

CHAPTER 4

Dispersion in optical fibers

Dominique PAGNOUX

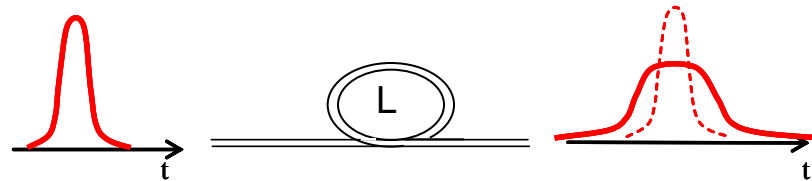


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DEFINITION AND CAUSES OF DISPERSION IN OPTICAL FIBERS

DISPERSION : linear phenomenon resulting in a change (generally an increase) of the duration of a pulse when propagating in a fiber



3 causes of dispersion :

- **intermodal dispersion (in multimode regime)** $\rightarrow D_i$
- **chromatic dispersion** $\rightarrow D_c$
- **polarization mode dispersion** $\rightarrow \text{PMD}$

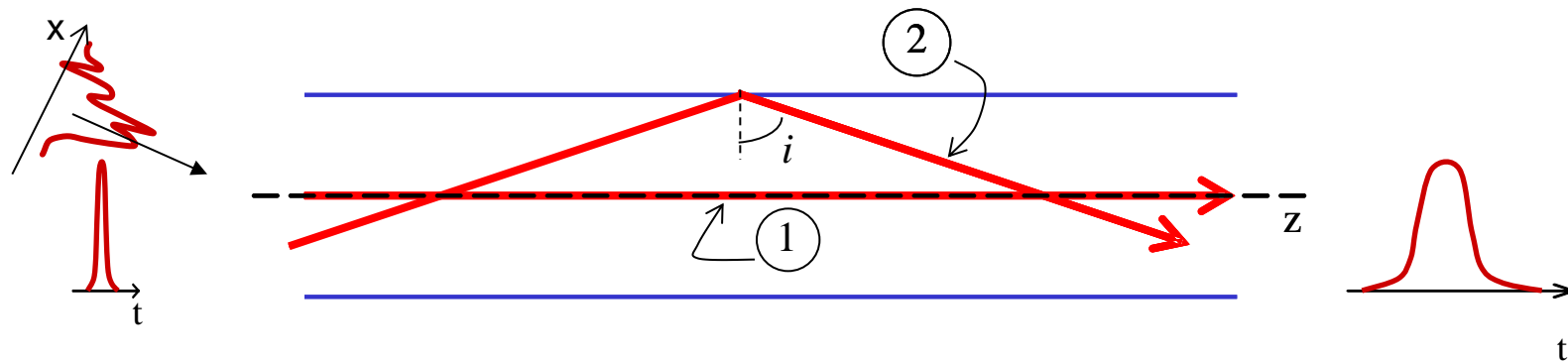
* In the multimode regime : $D_i \gg D_c$ of each mode $\gg \text{PMD}$ of each mode

$\rightarrow D_c$ and PMD are neglected

* In the single mode régime : $D_i = 0$

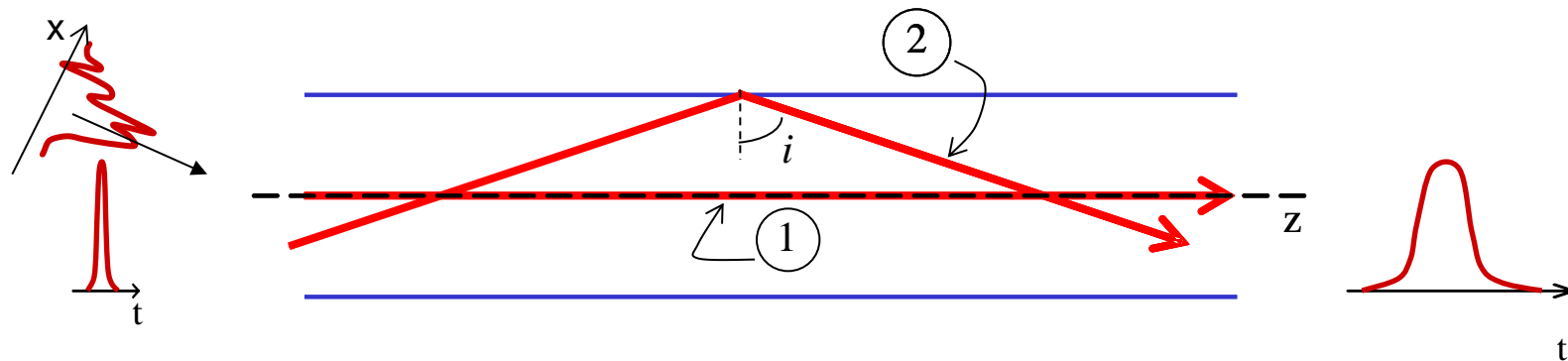
- if D_c of the fundamental mode $\gg \text{PMD}$ (usual case) $\rightarrow D_c$ only is taken into account and PMD is neglected
- si D_c of the fundamental mode $\sim 0 \rightarrow \text{PMD}$ must be taken onto account (for very high bit rate transmissions)

INTERMODALE DISPERSION



- due to the fact that each excited mode has its own group velocity, different from that of the others
- D_1 = pulse broadening per unit of length along which light propagates (ns/km)

INTERMODALE DISPERSION



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group delay: $t_g = \frac{L}{v_g}$

For a step index fiber → $v_g \approx \frac{c}{n_1} \sin i$

ray 1 → $\sin i = 1 \rightarrow v_g \approx \frac{c}{n_1} \rightarrow t_{g1} \approx \frac{L}{c} n_1$

ray 2 → $\sin i_{\min} = \frac{n_2}{n_1} \rightarrow v_g \approx \frac{c}{n_1} \frac{n_2}{n_1} \rightarrow t_{g2} \approx \frac{L}{c} \frac{n_1^2}{n_2}$

$\Delta t_g = \tau = t_{g2} - t_{g1}$

$\approx \frac{L}{c} n_1 \left(\frac{n_1}{n_2} - 1 \right) \approx \frac{L}{c} n_1 \left(\frac{n_1 - n_2}{n_2} \right)$

With $\Delta = \left(\frac{n_1^2 - n_2^2}{2n_1^2} \right) = \frac{(n_1 + n_2)(n_1 - n_2)}{2n_1^2} \approx \frac{2n_1(n_1 - n_2)}{2n_1^2} \approx \frac{n_1 - n_2}{n_1}$

