Computer-Assisted Analysis of the Anderson-Hájek Ontological Controversy

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1. Introduction

We present an exemplary study in *Computational Metaphysics*, which is an emerging, interdisciplinary field aiming at the rigorous formalisation and deep logical assessment of rational, philosophical arguments on the computer. The particular focus here is on the ontological argument for the existence of God. While related work [9, 7] has concentrated on Anselm's simpler original version of the ontological argument and formalized it in classical predicate logic, we here focus on modern variants of it requiring higher-order modal logic. More precisely, the variants studied here are all emendations of Kurt Gödel's seminal contribution [11], respectively, Dana Scott's variant of it [12] (cf. Fig. 1).

In preceding experiments [1, 4, 6], we have already demonstrated that the technology we employ is well suited for the task. In fact, computers may even contribute philosophically relevant new knowledge. For example, the theorem prover Leo-II [2] detected an inconsistency in Gödel's original script [11] of the argument, which was undetected by philosophers before. This inconsistency obviously renders Gödel's original version of the argument as pointless. However, as our preceding experiments also confirmed, Scott's variant [12] from Fig. 1 is immune to this issue. Hence, in the remainder of this article the term Gödel's ontological argument actually refers to Scott's variant of it, whose soundness has been formally verified with our technology (for

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¹Gödel avoids the first conjunct $\varphi(x)$ in the definition of essence (D2), which Scott added for cosmetic reason. However, as Leo-II detected, one can prove from Gödel's definition that the empty property becomes an essence of every individual, which, together with theorem T1, axiom A5 and the definition of necessary existence (D3) causes the inconsistency. For more details see Benzmüller and Woltzenlogel Paleo [1].

A1 Either a property or its negation is positive, but not both:

$$\forall \varphi [P(\neg \varphi) \leftrightarrow \neg P(\varphi)]$$

A2 A property necessarily implied by a positive property is positive:

$$\forall \varphi \forall \psi [(P(\varphi) \wedge \Box \forall x [\varphi(x) \rightarrow \psi(x)]) \rightarrow P(\psi)]$$

T1 Positive properties are possibly exemplified:

$$\forall \varphi [P(\varphi) \to \Diamond \exists x \varphi(x)]$$

D1 A God-like being possesses all positive properties:

$$G(x) \equiv \forall \varphi [P(\varphi) \to \varphi(x)]$$

A3 The property of being God-like is positive:

C Possibly, a God-like being exists:

$$\Diamond \exists x G(x)$$

A4 Positive properties are necessarily positive:

$$\forall \varphi [P(\varphi) \to \Box P(\varphi)]$$

D2 An essence of an individual is a property possessed by it and necessarily implying any of its properties:

$$\varphi \ ess \ x \equiv \varphi(x) \land \forall \psi(\psi(x) \to \Box \forall y(\varphi(y) \to \psi(y)))$$

T2 Being God-like is an essence of any God-like being:

$$\forall x[G(x) \to G \ ess \ x]$$

D3 Necessary existence of an individual is the necessary exemplification of all its essences:

$$NE(x) \equiv \forall \varphi [\varphi \ ess \ x \to \Box \exists y \varphi(y)]$$

A5 Necessary existence is a positive property:

L1 If a God-like being exists, then necessarily a God-like being exists:

$$\exists x G(x) \to \Box \exists y G(y)$$

L2 If possibly a God-like being exists, then necessarily a God-like being exists:

$$\Diamond \exists x G(x) \to \Box \exists y G(y)$$

T3 Necessarily, a God-like being exists:

$$\Box \exists x G(x)$$

Figure 1. Scott's version of Gödel's ontological argument

logics entailing higher-order modal logic KB with constant domain or varying domain semantics). We here assume some familiarity with these related experiments and papers.

