

# CS & IT ENGINEERING

Theory of Computation

Finite Automata



**Lecture No. 11**



By- DEVA Sir



01 NFA without  $\epsilon$  moves

02 NFA with  $\epsilon$  moves

03

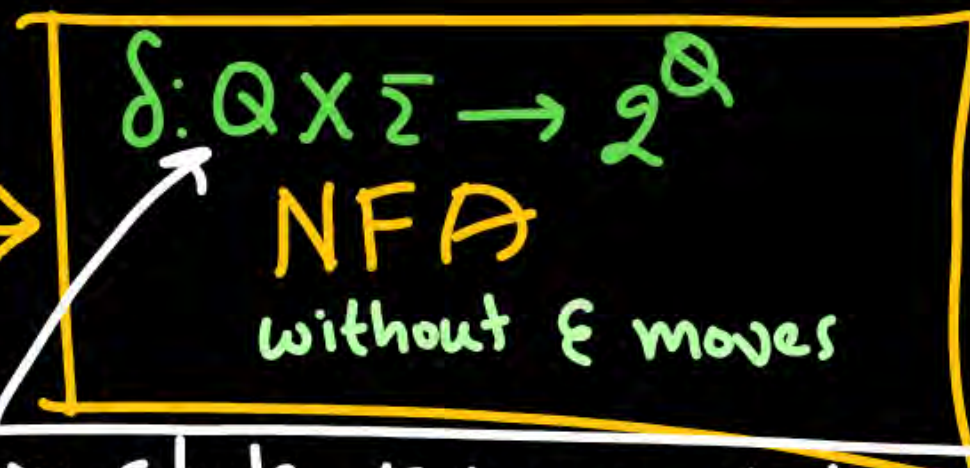
04

05



# NFA

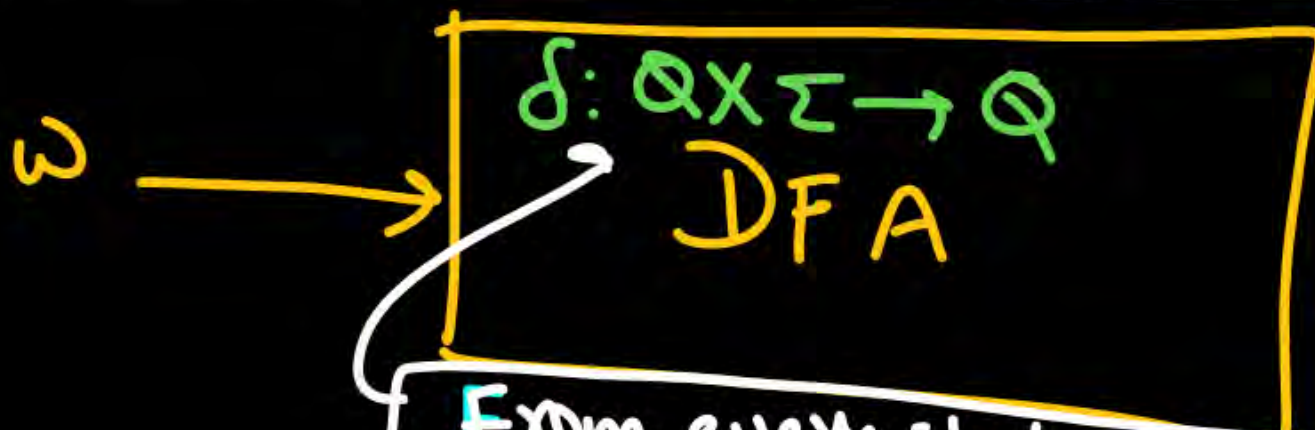
(w)  
Input



If  $w \in L$ , at least 1 path that halts at final

If  $w \notin L$ , there is no path halts at final  
(Either no path or every path halts at non final)

From every state, for every state,  
Zero or more transitions



If  $w \in L$ , Exactly 1 path halts at final

If  $w \notin L$ , Exactly 1 path halts at non final

From every state, for every i/p symbol, exactly 1 transition

I) Every DFA is NFA.

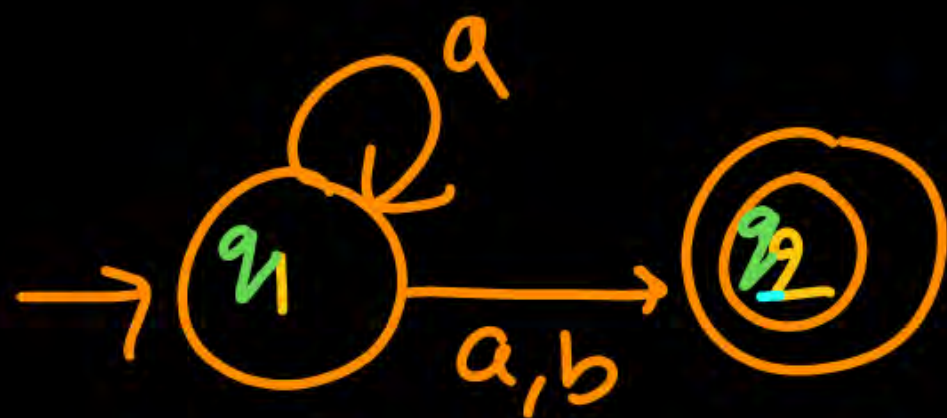
II) NFA may or may not be DFA

III) Min DFA need not be min NFA

IV) For <sup>any</sup> Regular language:

$$n(\text{Min DFA}) \geq n(\text{min NFA})$$





$\epsilon: q_1$

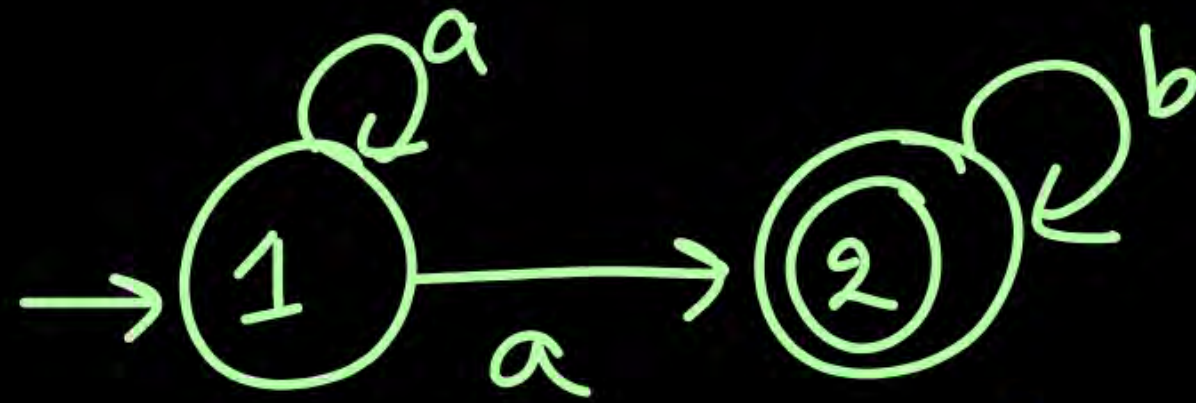
$a: q_1 \xrightarrow{a} q_1$  } at least 1 path  
 $q_1 \xrightarrow{a} q_2$  } halts at final

$q_1 \xrightarrow{a} q_1 \xrightarrow{b} q_2$   
 $q_1 \xrightarrow{a} q_2 \xrightarrow{b} \text{X}$

	No. of Paths	Valid/Invalid
$\epsilon:$	1	Invalid
a	2	valid
b	1	Valid
aa	2	Valid
ab	1	valid
ba	0	Invalid
bb	0	Invalid
...		







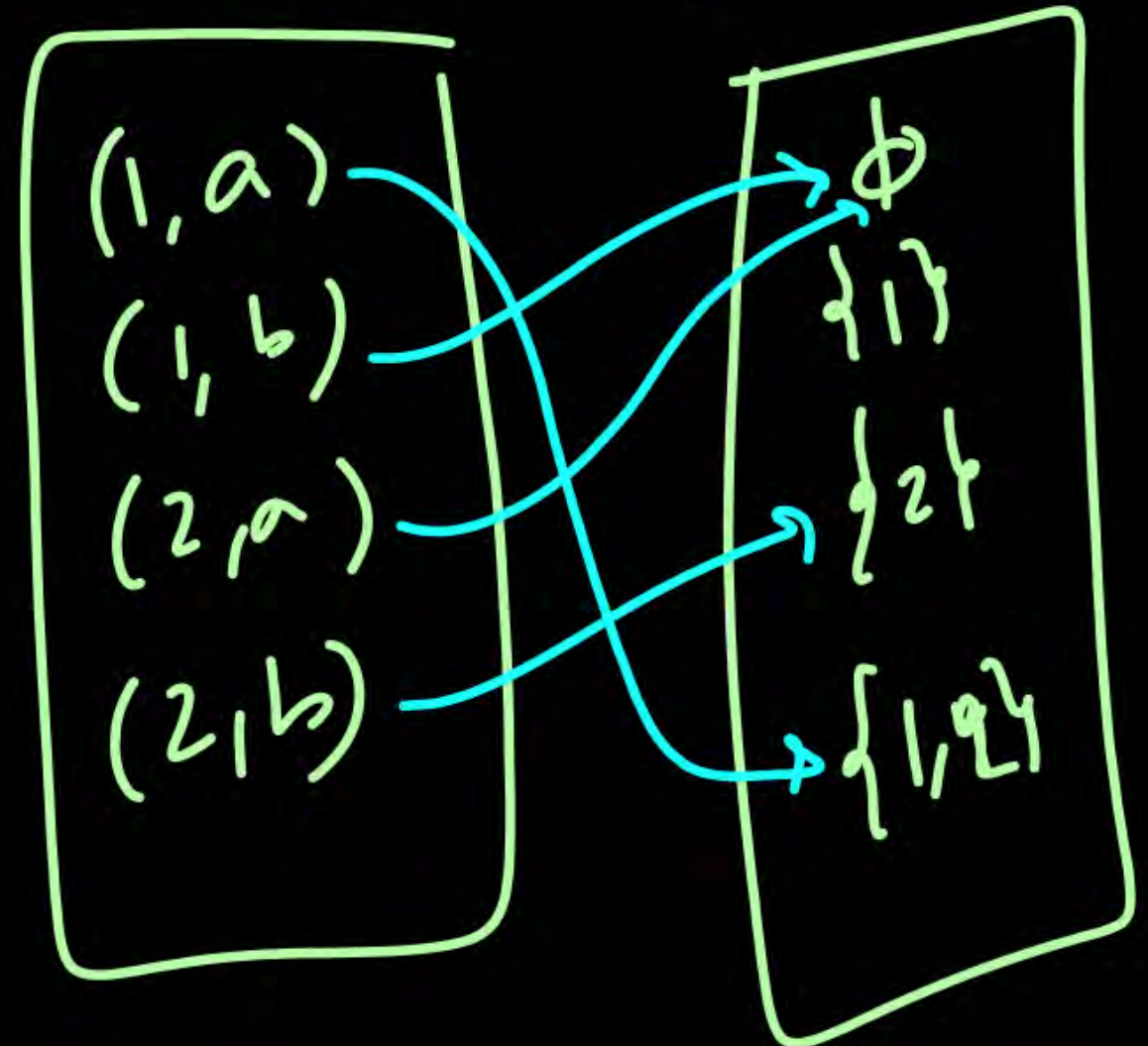
$$\delta_{NFA}: Q \times \Sigma \rightarrow 2^Q$$

$$\delta(1, a) = \{1, 2\}$$

$$\delta(1, b) = \{\}$$

$$\delta(2, a) = \{\}$$

$$\delta(2, b) = \{2\}$$



$$Q = \{1, 2, 3\}$$

$$|Q| = n$$

$$\Rightarrow |P(Q)| = 2^n$$

$$2^Q = \{ \phi, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\} \}$$

$$\begin{aligned} 2^Q &= \text{power set}(Q) \\ &= \text{Set of all subset of } Q \\ &= \{X \mid X \subseteq Q\} \end{aligned}$$

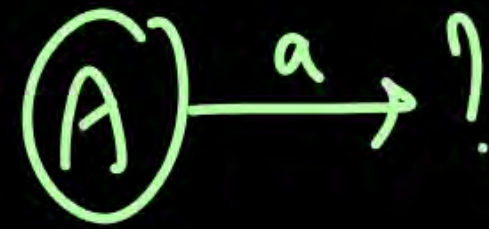


DFA



$\delta(A, a) = \text{only one}$

NFA



$\delta(A, a) =$  no transition  
or  
one transition  
or  
2 transitions  
...