$\tau = \frac{L}{c} n_1 \Delta$

(step index fiber)

INTERMODALE DISPERSION (step index fiber)

Definition :

$$D_I \triangleq \frac{\tau}{L} = \frac{n_1 \cdot \Delta}{c} \quad (\text{en ns/km})$$

(reminder : $\tau = \frac{L}{c} n_1 \Delta$)

Modulation bandwidth, for a fiber of length L : **$B=1/\tau$** (generally expressed in MHz)

B.L = **$B_L = L/\tau = 1/D_I = \text{constant}$** (generally expressed in MHz.km)

INTERMODALE DISPERSION (step index fiber)

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Example :

Step index fiber, length L= 3km with $n_1 = 1,465$ and $n_2 = 1,45$

$$\tau = 3 \cdot \frac{1}{3 \cdot 10^5} \cdot 1,465 \cdot \left(\frac{1,465-1,45}{1,45} \right) = 1,52 \cdot 10^{-7} s = 152 \text{ ns}$$

$$B = \frac{1}{\tau} = \frac{1}{152 \cdot 10^{-9}} = 6,58 \cdot 10^6 \text{ Hz} = 6,58 \text{ MHz}$$

$$B_L = \frac{1}{D_I} = \frac{1}{51 \cdot 10^{-9}} \approx 20 \cdot 10^6 \text{ Hz.km} \approx 20 \text{ MHz.km}$$

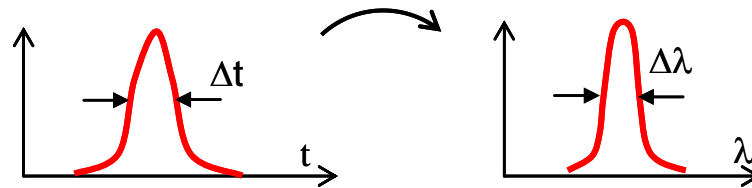
Optimized graded index fiber

$$\tau' = \tau/100$$

$$B' = 100.B$$

$$B_L' = 100.B_L$$

CHROMATIC DISPERSION



$$\Delta\lambda = \lambda^2 \cdot \Delta f / c$$

where Δf = spectral bandwidth of the pulse with Δt . $\Delta f = cte$

if $\Delta t = 10\text{ps}$ with $\lambda_0 = 1\mu\text{m}$

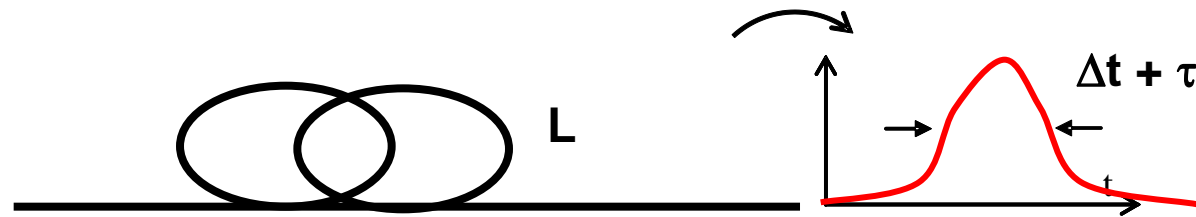
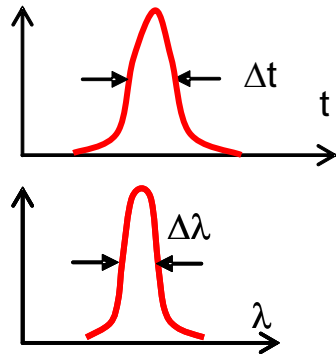
$$\Delta\lambda = \frac{(10^{-6})^2}{3 \cdot 10^8} \times \frac{1}{10 \cdot 10^{-12}} = 3 \cdot 10^{-10} \text{ m} = 0,3 \text{ nm} \quad (\text{with } \Delta t \cdot \Delta f = 1)$$

causes of chromatic dispersion :

- dispersive material $\rightarrow n=f(\lambda) \rightarrow v_\phi = c/n = f(\lambda) \rightarrow v_g = f(\lambda) \rightarrow$ **material dispersion (D_{mat})**
- when the wave is guided, $\beta = f(V) = f(\omega) \rightarrow v_g = d\omega/d\beta = f(\lambda) \rightarrow$ **guide dispersion (D_{gui})**

In first approximation : $D_c \approx D_{\text{mat}} + D_{\text{gui}}$

CHROMATIC DISPERSION

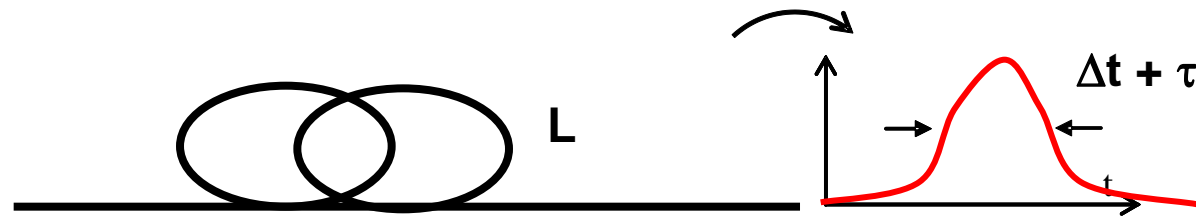
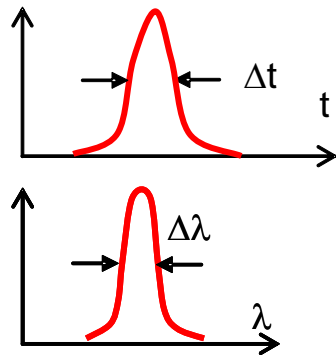


Definition :

$$D_c = \frac{\tau}{L \cdot \Delta \lambda}$$

expressed in ps/(nm.km)

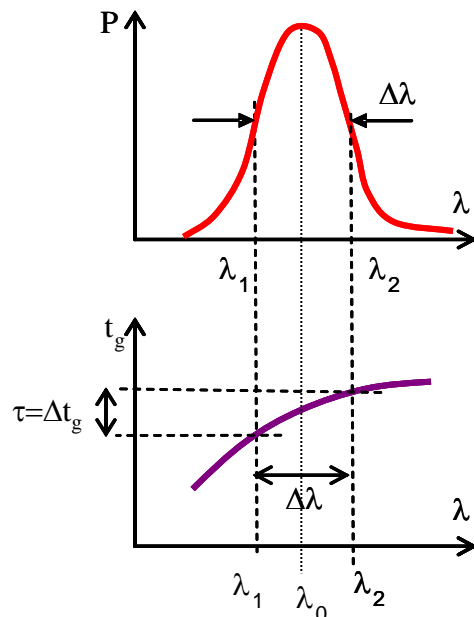
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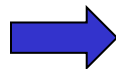
$$\tau = |t_g(\lambda_2) - t_g(\lambda_1)| \approx \frac{dt_g}{d\lambda} \Delta \lambda$$



