

# 1 Local Redundancy

We first consider an example first posed by Postan:

$$\frac{\frac{\frac{L_2: P(A) \quad \eta_2: \neg P(x), \neg Q(x, B)}{\neg Q(x, B)} \quad \eta_1: \neg P(z), Q(z, y)}{\neg P(z)} \quad L_1: P(x)}{\perp}$$

Which is locally redundant; see the compressed version in his document.

## 2 “Example 2”

We consider example 2, from the LU/RPI paper, modified for first order predicates in a trivial way:

$$\frac{\frac{\frac{\eta_1: \neg P(A) \quad \eta_3: P(A), Q(B)}{\eta_4: Q(B)} \quad \eta_2: P(A), R(C), \neg Q(B)}{\eta_5: P(A), R(C)} \quad \eta_1: \neg P(A) \quad \frac{\eta_4: Q(B) \quad \eta_7: P(A), \neg Q(B), \neg R(C)}{\eta_8: P(A), \neg R(C)} \quad \eta_1: \neg P(A)}{\frac{\eta_6: R(C) \quad \eta_9: \neg R(C)}{\perp}}$$

### 2.1 Lower Units

Proceeds exactly the same as in the paper.

**TODO:** show exact steps?

### 2.2 RecyclePivots

Again, proceeds like in the paper.

### 3 Lower Units - Research Notes

First, I consider the proofs 1-5 that were provided by Bruno on the Skeptik dev mailing list. In order to be explicit, I outline the case of compression from proof 1 to proof 2:

- Lower  $P(X)$  so that the terms using it were resolved against each other instead of with  $P(X)$
- Contract (trivially?); the unifier resulted in the duplicated terms
- Resolve the contracted formula against the lowered unit,  $P(X)$

The result is a trade of a resolution for a contraction, which is more compact (when we consider compactness as a count of the number of resolution rules).

In order to generalize, I think the best place to start was see under what conditions we can in fact make this contraction. It should not be required that contraction results in duplicated formulas; indeed, as long as a contraction is possible this seems to work. So in particular, I conjecture that we should lower a unit formula if and only if for all formulas which would be resolved against the unit clause of interest are pair-wise unifiable (disregarding the remainder of their premises), and unifiable with the unit. Further, the unit must be the most general form of the formula, as the following shows:

$$\frac{\frac{\frac{\vdash P(y, x) \quad P(A, x) \vdash Q(A), R(B)}{\vdash Q(y), R(B)} \quad Q(y) \vdash Q(x) \quad \frac{Q(A), P(y, A) \vdash \quad \vdash P(y, x)}{Q(x) \vdash}}{\vdash R(B), Q(x)} \quad \frac{\vdash R(B)}{\vdash R(B)} \quad R(B) \vdash}{\perp}$$

but if we delay the resolution with  $P(y, x)$  we get

$$\begin{array}{c}
\frac{P(A, x) \vdash Q(A), R(B) \quad Q(y) \vdash Q(x)}{P(y, x) \vdash Q(x), R(B)} \quad \frac{Q(A), P(y, A) \vdash}{P(y, x), P(x, y) \vdash R(B)} \quad \frac{R(B) \vdash}{P(y, x), P(y, A) \vdash} \quad \vdash P(y, x) \\
\frac{P(y, x)}{P(y, x) \vdash P(y, x)} \quad \vdash P(y, x) \\
\perp
\end{array}$$

and now we actually the same number of resolution rules. **TODO: -no, we can still use a contraction, and reduce the proof**

The requirement for being pairwise unifiable is also seen in proof 1 and 2, but further, this is lacking the case of proof 3:  $P(a)$  and  $P(b)$  is not unifiable, and thus proof 5 is not actually compressed. But if  $P(b)$  had been  $P(B)$ , then we would have been fine. It also fails in the following example:

$$\begin{array}{c}
\frac{\vdash P(X) \quad P(a) \vdash Q(Y), R(Z)}{\vdash Q(Y), R(Z)} \quad \frac{R(X), P(b) \vdash S(Y)}{P(b) \vdash S(Y), Q(Y)} \quad \frac{S(Y), Q(Y) \vdash}{P(b) \vdash} \quad \vdash P(X) \\
\perp
\end{array}$$

which is the 'potentially' globally reduction example from the original lower units paper.

**Theorem 3.1.** *Let  $S$  be the set of premises being resolved against a unit clause  $u$ . Then  $u$  can be lowered if,  $|S| > 1$  and for every distinct  $\eta, \eta' \in S$ ,  $\eta$  and  $\eta'$  are unifiable.*

*Proof.* Assume that  $S$  is defined as above, and is pairwise unifiable. Order the elements from the top of the proof to the bottom (and break ties left-right), so that  $\eta_1$  is the top-left-most premise resolved against  $u$ . In particular,  $\eta_1$  contains  $\bar{u}'_1$ , and we have that  $P = \phi[\phi_1[\eta_1 \odot u] \odot r_1]$ . Consider instead  $\phi[r_1 \cup \bar{u}'_1]$ , the proof obtained by removing the resolution  $\phi_1[\eta_1 \odot u] \odot r_1$  with just  $\phi' = \eta_1 \odot r_1$  and then moving the subtree of  $\phi$  to be the subtree of  $\phi'$ . Note that  $\phi'$  contains  $\bar{u}'_1$  still, and so the resulting subtree would have more occurrences of  $\bar{u}'_1$ . In particular, the final node in the proof is  $\bar{u}'_1$  instead of  $\perp$ . Since  $|S| > 1$ , at some other point, there

exists  $\eta_2$  such that  $\eta_2$  is also resolved against  $u$  (with  $\eta_2$  contains  $\bar{u}'_2$ ). So consider  $\phi[r_2 \cup \bar{u}'_2]$  and follow an argument similar to that for  $\eta_1$ ; now the final proof node has  $\bar{u}'_1 \cup \bar{u}'_2$  instead of  $\bar{u}'_1$ . By assumption,  $\bar{u}'_1$  and  $\bar{u}'_2$  are pair-wise unifiable. So we can contract these terms, and then resolve against  $u$ , to complete the proof.  $\square$