①  $L = \{ \} \text{ over } \Sigma = \{a, b\}$



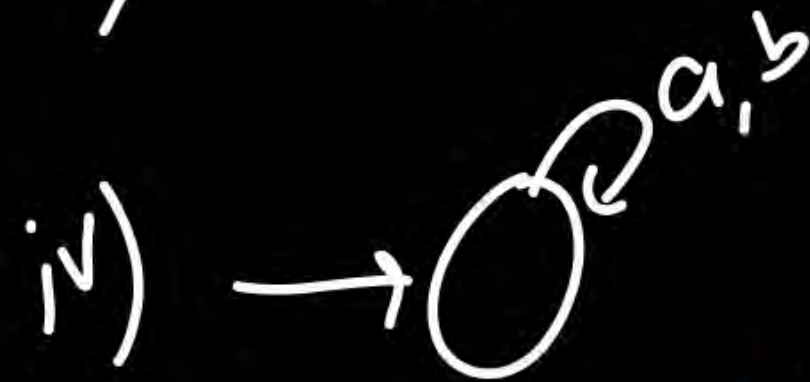
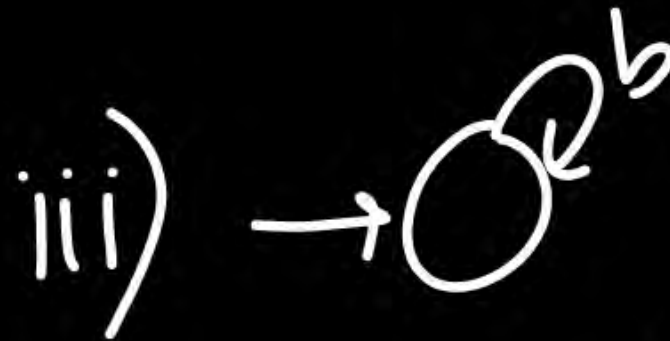
Regular Exp:

$$R = \phi$$

min  
DFA:



min  
NFA:



1 state

No. of min NFAs = 4



Note:

In general

For every Regular Set,

- i) No. of DFAs = Infinite
- ii) No. of min DFAs = 1
- iii) No. of NFAs = Infinite
- iv) No. of min NFAs = 1 or more

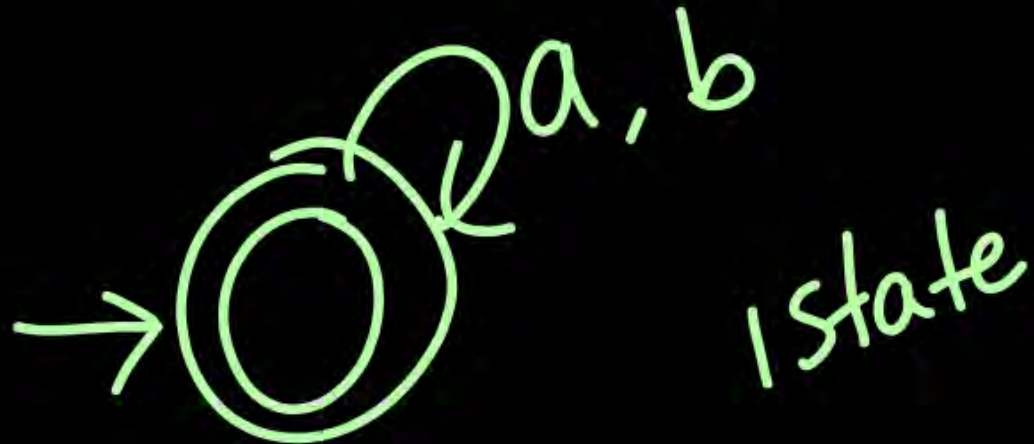
②  $L = \Sigma^*$  over  $\Sigma = \{a, b\}$



Regular Exp:

$$R = (a+b)^* \\ = (a^*b^*)^* = (b^*a^*)^*$$

min  
DFA:



min  
NFA:



only 1 min NFA  
for  $L = \Sigma^*$

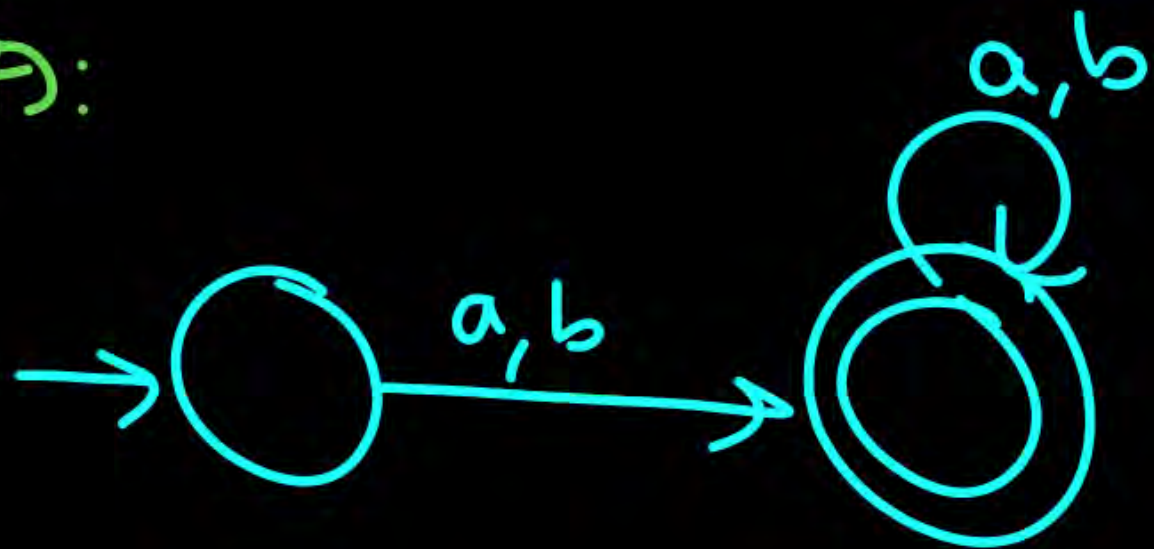


③  $L = \Sigma^+$  over  $\Sigma = \{a, b\}$

Regular Exp:

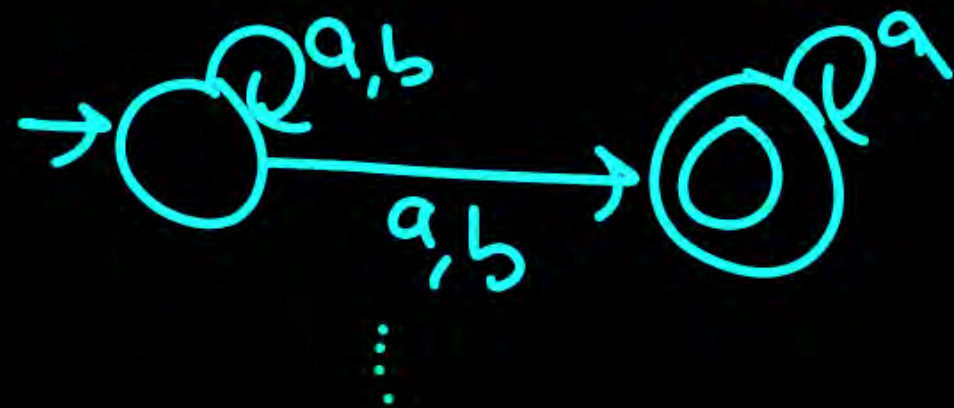
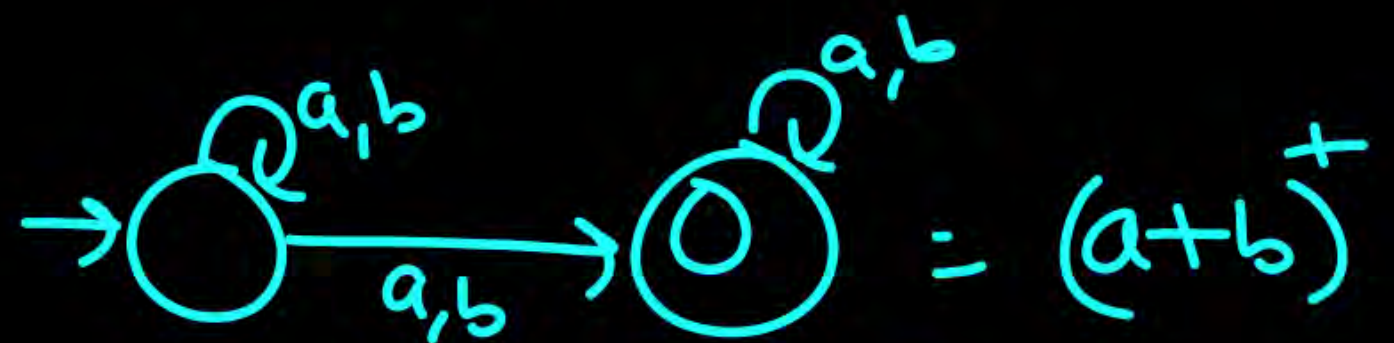
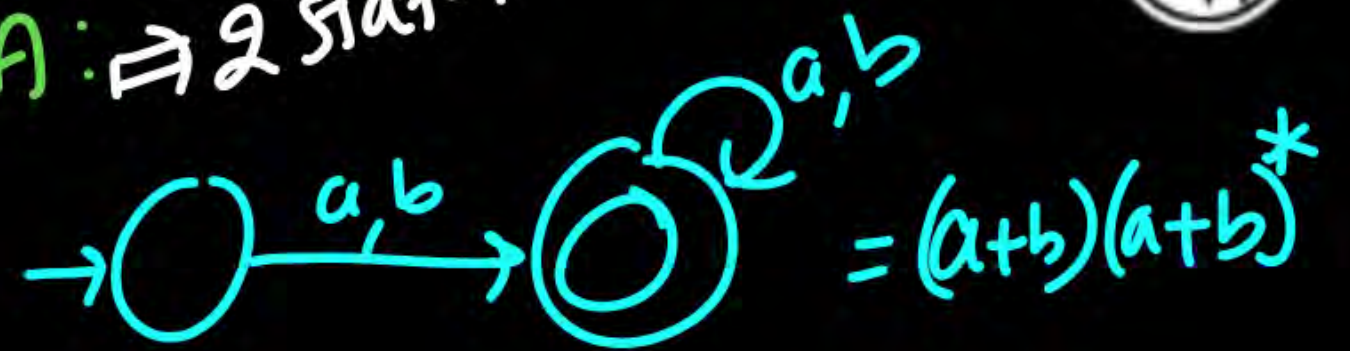
$$R = (a+b)^+ = (a+b).(a+b)^* \\ = (a+b)^*(a+b)$$

min  
DFA:



