Certifications, Licenses & Contact Info

Currently, Alex is studying for the Certified Regulatory Compliance Manager (**CRCM**) certification and will be testing in December 2024 for this certification.

If you would like to know more about Alex, including what other knowledge and skills he can bring to your company - please feel free to set up a meeting via Calendly here:

https://calendly.com/alexandermross2

You can also reach me at <u>alexandermross2@gmail.com</u>.

Certifications:

Certified Anti-Money Laundering Specialist designation (**CAMS**) issued by ACAM's in June 2021. Certification Number: ER-005051227

Company Specific Certifications:

TRM Blockchain Investigation:

TRM-CFC Crypto Fundamentals Certification

TRM-CI Certified Investigator

TRM-ACI Advanced Crypto Investigator
TRM-CCS Crypto Compliance Specialist

Licenses:

Alex has extensive experience working in the financial services space and has obtained and maintained the following FINRA securities licenses for many years during his time with both Merrill Lynch and Goldman Sachs.

Series 7 - August 13, 2008 **Series 66** - October 7, 2008 **Series 9 & 10** - 2015

Series 3 - February 18, 2016

Renewed Series 7, 9 & 10 through the FINRA Maintaining Qualifications Program in September, 2023.

Pending renewal for 2024 through the FINRA Maintaining Qualifications Program.