

Basics of Active and Nonlinear High-Frequency Electronics



UNIVERSITÀ
DEGLI STUDI
DI BRESCIA



Prerequisites :

- Linear analogue circuits
- Resistive and reactive circuits
- Energy and dissipated power
- Transient and steady state conditions.
- Low-pass / high-pass / band-pass filters
- Bode diagram
- Voltage and current sources
- Thevenin / Norton.
- Bipolar and field effect transistors
- Small signal equivalent circuit models
- Input / output impedances.
- Voltage, current and power gains.
- Static and dynamic load lines

Part I : Michel Campovecchio (michel.campovecchio@unilim.fr)

- Lectures : 12 H - Tutorials : 15 H → Lectures and Tutorials 27 H

Part II : Jean Michel Nebus (jean-michel.nebus@unilim.fr)

- Lectures : 12 H - Tutorials : 15 H → Lectures and Tutorials 27 H

- ❑ Chapter I : Introduction to active high-frequency circuits in communication systems
- ❑ Chapter II : Introduction to the Non-linear Electrical Modeling of microwave transistors
- ❑ Chapter III : Design method of narrow-band power amplifiers
- ❑ Chapter IV : Architectures of high-frequency mixers
- ❑ Chapter V : Architectures of wideband resistive and distributed power amplifiers
(depending on the course progress)
- ❑ Chapter VI : Architectures of non-linear active circuits controlled by cold HEMTs

Chapter I :

Introduction to
active high-frequency circuits in communications systems

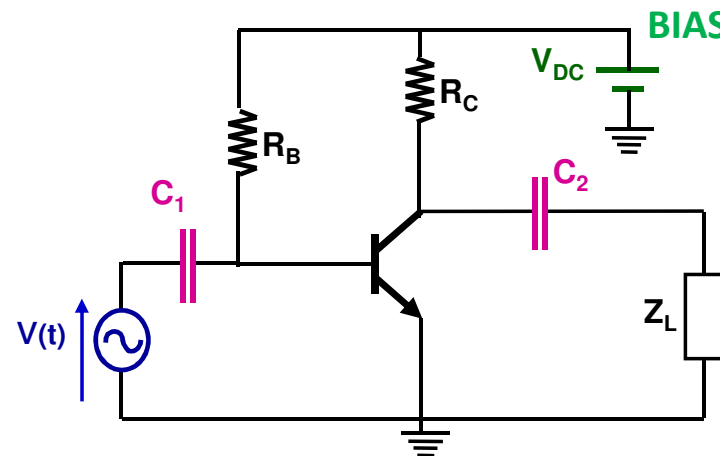
I - Differences Active/Passive & Linear/Nonlinear

- 1) Power Losses / Gain / Bias
- 2) Linearity / Non-linearity
- 3) Frequency generation of nonlinear devices (Nonlinearity order)
 - a) Single carrier (harmonics)
 - b) Multiple carriers (example of two carriers → intermodulation products)

I - Differences Active/Passive & Linear/Nonlinear

1) Power Losses / Gain / Bias

- A passive circuit cannot give power gain but only losses
- A passive circuit is linear and its response does not depend on the signal amplitude
- On the contrary, a power gain greater than unity is linked to active circuits and bias
- An active circuit can be linear or nonlinear depending on the signal amplitude (diode, FET, ...)



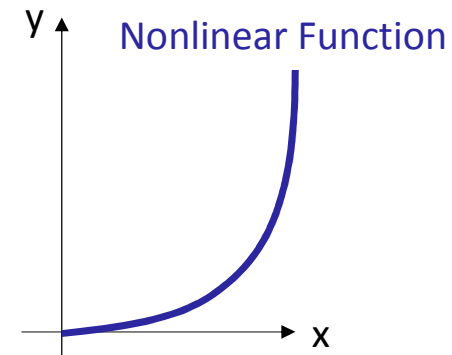
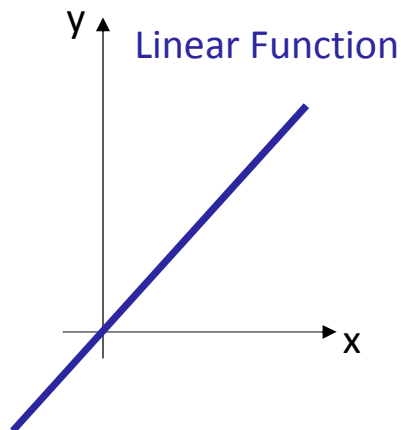
Physics ≠

{ FET (Field Effect Transistor)
 HEMT (High Electron Mobility Transistors) }
 { Gate — Drain
 Source }

I - Differences Active/Passive & Linear/Nonlinear

1) Power Losses / Gain / Bias

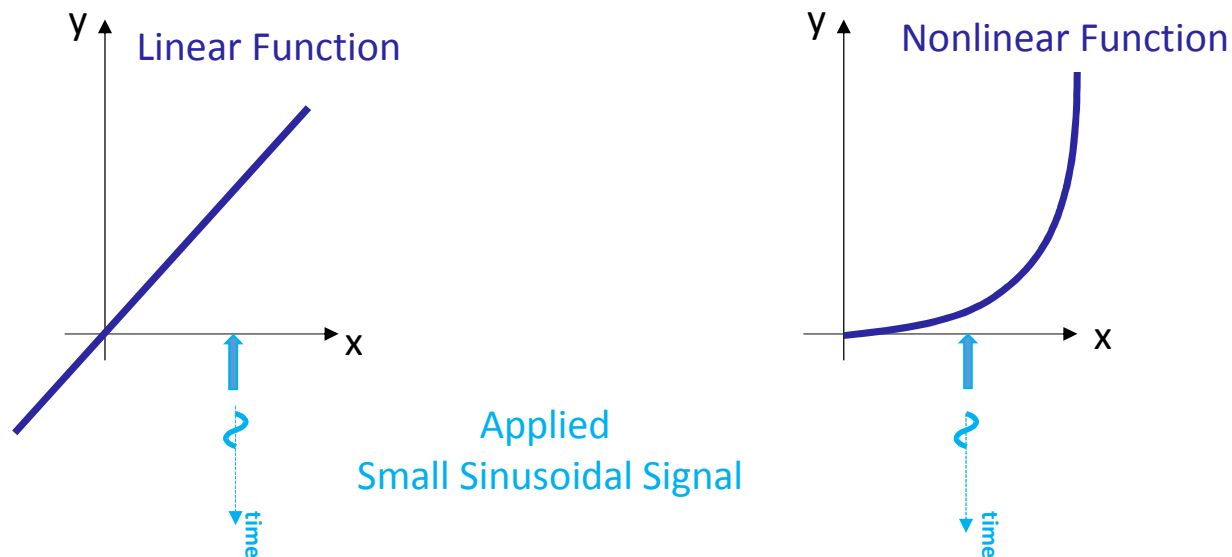
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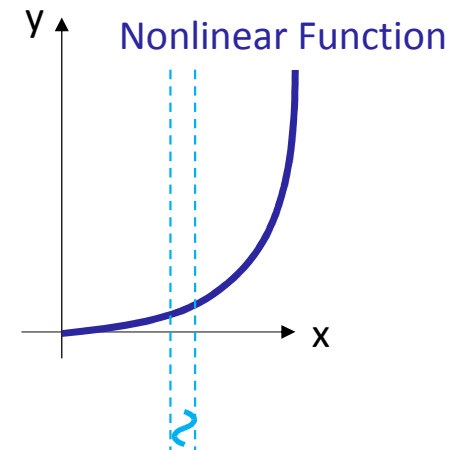
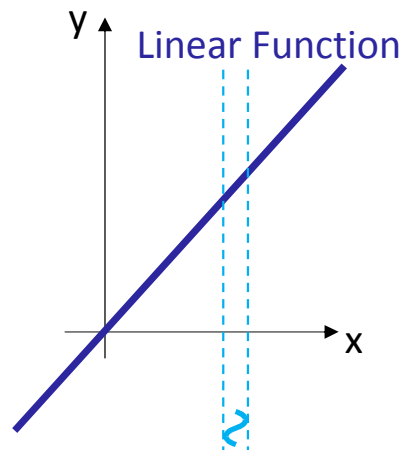
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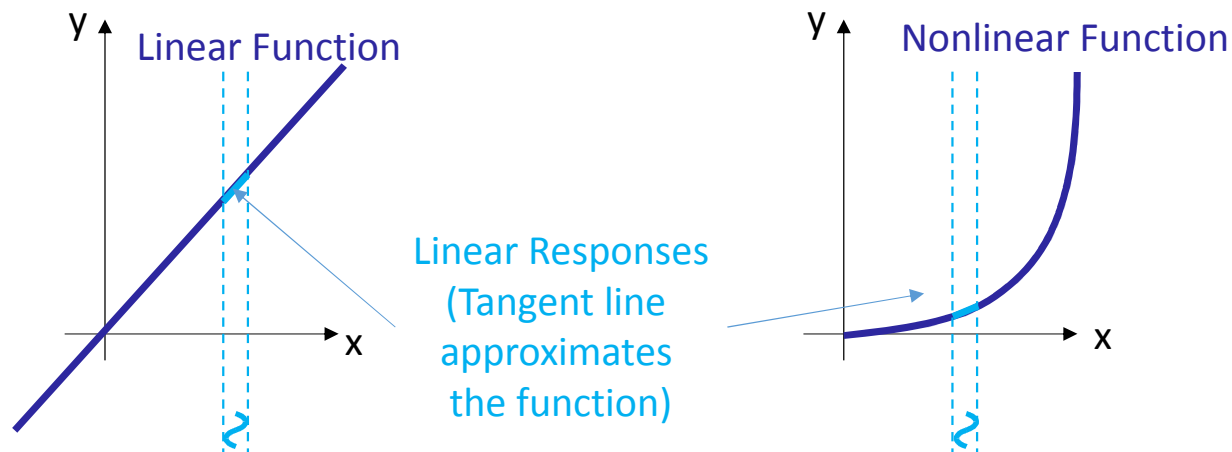
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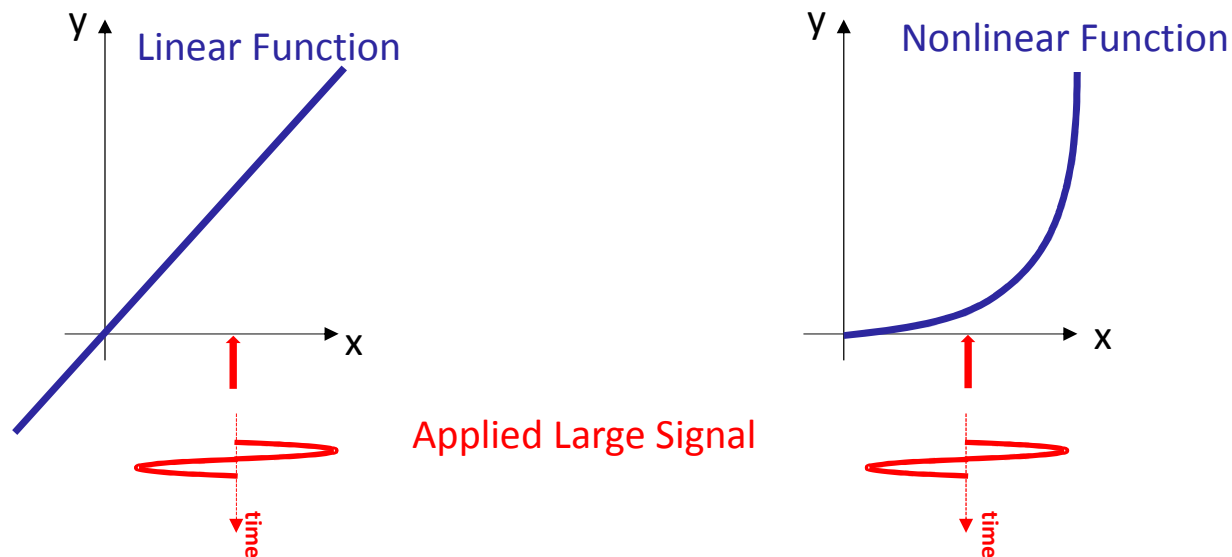


Linear and Nonlinear circuits give a linear response to small signals

I - Differences Active/Passive & Linear/Nonlinear

1) Power Losses / Gain / Bias

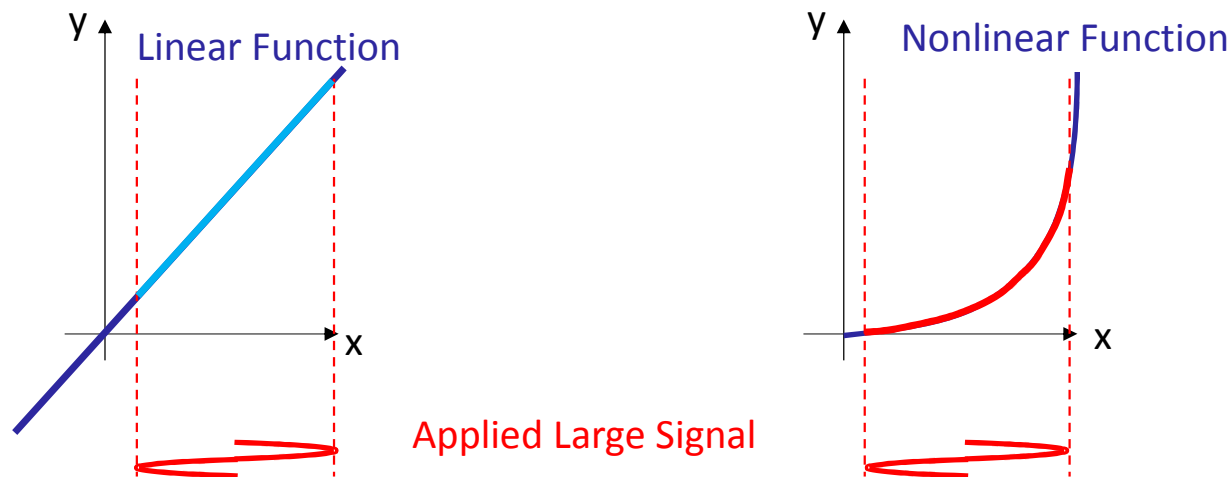
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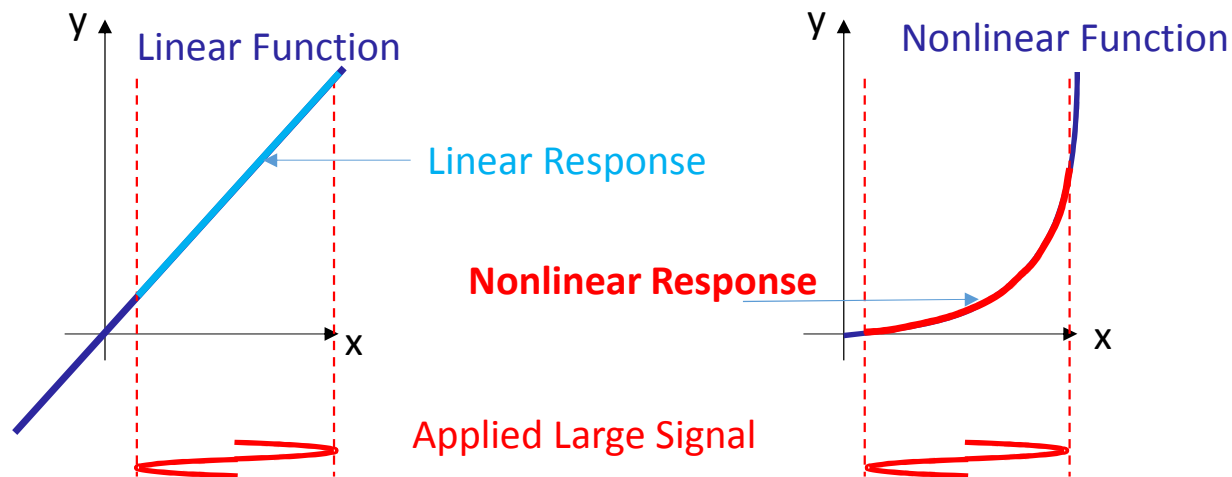
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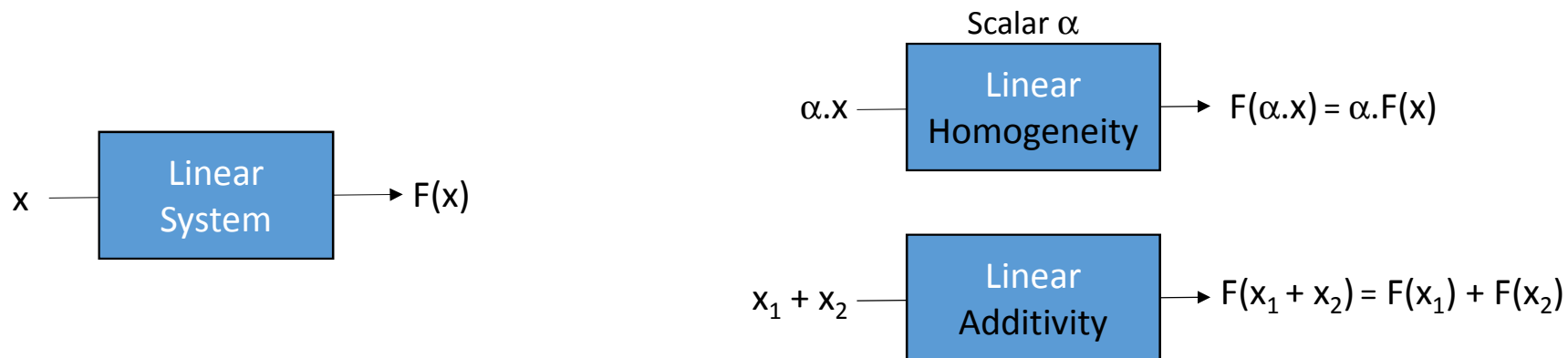
Linear circuits remain linear in the case of large signals

Nonlinear circuits give nonlinear responses to large signals → Harmonic generation in frequency domain

I - Differences Active/Passive & Linear/Nonlinear

2) Linearity (superposition principle)

A system whose response $F(x)$ satisfies the superposition principle is called a **linear system**.
The superposition can be defined by two simple properties : **additivity** and **homogeneity**



S_{parameter}

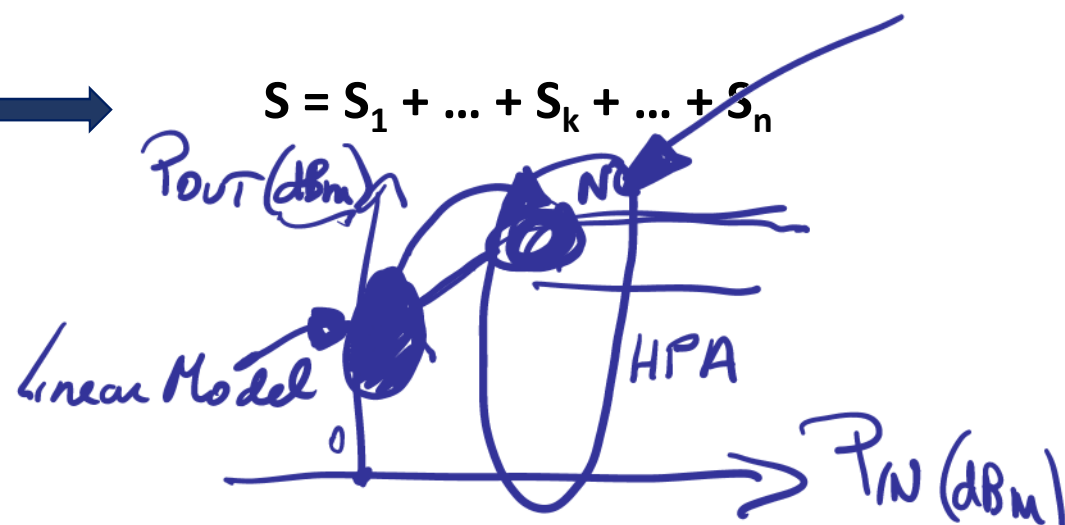
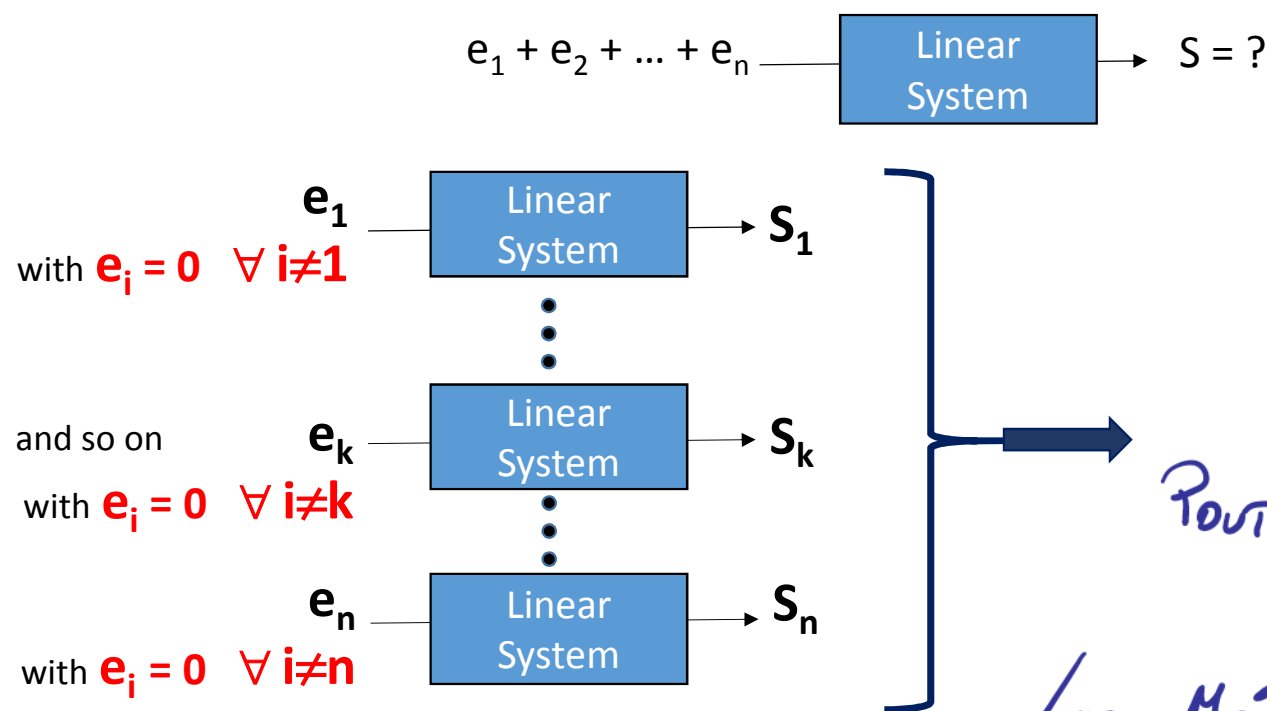
I - Differences Active/Passive & Linear/Nonlinear

HPA (High Power Amplifiers)

2) Linearity (superposition principle)

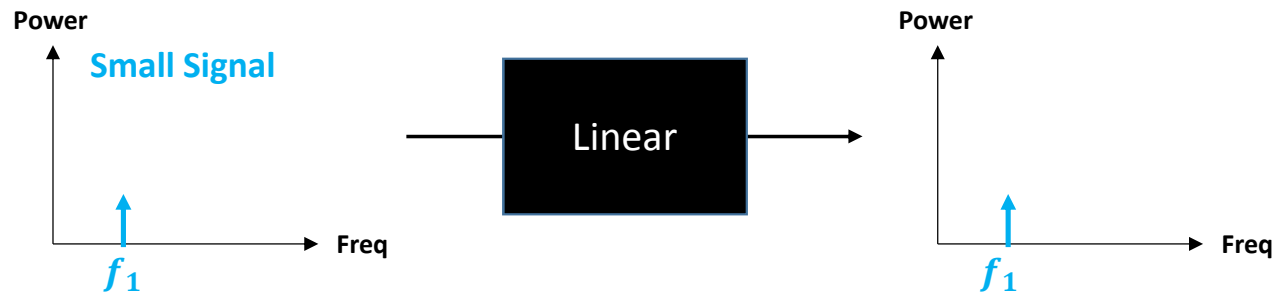
In the case of electrical circuits, the **superposition theorem** states that :

the response of a linear circuit to n independent sources equals the algebraic sum of the n responses caused by each independent source acting alone assuming that all the other independent sources are replaced by their internal impedances.