2 states

min  
NFA:  $\Rightarrow$  2 states



$$(a+b)^*(a+b)a^* = (a+b)^+$$

$$(a+b)^*(a+b)b^* = (a+b)^+$$

$$a^*(a+b)(a+b)^* = (a+b)^+$$

$$b^*(a+b)(a+b)^* = (a+b)^+$$



\*\*\* (4)  $L = \{\epsilon\}$  over  $\Sigma = \{a, b\}$

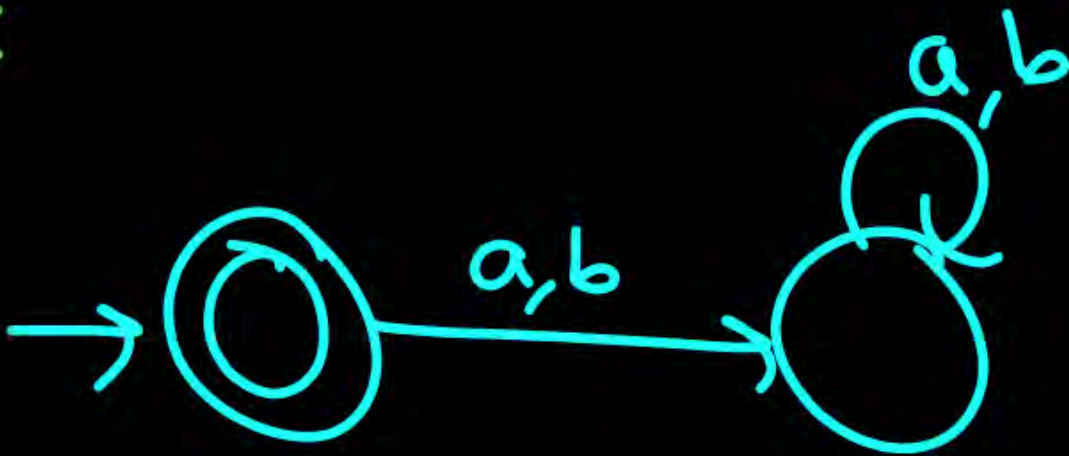


Regular Exp:

$$R = \epsilon$$

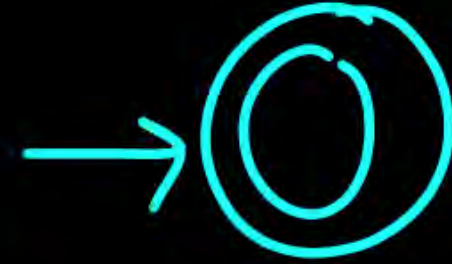
$$= \phi^* = \epsilon^* = \epsilon^+$$

Min  
DFA:



2 states

Min  
NFA:



1 state

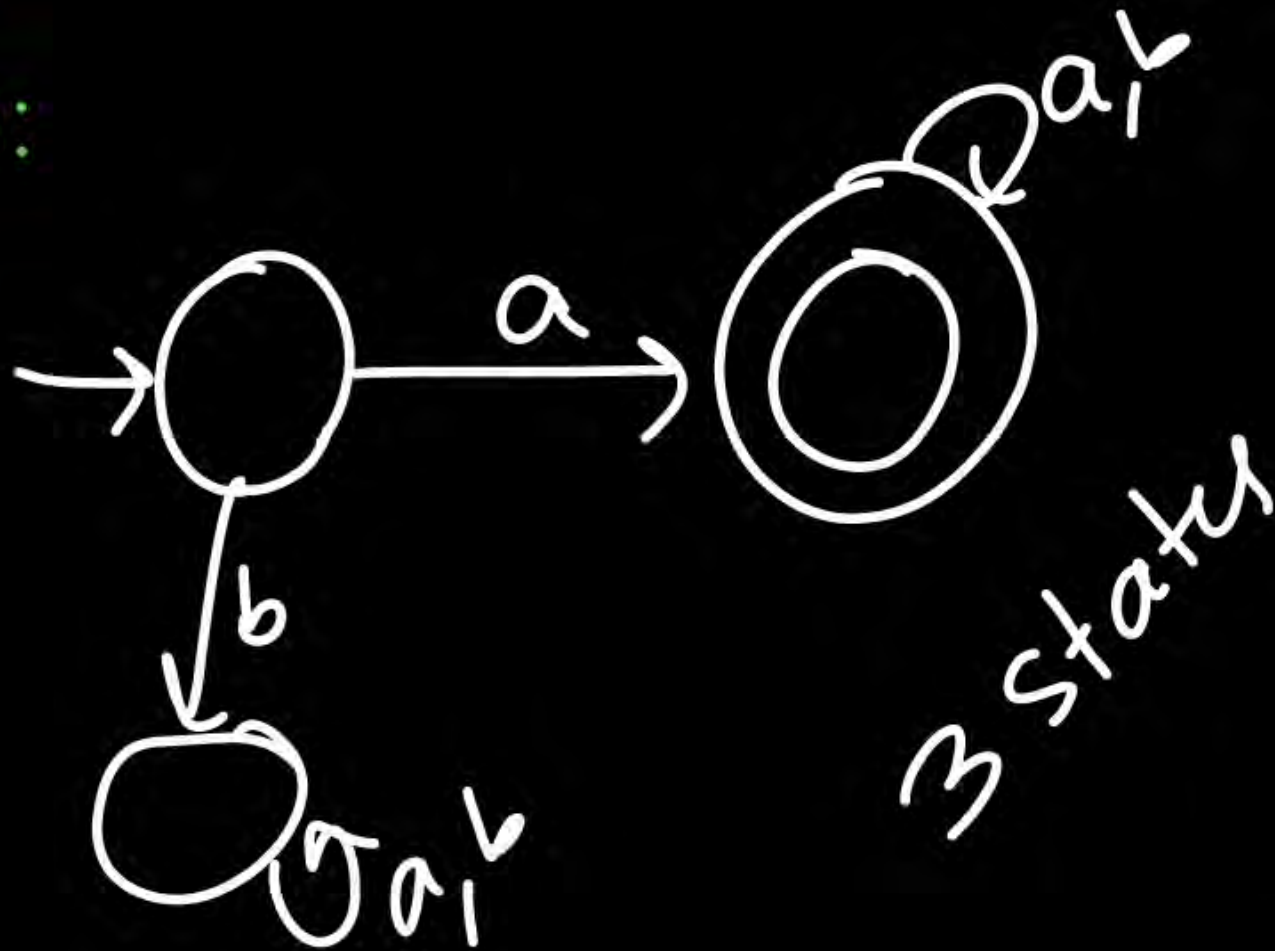
⑤  $L = \{w \mid w \in \{a,b\}^*, w \text{ starts with 'a'}\}$



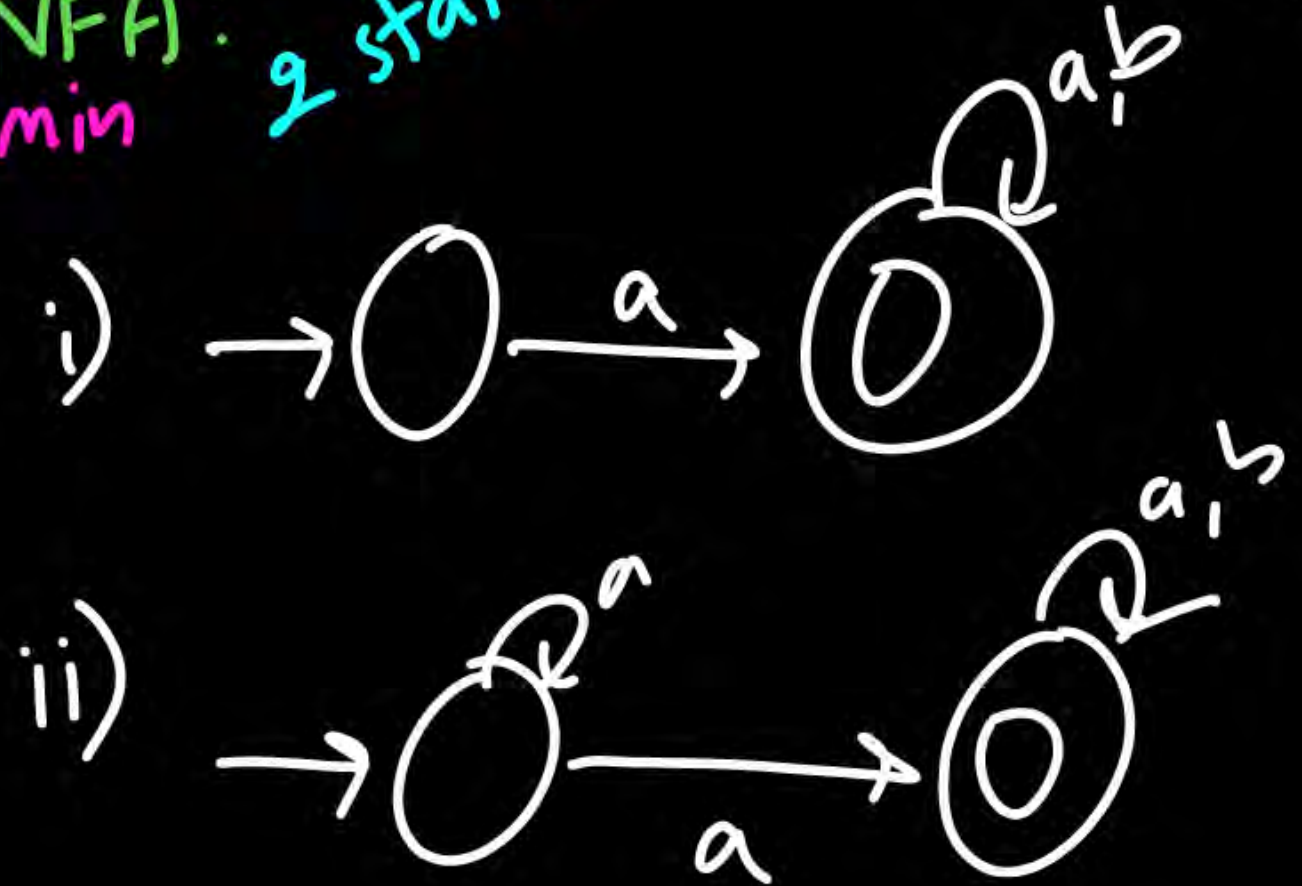
Regular Exp:

$$R = a(a+b)^* \\ = (ab^*)^+$$

min  
DFA:



