

## Module-11-Introduction to Algorithms

## Union find

## Understanding Union-Find

Understanding Union-Find	
<b>Purpose:</b>	Union-Find is primarily used to manage a partition of a set into disjoint subsets and is highly efficient in checking and updating the connections between components.
<b>Applications:</b>	<ul style="list-style-type: none"> <li>Efficiently determines whether two elements are in the same subset.</li> <li>Can quickly unite two subsets into a single subset.</li> </ul>
<b>Disjoint Sets:</b>	<ul style="list-style-type: none"> <li>Disjoint sets are those that have no common elements.</li> <li>Example: <math>S1 = \{1, 2, 3\}</math> and <math>S2 = \{4, 5, 6\}</math> are disjoint.</li> <li>Sets <math>S3 = \{1, 2, 5\}</math> and <math>S4 = \{5, 6, 7\}</math> are not disjoint since they share an element.</li> </ul>
<b>Operations:</b>	<ul style="list-style-type: none"> <li><b>Union:</b> Combines two subsets into a single subset.</li> <li><b>Find:</b> Determines which subset a particular element is in.</li> <li>Used in scenarios where the graph is dynamic, making it preferable over static graph analysis methods like DFS when edges are added over time.</li> </ul>

**Union-Find** is a valuable data structure for managing a collection of disjoint sets. It provides an efficient method for determining the connected components in a graph and is particularly useful for cycle detection. While Depth First Search (DFS) can also be used for detecting cycles by maintaining a set of visited nodes, it is more suited to static graphs where the structure does not change over time. Conversely, Union-Find excels in dynamic scenarios where the graph may be updated with new edges or vertices.

**Disjoint Sets:**

At the heart of Union-Find is the concept of *disjoint sets*. These are sets that do not share any elements; formally, they are sets whose intersection is the empty set. For example, consider two sets:

- $S_1 = \{1, 2, 3\}$
- $S_2 = \{4, 5, 6\}$

$S_1$  and  $S_2$  are disjoint because there is no element common to both. On the other hand, sets  $S_3 = \{1, 2, 5\}$  and  $S_4 = \{5, 6, 7\}$  are not disjoint as they both contain the element 5.

**Operations:** Union-Find supports two primary operations:

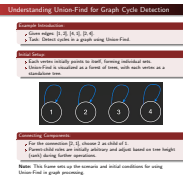
- **Find:** Determines the set to which a particular element belongs. This can also be used to check if two elements are in the same set.
- **Union:** Merges two disjoint sets into a single set. This operation is based on the principle that the two sets being merged should initially be disjoint.

These operations are typically implemented with optimizations such as *path compression*

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## Understanding Union-Find for Graph Cycle Detection

**Concept**

Consider a scenario where we are given an array of edges, such as  $\{[1, 2], [4, 1], [2, 4]\}$ . Each pair in this array represents an undirected edge connecting two vertices. For instance, vertex 1 is connected to vertex 2, and so forth.

**Union-Find as a Forest of Trees:** Initially, every vertex operates independently, forming its own set. In Union-Find terminology, each vertex initially points to itself, indicating that it is its own parent.

**Connecting Components:** To illustrate, when connecting vertex 2 to vertex 1, we may decide that vertex 2 will become the child of vertex 1. The selection of the parent in such cases is arbitrary unless the sets being united differ significantly in size or "rank" (a concept used to optimize Union-Find operations).

**Union and Find Operations:** Union-Find is primarily defined by two operations:

- Find:** This operation determines the "root" or the ultimate parent of a vertex. If two vertices have the same root, they belong to the same set.
- Union:** This operation merges two disjoint sets into a single set. It utilizes the Find operation to determine the roots of the vertices and then merges the sets by linking one root to the other.

These operations are critical in preventing cycles when building a graph. For instance, before connecting two vertices, the Find operation can check if they already share a root, which would indicate that connecting them would create a cycle.

**Implementation Notes**

When implementing Union-Find, especially for graph-related operations like cycle detec-

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## Implementation of Union-Find

To implement Union-Find, we can have a UnionFind class, using which we can instantiate our parent hashmap and our rank hashmap. Some people like to use arrays instead of hashmaps, and that is also a valid option.

## Implementation of Union-Find

## UnionFind Class

## Data Structures:

- **Parent Map:** Tracks the parent of each node.
- **Rank Map:** Tracks the "rank" of each node in the forest, used to optimize union operations.

## Initialization:

- Each node is its own parent initially.
- Each node has an initial rank of 0.

## Choice of Data Structures:

- Using hashmaps for flexibility and ease of use.
- Arrays can also be used, especially when vertex identifiers are sequential and unimodal.

```
class UnionFind:
    def __init__(self, n):
        self.parent = {}
        self.rank = {}
```

```
for i in range(1, n + 1):
    self.parent[i] = i
    self.rank[i] = 0
```

## Methods

- **Find:** Path compression to keep trees flat.
- **Union:** Union by rank to ensure that the smaller tree is always attached under the larger tree, preventing deep trees.

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#### Implementation of 'Find' in Union-Find

**Implementation of 'Find' in Union-Find**

**Purpose**  
 Locate the root parent of a given vertex, employing path compression to optimize future lookups.

**Implementation**  
 • Input: A vertex  $n$ .  
 • Output: The root parent of vertex  $n$ .

```
def find(n):
    p = n
    while p != n:
        p = n
        n = n.parent[p]
    return p
```

**Path Compression:**  
 • Reduces the height of the tree by making nodes directly point to their grandparent.  
 • Improves the efficiency of future 'find' operations.

