

Optical Communication Networks

Notes & Tutorials

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Introduction

I wrote this document for the students studying Optical Communication Networks to have a nice set of notes, and correct reference code and graphs for the module. I hope that it is sufficient for this task and it helps all of your studies.

I spent have spent a lot of time developing the template used to make this \LaTeX document, I want others to benefit from this work so the source code for this template is available on GitHub [?].

1 Introduction, The NLSE, and Optical Fibre Propagation

1.1 Introduction

The main goal of this class is the investigation of the evolution of the optical pulses which propagate in an optical fibre.

We will consider the propagation of pulses from two perspectives:

1. Theoretically
2. Via numerical simulation, using software such as MATLAB, Python, or C/C++

We will analyse and understand a number of different optical effects and regimes / models. These include:

- Group Velocity Dispersion (GVD, this is a linear effect)
- Self-Phase Modulation (this is a non-linear effect)
- Optical, Self-Trapped Waves (Solitons)
- Abnormal, Extreme Waves
- Optical Shocks

The assessment for the course will consist of a piece of coursework on the numerical dynamics of optical pulses propagating under different linear and non-linear regimes. After this coursework is handed in, a type of oral examination will be performed with each student about their coursework.

Each of you is invited to work in groups of 2-3 persons, each with different jobs.

Finally, should the lectures leave you with any confusion, or you wish to study further, the suggested textbook for this course to aid in study (if this is needed) is Non-linear Fibre Optics by Govind P. Agrawal [?].

1.2 The Non Linear Schrödinger Equation (3+3D)

The first step to considering the propagation of optical pulses in a fibre is to know that an optical fibre is a non-linear and dispersive medium and that any propagation with this waveguide is governed by the fundamental and universal modal of optical wave dynamics; the Non Linear Schrödinger Equation (NLSE).

In Optical Communication Components Prof. Constantino De Angelis will analytically study the properties of the NLSE. This course, however, will consider different regimes from theoretical viewpoints, as well as numerical simulation of each of these regimes to understand the linear and non-linear effects and their uses.

So, without further ado, the Non Linear Schrödinger Equation (NLSE) (3+3D) is given by Equation 1:

$$j \frac{\partial A(r, t)}{\partial z} + \frac{1}{2\beta} \frac{\partial^2 A(r, t)}{\partial x^2} + \frac{1}{2\beta} \frac{\partial^2 A(r, t)}{\partial y^2} - \frac{\beta''}{2} \frac{\partial^2 A(r, t)}{\partial t^2} + \chi^{(3)} |A(r, t)|^2 A(r, t) = 0 \quad (1)$$

Where:

$r = (x, y, z)$, this is the 3-dimensional spatial coordinates

t , is the time coordinate

$A(r, t)$, is the slowly varying (compared to the carrier signal) envelope of the signal

$E(r, t) = \text{Re}[A(r, t)e^{i(\omega_0 t + \beta_0 z)}]$, is the electrical field of the pulse and the carrier signal

$e^{i(\omega_0 t + \beta_0 z)}$, is the optical carrier signal at the angular frequency ω_0 and ‘wavenumber’ β_0

1.2.1 A Quick Detour - The Gaussian Pulse

The type of communication signal model that we will deal with most often initially in the course is that of the Pulsed Gaussian or Gaussian Pulse. This signal is the combination of a lower frequency Gaussian (this is where the information is really communicated) and a higher frequency carrier sine/cosine component, due to how high the frequency of the carrier typically is (10THz) it cannot be easily sampled, so we instead send information via the slowly varying envelope. To give an idea of what this model looks like these individual signals are shown separately in Figure 1.

Please note that we will give the Gaussian as a function most simply described by Equation 2:

$$f(t) = Ie^{-\frac{t^2}{2t_0^2}} \quad (2)$$

Also note t_0 is expressed here as the half-waist duration at an intensity of $\frac{1}{e}$, there are other definitions which are useful for other purposes

If one has a linear system (or one that can be approximated as such a type of system) these two signals can be super-positioned to create a Gaussian pulse, which is shown in Figure 2

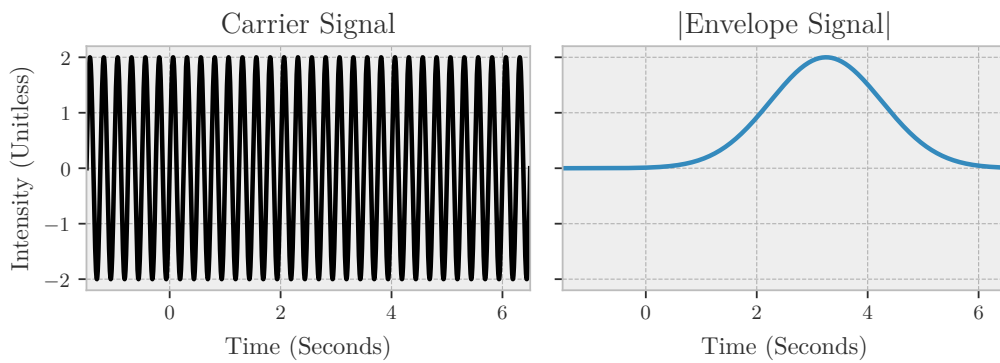


Figure 1: An example graph demonstrating the de-constructed elements of a Gaussian pulse, the carrier signal is on the left and the positive portion of Gaussian on the right

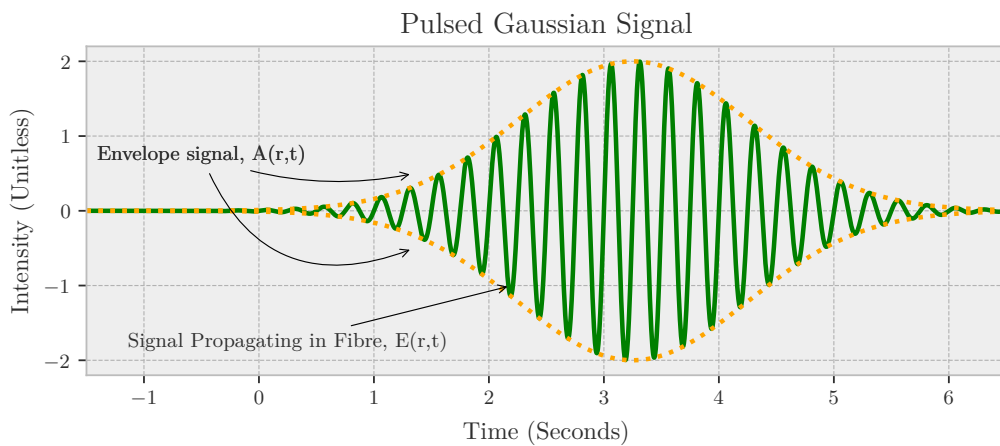


Figure 2: An example graph of the Gaussian Pulse, the envelope signal is shown as a dotted line, the signal propagated in the medium is the solid line.