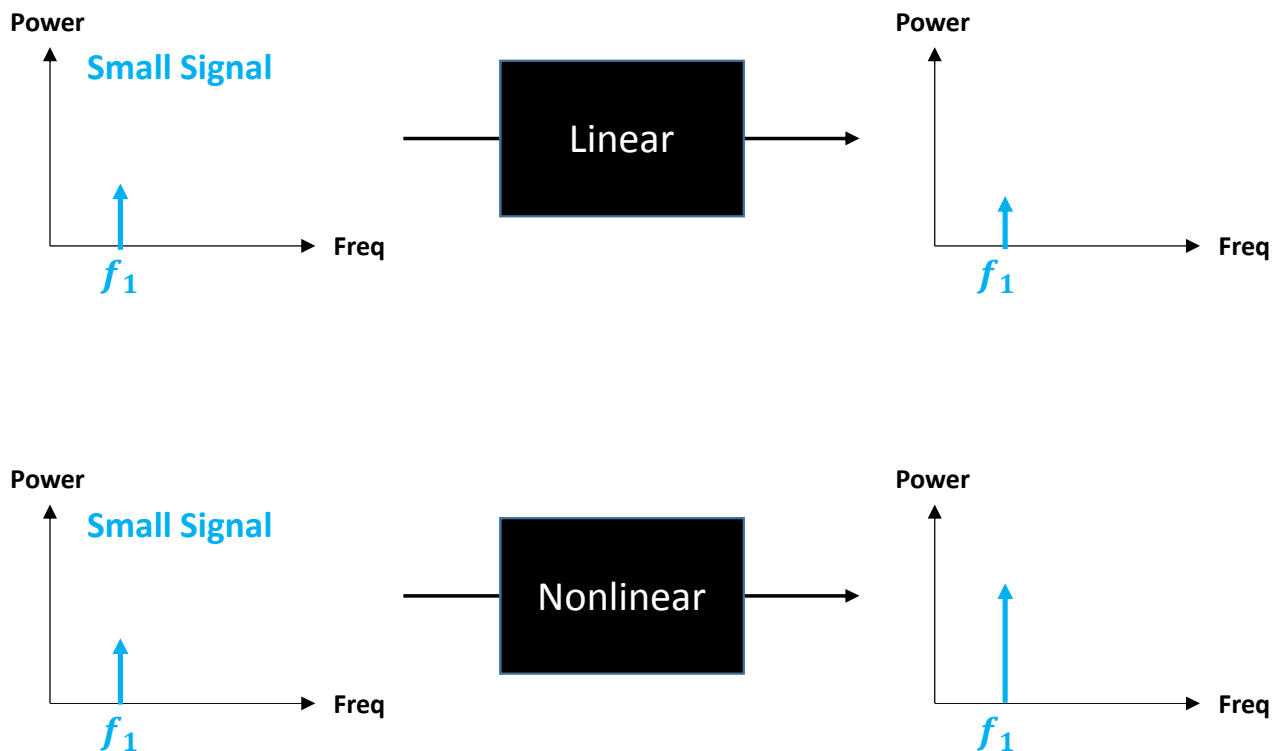
I - Differences Active/Passive & Linear/Nonlinear

2) Linearity / Non Linearity (single carrier)



I - Differences Active/Passive & Linear/Nonlinear

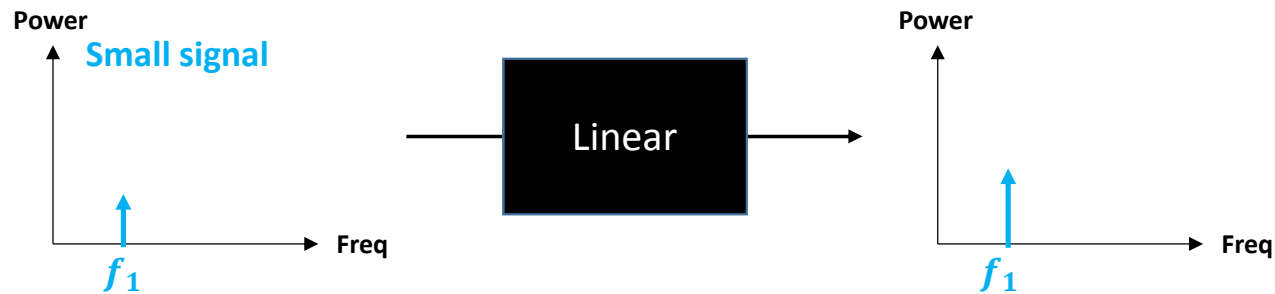
2) Linearity / Non Linearity (single carrier)



Whatever the type of device (linear or nonlinear), its response to small signals remains linear

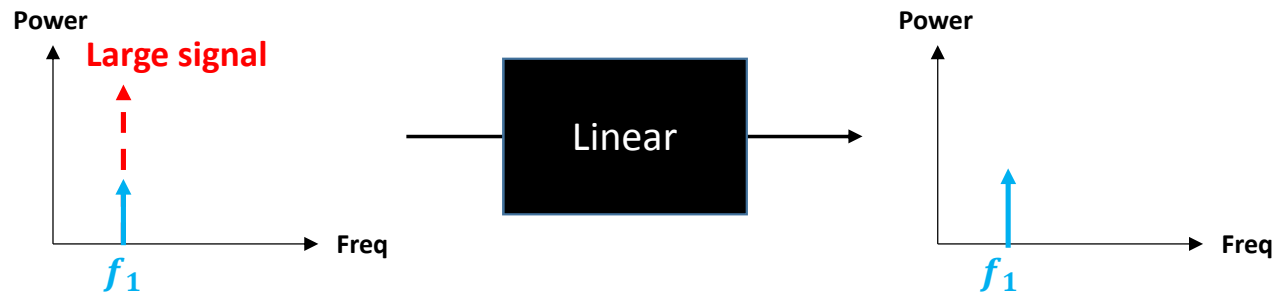
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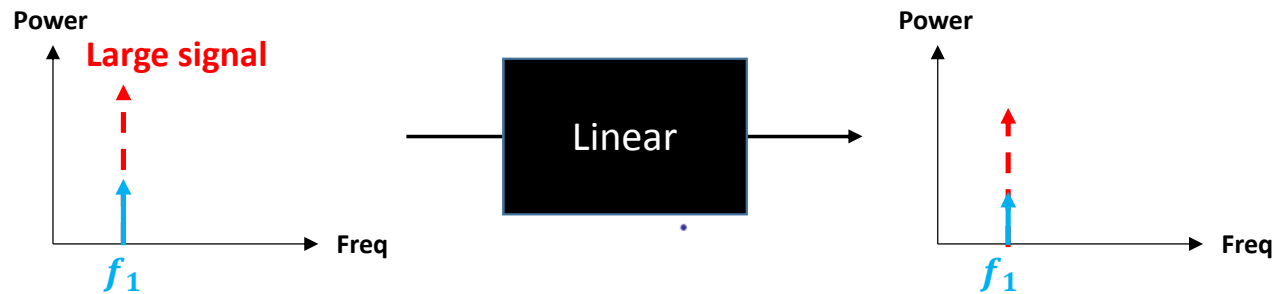
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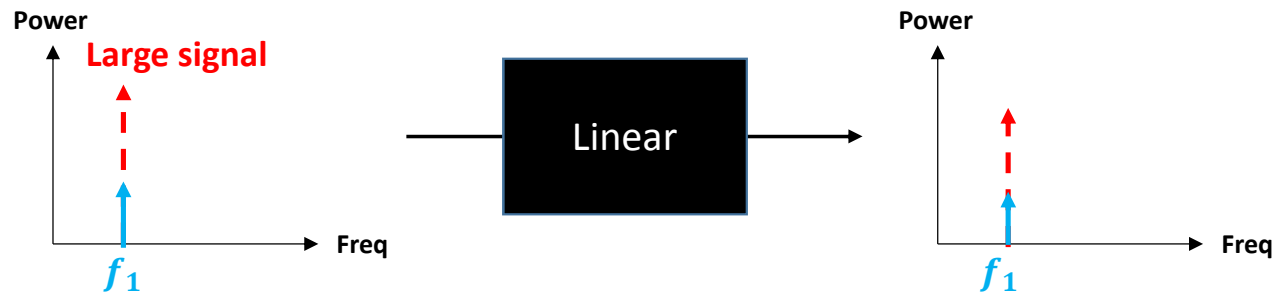
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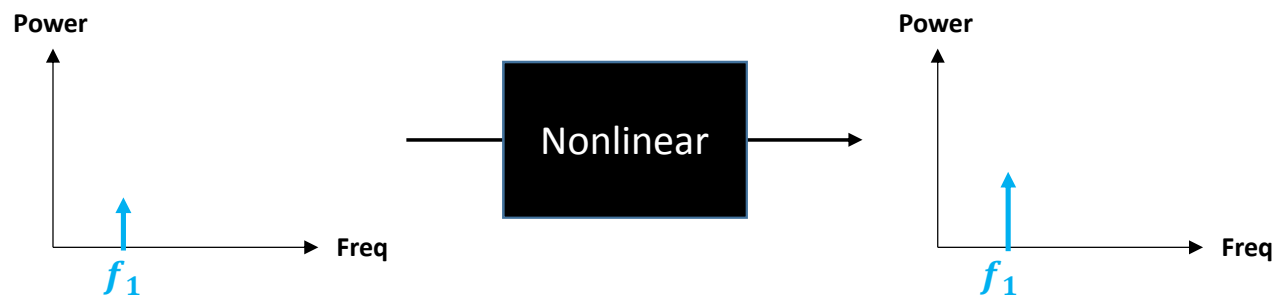
The response
remains linear

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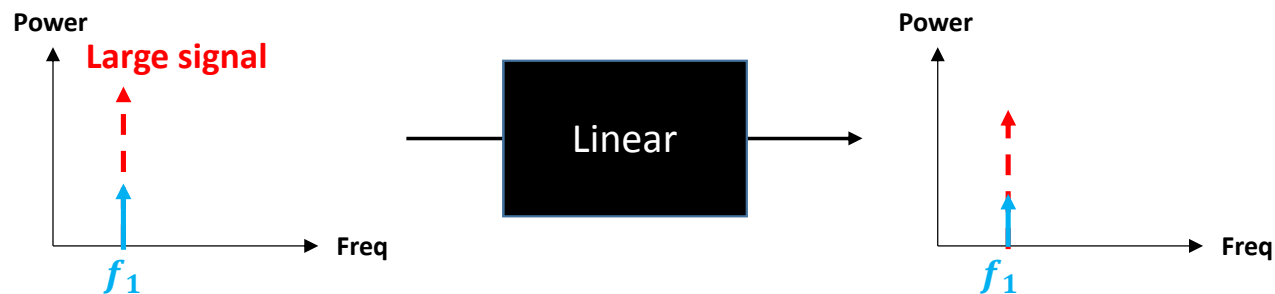


The response remains linear

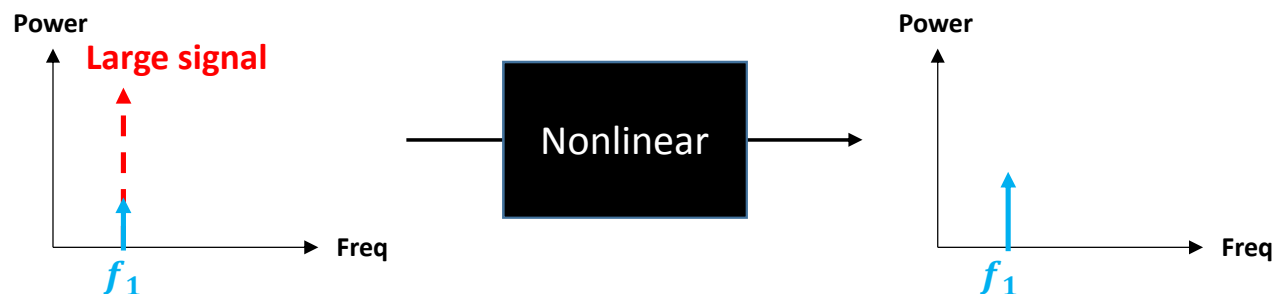


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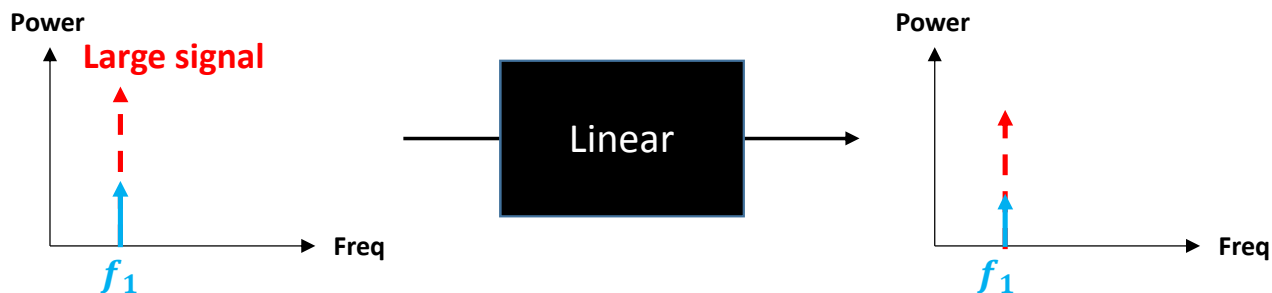


The response remains linear

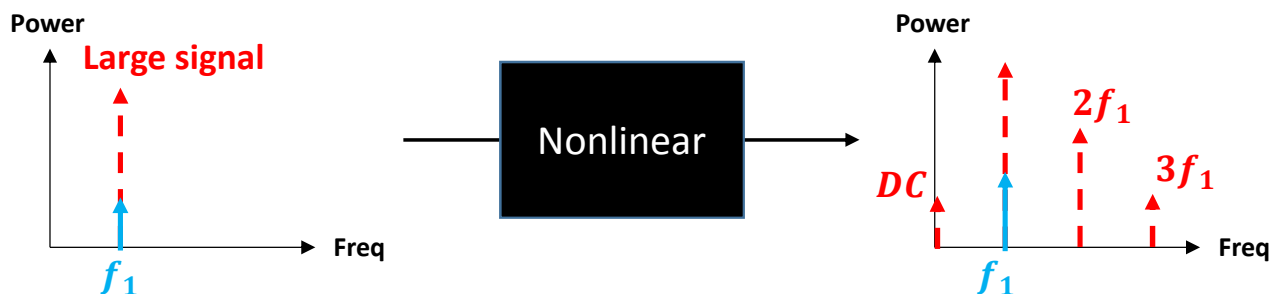


I - Differences Active/Passive & Linear/Nonlinear

2) Linearity / Non Linearity (single carrier)



The response remains linear



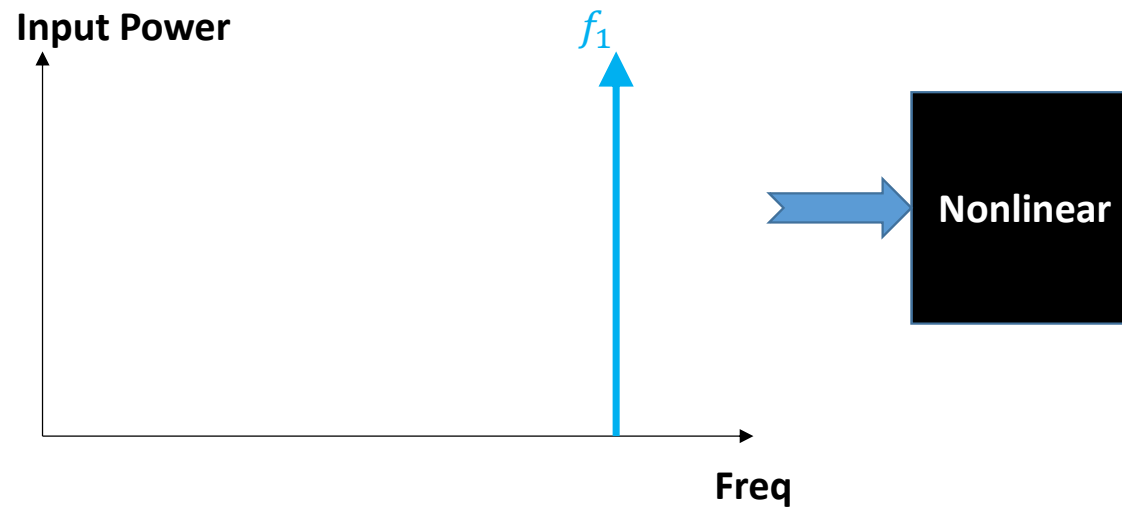
The response is nonlinear (generation of harmonics)

I - Differences Active/Passive & Linear/Nonlinear

3) Frequency generation of nonlinear devices (key property that enables communications (mixers...))

a) Single carrier

A single carrier f_1 in a 3rd order nonlinearity



I - Differences Active/Passive & Linear/Nonlinear

3) Frequency generation of nonlinear devices

a) Single carrier

Illustration using a 3rd order polynomial nonlinearity



I - Differences Active/Passive & Linear/Nonlinear

3) Frequency generation of nonlinear devices

a) Single carrier

Illustration using a 3rd order polynomial nonlinearity




Review:


$$\cos(a + b) = \cos(a) \cdot \cos(b) - \sin(a) \cdot \sin(b)$$

$$\cos(a - b) = \cos(a) \cdot \cos(b) + \sin(a) \cdot \sin(b)$$


$$\cos(a) \cdot \cos(b) = \frac{1}{2} \cos(a + b) + \frac{1}{2} \cos(a - b)$$




$$\cos^2(x) = \frac{1}{2} + \frac{1}{2} \cos(2x)$$



$$\cos^2(x) = \frac{1}{2} [1 + \cos(2x)]$$



$$\cos^3(x) = \frac{1}{2} \cos(x) + \frac{1}{2} \cos(2x) \cos(x) = \frac{1}{2} \cos(x) + \frac{1}{4} \cos(3x) + \frac{1}{4} \cos(x)$$



$$\cos^3(x) = \frac{3}{4} \cos(x) + \frac{1}{4} \cos(3x)$$

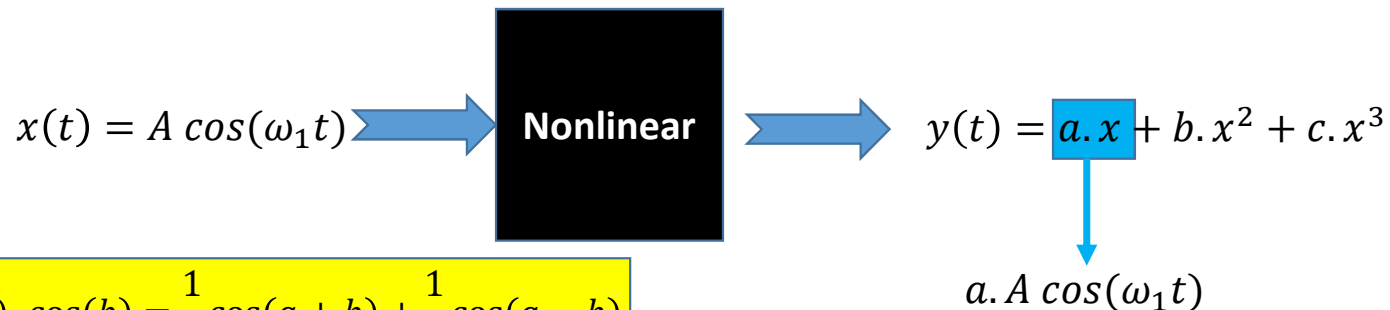
You have to know these equations because they are often used to design nonlinear circuits (mixers ...)

I - Differences Active/Passive & Linear/Nonlinear

3) Frequency generation of nonlinear devices

a) Single carrier

Illustration using a 3rd order polynomial nonlinearity



$$\cos(a) \cdot \cos(b) = \frac{1}{2} \cos(a + b) + \frac{1}{2} \cos(a - b)$$

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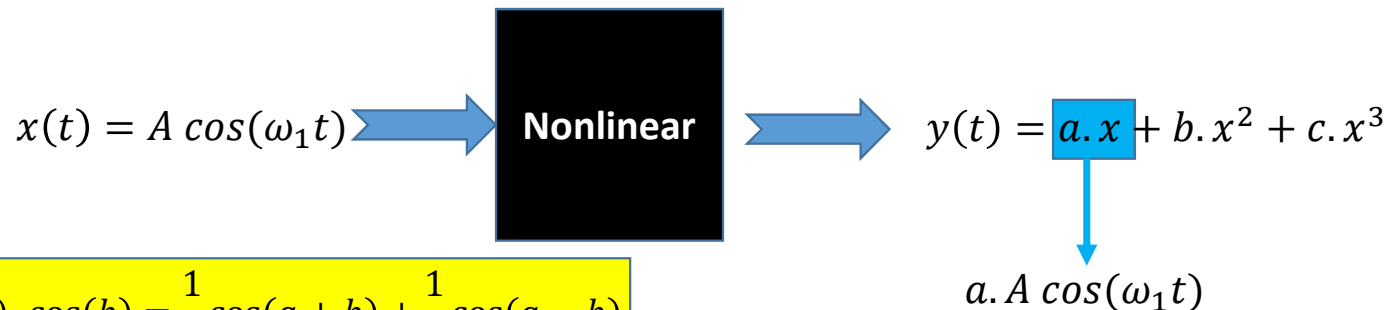
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I - Differences Active/Passive & Linear/Nonlinear

3) Frequency generation of nonlinear devices

a) Single carrier

Illustration using a 3rd order polynomial nonlinearity



$$\cos(a) \cdot \cos(b) = \frac{1}{2} \cos(a + b) + \frac{1}{2} \cos(a - b)$$

$$\cos^2(x) = \frac{1}{2} [1 + \cos(2x)]$$

Even Nonlinearity Order → Even Harmonics less than or equal to the order

Example of \cos^2 (Nonlinearity Order 2 → Harmonics 0 and 2)

$$\cos^3(x) = \frac{3}{4} \cos(x) + \frac{1}{4} \cos(3x)$$

Odd Nonlinearity Order → Odd Harmonics less than or equal to the order

Example of \cos^3 (Nonlinearity Order 3 → Harmonics 3 and 1)

→ Without calculation of \cos^4 , we know that a nonlinearity order of 4 → Harmonics 4, 2 and 0
 Without calculation of \cos^5 , we know that a nonlinearity order of 5 → Harmonics 5, 3 and 1

I - Differences Active/Passive & Linear/Nonlinear

3) Frequency generation of nonlinear devices

a) Single carrier

Illustration using a 3rd order polynomial nonlinearity

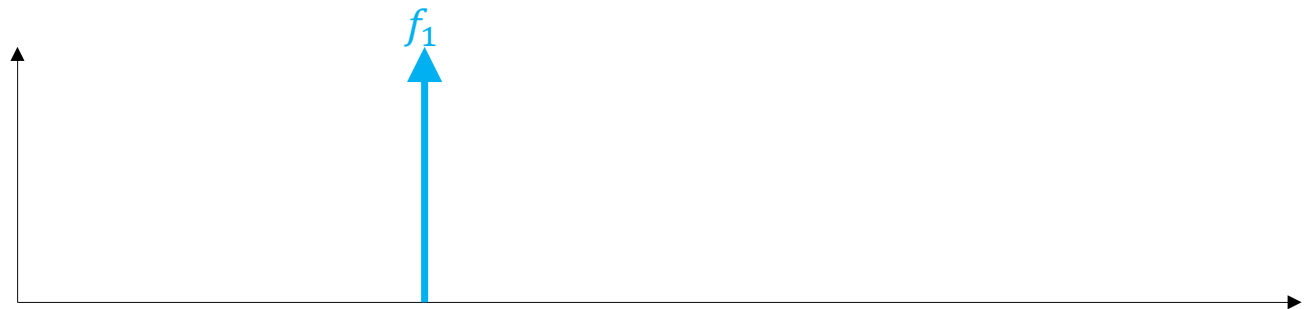


$a \cdot A \cos(\omega_1 t)$ **1st order of nonlinearity → Harmonic 1**

$$\cos(a) \cdot \cos(b) = \frac{1}{2} \cos(a + b) + \frac{1}{2} \cos(a - b)$$

$$\cos^2(x) = \frac{1}{2} [1 + \cos(2x)]$$

$$\cos^3(x) = \frac{3}{4} \cos(x) + \frac{1}{4} \cos(3x)$$



I - Differences Active/Passive & Linear/Nonlinear

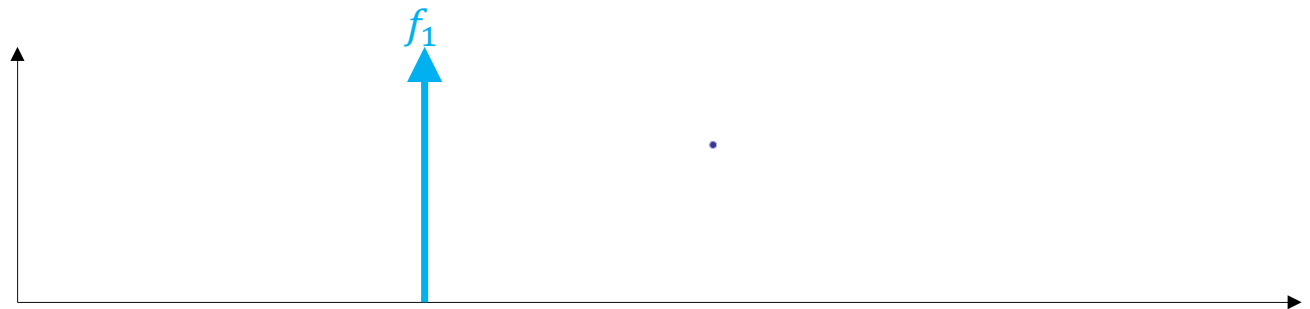
3) Frequency generation of nonlinear devices

a) Single carrier

Illustration using a 3rd order polynomial nonlinearity



$$\frac{b.A^2}{2} + \frac{b.A^2}{2} \cos(2\omega_1 t)$$



$$\cos(a) \cdot \cos(b) = \frac{1}{2} \cos(a+b) + \frac{1}{2} \cos(a-b)$$

$$\cos^2(x) = \frac{1}{2} [1 + \cos(2x)]$$

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I - Differences Active/Passive & Linear/Nonlinear