The particular motivation and context for the work presented in the given article is as follows: It is well known that the axioms in Gödel's ontological proof (cf. Fig. 1) entail what is called *modal collapse* [24, 13]. This

means that the formula $\varphi \to \Box \varphi$, abbreviated as MC, holds for any formula φ and not just for $\exists x. God(x)$ as intended. Hence, contingent truth implies necessary truth, or, in other words, there are no unnecessary contingent truths. In fact, one may even go as far and interpret MC as a result against free will. The fact that MC follows from Gödel's axioms, which has also been confirmed with higher-order automated theorem provers in our previous experiments [4, 6], has led to strong criticism of the argument and stimulated attempts to remedy the problem. Hájek [21, 18] proposed the use of cautious instead of full comprehension principles, and Fitting [15] took greater care of the semantics of higher-order quantifiers in the presence of modalities. Others, such as C.A. Anderson [22], Hájek [16] and Bjørdal [19], proposed emendations of Gödel's axioms and definitions. They require neither comprehension restrictions nor more complex semantics and are therefore technically simpler to analyze with computer support. Hence, we here concentrate on the latter emendations of Gödel's argument and leave the former ones for future work. Again, familiarity with the papers by C.A. Anderson [22], Hájek [16] and Bjørdal [19] is assumed, since we here are only briefly commenting/assessing various claims made in there.

Our formalizations employ the embedding of higher-order modal logic (HOML) in classical higher-order logic (HOL) as introduced in previous work [4, 5] as enabling technology. We explored the effect of different domain conditions on the provability of lemmas, theorems and even axioms. This was motivated by a controversy between Hájek and Anderson regarding the redundancy of some axioms in Anderson's emendation. In constant domain semantics (possibilist notion of quantification), the individual domains are the same in all possible worlds. In varying domain semantics (actualist notion of quantification), the domains may vary from world to world. The latter notion is technically encoded in our approach with the help of an existence relation expressing which individuals actually exist in each world. In other words, actualist quantification is formalized as possibilist quantification guarded by the existence relation.² This technical solution enables us to flexibly switch between these different notions of quantification and to even mix them. When we mention varying domain semantics (actualist quantification) below, we in fact mean varying domain semantics for the domain of individuals only, while for the other types we still assume the standard constant domain semantics (possibilist quantification). This is, to our best knowledge, in line with the intention of the authors (it would of course be easily possible in our approach to study other combinations).

In our experiments we have utilized the proof assistant Isabelle/HOL [17] together with the automated higher-order reasoners Leo-II [2], Satallax [8], Metis [14], and Nitpick [10].

Our main results, which we have presented at the 1st World Congress on Logic and Religion [3], are presented in more detail below. The corresponding

²EDNOTE: This part was misleading/wrong before; I reworded it.

formalizations, containing all the details, are available online: see the subdirectories Anderson, Hajek and Bjordal at github.com/FormalTheology/GoedelGod/blob/master/Formalizations/Isabelle/. ³

2. Results of the Experiments

For all emendations and variants discussed here, the axioms and definitions have been shown to be consistent and not to entail modal collapse.

Next, we address some controversially debated claims regarding the redundancy, superfluousness and independence of particular axioms in the investigated emendations and variants. Several novel findings are reported.

The following definitions of redundancy, superfluousness and independence are used throughout this paper:

- **Def. 1.** An axiom A is redundant w.r.t. a set of axioms S iff S entails A.
- **Def. 2.** An axiom A is superfluous w.r.t. axiom set S iff $S \setminus \{A\}$ entails T3.
- **Def. 3.** An axiom A is independent of a set of axioms S iff there are models of S where A is true and other models of S where A if false.

For both constant domain semantics (possibilist quantification) and varying domain semantics (actualist quantification), the following results hold for *Anderson's Emendation* (cf. Fig. 2): T1, C and T3' can be quickly automated (in logics **K**, **K** and **KB**, respectively); the axioms A4 and A5' are proven redundant (the former in logic **K4B** and the latter already in **K**); a trivial countermodel (with two worlds and two individuals) for MC is generated by Nitpick (for all mentioned logics); all axioms and definitions are shown to be mutually consistent.

