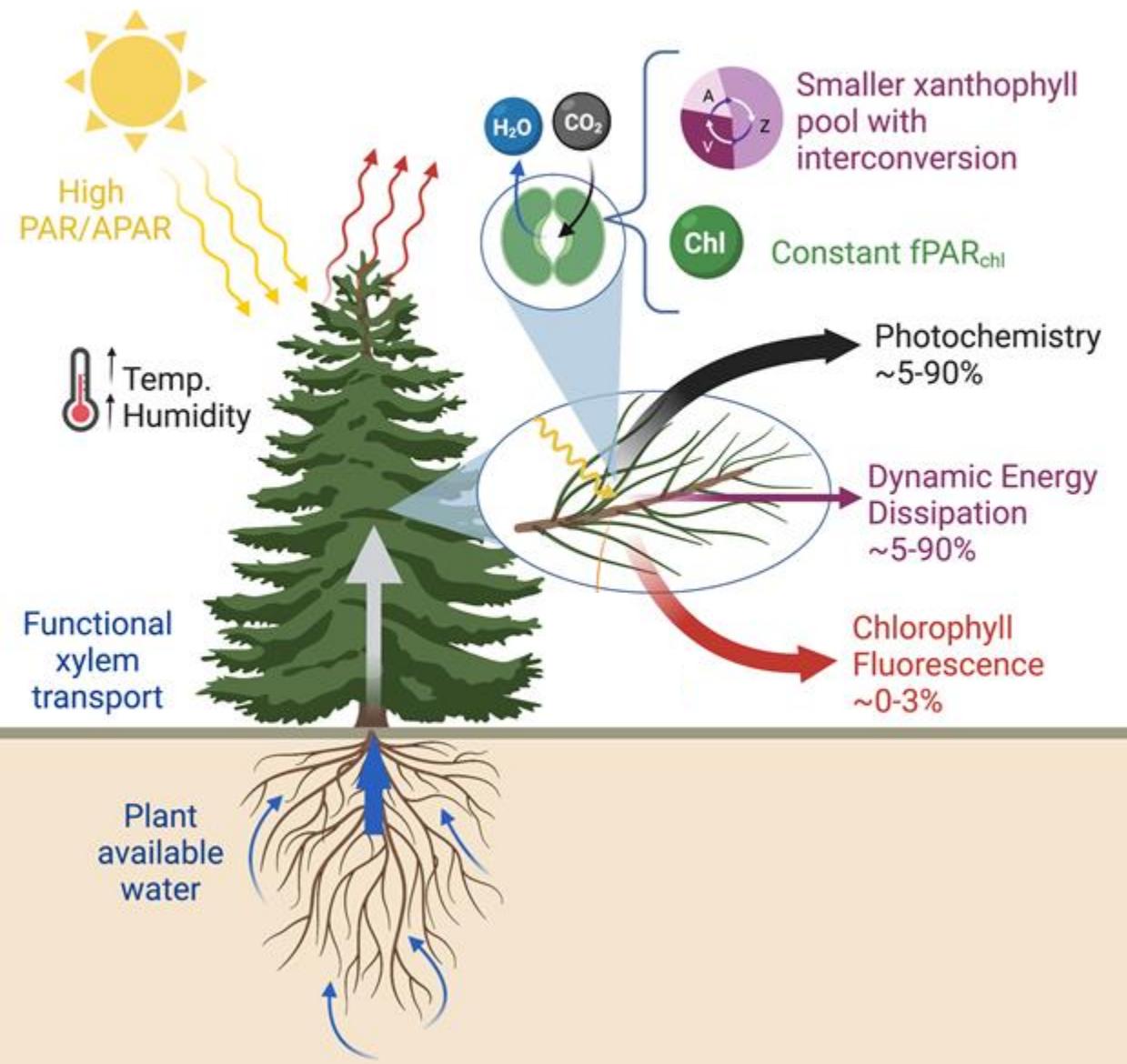
# Evergreen species retain Chl year-round, but seasonally regulate light partitioning



# Plants can protect themselves from excess sunlight by safely dissipating the energy as heat (i.e., thermal energy dissipation)

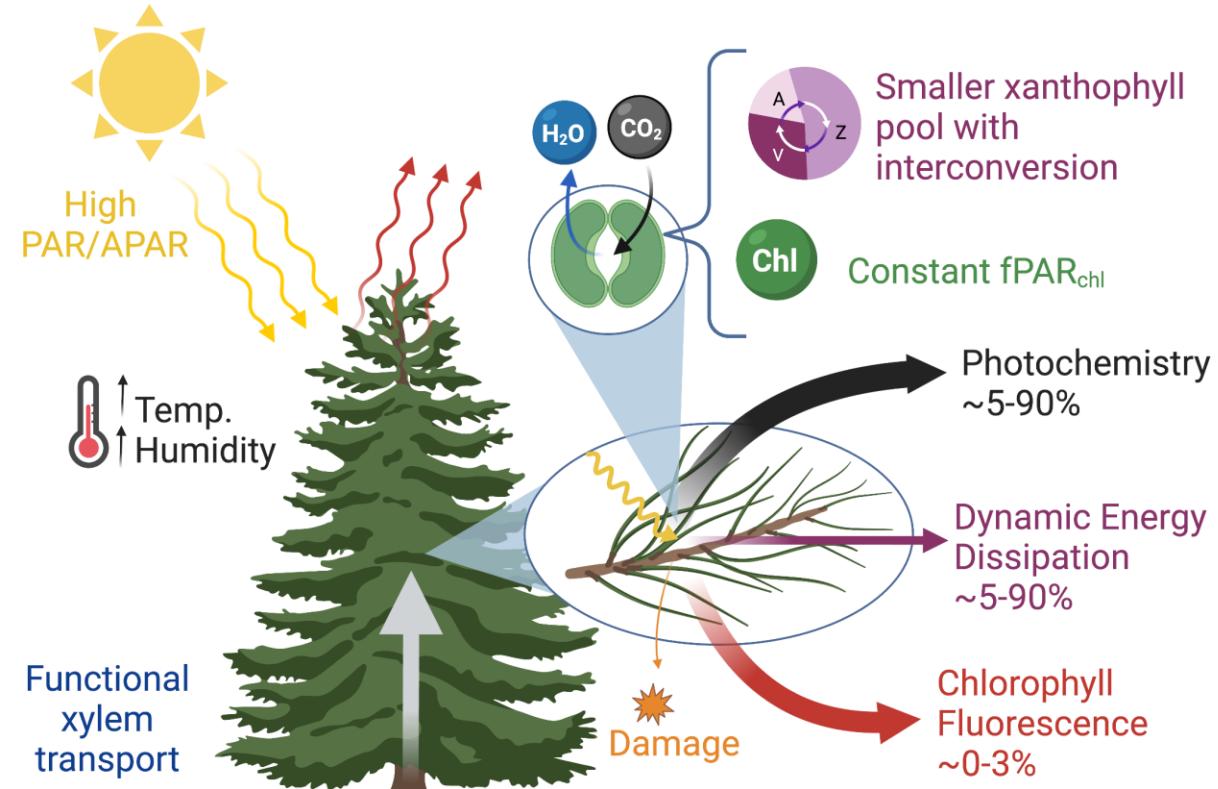


# Energy can also be re-emitted as fluorescence which is essentially an excited electron falling back down to its ground state

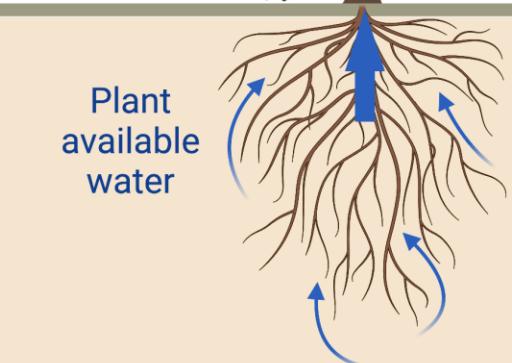
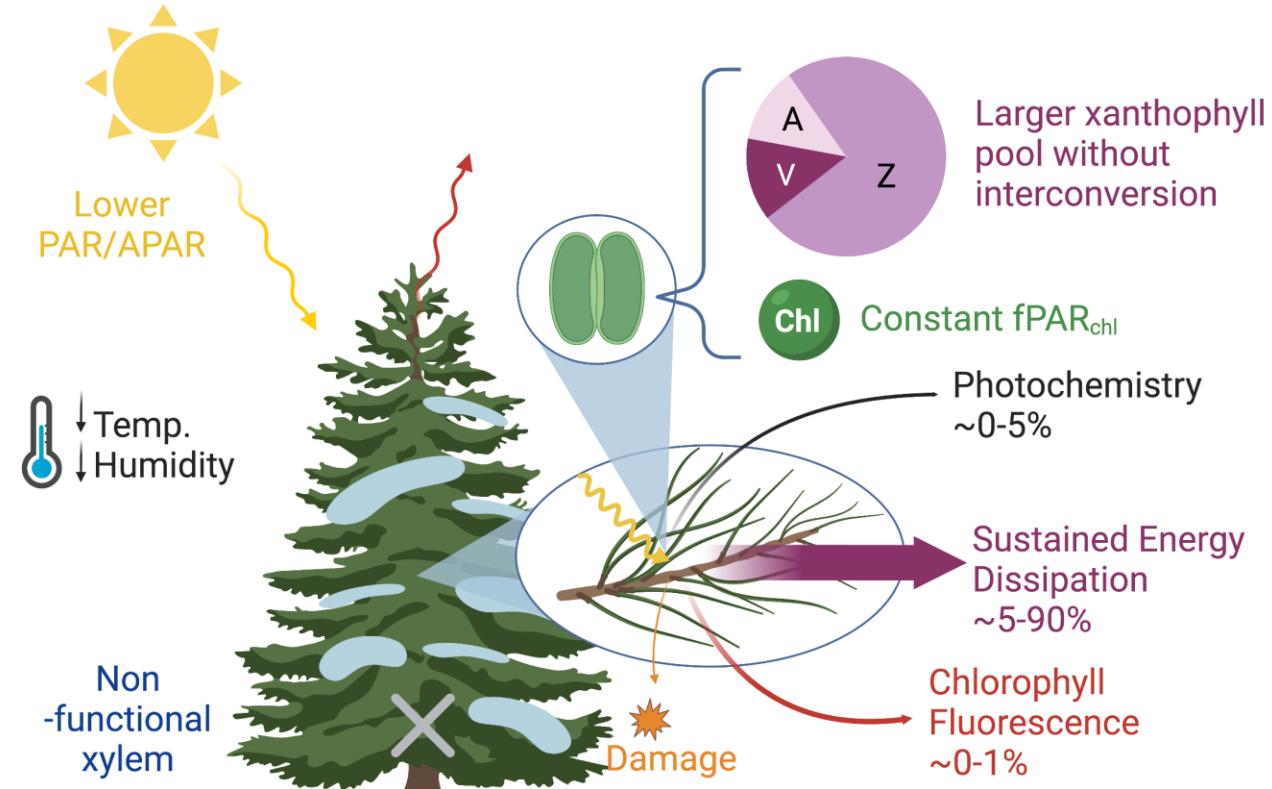


# In many ENF, these processes also have a seasonal dependence due to harsh winters with sub-zero temperatures

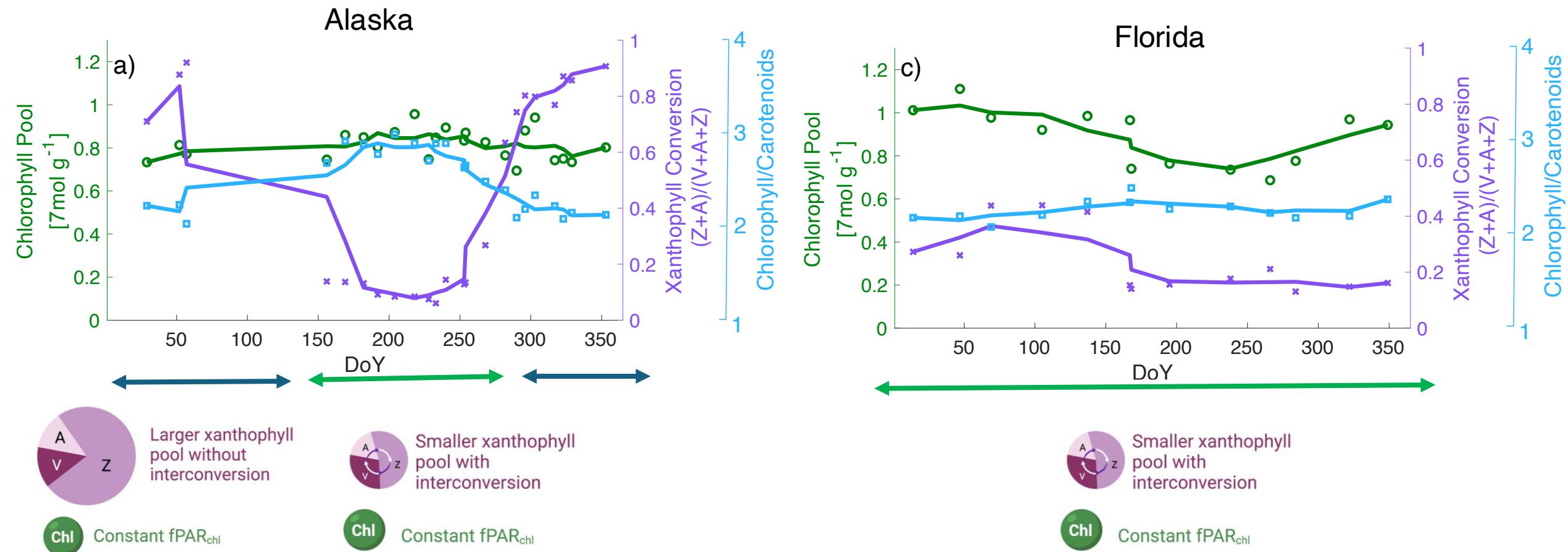
Summer



Winter

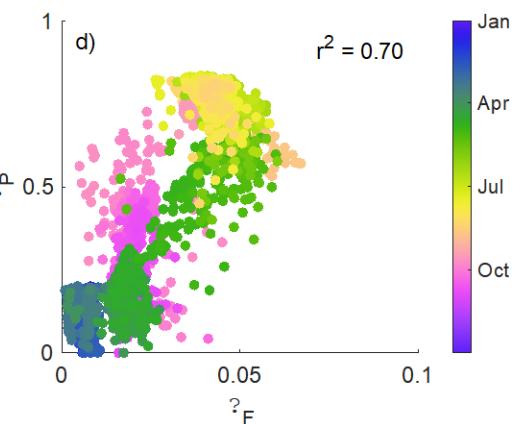
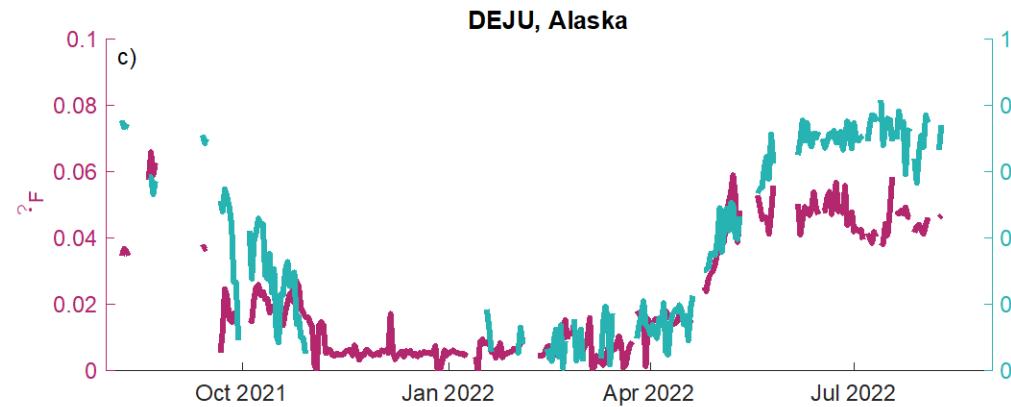
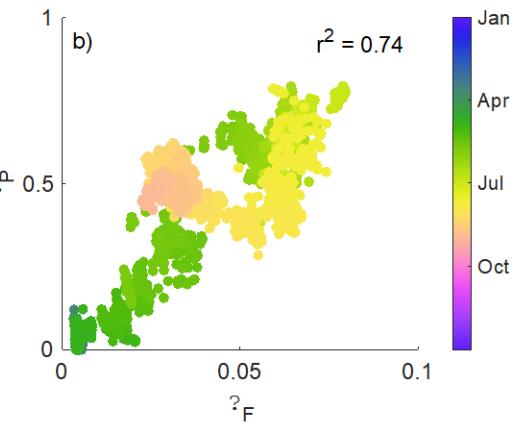
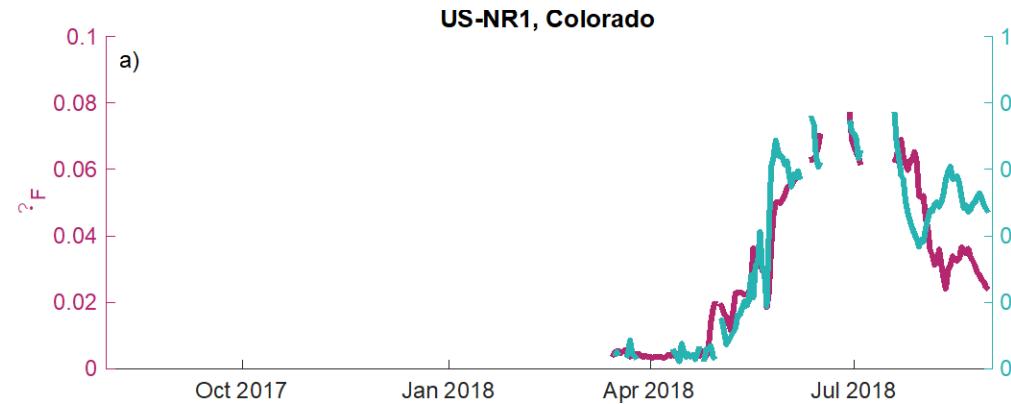


# We observe changes in pigments that reflect seasonal heat-dissipation dynamics



## We also observe seasonal co-variation between yields of fluorescence and photosynthesis at the needle scale

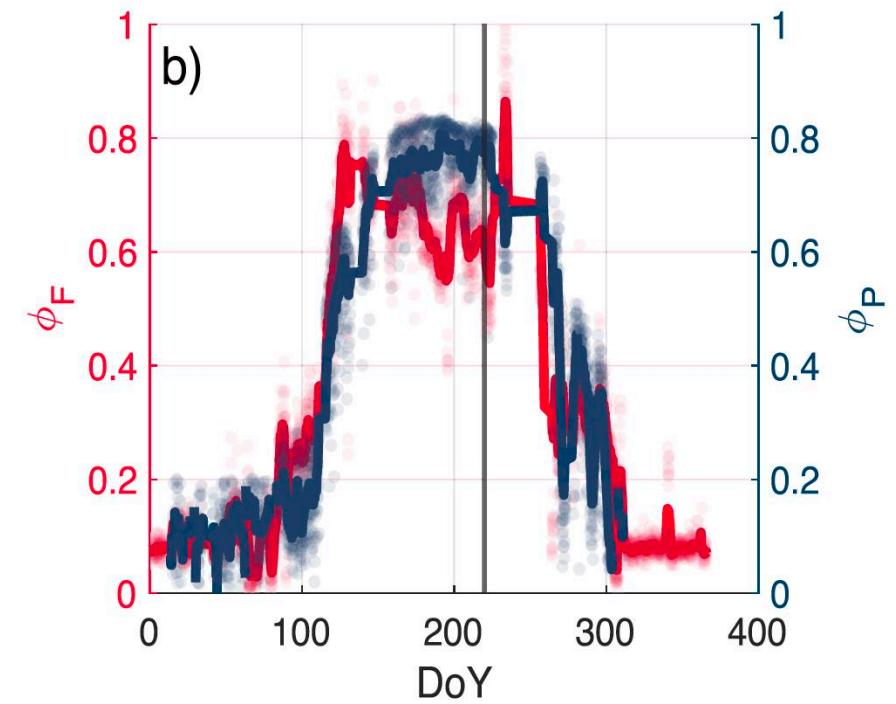
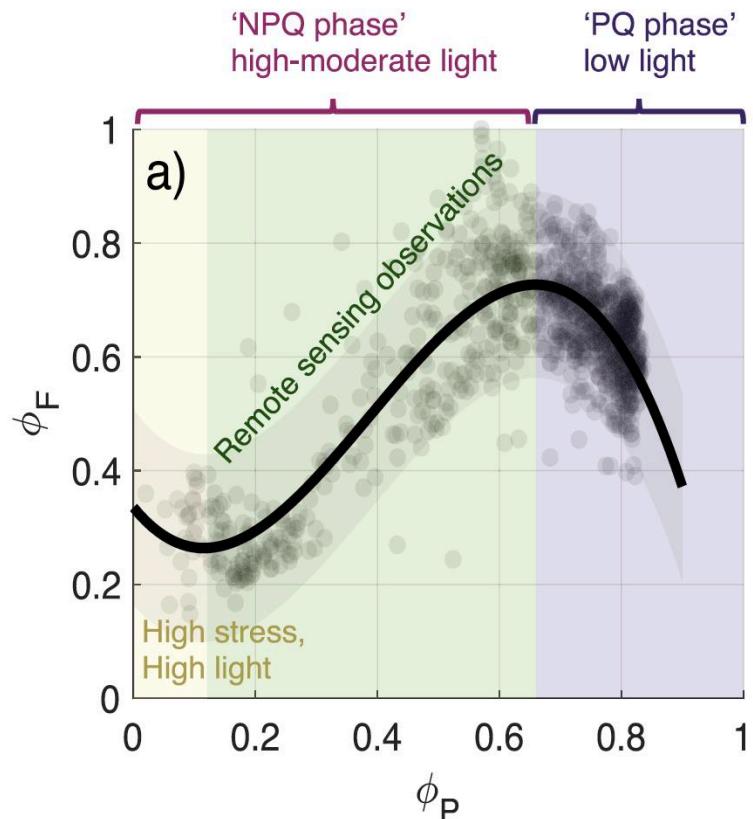
$\Phi F$  = fluorescence yield  
 $\Phi P$  = photochemical yield



# Remote sensing observations primarily occur during the ‘NPQ’ phase of the $\Phi_F$ vs. $\Phi_P$ relationship



Delta Junction, Alaska



Pierrat, Magney, et al., 2024 BioScience  
Pierrat, Magney, et al., in press, Ecology

# The biological basis for the use of optical signals to track evergreen needleleaf photosynthesis

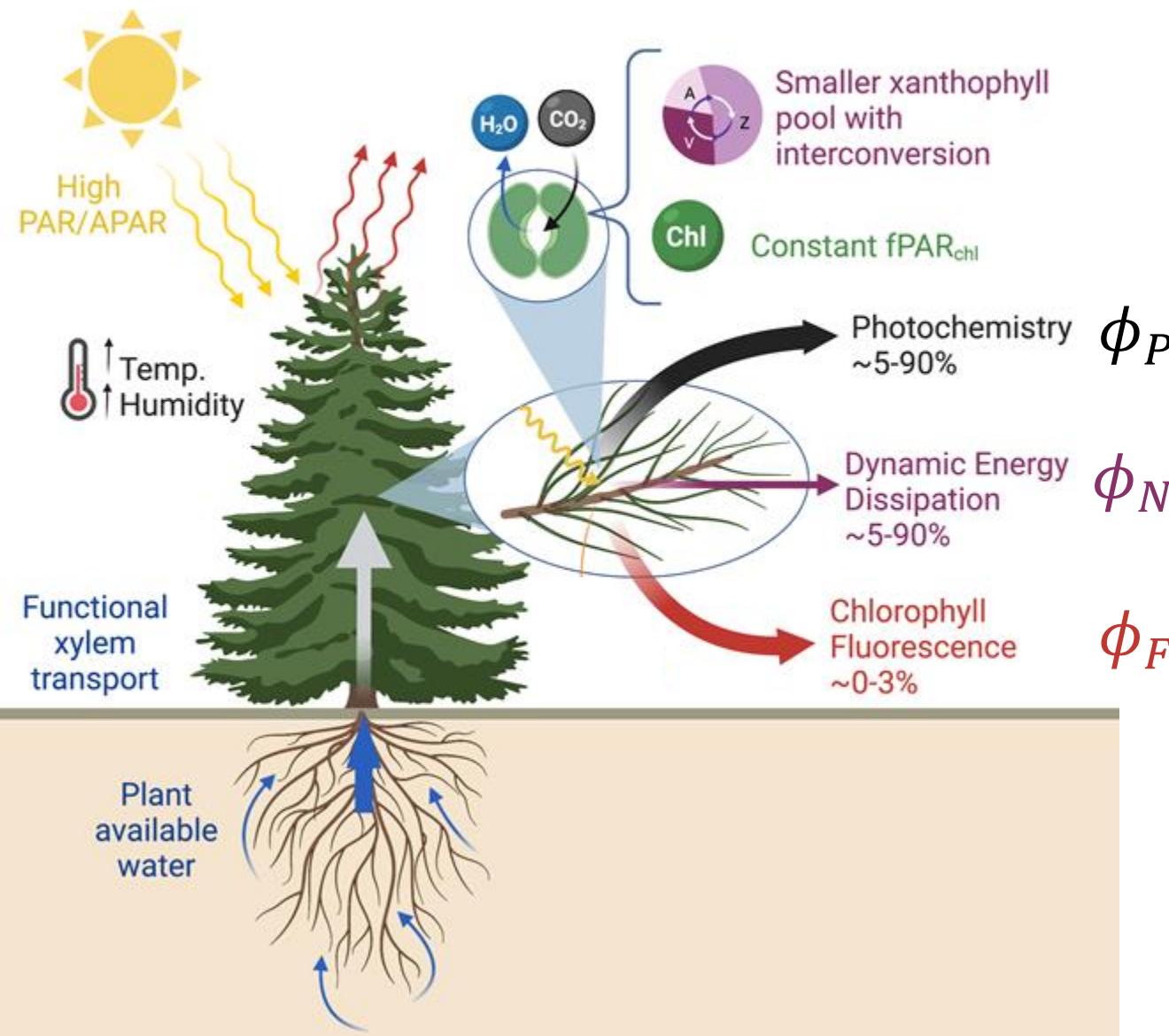
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## Gross Primary Productivity (GPP) depends on both the amount of absorbed light, and the partitioning among these different pathways

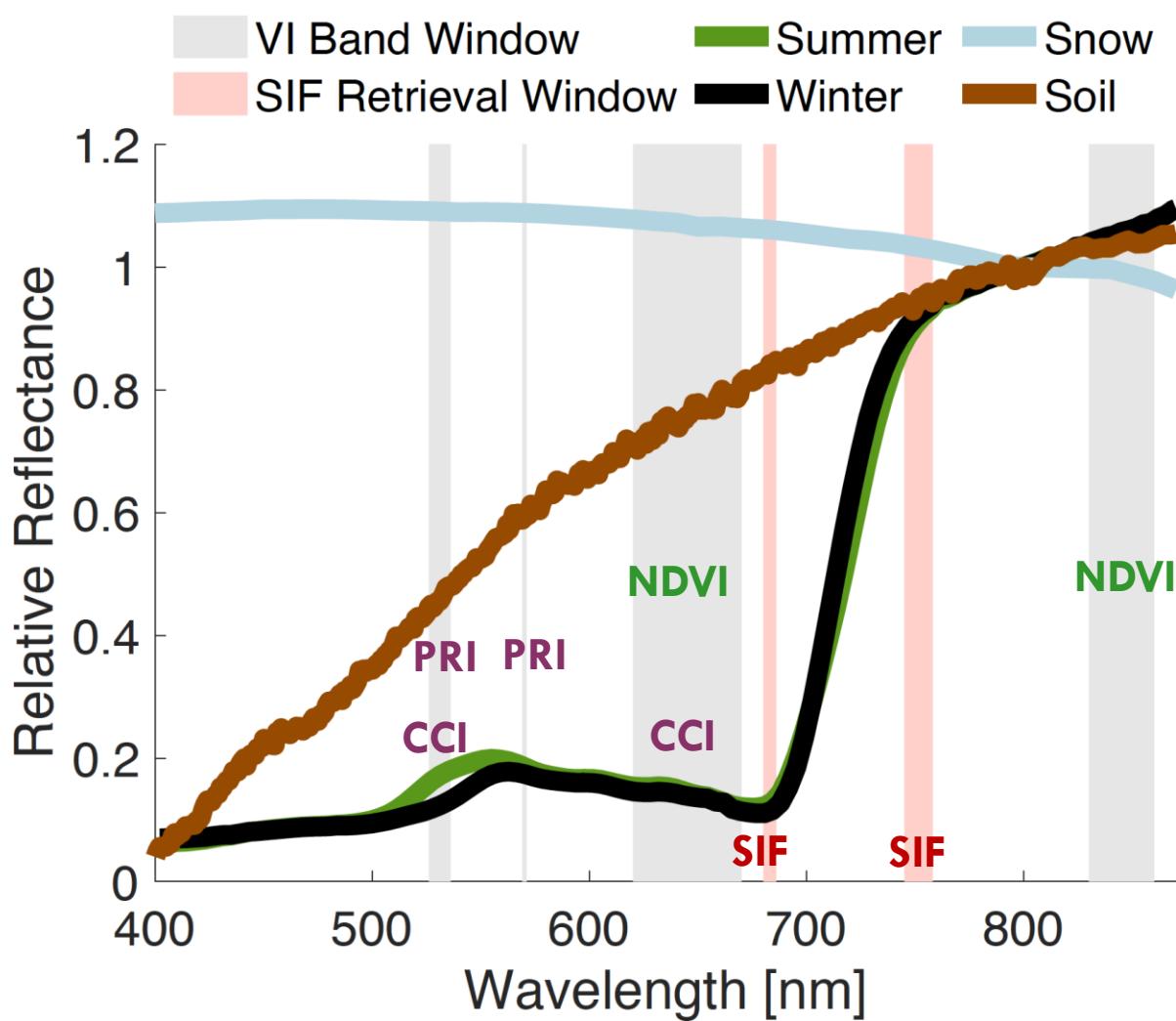


$$1 = \phi_P + \phi_N + \phi_F$$

$$GPP = APAR_{Chl} * \phi_P$$

$$GPP = APAR_{Chl}(1 - \phi_N + \phi_F)$$

# Optical metrics are sensitive to either the amount of absorbed light ( $APAR_{chl}$ ) or energy partitioning

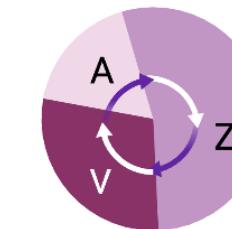


Pierrat, Magney, et al., 2024 BioScience

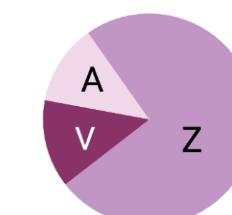
$$GPP = APAR_{chl}(1 - \phi_N + \phi_F)$$



