

# 4

# BEYOND CLASSICAL SEARCH

*In which we relax the simplifying assumptions of the previous chapter, thereby getting closer to the real world.*

Chapter 3 addressed a single category of problems: observable, deterministic, known environments where the solution is a sequence of actions. In this chapter, we look at what happens when these assumptions are relaxed. We begin with a fairly simple case: Sections 4.1 and 4.2 cover algorithms that perform purely **local search** in the state space, evaluating and modifying one or more current states rather than systematically exploring paths from an initial state. These algorithms are suitable for problems in which all that matters is the solution state, not the path cost to reach it. The family of local search algorithms includes methods inspired by statistical physics (**simulated annealing**) and evolutionary biology (**genetic algorithms**).

Then, in Sections 4.3–4.4, we examine what happens when we relax the assumptions of determinism and observability. The key idea is that if an agent cannot predict exactly what percept it will receive, then it will need to consider what to do under each **contingency** that its percepts may reveal. With partial observability, the agent will also need to keep track of the states it might be in.

Finally, Section 4.5 investigates **online search**, in which the agent is faced with a state space that is initially unknown and must be explored.

## 4.1 LOCAL SEARCH ALGORITHMS AND OPTIMIZATION PROBLEMS

The search algorithms that we have seen so far are designed to explore search spaces systematically. This systematicity is achieved by keeping one or more paths in memory and by recording which alternatives have been explored at each point along the path. When a goal is found, the *path* to that goal also constitutes a *solution* to the problem. In many problems, however, the path to the goal is irrelevant. For example, in the 8-queens problem (see page 71), what matters is the final configuration of queens, not the order in which they are added. The same general property holds for many important applications such as integrated-circuit design, factory-floor layout, job-shop scheduling, automatic programming, telecommunications network optimization, vehicle routing, and portfolio management.

LOCAL SEARCH  
CURRENT NODE

OPTIMIZATION  
PROBLEM  
OBJECTIVE  
FUNCTION

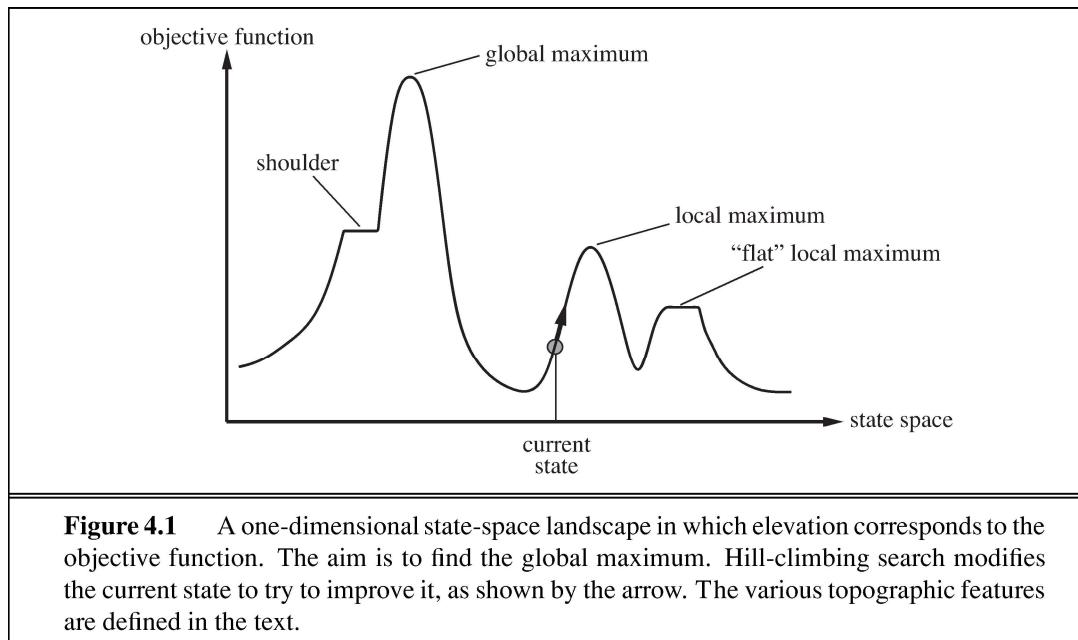
STATE-SPACE  
LANDSCAPE

GLOBAL MINIMUM  
GLOBAL MAXIMUM

If the path to the goal does not matter, we might consider a different class of algorithms, ones that do not worry about paths at all. **Local search** algorithms operate using a single **current node** (rather than multiple paths) and generally move only to neighbors of that node. Typically, the paths followed by the search are not retained. Although local search algorithms are not systematic, they have two key advantages: (1) they use very little memory—usually a constant amount; and (2) they can often find reasonable solutions in large or infinite (continuous) state spaces for which systematic algorithms are unsuitable.

