MAA202: Analysis Homework I

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 4^{th} November 2019

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1 Exercise 1

1.1

Let $B = \bar{B}(0,1) = \{x \in E \mid ||x|| \le 1\}$ be the closed unit ball for the norm $||\cdot||$. Let us show that B is cool.

Let us prove that B is convex.

Take $x, y \in B$ and $t \in [0, 1]$. We want to prove that $tx + (1 - t)y \in B$. To do so, we prove that $||tx + (1 - t)y|| \le 1$.

$$||tx + (1-t)y|| \le ||tx|| + ||(1-t)y||$$
 by the triangle inequality
= $|t| \cdot ||x|| + |1-t| \cdot ||y||$ by homogeneity
 $\le t + (1-t)$ as $||x|| \le 1$ and $||y|| \le 1$
= 1

Which finally proves that B is convex.

We now prove that B is bounded.

Take $x \in B$ then $||x|| \le 1$ by definition of B. This proves that B is bounded by 1.

We now prove that B is symmetric with respect to 0.

Take $x \in B$ then $||x|| \le 1$ by definition of B and thus $|-1| \cdot ||x|| \le 1$ and by homogeneity $||-x|| \le 1$ which proves $-x \in B$.

We have therefore proved that B is symmetric with respect to 0.

We finally prove that $0 \in \mathring{B}$

Let $\widetilde{B} = B(0,1) = \{x \mid ||x|| < 1\}$ be the open unit ball. We know $\widetilde{B} \subset B$ and \widetilde{B} is open. As \mathring{B} is the union of all open sets of B, we get $\widetilde{B} \subset \mathring{B}$. By definition, $0 \in \widetilde{B}$ and hence $0 \in \mathring{B}$.

Finally, we have proved that B is cool.

1.2

We want to show that for a cool set X, and $\alpha, \beta \geq 0$, $\alpha X + \beta X = (\alpha + \beta)X$. We will proceed by double inclusion.

Let us first show that $\alpha X + \beta X \subseteq (\alpha + \beta)X$.

Take $a, b \in X$. Then $a\alpha + b\beta \in \alpha X + \beta X$. We then write:

$$a\alpha + b\beta = (\alpha + \beta) \left(\frac{\alpha}{\alpha + \beta} a + \frac{\beta}{\alpha + \beta} b \right)$$

We define $t := \frac{\alpha}{\alpha + \beta} \in [0, 1]$, and thus $\frac{\beta}{\alpha + \beta} = 1 - t$. We therefore have:

$$a\alpha + b\beta = (\alpha + \beta)(at + b(1 - t))$$

As X is a cool set, it is convex. Hence for $a,b\in X$, we have $c:=ta+(1-t)b\in X$. Therefore:

$$a\alpha + b\beta = (\alpha + \beta)c \in (\alpha + \beta)X$$

We have therefore proved that $\alpha X + \beta X \subseteq (\alpha + \beta)X$.

We now want to show that $\alpha X + \beta X \supseteq (\alpha + \beta)X$. Take $x \in (\alpha + \beta)X$. Then there exists $y \in X$ such that:

$$x = (\alpha + \beta)y$$

= $\alpha y + \beta y \in \alpha X + \beta X$

We therefore have proved that $\alpha X + \beta X \supseteq (\alpha + \beta)X$.

Finally, by double inclusion, we have proved that $\alpha X + \beta X = (\alpha + \beta)X$.

1.3

We now define a function on E by setting for ever $x \in E$,

$$N_X(x) = \inf\{|\alpha| \mid x \in \alpha X\}$$

We now show that N_X is well-defined and that it defines a norm on E.

1.3.a

We want to show that for each $x \in E$, the set $N := \{\alpha \mid x \in \alpha X\}$ is not empty.

We start from the fact that $0 \in \mathring{X}$.

Then, there exists an r > 0 such that $B(0, r) \subset X$.

We define $\alpha:=\frac{2||x||}{r}$. We know that $B(0,\alpha r)\subset \alpha X$. Since $B(0,\alpha r)=B(0,2||x||)$, we have that $x\in \alpha X$, and hence $\alpha\in N$.

We have hence proved that N isn't empty.

1.3.b

We want to show N is homogeneous, that is $N_X(\lambda x) = |\lambda| N_X(x)$.