**Normalized Diff. Veg. Index (NDVI)**  
Canopy Greenness



**Photochemical Reflect. Index (PRI)**  
Dynamic Xanthophyll Interconversion



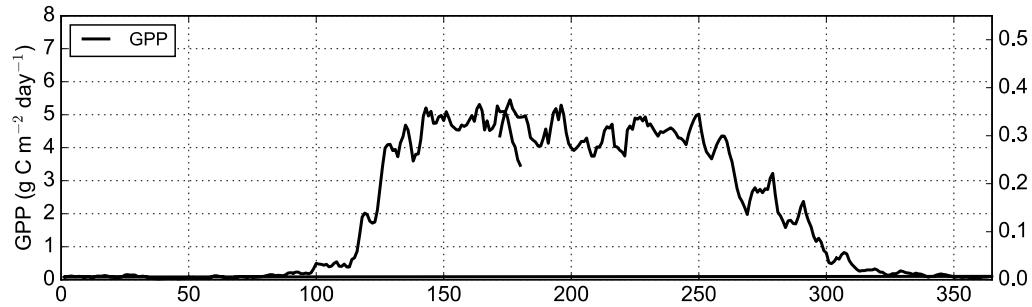
**Chlorophyll:Carotenoid Index (CCI)**  
Sustained Xanthophyll Retention



**Solar Induced Fluorescence (SIF)**  
Chlorophyll a fluorescence

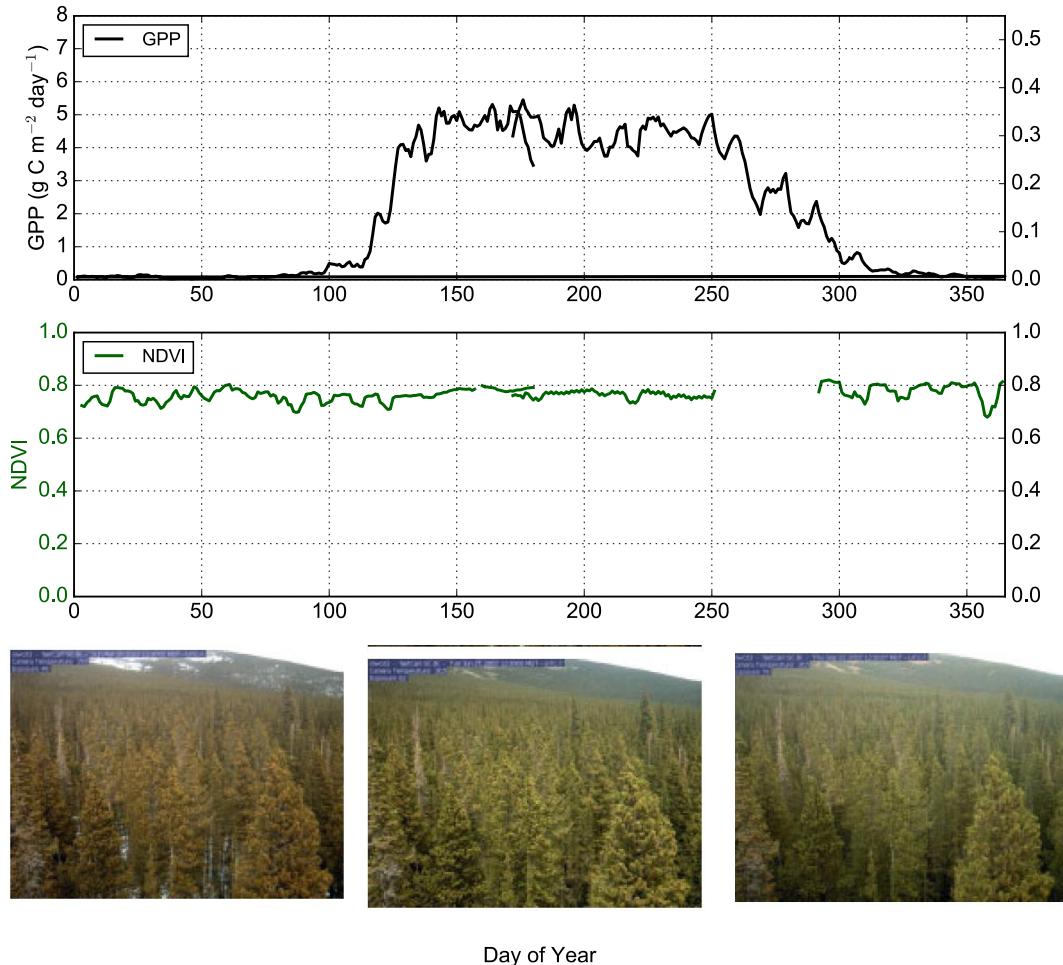
# A seasonal cycle at Niwot Ridge, Colorado

$$GPP = APAR_{chl}(1 - \phi_N + \phi_F)$$



# NDVI is constant because negligible change in Chl and structure

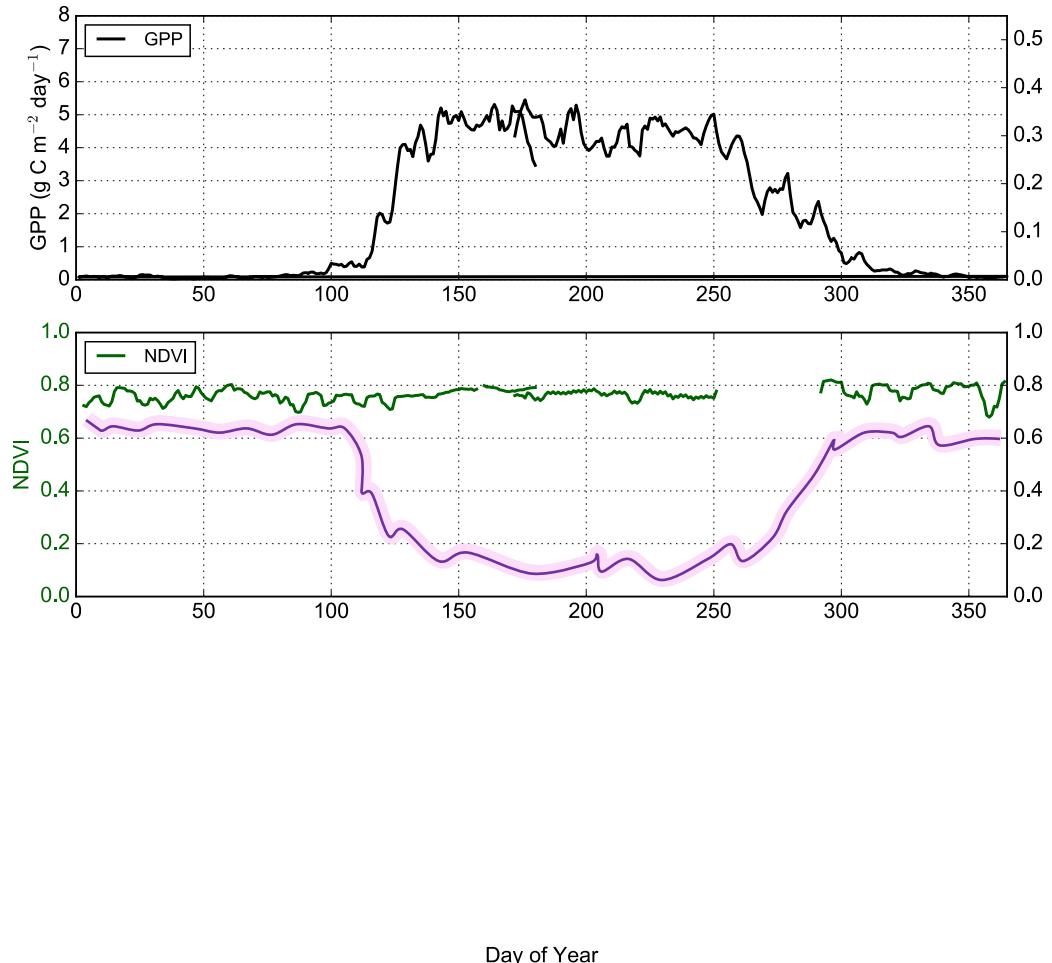
$$GPP = APAR_{Chl}(1 - \phi_N + \phi_F)$$



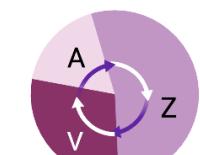
**Normalized Diff. Veg. Index (NDVI)**  
Canopy Greenness

# Pigment based indices can tell us about photoprotective mechanisms

$$GPP = APAR_{Chl}(1 - \phi_N + \phi_F)$$



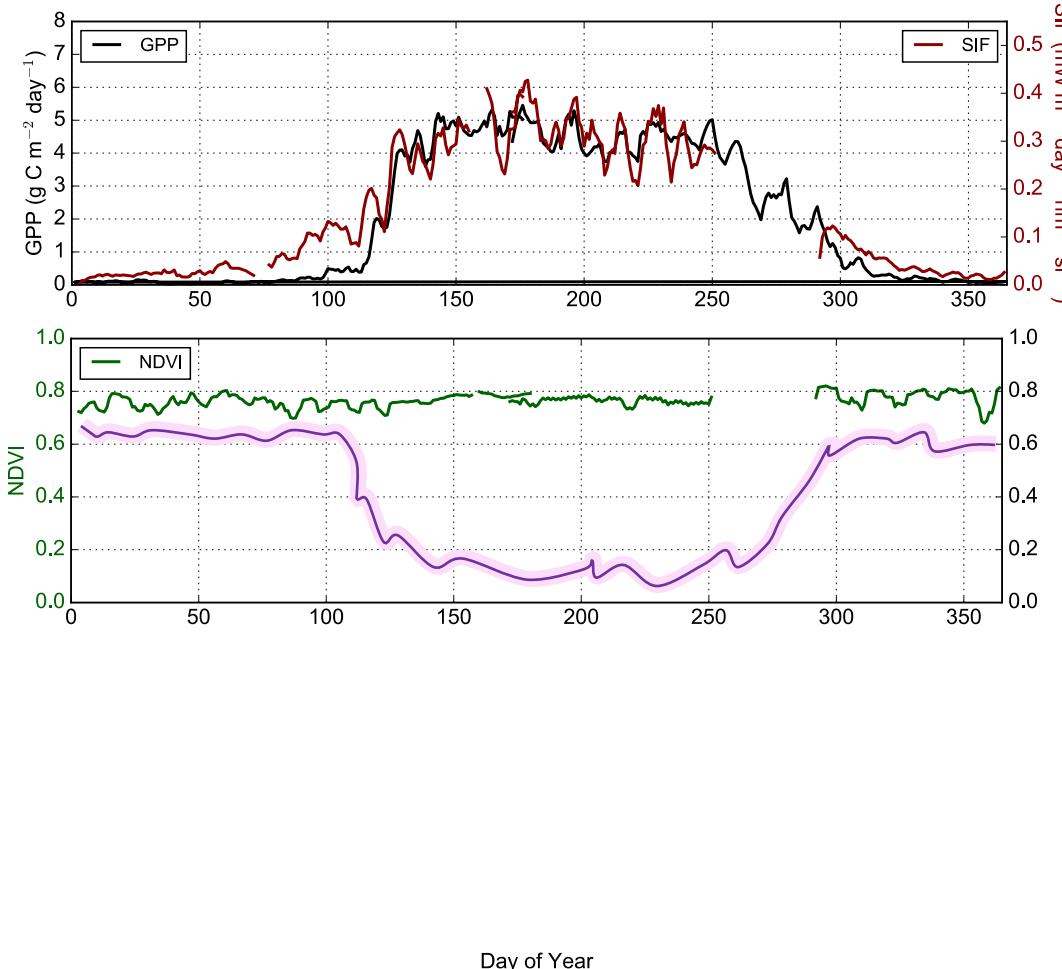
**Normalized Diff. Veg. Index (NDVI)**  
Canopy Greenness



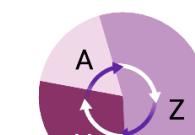
**Photochemical Reflect. Index (PRI)**  
Integrated plant stress

# Solar-induced fluorescence is sensitive to both absorbed light and photochemistry

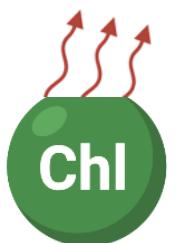
$$GPP = APAR_{Chl}(1 - \phi_N + \phi_F)$$



**Normalized Diff. Veg. Index (NDVI)**  
Canopy Greenness



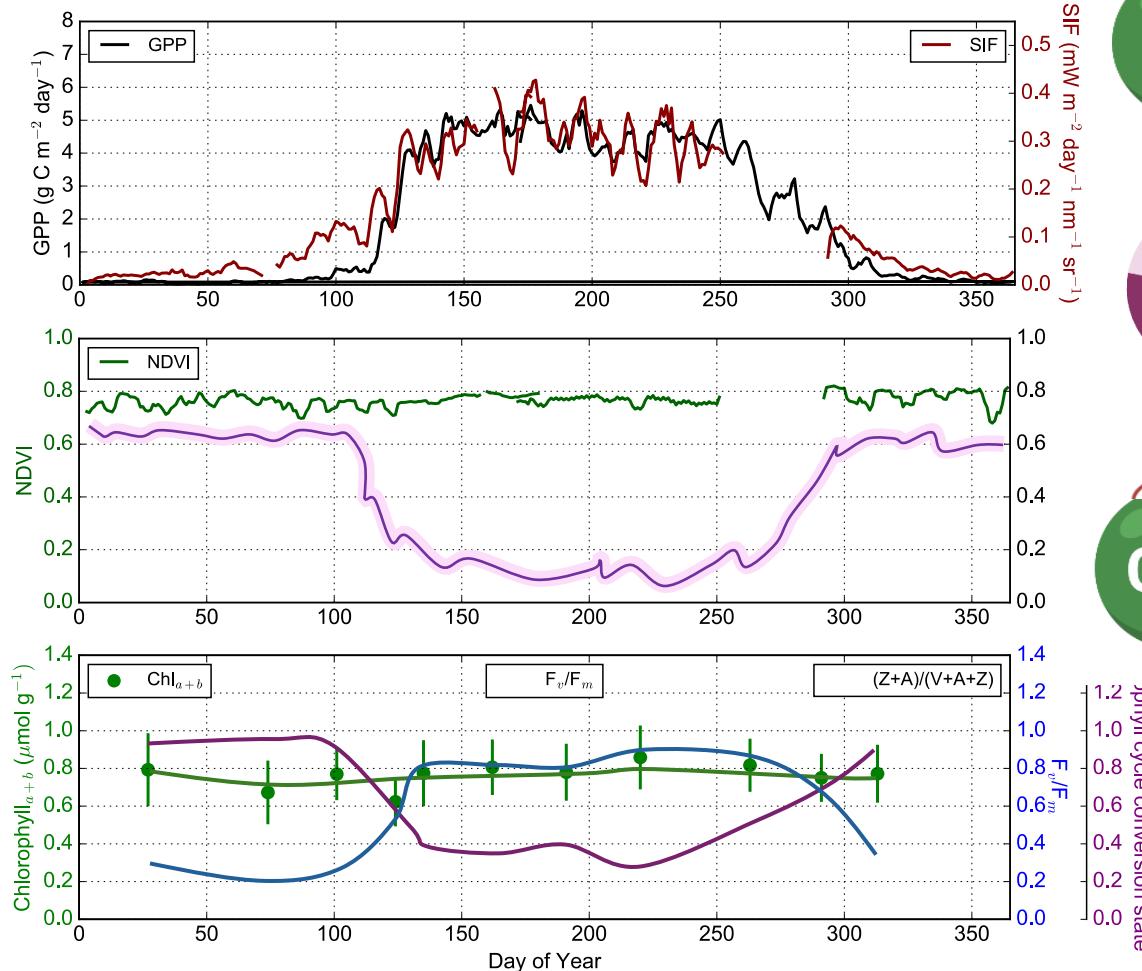
**Photochemical Reflect. Index (PRI)**  
Integrated plant stress



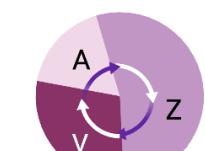
**Solar Induced Fluorescence (SIF)**  
Chlorophyll a fluorescence

# Covariation in the seasonality of field measured pigments and photochemistry

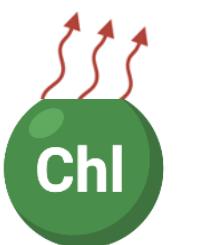
$$GPP = APAR_{Chl}(1 - \phi_N + \phi_F)$$



**Normalized Diff. Veg. Index (NDVI)**  
Canopy Greenness



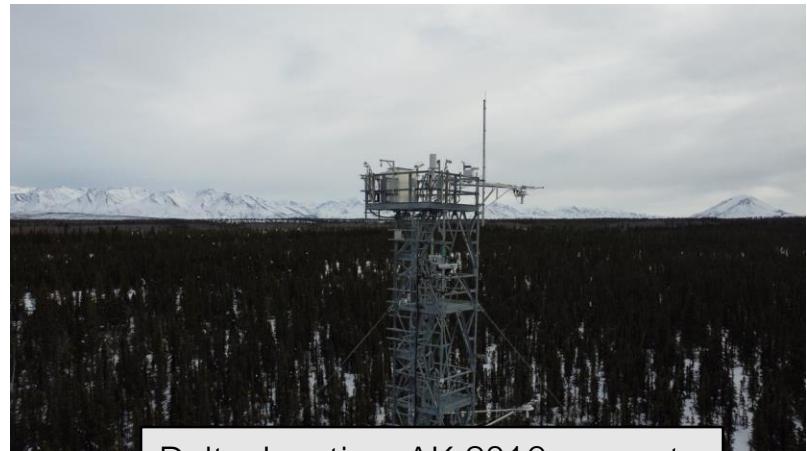
**Photochemical Reflect. Index (PRI)**  
Integrated plant stress



**Solar Induced Fluorescence (SIF)**  
Chlorophyll *a* fluorescence

**Seasonal cycles of needle pigments  
and photochemistry (*in situ*)**

# Let's test this approach across four evergreen sites



Delta Junction, AK 2019-present



Niwot Ridge, CO 2017-2021



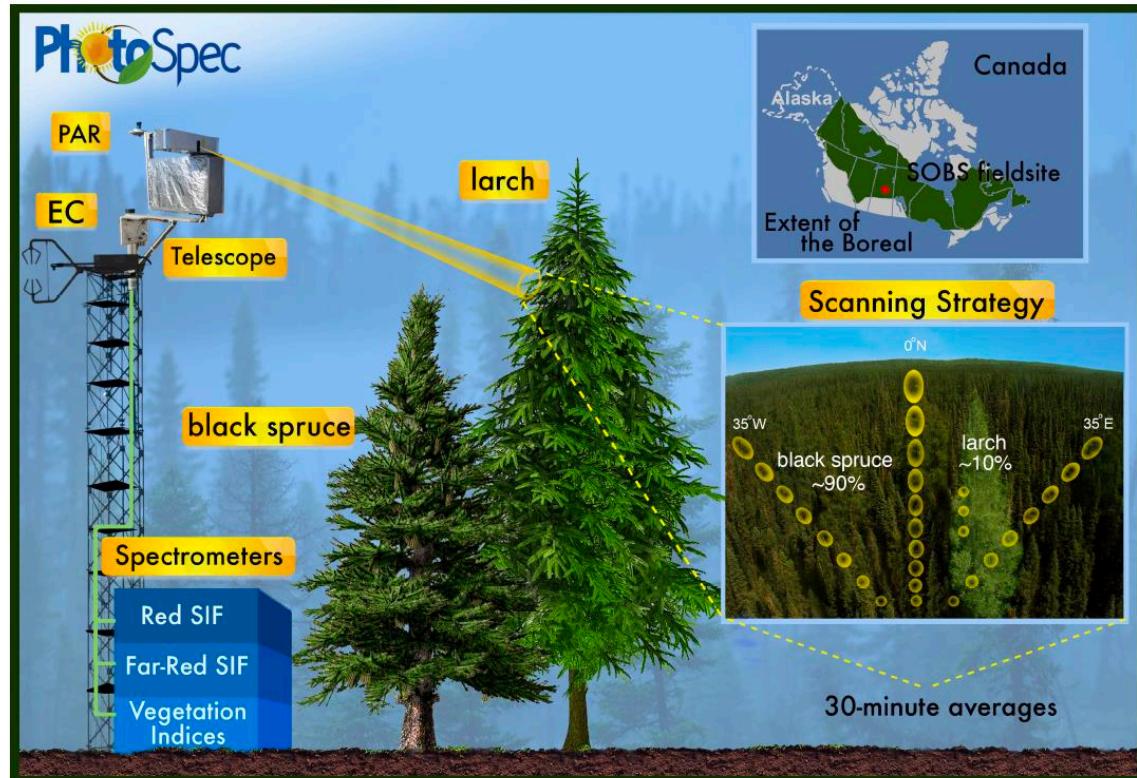
Old Black Spruce, Sask. 2018-present



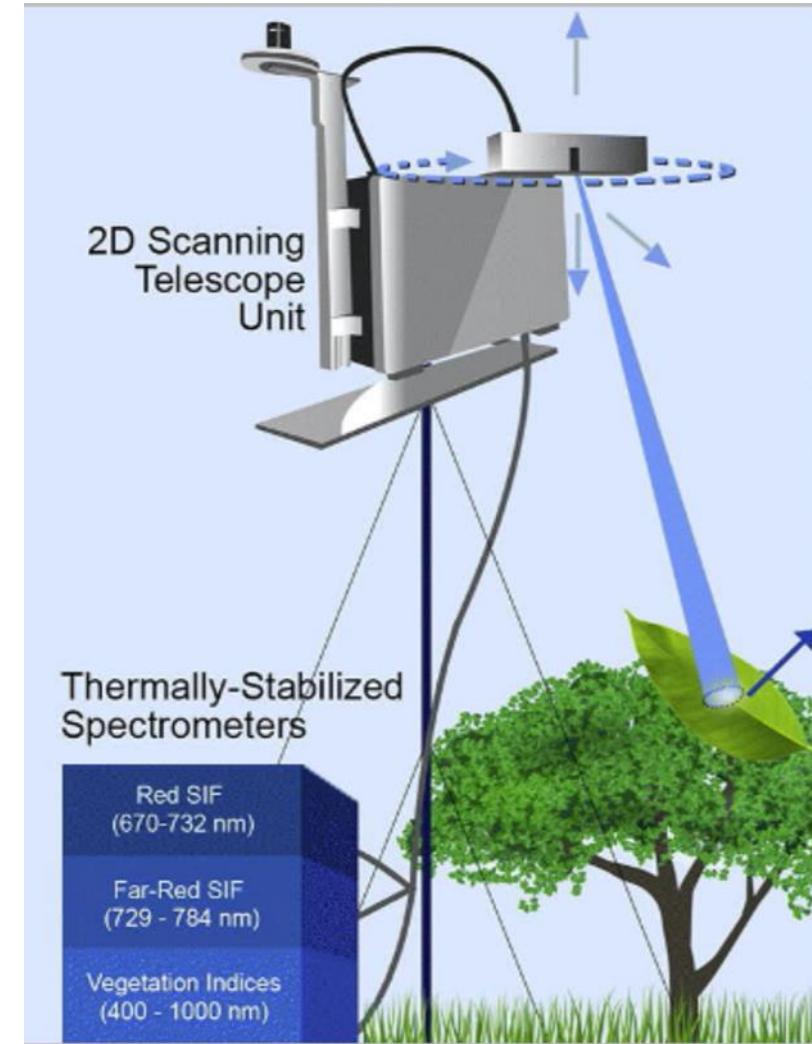
Ordway Swisher, FL 2019-present



# We use a scanning-tower spectrometer, PhotoSpec, for continuous tower-based measurements (~20 second retrievals)

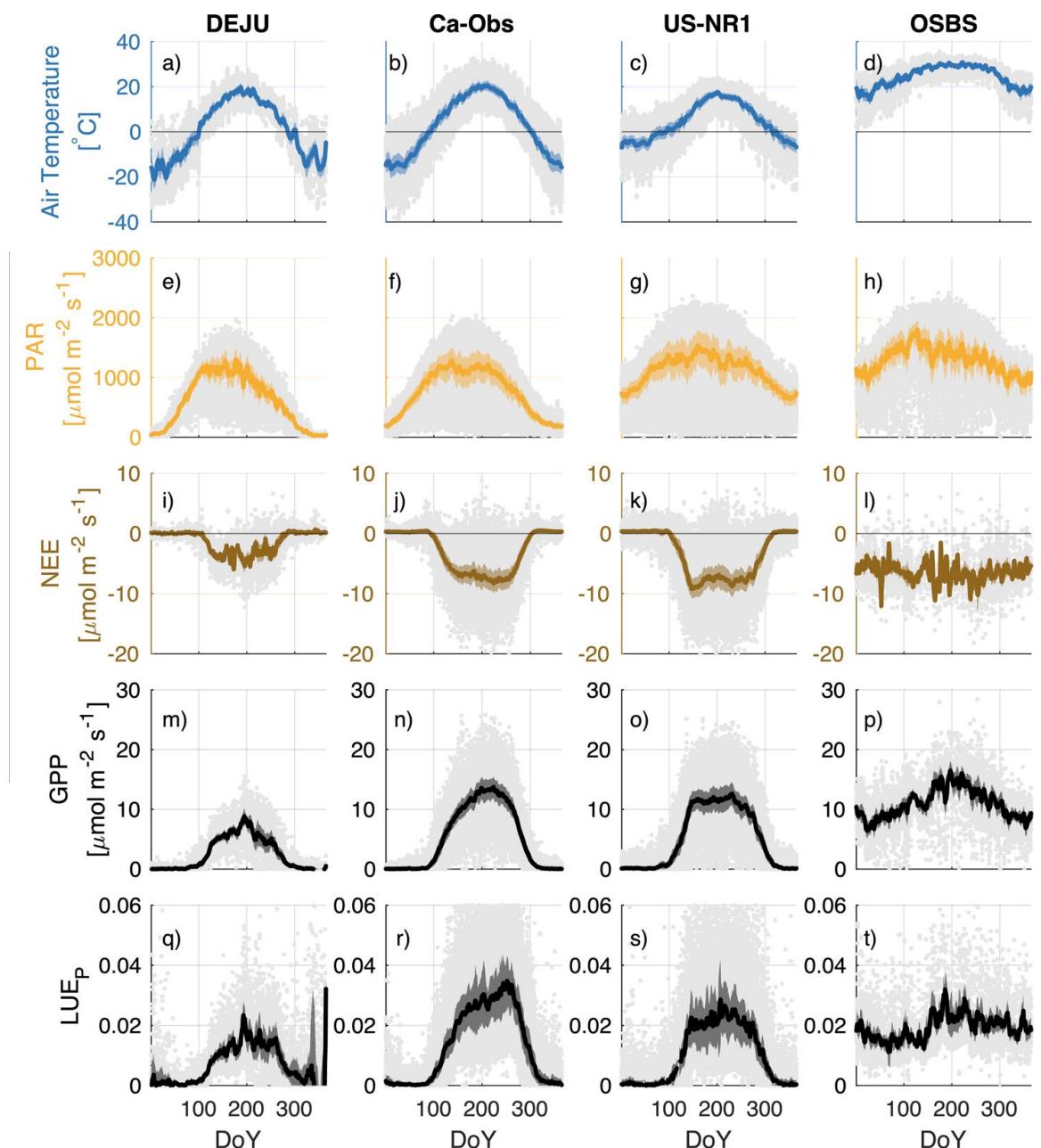
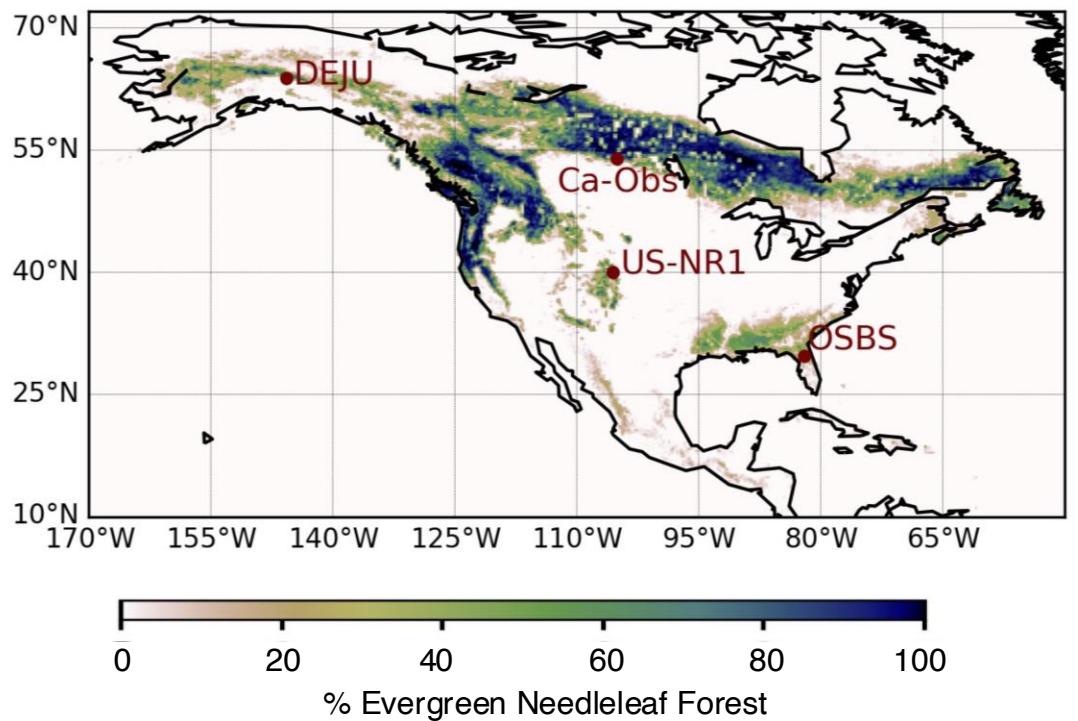