The redundancy of A4 and A5 is particularly controversial. Magari [23] claimed that A4 and A5 are superfluous, arguing that T3 is true in all models of the other axioms and definitions by Gödel. Hájek [21, pp. 5–6] investigated this further, and claimed that Magari's claim is not valid, but is nevertheless true under additional silent assumptions by Magari. Moreover, Hájek [21, p. 2] cites his earlier work⁴ [18], where he claims (in Theorem 5.3) that for Anderson's emended theory [22], A4 and A5 are not only superfluous, but also redundant. Anderson and Gettings [20, footnote 1 in p. 1] rebutted Hájek's claim, arguing that the redundancy of A4 and A5 holds only under constant domain semantics, while Anderson's emended theory ought to be taken under Cocchiarella's semantics [25] (a varying domain semantics). Our results show that Hájek was originally right, under both constant and varying domain semantics (for the domain of individuals).

Nevertheless, Hájek [16, p. 7] acknowledges Anderson's rebuttal, and apparently accepts it, as evidenced by his use⁵ of A4 and A5', as well as

³Ideally, Logica Universalis should provide means for permanent storing of such additional material.

⁴Although [18] precedes [21] in writing, it was published only 5 years later, in German.

⁵A4 and A5 are used by Hájek [16, p. 11] in, respectively, Lemma 4 and Theorem 4.

A:A1 If a property is positive, its negation is not positive:

$$\forall \varphi [P(\varphi) \to \neg P(\neg \varphi)]$$

A2 A property necessarily implied by a positive property is positive:

$$\forall \varphi \forall \psi [(P(\varphi) \land \Box \forall x [\varphi(x) \to \psi(x)]) \to P(\psi)]$$

T1 Positive properties are possibly exemplified:

$$\forall \varphi [P(\varphi) \to \Diamond \exists x \varphi(x)]$$

A:D1 A God-like being necessarily possesses those and only those properties that are positive:

$$G_A(x) \equiv \forall \varphi [P(\varphi) \leftrightarrow \Box \varphi(x)]$$

A3' The property of being God-like is positive:

$$P(G_A)$$

C Possibly, a God-like being exists:

$$\Diamond \exists x G(x)$$

A4 Positive properties are necessarily positive:

$$\forall \varphi [P(\varphi) \to \Box \ P(\varphi)]$$

A:D2 An essence of an individual is a property that necessarily implies those and only those properties that the individual has necessarily:

$$\varphi \ ess_A \ x \equiv \forall \psi [\Box \psi(x) \leftrightarrow \Box \forall y (\varphi(y) \rightarrow \psi(y))]$$

T2' Being God-like is an essence of any God-like being:

$$\forall x[G_A(x) \to G_A \ ess_A \ x]$$

D3' Necessary existence of an individual is the necessary exemplification of all its essences:

$$NE_A(x) \equiv \forall \varphi [\varphi \ ess_A \ x \to \Box \exists y \varphi(y)]$$

A5' Necessary existence is a positive property:

$$P(NE_A)$$

L1' If a God-like being exists, then necessarily a God-like being exists:

$$\exists x G_A(x) \to \Box \exists y G_A(y)$$

L2' If possibly a God-like being exists, then necessarily a God-like being exists:

$$\Diamond \exists x G_A(x) \to \Box \exists y G_A(y)$$

T3' Necessarily, a God-like being exists:

$$\Box \exists x G_A(x)$$

Figure 2. Anderson's Emendation

varying domain semantics, in his new emendation (named \mathcal{AOE}' [16, sec. 4], cf. Fig. 3), which replaces Anderson's A:A1 and A2 by a simpler axiom H:A12. Surprisingly, the computer-assisted formalization of \mathcal{AOE}' shows that A4 and A5' are still superfluous. Moreover, A4 and A5' are independent of the other

H:A12 The negation of a property necessarily implied by a positive property is not positive:

$$\forall \varphi \forall \psi [(P(\varphi) \land \Box \forall x [\varphi(x) \to \psi(x)]) \to \neg P(\neg \psi)]$$

H:D1 A *God-like* being necessarily possesses those and only those properties that are necessarily implied by a positive property:

$$G_H(x) \equiv \forall \varphi [\Box \varphi(x) \leftrightarrow \exists \psi [P(\psi) \land \Box \forall x [\psi(x) \rightarrow \varphi(x))]]$$