We start from:

$$N_X(\lambda x) = \inf\{|\alpha| | \lambda x \in \alpha X\}$$

But we know that $\lambda x \in \alpha X \Leftrightarrow \lambda x \in -\alpha X$, hence we can write:

$$\begin{aligned} N_X(\lambda x) &= \inf\{|\alpha| \mid |\lambda| x \in \alpha X\} \\ &= \inf\{|\lambda \alpha| \mid x \in \alpha X\} \\ &= |\lambda| \inf\{|\alpha| \mid x \in \alpha X\} \\ &= |\lambda| N_X(x) \end{aligned}$$

We have hence proved N is homogeneous.

1.3.c

We want to show that N is definite, that is $N_X(x) = 0 \Rightarrow x = 0$.

We start from:

$$N_X(0) = \inf\{|\alpha| \mid x \in \alpha X\} = 0$$

$$\Rightarrow x \in 0 \times X$$

$$\Rightarrow ||x|| \le 0 \times M_X$$

$$\Rightarrow x = 0$$

This concludes the proof.

1.3.d

We want to show that the triangular inequality is true for N_X . We therefore take $x, y \in E$.

$$\begin{split} N_X(x+y) &= \inf\{|\alpha| \mid (x+y) \in \alpha X\} \\ &= \inf\left\{|\alpha| \mid (x+y) \in \frac{\alpha}{2}X + \frac{\alpha}{2}X\right\} \\ &= \inf\left\{|\alpha| \mid x \in \frac{\alpha}{2}X\right\} + \inf\left\{|\alpha| \mid y \in \frac{\alpha}{2}X\right\} \\ &\leq \inf\{|\alpha| \mid x \in \alpha X\} + \inf\{|\alpha| \mid y \in \alpha X\} \\ &= N_X(x) + N_X(y) \end{split}$$

This concludes the proof that the triangular inequality holds for N_X , and by extension, that N_X is a norm.

1.4

1.4.a

We want to show that $||x|| \leq MN_X(x) \quad \forall x \in E$. In order to do this, we start from the fact that X is bounded by M and that therefore $X \subset \bar{B}(0,M)$. We have from this that $x \in N_X(x)\bar{X} \subset N_X\bar{B}(0,M)$. Since $x \in N_X\bar{B}(0,M)$ then $||x|| \leq MN_X$. This concludes the proof that $||\cdot||$ is weaker than N_x .

1.4.b

We want to show that there exists an α such that for all x, $N_X(x) \leq \alpha ||x||$. Since $0 \in \mathring{X}$, we can consider $r := \sup\{r \mid B(0,r) \subset X\}$ with $r \neq 0$. We then have that for all x, $N_X B(0,r) \subset B(0,x)$. We therefore have that:

$$N_X r \le ||x||$$

$$N_X \le \frac{1}{r}||x||$$

This concludes the proof that N_X is weaker than $||\cdot||$, and therefore also that they are equivalent.

1.5

We know that $x \in \bar{X}$ is equivalent to

2 Exercise 2

2.1

Let us prove that $\bar{B}(0,1)$ is compact if and only if $S(0,1) = \{x \in E \mid N(x) = 1\}$ is compact. We will prove this by double implication.

Assume $\bar{B}(0,1) = \{x \in E \mid N(x) \le 1\}$ is compact.

We know $S(0,1) \subset \overline{B}(0,1)$ then S(0,1) is compact provided it is closed as any closed subset of a compact set is compact. Let us now prove S(0,1) is closed by proving its complement is open. We have:

$$(S(0,1))^c = \{x \in E \mid N(x) \neq 1\}$$

$$= \{x \in E \mid N(x) < 1 \lor N(x) > 1\}$$

$$= \{x \in E \mid N(x) < 1\} \cup \{x \in E \mid N(x) > 1\}$$

$$= B(0,1) \cup (\bar{B}(0,1))^c$$

We know B(0,1) is open and as $\bar{B}(0,1)$ is closed, $(\bar{B}(0,1))^c$ is open and hence $(S(0,1))^c$ is open as the union of open sets. Thus S(0,1) is closed and therefore compact.

Let us now prove the converse statement. Assume S(0,1) is compact.

TO DO

Hence $\bar{B}(0,1)$ is compact.