Pierrat, Magney, et al. 2022 *JGR-Biogeosciences*



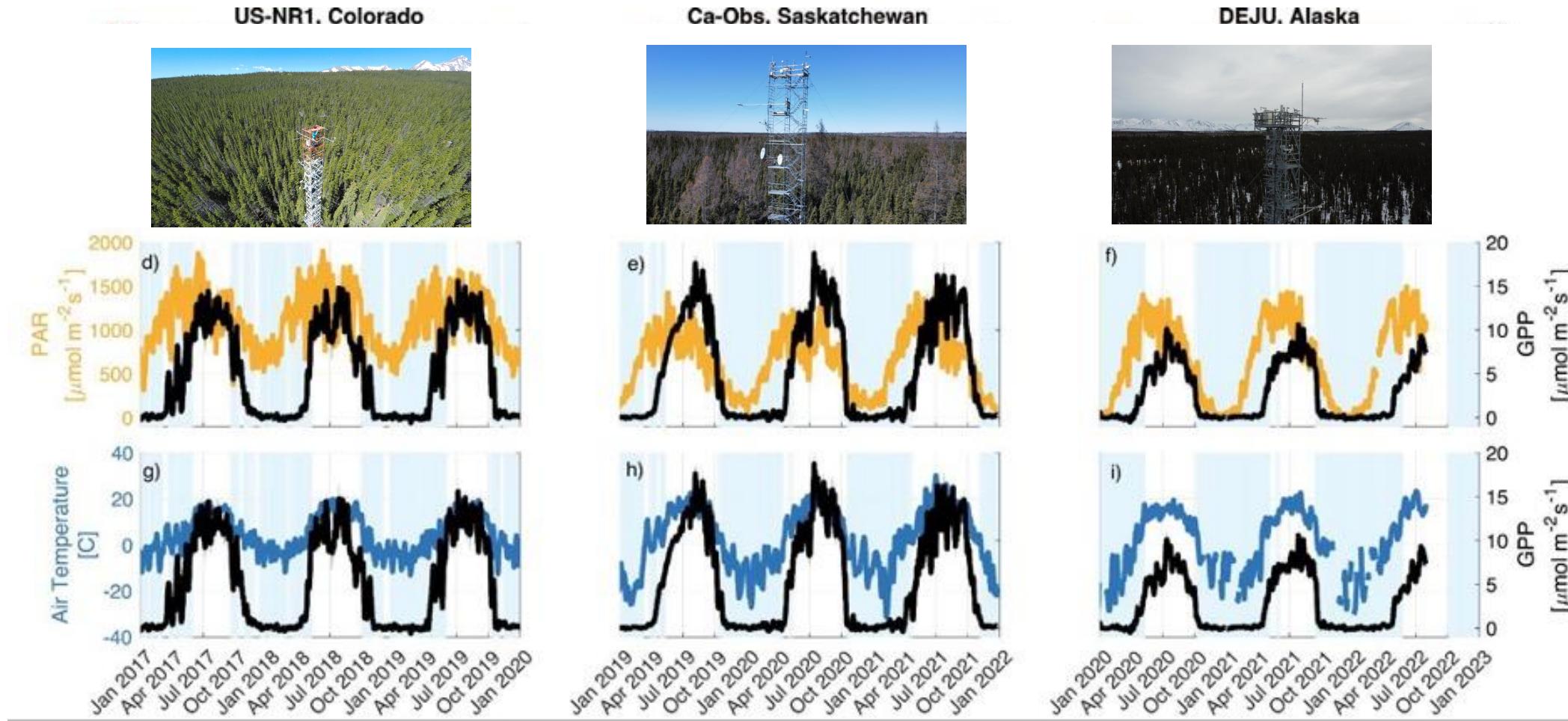
Grossmann et al., 2018 *RSE*

# Temperature and light drive the seasonal cycle of photosynthesis

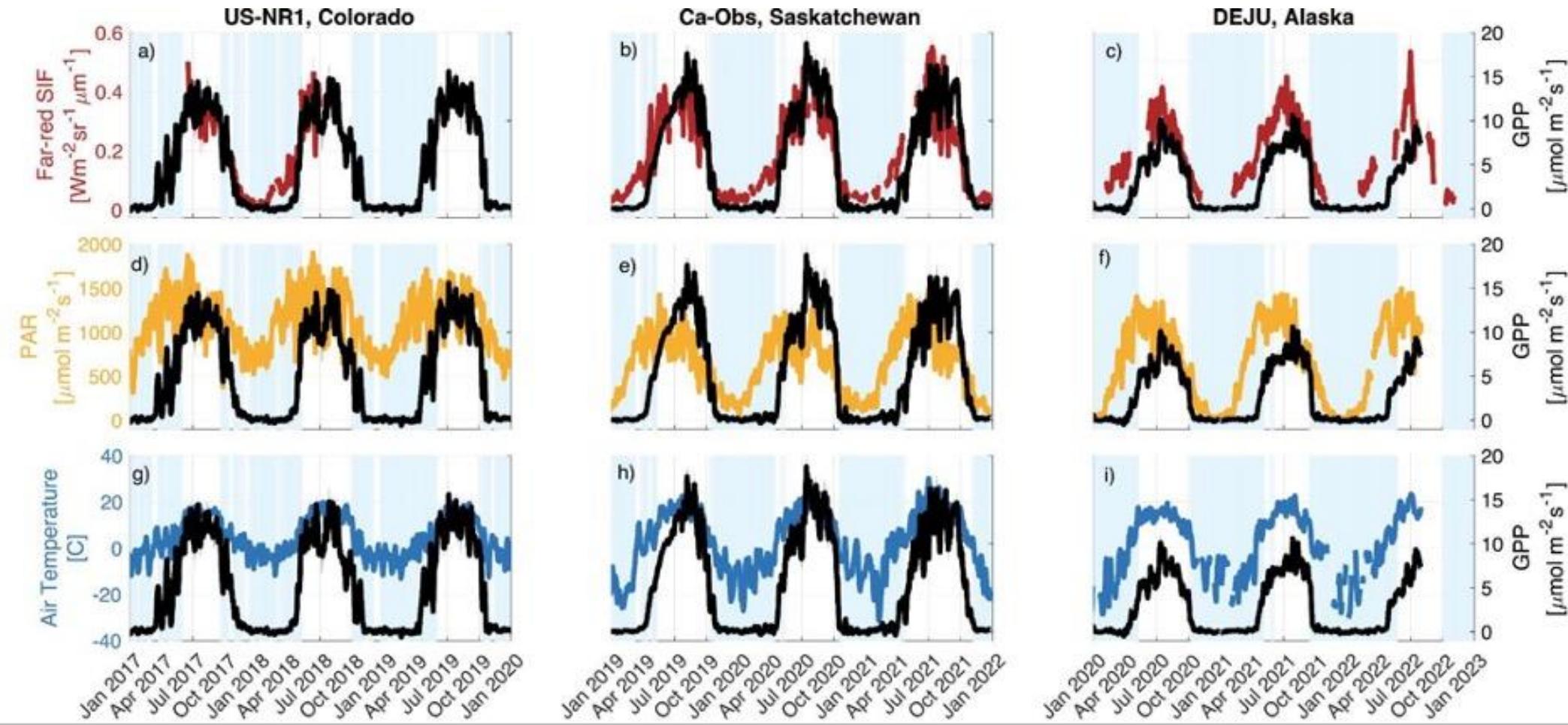


# Photosynthesis (GPP) begins prior to snowmelt

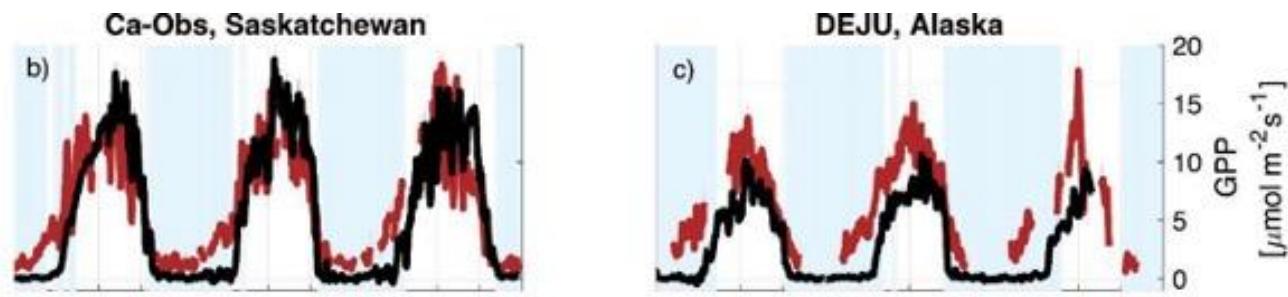
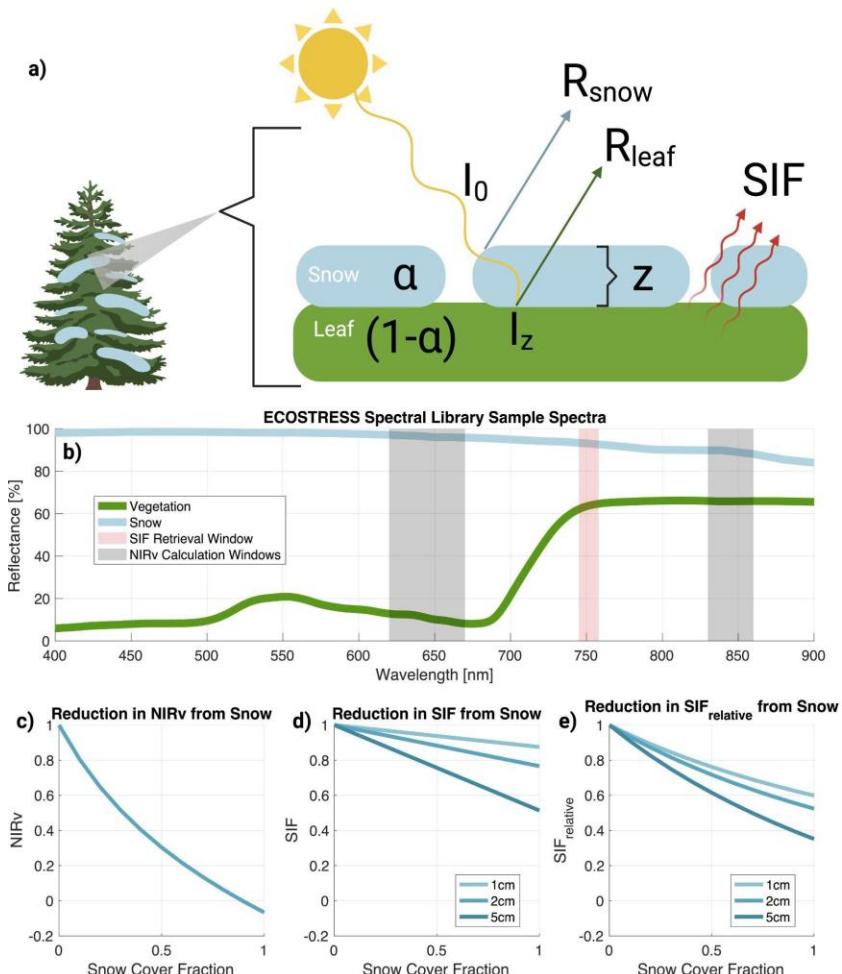
Snow on canopy is indicated by blue vertical lines



# SIF has a light response to increasing PAR Beginning prior to GPP onset



# How can we ‘correct’ for the light driven increase in SIF? And the impact of snow?



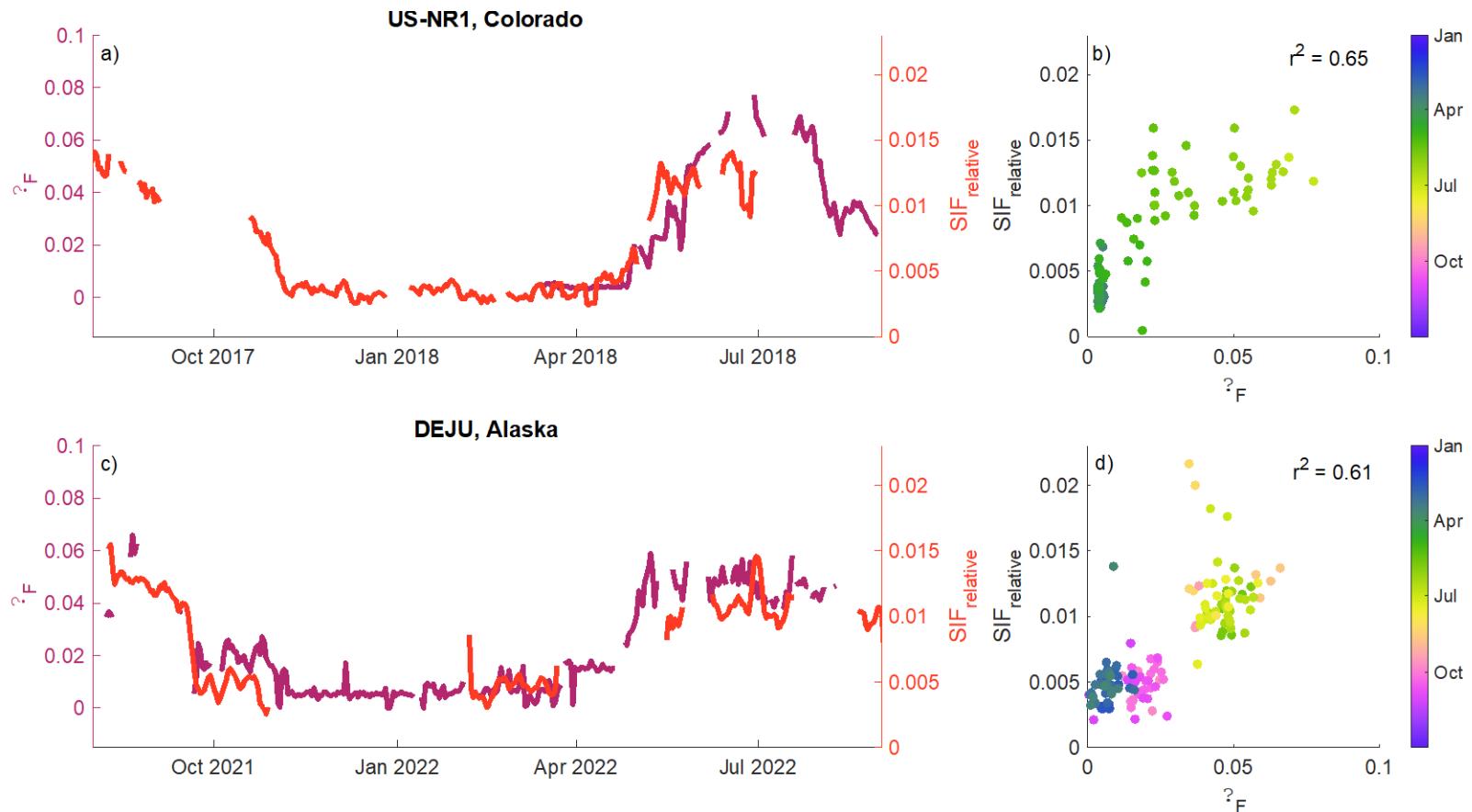
Relative SIF

=

$$\frac{\text{SIF}_{(751-771 \text{ nm})}}{\text{reflected radiance}_{(751-771 \text{ nm})}}$$

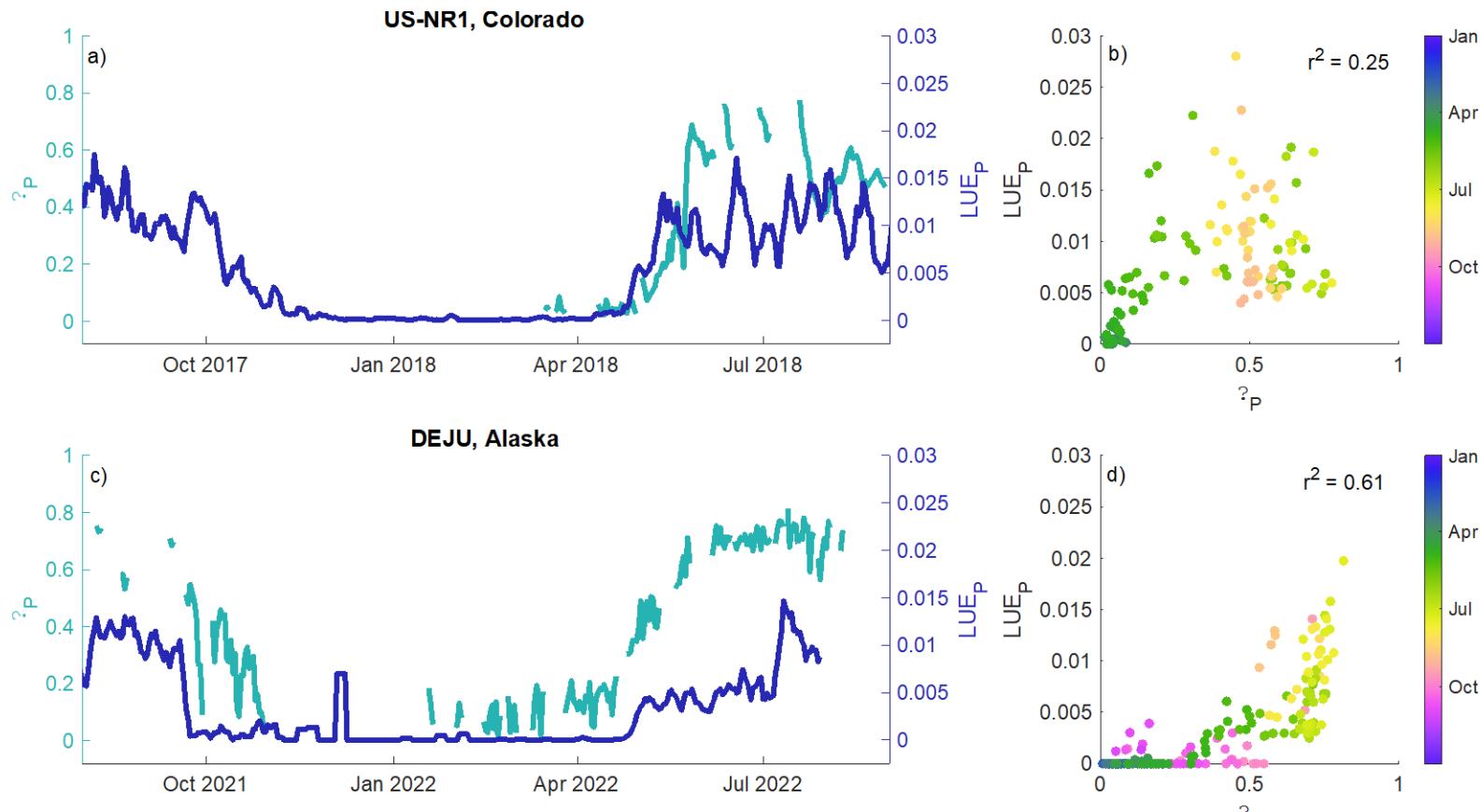
# How does the needle scale compare to the tower scale?

Comparing fluorescence yield ( $\Phi F$ ) with SIF<sub>relative</sub>



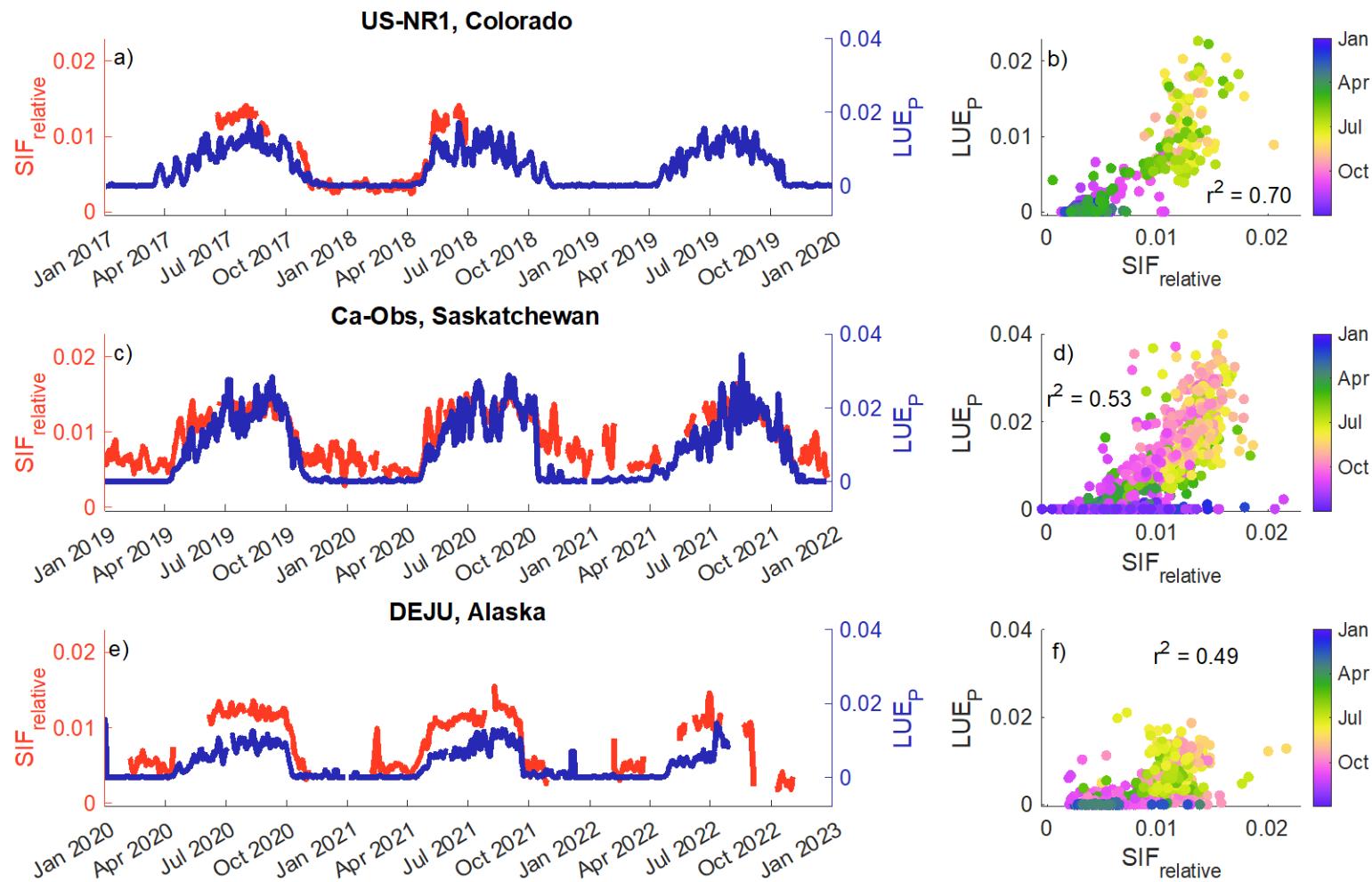
# How does the needle scale compare to the tower scale?

Comparing photochemical yield ( $\Phi_P$ ) with light-use efficiency ( $LUE_P$ )



$$LUE_P = \text{GPP}/\text{absorbed light (APAR)}$$

# Taken together, SIF<sub>relative</sub> matches well with LUE<sub>P</sub> at all 3 sites



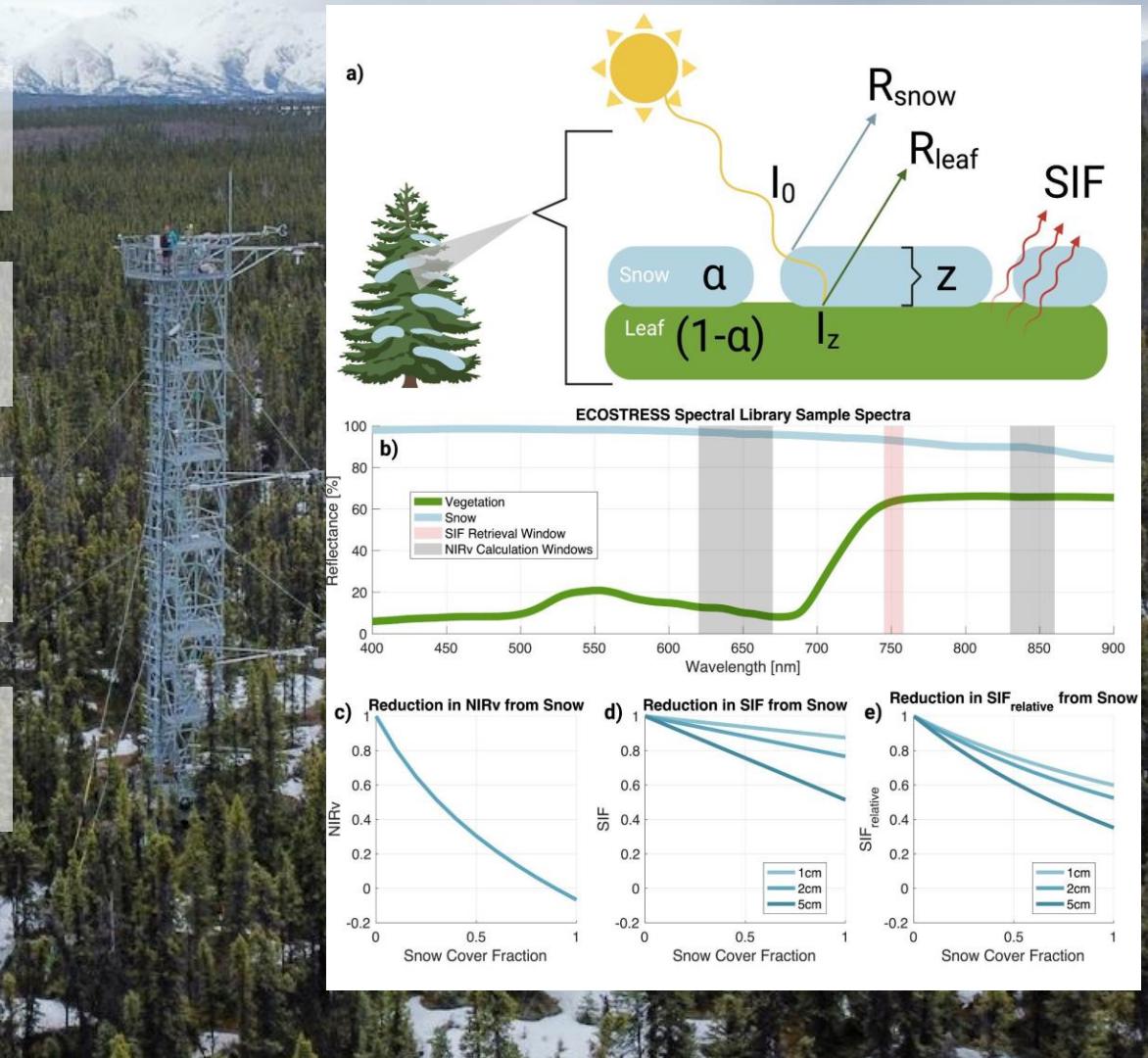
# Correcting for SIF using $SIF_{relative}$ better tracks GPP onset