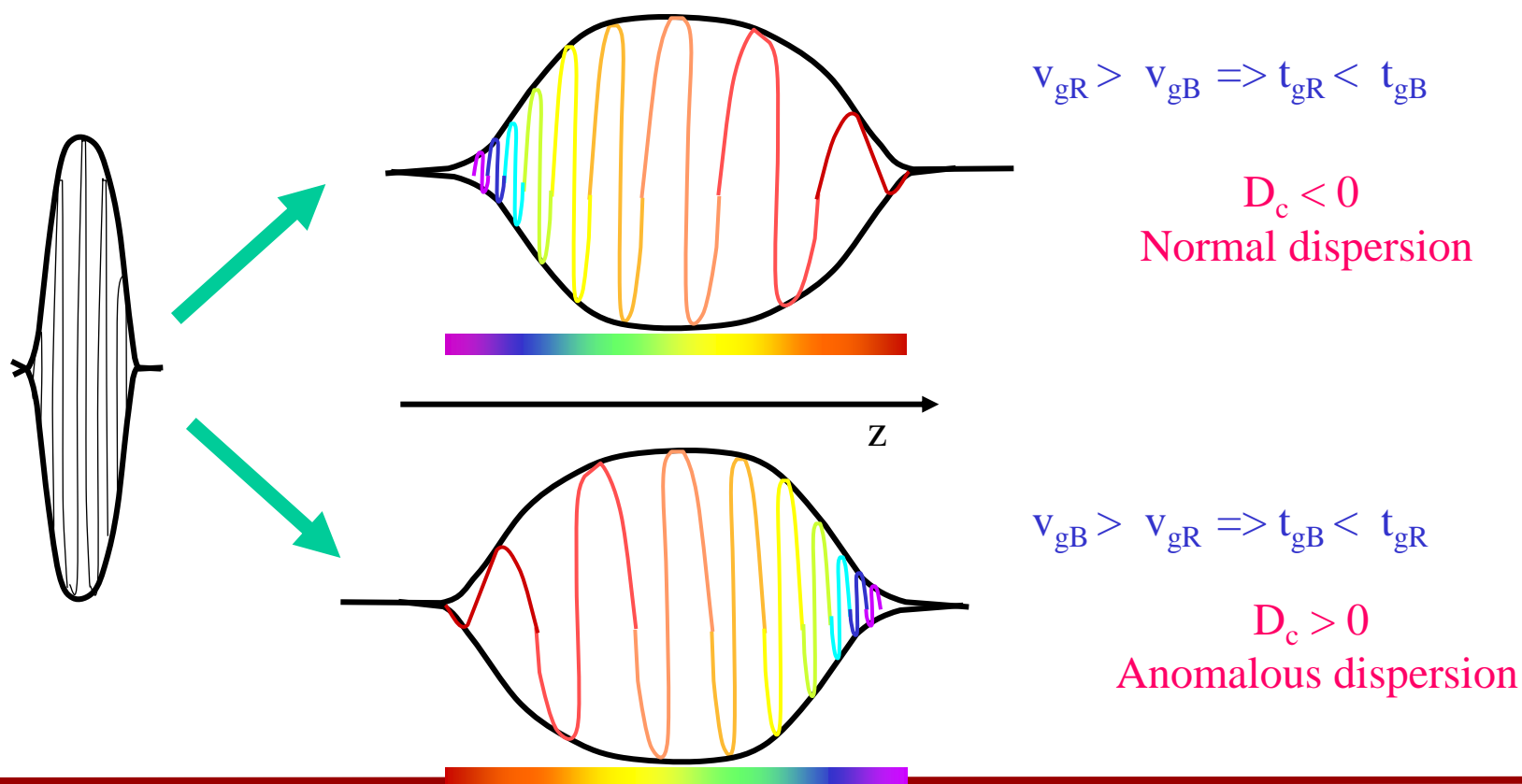
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CHROMATIC DISPERSION

$$D_c = \frac{1}{L} \frac{dt_g}{d\lambda}$$



$$D_c = \frac{t_{gR} - t_{gB}}{L (\lambda_R - \lambda_B)} \quad \text{en ps/(nm.km)}$$



CHROMATIC DISPERSION : remarks

$$D_c = \frac{1}{L} \frac{dt_g}{d\lambda} = \frac{1}{L} \frac{d}{d\lambda} \left(\frac{L}{v_g} \right) = \frac{d}{d\lambda} \left(\frac{d\beta}{d\omega} \right) = \frac{d}{d\omega} \left(\frac{d\beta}{d\omega} \right) \cdot \frac{d\omega}{d\lambda} = -\frac{2\pi c}{\lambda^2} \frac{d^2\beta}{d\omega^2}$$

- the dispersion curve $\beta=f(\omega)$ allows to calculate the chromatic dispersion (taking into account the actual values $n_1(\lambda)$ and $n_2(\lambda)$ at each wavelength)

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→ the dispersion curve $\beta=f(\omega)$ allows calculating the chromatic dispersion (taking into account the actual values $n_1(\lambda)$ and $n_2(\lambda)$ at each wavelength)

Taylor expansion of the spectral phase of the guided wave :

$$\varphi(\omega) = \beta L = \beta(\omega_0) \cdot L + L \cdot \frac{d\beta}{d\omega} (\omega - \omega_0) + \frac{L}{2} \frac{d^2\beta}{d\omega^2} (\omega - \omega_0)^2 + \dots$$

out of the "guided optics" community, $\frac{d^2\beta}{d\omega^2}$ is often called "group velocity dispersion"

→ inappropriate denomination and risk of confusion with D_c

in fact $\frac{d^2\beta}{d\omega^2}$ is proportional to the dispersion of group delay



CALCULATION OF THE MATERIAL DISPERSION

→ plane wave (= propagating wave NOT GUIDED)

→ dispersive propagation medium : $n_1 = f(\lambda)$

$$t_g = t_{mat} = \frac{L}{v_g} = \frac{L}{c} N_g = \frac{L}{c} \left(n_1 - \lambda \frac{dn_1}{d\lambda} \right)$$