It is also important to note here that the envelope signal is itself comprised of two components, a positive and a negative portion, this is easily displayed in the frequency domain, observe the spectrum (Figure 3) of the propagating signal (displayed before in Figure 2), there are a positive and negative frequency components:

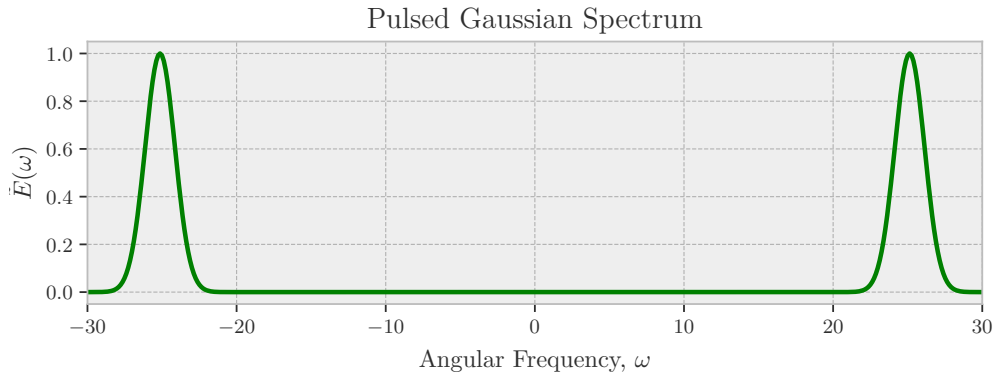


Figure 3: The Gaussian Pulse spectrum

Note that the two Gaussians are centred at the carrier frequency and that the waist frequency interval at $1/e$ intensity ($\Delta\omega$) must be significantly smaller than the carrier frequency of the signal (ω_0), i.e. $\Delta\omega \ll \omega_0$. This is shown in the normalised frequency spectrum graph, Figure 4:

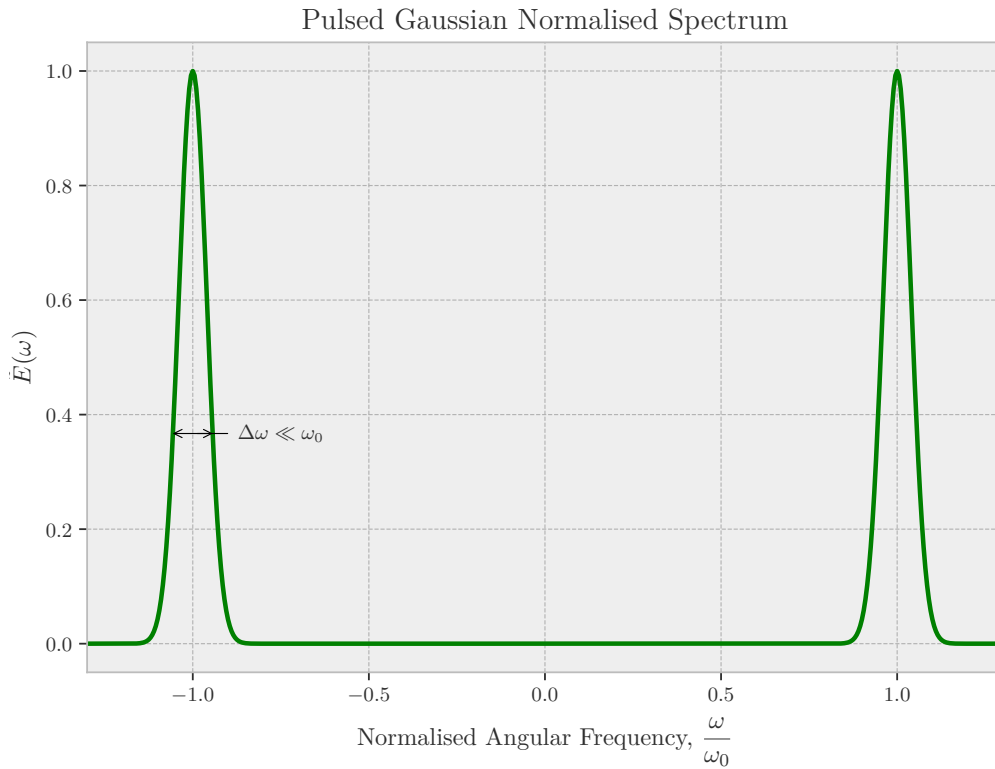


Figure 4: The Gaussian Pulse on the normalised frequency spectrum

1.2.2 The Components of the NLSE

The various components of the NLSE shown before (in Equation 1 and repeated below for completeness), can be summarised as follows:

$$j \frac{\partial A(r, t)}{\partial z} + \frac{1}{2\beta} \frac{\partial^2 A(r, t)}{\partial x^2} + \frac{1}{2\beta} \frac{\partial^2 A(r, t)}{\partial y^2} - \frac{\beta''}{2} \frac{\partial^2 A(r, t)}{\partial t^2} + \chi^{(3)} |A(r, t)|^2 A(r, t) = 0$$