3) Frequency generation of nonlinear devices

a) Single carrier

Illustration using a 3rd order polynomial nonlinearity



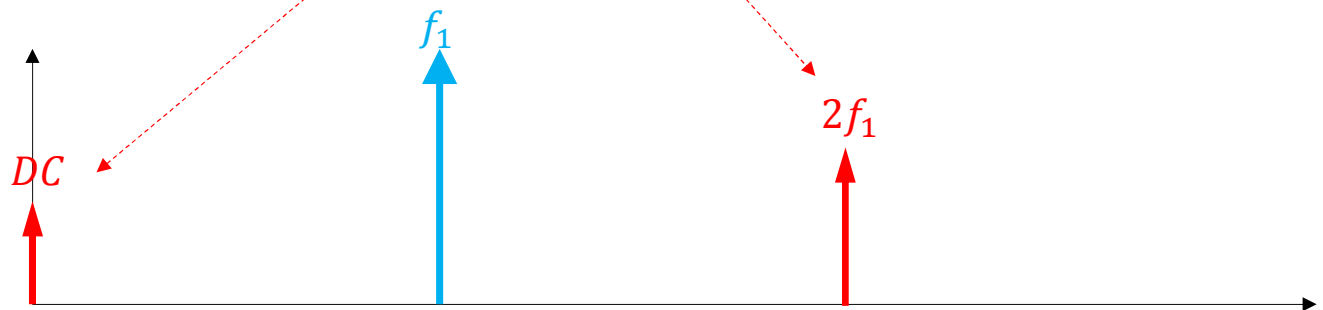
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$$\frac{b.A^2}{2} + \frac{b.A^2}{2} \cos(2\omega_1 t)$$

**2nd order of nonlinearity
→ Harmonics 0 and 2**

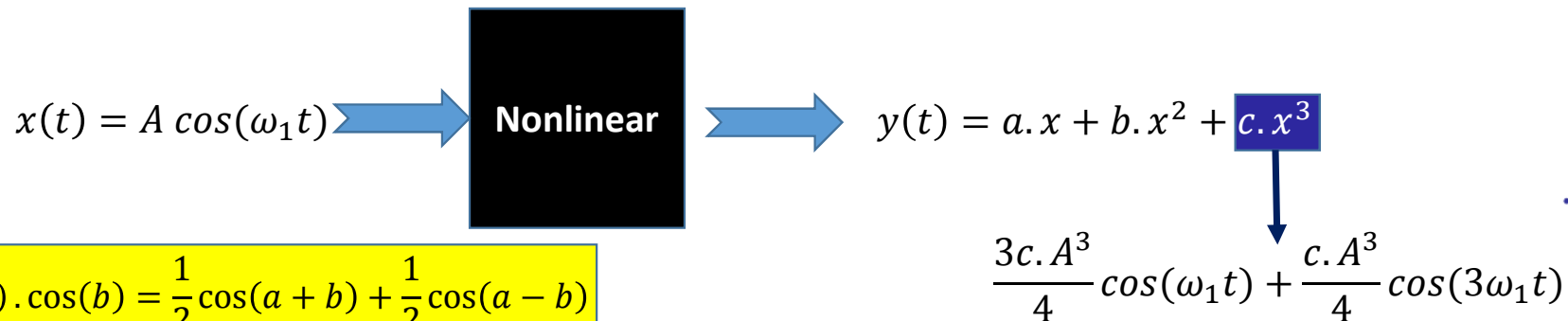


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3) Frequency generation of nonlinear devices

a) Single carrier

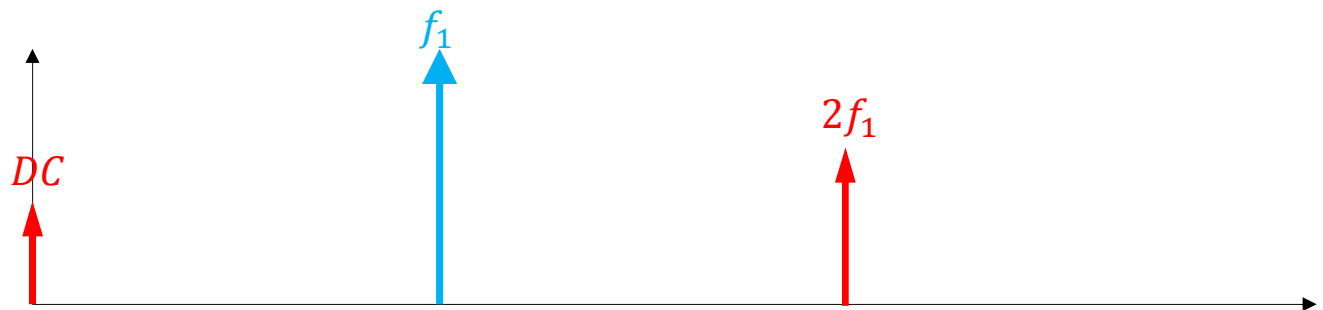
Illustration using a 3rd order polynomial nonlinearity



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3) Frequency generation of nonlinear devices

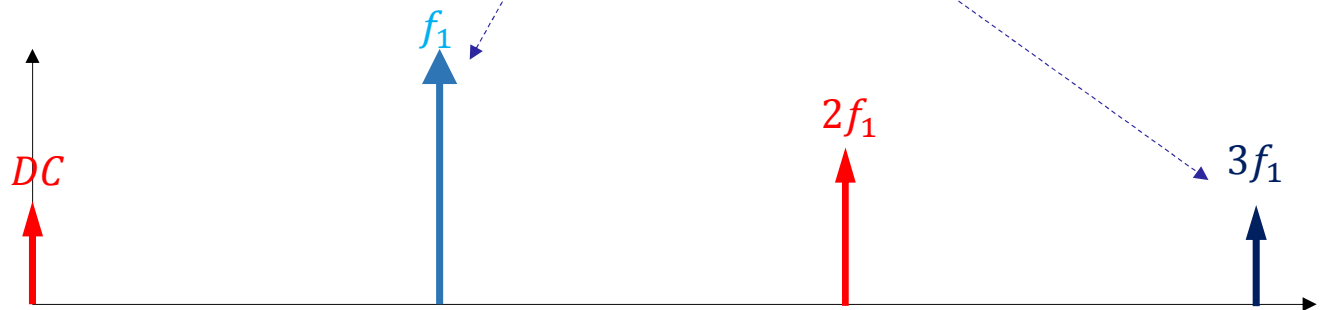
a) Single carrier

Illustration using a 3rd order polynomial nonlinearity



$$\frac{3c.A^3}{4} \cos(\omega_1 t) + \frac{c.A^3}{4} \cos(3\omega_1 t)$$

3rd order of nonlinearity → Harmonics 1 and 3



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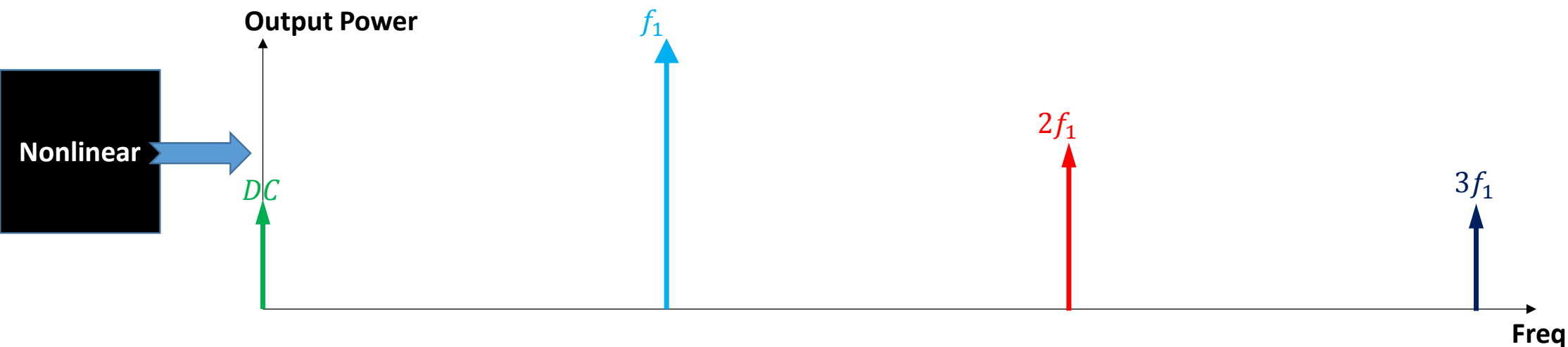
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3) Frequency generation of nonlinear devices

a) Single carrier

A single carrier f_1 in a n^{th} order nonlinearity \rightarrow harmonics pf_1 with $p \leq n$



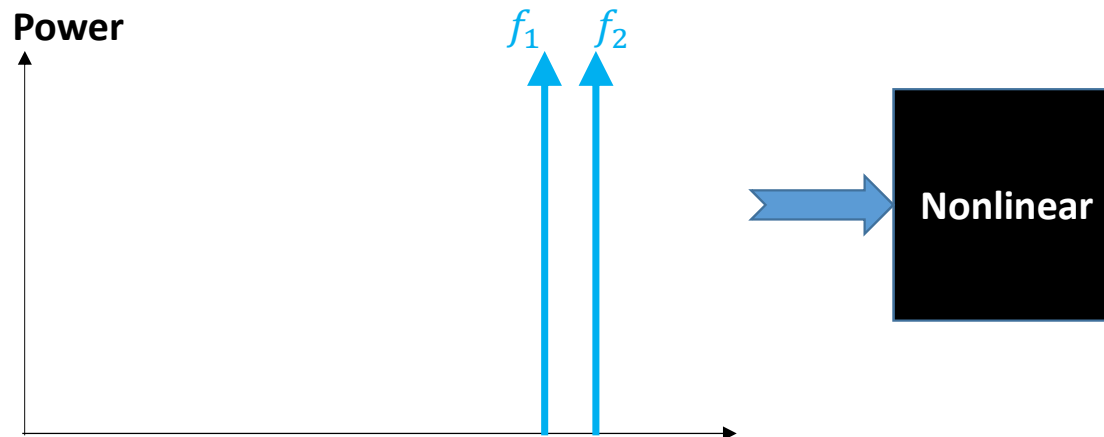
I - Differences Active/Passive & Linear/Nonlinear

3) Frequency generation of nonlinear devices

b) Multiple carriers (**example of two carriers** → **intermodulation products or mixing products**)

disadvantage for amplifiers required for mixers

Two carriers f_1 and f_2 in a 3rd order nonlinearity



I - Differences Active/Passive & Linear/Nonlinear

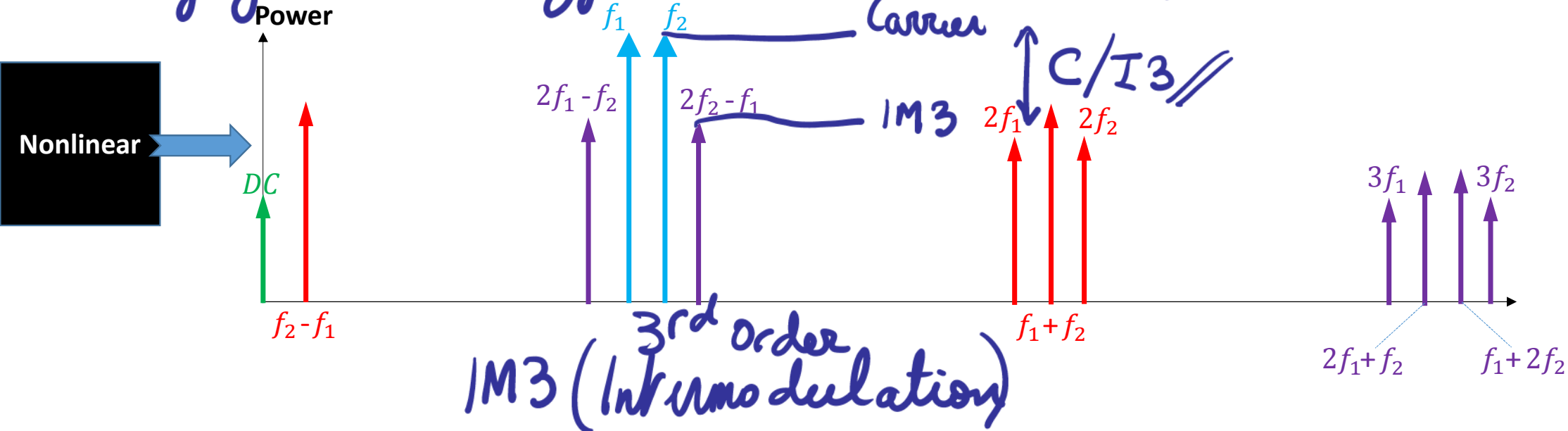
3) Frequency generation of nonlinear devices

b) Multiple carriers (example of two carriers → intermodulation products)

Two carriers f_1 and f_2 in a 3rd order nonlinearity → intermodulation products $(\pm p f_1 \pm q f_2)$ with $\max(p + q) \leq 3$

ADS (Advanced Design System)
Keyright Technology

Agilent Technology
(p + q) is the order of nonlinearity
HP



I - Differences Active/Passive & Linear/Nonlinear

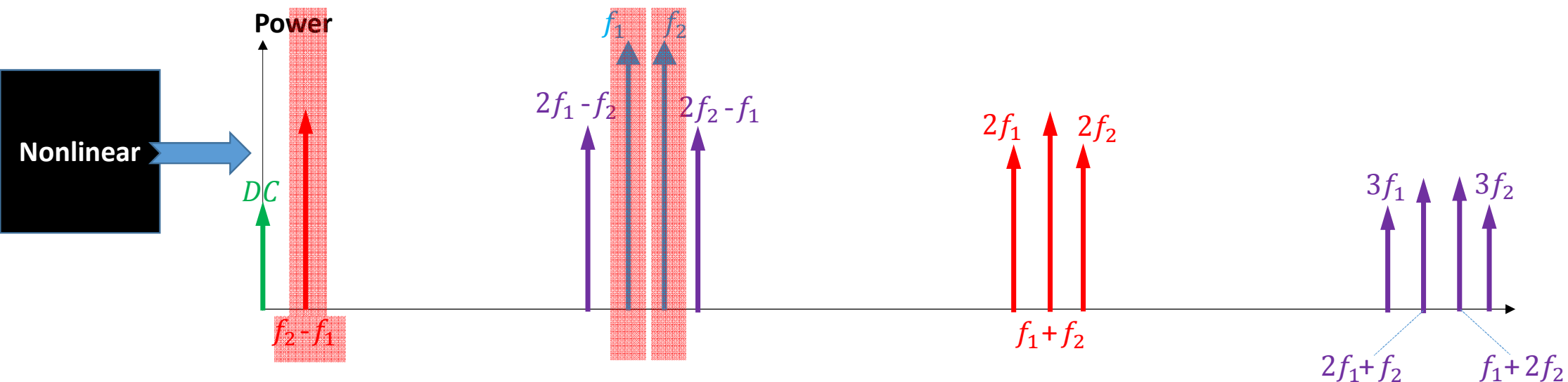
3) Frequency generation of nonlinear devices

b) Multiple carriers (example of two carriers → intermodulation products)

Two carriers f_1 and f_2 in a 3rd order nonlinearity → **intermodulation products** $(\pm p f_1 \pm q f_2)$ with $\max(p + q) \leq 3$

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**2nd order intermodulation (IM2) → Mixing Function (IF, LO, RF)
→ Frequency doublers**



I - Differences Active/Passive & Linear/Nonlinear

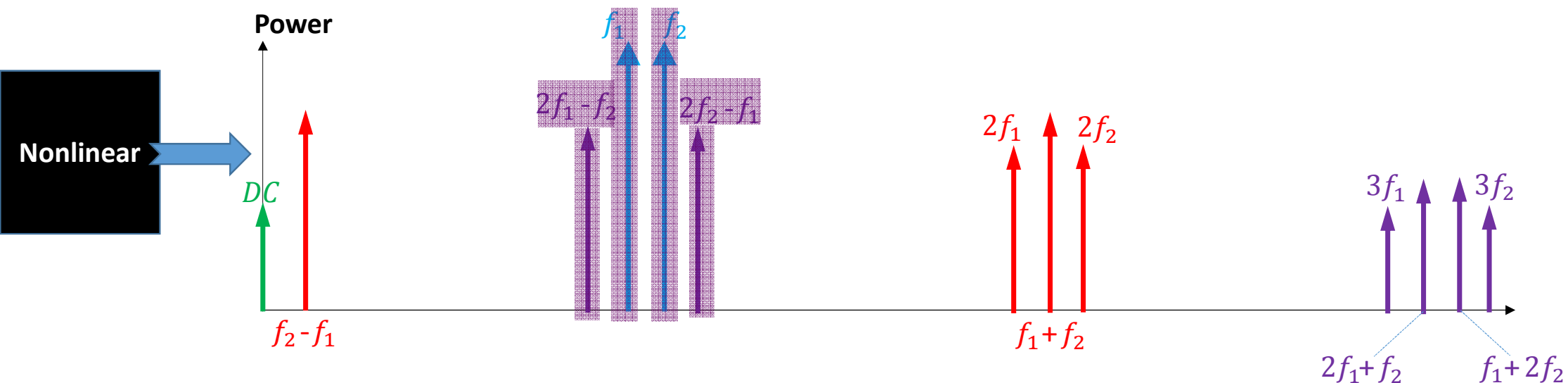
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b) Multiple carriers (example of two carriers → intermodulation products)

Two carriers f_1 and f_2 in a 3rd order nonlinearity → **intermodulation products** $(\pm p f_1 \pm q f_2)$ with $\max(p + q) \leq 3$

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3rd order intermodulation (IM3) → Critical for multiple access



II – Brief Overview of High-Frequency Active Devices for Power Amplifiers

1) Low Frequency (up to 100MHz)

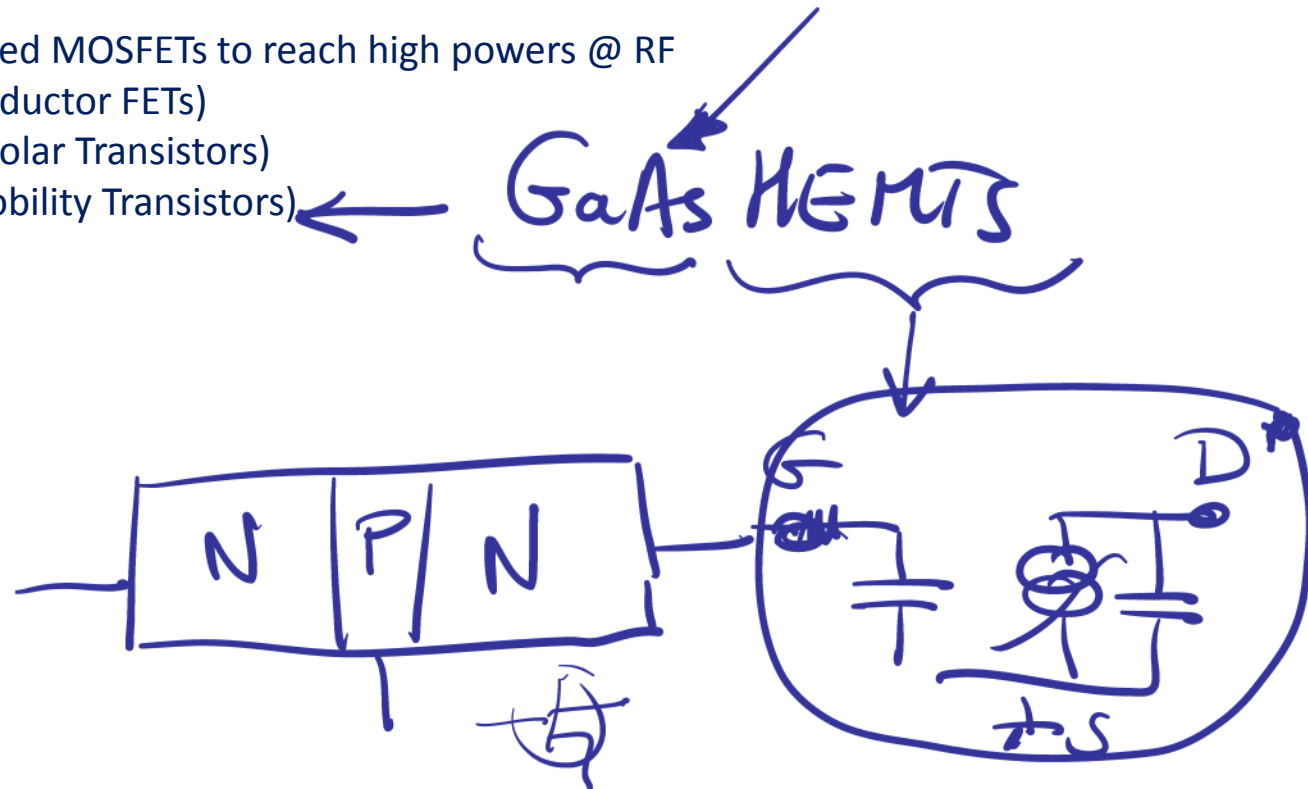
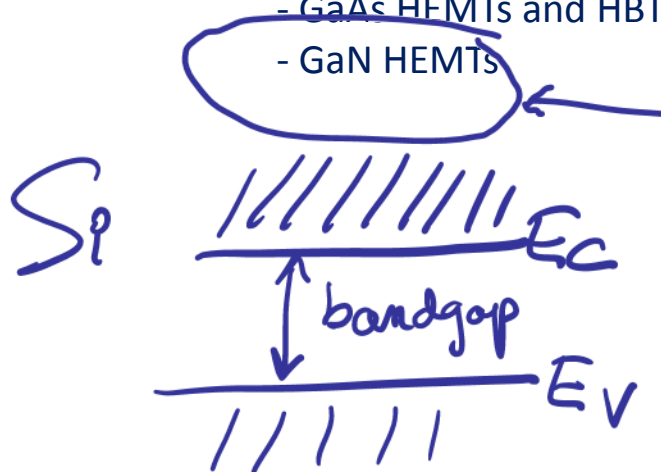
- Well established consumer electronics / High level of integration / Low cost / High volumes
- Silicon Bipolar Transistors / MOSFETs (Metal Oxide Semiconductor FETs / BiCMOS ...)