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$$\begin{aligned} \tau_{mat} = \Delta t_g &= \frac{dt_{mat}}{d\lambda} \Delta\lambda = \frac{L\Delta\lambda}{c} \frac{d}{d\lambda} \left(n_1 - \lambda \frac{dn_1}{d\lambda} \right) \\ &= \frac{L}{c} \Delta\lambda \cdot \left(\frac{dn_1}{d\lambda} - \left(1 \times \frac{dn_1}{d\lambda} + \lambda \frac{d^2 n_1}{d\lambda^2} \right) \right) \\ &= -\frac{\lambda L}{c} \Delta\lambda \cdot \frac{d^2 n_1}{d\lambda^2} \end{aligned}$$

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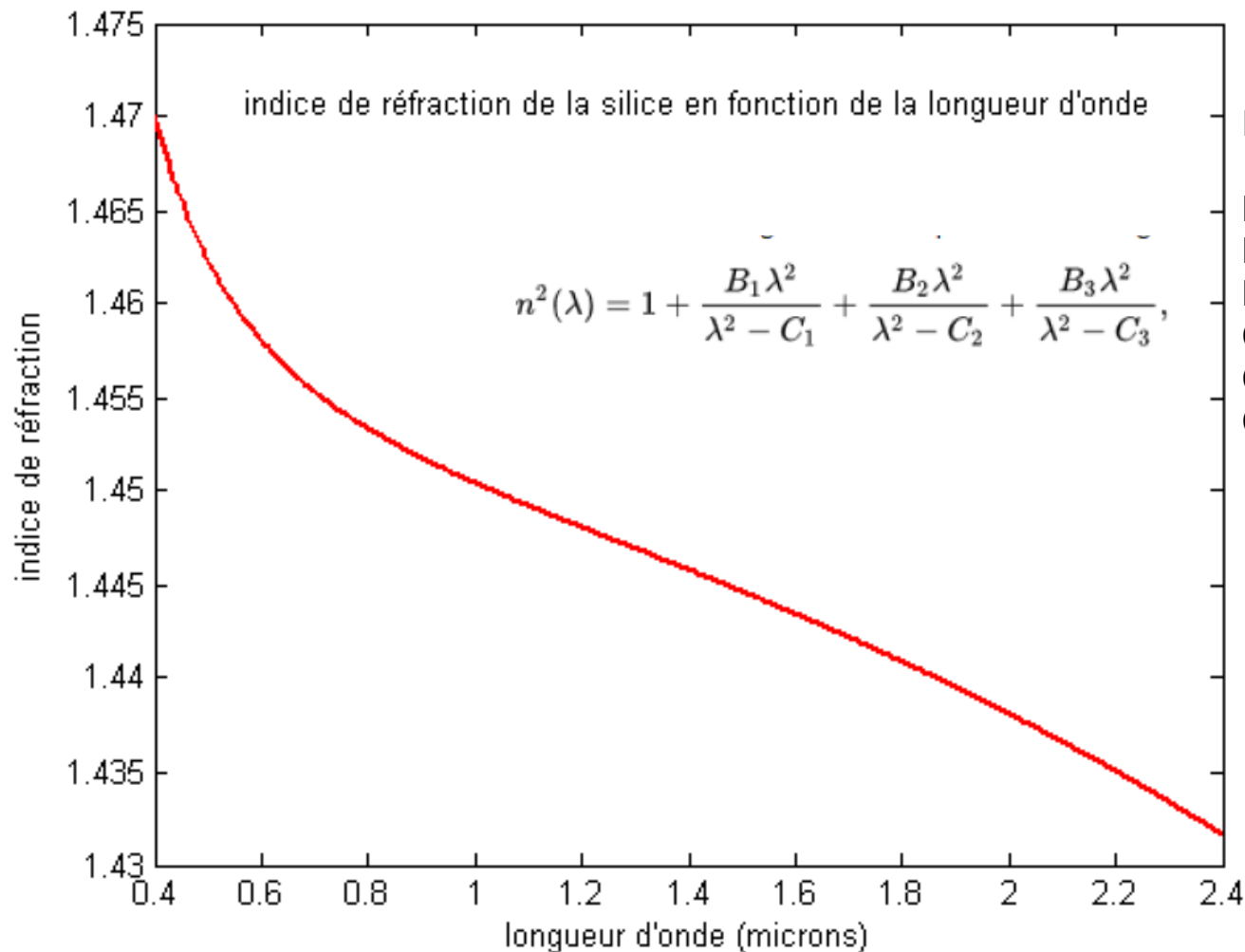
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$$D_{mat} = \frac{\tau_{mat}}{L \cdot \Delta\lambda} = -\frac{\lambda}{c} \frac{d^2 n_1}{d\lambda^2}$$

MATERIAL DISPERSION

$$D_{mat} = -\frac{\lambda}{c} \frac{d^2 n_1}{d\lambda^2}$$



For pure silica :