In addition to finding goals, local search algorithms are useful for solving pure **optimization problems**, in which the aim is to find the best state according to an **objective function**. Many optimization problems do not fit the “standard” search model introduced in Chapter 3. For example, nature provides an objective function—reproductive fitness—that Darwinian evolution could be seen as attempting to optimize, but there is no “goal test” and no “path cost” for this problem.

To understand local search, we find it useful to consider the **state-space landscape** (as in Figure 4.1). A landscape has both “location” (defined by the state) and “elevation” (defined by the value of the heuristic cost function or objective function). If elevation corresponds to cost, then the aim is to find the lowest valley—a **global minimum**; if elevation corresponds to an objective function, then the aim is to find the highest peak—a **global maximum**. (You can convert from one to the other just by inserting a minus sign.) Local search algorithms explore this landscape. A **complete** local search algorithm always finds a goal if one exists; an **optimal** algorithm always finds a global minimum/maximum.



```

function HILL-CLIMBING(problem) returns a state that is a local maximum
    current  $\leftarrow$  MAKE-NODE(problem.INITIAL-STATE)
    loop do
        neighbor  $\leftarrow$  a highest-valued successor of current
        if neighbor.VALUE  $\leq$  current.VALUE then return current.STATE
        current  $\leftarrow$  neighbor

```

**Figure 4.2** The hill-climbing search algorithm, which is the most basic local search technique. At each step the current node is replaced by the best neighbor; in this version, that means the neighbor with the highest VALUE, but if a heuristic cost estimate  $h$  is used, we would find the neighbor with the lowest  $h$ .

#### 4.1.1 Hill-climbing search

HILL CLIMBING  
STEEPEST ASCENT

The **hill-climbing** search algorithm (**steepest-ascent** version) is shown in Figure 4.2. It is simply a loop that continually moves in the direction of increasing value—that is, uphill. It terminates when it reaches a “peak” where no neighbor has a higher value. The algorithm does not maintain a search tree, so the data structure for the current node need only record the state and the value of the objective function. Hill climbing does not look ahead beyond the immediate neighbors of the current state. This resembles trying to find the top of Mount Everest in a thick fog while suffering from amnesia.

To illustrate hill climbing, we will use the **8-queens problem** introduced on page 71. Local search algorithms typically use a **complete-state formulation**, where each state has 8 queens on the board, one per column. The successors of a state are all possible states generated by moving a single queen to another square in the same column (so each state has  $8 \times 7 = 56$  successors). The heuristic cost function  $h$  is the number of pairs of queens that are attacking each other, either directly or indirectly. The global minimum of this function is zero, which occurs only at perfect solutions. Figure 4.3(a) shows a state with  $h = 17$ . The figure also shows the values of all its successors, with the best successors having  $h = 12$ . Hill-climbing algorithms typically choose randomly among the set of best successors if there is more than one.

GREEDY LOCAL  
SEARCH

Hill climbing is sometimes called **greedy local search** because it grabs a good neighbor state without thinking ahead about where to go next. Although greed is considered one of the seven deadly sins, it turns out that greedy algorithms often perform quite well. Hill climbing often makes rapid progress toward a solution because it is usually quite easy to improve a bad state. For example, from the state in Figure 4.3(a), it takes just five steps to reach the state in Figure 4.3(b), which has  $h = 1$  and is very nearly a solution. Unfortunately, hill climbing often gets stuck for the following reasons:

LOCAL MAXIMUM

- **Local maxima:** a local maximum is a peak that is higher than each of its neighboring states but lower than the global maximum. Hill-climbing algorithms that reach the vicinity of a local maximum will be drawn upward toward the peak but will then be stuck with nowhere else to go. Figure 4.1 illustrates the problem schematically. More