$$j \frac{\partial A(r, t)}{\partial z}$$

This takes into account the propagation along the z-axis

$$\frac{1}{2\beta} \frac{\partial^2 A(r, t)}{\partial x^2}$$

Is the dispersion term along the x-axis

$$\frac{1}{2\beta} \frac{\partial^2 A(r, t)}{\partial y^2}$$

Is the dispersion term along the y-axis

$$-\frac{\beta''}{2} \frac{\partial^2 A(r, t)}{\partial t^2}$$

Is the dispersion term along the t-axis

$$\chi^{(3)} |A(r, t)|^2 A(r, t)$$

Is the non-linear term

$$\beta = \frac{\omega}{c} n(\omega)$$

Is the propagation constant

$$\beta''$$

Is the group velocity dispersion

$$\chi^{(3)} \quad n = \sqrt{1 + \chi^{(\omega)}}$$

Accounts for non-linear cubic response of the medium

In general, the NLSE is a (3+1) Dimension (z, y, z, t) model ((3+1)D model) which describes the evolution of an optical light pulse in space and time. In optical fibres, the envelope of the pulse, $A(r, t)$ can be expressed (using separation of variables) as shown below in Equation 3:

$$A(r, t) = A(x, y, z, t) = F(z, t) \cdot M(x, y) \cdot e^{i\delta\beta z} \quad (3)$$

Where:

$M(z, y)$, defines the mode profiles

$\partial\beta$, is the correction of the propagation constant $\implies \beta = \beta_0 + \partial\beta$

$\therefore M(z, y) \cdot e^{i\delta\beta z}$, describes the modal distribution in the x-y plane at a given z, and;

$F(z, t)$, describes the slowly varying (compared to the carrier) pulse envelope in the z-t plane

1.3 Spatio-Temporal Regime, the NLSE (1+1D)

The first regime we will focus on is the spatio-temporal evolution of $F(z, t)$, ignoring other sections of our pulse. From the NLSE (3+1D) one can derive a model for $F(z, t)$ to obtain the NLSE (1+1D), given by Equation 4:

$$j \frac{\partial F(z, t)}{\partial z} - \frac{\beta''}{2} \frac{\partial^2 F(z, t)}{\partial t^2} + \gamma |F(z, t)|^2 F(z, t) = 0 \quad (4)$$

Where:

z , is the propagation or evolution coordinate

t , is the temporal coordinate

β'' , is the Group Velocity Dispersion (GVD) inside the fibre

γ , is the effective non-linear term, related to $\chi^{(3)}$

It should be noted that both the (3+1D) and (1+1D) NLSEs are non-linear, partial differential equations that do not trivially lend themselves to analytical solutions, except in some very specific cases. It is more likely that one could successfully perform an analytical study of the (1+1D) NLSE as compared to the (3+1D) NLSE for obvious reasons, however it is even more practical to take a numerical approach to each of these equations to understand their linear and non-linear effects in optical fibres.

A large number of specific numerical methods may be performed, but they all fall into two general families:

- Finite-Difference methods
- Pseudo-Spectral methods (these are generally faster by an order of magnitude whilst achieving the same accuracy as the above methods)

One pseudo-spectral method which has been used extensively to solve pulse propagation in non-linear, dispersive media is the **split-step Fourier method** (also known as the Beam Propagation Method - BPM), the relative speed of this method when compared with finite-difference schemes can be attributed to the Fast Fourier Transform (FFT) algorithm.

2 Numerical Techniques

As mentioned in the previous section one of the most practical and efficient methods to solve pulse propagation in optical fibres, and the one we will heavily focus on in the course, is the split-step Fourier method. To understand the methodology of, and use, the split-step Fourier method one must write the NLSE in the form shown below in Equation 5:

$$\frac{\partial F(z, t)}{\partial z} = (\tilde{D} + \tilde{N})F \quad (5)$$

\tilde{D} is a differential operator that accounts for dispersion in a linear medium (however it can account for absorption and/or higher order dispersions) and is given by Equation 6:

$$\tilde{D} = -j \frac{\beta''}{2} \frac{\partial^2}{\partial t^2} \quad (6)$$

\tilde{N} is a non-linear operator that governs the effect of fibre non-linearity on pulse propagation, e.g. Kerr non-linearity (but it can account for other non-linear phenomena like Raman effects). \tilde{N} is given by Equation 7:

$$\tilde{N} = -j\gamma|F|^2 \quad (7)$$

In general the dispersion, \tilde{D} , and non-linearity, \tilde{N} , act together along the fibre, but the Split-Step Fourier method obtains an **approximate solution** by assuming that by propagating the envelope over a small distance, h , the dispersive and non-linear effects can be considered to act independently.

More specifically, the propagation from a point, z , to another, $z + h$, can be described via two steps of calculation (the astute reader will note this is why the method is called split-step):

1. Calculating the propagation accounting only for non-linear effects, $\tilde{D} = 0$
2. Calculating the propagation accounting only for dispersive effects, $\tilde{N} = 0$

Mathematically this process is described by Equation 8:

$$F(z + h, t) \approx e^{h\tilde{D}} \cdot e^{h\tilde{N}} \cdot F(z, t) \quad (8)$$

Later we will show that the term $e^{h\tilde{D}}$ can be evaluated in the Fourier domain using Equation 9:

$$e^{h\tilde{D}} \cdot F(z, t) = \mathcal{FT}^{-1}[e^{h\tilde{D}(j\omega)}] \mathcal{FT}[F(z, t)] \quad (9)$$

For full clarity (to avoid any confusion with notation used) \mathcal{FT} denotes the Fourier Transform, and $\tilde{D}(j\omega)$ is obtained by replacing the differential operators in time domain; $\frac{\partial}{\partial t} \rightarrow j\omega$ and $\frac{\partial^2}{\partial t^2} \rightarrow (j\omega)^2 = -\omega^2$. As $\tilde{D}(j\omega)$ is a number in Fourier space, the evaluation of the term mathematically, and numerically with the FFT, is fast and simple.