$$B_1 = 0.696166300$$

$$B_2 = 0.407942600$$

$$B_3 = 0.897479400$$

$$C_1 = 4.67914826 \times 10^{-3} \mu\text{m}^2$$

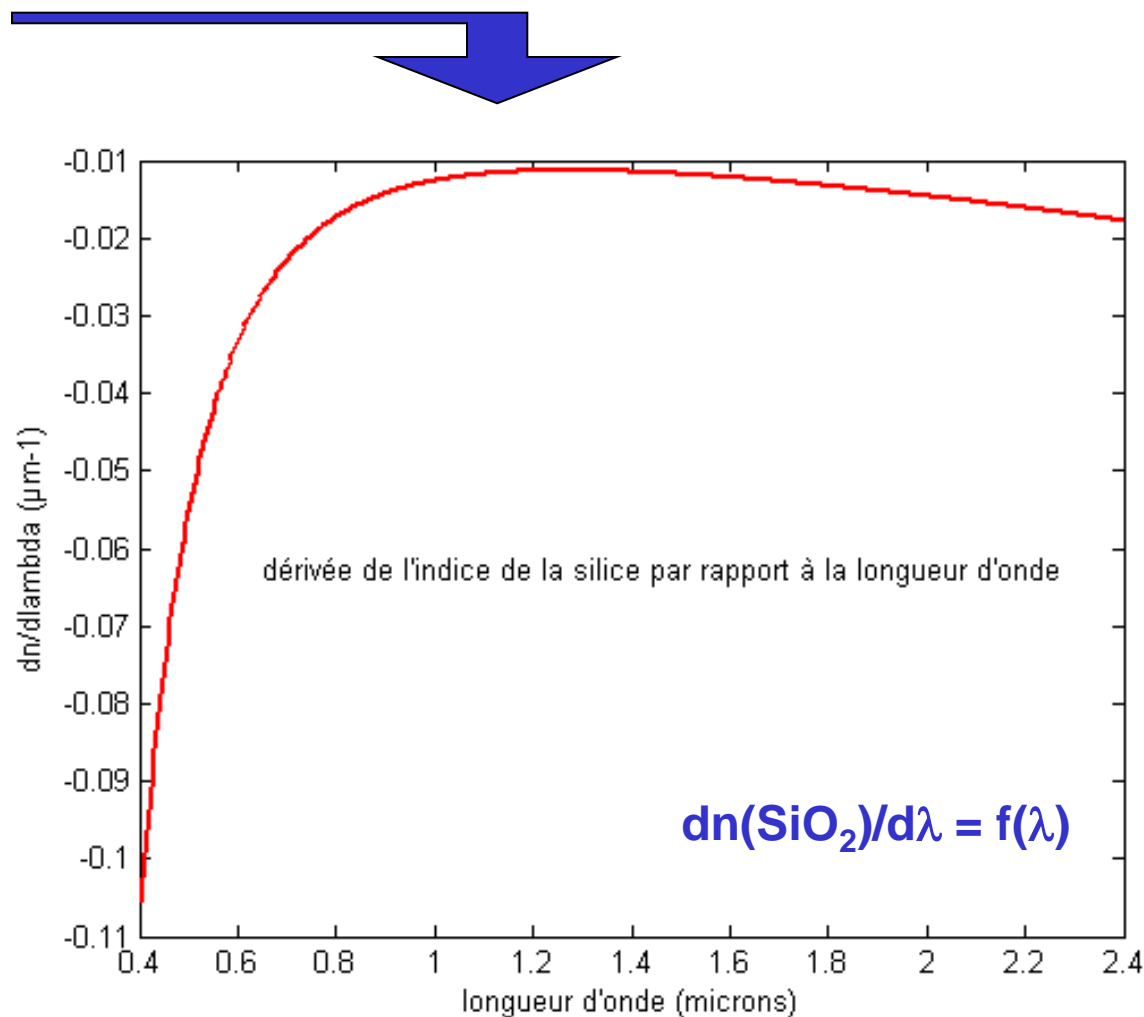
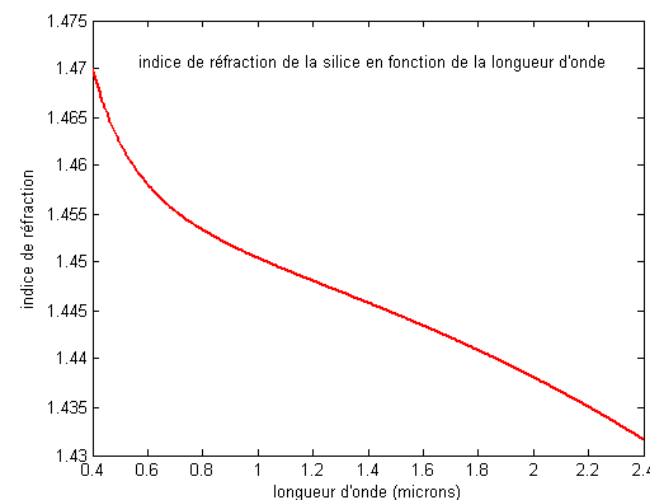
$$C_2 = 1.35120631 \times 10^{-2} \mu\text{m}^2$$

$$C_3 = 97.9340025 \mu\text{m}^2$$

$$n(\text{SiO}_2) = f(\lambda)$$

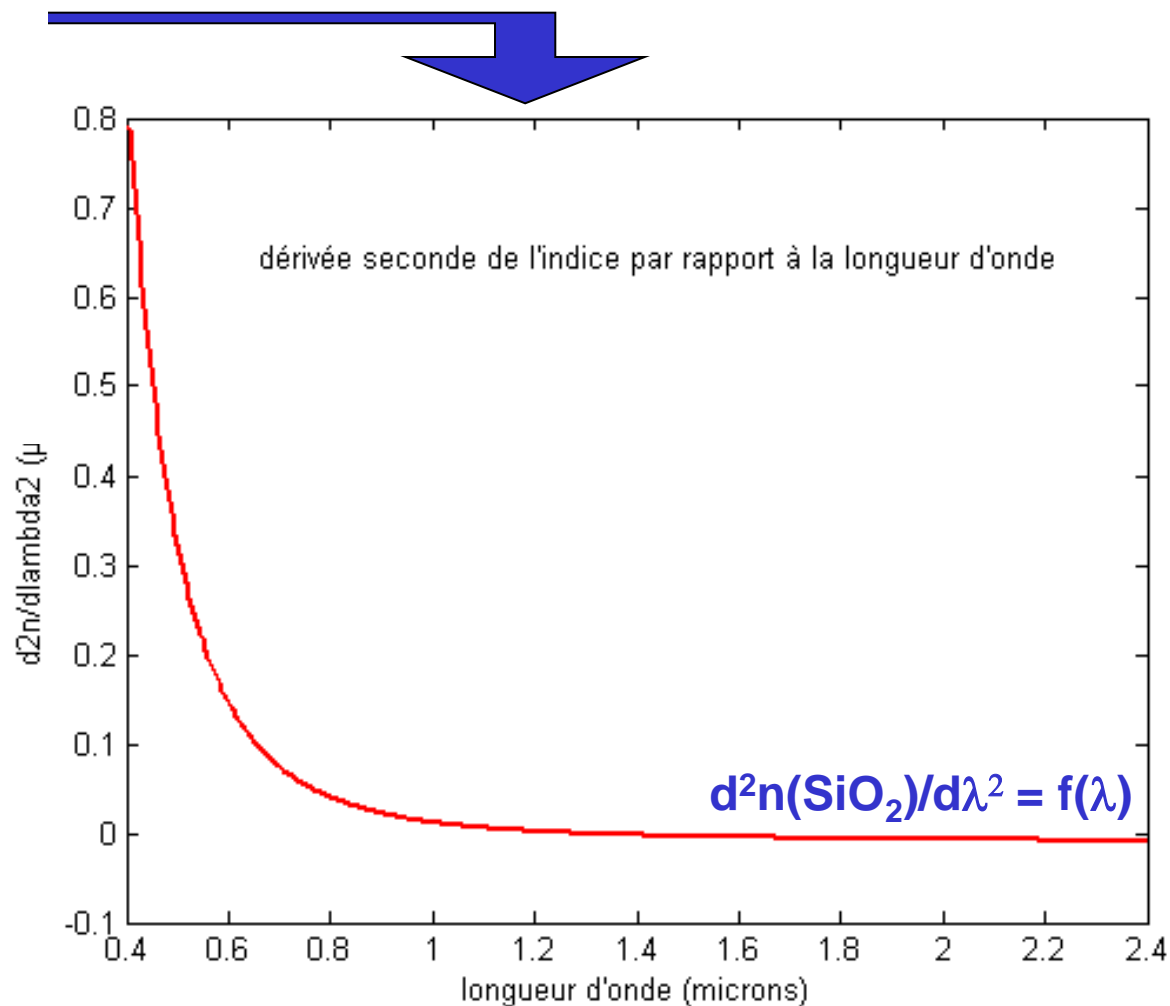
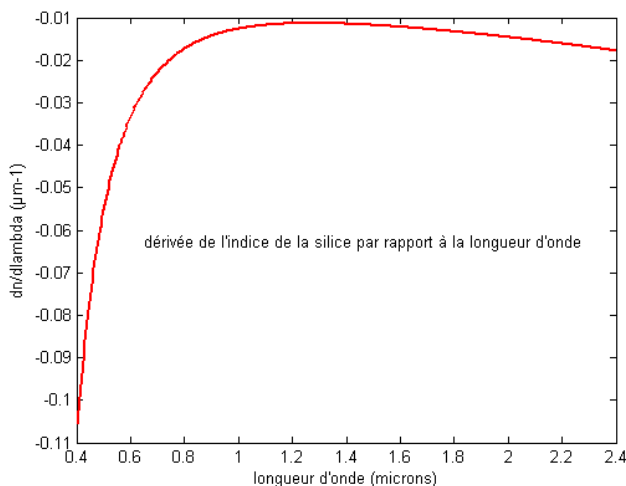
MATERIAL DISPERSION