NFA:  
min 2 states



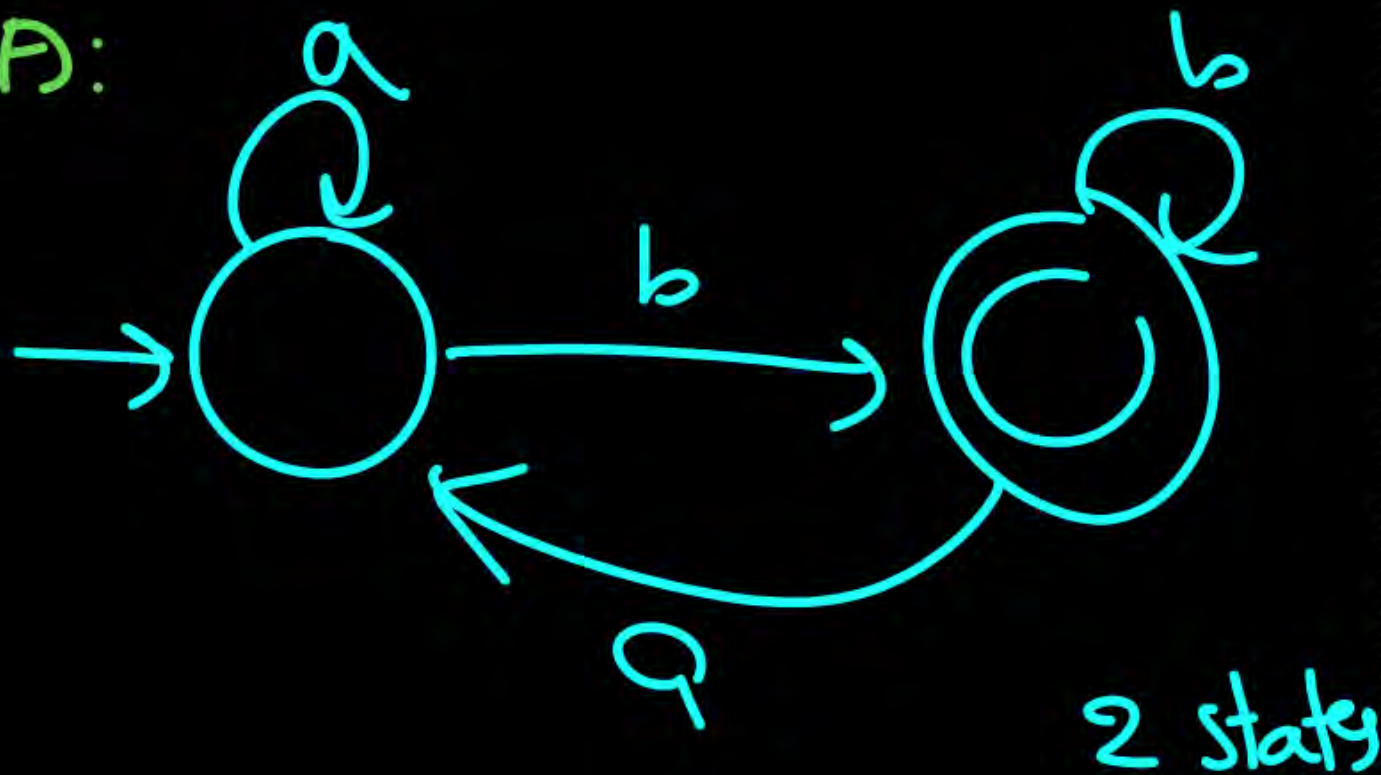


⑥  $L = \{w \mid w \in \{a,b\}^*, w \text{ ends with } b\}$

Regular Exp:

$$R = (a+b)^* b \\ = (a^* b)^+$$

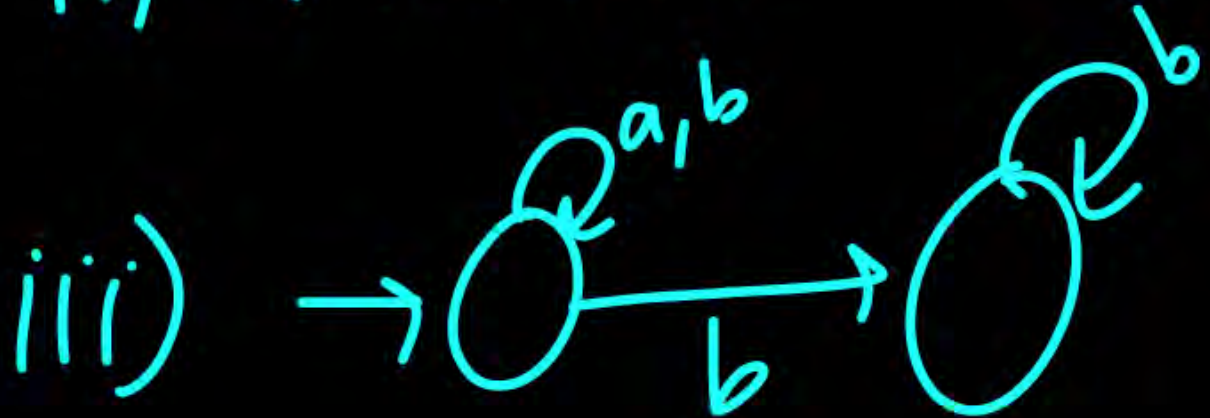
Min  
DFA:



NFA:  
Min



ii) min DFA



⋮



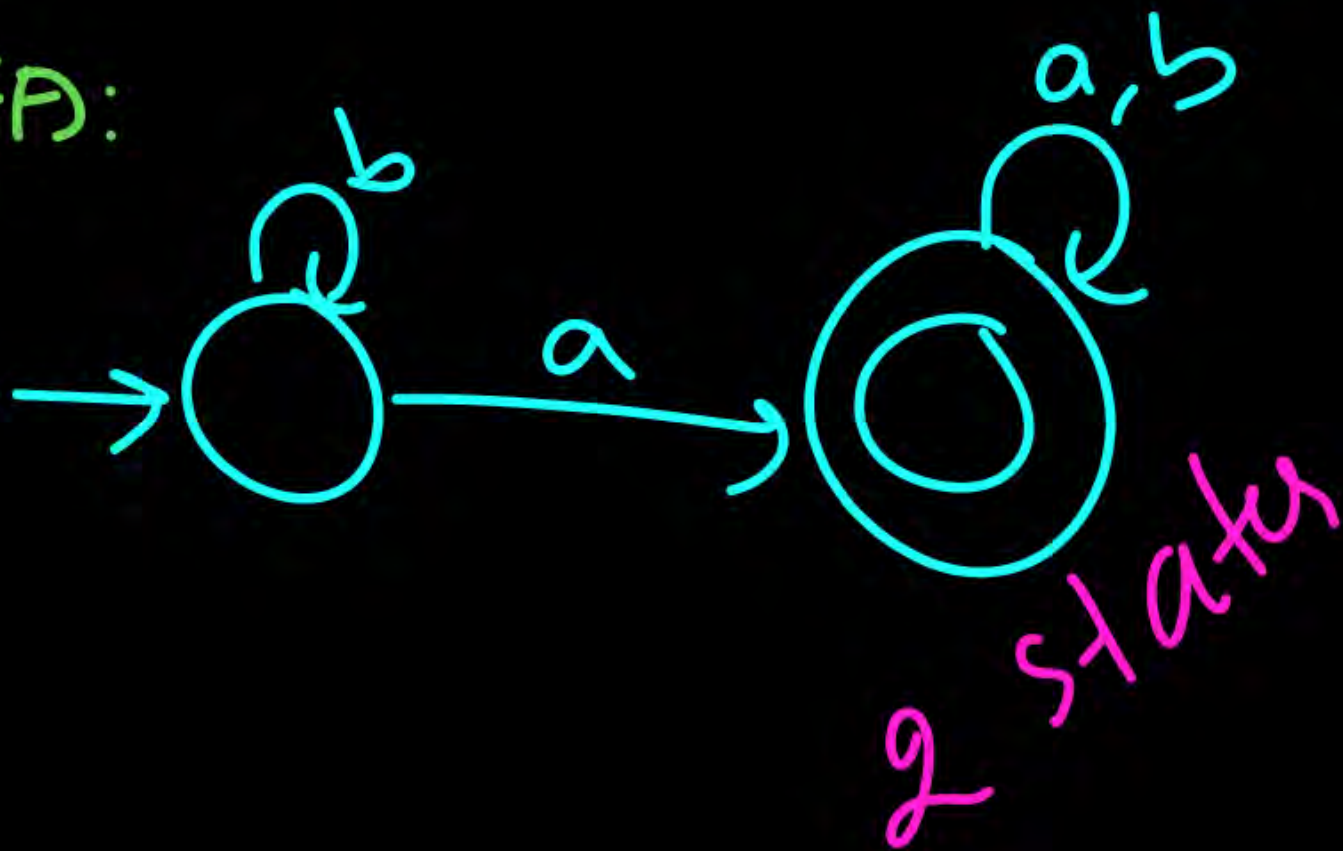


⑦  $L = \{w \mid w \in \{a,b\}^*, w \text{ contains } a\}$

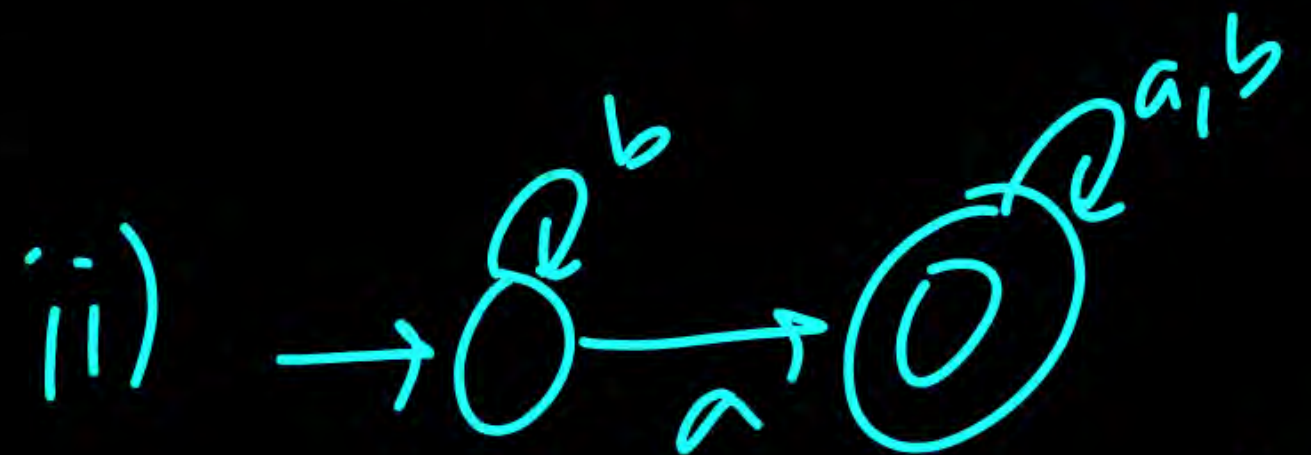
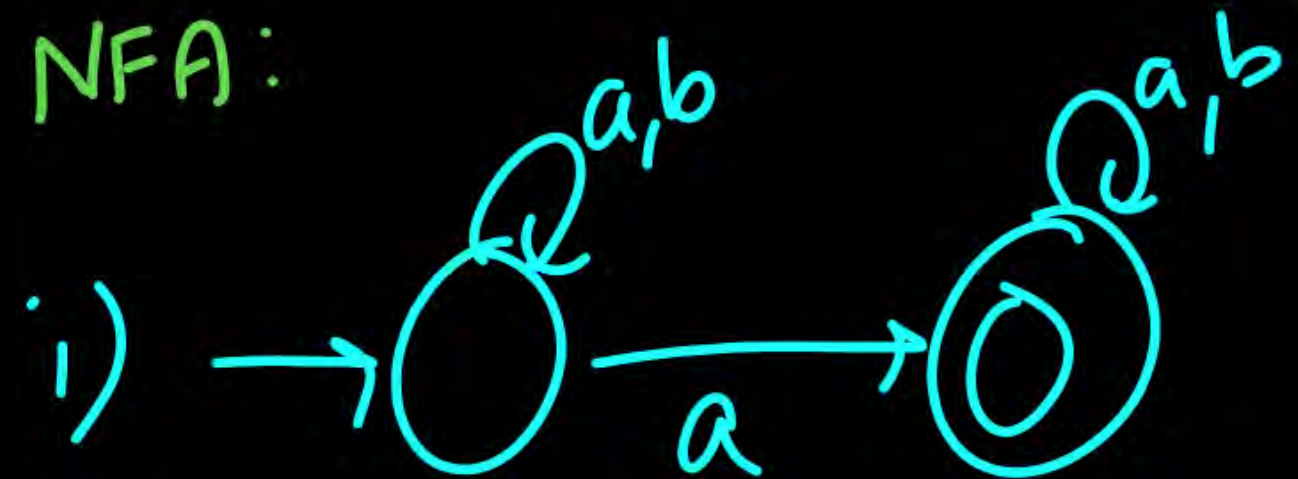
Regular Exp:

$$R = \Sigma^* a \Sigma^* \\ = b^* a (a+b)^*$$

DFA:



NFA:



2 states



⑧  $L = \{w \mid w \in \{a,b\}^*, |w| = 2\}$



Regular Exp:

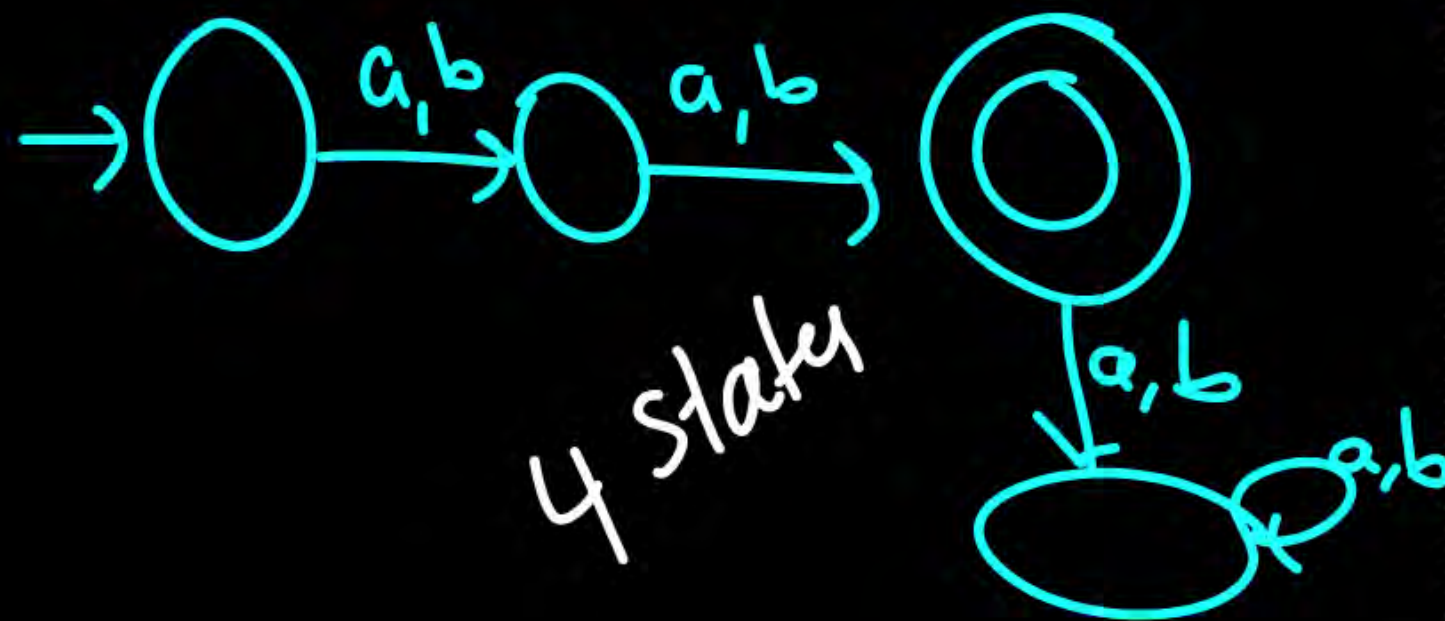
$$= (a+b)^2$$

NFA:



3 states

DFA:



4 states

If  $|w| = K$

then

i)  $n(\text{min DFA}) = K + 2$

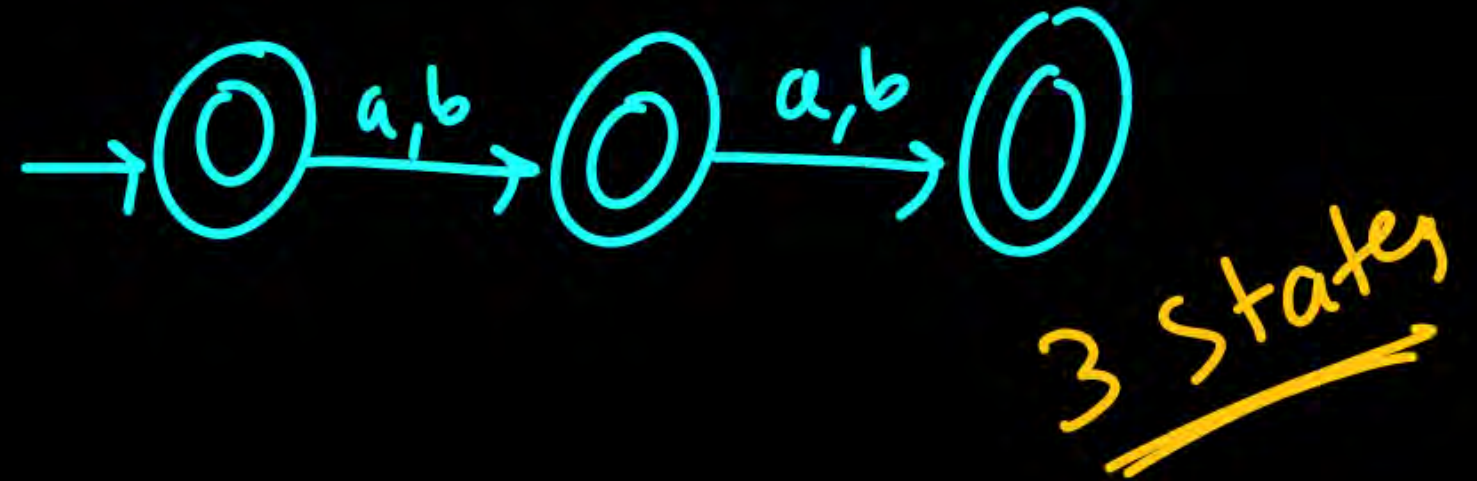
ii)  $n(\text{min NFA}) = K + 1$

⑨  $L = \{w \mid w \in \{a,b\}^*, |w| \leq 2\}$

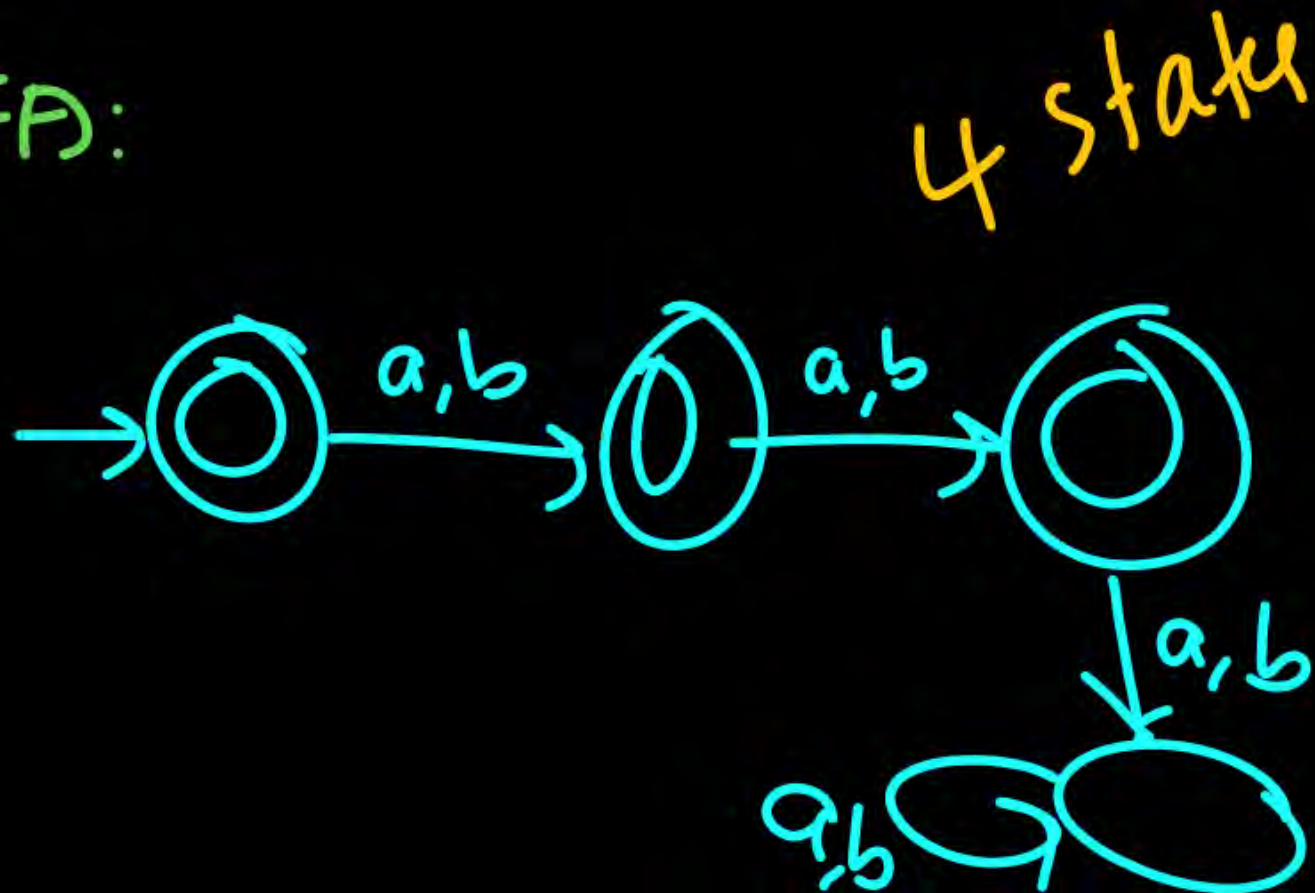
Regular Exp:

$$= (\epsilon + a + b)^2$$

NFA:



DFA:



If  $|w| \leq K$  then

- i)  $n(\text{Min DFA}) = K + 2$
- ii)  $n(\text{Min NFA}) = K + 1$



⑩  $L = \{w \mid w \in \{a,b\}^*, |w| \geq 2\}$

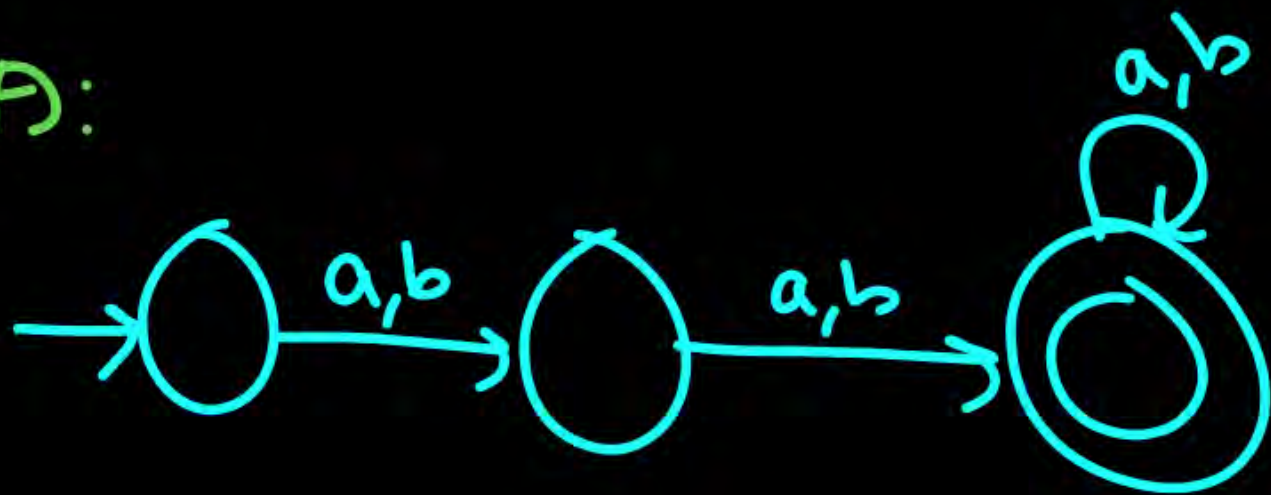
Regular Exp:

$$= (a+b)^2 (a+b)^*$$

$$= (a+b)^* (a+b)^2$$

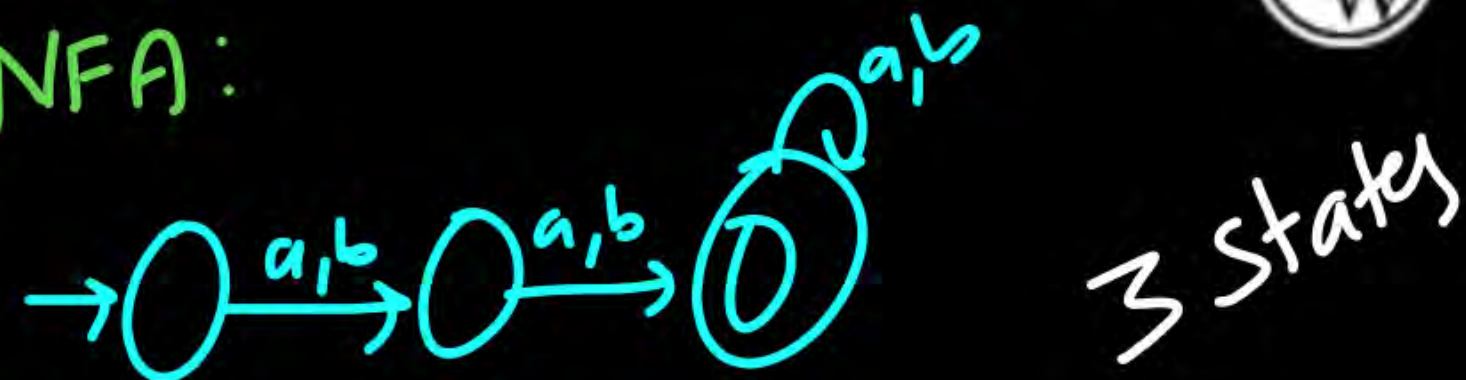
$$= (a+b) (a+b)^* (a+b)$$

DFA:

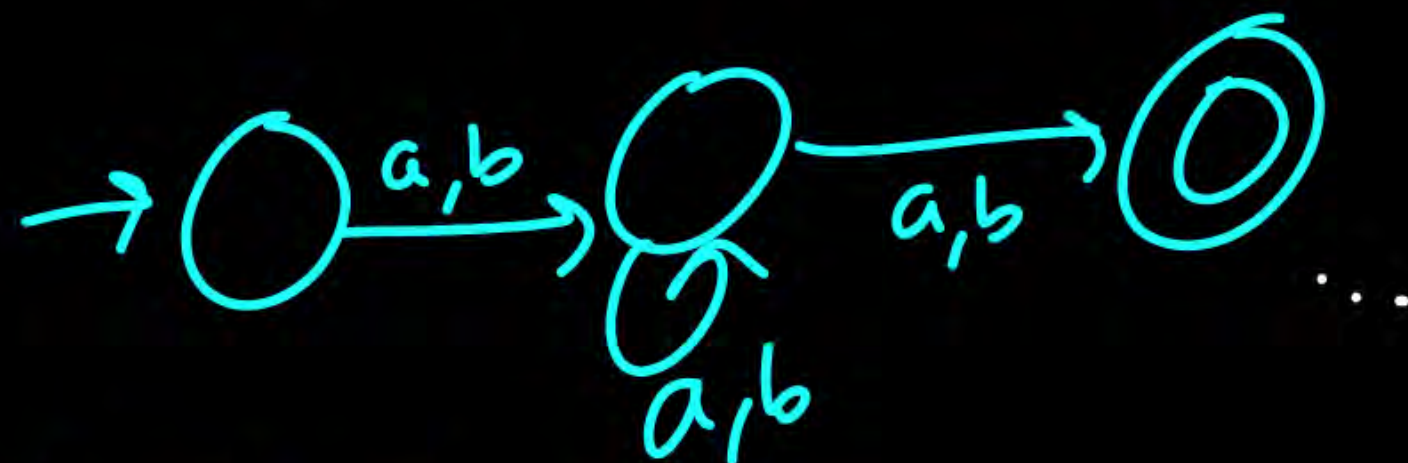
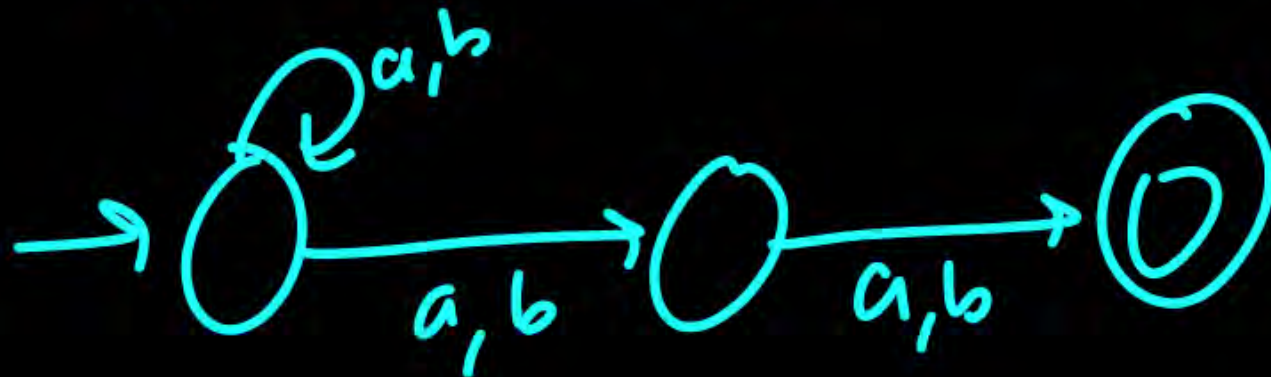


3 States

NFA:



3 States



If  $|w| \geq K$  then

i)  $n(\text{Min DFA}) = K + 1$

ii)  $n(\text{Min NFA}) = K + 1$

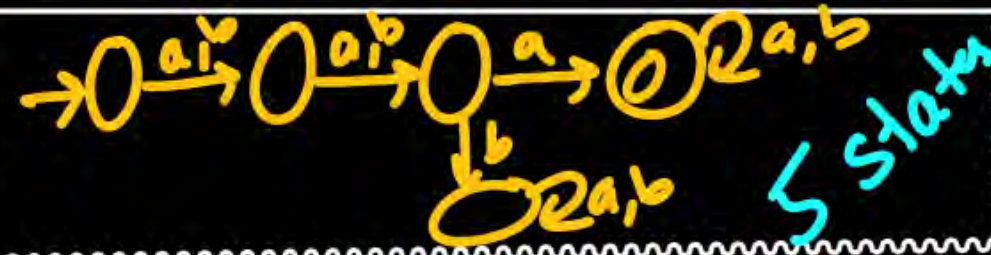


# Min DFA

# Min NFA



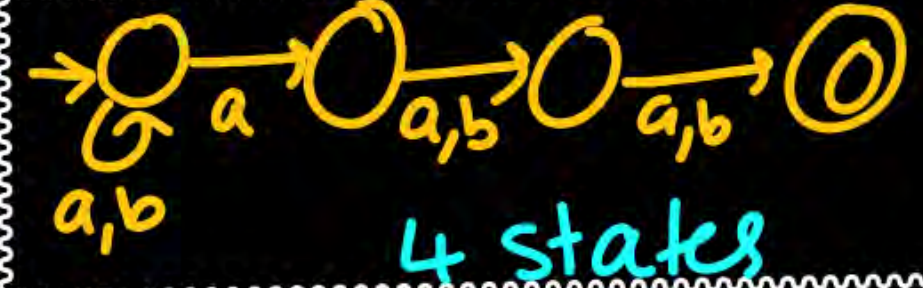
⑪  $L = (a+b)^2 a (a+b)^*$



4 states

⑫  $L = (a+b)^* a (a+b)^2$

8 States



⑬  $b^* a b^* a b^*$

4 states



⑭  $b^* (a+\epsilon) b^* (a+\epsilon) b^*$

4 states

3 states

⑮  $\Sigma^* a \Sigma^* a \Sigma^*$

3 states

3 states



Note:  $w \in \{a, b\}^*$

	Min DFA	min NFA
I) $ w  = K \Rightarrow$	$K+2$	$K+1$
II) $ w  \leq K \Rightarrow$	$K+2$	$K+1$
III) $ w  \geq K \Rightarrow$	$K+1$	$K+1$
IV) $\#_a(w) = K \Rightarrow$	$K+2$	$K+1$
V) $\#_a(w) \leq K \Rightarrow$	$K+2$	$K+1$
VI) $\#_a(w) \geq K \Rightarrow$	$K+1$	$K+1$
VII) $K^{\text{th}}$ symbol is 'a'	$K+2$	$K+1$
VIII) $K^{\text{th}}$ symbol from end is 'a'	$2^K$	$K+1$

Note: **I**  $K^k$  symbol from begin is 'a'  $\Sigma = \{a, b\}$

i)  $n(\text{Min DFA}) = K + 2$

ii)  $n(\text{Min NFA}) = K + 1$

**II**  $K^k$  symbol from end is 'a'

i)  $n(\text{Min DFA}) = 2^K$

ii)  $n(\text{Min NFA}) = K + 1$



Min DFA

Min NFA

①⑥  $L = aaa(a+b)^*$

5

4

①⑦  $L = (a+b)^*aaa$

4

4

①⑧  $L = (a+b)^*aaa(a+b)^*$

4

4

①⑨  $L = (a+b)^2 a (a+b)^3 b (a+b)^*$

9

8

②⑩  $L = (a+b)^{\overset{1}{1}} \overset{2nd}{a} (a+b)^{\overset{7}{7}} \overset{10th}{a} (a+b)^*$

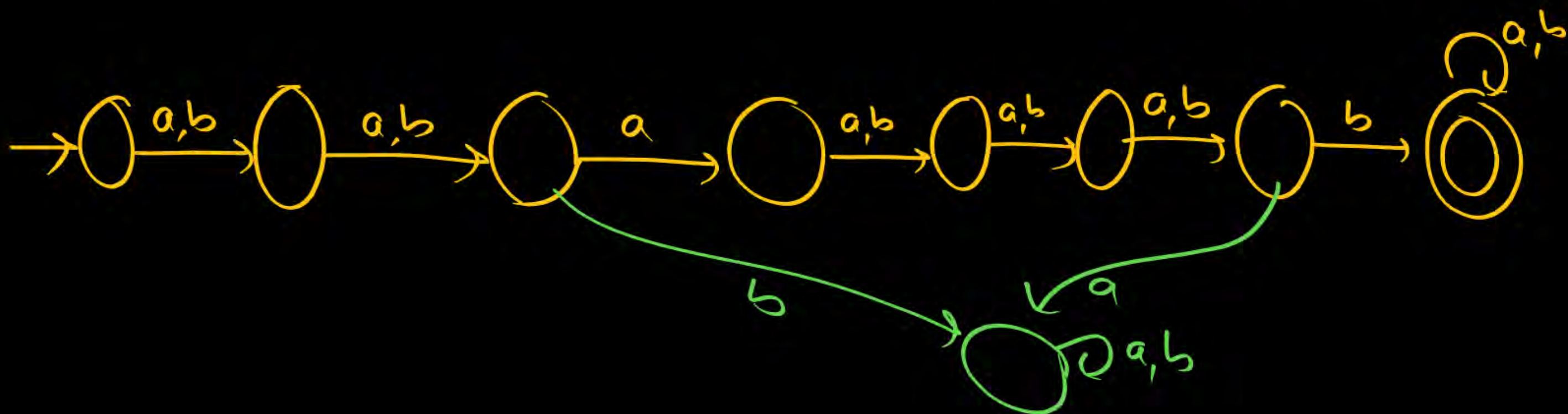
12

11

10 length min

$$L = (a+b)^2 a (a+b)^3 b (a+b)^*$$

$$= \left\{ w_1 x w_2 y w_3 \mid w_1, x, w_2, y, w_3 \in (a+b)^*, \right. \\ \left. x = a, y = b, |w_1| = 2, |w_2| = 3 \right\}$$