To estimate the accuracy of the split-step method, one must observe the formal solution of the NLSE compared to the approximate solution we have formed, the formal solution is given by Equation 10 (assuming \tilde{N} is z -independent):

$$F(z + h, t) = e^{h(\tilde{D} + \tilde{N})} F(z, t) \quad (10)$$

The comparison of this equation and the approximate solution leads us to a topic of mathematics you may not be familiar with, the Baker-Campbell-Hausdorff proof;

2.1 The Baker-Campbell-Hausdorff Formula

Now is a good time to recall the Baker-Campbell-Hausdorff formula [?] which is a solution for an equation such as that shown below in Equation 11:

$$e^{\tilde{a}} e^{\tilde{b}} = e^{\tilde{c}} \quad (11)$$

The solution to which is given by Equation 12:

$$e^{\tilde{c}} = e^{\tilde{a} + \tilde{b} + \frac{1}{2}[\tilde{a}, \tilde{b}] + \frac{1}{12}[\tilde{a}, [\tilde{a}, \tilde{b}]] - \frac{1}{12}[\tilde{b}, [\tilde{a}, \tilde{b}]] + \dots} \quad (12)$$

Where:

$$[\tilde{a}, \tilde{b}] = \tilde{a}\tilde{b} - \tilde{b}\tilde{a}$$

And:

If $[\tilde{a}, \tilde{b}] = 0$ the operators commute

If $[\tilde{a}, \tilde{b}] \neq 0$ the operators do not commute

Comparing Equations 8 and 10 exactly indicates that the Split-Step method ignores the non-commutating nature of the \tilde{D} and \tilde{N} operators. However, if we cheat a bit and insert $\tilde{a} = h\tilde{D}$ and $\tilde{b} = h\tilde{N}$ into Equation 12 and compare it to Equation 10 the third exponent term will be $\frac{1}{2}[\tilde{a}, \tilde{b}] = \frac{1}{2}h^2[\tilde{D}, \tilde{N}]$. Thus, the Split-Step Fourier method is accurate to the second order when using the step size of h (i.e. the equations match until the third exponential term and thus can be said to be approximate to a certain degree), one must emphasise what this signifies: **as long as h is small the errors of calculation will also be small (insignificant).**

2.2 Numeric Implementation

To summarise the previous section, the general implementation of the Split-Step Fourier method is given by Equation 13:

$$F(z + h, t) = e^{h(\tilde{D} + \tilde{N})} F(z, t) \approx e^{h\tilde{D}} \cdot e^{h\tilde{N}} \cdot F(z, t) \quad (13)$$

And thus, to simulate the propagation from z to $z + h$ we will follow the previously set out steps, repeated below for completeness (this could be completed in reverse order just as effectively):

1. Calculating the propagation accounting only for non-linear effects, $\tilde{D} = 0$
2. Calculating the propagation accounting only for dispersive effects, $\tilde{N} = 0$

2.2.1 Considerations for Numeric Implementation

For the envelope $F(z, t)$, we must take into account that it's profile, for numerical applications, will be recorded in a matrix (or vector), via appropriate sampling of the signal, F , e.g. Shannon-Nyquist Sampling Theorem [?] [?]. This is displayed below in Figure 5, the dotted line displays the discrete sampling of the signal and the solid line the continuous signal that exists in reality:

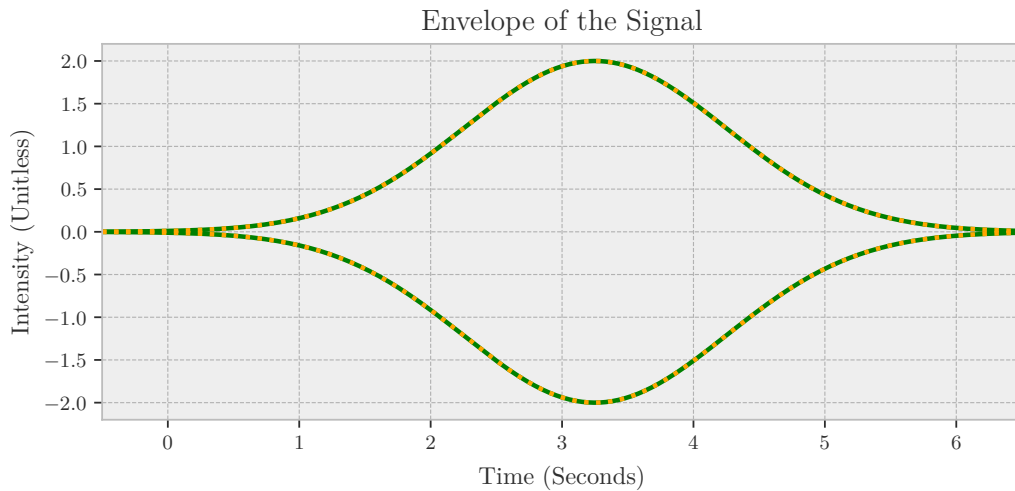


Figure 5: The difference between the continuous signal and discretely measured data-points of the signal

TODO: something about having to use multiple matrices

2.2.2 Dispersive Step

To solve for the dispersive step of the equation, we have to solve Equation 14:

$$\frac{\partial F(z, t)}{\partial z} = -j \frac{\beta''}{2} \frac{\partial^2}{\partial t^2} \quad (14)$$

To do this we must consider the Fourier transform of $F(z, t)$, given by Equation 15:

$$\tilde{F}(z, \omega) = \int_{-\infty}^{+\infty} F(z, t) e^{-j\omega t} dt \quad (15)$$

We are interested in change with propagation, so computing this integral produces and differentiating gives:

$$\frac{\partial \tilde{F}(z, \omega)}{\partial z} = (+j \frac{\omega^2}{2} \beta'') \tilde{F}(z, \omega)$$

And finally to form a general solution to calculate the new signal value after each dispersive portion of the step, h , the necessary calculations before completing the non-linear portion are shown in frequency and time domain as Equations 16 and 17:

$$\tilde{F}(z + h, \omega) = \tilde{F}(z, \omega) e^{+j \frac{\omega^2}{2} \beta'' \cdot h} \quad (16)$$

$$F(z + h, t) = \mathcal{FT}^{-1} \left[\tilde{F}(z + h, \omega) \right] \quad (17)$$