$$D_{mat} = -\frac{\lambda}{c} \frac{d^2 n_1}{d\lambda^2}$$

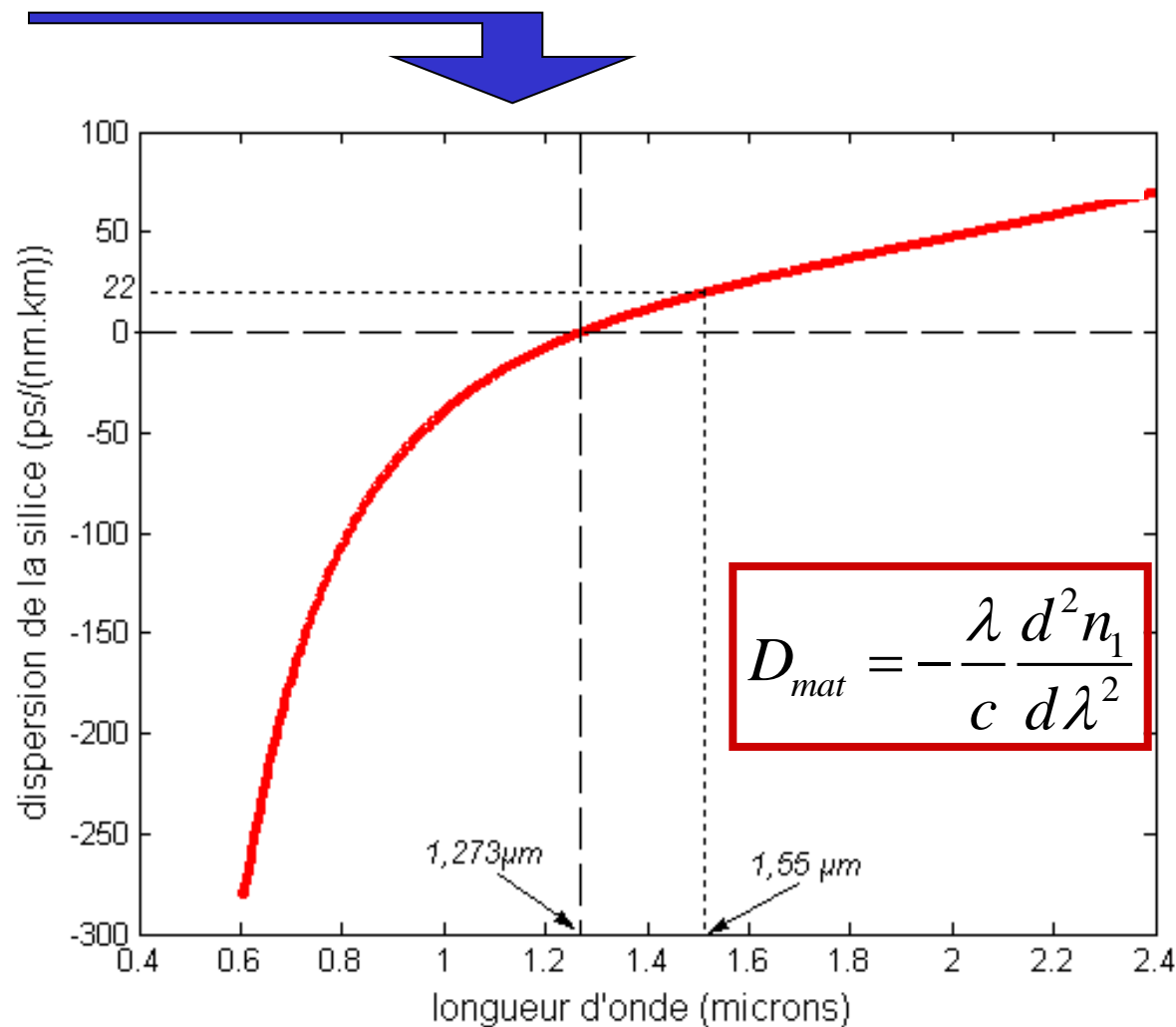
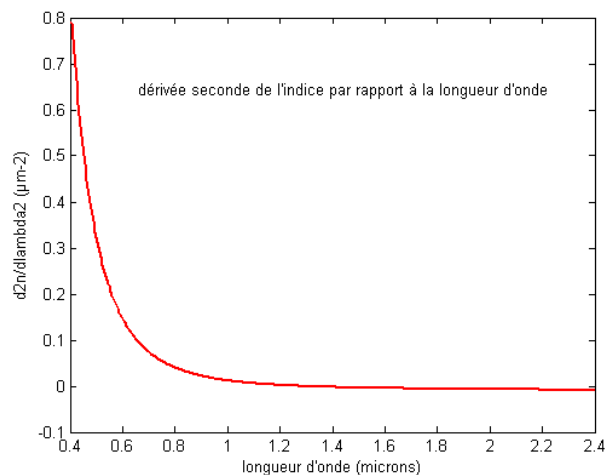