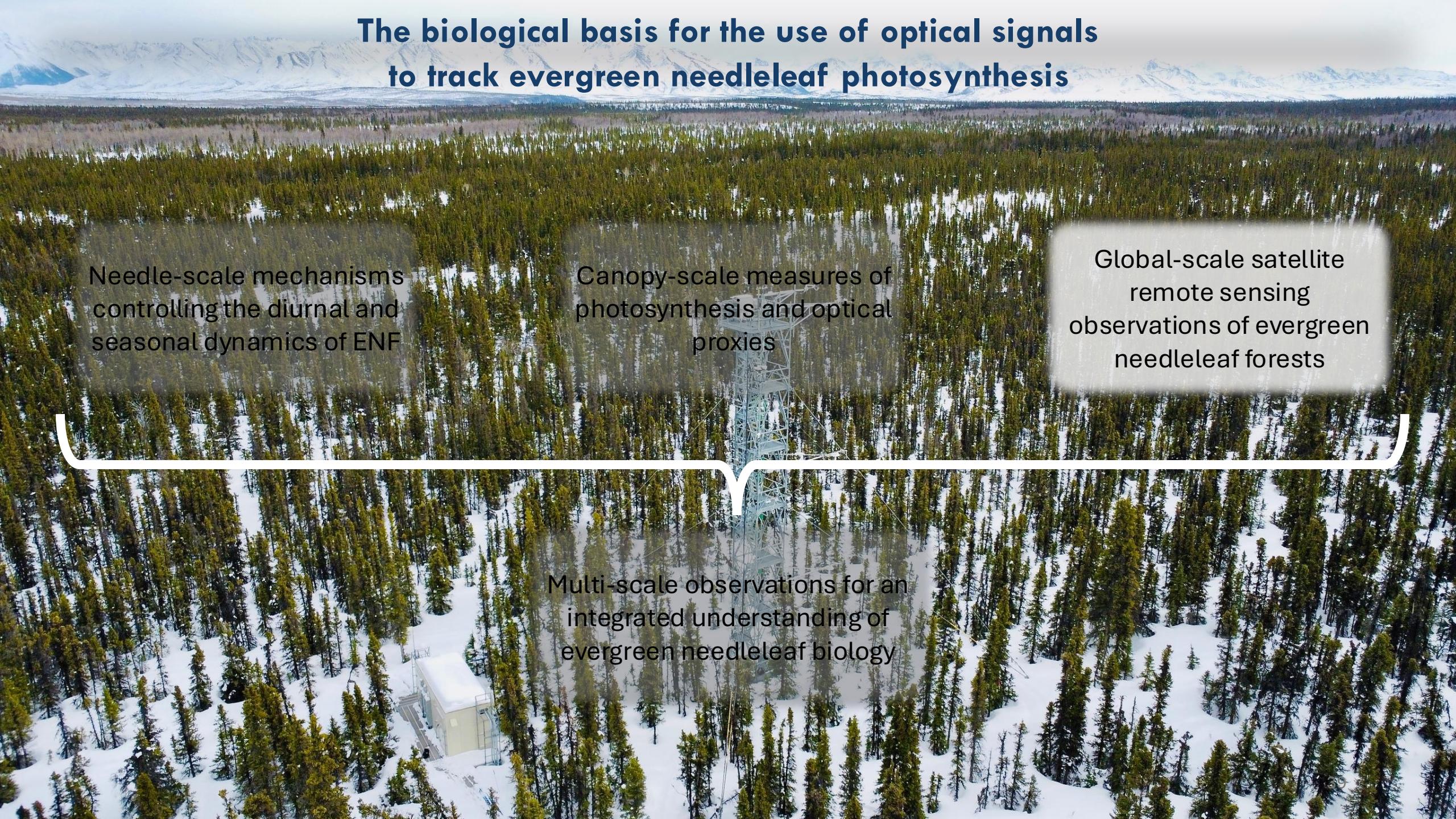
Satellites have not been able to see this previously because of snow background

But now we can correct for snow using a physiologically sensitive index ( $SIF_{relative}$ )

To confirm this ‘wakening’ at a range of scales (needle -> tower -> satellite)

And hopefully gain a better understanding on the seasonal timing of photosynthesis in ENFs





# The biological basis for the use of optical signals to track evergreen needleleaf photosynthesis

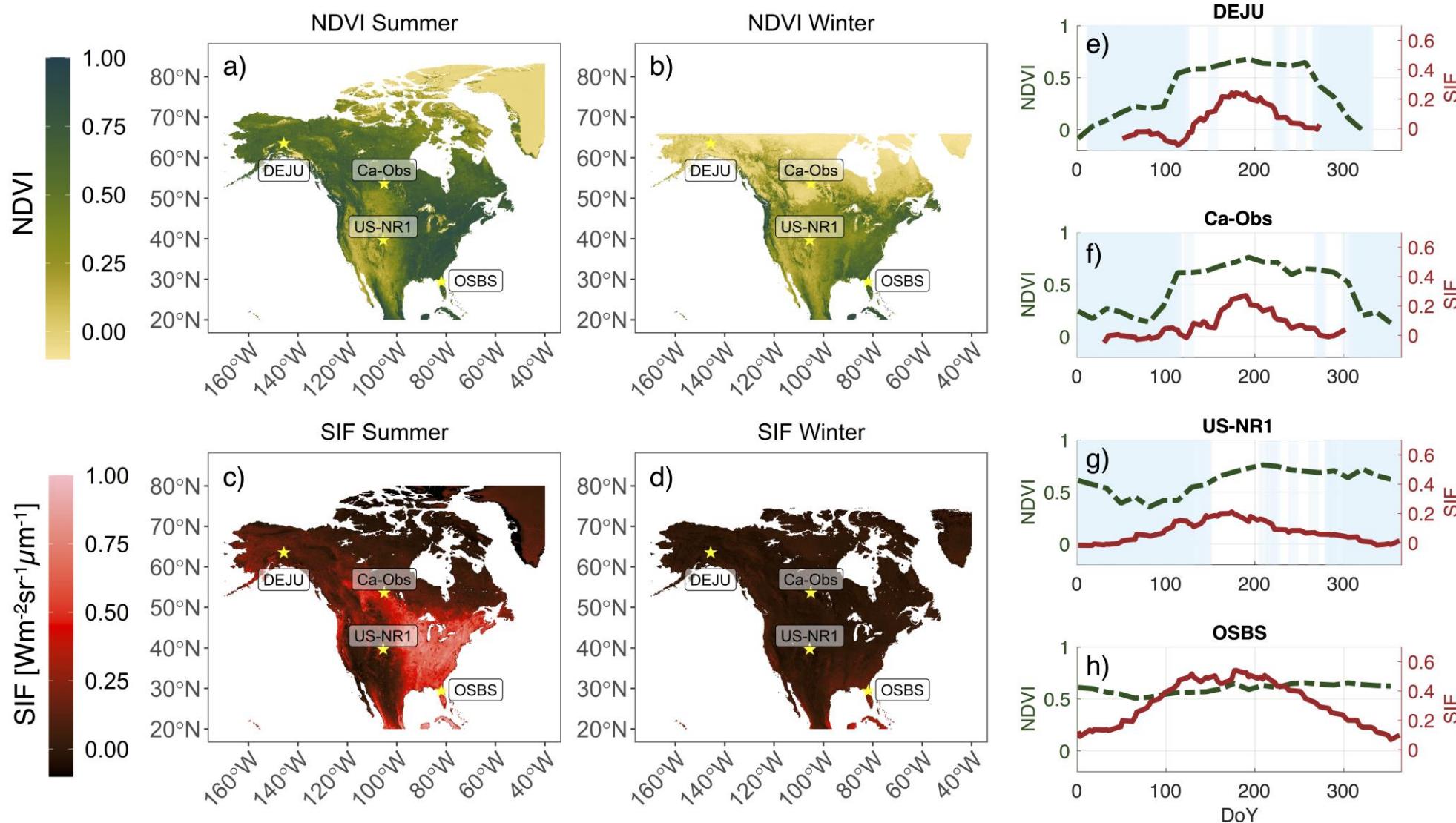
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# Satellite remote sensing expands the spatial range but there remains a need for mechanistic ground-based validation



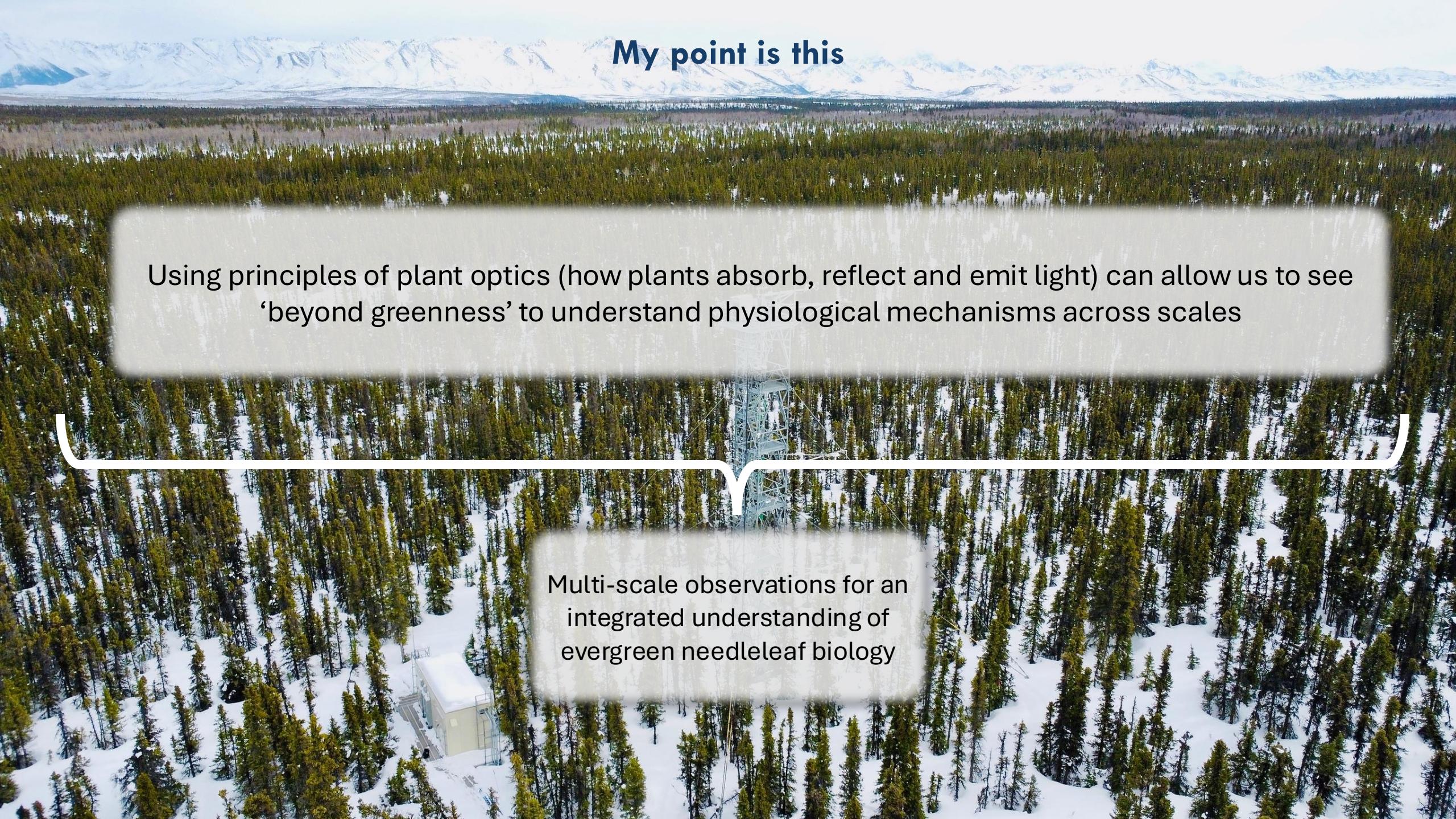
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## My point is this

Using principles of plant optics (how plants absorb, reflect and emit light) can allow us to see ‘beyond greenness’ to understand physiological mechanisms across scales

Multi-scale observations for an integrated understanding of evergreen needleleaf biology

# Thank you – questions?

FLUXNET Workshop | Lawrence Berkeley National Lab | 9 July 2024



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Jochen Stutz, Katja Grossmann, Jaret Reblin, Andrew Maguire



# Bridge the link between flux towers and models to enable upscaling

Housen Chu<sup>1</sup>,  
Xiangzhong Luo<sup>2</sup>,  
Zutao Ouyang<sup>3</sup>,  
Patty Oikawa<sup>4</sup>,  
Thomas Fenster<sup>4,5</sup>,  
Camilo Rey-Sanchez<sup>6</sup>,  
Iryna Dronova<sup>7</sup>, Alex Valach<sup>8</sup>

AmeriFlux Management Project and Site Teams



1 Lawrence Berkeley National Laboratory

2 National University of Singapore

3 Auburn University,

4 California State University – East Bay

5 University of California, Davis

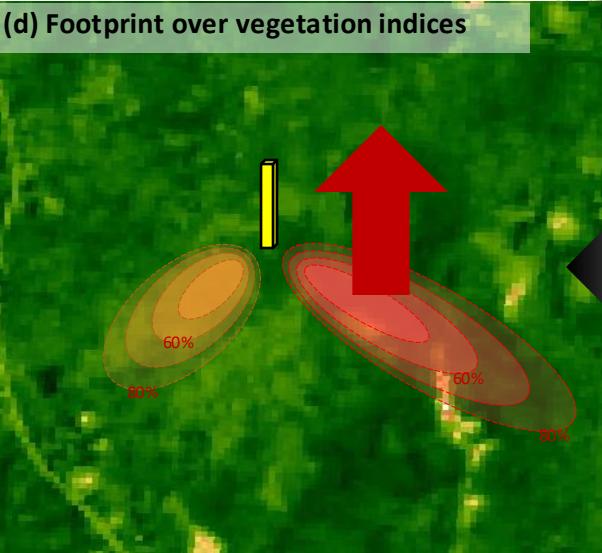
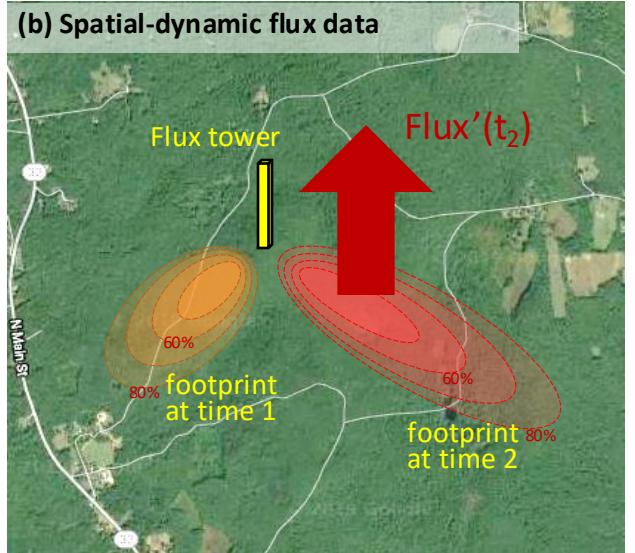
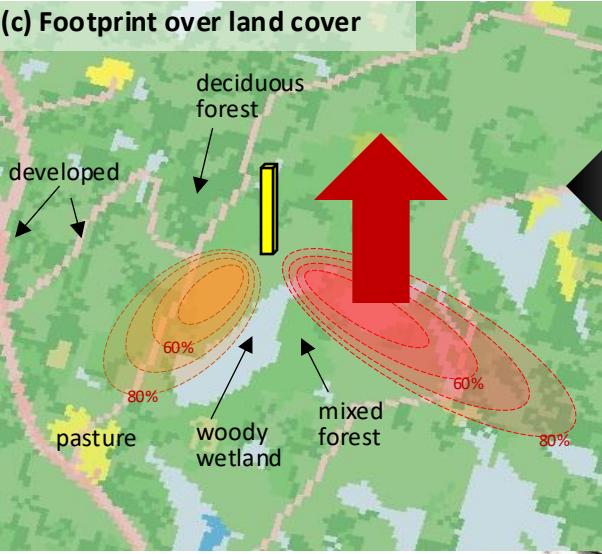
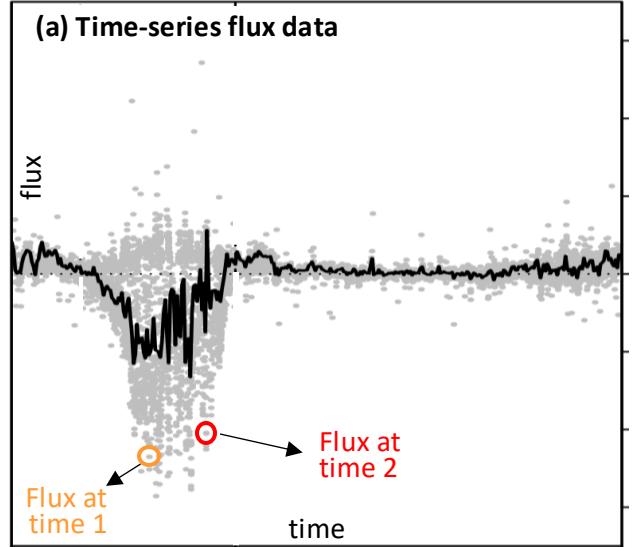
6 North Carolina State University

7 University of California, Berkeley

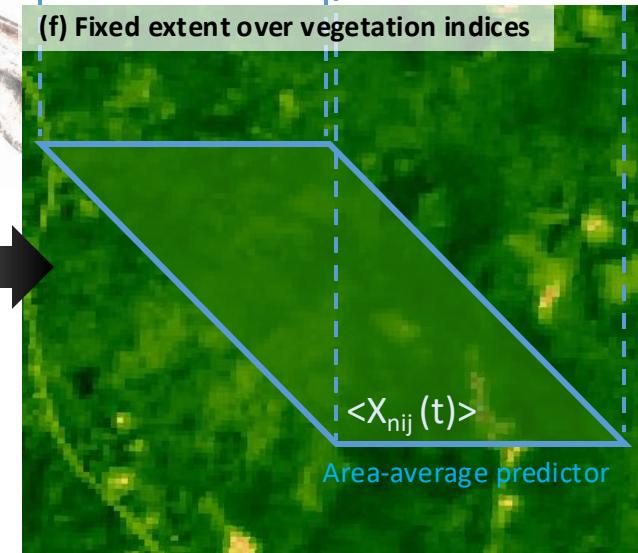
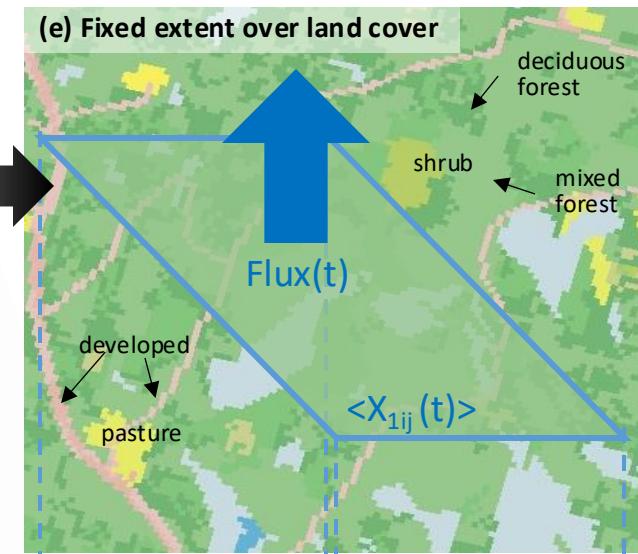
8 Berner Fachhochschule BFH, Bern, Berne, Switzerland

# Background

What flux towers see?



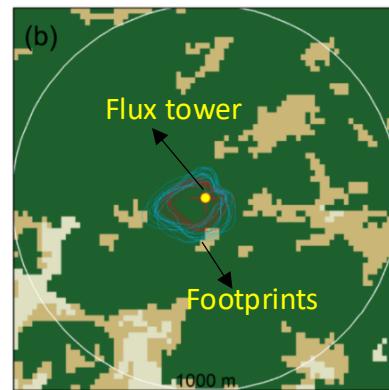
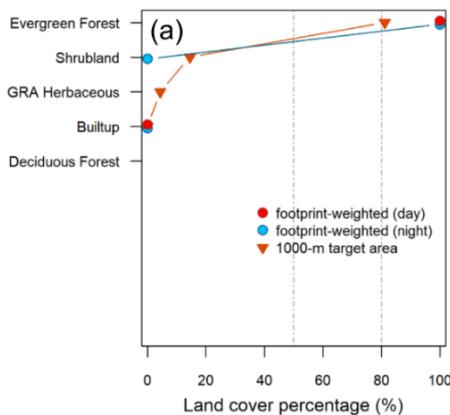
What models think?



Flux'(t): footprint-aggregated flux at time t  
Flux(t): area-averaged flux at time t  
 $X_{nij}(t)$ : pixel-wise predictor n at time t  
 $\langle \rangle$ : spatial average

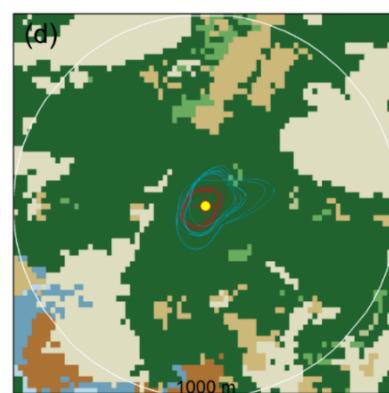
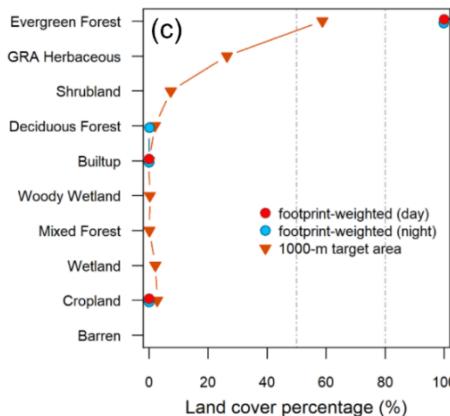
# Representativeness based on land cover composition

High



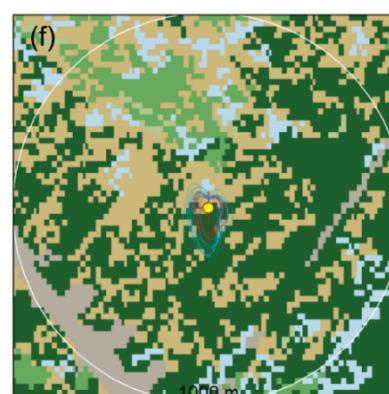
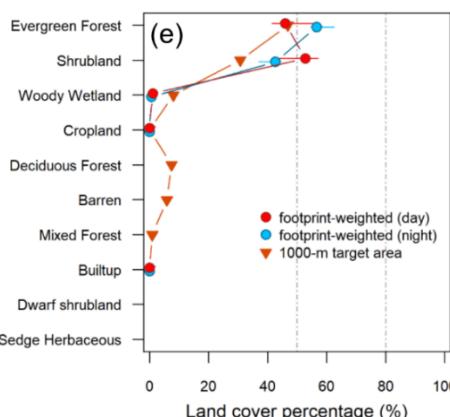
GRA Herbaceous  
Shrubland  
Evergreen Forest  
Deciduous Forest  
Builtup

Medium

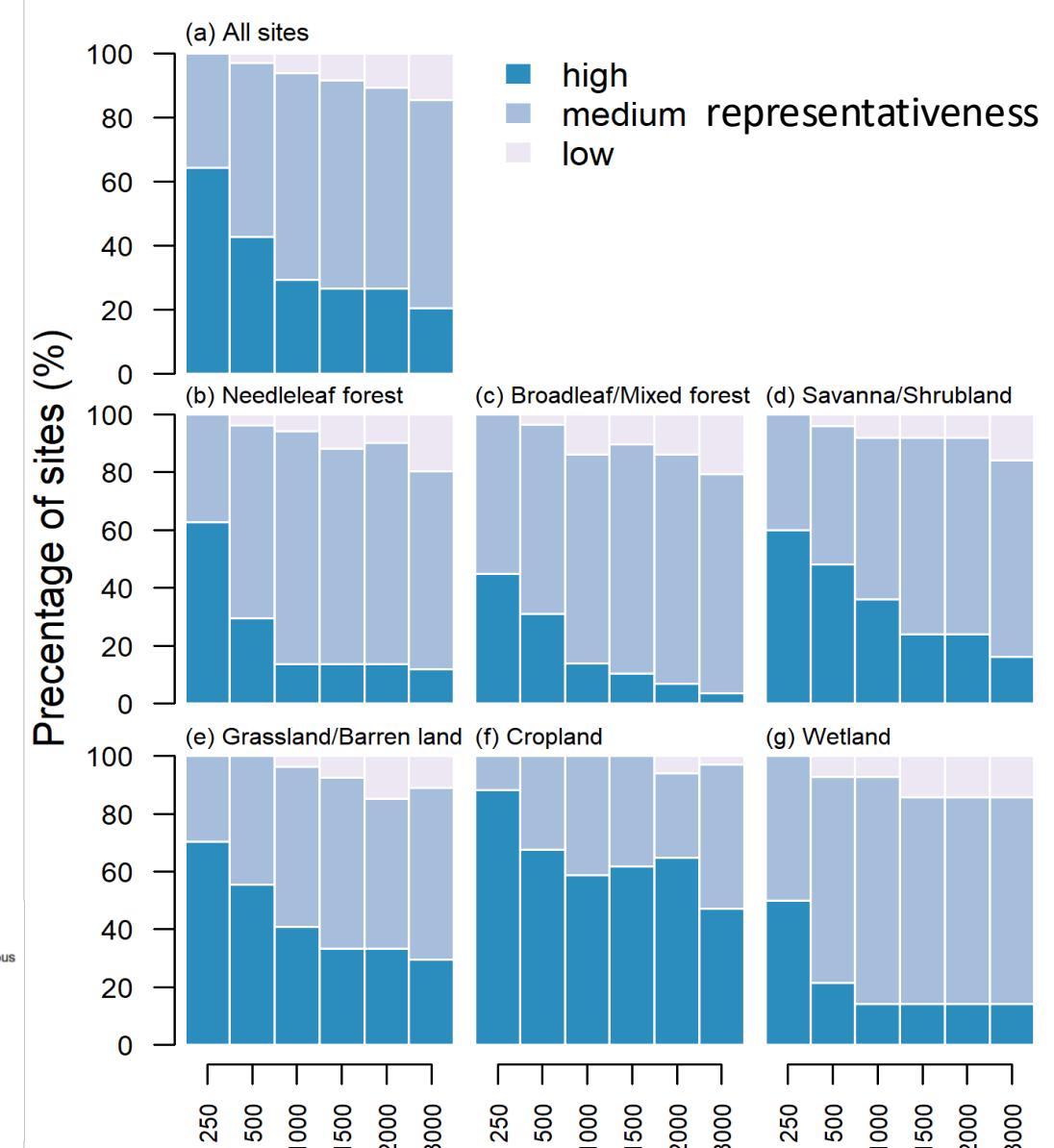


Wetland  
Woody Wetland  
Cropland  
GRA Herbaceous  
Shrubland  
Mixed Forest  
Evergreen Forest  
Deciduous Forest  
Barren  
Builtup  
Water

Low



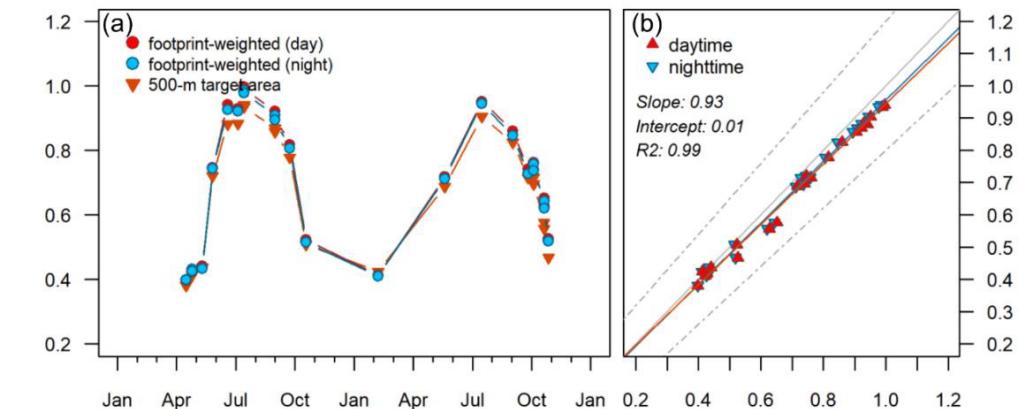
Woody Wetland  
Cropland  
GRA Sedge Herbaceous  
GRA Herbaceous  
Shrubland  
Dwarf shrubland  
Mixed Forest  
Evergreen Forest  
Deciduous Forest  
Barren  
Builtup  
Water



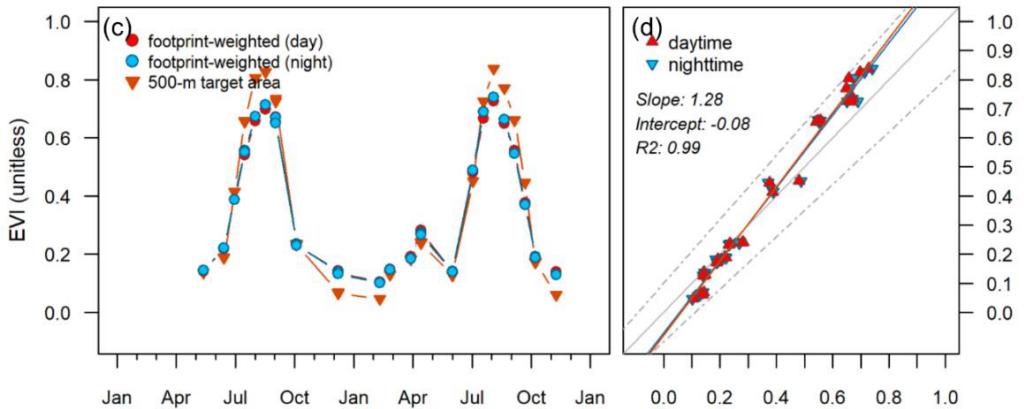
Target area around tower ( m ) (Chu et al. 2021)