Our find function will take a vertex,  $n$ , as an argument and return its parent. We can do this by using our parent hashmap where the key is the vertex and the value is the parent. If a vertex is a parent to itself, we can just return the vertex itself.

**Path Compression** As we are performing union on a large number of vertices, it can end up creating a pretty long chain, similar to a long linked list. Then, to determine if two nodes are part of the same component, it will take us a lot of steps. However, we can reduce the amount of these steps by traversing up two vertices at a time instead of one. This would mean that when we are going up the tree, the parent is actually the grandparent. This won't have any improvements in performance the first time but if we ever encounter the same vertex again, we can retrieve the parent immediately. This is referred to as path compression.

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## Union find

## Union Operation in Union-Find

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## Kruskal's

## Kruskal's Algorithm Implementation

### Kruskal's Algorithm Implementation

**Implementation Details:**

- Sorts all edges by weight in ascending order.
- Iterates through edges, adding them to the MST if they do not create a cycle or result in a vertex with a degree greater than 2.

```

def kruskal(edges):
    # Sort edges by weight
    edges.sort(key=lambda x: x[2])
    # Initialize MST and parent array
    mst = []
    parent = {}
    for node in range(1, n+1):
        parent[node] = node
    # Iterate through sorted edges
    for edge in edges:
        u, v, weight = edge
        # Find root of u and v
        root_u = find(parent, u)
        root_v = find(parent, v)
        # If roots are different, add edge
        if root_u != root_v:
            mst.append(edge)
            # Union the sets
            parent[root_u] = root_v
    return mst
  
```

**Time Complexity:**  $O(E \log E)$  due to sorting edges.

**Space Complexity:**  $O(V)$  for the parent array.

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## Topological Sort

## Topological Sort: The Idea

## Topological Sort: The Idea

## Definition

- Topological sort is a linear ordering of vertices in a directed acyclic graph (DAG), where for each directed edge  $u \rightarrow v$ , vertex  $u$  comes before  $v$  in the ordering.
- This sorting is particularly useful in scenarios where you need to understand the sequence of tasks or events, like prerequisites in university courses.
- In a DAG, if there's a path from vertex  $u$  to vertex  $v$ ,  $u$  must appear before  $v$  in the order.
- It's possible to topologically sort vertices while respecting all precedence relationships.

## Prerequisite University Courses

- Consider a university offering six modules in a 3000, where edges represent prerequisite requirements.
- Some courses (labeled  $u$ ) can be taken without prerequisites, while others depend on the completion of prior courses.
- Topological sort of this graph would provide an order in which to take the courses, ensuring all prerequisites are met.
- Example: If Course C depends on Courses B and A, then B and A will appear before C in the topological ordering.

## Topological Sorting Algorithm

- It's applicable only to DAGs. If a cyclic graph does not have a valid topological ordering.
- The order is not necessarily unique. There can be multiple valid topological sorts for a given graph.
- Topological sorts are used in scheduling tasks, ordering compiler tasks, resolving symbol dependencies in libraries, etc.

## Module-11-Introduction to Algorithms

## └ Topological Sort

## └ Example 1

Example 1

**Illustration: University Courses**

- Consider university courses as nodes in a DAG, where edges represent prerequisite requirements.
- Some courses (nodes) can be taken without prerequisites, while others depend on the completion of prior courses.
- A topological sort of this graph would provide an order in which to take the courses, ensuring all prerequisites are met.
- Example: If Course C depends on Courses A and B, then A and B will appear before C in the topological ordering.



## Topological Sort

The idea Topological sort is a way of sorting a directed acyclic graph (DAG) such that each node comes before its dependent nodes. A simple example of this is university courses. There are some courses that can be taken without any pre-requisites and then there are those that have pre-requisites, i.e. you cannot take them unless you have taken other courses first.

In other words, some courses can be taken independent of other courses and others have to be taken in a specific order. We can represent this scenario using a DAG, where the edges represent the dependencies between the courses.

So, if we have node C and it has node A and B as its dependents, A and B will appear before C in the topological ordering. What order they appear in is not important unless A and B also have a dependency on each other.



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## └ Topological Sort

## └ Example-II

Example-II



Suppose we are given the following directed acyclic graph (DAG). The topological ordering for this graph would be A,B,C,D,E,F. Notice that each node appears before its dependent node.

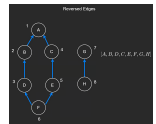
This is a rather simple example. We mentioned previously that topological sort works on acyclic graphs. What if we had a cycle in our graph? Let's take a slight modification of the graph above and apply the same concept to it. In this case, we have an edge coming out of E, going into A. The order would be: E,A,B,C,D,E,F. This actually contradicts the idea of topological sort since it is not possible to have E before A, and also after A. This would be like saying to take course A, you must take course E first, but to take course E, you must take course A first - it is a cycle.

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## └ Topological Sort

## └ Example-III

Example-III



Even if there are no cycles allowed, topological sort will still work on disconnected graphs. If we have two connected components in a graph, the ordering in which we place the vertices of the individual disconnected components does not matter as they are independent of each other. The graph has two connected components and one possible valid ordering could be A,B,C,D,E,F,G,H.