2.2.3 Non-Linear Step

For the non-linear portion of our regime we begin with Equation 18:

$$\frac{\partial F(z, t)}{\partial z} = j\gamma |F|^2 F \quad (18)$$

Since one can demonstrate that $|F|^2$ is z -independent, the final solution is given by Equation 19:

$$F(z + h, t) = F(z, t) e^{j\gamma |F(z, t)|^2 \cdot h} \quad (19)$$

Recall that h will be our step size in the z -axis. Also note this is no th

2.3 Summary of the Scheme for Numeric Algorithm

The summary of the steps we will take in order to complete the numeric implementation of everything we have covered in this section is as follows:

1. Initialisation (of variables, matrices, and any necessary functions or classes)
2. Definition of:
 - Temporal and Spatial Co-Ordinates
 - Frequency Domain Co-Ordinates
 - Quantities relevant to our signal (intensities, envelope definition, etc.)
3. Perform the Split-Step Fourier Method:
 - The Dispersive Step
 - The Non-Linear Step
 - Save the data for each z step at each time index
4. Display the results appropriately

2.4 Numeric Implementation in Python

The code can be found below in Section 2.5, it has been purposefully made more difficult to copy and paste so that you will, at the very least, type the code out manually and perhaps absorb some of the essential information regarding the implementation of the Split-Step Fourier Method.

This is only introductory code, so we aren't actually going to look at any propagation that involves dispersion or non-linearity, but the two relevant terms, β'' and γ , can be changed to observe this (in the next sections this is what will be done in order to produce the graphs to observe the various effects).

The first graph shown below, Figure 6, displays the input envelope and final, output envelope in the time domain. In this case it is a simple Gaussian signal with a half-waist of 1 second and an absolute intensity of 1.

Input and Output Envelope - Time Domain

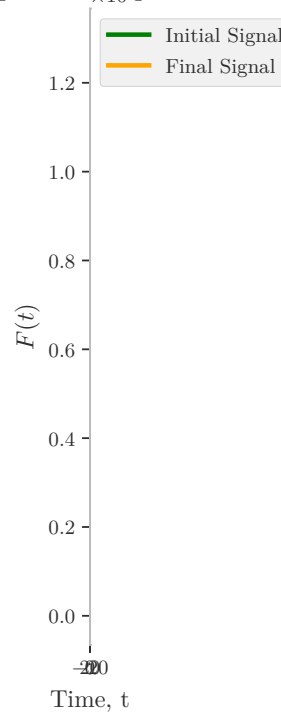


Figure 6: Split-Step Fourier Method Introduction - 2D Graph of the Initial and Final Envelope in the Time Domain

The next important graph, Figure 7, is the input and output envelopes in the frequency domain.

Input and Output Envelopes - Frequency Domain

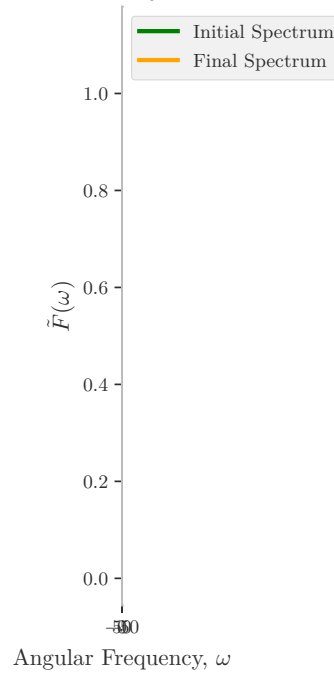


Figure 7: Split-Step Fourier Method Introduction - 2D Graph of the Initial and Final Envelope in the Frequency Domain

Then finally we have the two 3D plots of the propagation in both the time domain and frequency domain, Figures 8 and 9:

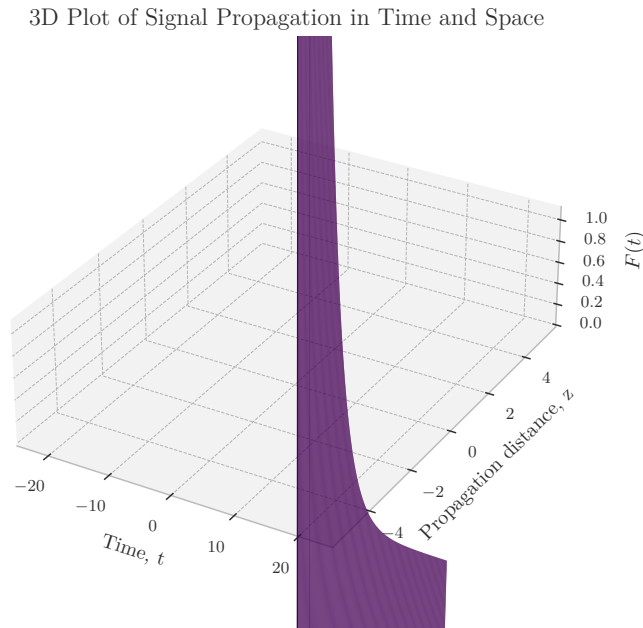


Figure 8: Split-Step Fourier Method Introduction - 3D Propagation Graph Envelope in the Time Domain