A3' The property of being God-like is positive:

$$P(G_H)$$

A4 Positive properties are necessarily positive:

$$\forall \varphi [P(\varphi) \to \Box P(\varphi)]$$

A:D2 An essence of an individual is a property that necessarily implies those and only those properties that the individual has necessarily:

$$\varphi \ ess_A \ x \equiv \forall \psi [\Box \psi(x) \leftrightarrow \Box \forall y (\varphi(y) \rightarrow \psi(y))]$$

D3' Necessary existence of an individual is the necessary exemplification of all its essences:

$$NE_A(x) \equiv \forall \varphi [\varphi \ ess_A \ x \to \Box \exists y [\varphi(y)]]$$

A5' Necessary existence is a positive property:

$$P(NE_A)$$

L3 (1) The negation of a positive property is not positive:

$$\forall \varphi [P(\varphi) \to \neg P(\neg \varphi)]$$

(2) Positive properties are possibly exemplified:

$$\forall \varphi [P(\varphi) \to \Diamond \exists x \varphi(x)]$$

(3) If a God-like being exists, then necessarily a God-like being exists:

$$\forall x[G_H(x) \to \Box G_H(x)))]$$

(4) All positive properties are necessarily implied by the property of being God-like:

$$\forall \varphi [P(\varphi) \to \Box \forall x [G_H(x) \to \varphi(x)]]$$

L4 Being God-like is an essence of any God-like being:

$$\forall x[G_H(x) \to G_H \ ess \ x]$$

T3' Necessarily, a God-like being exists:

$$\Box \exists x G_H(x)$$

FIGURE 3. Hájek's First Emendation \mathcal{AOE}'

axioms and definitions. Therefore, A4 and A5' are not redundant, despite their superfluousness.

Although Hájek did not notice the superfluousness of A4 and A5' in his \mathcal{AOE}' , he did describe yet another emendation (his \mathcal{AOE}'_0 , cf. Fig. 4) where A4 and A5' are superfluous (though no claim is made w.r.t. to their redundancy), if A3' is replaced by a stronger axiom (H:A3) additionally stating that

H:A12 The negation of a property necessarily implied by a positive property is not positive:

$$\forall \varphi \forall \psi [(P(\varphi) \land \Box \forall x [\varphi(x) \to \psi(x)]) \to \neg P(\neg \psi)]$$

A:D1 A God-like being necessarily possesses those and only those properties that are positive:

$$G_A(x) \equiv \forall \varphi [P(\varphi) \leftrightarrow \Box \varphi(x)]$$

H:A3 The property of being God-like and existing actually is positive:

$$P(G_A \wedge E)$$

T3' Necessarily, a God-like being exists:

$$\Box \exists x G_A(x)$$

FIGURE 4. Hájek's Second Emendation \mathcal{AOE}_0'

the property of actual existence is positive when it comes to God-like beings [16, sec. 5]. Formalization of \mathcal{AOE}'_0 shows that A4 is not only superflous, but also redundant. In addition, A5' becomes superfluous and independent. Surprisingly, a countermodel for the weaker A3' was successfully generated. This is somewhat unsatisfactory (for theistic goals), because it shows that \mathcal{AOE}'_0 does not entail the positiveness of being God-like.

Nevertheless, \mathcal{AOE}_0' is explicitly regarded by Hájek [16, p. 12] as just an intermediary step towards a more natural theory, based on a more sophisticated notion of positiveness. That is his final emendation (\mathcal{AOE}'' , cf. Fig. 5), which restores A3' and does use A4 and A5', albeit in a modified form (i.e. H:A4 and H:A5).

The formalization of \mathcal{AOE}'' shows that both H:A4 and H:A5 are superfluous as well as independent. For the old A5', no conclusive results were achieved.

Additionally, Anderson [22, footnote 14] (cf. Fig. 6) remarks that only the quantifiers in T3′ and in A:D2 need to be interpreted as actualistic quantifiers, while others may be taken as possibilistic quantifiers. Our computer-assisted study of this mixed variant shows that A4 is still redundant in logic K4B, but A5′ becomes independent (hence not redundant). Unfortunately, a countermodel for T3 can then be found.