MATERIAL DISPERSION

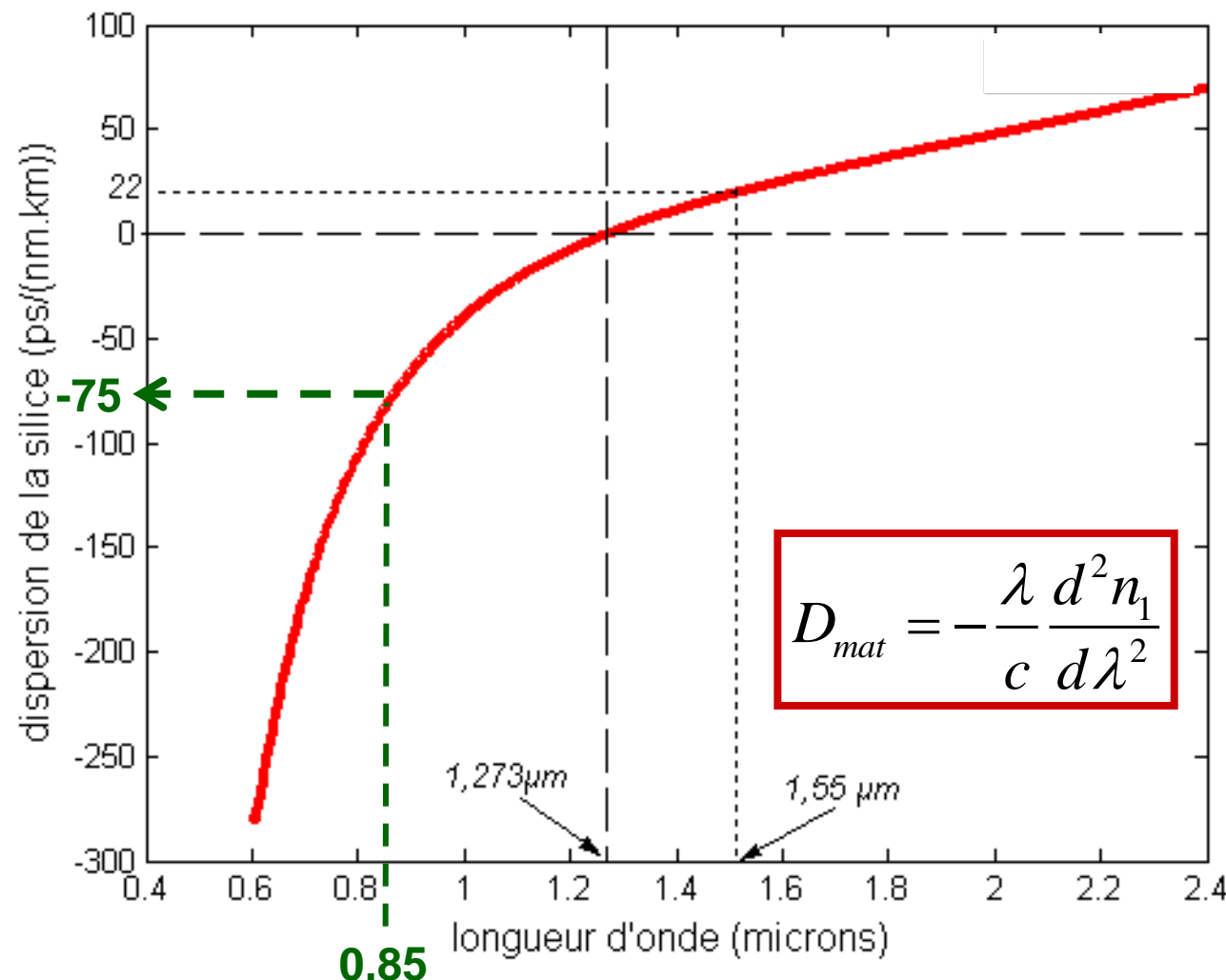
$$D_{mat} = -\frac{\lambda}{c} \frac{d^2 n_1}{d\lambda^2}$$



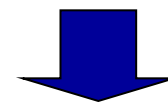
MATERIAL DISPERSION



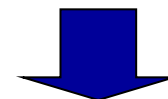
MATERIAL DISPERSION



example let us consider a beam from a laser diode emitting at 850nm, with $\Delta\lambda = 40\text{nm}$, launched in a fiber with a length $L = 2\text{km}$



$$D_{mat} = -75\text{ps}/(\text{nm.km})$$



$$\tau_{mat} = L \cdot \Delta\lambda \cdot |D_{mat}|$$

$$= 2 \times 40 \times 75 = 6000\text{ps} = 6\text{ns}$$

CALCULATION OF THE GUIDE DISPERSION

→ guided wave

→ non dispersive propagation medium $\Rightarrow \frac{dn_1}{d\lambda} = 0 ; \frac{dn_2}{d\lambda} = 0 ; \frac{d\Delta}{d\lambda} = 0$

$$v_g = \frac{d\omega}{d\beta} \quad \text{et} \quad t_g = \frac{L}{v_g} = L \frac{d\beta}{d\omega} = \frac{L}{c} \frac{d\beta}{dk_0} \quad (\text{car } \omega = k_0 \cdot c)$$

Goal : express t_g as a function of B and V (→ allowing to exploit the dispersion curves $B=f(V)$)

