

# Lecture 6: Linear Code Blocks

## Shannon Basic Ideas

\* Rate =  $R$   
Channel Capacity } If  $R < C \Rightarrow P(E) \approx 0$  but if  $R > C \Rightarrow$  Unacceptable Performances

\* To reach good performances:

↳ Dimension of signal vector space  $N \rightarrow N$  very big

↳ Use SOFT Decisions at the receiver

- a) Soft Decision  $\rightarrow$  Real values
- a) Hard Decision  $\rightarrow$  Quantized values ("1" "0")

\* Shannon demonstrated the existence of these codes, but he didn't achieve any implementation

## Classic Codes

\* In the classic modulation systems we want to increase the distance between signals

The performance stays far from the Shannon's limits

↳ To increase the distance ( $d$ )  $\Rightarrow$  More power or more BW or both

↳ Increasing the number of dimensions  $N \Rightarrow$  The system get very complicated

\* To improve  $P(E) \Rightarrow$  concatenation in time domain of many symbols with some rules able to increase  $d_{\min}$

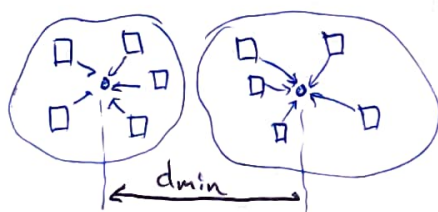
↳ The complexity has to be linear with  $N$  (to allow receiver demand)

\* Classical solutions

↳ Linear Block Codes

↳ Convolutional Codes

\* Basic idea of channel coding :



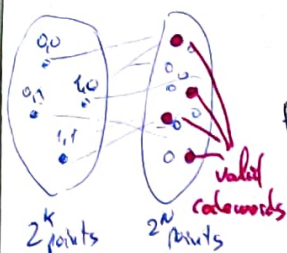
• codewords  
□ received sequence with errors

Each point is related to a signal. If the signal has errors I cannot understand what happened. Instead of using any possibility I use a "codeword" for each set. The □ are possible signals but due to the code they are not allowed. Only codewords • are allowed. Choosing one codeword in a group  $\Rightarrow$  increase  $d_{\min} \Rightarrow$  decrease  $P(E)$

# Block Codes

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\* Basic Idea: Starting from a vector with  $K$ -elements I will map the vector in other set of  $N$ -elements



From  $2^K$  points I can send maximum  $2^N$  elements

From this  $2^N$  set I select a subset to be the valid codewords, if I can distinguish the possibilities  $\Rightarrow$  I can increase the  $d_{min} \Rightarrow$  decrease  $P(E)$

\* Code Rate:  $\frac{K}{N} < 1$

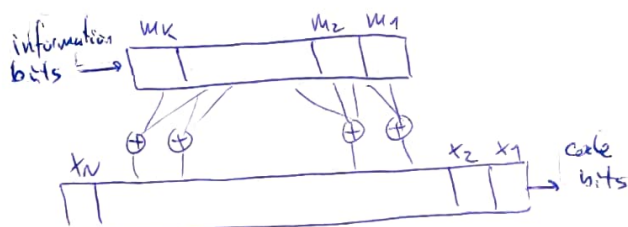
\* Shift Register Description

$\hookrightarrow$  We have a shift register with  $K$ -bits  $\Rightarrow$  My Information

$\hookrightarrow$  This  $K$ -bit vector is transformed in a new vector of  $N$ -bits ( $N > K$ )

$\hookrightarrow$  The codewords are obtained by a linear combination (boolean:  $1+1=0$ ) of the information bits.

$\hookrightarrow$  Almost all block codes used today belong to the subset: Linear Block Codes.