The controversy over the superfluousness of A4 and A5 indicates a trend to reduce the ontological argument to its bare essentials. In this regard, already C.A. Anderson [22, p. 7] indicates that, by taking a notion of *defective* as primitive and defining the notion of *positive* upon it, axioms A:A1, A2 and A4 become derivable.

These claims have been confirmed by the automated theorem provers (in logic **K4B**). Within the same trend, the alternative proposed by Bjørdal [19] (cf. Fig. 7) achieves a high level of minimality.

He takes the property of being God-like as a primitive and defines (B:D1) the positive properties as those properties necessarily possessed by every God-like being. He then briefly indicates (B:L1) that B:D1 is logically

H:A12 The negation of a property necessarily implied by a positive property is not positive:

$$\forall \varphi \forall \psi [(P(\varphi) \land \Box \forall x [\varphi(x) \to \psi(x)]) \to \neg P(\neg \psi)]$$

D4 A property is positive # iff it is necessarily implied by a positive property:

$$P^{\#}(\varphi) \equiv \exists \psi [P(\psi) \land \Box \forall x [\psi(x) \to \varphi(x)]]$$

H:D1 A God-like being necessarily possesses those and only those properties that are positive #:

$$G_H(x) \equiv \forall \varphi [P^\#(\varphi) \leftrightarrow \Box \varphi(x)]$$

A3' The property of being God-like is positive:

$$P(G_H)$$

H:A4 Positive# properties are necessarily positive#:

$$\forall \varphi [P^{\#}(\varphi) \to \Box P^{\#}(\varphi)]$$

A:D2 An essence of an individual is a property that necessarily implies those and only those properties that the individual has necessarily:

$$\varphi \ ess_A \ x \equiv \forall \psi [\Box \psi(x) \leftrightarrow \Box \forall y (\varphi(y) \to \psi(y))]$$

D3' Necessary existence of an individual is the necessary exemplification of all its essences:

$$NE_A(x) \equiv \forall \varphi [\varphi \ ess_A \ x \to \Box \exists y [\varphi(y)]]$$

H:A5 Necessary existence is a positive# property:

$$P^{\#}(NE_A)$$

FIGURE 5. Hájek's Third Emendation \mathcal{AOE}''

- D (Defective) is taken as primitive and P_{AS} (Positive) is defined.
- AS:D1 A property is positive iff its absence necessarily renders an individual defective and it is possible that an individual has the property without being defective.

$$P_{AS}(\varphi) \equiv \Box(\forall x(\neg \varphi(x) \to D(x)) \land (\neg \Box \forall x(\varphi(x) \to D(x))))$$

A:A1' If a property is positive, its negation is not positive:

$$\forall \varphi [P_{AS}(\varphi) \to \neg P_{AS}(\neg \varphi)]$$

A2' A property necessarily implied by a positive property is positive:

$$\forall \varphi \forall \psi [(P_{AS}(\varphi) \land \Box \forall x [\varphi(x) \rightarrow \psi(x)]) \rightarrow P_{AS}(\psi)]$$

A4′ Positive properties are necessarily positive:

$$\forall \varphi [P_{AS}(\varphi) \to \Box P_{AS}(\varphi)]$$

FIGURE 6. Anderson's Simplification

equivalent, under modal logic **S4**, to the conjunction of D1', A2', A3' and A4'. This has been confirmed in the computer-assisted formalization: A2' and A3' can be quickly automatically derived in logic **K**. A4' can be proved in logic **KT**

 G_B (God-like) is taken as primitive and P_B (Positive) is defined.