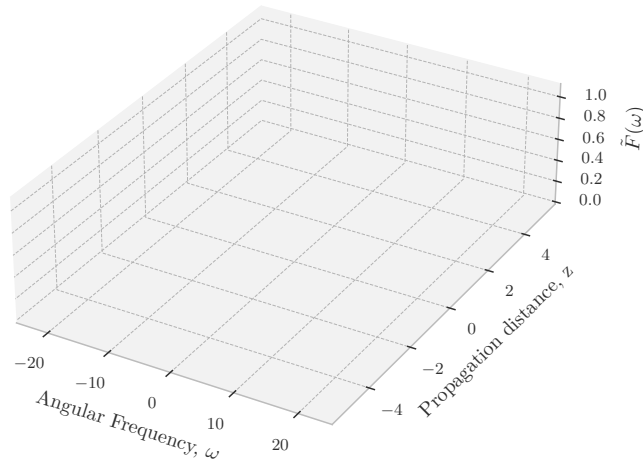
2.5 Split-Step Fourier Method in Python - Introduction

```

1 #####
2 ##### THIS IS NECESSARY, THIS GIVES US ALL THE FUNCTIONS WE NEED FOR NUMERICAL IMPLEMENTATION #####
3
4 import numpy as np
5 import matplotlib.pyplot as plt
6 from scipy.fftpack import fft, fftshift, ifft, ifftshift
7 from mpl_toolkits.mplot3d import Axes3D
8
9
10 #####
11 ##### IGNORE THIS IF YOU WISH, THIS IS SIMPLY TO MAKE PRETTY GRAPHS #####
12
13 ##### matplotlib graph settings #####
14 # Produce all graphs as PGFs so that they can be imported natively to latex and still be edited if needed
15 plt.rcParams["pgf.texsystem"] = "pdflatex"
16 # Setting fonts for all the graphs etc.
17 plt.rcParams["text.usetex"] = True
18 plt.rcParams["font.family"] = "serif"
19 plt.rcParams["font.serif"] = "ptserif"
20 plt.rcParams["font.size"] = 9
21
22 # Match the colouring if my Latex docs
23 COLOUR = '#2E2E2E'
24 plt.rcParams['text.color'] = COLOUR
25 plt.rcParams['axes.labelcolor'] = COLOUR
26 plt.rcParams['xtick.color'] = COLOUR
27 plt.rcParams['ytick.color'] = COLOUR
28 plt.rcParams['pgf.preamble'] = r"\usepackage[T1]{fontenc} \usepackage{mathpazo}"
29
30 # Set style to bmh and place the ticks for the axes on the outside to be readable
31 plt.style.use('bmh')
32 plt.rcParams['xtick.direction'] = 'out'
33 plt.rcParams['ytick.direction'] = 'out'
34
35
36
37
38 #####
39 ##### THE CODE TO IMPLEMENT THE SPLIT-STEP FOURIER METHOD #####
40
41 ## The Fibre Material Properties
42 beta_2 = 0 #
43 gamma = 0 #
44
45
46 ## Temporal Co-Ordinate Creation

```


3D Plot of Signal Propagation in Freq. and Space

**Figure 9:** Split-Step Fourier Method Introduction - 3D Propagation Graph Envelope in the Frequency Domain

```

47 # The higher the number of samples for a given signal duration, the better the curved gaussian shape will be after the
48 # FFT:
49 no_of_samples = 201
50 signal_duration = 40
51
52 # Create an array of time values with X number of equally-spaced values, a larger array size for a given signal
53 # duration makes for a better frequency domain transform
54 time = np.linspace(-signal_duration/2, signal_duration/2, no_of_samples)
55
56 # This is the period between each discrete sample of the signal in the time domain
57 sample_period = signal_duration / (no_of_samples - 1)
58
59
60
61 ## Spatial (z-axis) Co-Ordinate Creation
62 signal_propagation_distance = 10
63
64 # Create an array of z-axis values with X number of equally-spaced values, a larger array size for a given signal
65 # duration makes for a better frequency domain transform
66 z_axis = np.linspace(-signal_propagation_distance/2, signal_propagation_distance/2, no_of_samples)
67
68 # This is the distance between each discrete sample of the signal on the z-axis
69 z_step_distance = signal_propagation_distance / (no_of_samples - 1)
70
71
72
73 ## Frequency Domain Co-Ordinate Creation
74 # Angular frequency
75 # This is complicated but the angular frequency interval (distance between each measured discrete frequency of
76 # the FFT) is given by this calculation
77 ang_freq_interval = (2.0 * np.pi) / signal_duration
78 # From the above we can then create a frequency axis with defined frequency points based on the number of
79 # samples, this will be explained in the notes
80 ang_freq_axis = np.arange(-no_of_samples/2, no_of_samples/2) * ang_freq_interval
81
82
83
84 ## Input Envelope Definition
85 intensity = 1 # Signal intensity
86 init_waist = 1 # Used to define the Gaussian signal, this is the half-waist size at 1/e intensity
87
88 # The Gaussian (envelope) signal
89 envelope = intensity * np.exp(-((time ** 2) / (2 * (init_waist ** 2))))
90 envelope = np.array(envelope)
91
92
93 ## Input Spectrum Calculations
94 # First the raw intensity FFT transform, that will be arranged in order from -ve frequency to +ve frequency
95