2) Radio Frequency (up to 2-3 GHz)

- Silicon LDMOS (Laterally Diffused MOSFETs to reach high powers @ RF)
- GaAs MESFETs (Metal Semiconductor FETs)
- GaAs HBTs (Heterojunction Bipolar Transistors)
- GaAs HEMTs (High Electron Mobility Transistors)

3) High Frequency (up to 100 GHz)

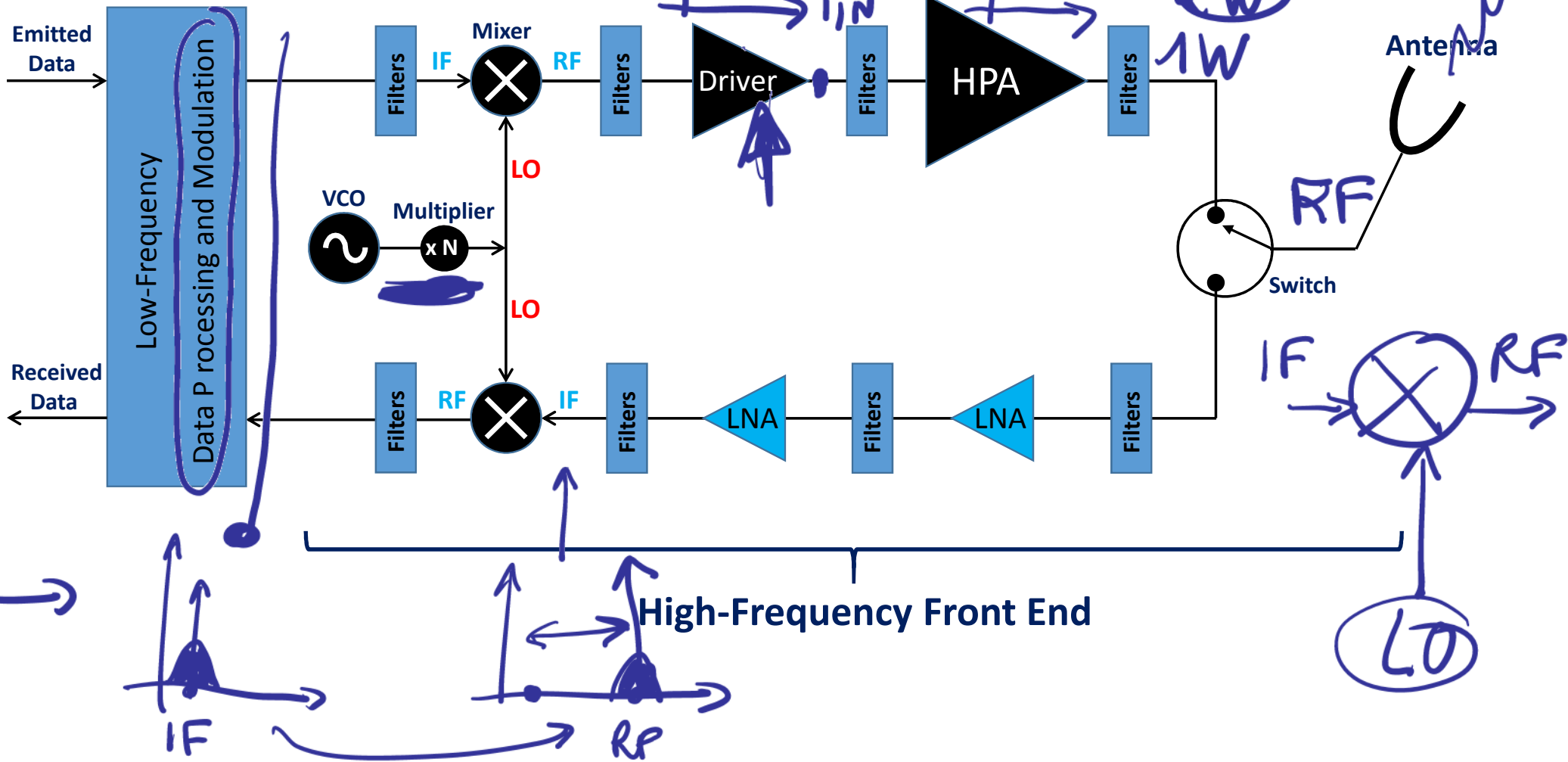
- GaAs HEMTs and HBT
- GaN HEMTs



$LO \rightarrow f_{osc}$
 $VCO \rightarrow \cancel{f_{osc}}$

III – High-Frequency Active Circuits in Communication Systems

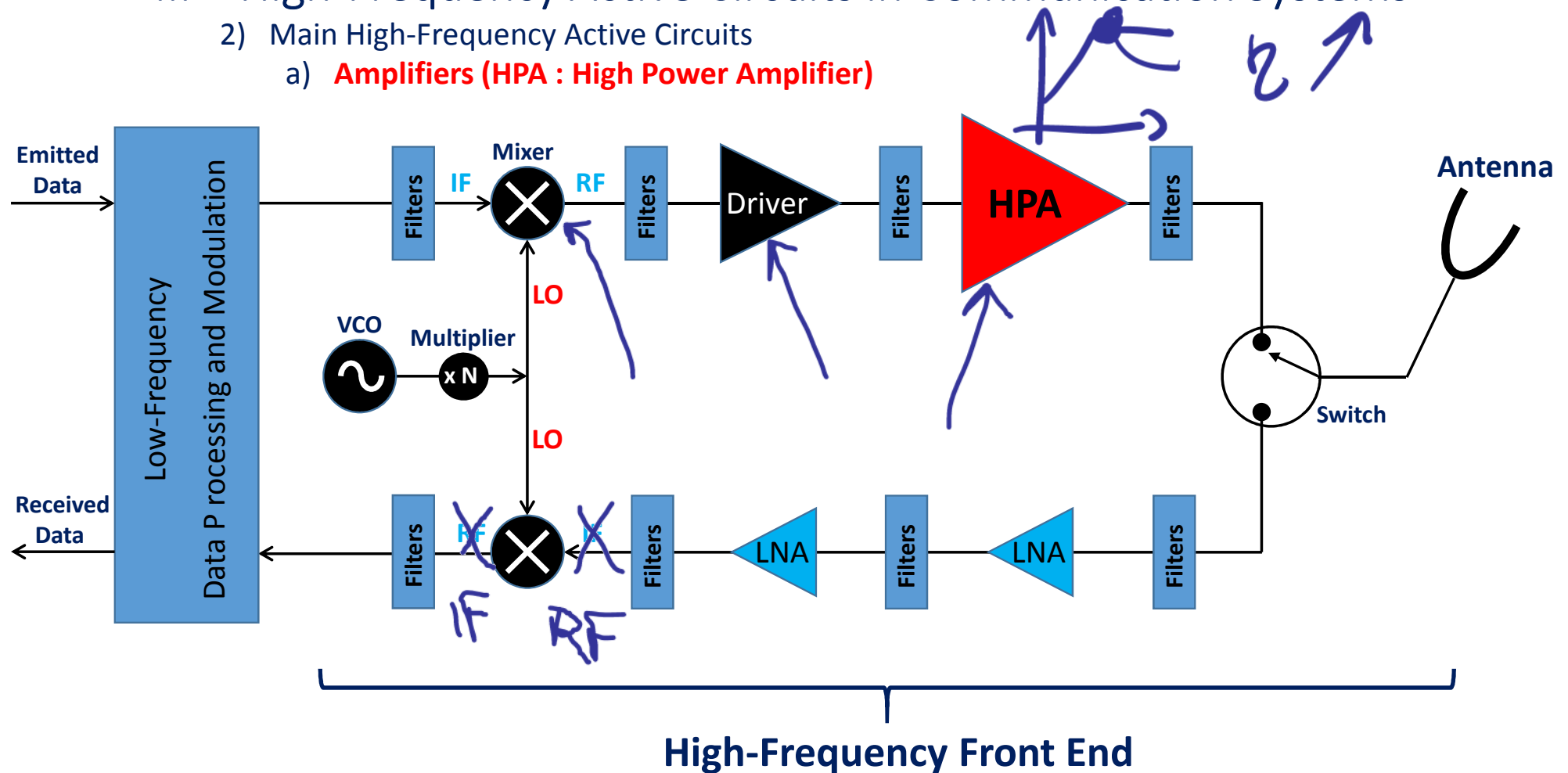
1) Example (Simplified Block Diagram of E/R Functions)



III – High-Frequency Active Circuits in Communication Systems

2) Main High-Frequency Active Circuits

a) **Amplifiers (HPA : High Power Amplifier)**



Thales Alenia Space (Toulouse)

Payload of satellite

Rover

UMS

United Monolithic

Paris

Semi Conductors

GaAs

GaN

HEMTs

FR

BRESCIA

ITALY

CORSICA

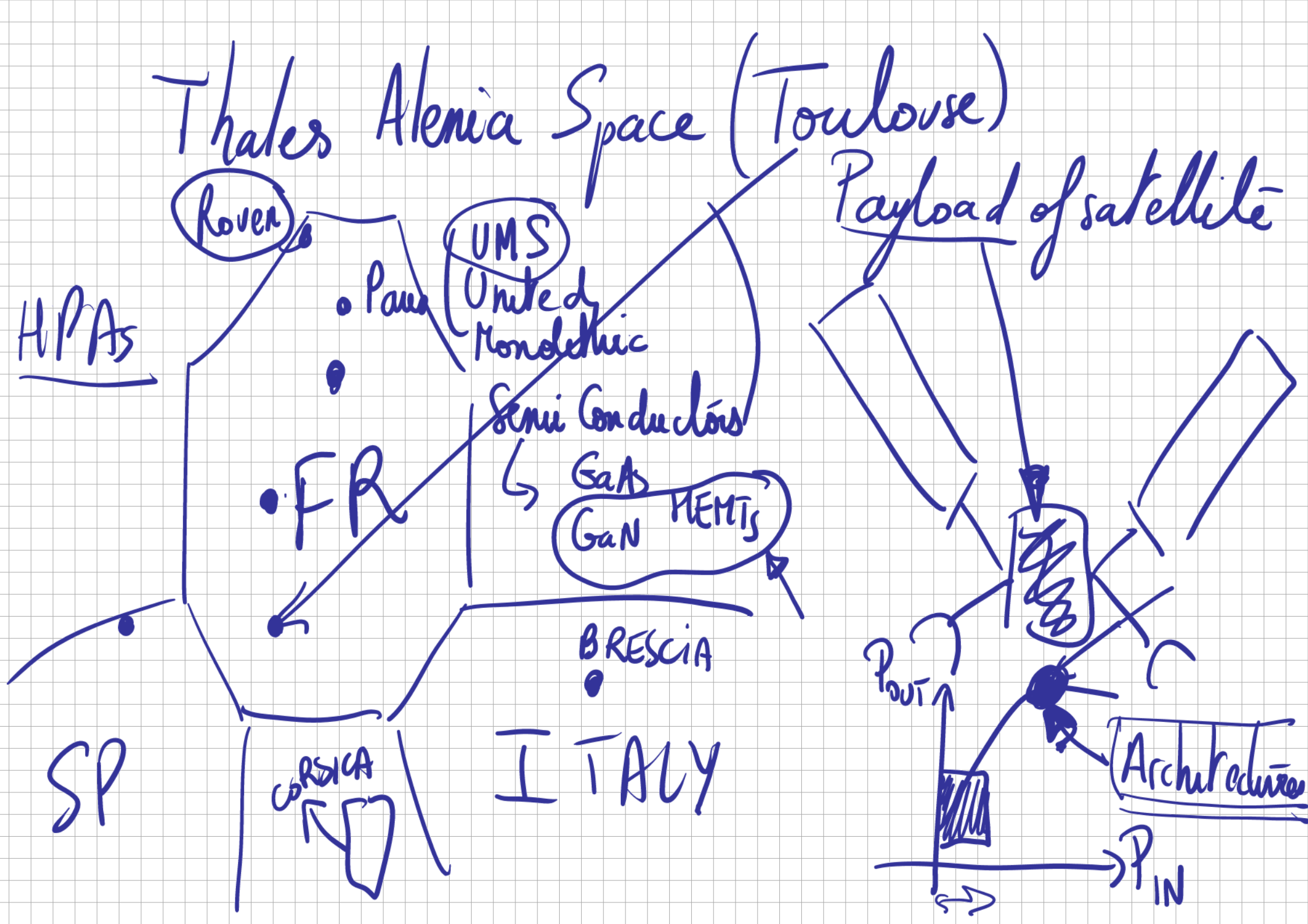
SP

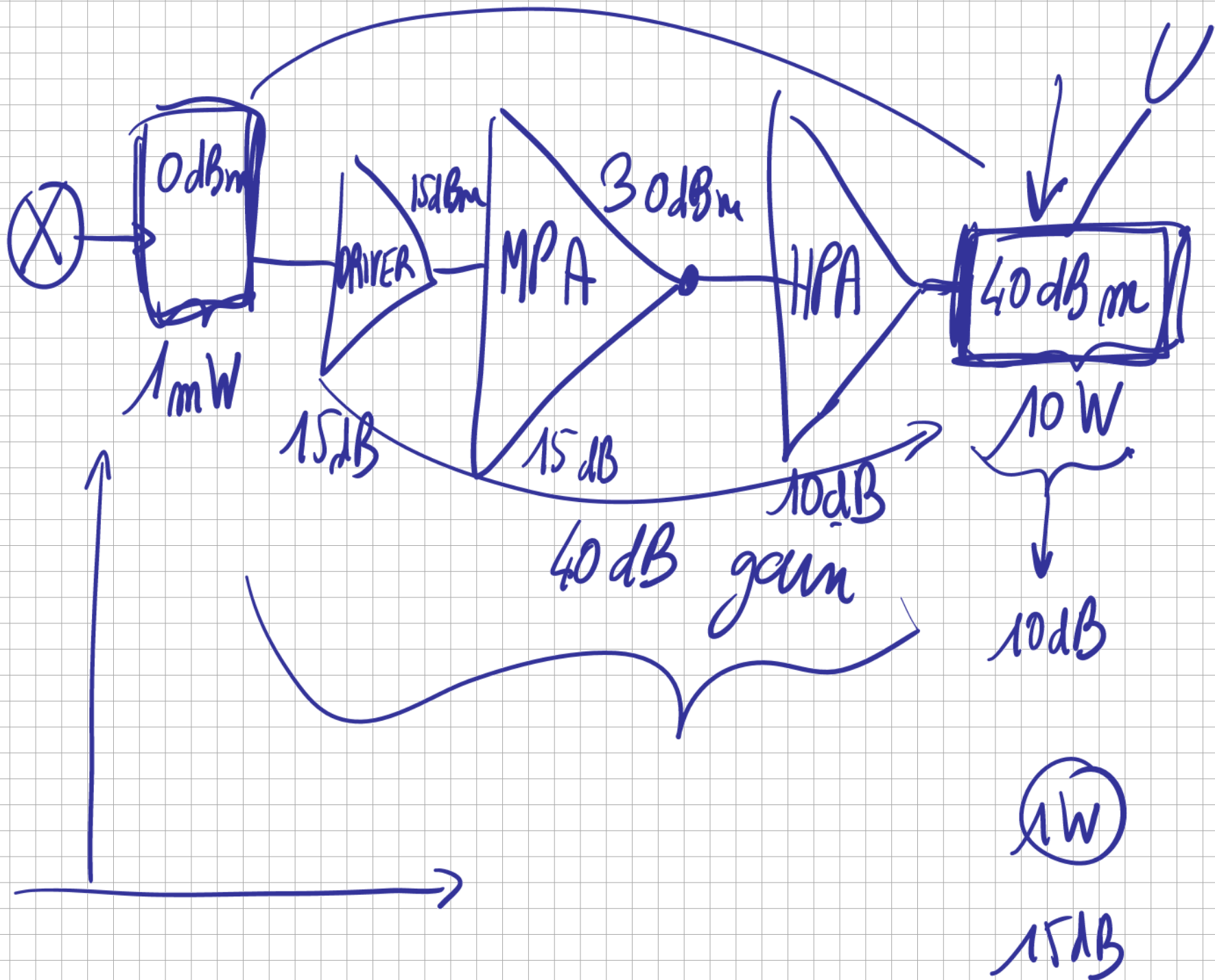
HPAs

P_{OUT}

Architecture

P_{IN}

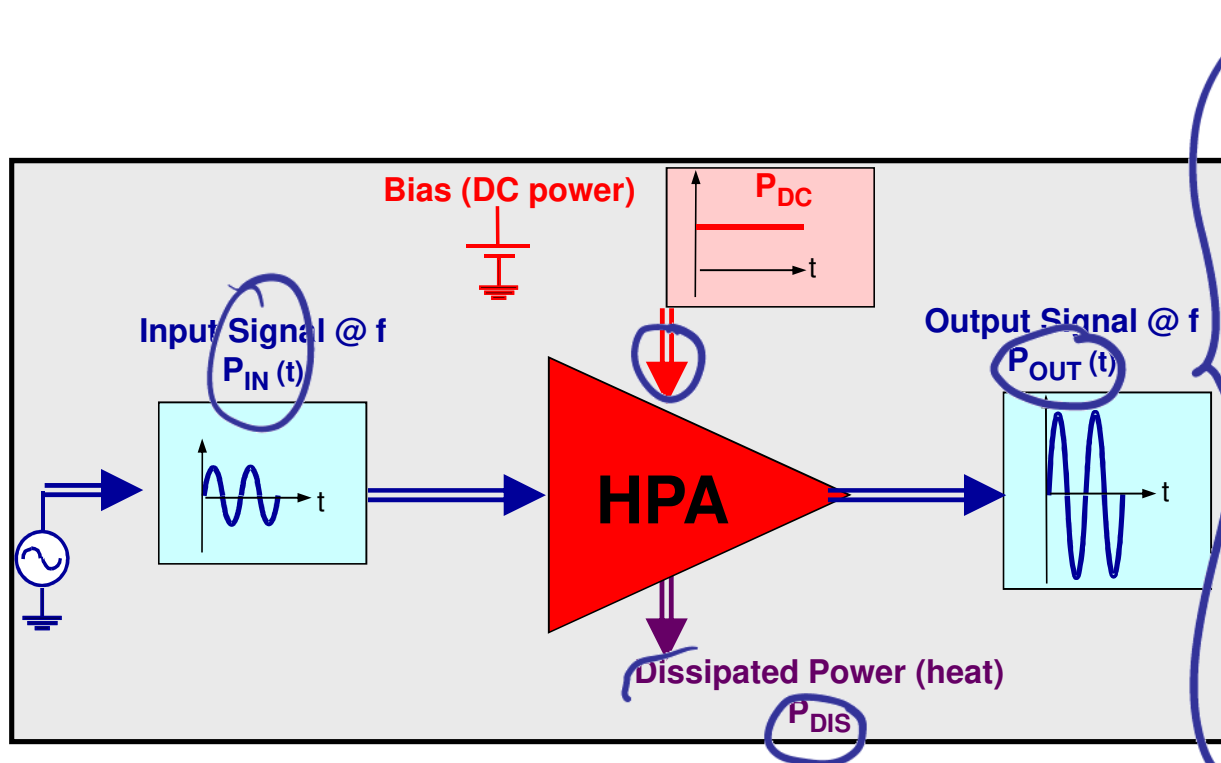




III – High-Frequency Active Circuits in Communication Systems

2) Main High-Frequency Active Circuits

a) Amplifiers (HPA : High Power Amplifier)



$$\sum \text{Input Powers} = \sum \text{Output Powers}$$

$$P_{IN} + P_{DC} = P_{OUT} + P_{DIS}$$

Power gain

$$G = P_{OUT} / P_{IN}$$

and

Power Added Efficiency

$$PAE = (P_{OUT} - P_{IN}) / P_{DC}$$

$$\rightarrow P_{DIS} = P_{DC} - (P_{OUT} - P_{IN}) = P_{DC} - PAE \cdot P_{DC}$$

Dissipated Power

$$\rightarrow P_{DIS} = P_{DC} (1 - PAE)$$

Temperature Increase

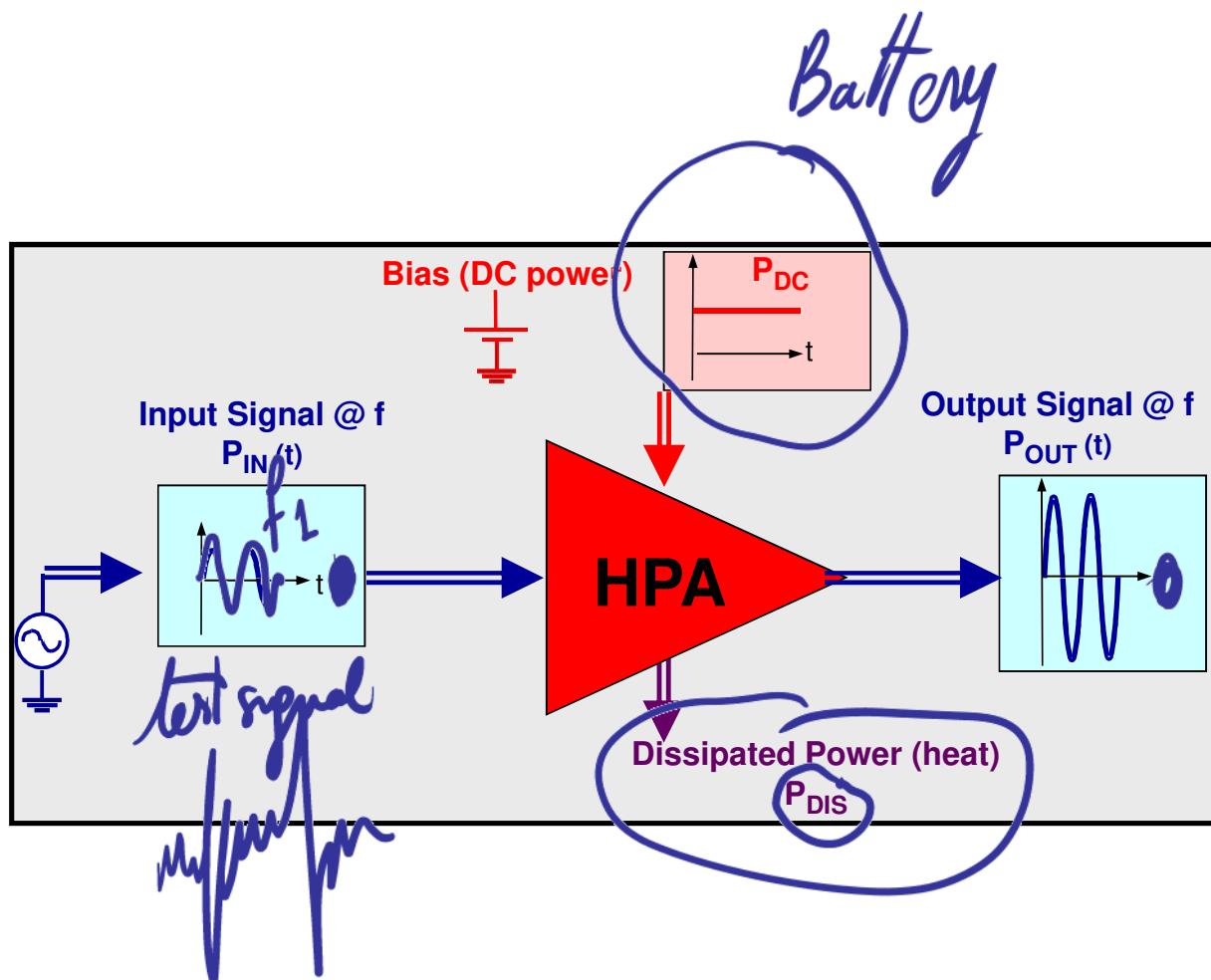
$$\Delta T \sim P_{DIS}$$

100%

III – High-Frequency Active Circuits in Communication Systems

2) Main High-Frequency Active Circuits

a) Amplifiers (HPA : High Power Amplifier)



$$\frac{P_{OUT}(t) - P_{IN}(t)}{P_{DC}}$$

Only one accurate definition of efficiency
(same value at low-frequency because of higher gain)