# Representativeness based on EVI

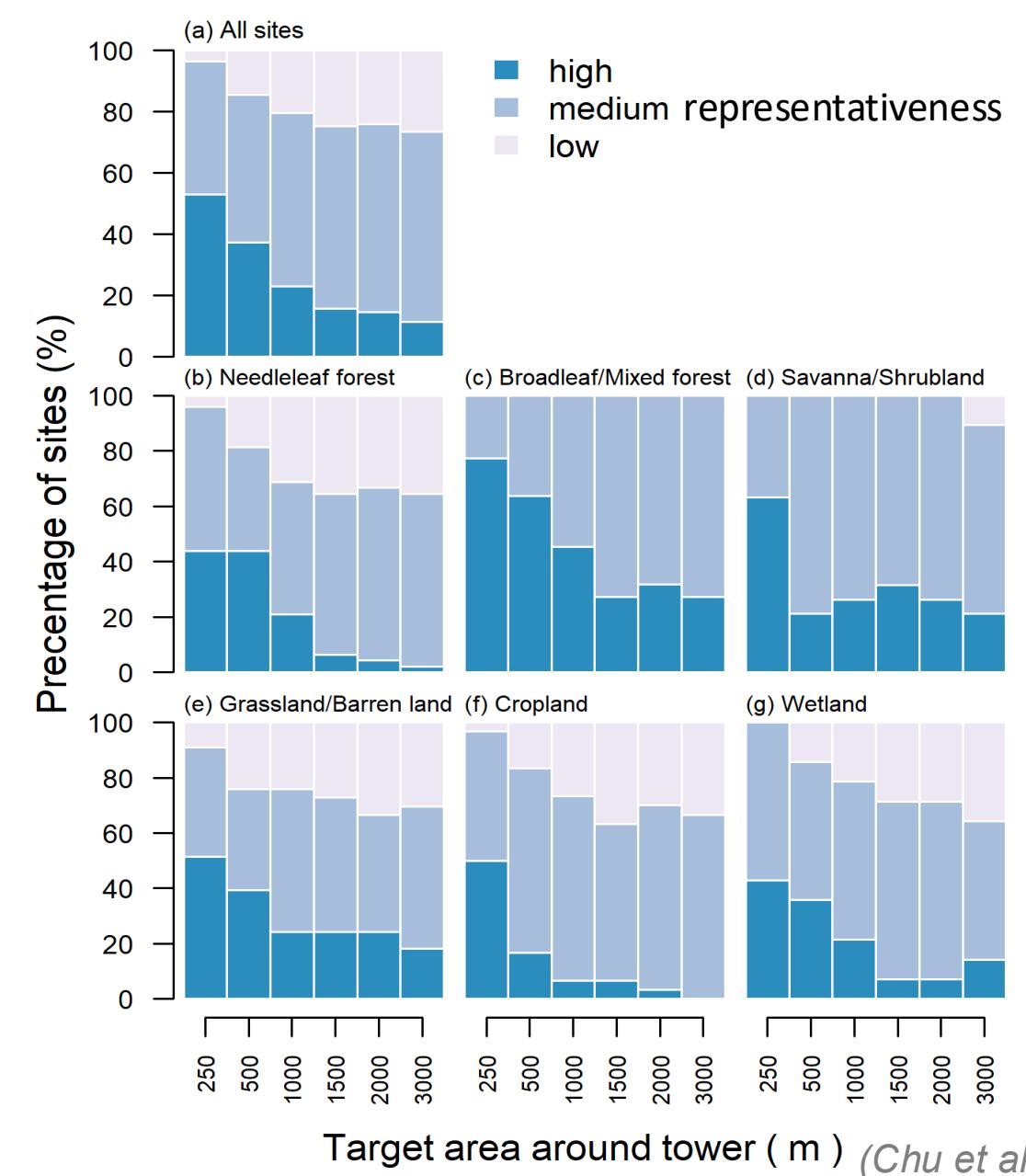
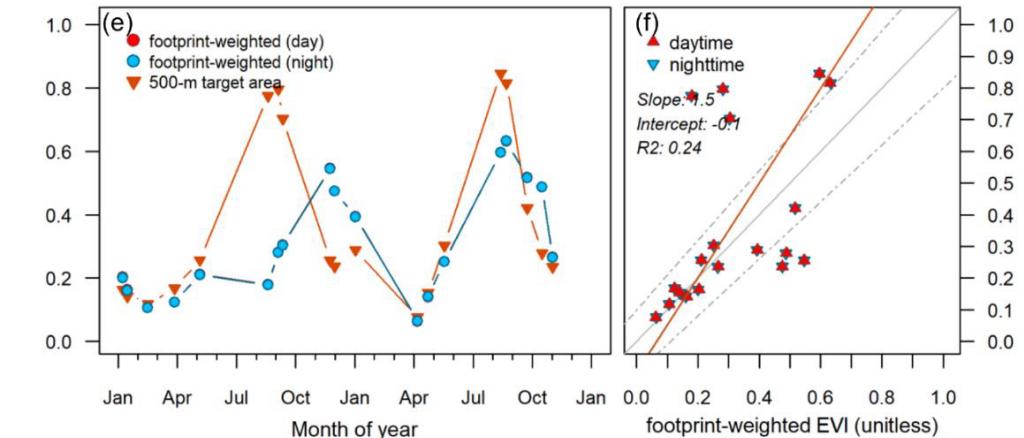
High



Medium



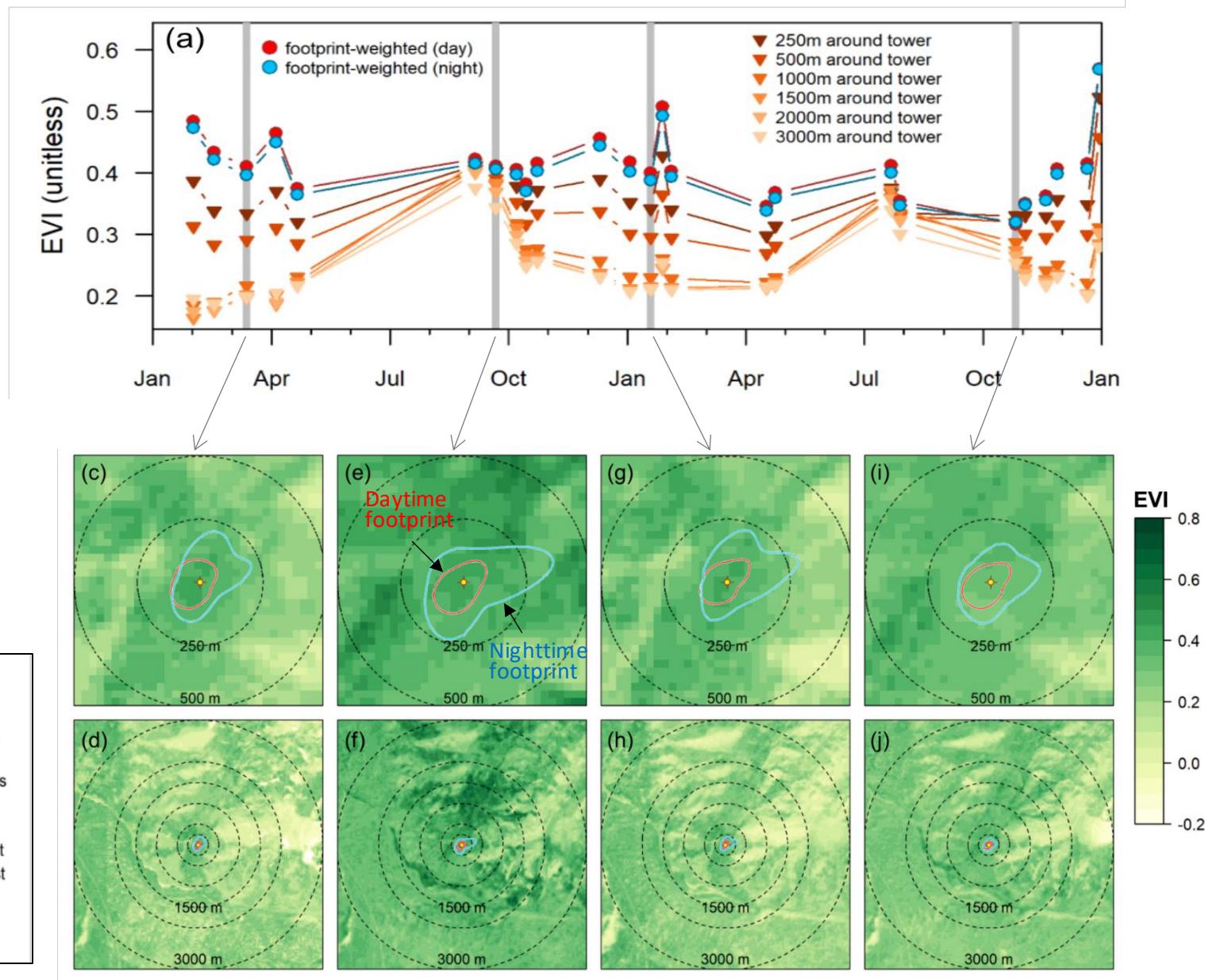
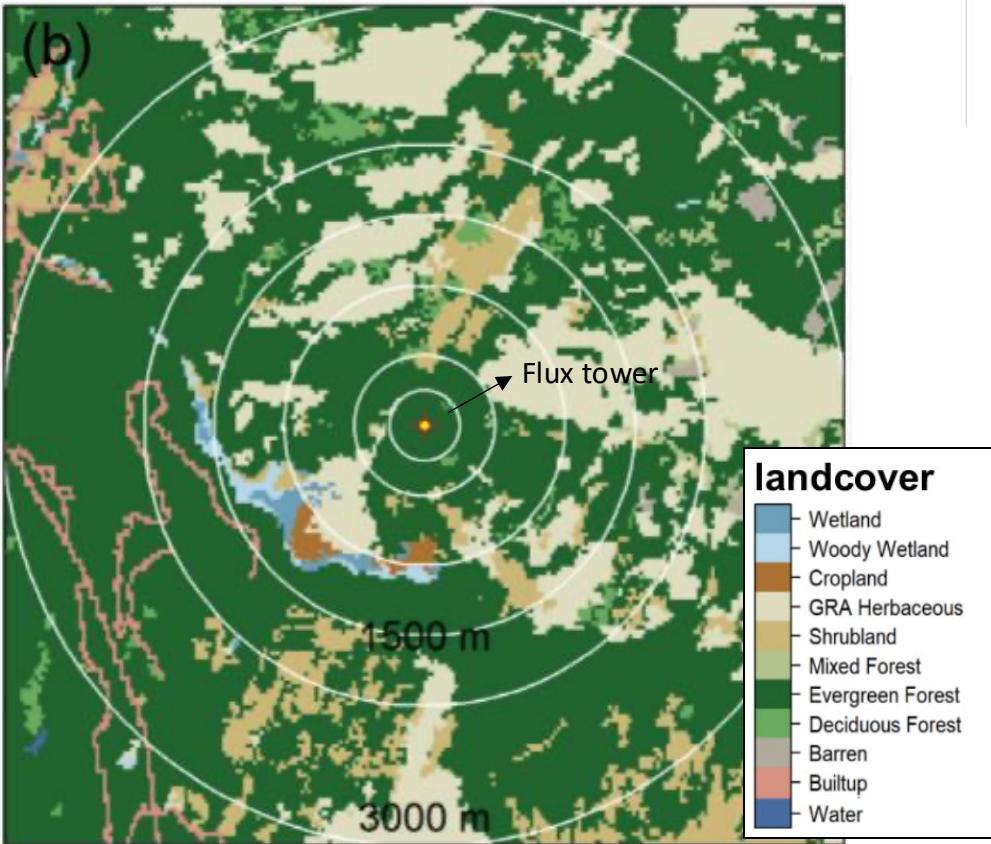
Low



# Example case – limited representativeness

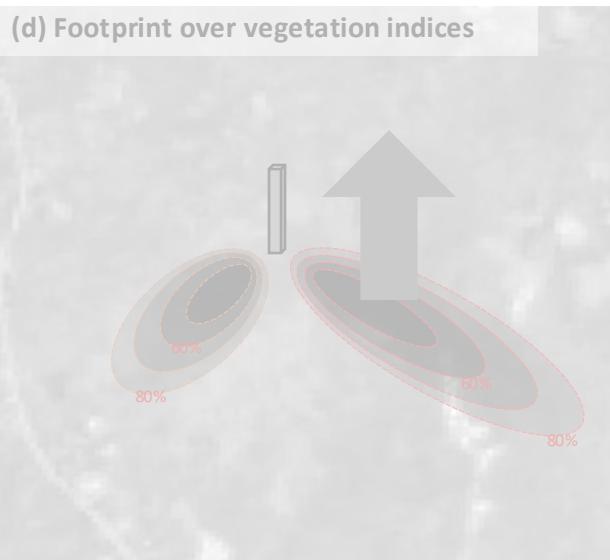
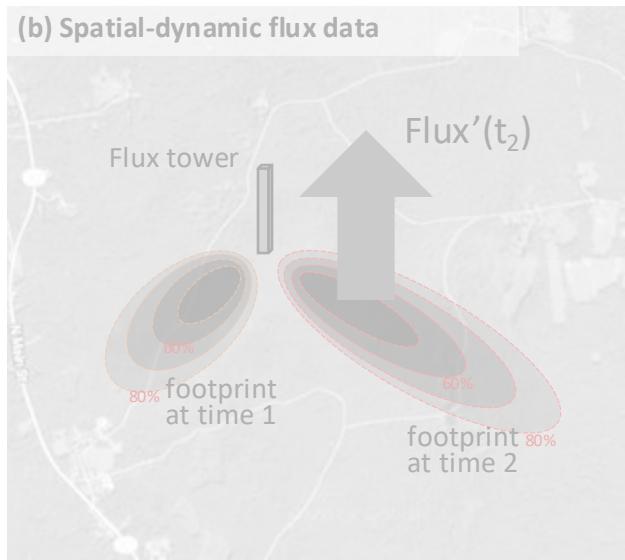
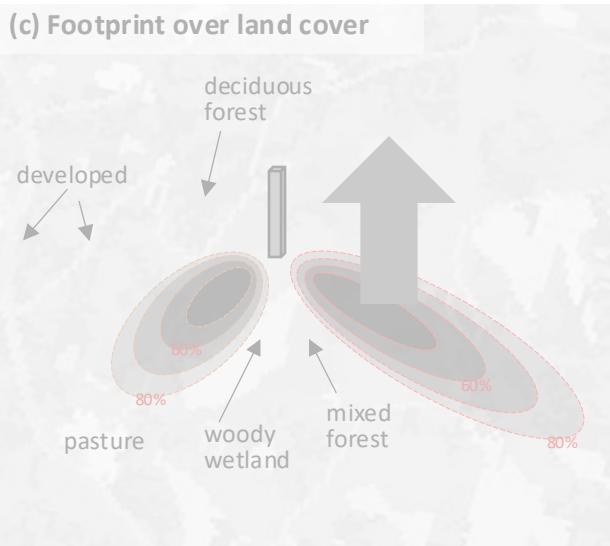
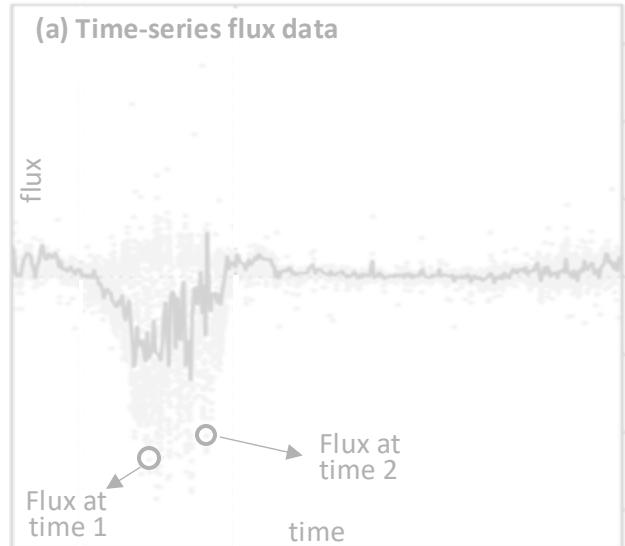
## US-Vcp site

An evergreen forest located within a forest-shrub-grassland landscape

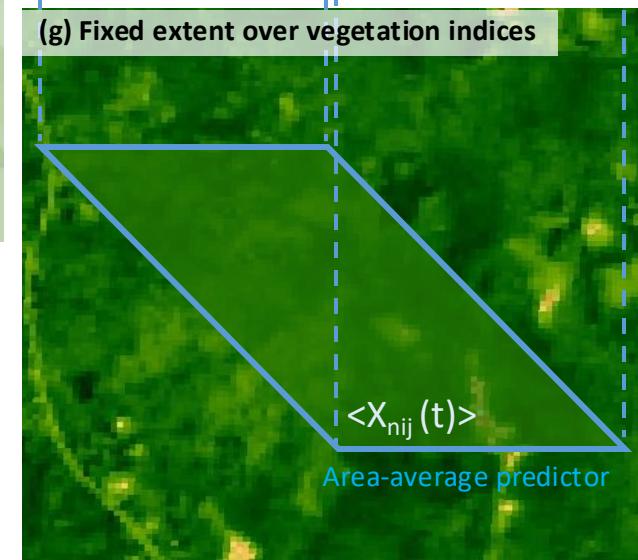
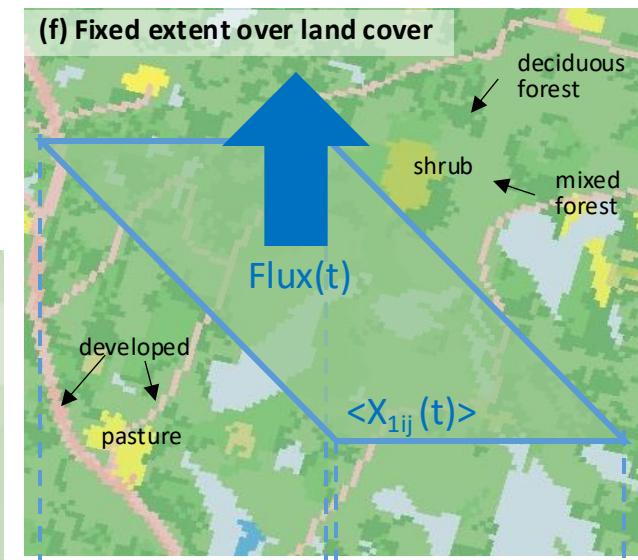
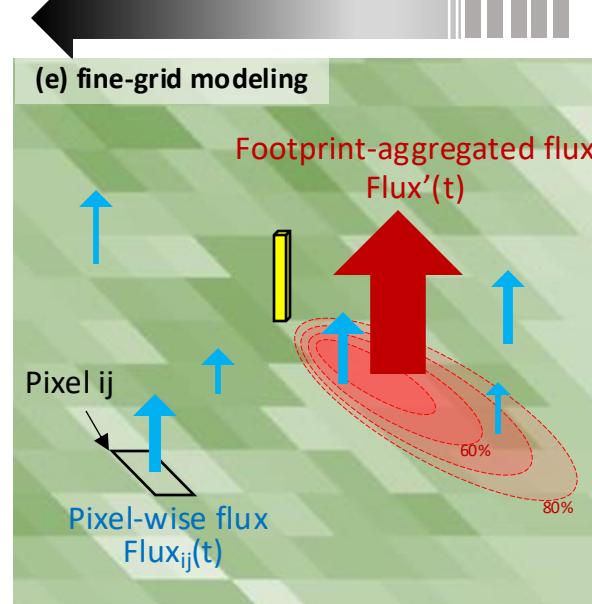


# A fine-grid modeling approach

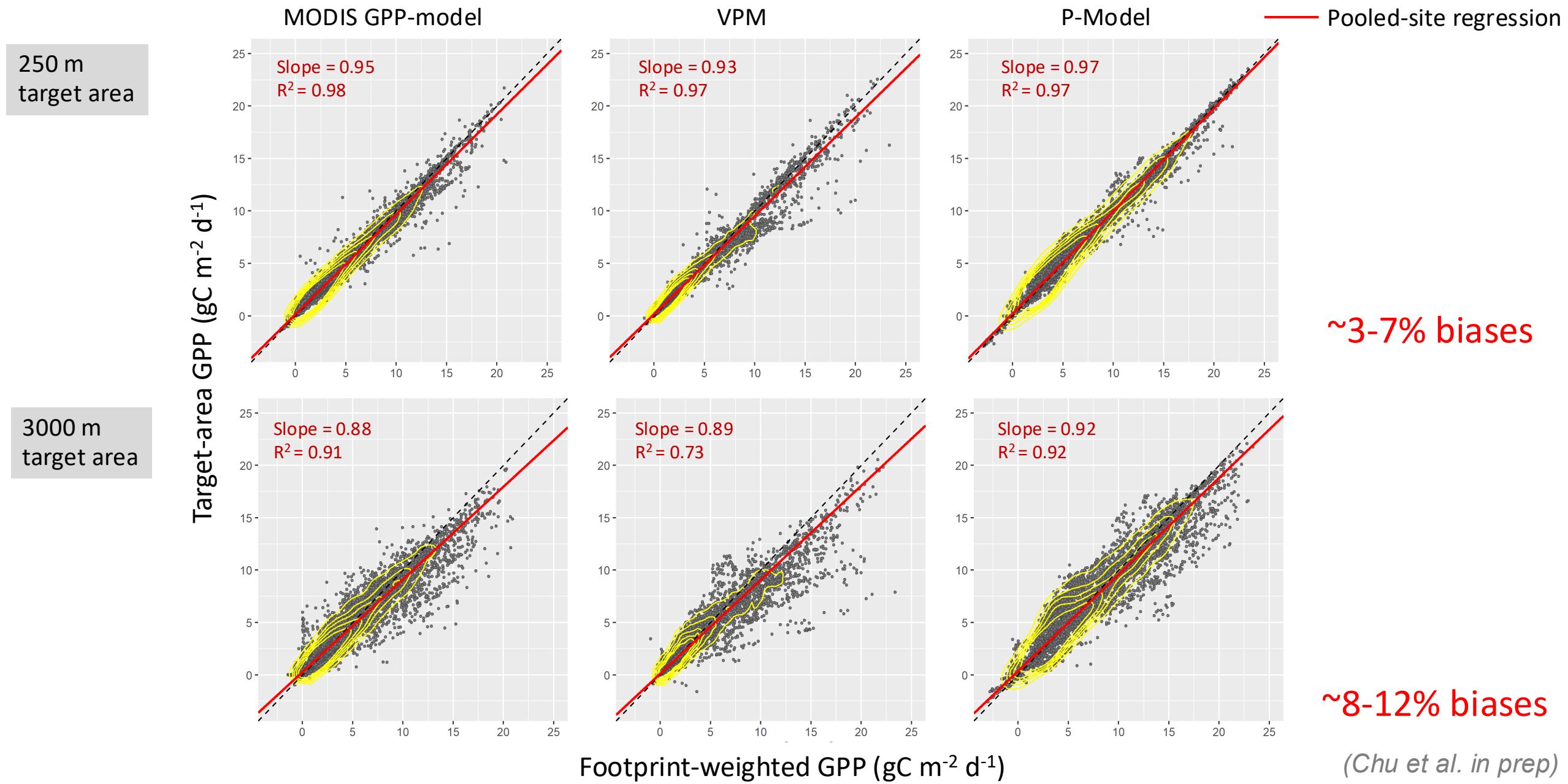
What flux towers see?



What models think?