```

```

96 # The FFT algorithm in python is missing a component (sample_period/sqrt(2*pi)) see:
97 # https://cvarin.github.io/CSci-Survival-Guide/fft.html
98 envelope_raw_spectrum = fftshift(fft(envelope)) * sample_period/np.sqrt(2*np.pi)
99 envelope_raw_spectrum = np.array(envelope_raw_spectrum)
100
101 # Then one calculates the absolute intensity ( $|E(\omega)|^2$ ) for the input spectrum
102 envelope_abs_spectrum = abs(envelope_raw_spectrum)**2
103
104
105
106 ## Split-Step Fourier Method
107 # Factors for calculating the evolution of the signal
108 dispersive_terms = [np.exp(complex(0, (((ang_freq_axis[0] ** 2) / 2) * beta_2 * z_step_distance)))]
109
110 for i in range(1, no_of_samples):
111     dispersive_terms.append(np.exp(complex(0, (((ang_freq_axis[i] ** 2) / 2) * beta_2 * z_step_distance))))
112
113
114 # Since gamma is 0 in this code, this will be an array with no value, but the code is still useful
115 non_linear_term = gamma * z_step_distance
116
117
118
119 envelope_complex = [complex(envelope[0], 0)]
120
121 for i in range(1, no_of_samples):
122     envelope_complex.append(complex(envelope[i], 0))
123
124 pulse_output_time = np.r_[envelope_complex]
125 pulse_output_spectrum = np.r_[envelope_raw_spectrum]
126
127
128 # Create vectors to manipulate when carrying out our steps
129 current_step_spectrum = envelope_raw_spectrum
130
131 # The actual Split-Step Fourier Method Calculations
132 for x in range(1, no_of_samples):
133
134     for j in range(no_of_samples):
135         # Dispersive (Linear) Step
136         current_step_spectrum[j] = current_step_spectrum[j] * dispersive_terms[j]
137
138     # Prep for Non-Linear Step
139     current_step_time = ifft(fftshift(current_step_spectrum)) * ang_freq_interval / np.sqrt(2.0*np.pi) * no_of_samples
140
141     for j in range(no_of_samples):
142         # Non-Linear Step
143         current_step_time[j] = current_step_time[j] * np.exp(complex(0, (non_linear_term *
144                                                                 (abs(current_step_time[j])**2))))
145
146     pulse_output_time = np.row_stack((pulse_output_time, current_step_time))
147     pulse_output_spectrum = np.row_stack((pulse_output_spectrum, current_step_spectrum))
148
149
150
151
152 #####
153 ##### GRAPHING #####
154
155 ## ENVELOPES IN TIME DOMAIN
156 plt.figure()
157
158 # Plot the input envelope vs time
159 plt.plot(time, envelope, color='green', label='Initial Signal')
160
161 # Plot the output envelope vs time
162 plt.plot(time, abs(pulse_output_time[-1, :]), color='orange', label='Final Signal')
163
164 # Titles and labels
165 plt.title(r'Input and Output Envelope - Time Domain')
166 plt.ylabel(r'$F(t)$')
167 plt.xlabel(r'Time, t')
168 plt.legend(loc="upper left")
169
170 # Set limits
171 plt.xlim(-20, 20)
172
173 # Aspect Ratio
174 axes = plt.gca()
175 axes.set_aspect(15)
176
177 plt.tight_layout(pad=1.2) # Place everything slightly closer together
178
179 # Save graph in this location

```

```

180 plt.savefig('../Graphs/split-step-intro-2d-time.pgf', bbox_inches = 'tight', pad_inches = 0)
181
182
183
184 ## ENVELOPES IN FREQUENCY DOMAIN
185 plt.figure()
186
187 # Plot the input envelope intensity vs angular frequency
188 plt.plot(ang_freq_axis, envelope_abs_spectrum, color='green', label='Initial Spectrum')
189
190 # Plot the output envelope vs time
191 plt.plot(ang_freq_axis, abs(pulse_output_spectrum[-1, :])**2, color='orange', label='Final Spectrum')
192
193 # Titles and labels
194 plt.title(r'Input and Output Envelope - Frequency Domain')
195 plt.ylabel(r'$\tilde{F}(\omega)$')
196 plt.xlabel(r'Angular Frequency, $\omega$')
197 plt.legend(loc="upper left")
198
199
200 # Set limits
201 plt.xlim(-50, 50)
202
203 # Aspect Ratio
204 axes = plt.gca()
205 axes.set_aspect(38)
206
207 plt.tight_layout(pad=1.2) # Place everything slightly closer together
208
209 # Save graph in this location
210 plt.savefig('../Graphs/split-step-intro-2d-freq.pgf', bbox_inches = 'tight', pad_inches = 0)
211
212
213
214
215 ## 3D TIME DOMAIN GRAPH
216 # Create a mesh matrix to plot signal values against
217 T, Z = np.meshgrid(time, z_axis)
218
219 # Create figure and axis objects
220 fig = plt.figure()
221 ax = plt.axes(projection='3d')
222
223 # Plot the signal as it propagates
224 ax.plot_surface(T, Z, abs(pulse_output_time), rstride=1, cstride=1, cmap='viridis', edgecolor='none')
225
226 # Colouring the background of the graph
227 fig.patch.set_facecolor('white')
228 ax.set_facecolor('white')
229 ax.w_xaxis.set_panel_color((0.95, 0.95, 0.95, 0.95))
230 ax.w_yaxis.set_panel_color((0.95, 0.95, 0.95, 0.95))
231 ax.w_zaxis.set_panel_color((0.95, 0.95, 0.95, 0.95))
232
233 # Title and axis labels
234 ax.set_title(r'3D Plot of Signal Propagation in Time and Space')
235 ax.set_xlabel(r'Time, t')
236 ax.set_ylabel(r'Propagation distance, z')
237 ax.set_zlabel(r'$F(t)$')
238
239
240 # Aspect ratio settings
241 ax.auto_scale_xyz([-22, 22], [-5, 5], [0, 1.1])
242 ax.set_box_aspect((1.5, 2.0, 0.6))
243
244 plt.tight_layout(pad=0.8) # Place everything slightly closer together
245
246 # Save graph in this location
247 plt.savefig('../Graphs/split-step-intro-3d-time.pgf', bbox_inches = 'tight', pad_inches = 0)
248
249
250
251 ## 3D FREQUENCY DOMAIN GRAPH
252 # Create a mesh matrix to plot signal values against
253 S, Zs = np.meshgrid(ang_freq_axis, z_axis)
254
255 # Create figure and axis objects
256 fig = plt.figure()
257 ax = plt.axes(projection='3d')
258
259 # Plot the signal as it propagates
260 ax.plot_surface(S, Zs, abs(pulse_output_spectrum)**2, rstride=1, cstride=1, cmap='viridis', edgecolor='none')
261
262 # Colouring the background of the graph
263 fig.patch.set_facecolor('white')

```

```
264 ax.set_facecolor('white')
265 ax.w_xaxis.set_panel_color((0.95, 0.95, 0.95, 0.95))
266 ax.w_yaxis.set_panel_color((0.95, 0.95, 0.95, 0.95))
267 ax.w_zaxis.set_panel_color((0.95, 0.95, 0.95, 0.95))
268
269 # Title and axis labels
270 ax.set_title(r'3D Plot of Signal Propagation in Freq. and Space')
271 ax.set_xlabel(r'Angular Frequency,  $\omega$ ')
272 ax.set_ylabel(r'Propagation distance, z')
273 ax.set_zlabel(r' $\tilde{F}(\omega)$ ')
274
275 # Aspect ratio settings
276 ax.auto_scale_xyz([-22, 22], [-5, 5], [0, 1.1])
277 ax.set_box_aspect((1.5, 2.0, 0.6))
278
279 plt.tight_layout(pad=0.8) # Place everything slightly closer together
280
281 # Save graph in this location
282 plt.savefig('../Graphs/split-step-intro-3d-freq.pgfig', bbox_inches = 'tight', pad_inches = 0)
283
284 # Display a window of each graph now that we're finished
285 plt.show()
```