Power Efficiency

$$\eta = P_{OUT} / P_{IN}$$

Power Added Efficiency

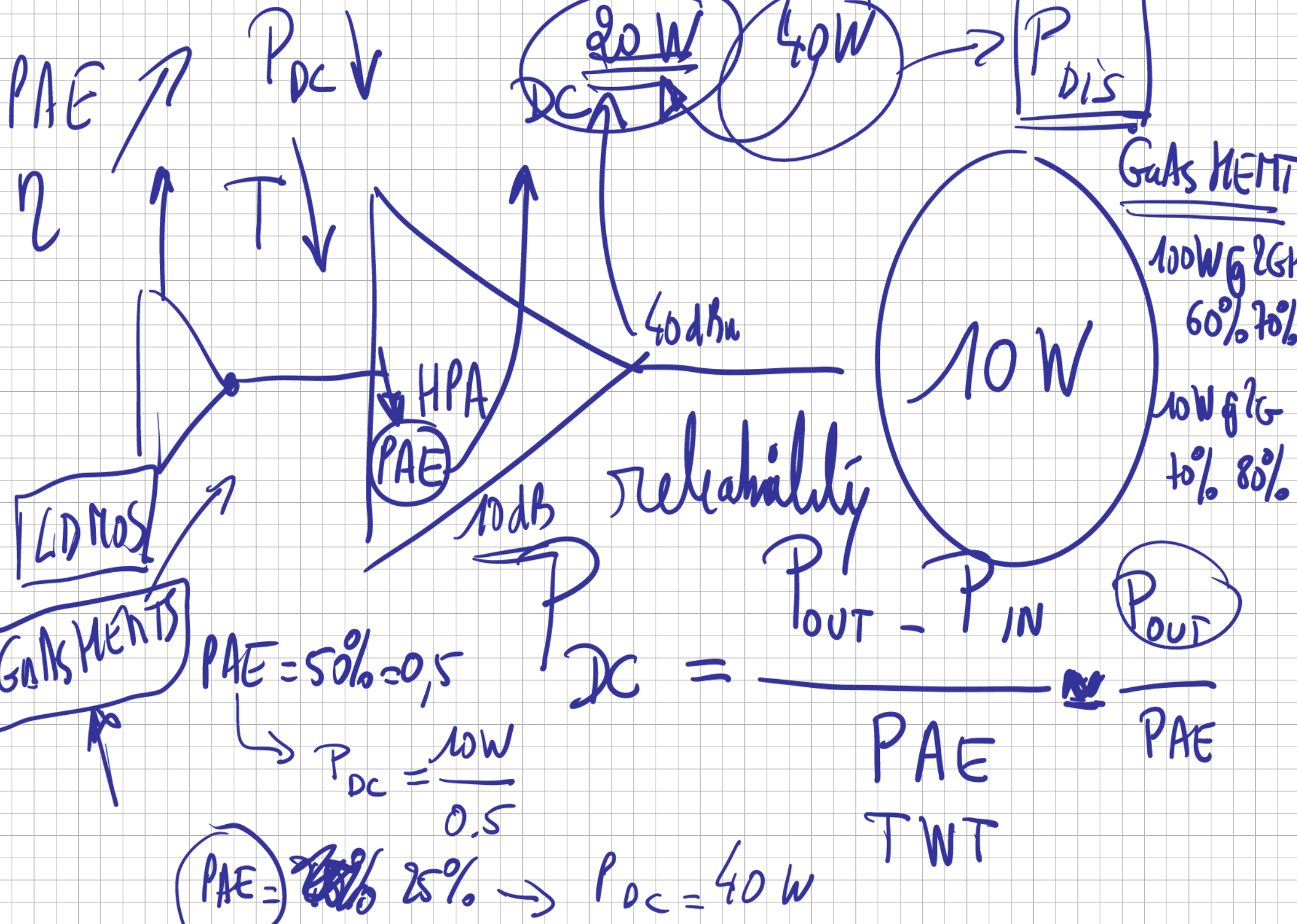
$$PAE = (P_{OUT} - P_{IN}) / P_{DC}$$

Handwritten calculations:

$$P_{DC} = 1W$$

$$1W - 0.1W$$

$$1W - 2W$$



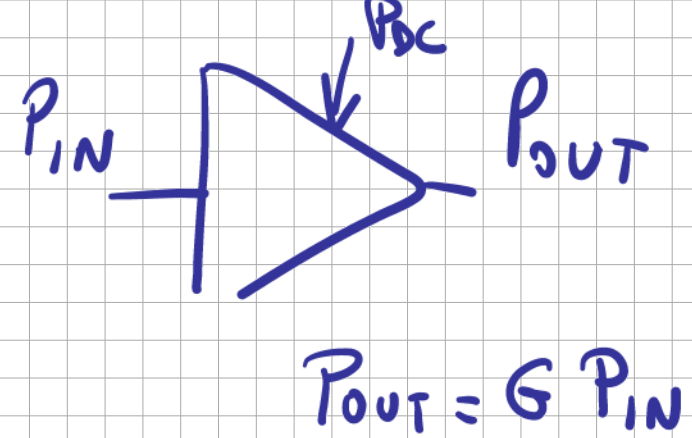
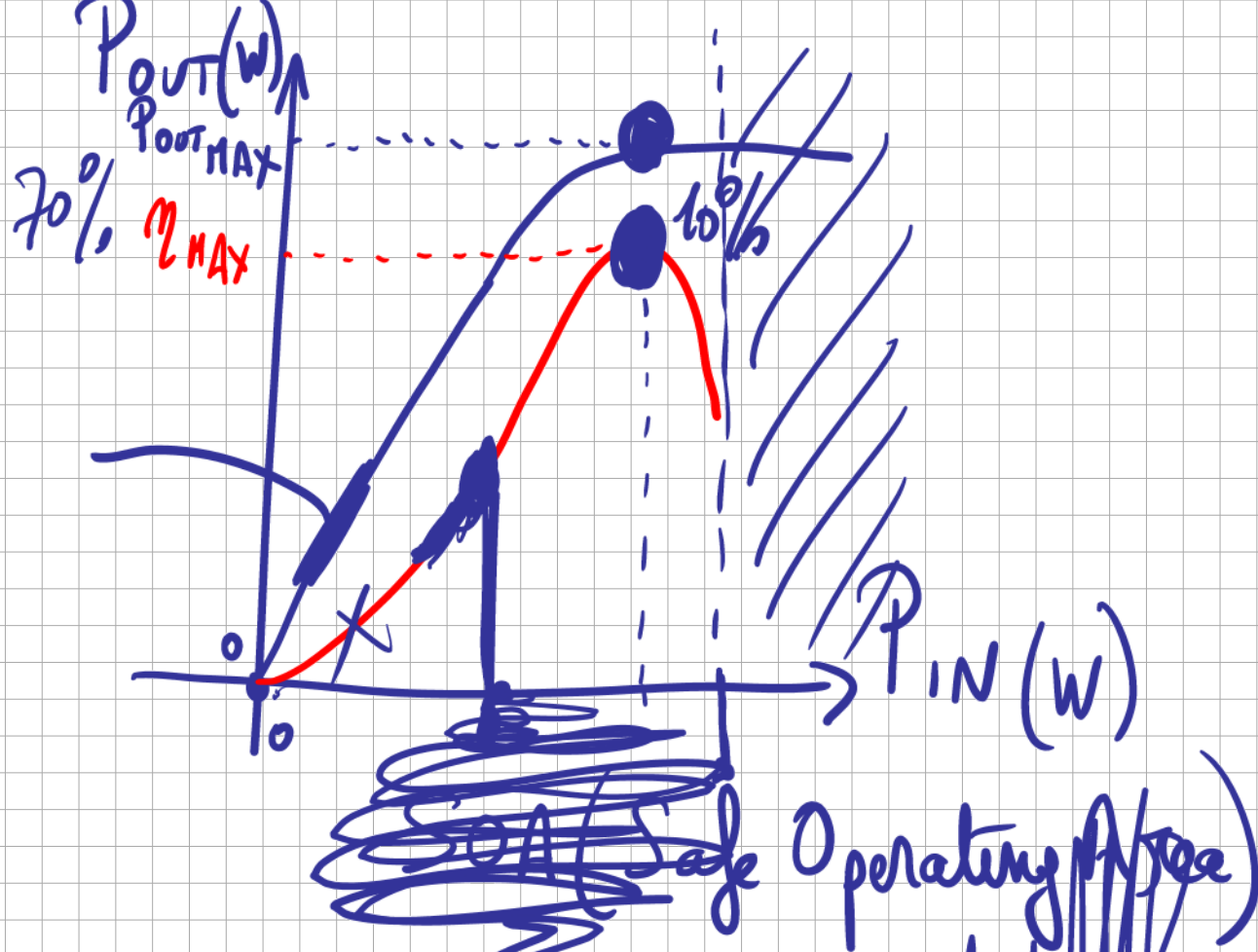
HPA using transistors

→ SSPA (Solid State Power Amplifiers)

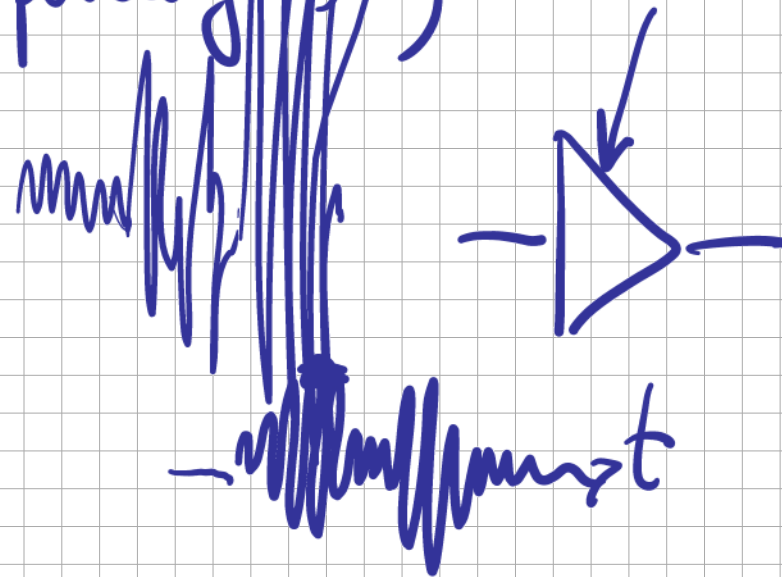
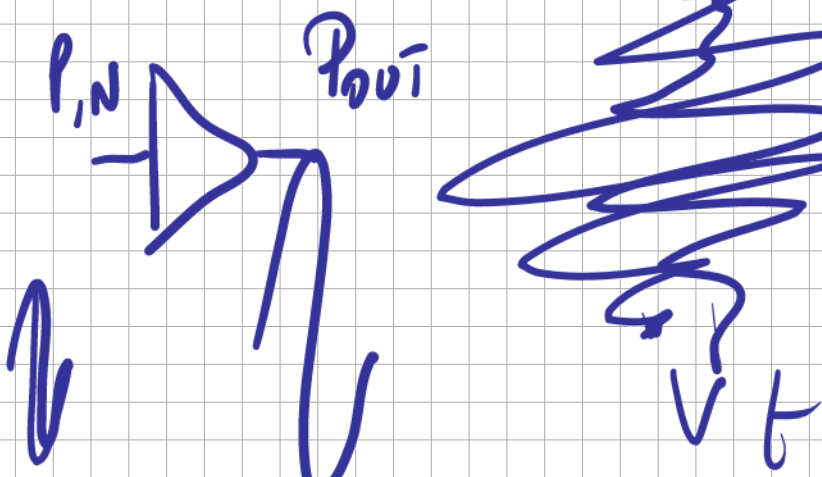
TWT (Travelling Wave Tubes) Size ↑

Satellite (Cost < Reliability)

Mobile Phone (Cost > Reliability)



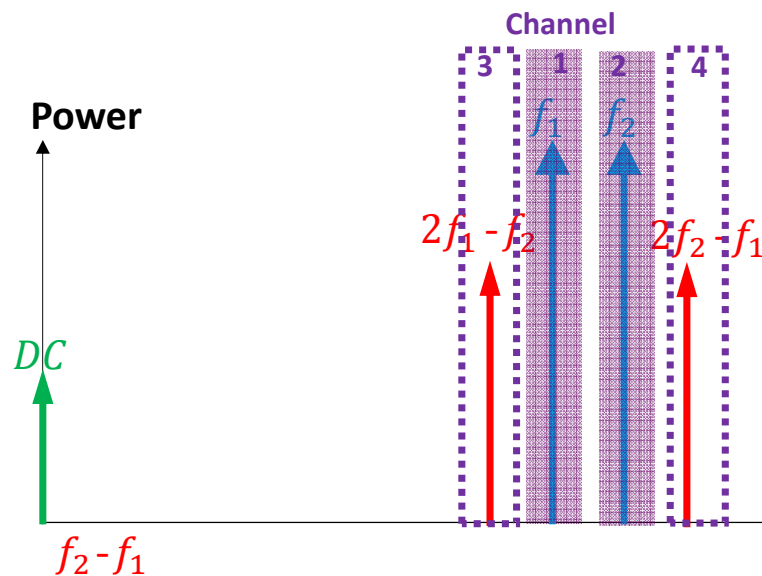
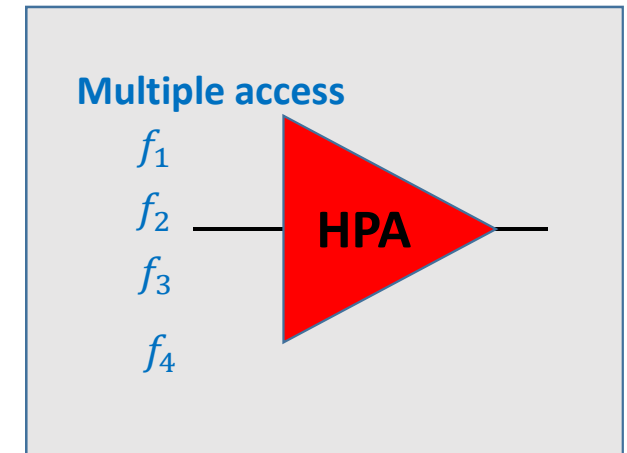
$$\eta = \frac{P_{OUT}}{P_{DC}}$$



Intermodulation products for power amplifiers

Two carriers f_1 and f_2 in a 3rd order nonlinearity → **intermodulation products**

3rd order intermodulation (IM3) → Critical for multiple access



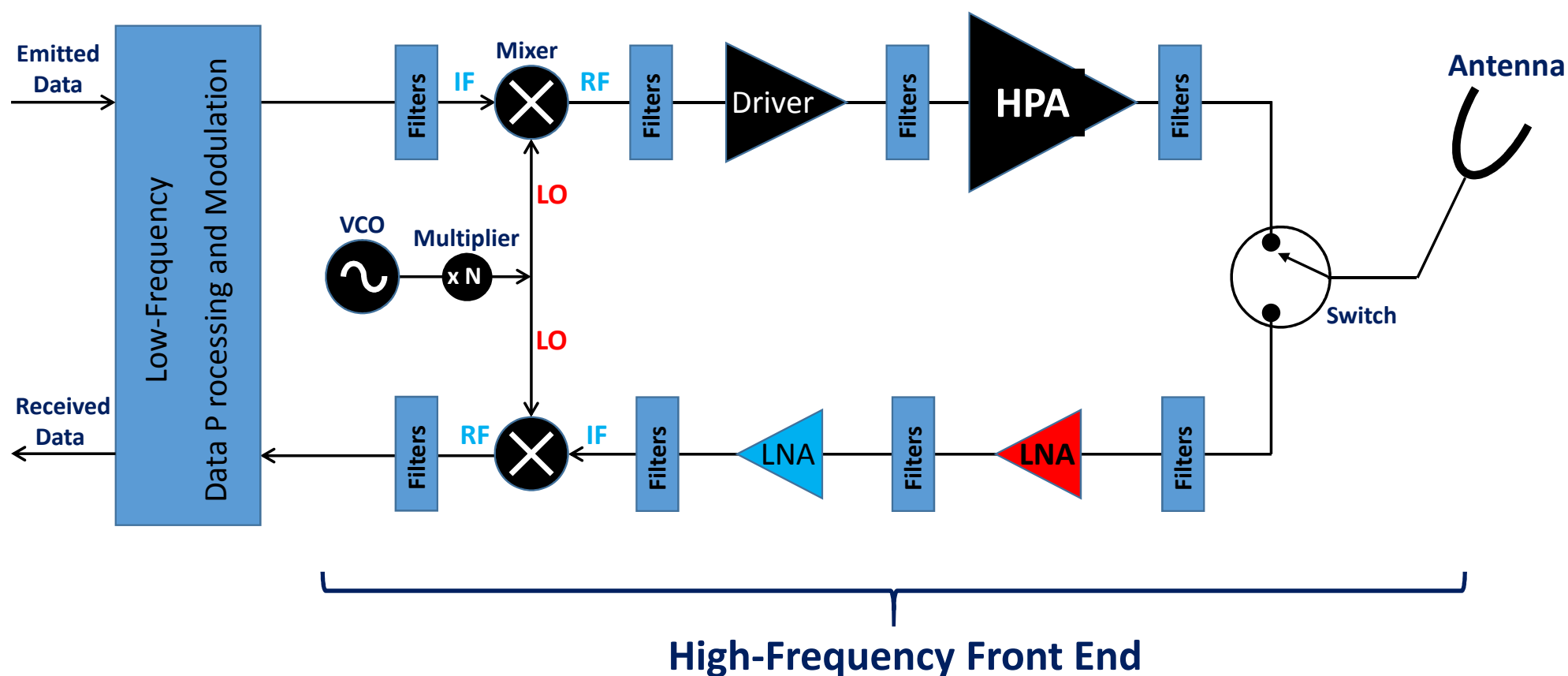
f_1 and f_2 are the useful carriers of channel 1 and 2

Interference frequencies (Spurious frequencies)
Spurs for f_3 and f_4 the carriers of channel 3 and 4

III – High-Frequency Active Circuits in Communication Systems

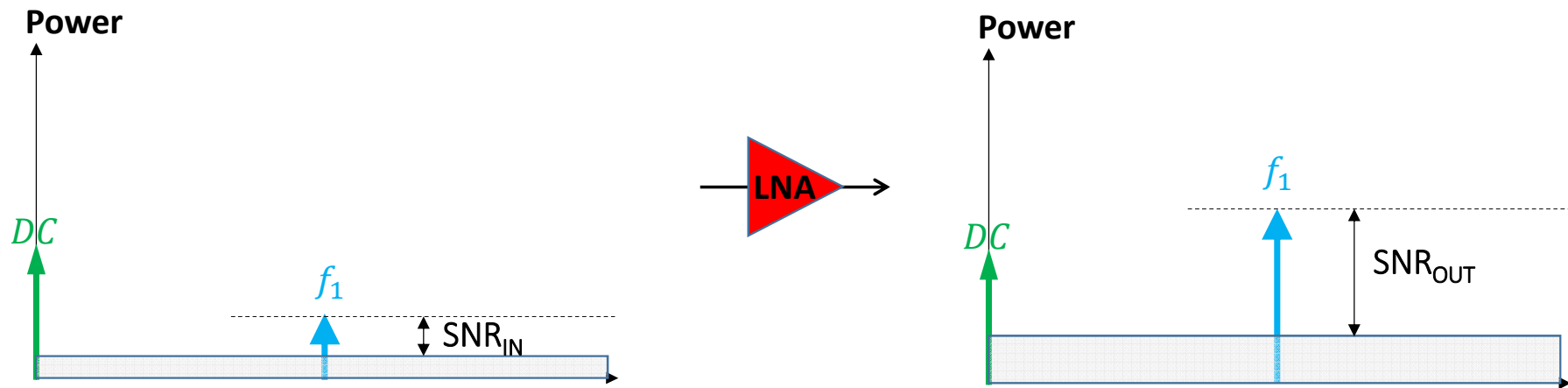
2) Main High-Frequency Active Circuits

b) Amplifiers (LNA : Low Noise Amplifier)



LNA (Low Noise Amplifiers)

- Optimisation of Signal to Noise Ratio for LNAs → Noise property of LNA (Noise Factor)
- Increase the Signal to Noise Ratio (SNR)
- Linear operation due to the reception of very weak signals which have to be greater than the noise floor

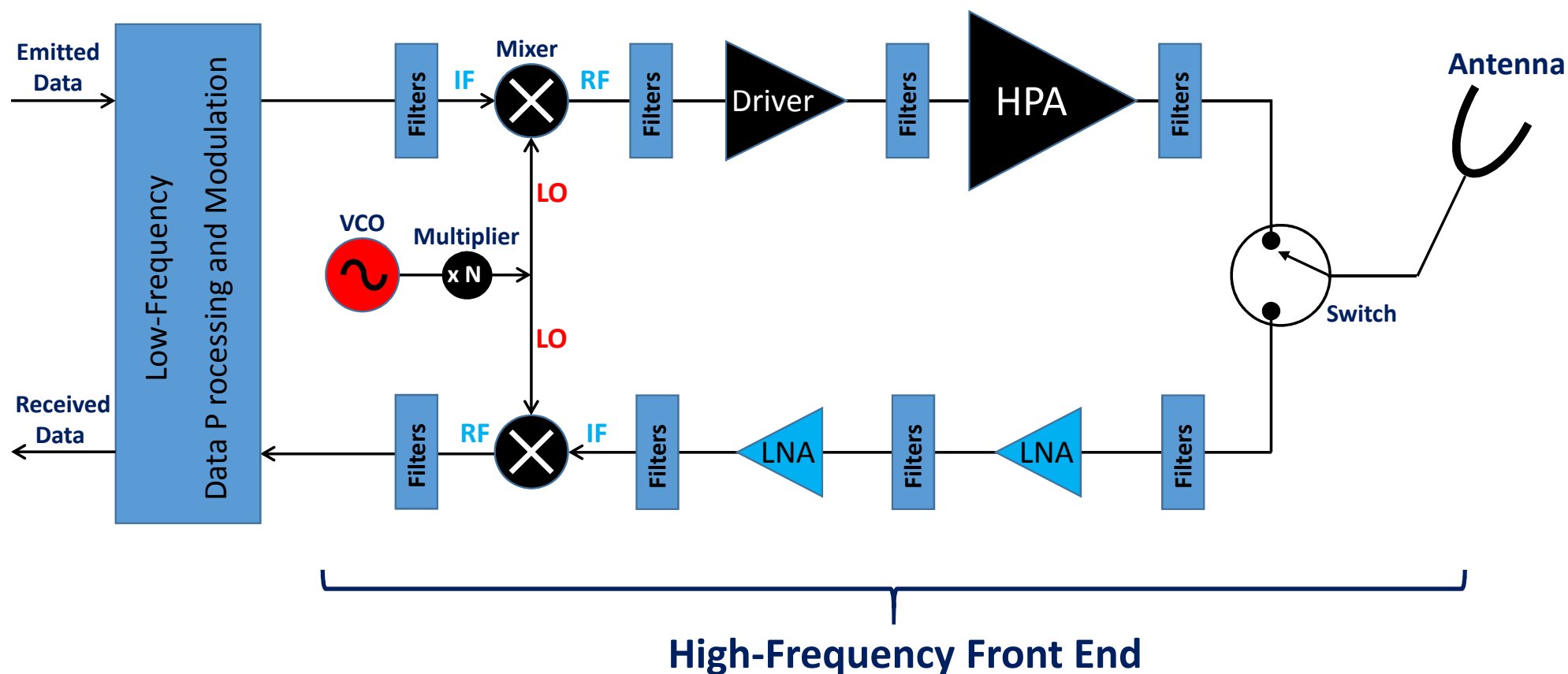


Low noise transistor technology

III – High-Frequency Active Circuits in Communication Systems

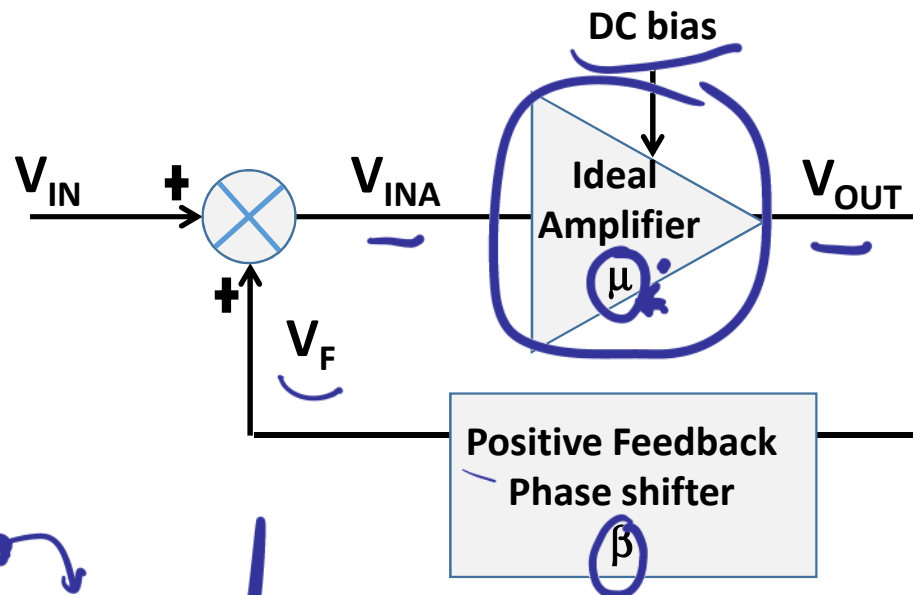
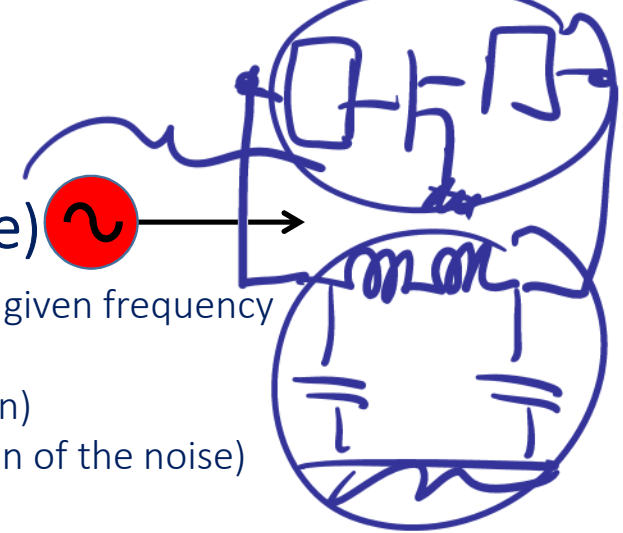
2) Main High-Frequency Active Circuits

c) Oscillators (LO : Local Oscillator) (VCO: Voltage Controlled Oscillator)