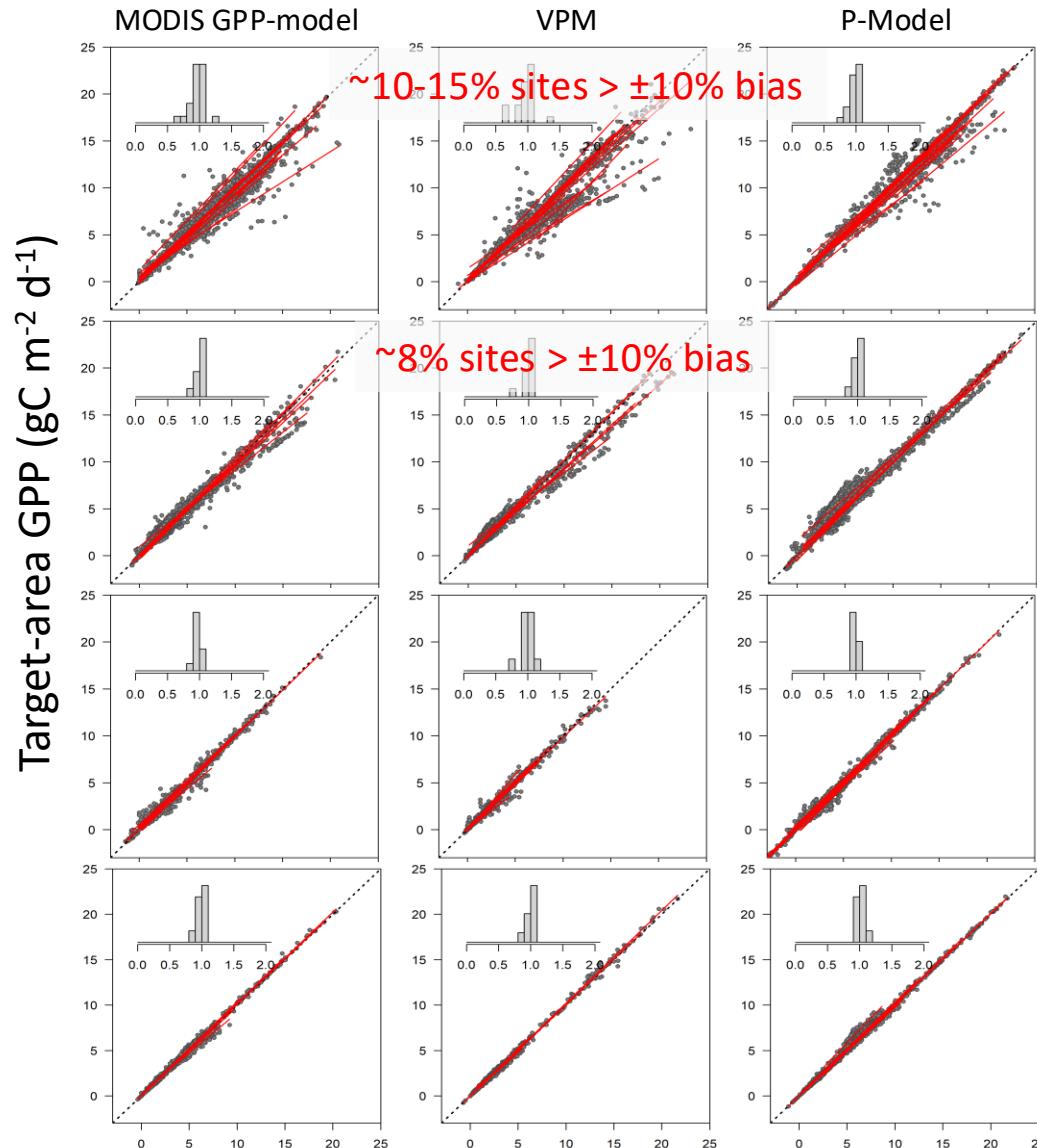


# Footprint-weighted vs Target-area GPP (all sites)

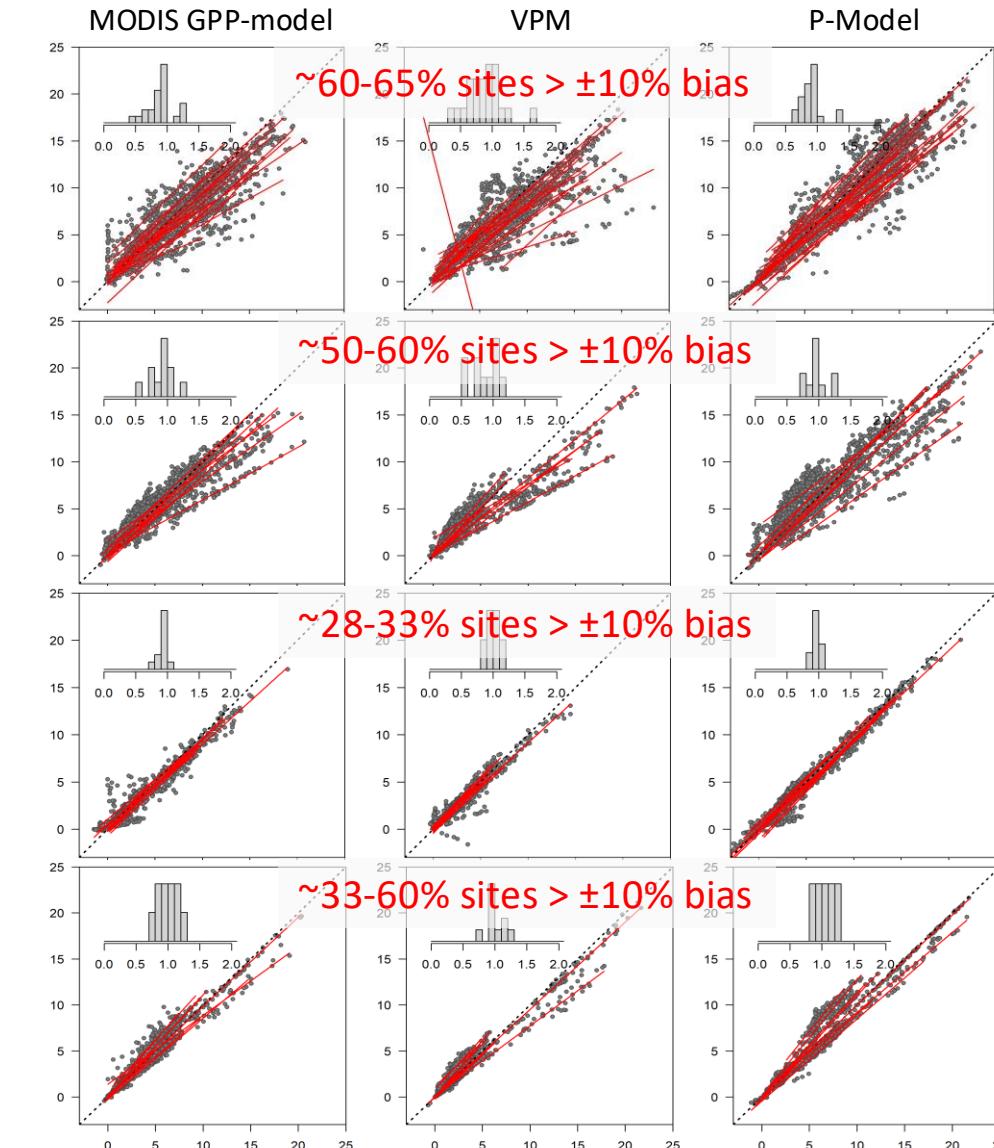


# Footprint-weighted vs Target-area GPP (by ecosystem types)

250 m target area



3000 m target area



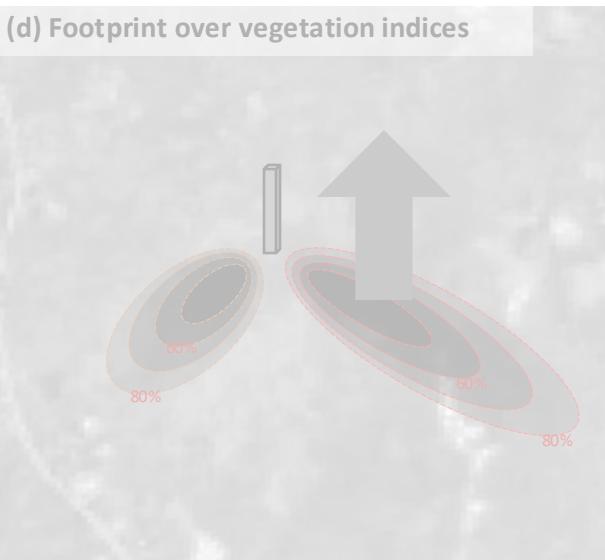
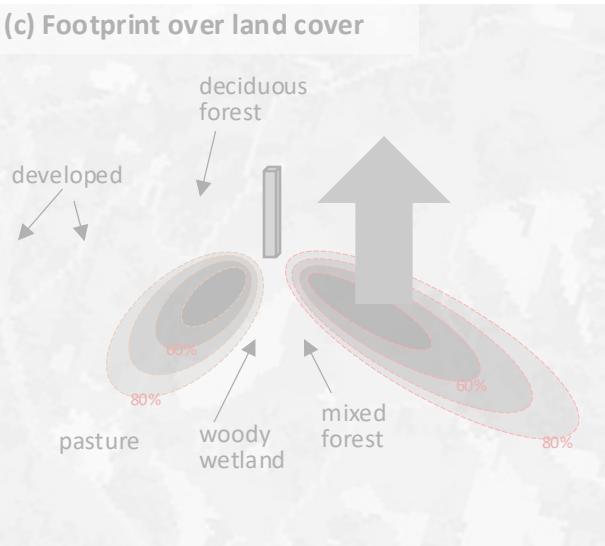
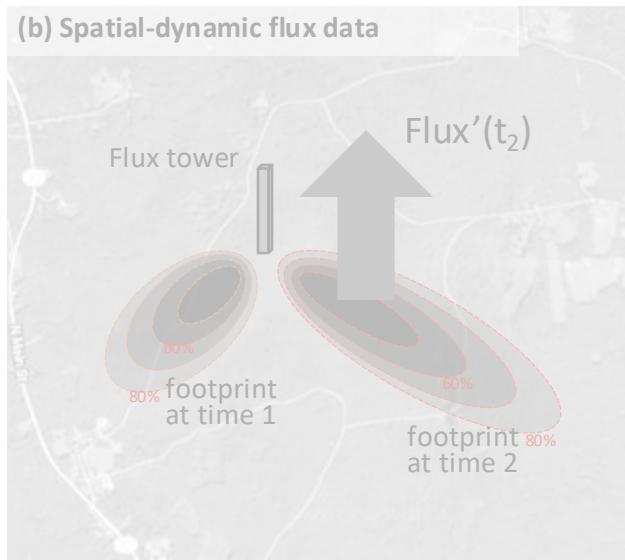
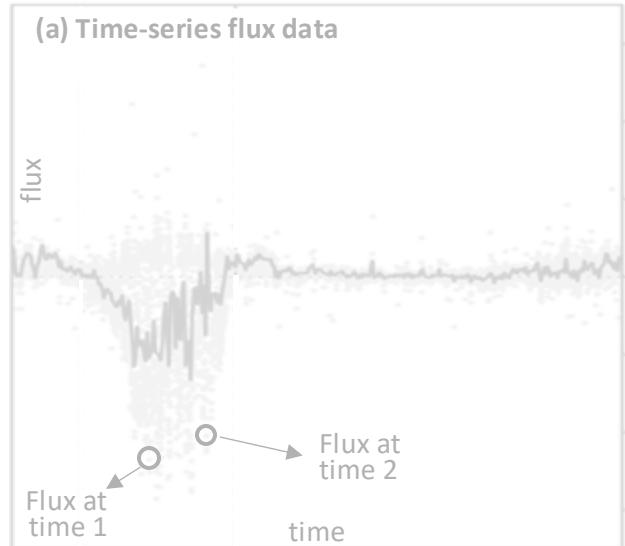
— Site-specific regression

Footprint-weighted GPP ( $\text{gC m}^{-2} \text{d}^{-1}$ )

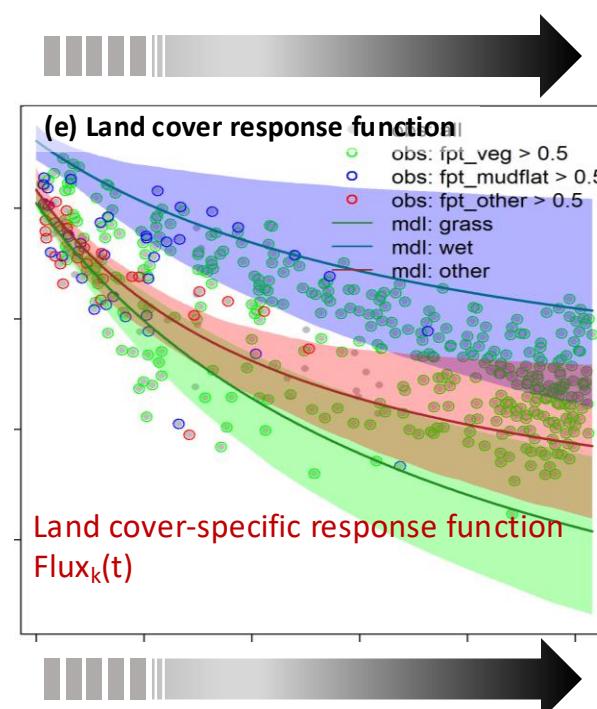
(Chu et al. in prep)

# A footprint-informed decomposition approach

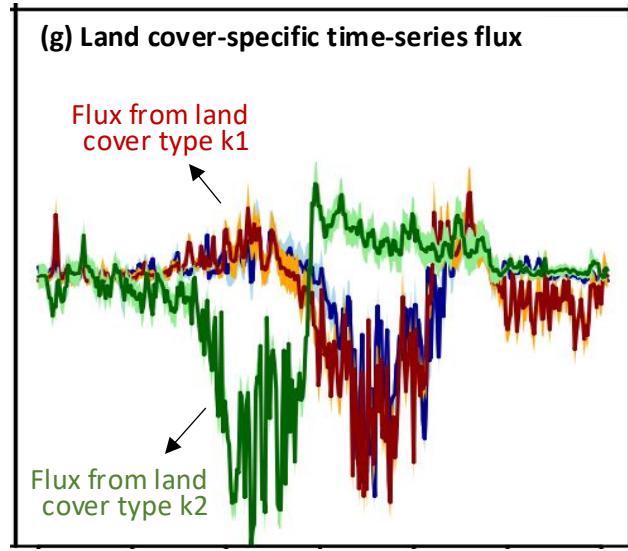
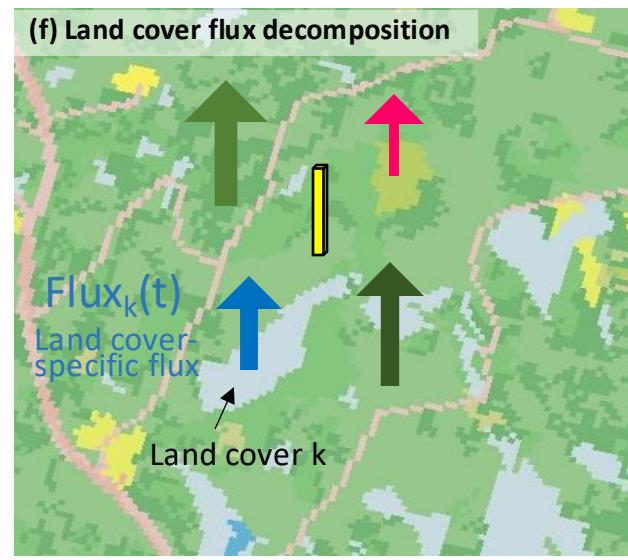
What flux towers see?



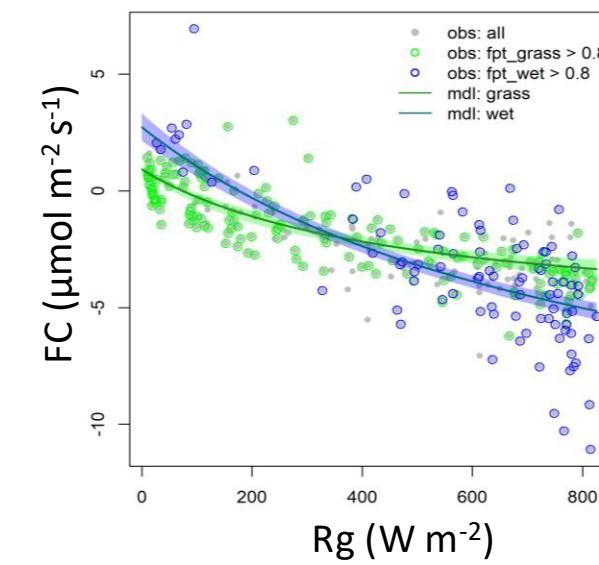
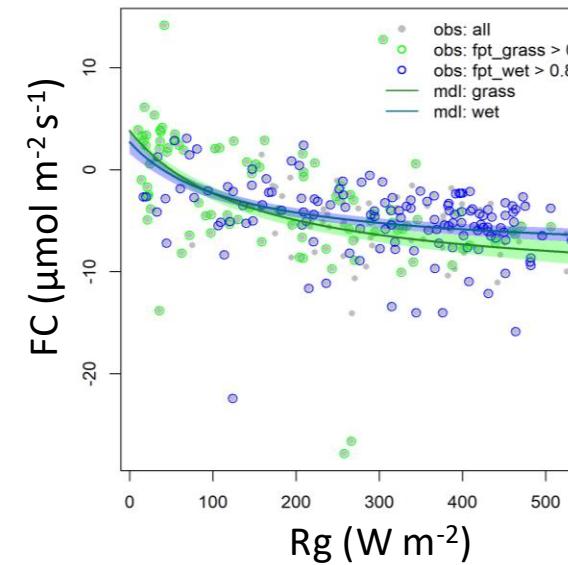
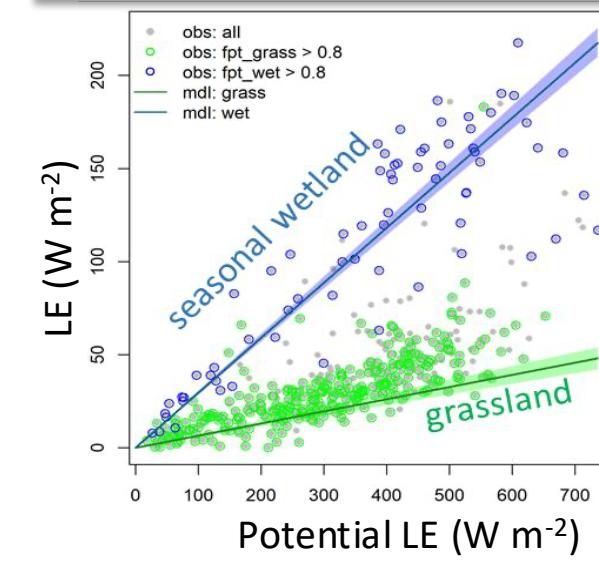
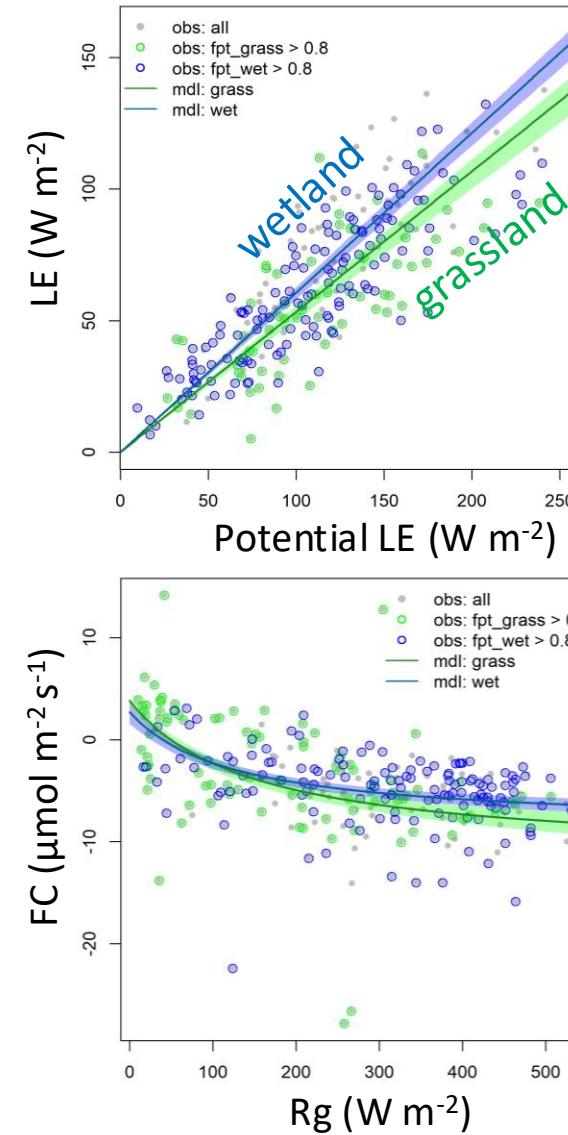
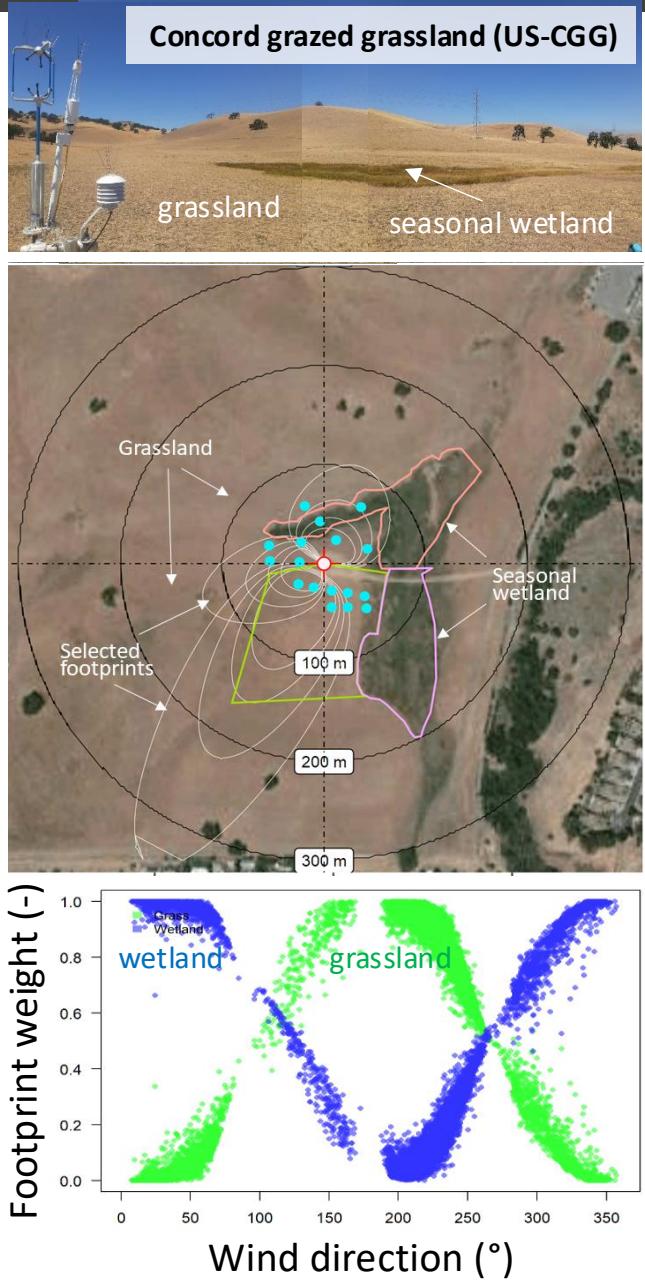
What models think?



Flux'(t): footprint-aggregated flux at time t  
Flux<sub>k</sub>(t): land cover-specific flux at time t  
f(): model function

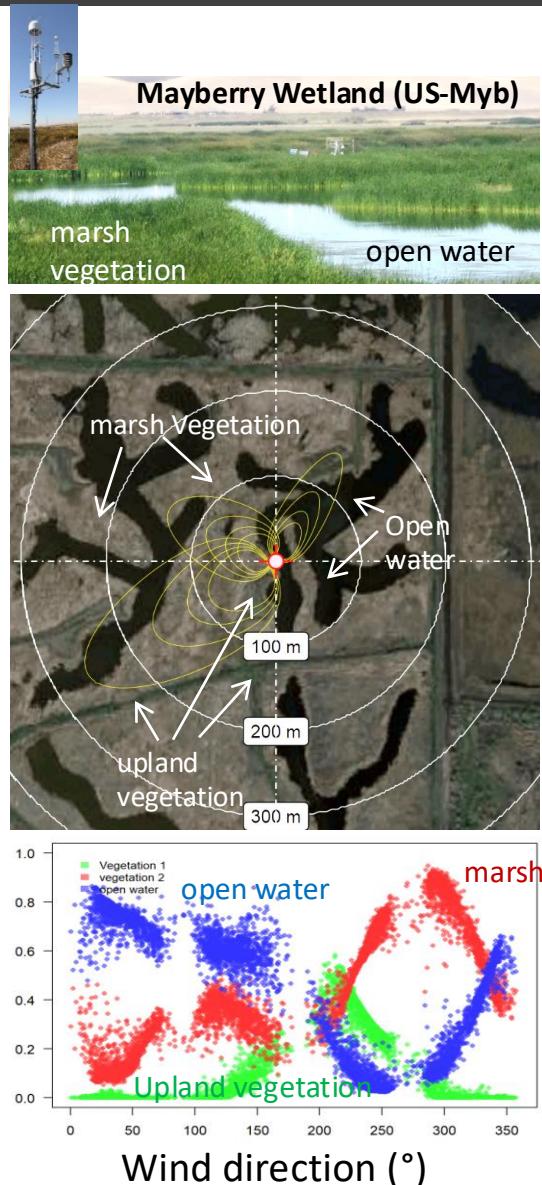


# Simple case



(Chu et al. in prep)

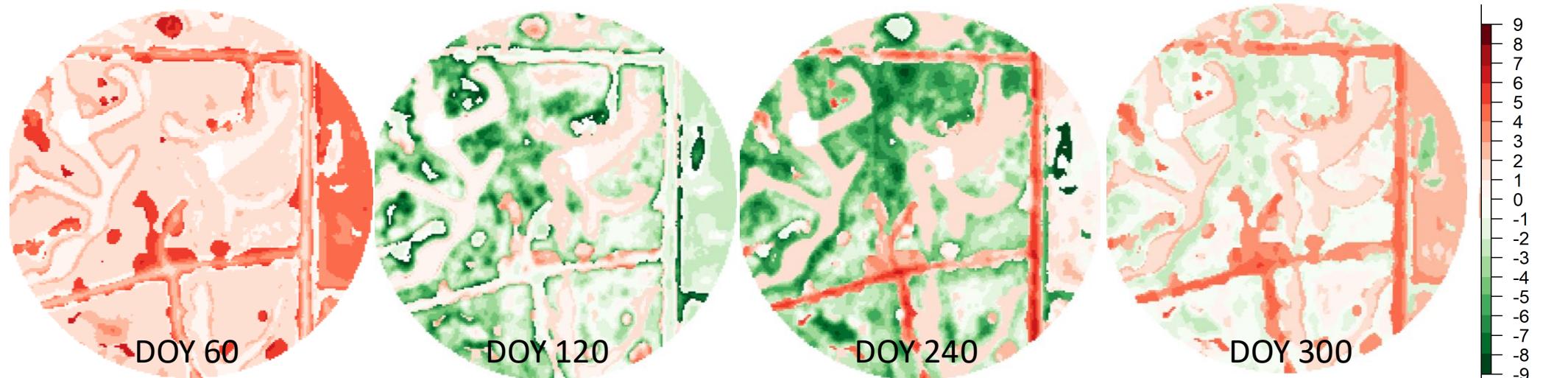
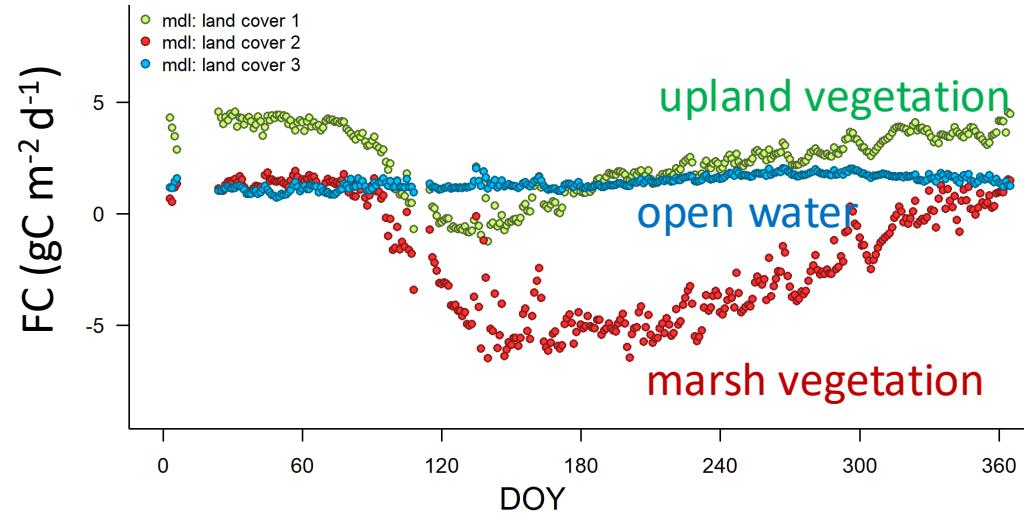
# Complicated Case



## Planet Fusion Product

- PlanetScope CubeSats – based
- Calibrated, geo-referenced, gap-filled
- Daily / 3-m resolutions
- R/G/B/NIR bands: NDVI

# Spatiotemporal Constraints



(Chu et al. in prep)

# Summary

## Footprint representativeness of AmeriFlux sites

- Large-scale eddy-covariance flux datasets need to be used with footprint-awareness
- Using a fixed-extent target area across sites can bias model-data integration
- Most sites do not represent the dominant land-cover type at a larger spatial extent
- A representativeness index provides general guidance for site selection and data use

## Footprint-informed decomposition approaches

- Few, mostly done at single-site studies
- Variation in Core models
  - Biophysical model (Duman et al., 2018, Wang et al., 2006)
  - Remote-sensing model (Ran et al., 2016, VPM)
  - Statistical model (Levy et al., 2020, e.g., linear additive model; Xu et al., 2017a multi-linear model)
  - Land surface model (Wang et al. 2022; CLM-Microbe)
  - Machine learning (Xu et al., 2017b; Metzger, 2018, Environmental Response Function)
  - Hybrid approach (Wiesner et al. 2022)
- Additional constraints/inputs
  - Chamber flux measurements (Rey-Sánchez, et al., 2018)
  - Lysimetric measurements (Joy & Chávez 2021)
  - Spatial drivers/characteristics – remote sensing (Xu et al., 2017b)

# Foundation Models for Vegetation Growth and Carbon Sequestration

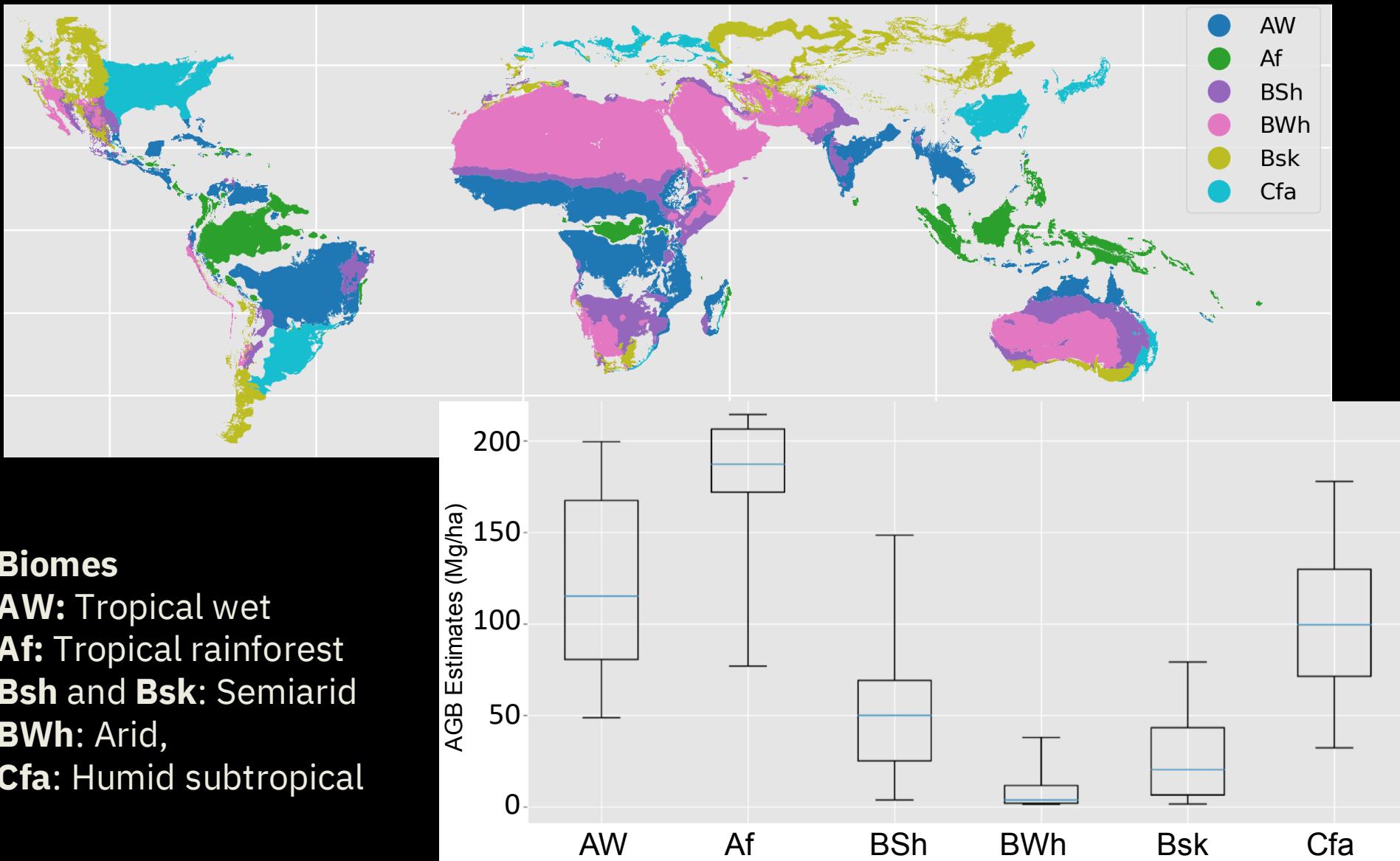
Levente Klein  
IBM Research, NY



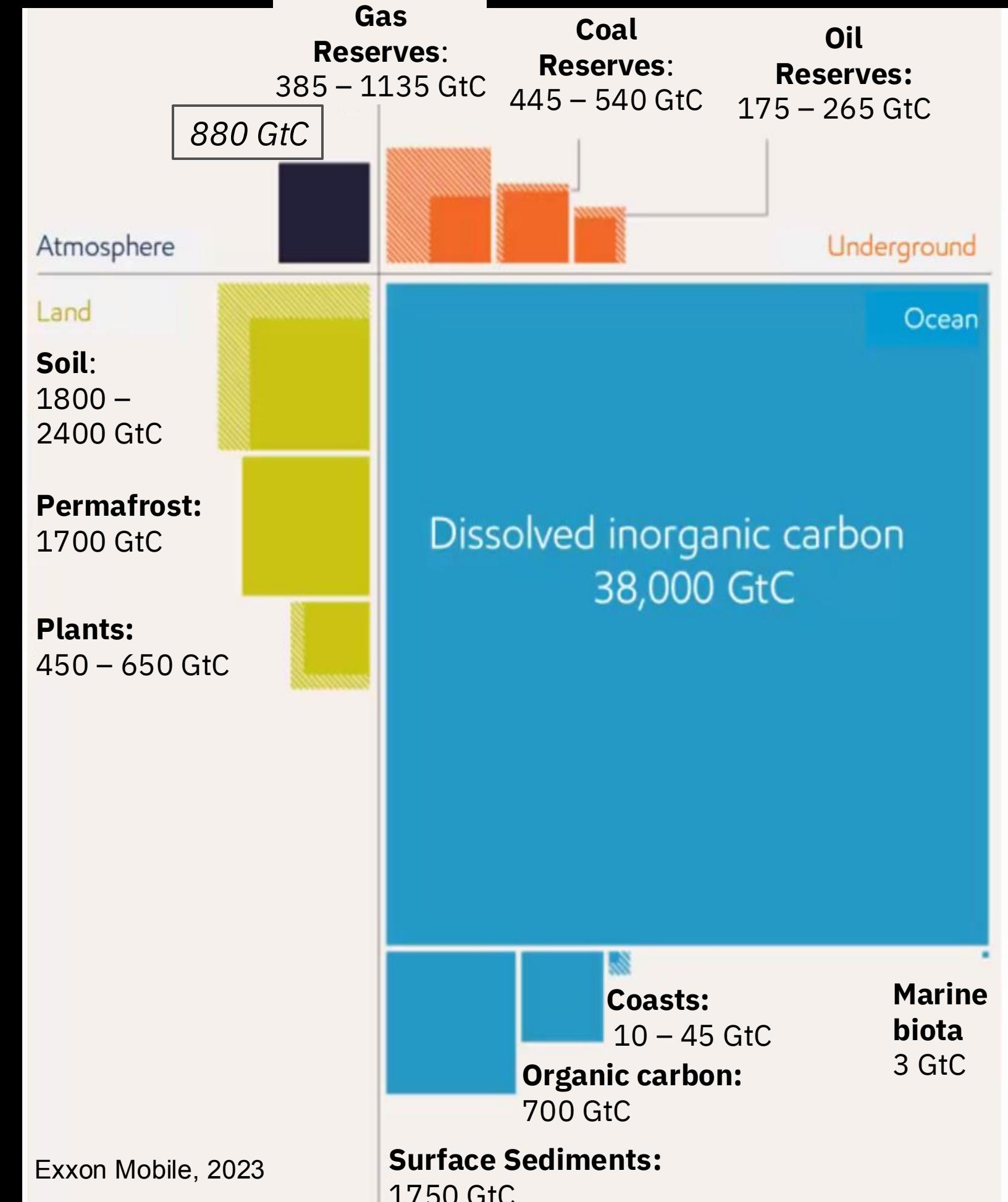
# Carbon Sequestration

Maintaining and, ultimately, increasing **vegetation coverage** is likely the **most impactful** approach to **globally capture carbon**.