3 Group Velocity Dispersion, GVD

Also known as Dispersion Induced Pulse Broadening, the effects of GVD on optical pulses propagating in an optical fibre are studied by setting the non-linear term to zero, $\gamma = 0$, $F(z, t)$ then satisfies Equation 20:

$$j \frac{\partial F(z, t)}{\partial z} \approx \frac{\beta_2}{2} \frac{\partial^2 F}{\partial t^2} \quad (20)$$

Be careful, $\beta_2 \neq \beta''$

Equation 20 is trivially solved using the Fourier Transform, if $\tilde{F}(z, \omega)$ is the signal $F(z, t)$ in frequency domain, such that it satisfies Equation 21, then it also satisfies Equation 22:

$$F(z, t) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \tilde{F}(z, \omega) e^{-j\omega t} d\omega \quad (21)$$

$$j \frac{\partial F(z, t)}{\partial z} = \frac{1}{2} \beta_2 \omega^2 \tilde{F} \quad (22)$$

The solution to this ODE is given by Equation 23, it is clear from this solution that each spectral component of the signal will have its phase changed differently for a given propagation distance, z , and frequency, ω .

$$\tilde{F}(z, \omega) = \tilde{F}(z = 0, \omega) e^{j \frac{1}{2} \beta_2 \omega^2 z} \quad (23)$$

If one substitutes Equation 23 into Equation 21 the general solution to Equation 20 is obtained, shown below in Equation 24:

$$F(z, t) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \tilde{F}(0, \omega) e^{-j \frac{1}{2} \beta_2 \omega^2 z - j\omega t} d\omega \quad (24)$$

Where $\tilde{F}(0, \omega)$ is the Fourier Transform of the input signal.

This Equation (24) can be used for input functions of almost any shape, making this equation rather powerful.

3.1 GVD with a Gaussian Pulse Input - Analytical Solution

We will first analytically consider the propagation of a Gaussian Pulse with GVD, the Gaussian equation was given in Section 1.2.1 as Equation 2, repeated again the Gaussian is defined here as:

$$f(t) = I e^{-\frac{t^2}{2t_0^2}}$$

Where t_0 is the half-width at $\frac{1}{e}$ intensity, we could also use the Full Width at Half Maximum (FWHM), we can define for the FWHM:

$$T_{FWHM} = 1.665t_0 = 2\sqrt{\ln(2)}t_0$$

Now, if we combine Equations 24 and 2 then perform the integration, we will obtain Equation 25:

$$F(z, t) = \frac{t_0}{(t_0^2 - j\beta_2 z)^{\frac{1}{2}}} e^{-\frac{t^2}{2(t_0^2 - j\beta_2 z)}} \quad (25)$$

This equation displays that a Gaussian signal will clearly maintain its shape upon propagation, however its intensity will lessen, and its width in time will increase, we can define the equation for the time domain change after a given propagation distance as Equation 26:

$$T_1(z) = t_0 \left(1 + \left(\frac{z}{L_D}\right)^2\right)^{\frac{1}{2}} \quad (26)$$

With $L_D = \frac{T_0^2}{|\beta_2|}$ and it is usually called the ‘‘Dispersion Length’’. This value defines how, for a given fibre length, shorter pulses will broaden more than longer ones as the dispersion length will be larger. In other words the dispersion length governs the extent of broadening. At a propagation distance equal to the dispersion length, $z = L_D$, a Gaussian will broaden by $\sqrt{2}$.

Comparing our Gaussian input, and calculated output after propagation (Equations 2 and 25) indicates that even though our input is un-chirped (with no phase modulation), we have a chirped output, this is probably easier to observe if we define our propagation in different terms, shown in Equations 27 and 28:

$$F(z, t) = |F(z, t)| e^{j\phi(z, t)} \quad (27)$$

$$\phi(z, t) = \frac{-\sin(\beta_2) \left(\frac{z}{L_D}\right) t^2}{1 + \left(\frac{z}{L_D}\right)^2} \frac{1}{t_0^2} + \frac{1}{2} \tan^{-2} \left(\frac{z}{L_D}\right) \quad (28)$$

As the phase changes with respect to time, the change in the frequency domain of the signal due to dispersion after propagation will be different for a given frequency. This difference can be obtained by differentiating with respect to time, given in Equation 29, $\partial\omega$ is the chirp and clearly depends on the sign and value of β_2 :

$$\partial\omega(t) = -\frac{\partial\phi(z, t)}{\partial t} = \frac{-\sin(\beta_2) \left(\frac{2z}{L_D}\right) t}{1 + \left(\frac{z}{L_D}\right)^2 t_0^2} \quad (29)$$

Dispersion-Induced pulse broadening is an important phenomena to understand, there is also some unmentioned (thus far) terminology attached to it; when the dispersive term is greater than zero, $\beta_2 > 0$, long wavelengths (e.g. red light) travel faster than short wavelengths (e.g. blue light) and we term this type of dispersion normal, whilst the opposite ($\beta_2 < 0$) is termed anomalous dispersion.

3.2 GVD with a Gaussian Pulse Input - Numerical Simulation

- 3.3 GVD with a Chirped Gaussian Pulse Input - Analytical Solution
- 3.4 GVD with a Chirped Gaussian Pulse Input - Numerical Simulation
- 3.5 GVD with Hyperbolic-Secant Pulse Input - Analytical Solution
- 3.6 GVD with Hyperbolic-Secant Pulse Input - Numerical Simulation
- 3.7 GVD Induced Limitations - Dispersion Management

4 Self Phase Modulation, SPM

- 4.1 Changes in Pulse Spectra due to SPM

5 Competition of SPM and GVD