\* Mathematical Description

$\hookrightarrow$  An element of the codeword  $x_i$  is obtained:

$$x_i = \sum_{j=1}^K g_{ji} m_j$$

$\hookrightarrow m_i$  message information

$\hookrightarrow g_{ji} \in \{1, 0\}$   $g_{ji}$  are the connections  
 $i=1, \dots, N$

\* Matrix Description

$$\bar{X} = \bar{m} G$$

$\bar{X} \rightarrow$  vector with the elements of the codeword

$G \rightarrow$  generator matrix  
dim  $\rightarrow K \times N$

$\Rightarrow$  Parity Check Codes (or Systematic Code)

$\hookrightarrow$  Special arrangement of the vector:

At the beginning: information

At the end: parity check control



Example:

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix} = [I_K | P]$$

$\hookrightarrow$  the Generation matrix:

when systematic code

$$G = [I_K | P]$$

$\hookrightarrow$  Parity Matrix

$\hookrightarrow K$  order unitary matrix



## Fundamental Relation

$$G = [I_k \ P]$$

\* We work with a systematic code  $\bar{x} = \bar{m} G = [\bar{m}, \bar{m} P]$

\* We can generate a new matrix: Parity Check Matrix:  $H^T$

$$H^T = \begin{bmatrix} P \\ I_{n-k} \end{bmatrix} \rightarrow (H^T)^T = [P^T \ I_{n-k}]$$

⇒ Fundamental relation:  $\bar{x} H^T = 0$

\* Demonstration:

$$\bar{x} H^T = [\bar{m}, \bar{m} P] \begin{bmatrix} P \\ I_{n-k} \end{bmatrix} = \bar{m} P + \bar{m} P = 0$$

↑  
boolean

$H^T$ , the parity check matrix is able to describe the rule of the codes

If  $\bar{x}$  is a codeword consistent with the rule of the codes, using this relation we can check this consistency

↳ If  $\bar{x} H^T \neq 0 \Rightarrow$  We have errors

↳ If  $\bar{x} H^T = 0 \Rightarrow$  The word belongs to the subset but we don't if it's the correct one

## Statements for Linear Code Blocks

\* If  $k$  is the dimension of the block, the number of codewords is  $2^k$  so there are  $2^k$  distinct messages

\* The set  $\{g_i\}$  of vectors are linearly independent  $\Rightarrow$  can be used as a basis in vector space called  $C$ , which dimension is  $k$

\* The rows  $(g_i)$  of the generator matrix are valid codewords

## Basic Definitions

\* Def: The weight of a codeword  $c_i$  denoted by  $w(c_i)$  is the number of nonzero elements in the codeword

\* Def: The minimum weight of a code  $w_{\min}$  is the smallest weight of the nonzero codewords in the code

\* Theorem: In any linear code:  $d_{\min} = w_{\min}$

\* Hamming distance  $d_H(a, b)$ : Given two codes words  $a, b$  is the number of different bits in  $a$  and  $b$

\* Hamming weight  $w_H(a) = \text{weight}$

## Decoding Strategy: Maximum Likelihood Decoding Strategy (Hard Decision)

The decoding strategy tries to maximize the likelihood function. We consider that the symbols show the same probability.

⇒ The maximization of the likelihood function is the same as the minimization of the distance between the received codeword and the possible codewords

This strategy will be the optimal strategy

⇒ The minimum distance is the same as the minimum codeword weight and the minimum distance is limited to  $d^* \leq N - k + 1$

\*Correcting power:

A code can correct  $h$  errors if and only if  $d^* \geq 2h + 1 \rightarrow$  correcting power of the code  $\Rightarrow t = \left\lfloor \frac{d^* - 1}{2} \right\rfloor$

## Syndrome

We use the concept of syndrome will tell us if there are errors in the transmission

$$\begin{array}{l} \text{X} \xrightarrow{\text{(channel)}} \bar{y} = \bar{x} + \bar{e} \\ \text{At receiver:} \\ \bar{y} H^T = (\bar{x} + \bar{e}) H^T = \bar{e} H^T = \begin{cases} \Rightarrow \bar{e} H^T \neq 0 & \text{we have some errors} \\ \Rightarrow \bar{e} H^T = 0 & \text{the received word is a codeword but we don't know if it is correct!} \end{cases} \\ H^T = \begin{bmatrix} P \\ I_{N-k} \end{bmatrix} \quad \bar{x} H^T = 0 \end{array}$$

⇒ The system can detect errors which are not codewords! when  $s=0$  nothing can be assumed

### ↳ Example

We have min. dist = 3  
looking at the codewords  
min dist = 3  $\rightarrow$  correct  $d=1$   
detect  $d=2$