Block diagram of a feedback oscillator (Signal reference)

- Oscillations come from the control of the instability for an amplifier (Gain $\rightarrow \infty$) at a given frequency
- Optimisation of Oscillator's noise \rightarrow Thermal noise, $1/f$ noise ...
- Critical to ensure a perfect up-conversion (emission) and down-conversion (reception)
- Nonlinear operation (the oscillation frequency comes from the selective amplification of the noise)



Closed-loop transfer function

$$Gain = \frac{V_{OUT}}{V_{IN}} = \frac{\mu V_{INA}}{V_{INA} - V_F}$$

$$Gain = \frac{V_{OUT}}{V_{IN}} = \frac{\mu V_{INA}}{V_{INA} - \beta \mu V_{INA}}$$

$$Gain(\omega) = \frac{V_{OUT}}{V_{IN}} = \frac{\mu(\omega)}{1 - \beta(\omega)\mu(\omega)}$$

Nyquist Criterion:

- Poles of the transfer function = Roots of $(1 - \beta\mu)$ give the oscillation frequencies

$$V_{INA} = V_{IN} + V_F$$

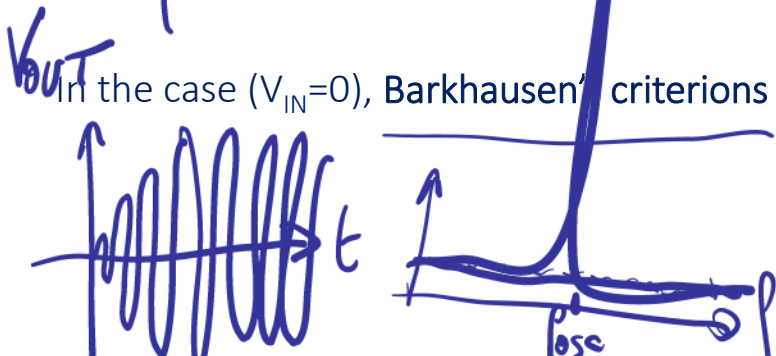
$$V_{OUT} = \mu V_{INA}$$

$$V_F = \beta V_{OUT} = \beta \mu V_{INA}$$

In the case ($V_{IN}=0$), Barkhausen' criterions are on the loop gain : Modulus

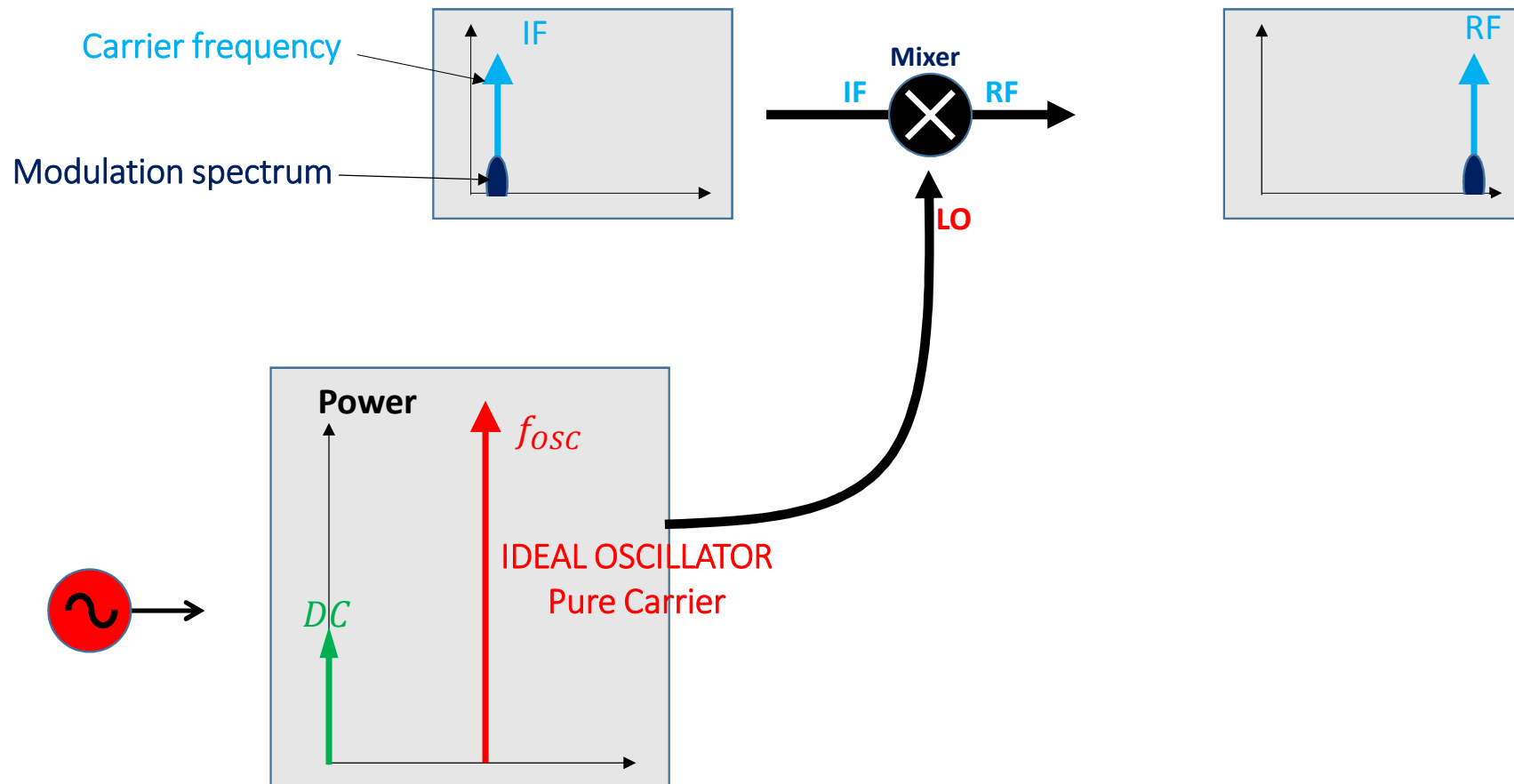
$$|\beta\mu|_{\omega_{osc}} \geq 1$$

$$\arg(\beta\mu)_{\omega_{osc}} = 2\pi n$$

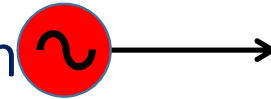


Issue of 1/F noise of oscillators for ideal mixer operation

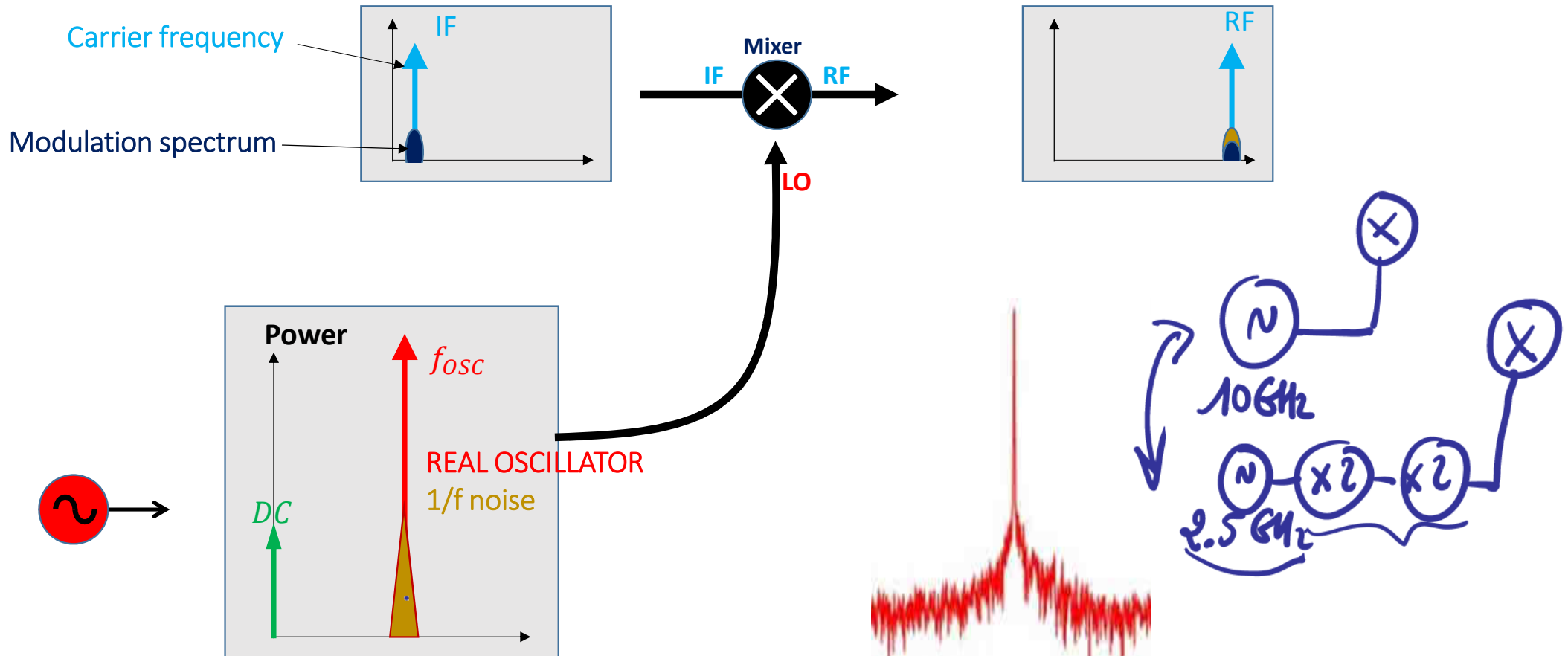
- Oscillations come from the control of the instability for an amplifier (Gain $\rightarrow \infty$) at a given frequency
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Issue of 1/F noise of oscillators for ideal mixer operation



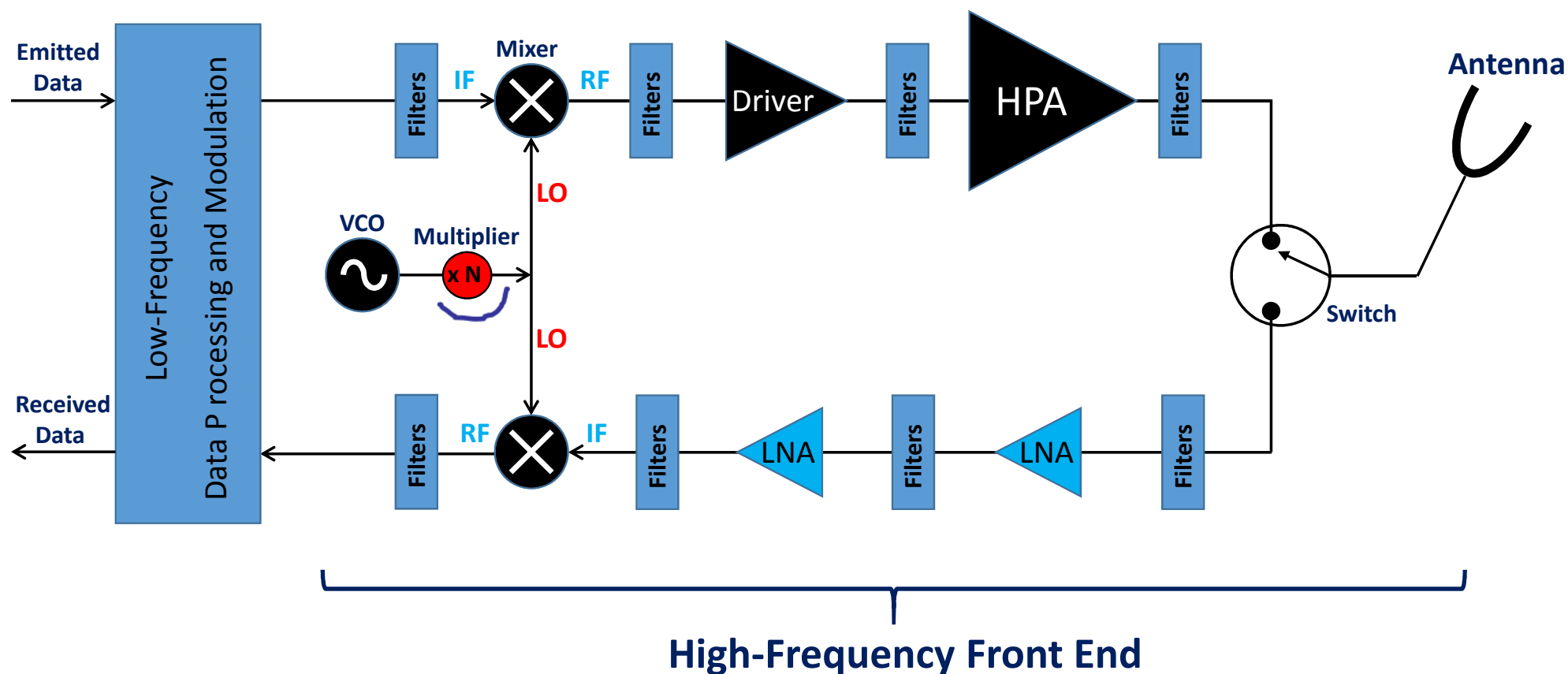
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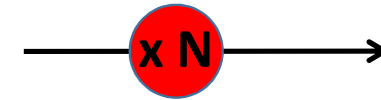
III – High-Frequency Active Circuits in Communication Systems

2) Main High-Frequency Active Circuits

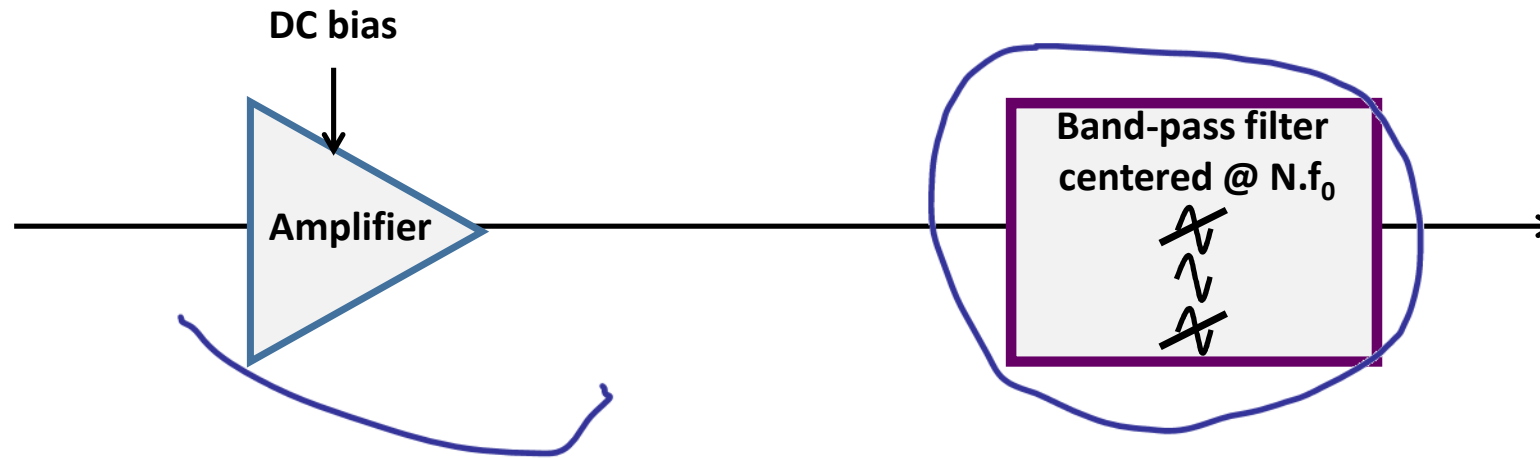
d) Multipliers



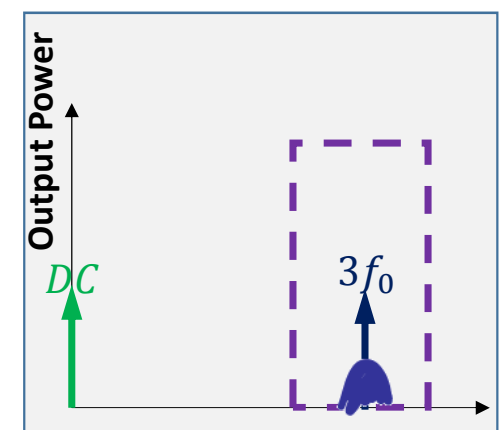
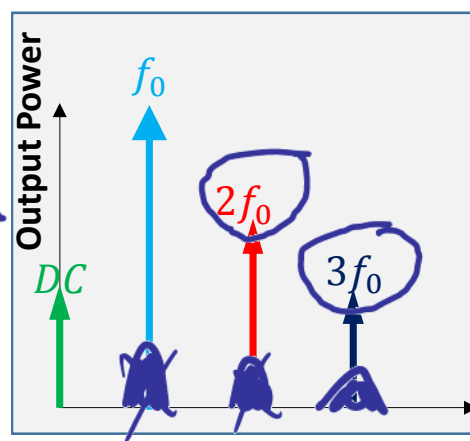
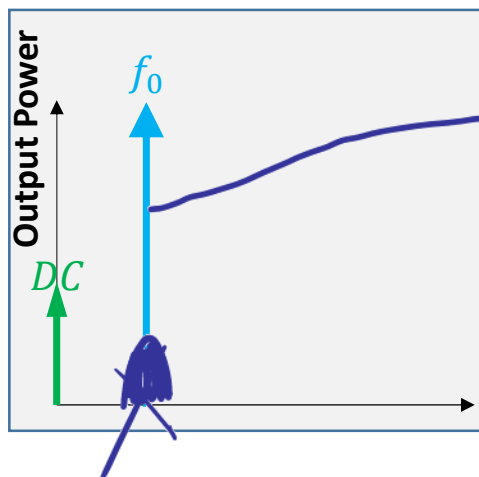
Block diagram of a frequency multiplier



- Generate harmonics by using an amplifier in its nonlinear regime
- Select by band-pass filter the required output harmonic



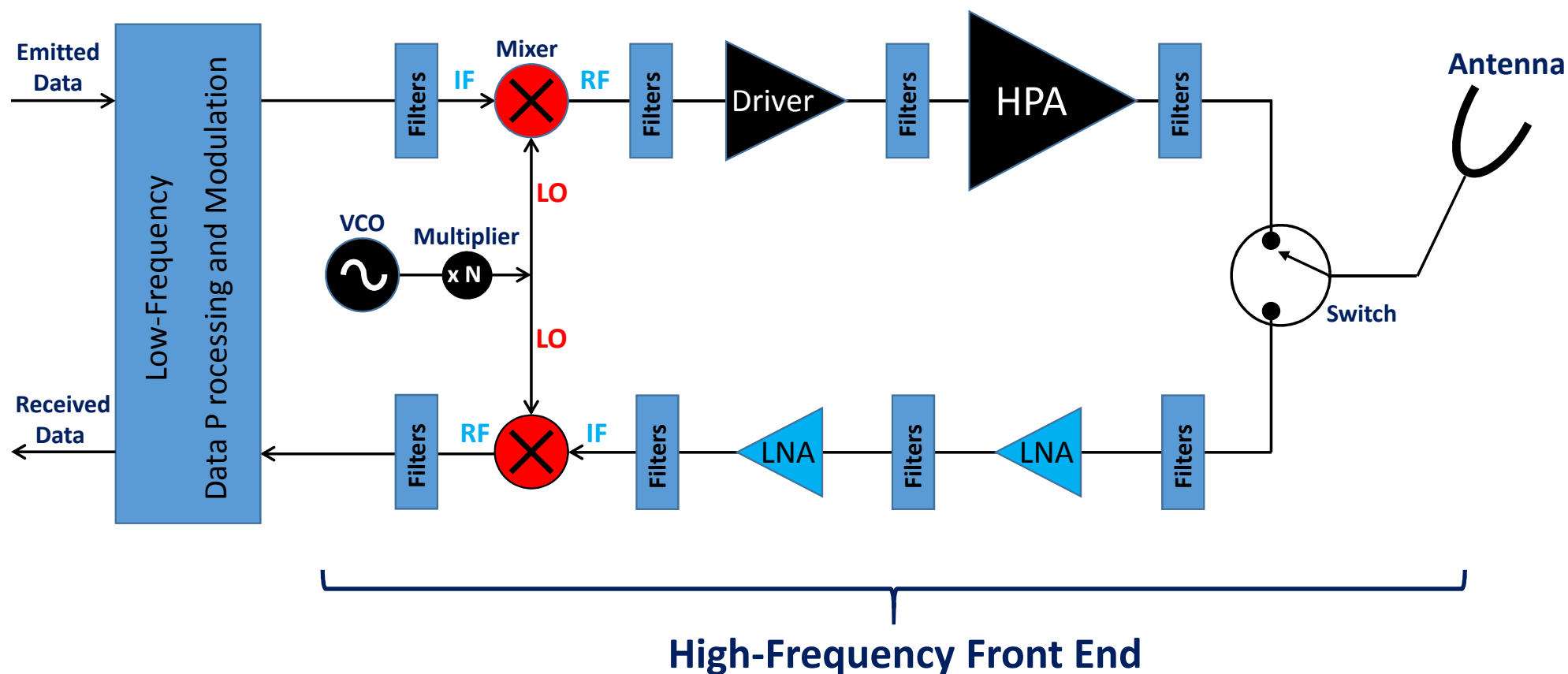
Example of tripler



III – High-Frequency Active Circuits in Communication Systems

2) Main High-Frequency Active Circuits

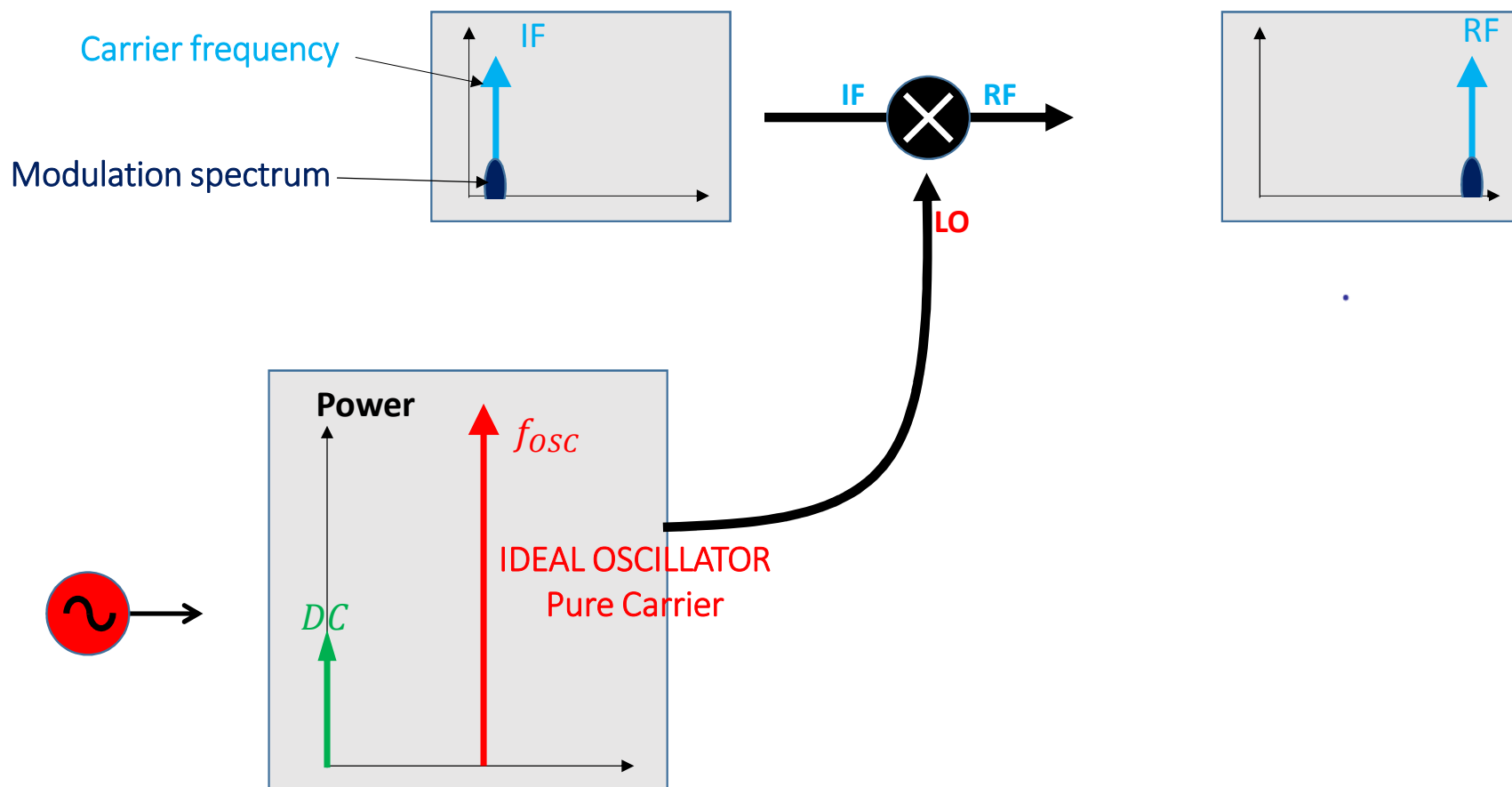
e) Mixers (Up-converter and Down-converter)

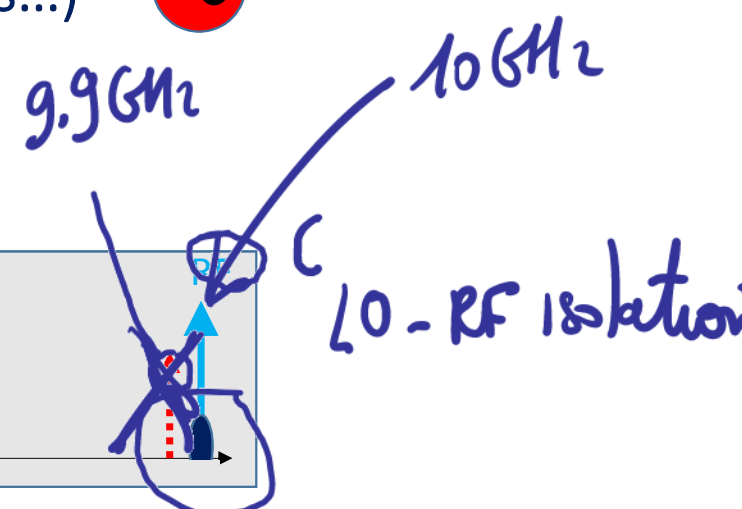


Issues of mixer designs (Conversion gain, Isolations...)