B:D1 A property is positive iff it is necessarily possessed by every God-like being.

$$P_B(\phi) \equiv \Box \forall x (G_B(x) \to \phi(x))$$

B:L1 B:D1 is logically equivalent in S4 with the union of D1' and axioms A2', A3' and A4'.

$$B:D1 \leftrightarrow D1' \wedge A2' \wedge A3' \wedge A4'$$

B:D2 a maximal composite of an individual's positive properties is a positive property possessed by the individual and necessarily implying every positive property possessed by the individual.

$$MCP(\phi, x) \equiv (\phi(x) \land P_B(\phi)) \land \forall \psi((\psi(x) \land P_B(\psi)) \rightarrow \Box \forall y(\phi(y) \rightarrow \psi(y)))$$

B:D3 Necessary existence of an individual is the necessary exemplification of all its maximal composites.

$$NE_B(x) \equiv \forall \phi(MCP(\phi, x) \rightarrow \Box \exists y \phi(y))$$

A:A1' If a property is positive, its negation is not positive:

$$\forall \varphi [P_B(\varphi) \to \neg P_B(\neg \varphi)]$$

A5' Necessary existence is a positive property.

$$P_B(NE_B)$$

T3' Necessarily, a God-like being exists:

$$\Box \exists x G_B(x)$$

FIGURE 7. Bjørdal's Alternative

(i.e. assuming reflexivity of the accessibility relation). For constant domain semantics, proving D1' is possible in logic **K4**, whereas for varying domain semantics, a countermodel can be found even in logic **S5**. Conversely, the proof that B:D1 is entailed by D1', A2', A3' and A4' is possible already in logic **K**. The provers also show that theorem T3' follows from B:D1, B:D2, B:D3, A:A1' and A5' already in logic **KB**. Bjørdal's last paragraph briefly mentions Hájek's ideas about the superfluousness of A5' and claims that it is possible, with (unclear) additional modifications of the definitions, to eliminate A5' from his theory as well. Without any additional modification, the automated reasoners show that A5' is independent, but actually not superfluous. All these results, with the exception of the aforementioned countermodel for D1', hold for both constant and varying domain semantics.

Figure 8 summarizes the results obtained by the automated reasoning tools. The following abbreviations are used: S/I = superfluous and independent; R = superfluous and redundant; S/U = superfluous and unknown whether redundant or independent; N/I = non-superfluous and independent; P = provable; P = provab

	A.A.I	A:A1' A2'	A3′	A4	A4'	H:A4	A5	A5'	H:A5	T3	T3'	MC
Scott (const)	ı			I/N	ı	,	I/N			Ь	ı	Ь
Scott (var)	ı		1	I/N		1	I/N	1	ı	Ь	1	Ь
Anderson (const)	,	ı		R(K4B)		ı		R	ı		Ь	CS
Anderson (var)	ı		1	R(K4B)		1	ı	R	ı	1	Ь	CS
Anderson (mix)	,	ı		R(K4B)		ı	1	Ι	ı		CS	CS
Hájek AOE' (var)	ı	CS		S/I		ı	ı	I/S	ı	ı	P(KB)	CS
Hájek AOE'_{-0} (var)	ı	ı	S	R		1	1	$\rm S/U$	1	1	P(KB)	CS
Hájek AOE" (var)	ı				ı	I/S			I/S		P(KB)	CS
Anderson (simp) (var) -	R	R			R(K4B)		ı					
Bjørdal (const) R(K4)	•	R	R		R(KT)			I/N	ı		P(KB)	CS
Bjørdal (var)	1	R	R	1	R(KT)	1	1	I/N	,		P(KB)	CS

 ${\tt FIGURE~8.~Summary~of~Results.}$

results that are surprising, albeit not contradicting any claims. Cells high-lighted in green contain results where the tools were able to obtain the same results as humans, but using weaker modal logics. ⁶

3. Conclusion

Using our approach, that is, semantical embeddings of variants of higher-order modal logics in classical higher-order logic, the formalization and (partly) automated analysis of several variants of Gödel's ontological argument has been surprisingly straightforward. The higher-order provers we employed not only confirmed many claimed results, but also exposed a few mistakes and novel insights in a long standing controversy. We believe the technology employed in this work is ready to be fruitfully adopted in larger scale by philosophers. Moreover, our approach is by no means restricted to metaphysics or philosophy, and further work includes similar applications in other areas, including, for example, law, politics and ethics. In fact, we claim, that our approach, at least to some degree, a realises Leibniz' dream of a characteristica universalis and calculus ratiocinator.

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⁶EDNOTE: What is the difference between - and empty cells?

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