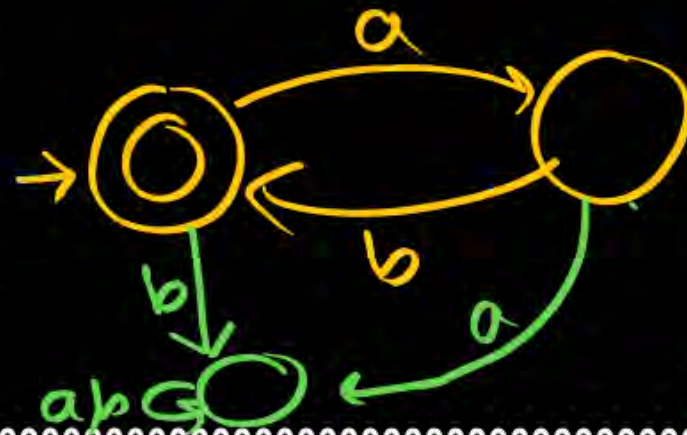
Min DFA

Min NFA

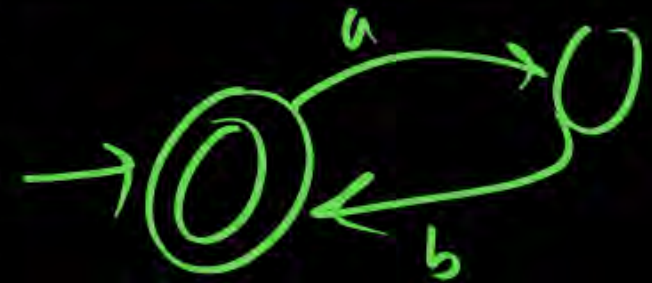


(21)  $L = (ab)^*$

$= \{\epsilon, ab, abab, (ab)^3, \dots\}$



3 states



2 states

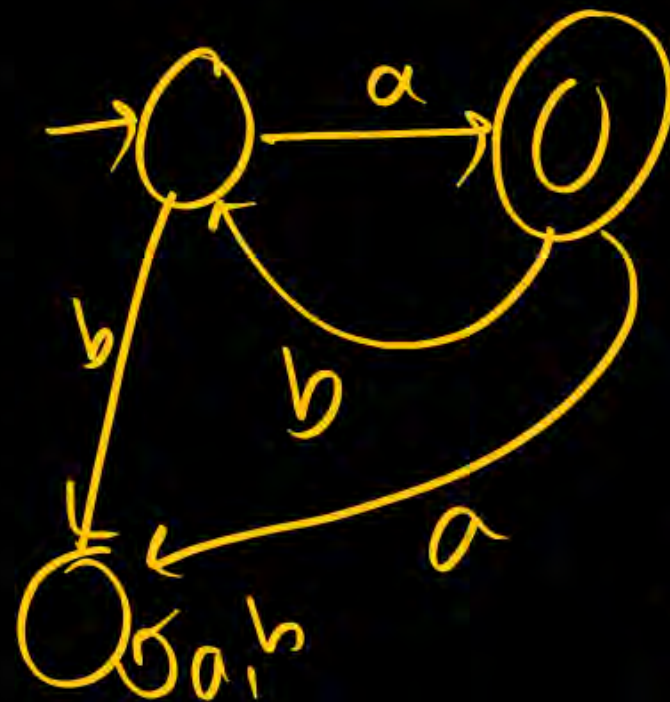
(22)  $L = (ba)^*$

3 states

2 states

(23)  $L = a(ba)^*$   
 $= \{a, abab, ababab, \dots\}$

$= (ab)^*a$



3 states



2 states

$a(a+b)^*$   
starting with 'a'  $\neq$

$a(ba)^*$   
 ~~$L = \text{set of all strings starting with 'a'}$~~



$a(ba)^*$   
some strings  
starting with 'a'  $\subset$

$a(a+b)^*$   
All strings starting with 'a'



NFA  
without  $\epsilon$  moves

$$\delta: Q \times \Sigma \rightarrow 2^Q$$



not "NFA  
without  
 $\epsilon$  moves"

NFA  
with  $\epsilon$  moves

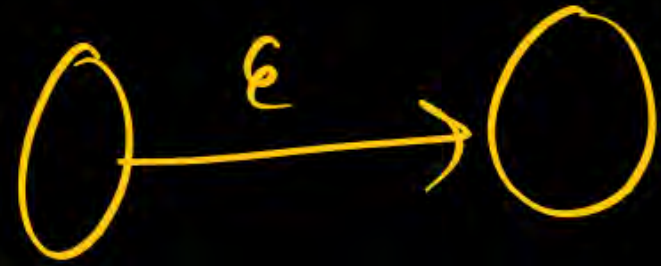
$$\delta: Q \times \Sigma \cup \{\epsilon\} \rightarrow 2^Q$$



NFA with  $\epsilon$  moves



Transition  
(move)