We see all the possible sequences  
the seq. with  $d=1 \Rightarrow$  I can correct errors  
the seq. with  $d=2 \Rightarrow$  I can detect errors

Strategy to use the syndrome: Given one syndrome we have many possibilities of errors related to that syndrome because adding a codeword we obtain the same syndrome

⇒ We assume that it's more probable to have small errors than big errors  
Starting from one syndrome we list all the possible errors that could produce this syndrome and select the one with the minimum weight (more probable)

⇒ The correction: To add to the received signal the more probable error



# Cyclic Codes

- \* It is a subset of linear codes.
- \* If  $c$  is a codeword of a cyclic code then if we shift the elements of  $c$  what we obtain is also a codeword.  
Also the linear comb. of codewords is a codeword.
- \* The cyclic codes are important because they give us a decoding strategy that is feasible

## \* Polynomial representation

Being the codeword  $\bar{a}$ :  $\bar{a} = (a_1, a_2, \dots, a_N) \Rightarrow a(D) = a_1 D^{N-1} + a_2 D^{N-2} + \dots + a_{N-1} D + a_N$

↳ word of length  $N \Rightarrow$  polynomial degree  $N-1$

## \* Generator polynomial $g(D)$

↳ Given a cyclic code  $(N, k)$  exists a unique polynomial of degree  $(N-k)$  with the form

$g(D) = D^{N-k} + \dots + 1$  that is able to generate all the codewords

↳ All the other codewords are multiples of  $g(D)$

, All the polynomial of degree less or equal to  $N-1$  which are divisible by  $g(D)$  are codewords

$\Rightarrow$  The generator polynomial of a cyclic code have to be a divisor of  $(D^N + 1)$

$\Rightarrow$  Every divisor of  $(D^N + 1)$  of degree  $N-k$  generates a cyclic  $(N, k)$

## ↳ Generating a code

→ Polynomial associated to the information word  $m(D) = m_1 D^{k-1} + \dots + m_k$

→ Generator polynomial  $g(D) \rightarrow$  degree  $r$

→ We obtain the codeword  $x(D)$  associated to  $m(D)$

$$x(D) = g(D) \cdot m(D)$$

$\Rightarrow$  the codeword is cyclic if is a divisor of  $D^N + 1$

Usually the code is not systematic.  
We can obtain a systematic one doing:

$$x(D) = m(D) \cdot D^{N-k} + \text{remainder} \left\{ \frac{m(D) \cdot D^{N-k}}{g(D)} \right\}$$

\* Every codeword is divisible by  $g(D)$

↳ If not divisible  $\Rightarrow$  identify errors

↳ Remainder related to syndrome

## Hamming Codes

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\* It is a one error correcting codes

\* They are:  $N = 2^{N-k} - 1 \rightarrow$  i.e. (7,4), (15,11),

↳ with different generator polynomials:  $D^3 + D + 1$ ;  $D^4 + D + 1$ ;  $D^5 + D^2 + 1$

\* Minimum distance always equal to 3  $d_{\min} = 3$

↳ If  $N$  big  $P(E)$  is not very good

↳ If  $N$  small performance not so interesting

## \* BCH codes

An extension of Hamming codes

⇒ They are able to correct any error we would like to correct.

For any positive integers  $m$  and  $t$  there is a  $t$ -error-correcting binary BCH code with

$$n = 2^m - 1$$

$$k \geq n - mt$$

In order to correct  $t$  errors the minimum Hamming distance is  $d_{H,\min} \geq 2t + 1$

## \* Reed-Solomon codes: special case of BCH

The symbols instead of being composed by "1" and "0" are blocks of bits:

⇒ Used to correct burst of errors.