Ideal Mixer

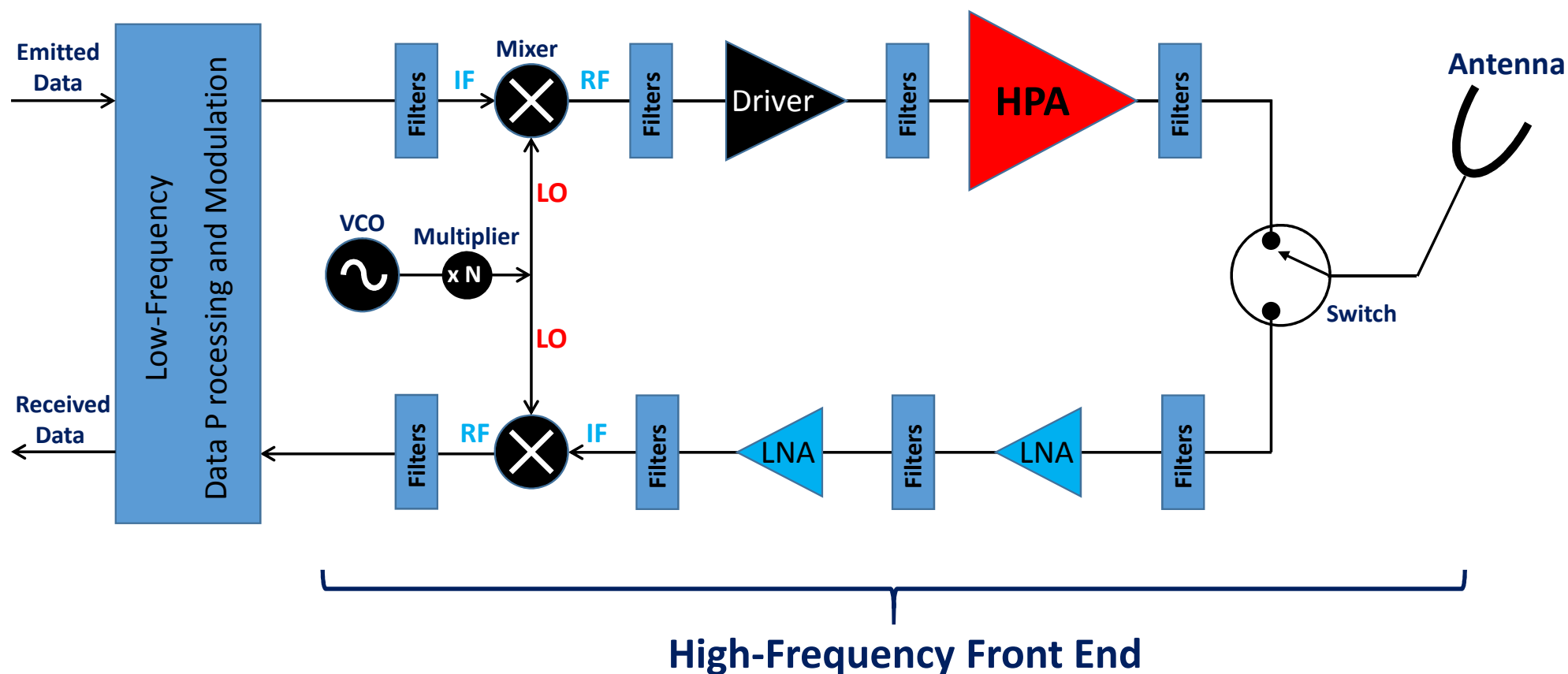


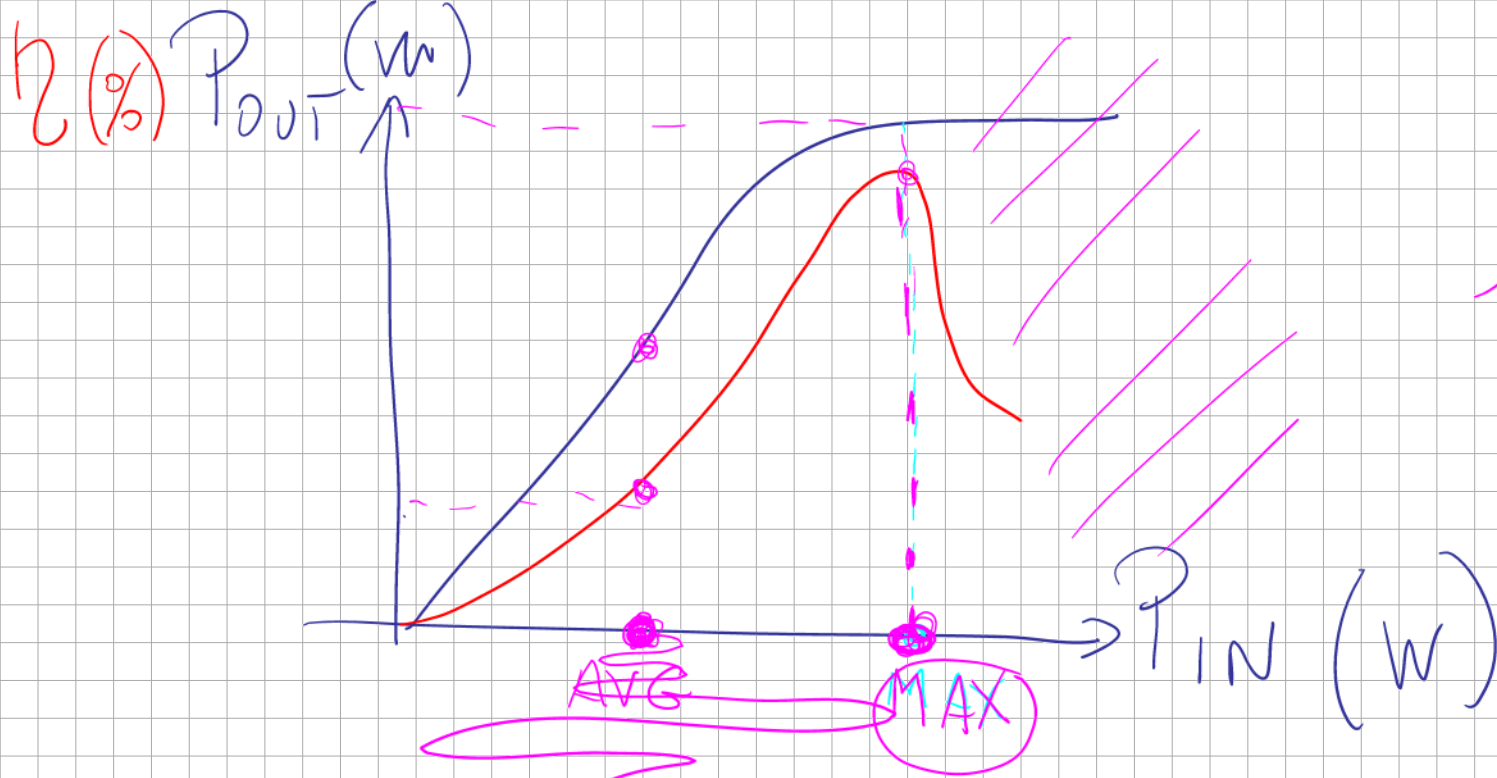


III – High-Frequency Active Circuits in Communication Systems

2) Main High-Frequency Active Circuits

f) HPA (Tradeoffs Linearity vs PAE & Power → New Architectures → Master 2 & Research)

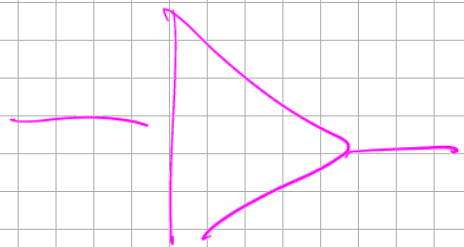




QPSK
16 QAM

↓
PAR
(Peak to Average Ratio)

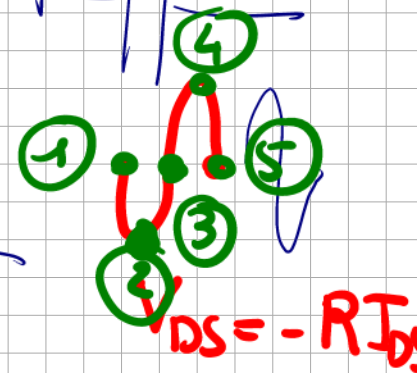
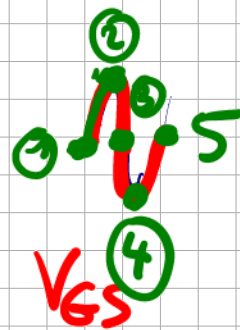
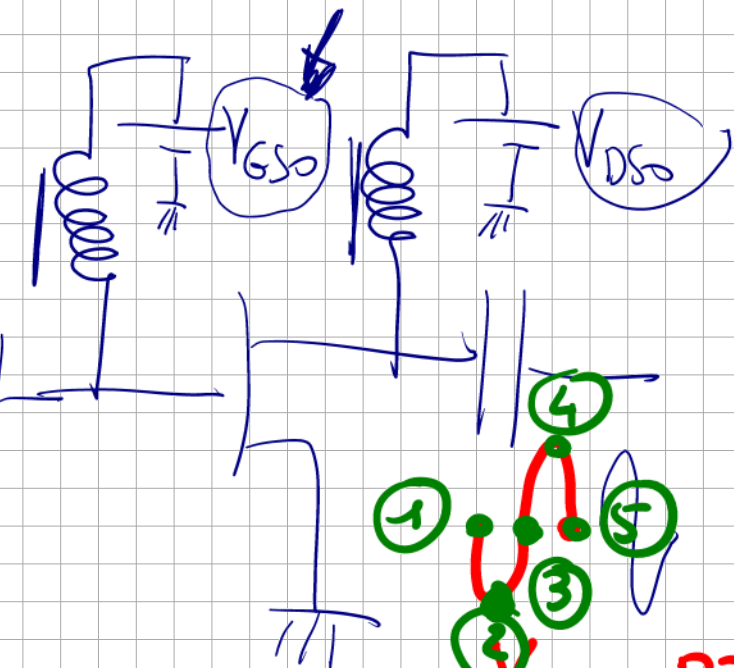
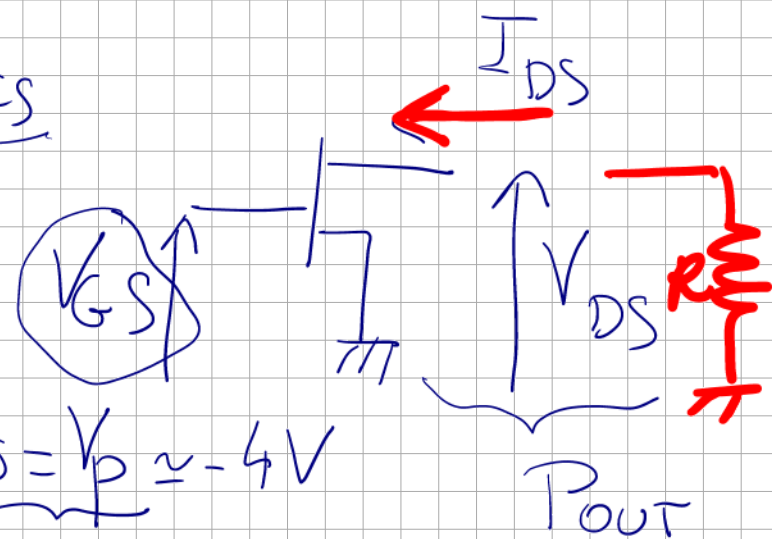
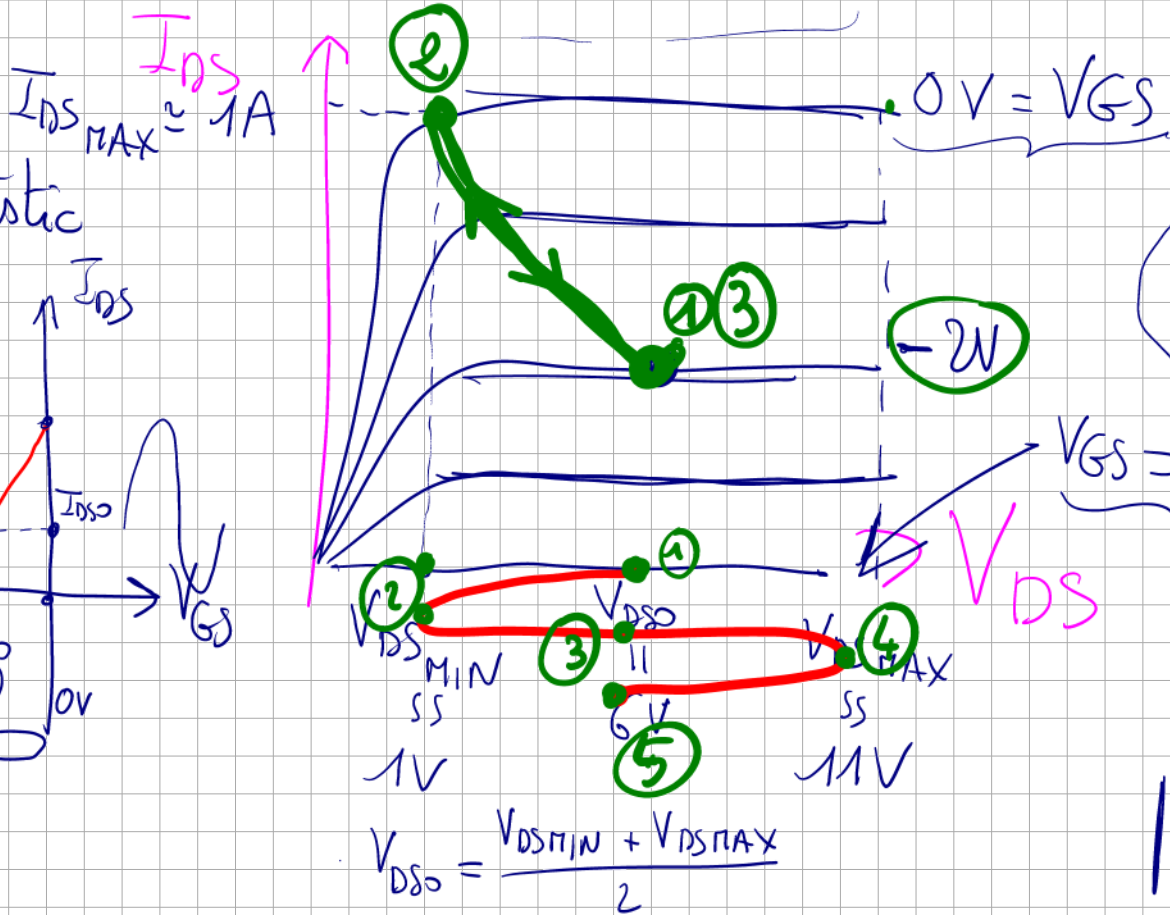
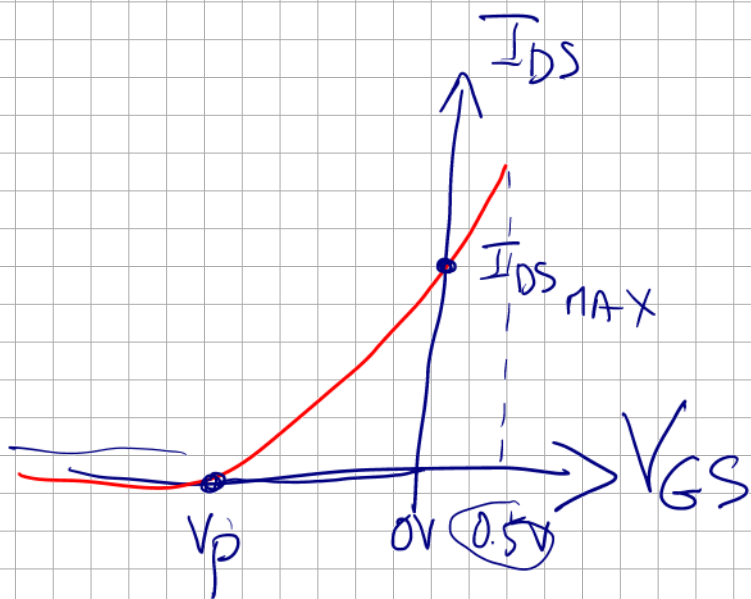
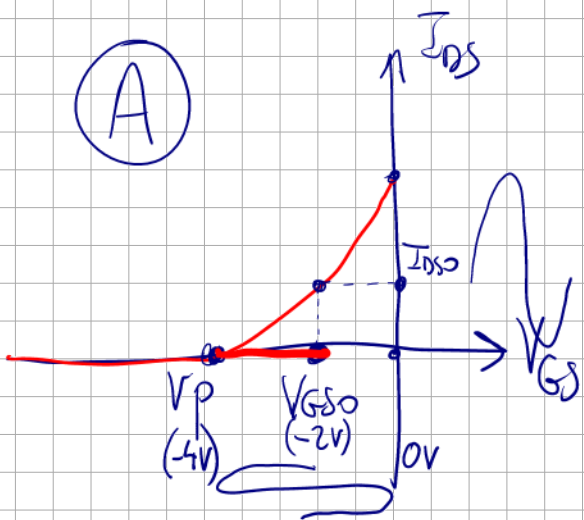
10 dB



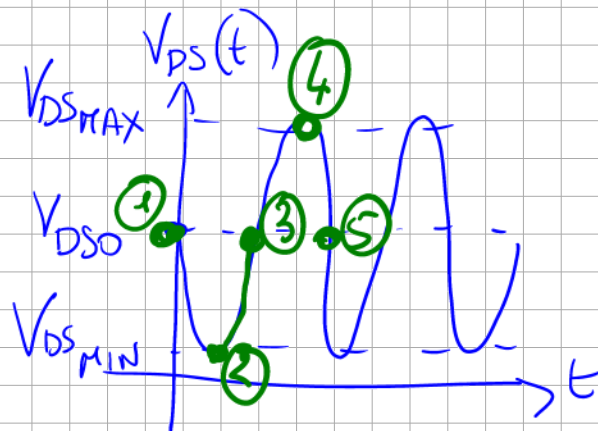
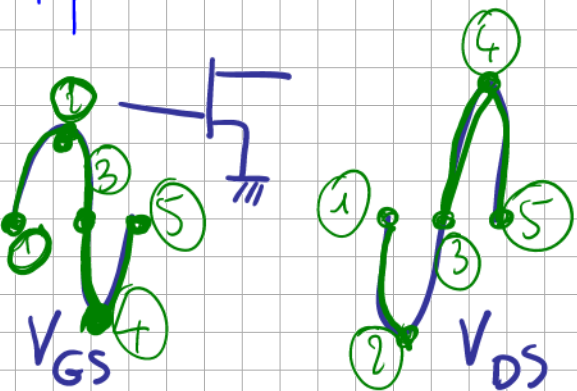
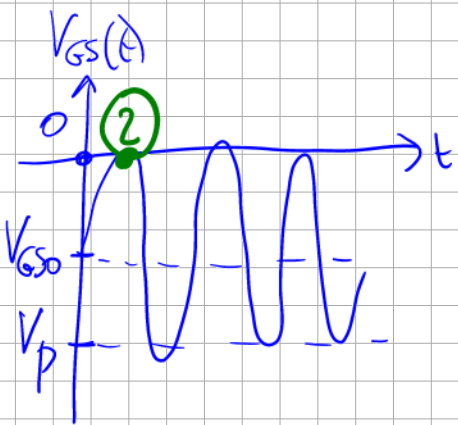
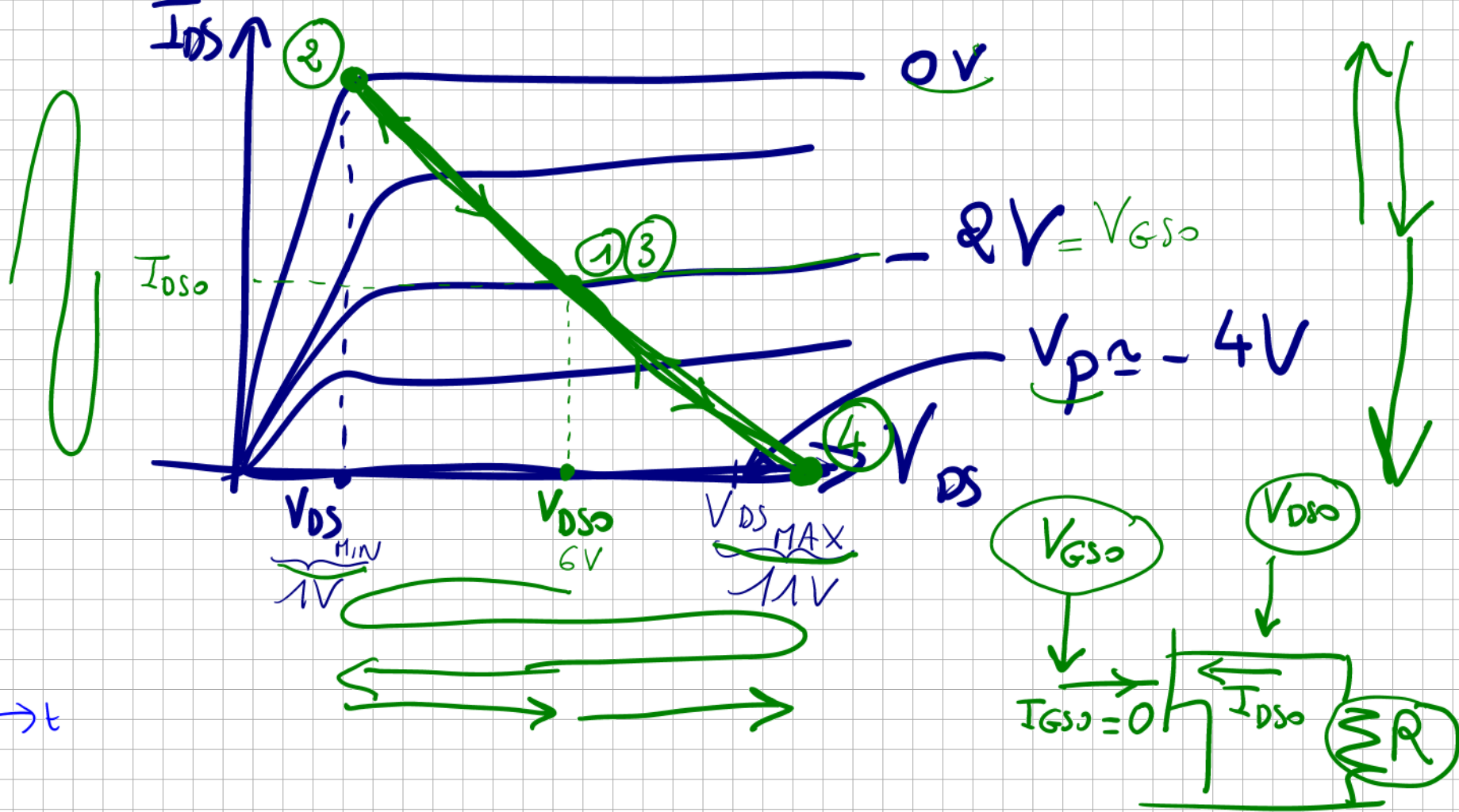
PAR ↑