$$|\epsilon| = 0$$

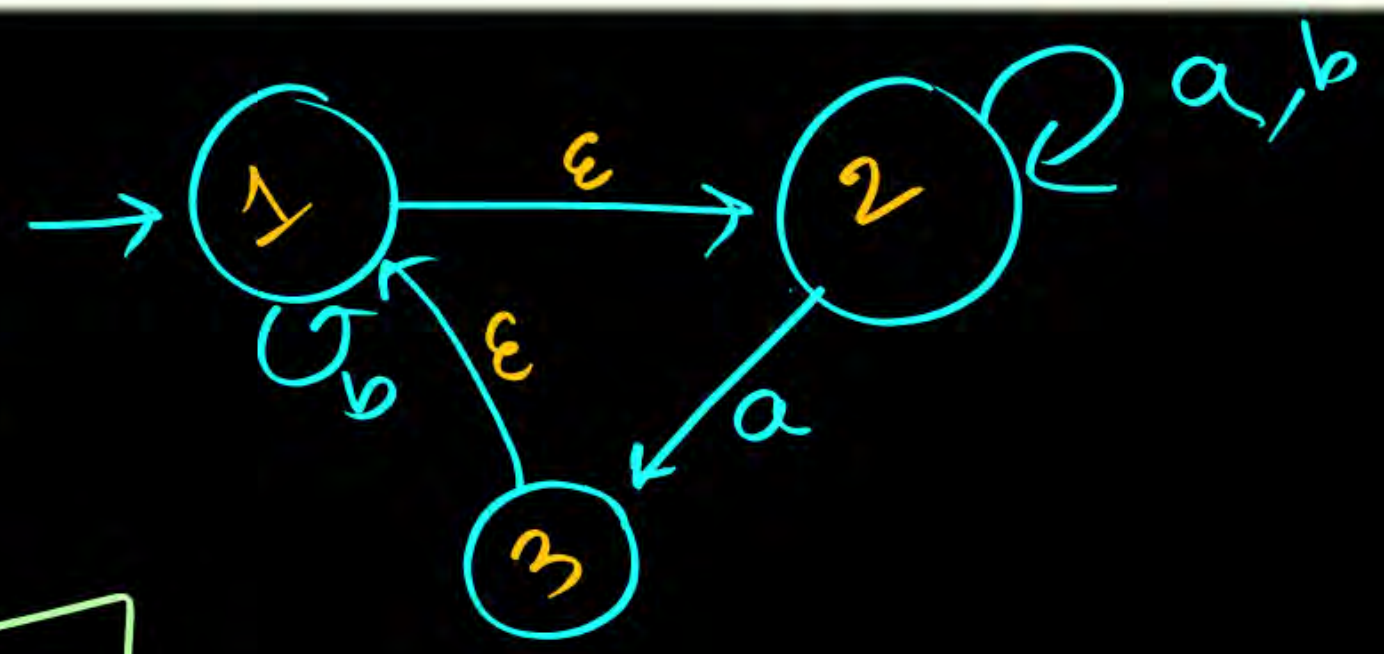
Transition with no i/p symbol

Transition with zero i/p

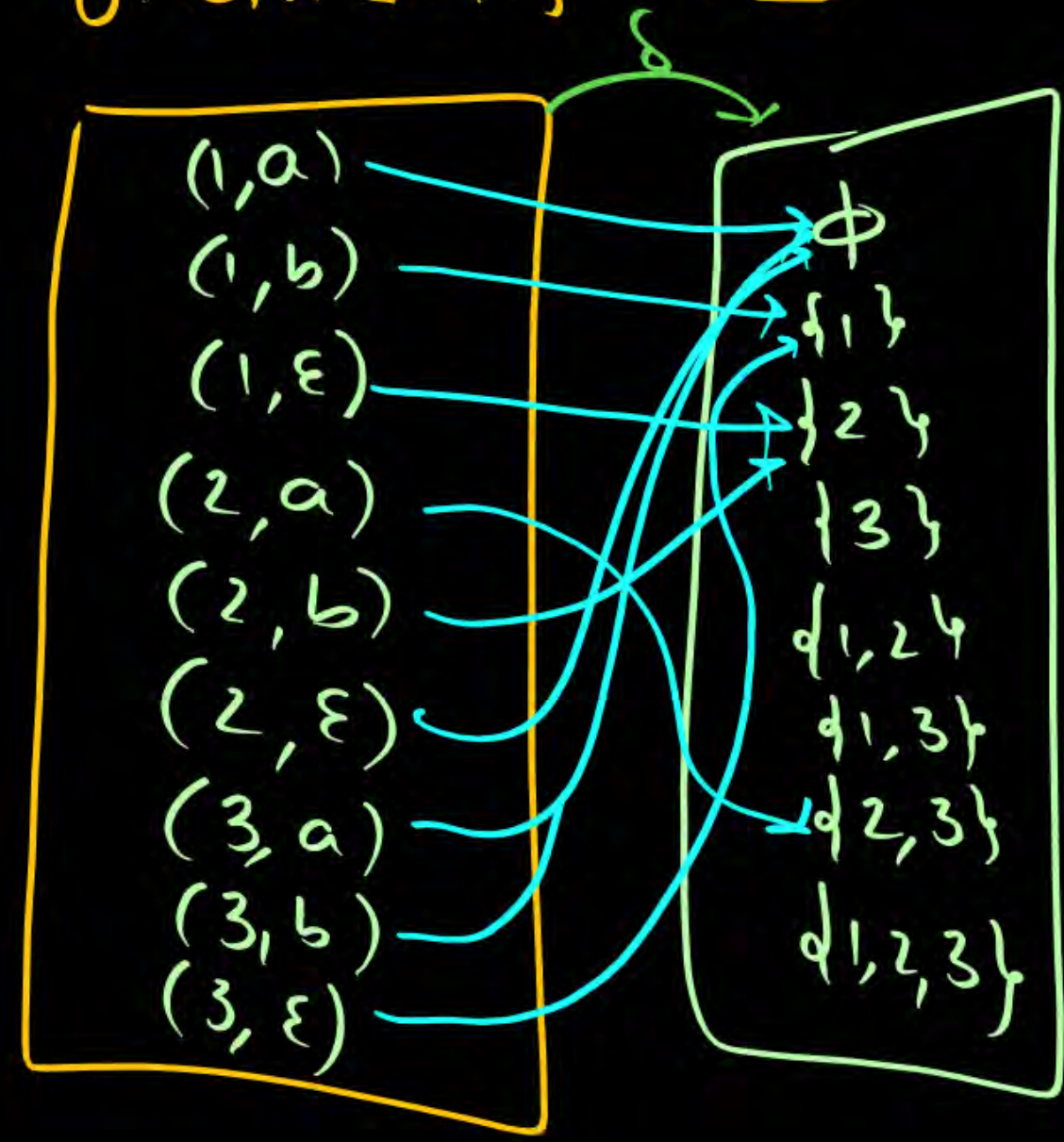
Transition with  $\epsilon$



$$\delta: Q \times \Sigma \cup \{\epsilon\} \rightarrow 2^Q$$



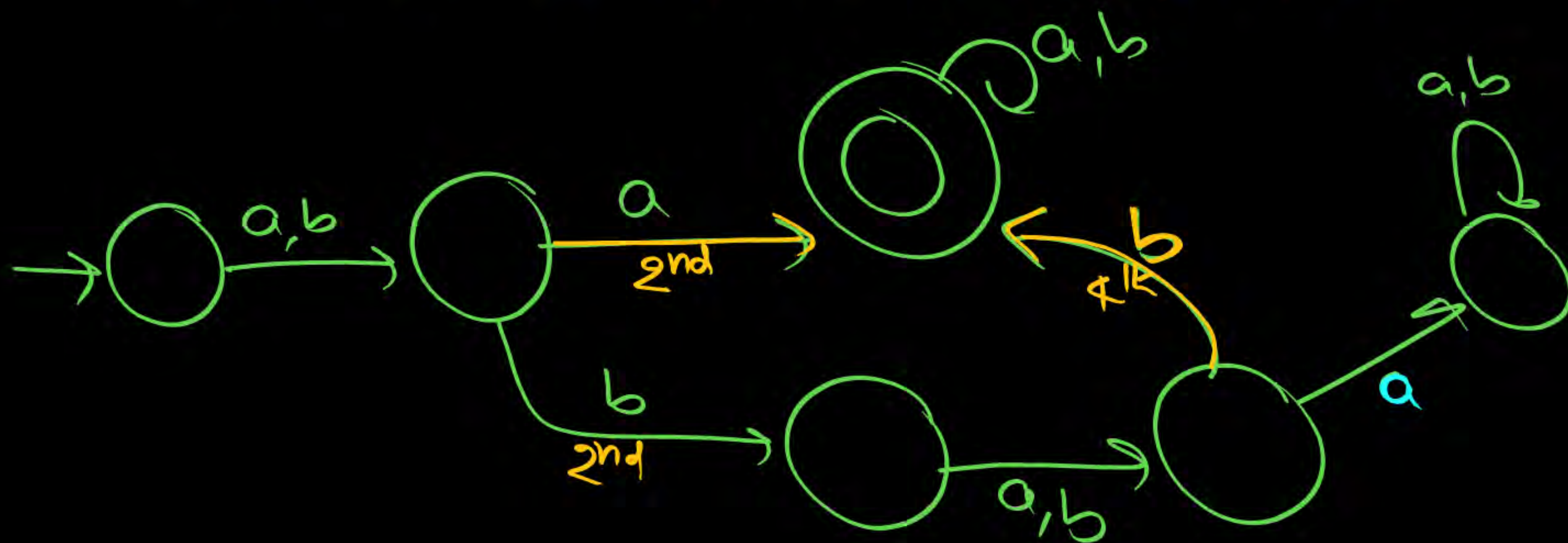
not DFA  
 not "NFA without  $\epsilon$  moves"  
 It is NFA.



Doubt 1:

$$L = \underbrace{(a+b) a (a+b)^*}_{\text{2nd is 'a'}} + \underbrace{(a+b)^3 b (a+b)^*}_{\text{4th is 'b'}}$$

$$= (a+b) a (a+b)^* + (a+b) b (a+b) b (a+b)^*$$

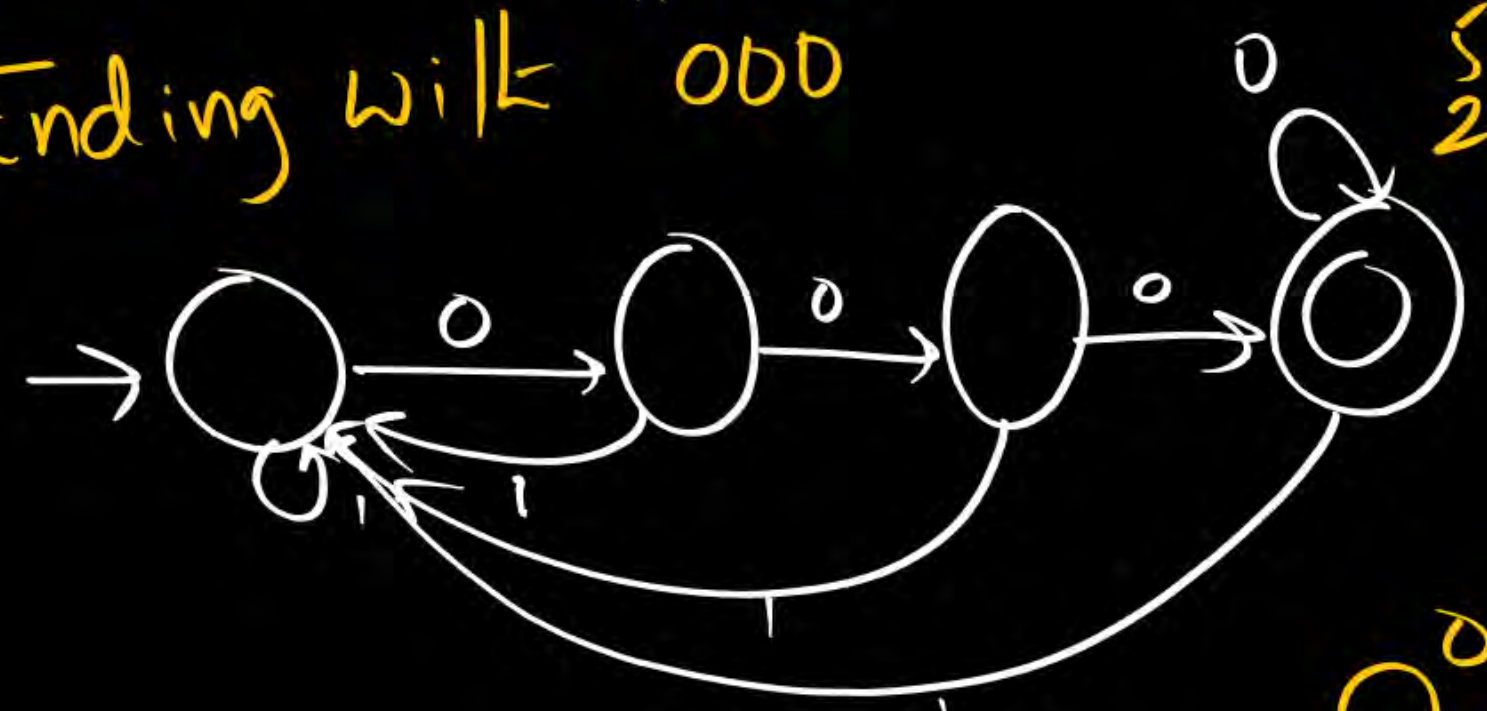




Doubt 2  
H.W. 1  
Special problem

$$L = \{w \mid w \in \{0,1\}^*, \text{Dec}(w) \text{ is div by } 8\}$$

Method 1: Ending with "000"



5	4	3	2	1	0
2	2	2	2	2	2

0  
0 0  
0 0 0

No 1 should appear in last 3 bits.



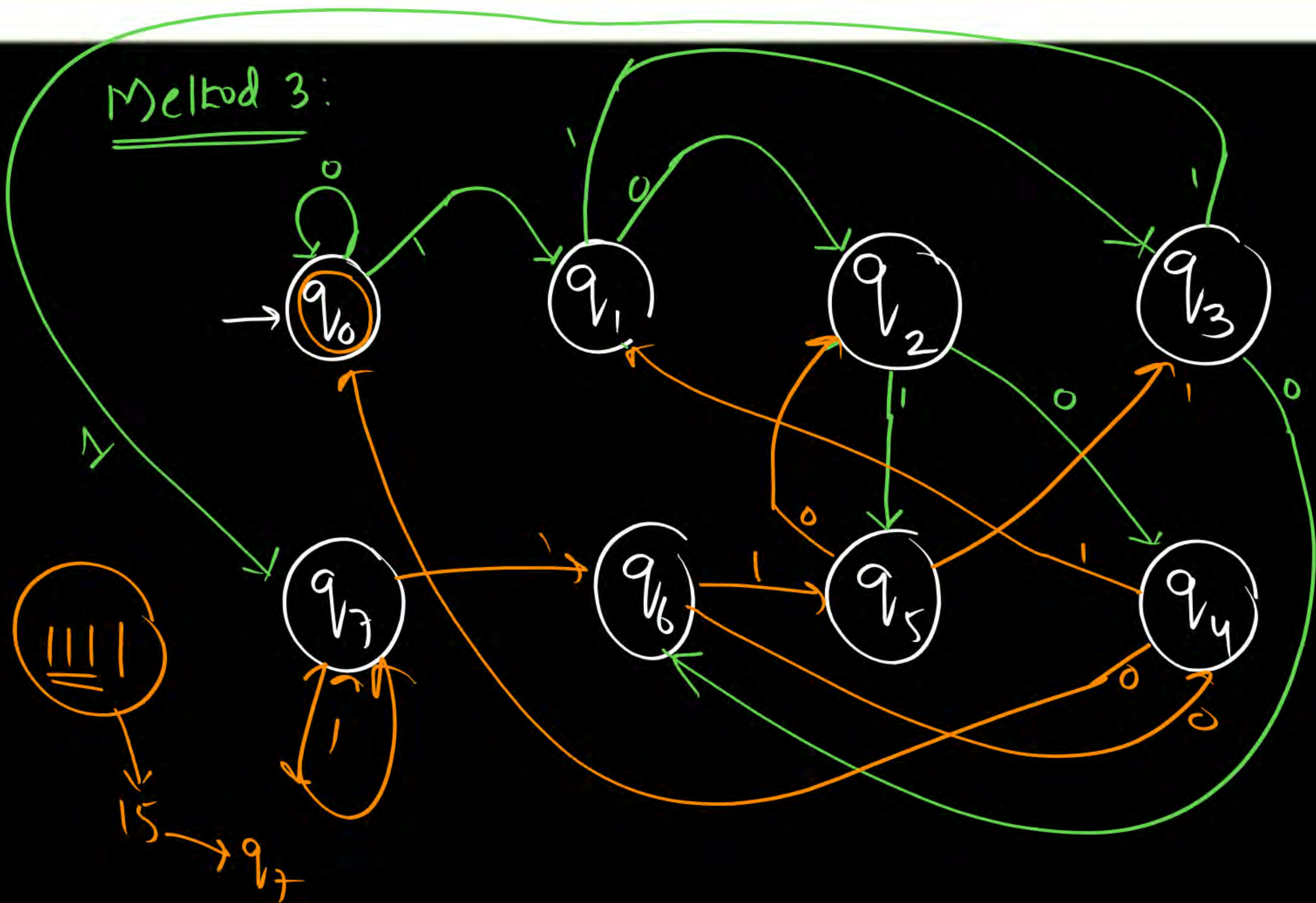
Metod 2:

	0	1
* $q_0$	$q_0$	$q_1$
$q_1$	$q_2$	$q_3$
$q_2$	$q_4$	$q_5$
$q_3$	$q_6$	$q_7$
$q_4$	$q_0$	$q_1$
$q_5$	$q_2$	$q_3$
$q_6$	$q_4$	$q_5$
$q_7$	$q_6$	$q_7$

minimization of DFA  $\Rightarrow$  4 states



Metod 3:



Bin	Dec	Rem
0	0	$q_0$
1	1	$q_1$
10	2	$q_2$
11	3	$q_3$
100	4	$q_4$
101	5	$q_5$
110	6	$q_6$
111	7	$q_7$
1000	8	$q_0$
1001	9	$q_1$
1010	10	$q_2$