## Expurgating

A cyclic code with an odd minimum distance can be expurgated by:  
multiply generator polynomial by  $D+1$

The words in the expurgated code have an even number of ones  
The expurgated code is cyclic

## Shortening

The first  $b$  positions are reset, therefore this data is not transmitted

The new code has:  $K' = K - b$  ,  $N' = N - b$

The shortened code is not cyclic

## Extending

For Hamming code we can add a general parity check bit with the same  $K$

We obtain a new code  $\Rightarrow$  NOT cyclic

$\Rightarrow$  with an even  $d_{\min}$ , at least same or greater than original



# Performance Evaluation

## \* Soft Decision: (Optimal Decoding)

The optimal receiver implement the soft decision. It works in the signal space using euclidean distance and estimating the likelihood function

↳ Minimize the distance

↳ Maximize the correlation

$$\int_0^T (r-s_i)^2 dt \quad \rightarrow \quad \int_0^T r s_i dt = \langle r, s_i \rangle$$

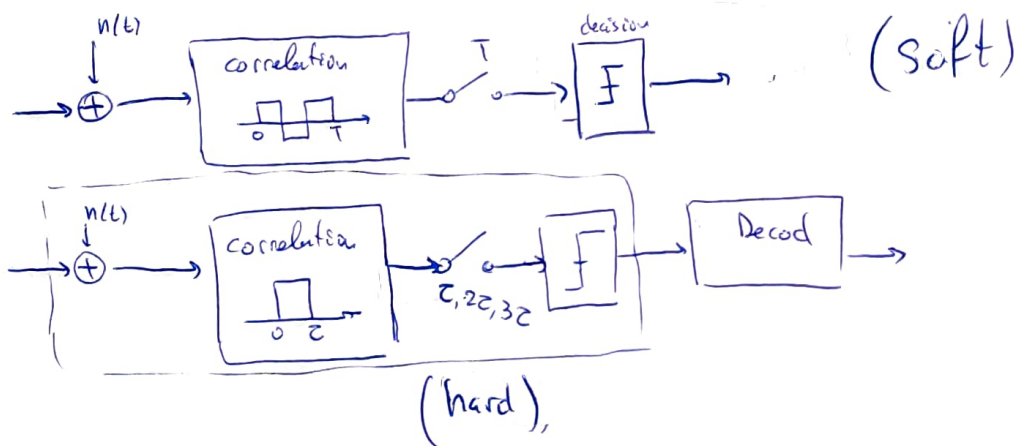
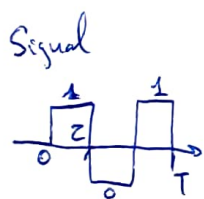
## \* Hard Decision

To simplify the receiver architecture. Instead of working in euclidean space we work in Hamming space ("1" and "0").

Using hard decision is an approximation so the hard decision receiver is sub-optimal but much more simple.

We loss only around 2dB of SNR

## \* Difference in soft/hard procedure



↳ Soft: If we change the code  $\Rightarrow$  we need to change the receiver

↳ Hard: If we change the code  $\Rightarrow$  change the decodification strategy, usually a software



## \* Error Probability

The best performance that can be obtained is the soft decision

We introduce the parameter

$$\epsilon = Q\left(\sqrt{\frac{2E_b}{N_0} \left(\frac{K}{N}\right)}\right)$$

code rate

⇒ In the binary antipolar mod.  $P(E) = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$

⇒ In case of using codes

1) We transmit  $K$  information bits

2) To transmit the useful information bits we need to use  $N$  code bits

⇒ For the soft decision we obtain

$$Q\left(\sqrt{\frac{2E_b}{N_0} \frac{K}{N} \cdot d_{\min}}\right)$$

⇒ For hard decision we have

$$P(E) = \sum_{h=t+1}^N \binom{N}{h} \epsilon^h (1-\epsilon)^{N-h}$$

being  $t$  the errors we can correct (that's why the sum starts from  $t+1$ )  
 $t \rightarrow$  error correcting capability

approximation

$$P(E) \approx Q\left(\sqrt{\frac{2E_b}{N_0} \frac{K}{N} (t+1)}\right)$$

$\hookrightarrow d_{\min} \leq 2t+1 \Rightarrow$  we lose  $\sim 3\text{dB}$   
 (divide by 2)

## Interleaving

When we have a lot of errors in the transmission that are in group we call them: Burst Errors.

To correct this errors the classical correction strategy is not very efficient.

The idea of interleaving is to break this burst of errors in order to make them manageable for the classic coding strategy.

⇒ Ex1



Instead of reading by rows we read by columns so we only have 1 error to solve

⇒ Interleaving introduces a delay