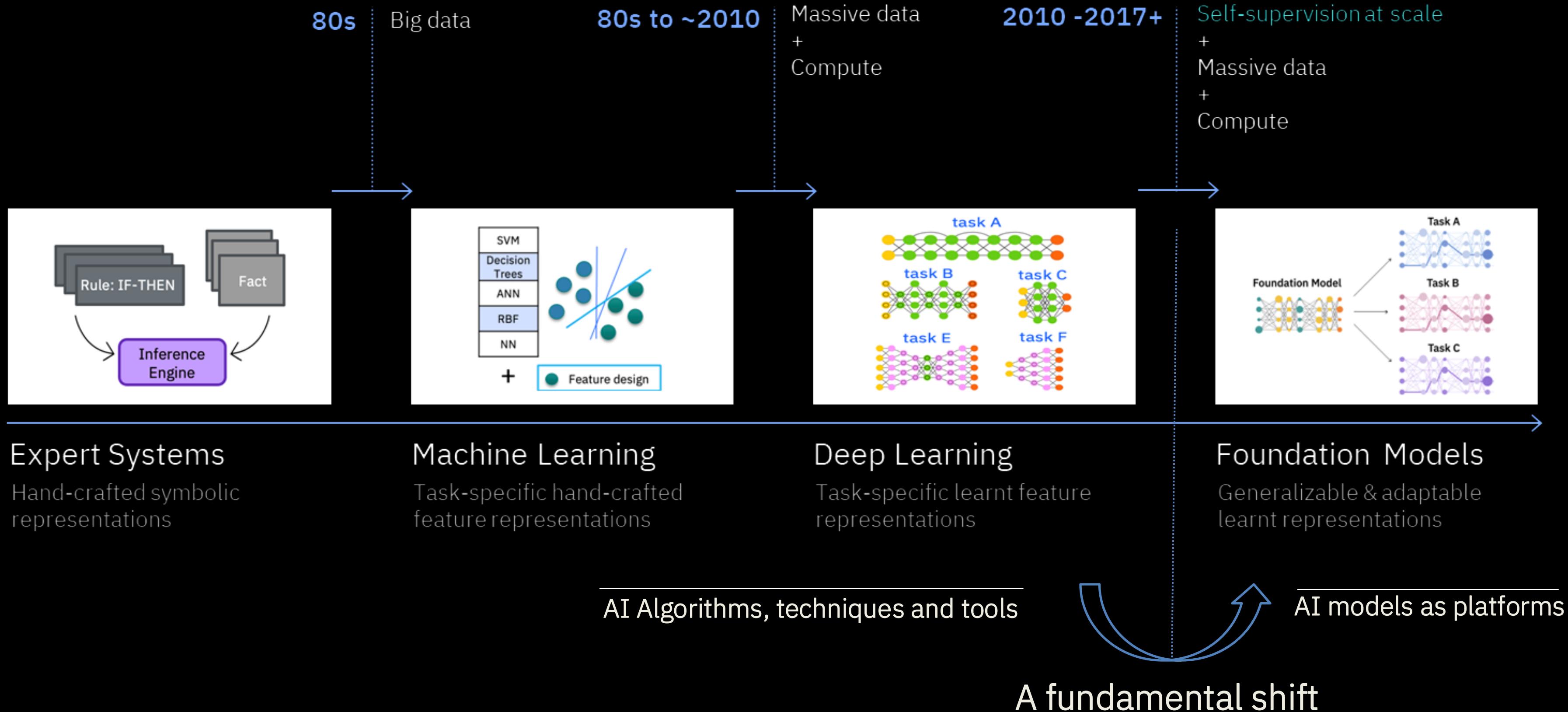
**Biomass** is a crucial **parameter** for **quantifying carbon** stored in vegetation, and **estimating it poses challenges**.



Nathaniel, J., Klein, L. J., Watson, C. D., Nyirjesy, G., & Albrecht, C. M. (2022). Aboveground carbon biomass estimate with Physics-informed deep network. *arXiv preprint arXiv:2210.13752*.

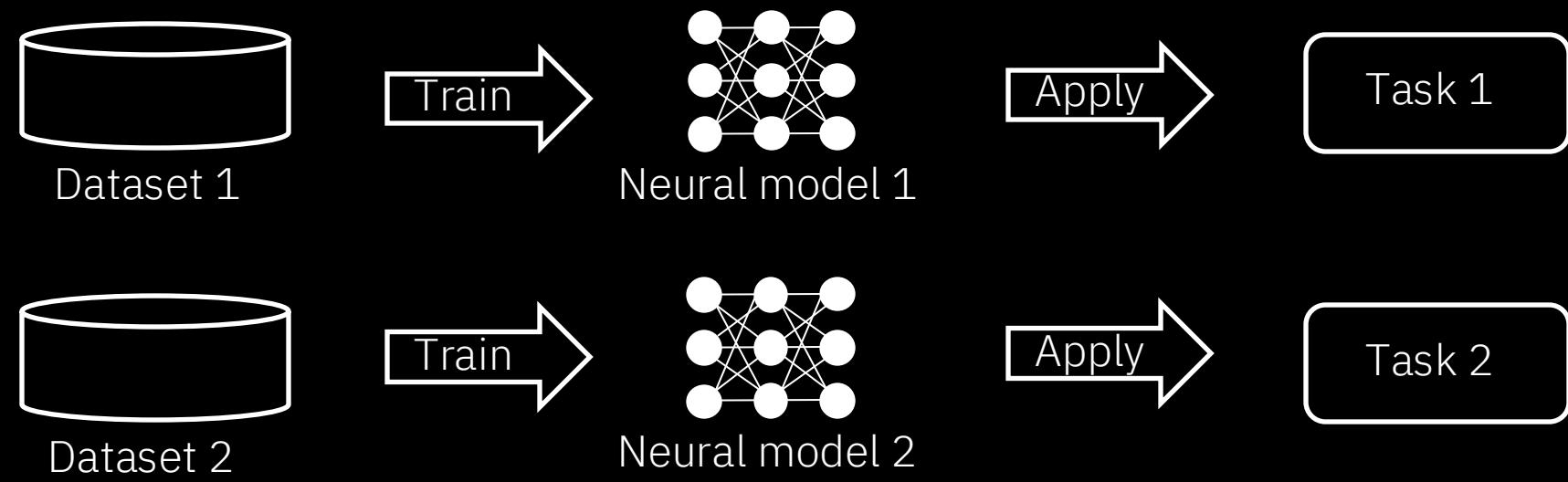


# The evolution of AI and the emergence of Foundation Models

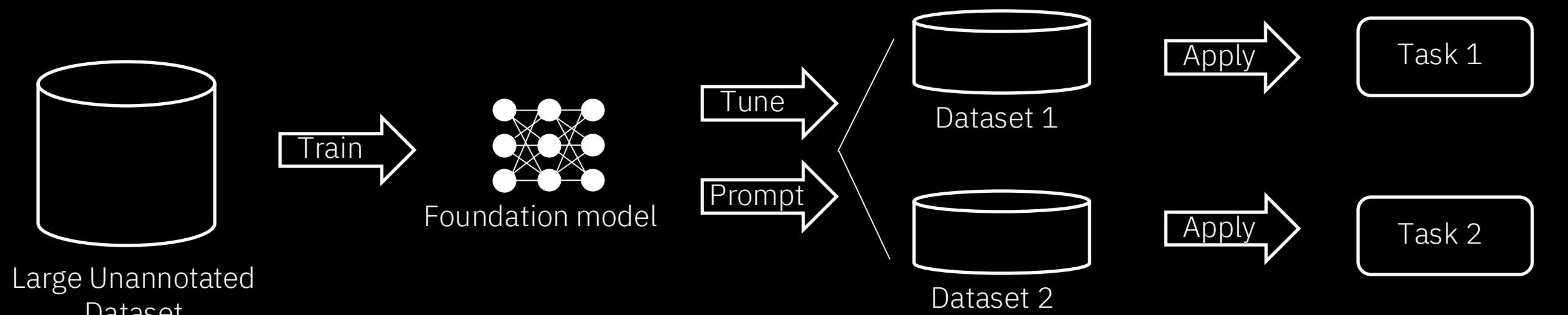


# What are foundation models?

## Conventional Machine Learning Systems



## Foundation Model Systems



Geospatial use cases (samples)



Detecting wildfires



Detecting floods



Classifying trees



Monitoring GHGs

ESG use cases (samples)

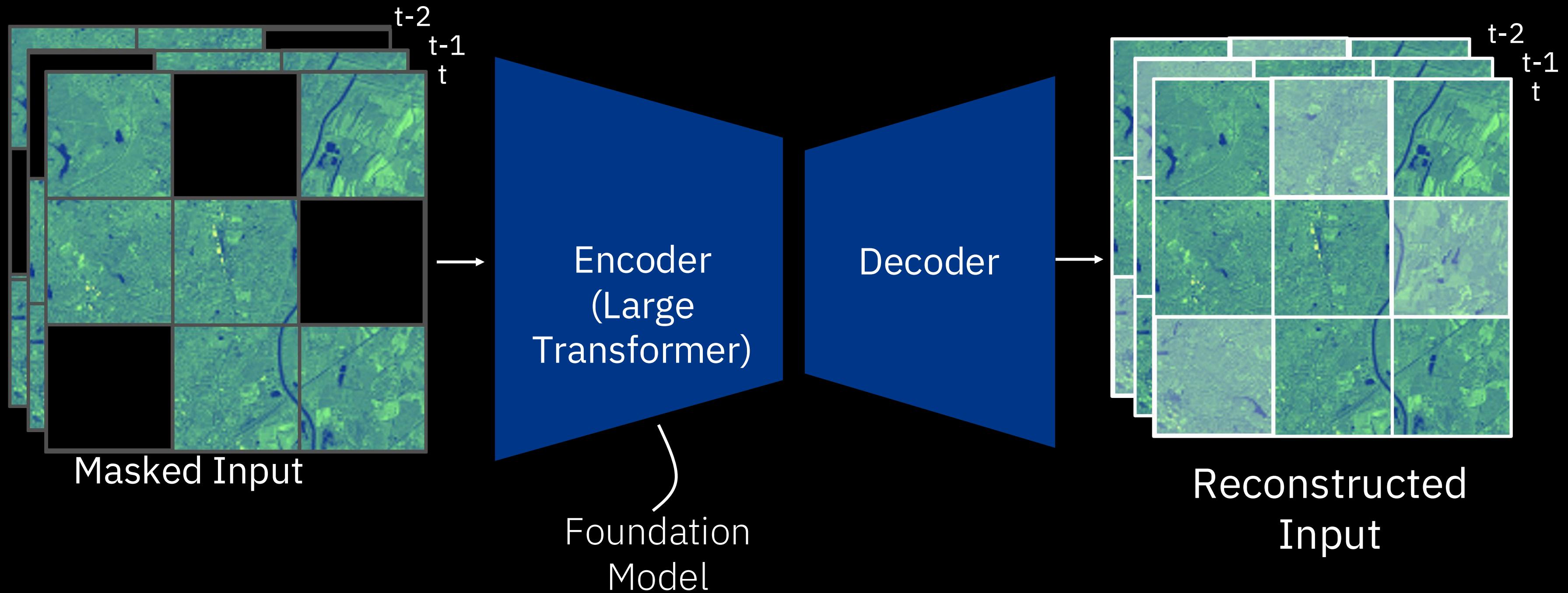


Scope 3 Emissions

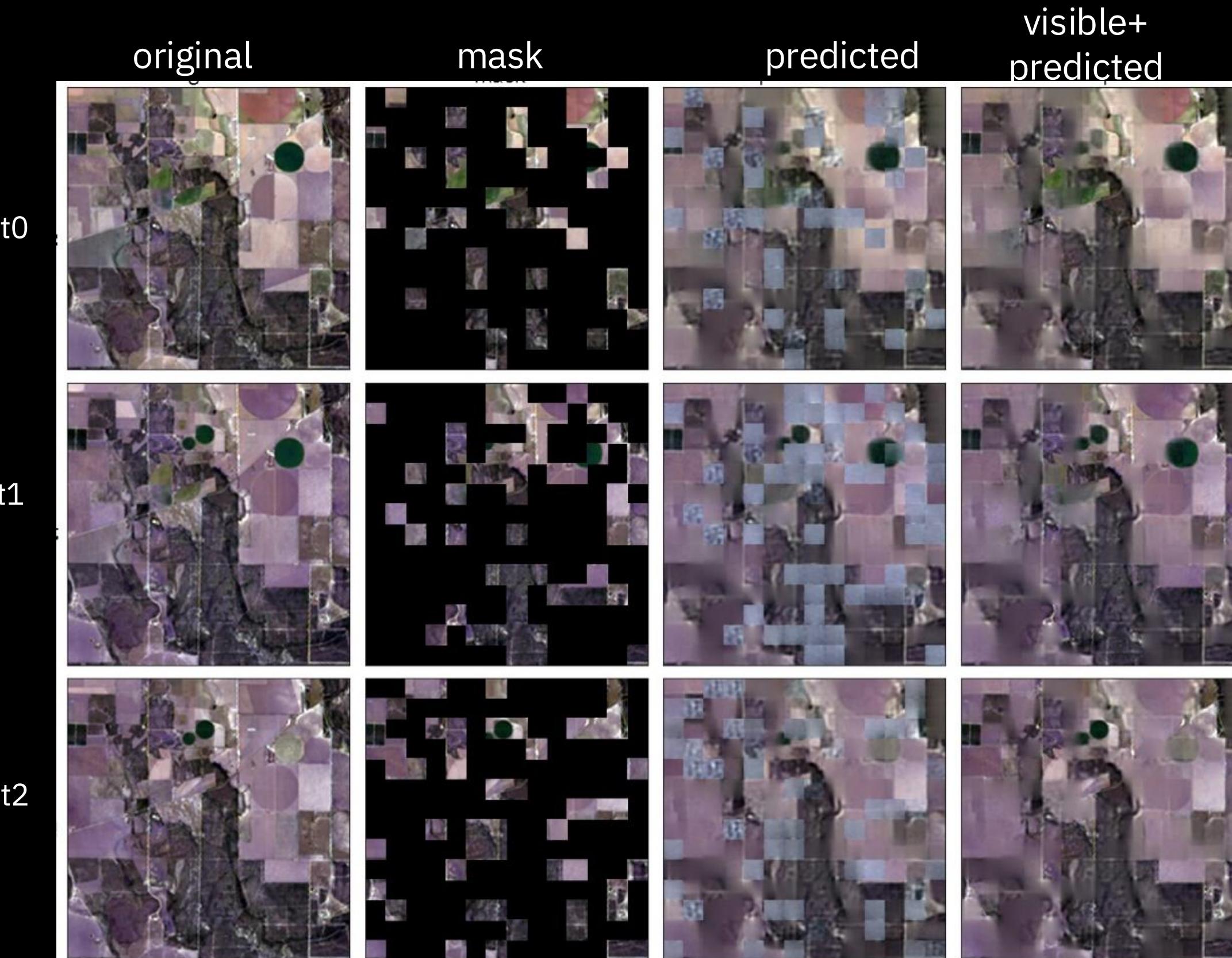


Q&A Chatbot for ESG

# Self-supervised Learning to Pre-train Foundation Models

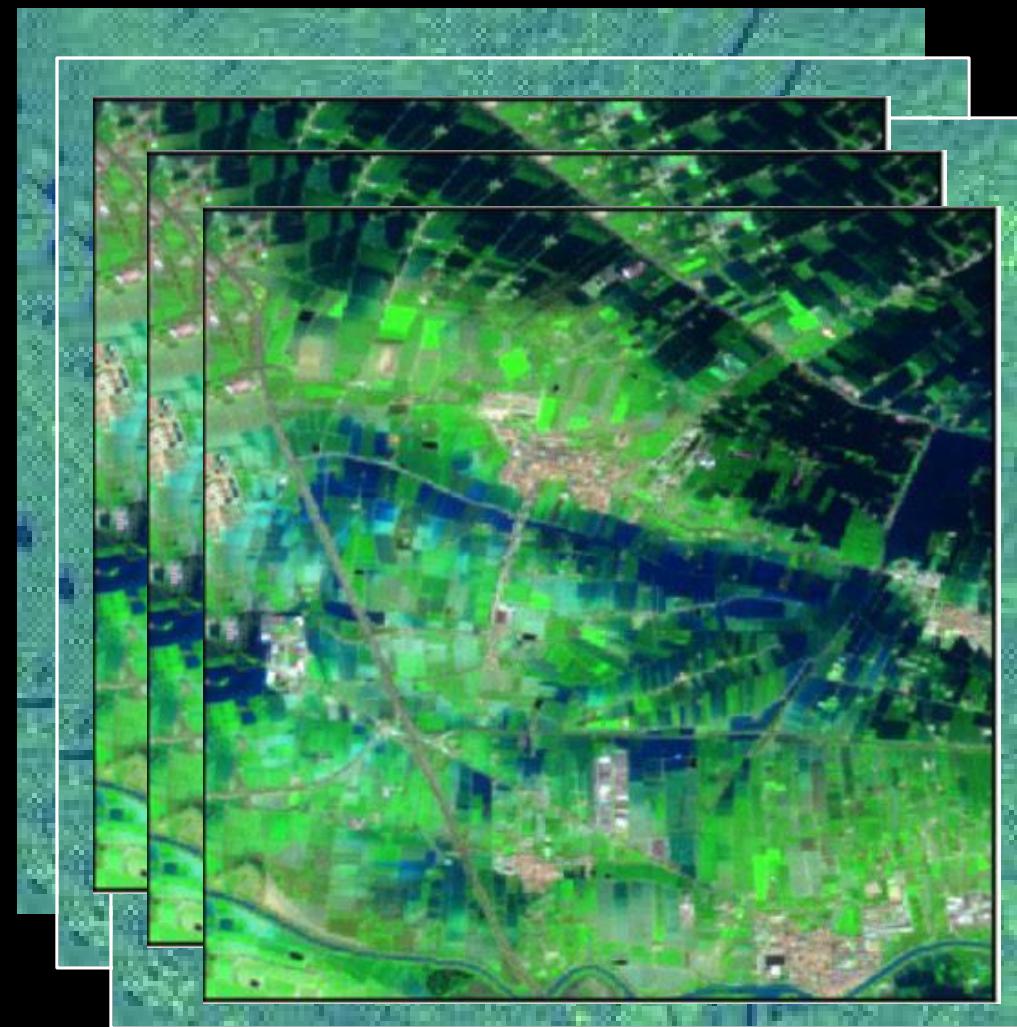


# Pretraining Results with 100M Foundation Model

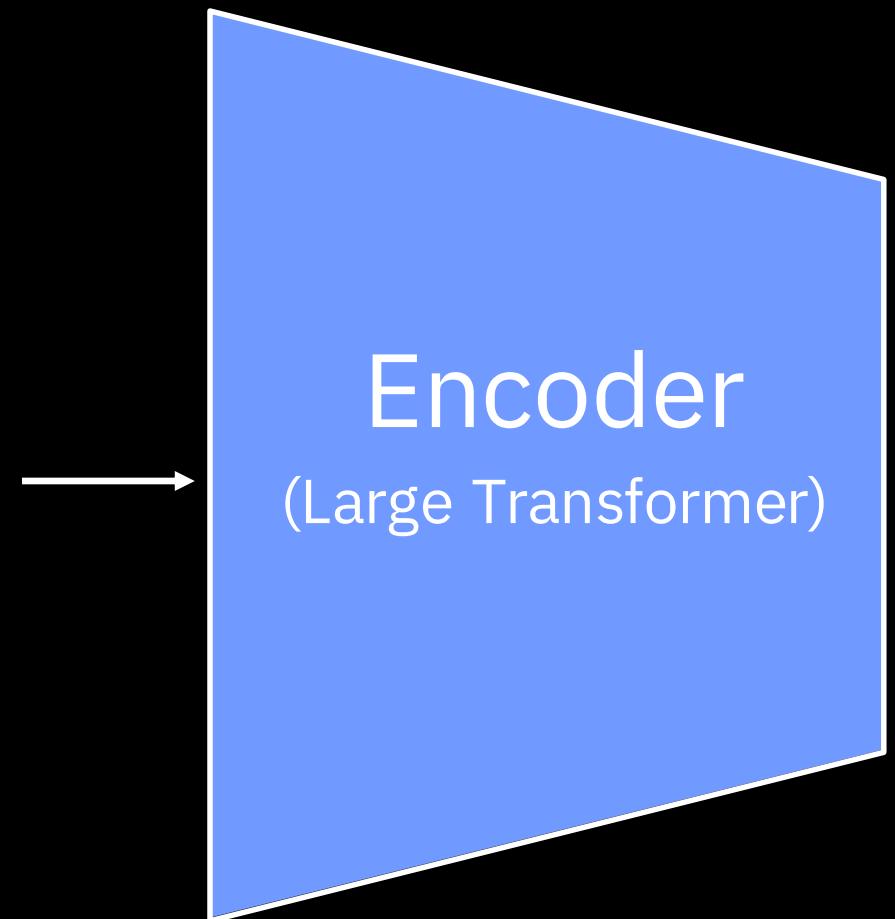


- Excellent spatial and temporal reconstruction performances
- Training MSE loss of 0.0283, and validation loss of 0.0364 with 75 % masking
- All the pre-training runs were conducted in the IBM watsonx platform using up to 64 NVIDIA A100 GPUs.

# Finetuning Workflow for Earth Observation

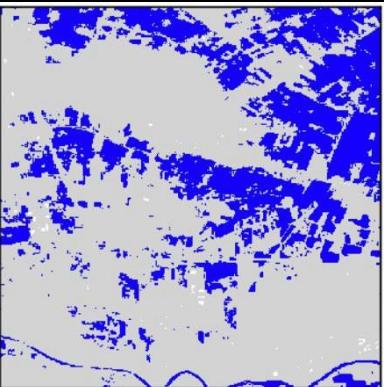
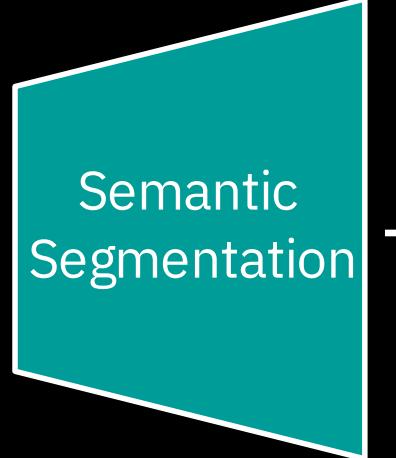