I-V characteristic

(A)



Load-Line



Class A

Pow max
Linear
Poor Efficiency
 $\eta = 50\%$
MAX

$$P_{DC\ A} = V_{DS0} \times I_{DS0}$$

$\eta = \frac{P_{OUT}(\omega)}{P_{DC}}$
 $P_{DC} = V_{DS0} I_{DS0}$

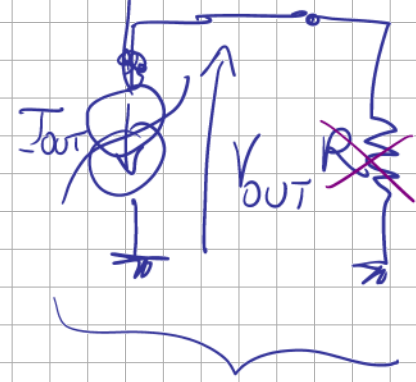
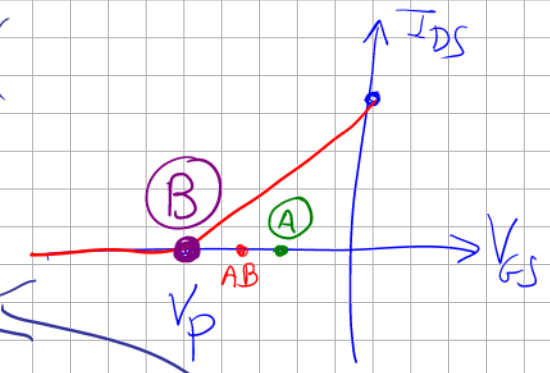
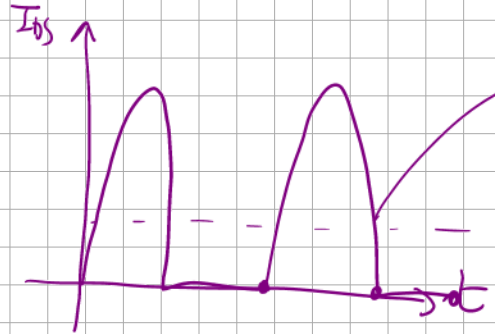
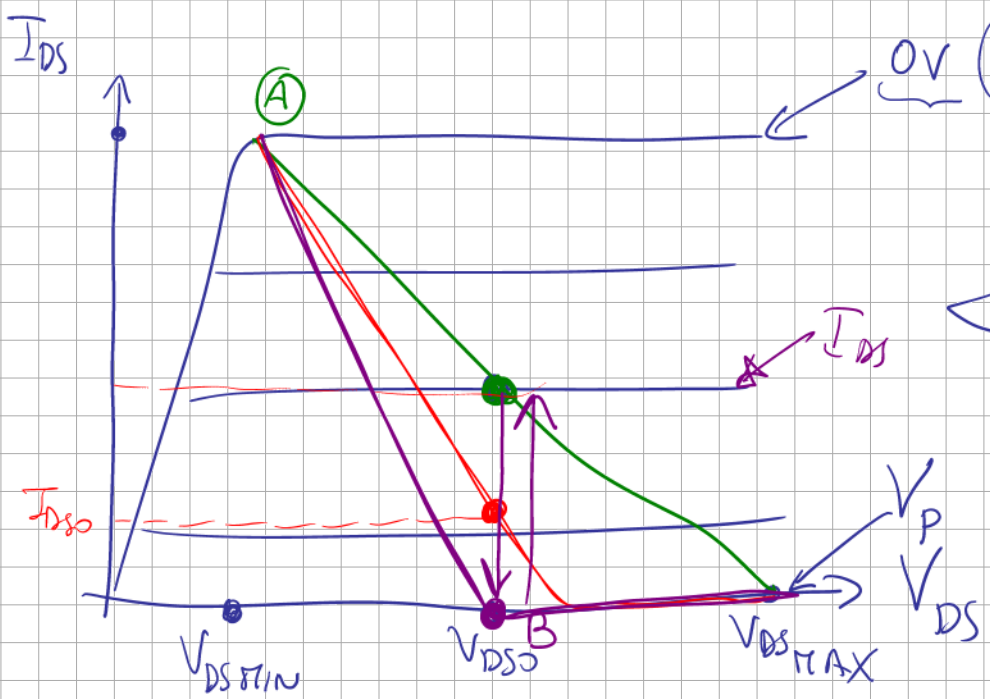


$$I_{DS}(t) = I_{DSS} \left(1 - \frac{V_{GS}}{V_P}\right)^2$$

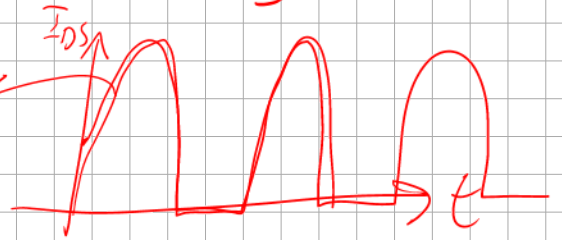
$$V_{DS0} = \frac{V_{DSMIN} + V_{DSMAX}}{2}$$

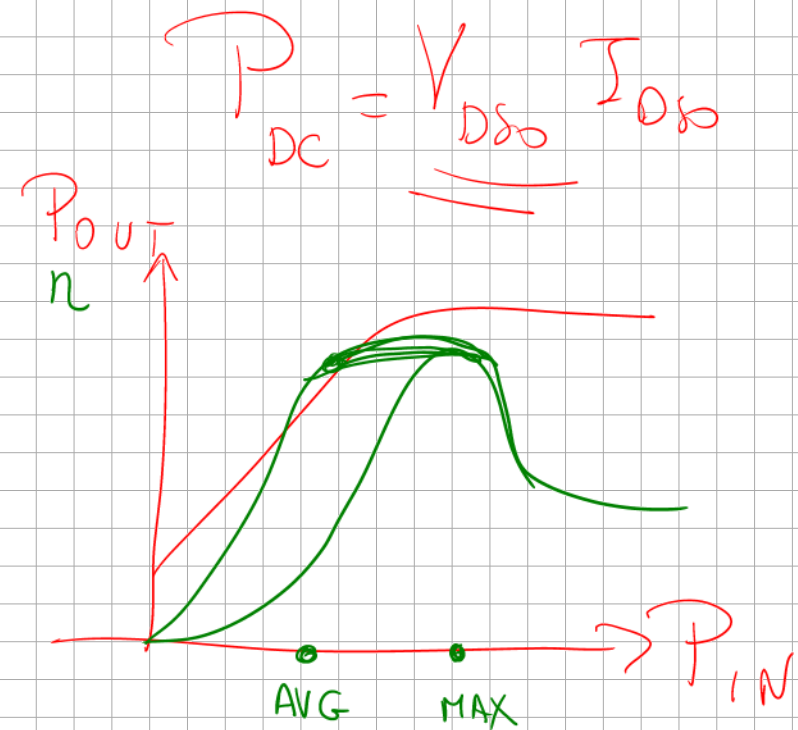
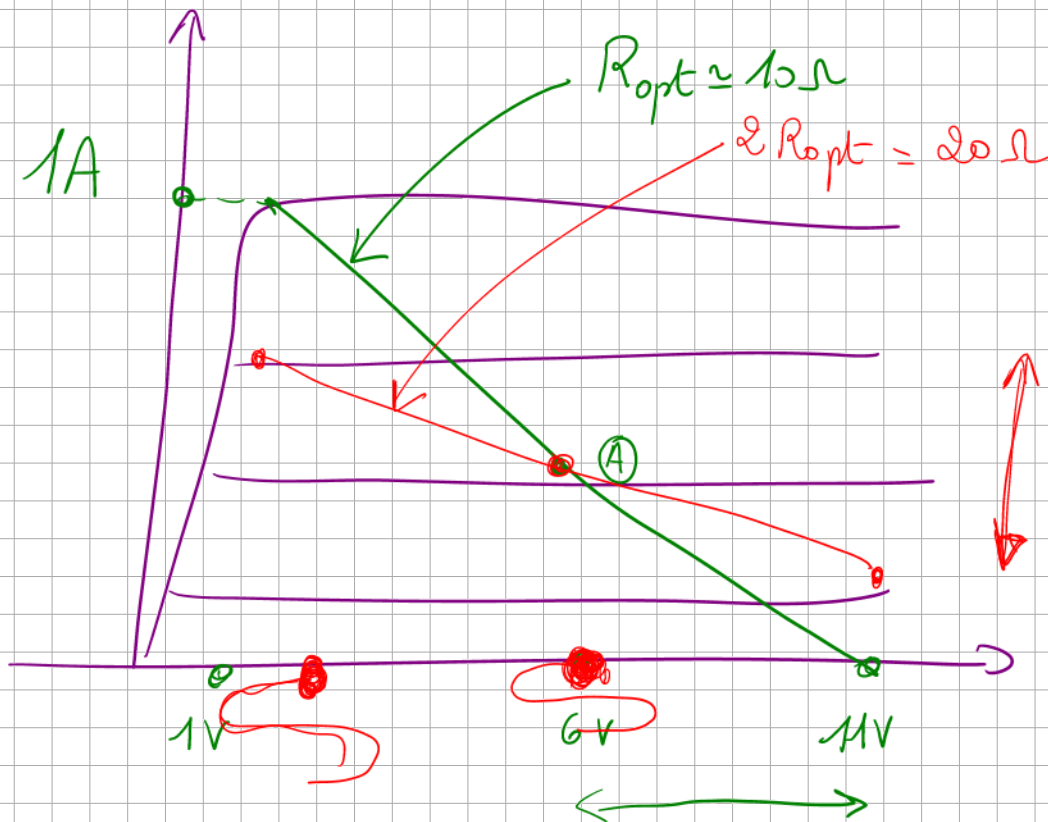
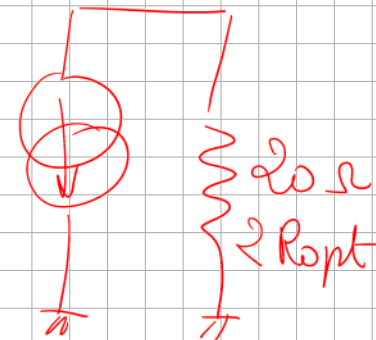
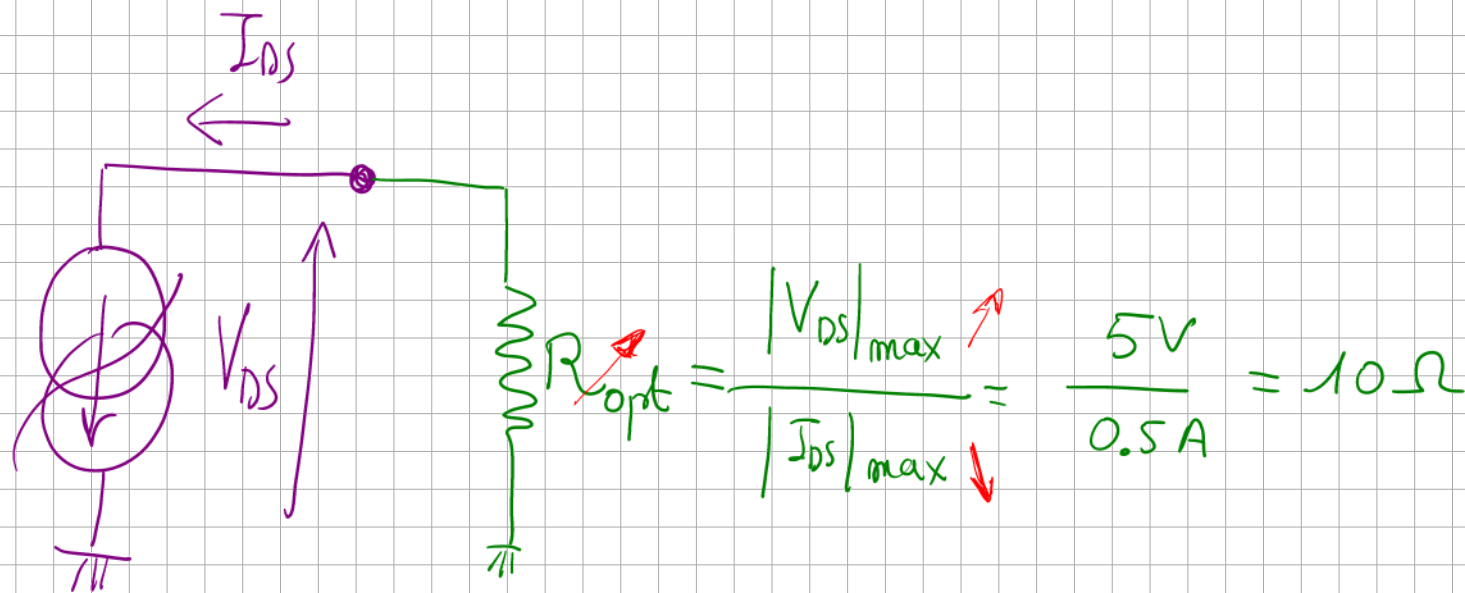
$$V_{OUT} = |V_{OUT}| e^{j \arg(V_{OUT})}$$

$$P_{OUT} \approx \frac{1}{2} \text{Re}(V_{OUT} \times I_{OUT}^*) = \frac{1}{2} \underbrace{|V_{OUT}| |I_{OUT}|}_{\text{Swings}} \underbrace{\cos(\varphi_{V_{OUT}} - \varphi_{I_{OUT}})}_{\rightarrow 1}$$



AB class } $P_{DC} \rightarrow 60\%$
 B class } $I_{DSS} \downarrow$





III – High-Frequency Active Circuits in Communication Systems

2) Main High-Frequency Active Circuits

f) **HPA (Tradeoffs Linearity vs PAE & Power → New Architectures → Master 2 & Research)**

- ET (Envelope Tracking)
- DOHERTY
- LINC (Linear amplification with Nonlinear Components)

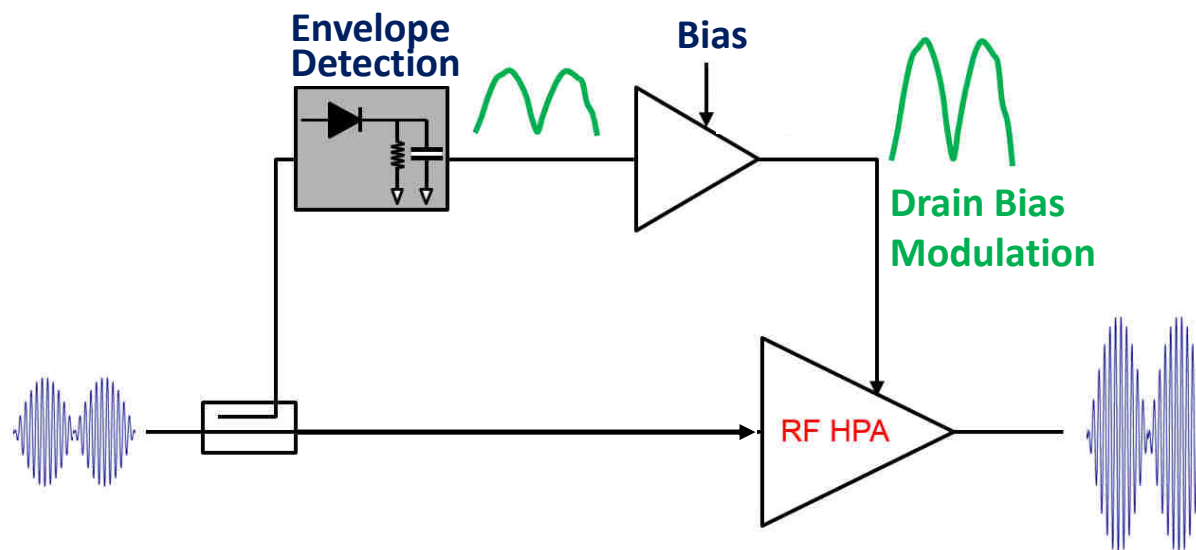
III – High-Frequency Active Circuits in Communication Systems

2) Main High-Frequency Active Circuits

f) HPA (Tradeoffs Linearity vs PAE & Power → New Architectures → Master 2 & Research)

- ET (Envelope Tracking)

Depending on the input power level,
the drain bias of the power amplifier is dynamically changed
to get the best PAE performance



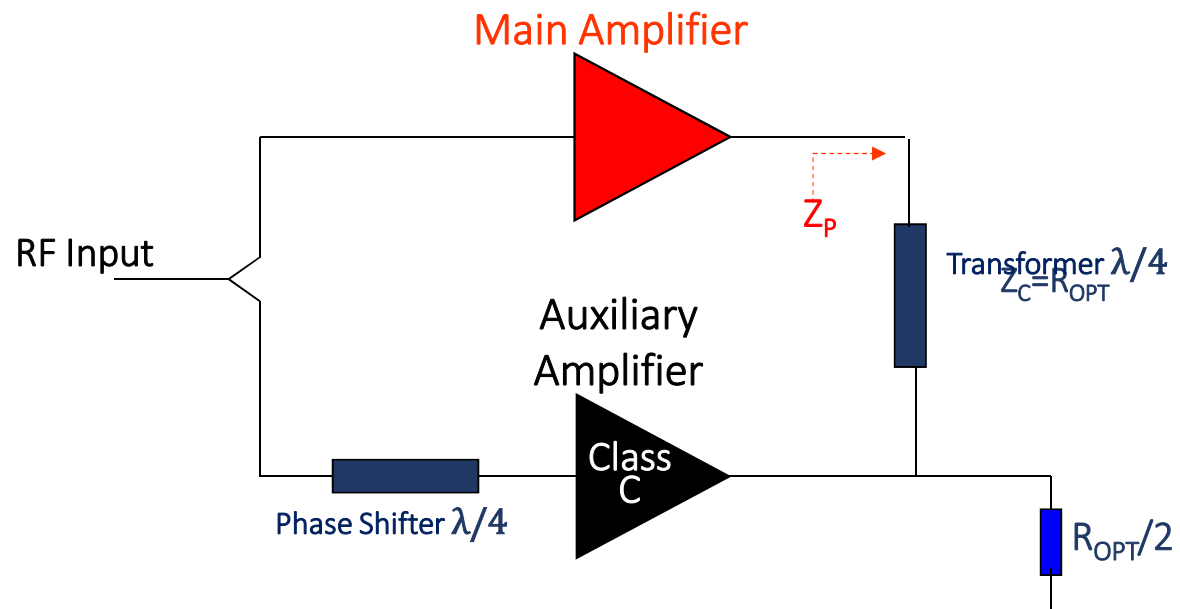
III – High-Frequency Active Circuits in Communication Systems

2) Main High-Frequency Active Circuits

f) HPA (Tradeoffs Linearity vs PAE & Power → New Architectures → Master 2 & Research)

- DOHERTY

Depending on the input power level,
the load Z_p seen by the main amplifier is dynamically changed
to get the best PAE performance



III – High-Frequency Active Circuits in Communication Systems

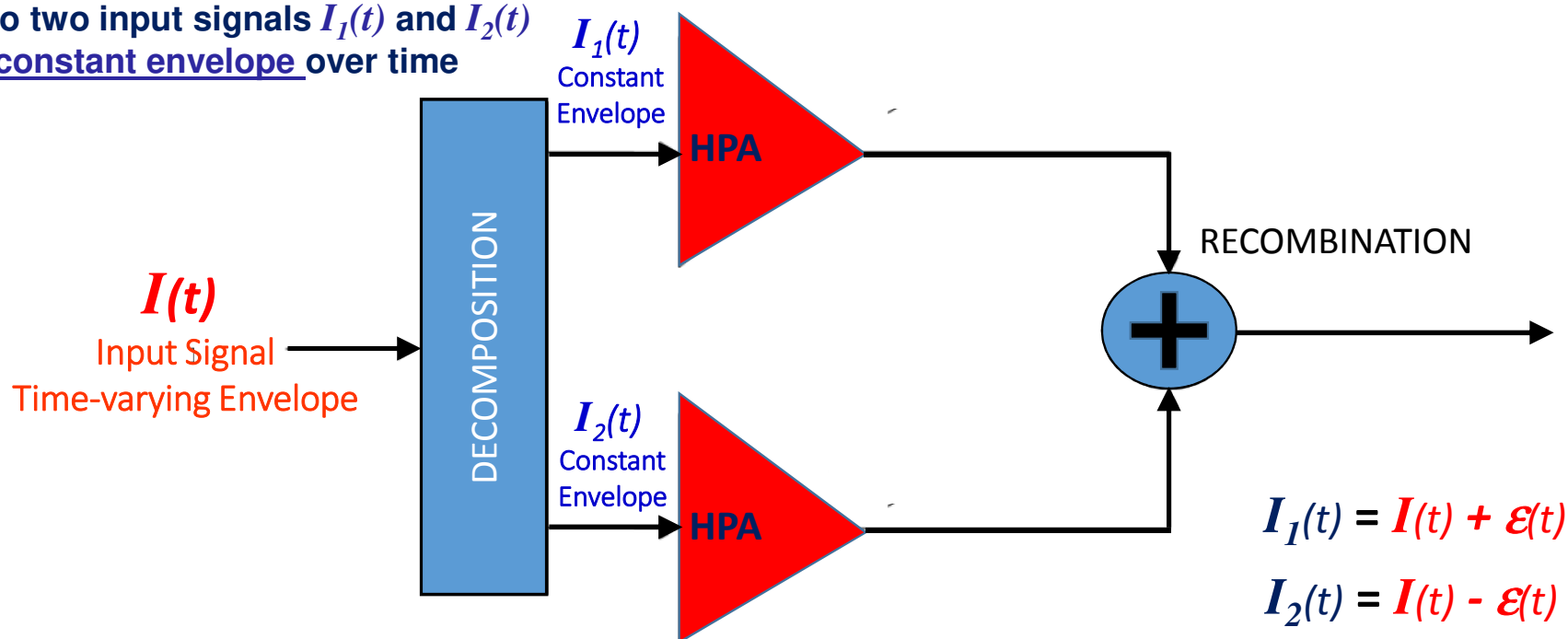
2) Main High-Frequency Active Circuits

f) HPA (Tradeoffs Linearity vs PAE & Power → New Architectures → Master 2 & Research

LINC (Linear amplification with Nonlinear Components)

The input signal $I(t)$ which has a time-varying envelope

is decomposed into two input signals $I_1(t)$ and $I_2(t)$ which have a constant envelope over time



Introduction to
Nonlinear Modeling Techniques
of Microwave Transistors

Design Methods of Narrow-band Power Amplifiers

Architectures of High-Frequency Mixers

Architectures of Wideband Resistive and Distributed Power Amplifiers

Architectures
of Nonlinear Active Circuits
controlled by Cold FETs

a) Power Losses / Gain / Bias / Efficiency / Temperature

Electrothermal Analogy

Thermal Variable

Temperature (K)

Temperature difference (K) $\theta = T - T_0$

Thermal flux = Dissipated Power (W)

Thermal Resistance (convection) (K/W)

Thermal Capacitance (J/W)

→ Thermal time constant

Electrical Equivalent

Potential (V)

Voltage (V)

Current (A)

Resistance R_{TH} (Ω)

Capacitance C_{TH} (F)

→ Time Constant (s) $\tau_{TH} = R_{TH} \cdot C_{TH}$

Electrothermal Analogy (simplest thermal cell)