Satellite data

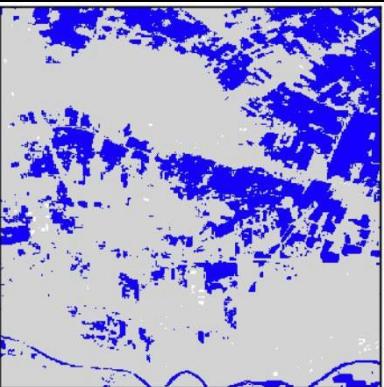


Satellite foundation  
model

Disaster response

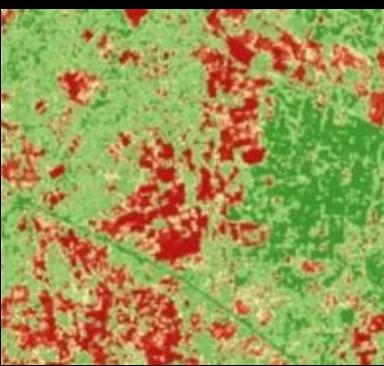


Vegetation management



- Bare
- Forest
- Shrub
- Tree type 1
- Tree type 2

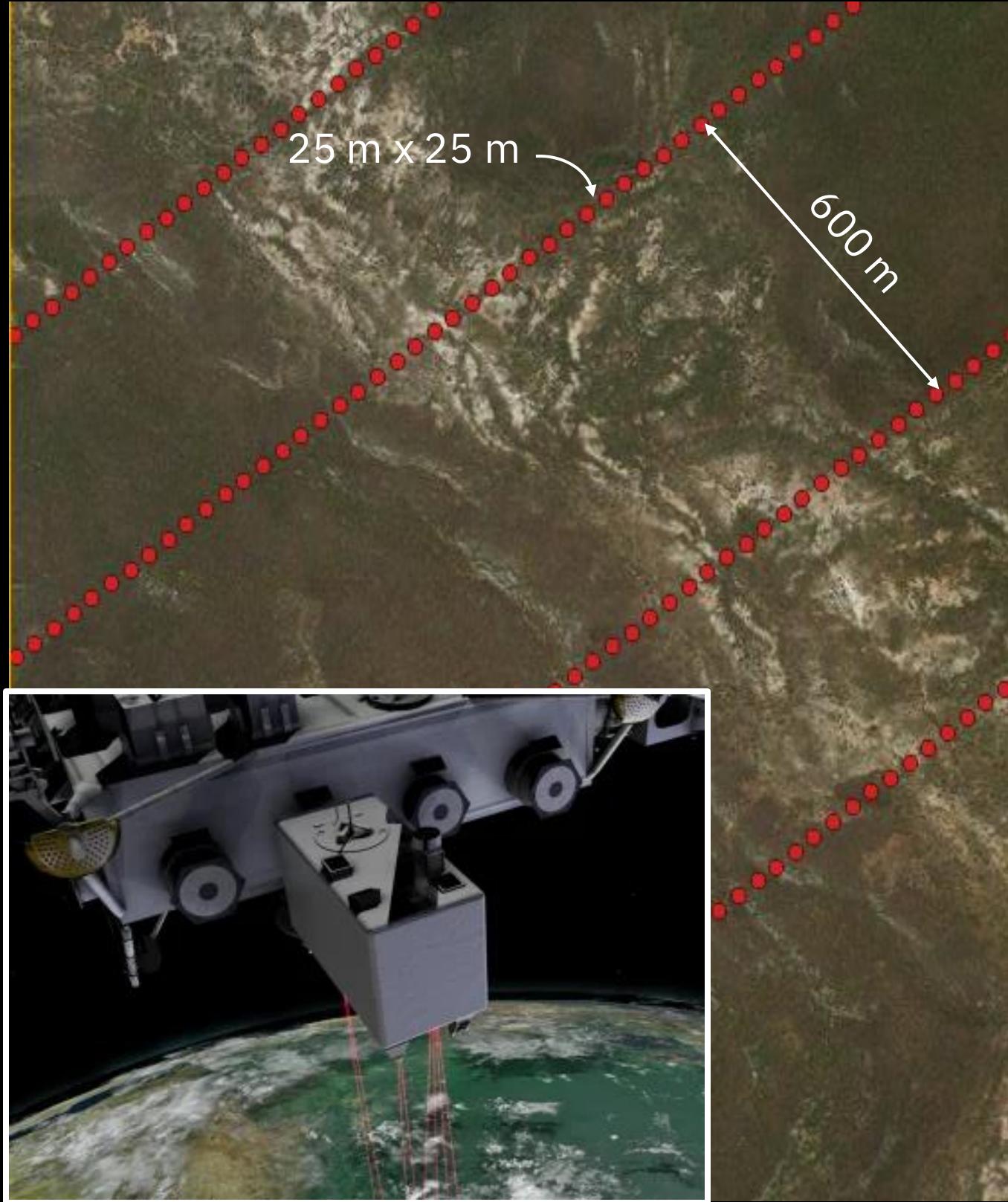
Biomass modeling



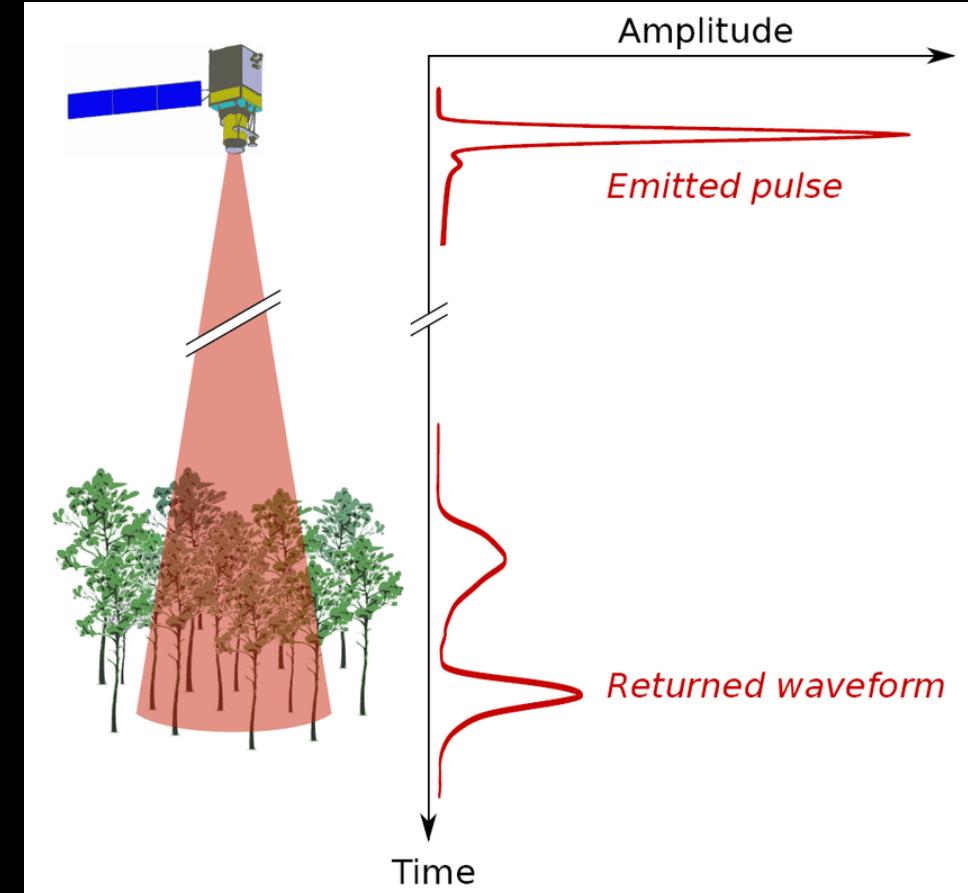
Example fine-tuned models

# Above Ground Biomass Estimation

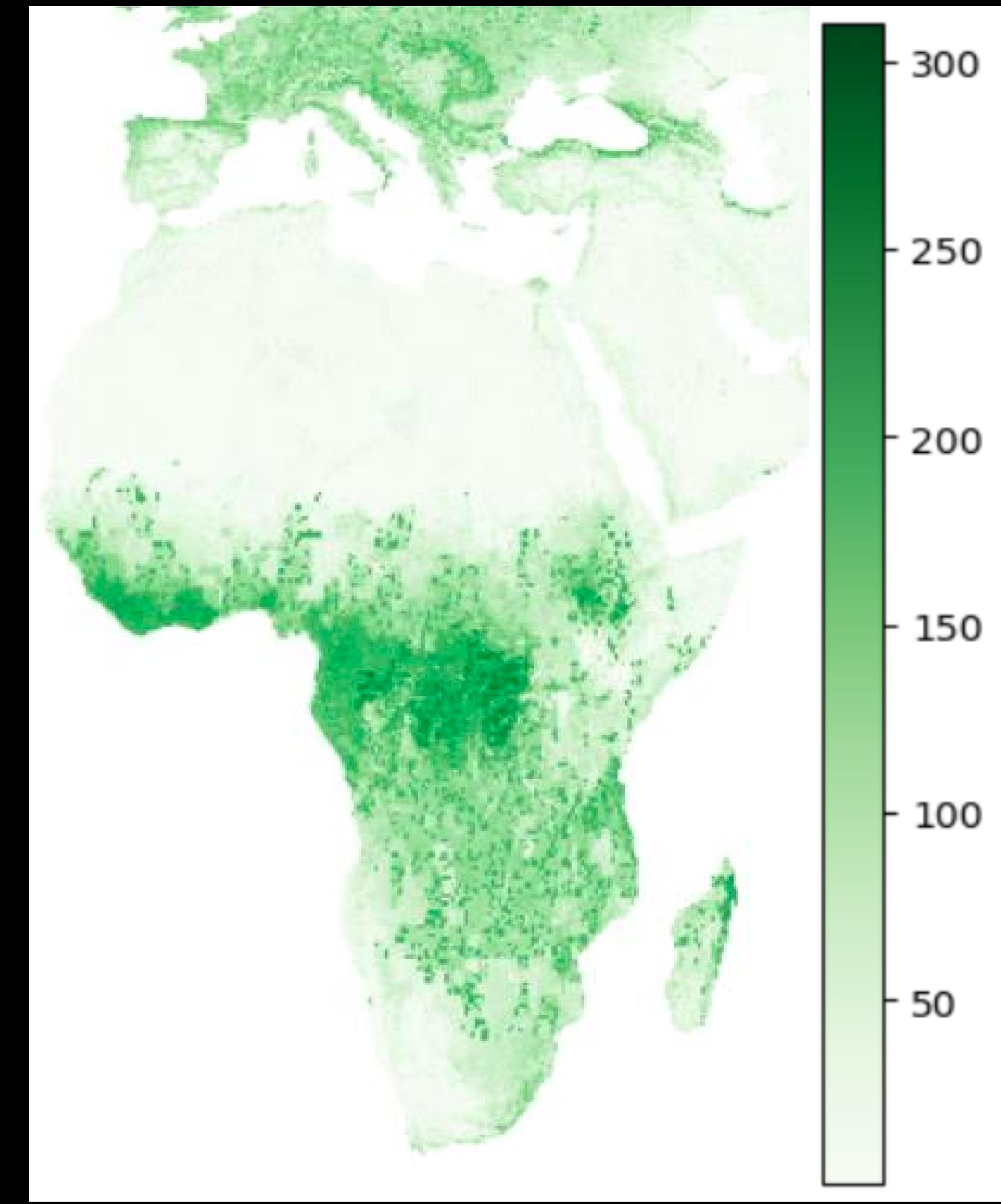
LIDAR Observations  
from International Space Station



LIDAR Signal



Natural Carbon Stock Estimation



## Approach

- Sparse LIDAR data as ground truth for Prithvi fine-tuning
- Prithvi to estimate tree height from high-resolution satellite images

# CO<sub>2</sub>-e, Carbon and Above Ground Biomass

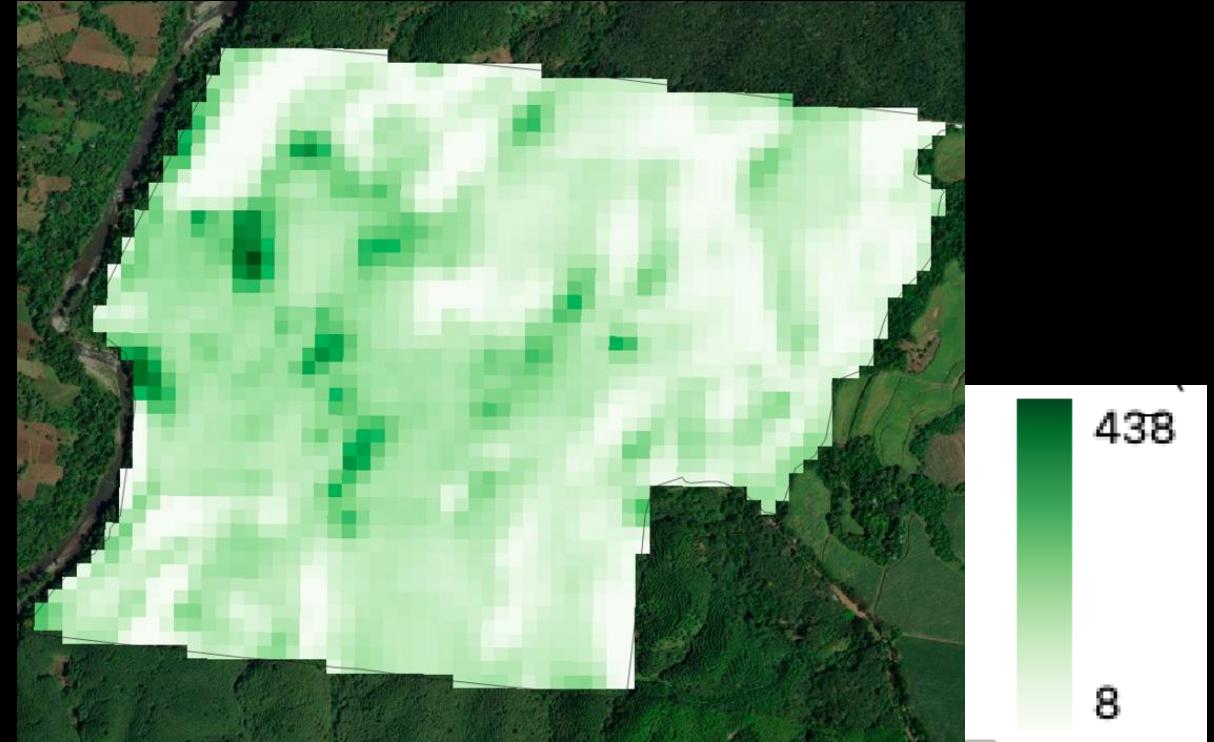
source	Total Carbon (ton CO <sub>2</sub> -e)
2019 Verra report*	38,125.06
IBM model (adjusted for area as per Verra)	35,854.44

source	AGB (ton)
2019 Verra report*	16,875
IBM model (adjusted for area as per Verra)	15,870

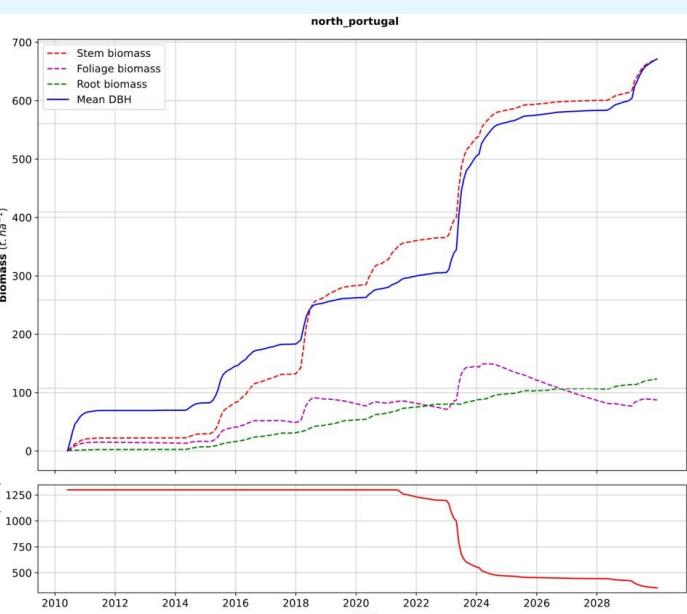
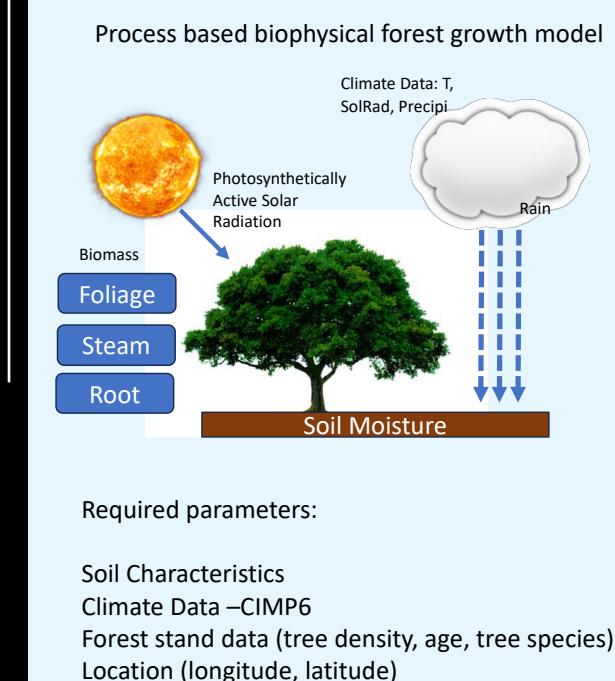
source	Mean AGBD (t/ha)
2019 Verra report*	119.3
IBM model	111.8

## Above Ground Biomass and Carbon Calculation

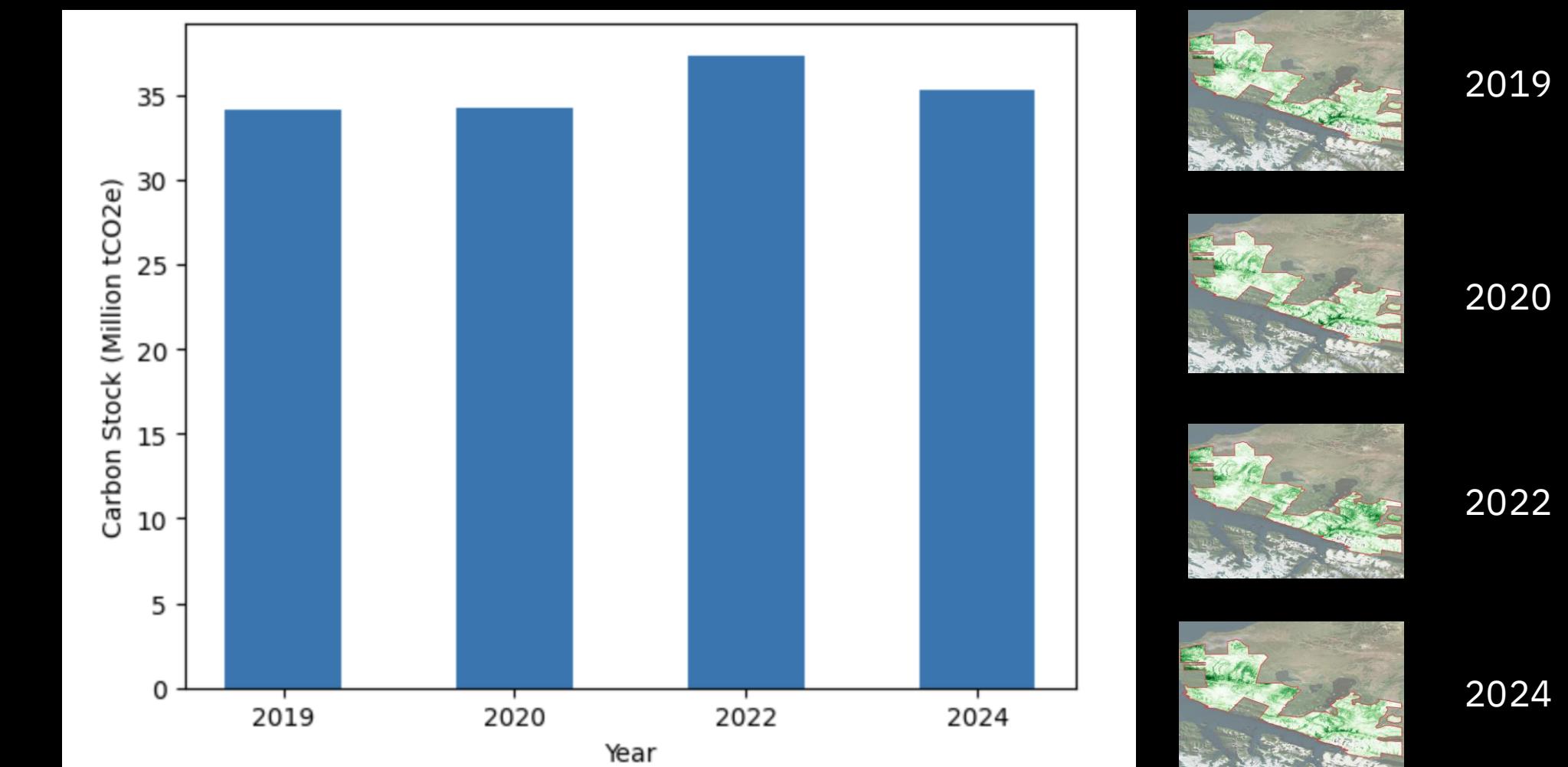
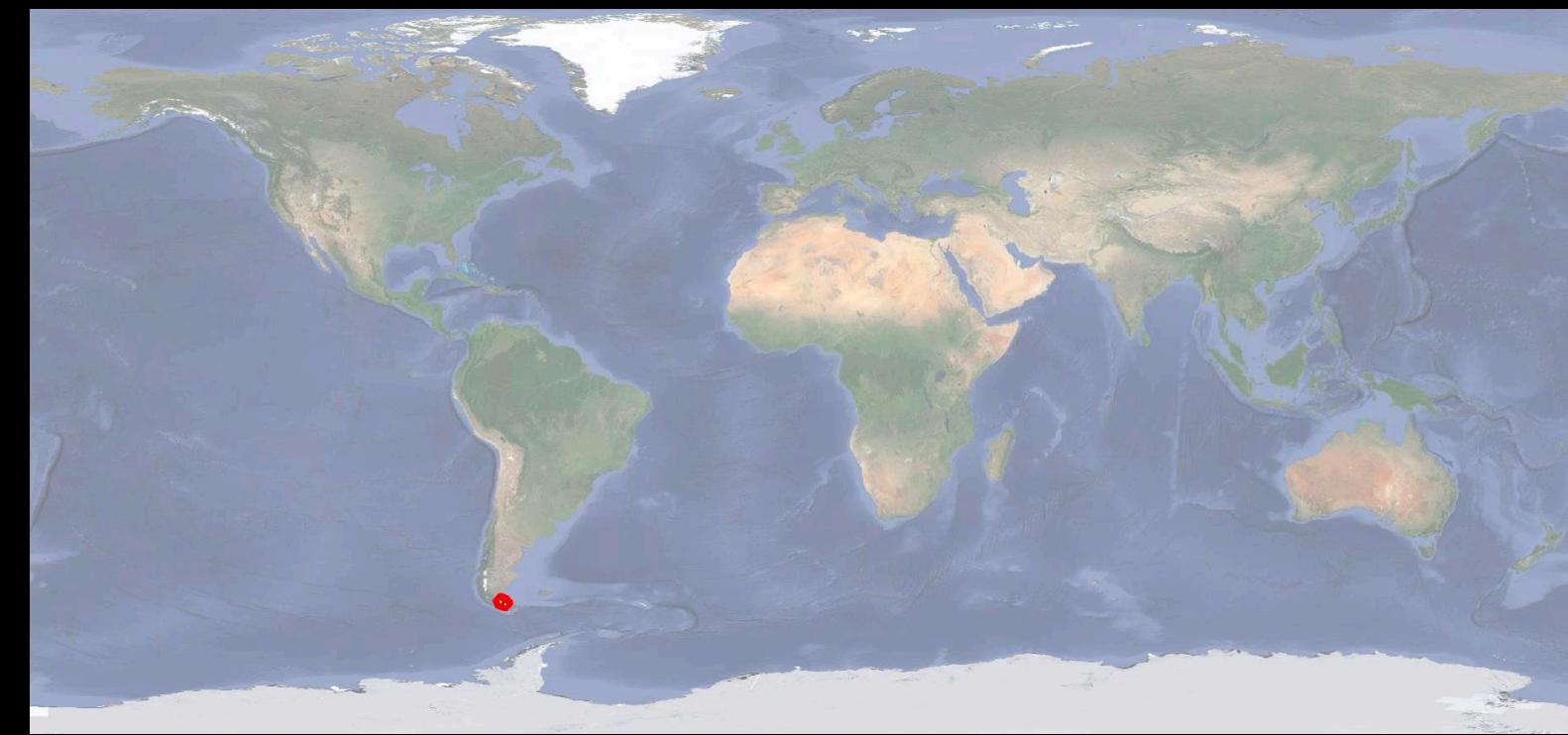
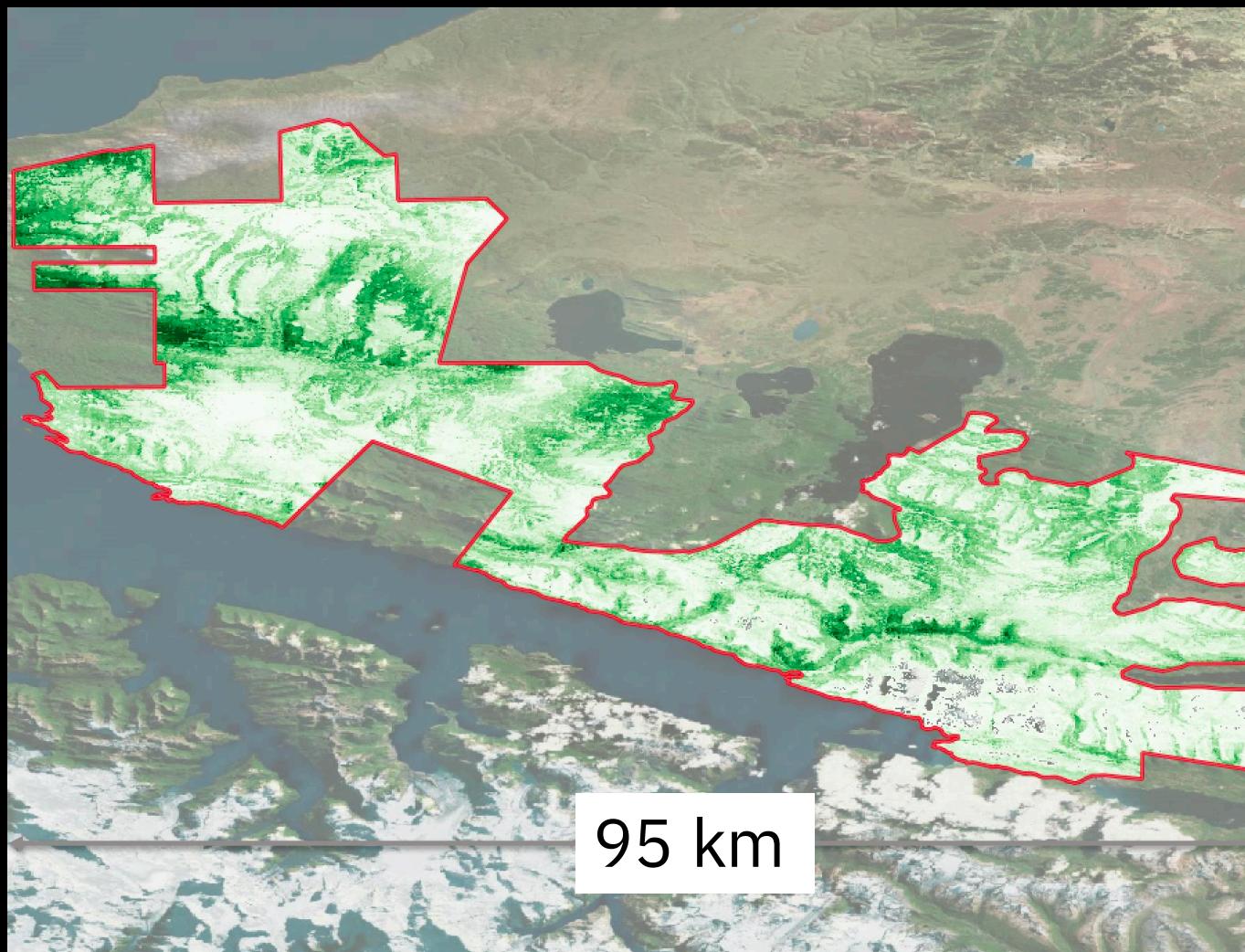
- Computed as a fraction of total carbon
- Ratio of Below to Above Ground biomass is 0.22
- Carbon is 50% total biomass
- Carbon = (0.27) \* CO<sub>2</sub>-e



## Forest Growth Model 3-PG



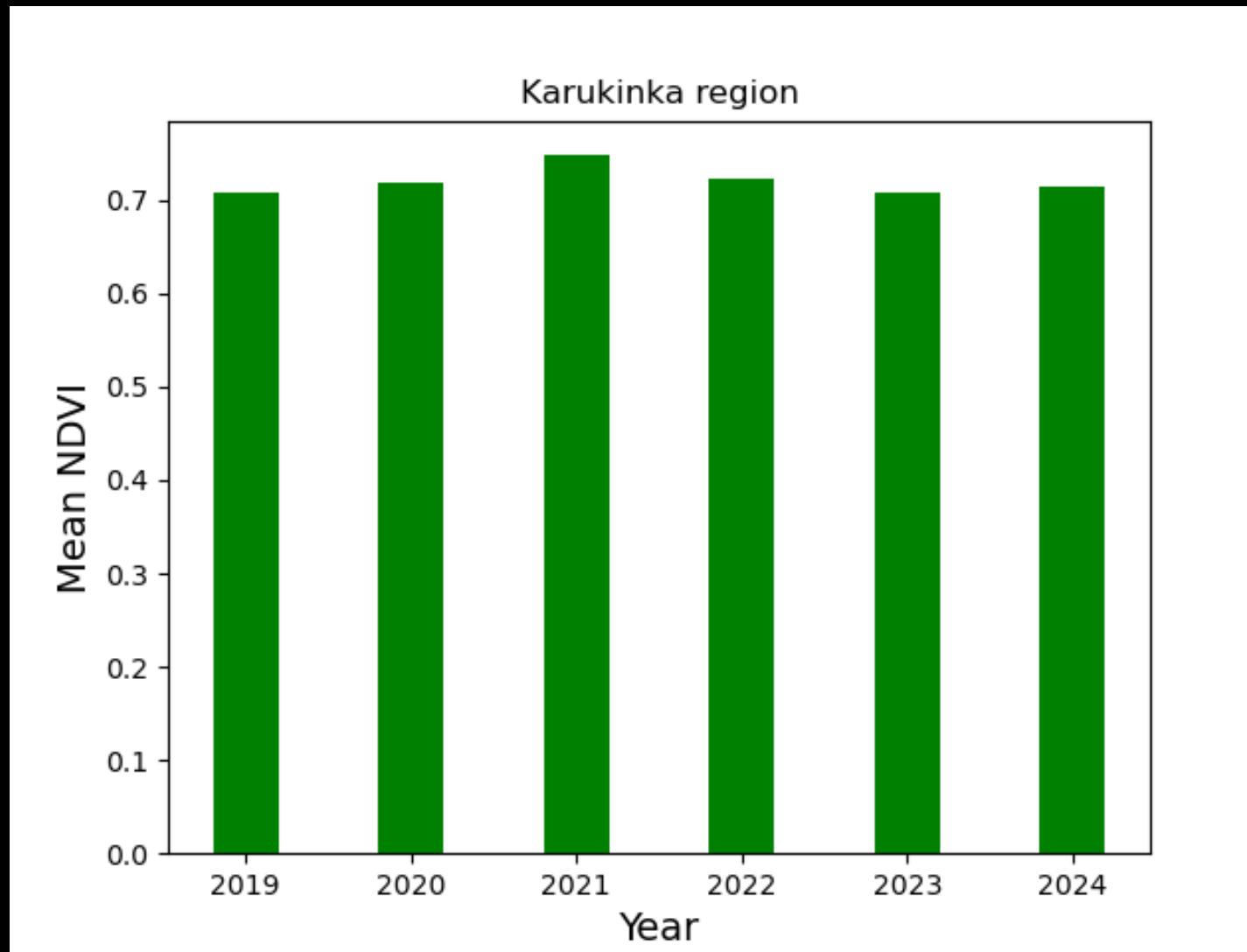
# Above Ground Biomass



Total Carbon Stored in Vegetation

# Carbon Sequestration; Carbon Pool Growth

Forest Greenness has year to year fluctuations



2019

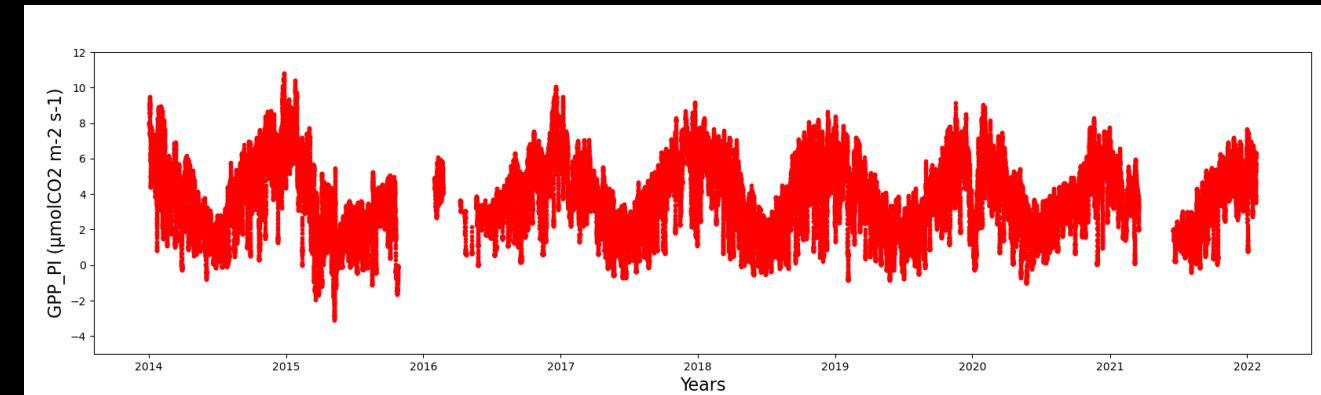
2020

2021

2022

2023

2024



Ameriflux, CL-SDF: Senda Darwin Forest

Training data: synthetic flux data to capture growth across ecoregions



?



- Physics Model
- AI model
- Physics Informed AI model

# Outlook

Foundation Model provide self supervised models that are generalizable across large geographic regions. For local measurements, the model require finetuning of parameters.

Finetuning the model for year-to-year change in carbon sequestered require filed measurements (tree parameters, LiDAR or Flux Tower data)

Integration of AI/biophysics models that capture vegetation growth require either more data or specialized domain expertise.