One easily shows that :

$$\beta = k_0 [n_2 + n_1 \Delta B]$$

$$k_0 = \frac{V}{a \cdot n_1 \sqrt{2\Delta}}$$

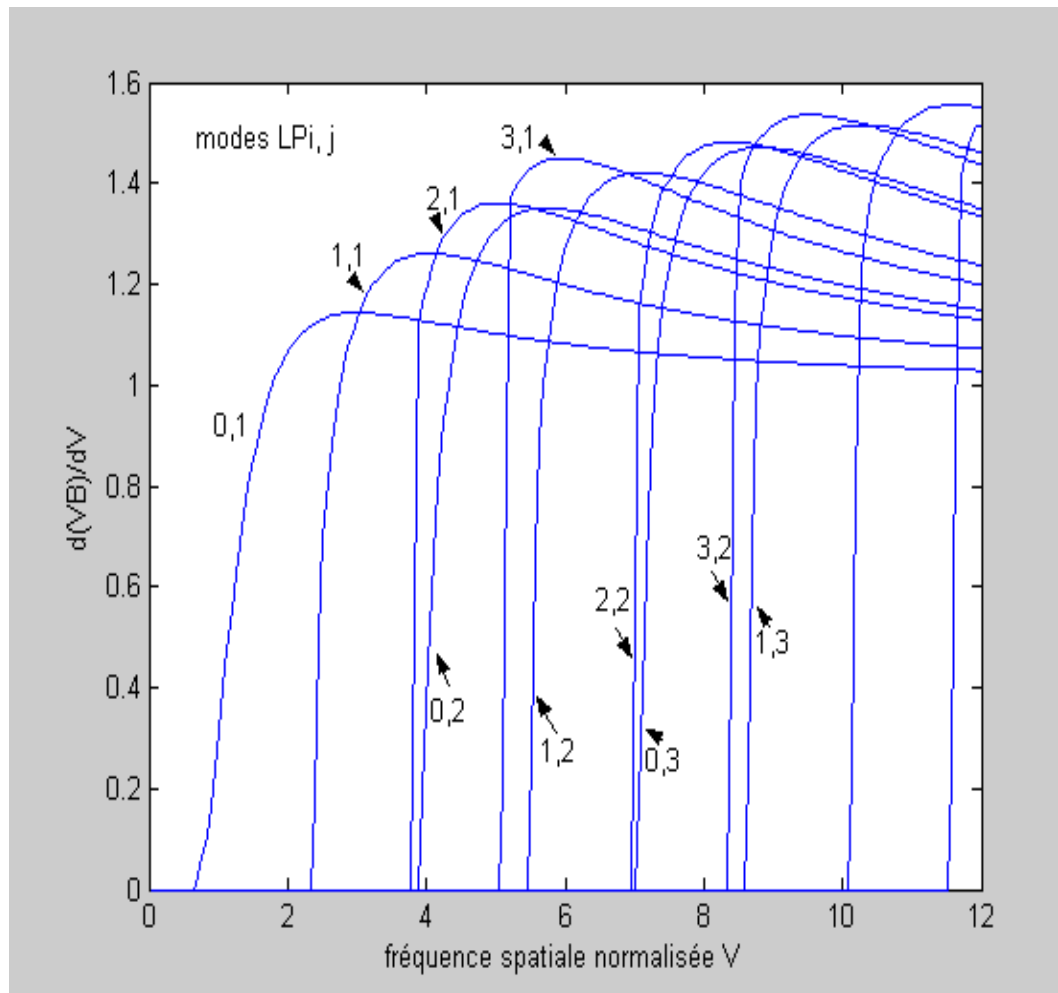


$$t_g = t_{gui} = \frac{L}{c} \left[n_2 + n_1 \Delta \frac{d(VB)}{dV} \right]$$

see the development of the calculations in [pdf pages 10 et 11](#)

CALCULATION OF THE GUIDE DISPERSION

$$t_{gui} = \frac{L}{c} \left[n_2 + n_1 \Delta \frac{d(VB)}{dV} \right]$$



CALCULATION OF THE GUIDE DISPERSION

$$t_{gui} = \frac{L}{c} \left[n_2 + n_1 \Delta \frac{d(VB)}{dV} \right]$$

temporal broadening : $\tau_{gui} = \Delta t_{gui} = \frac{dt_{gui}}{d\lambda} \Delta\lambda$ (see slide number 9)

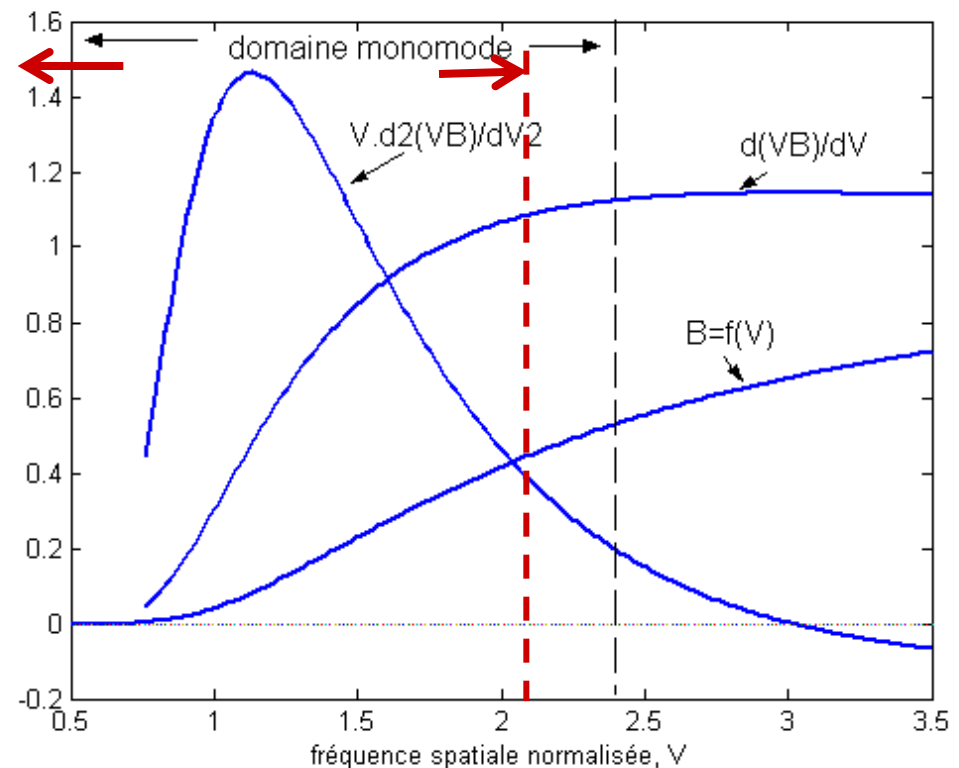
Dispersion due to guiding effect : $D_{gui} = \frac{\tau_{gui}}{L \cdot \Delta\lambda}$



resulting in :

$$D_{gui} = -\frac{n_1 \Delta}{c\lambda} V \frac{d^2(VB)}{dV^2}$$

(see the development of the calculations in [pdf page 12](#))



CALCULATION OF THE GUIDE DISPERSION

$$D_{gui} = -\frac{n_1 \Delta}{c \lambda} V \frac{d^2(VB)}{dV^2}$$

Example: case of a fiber with

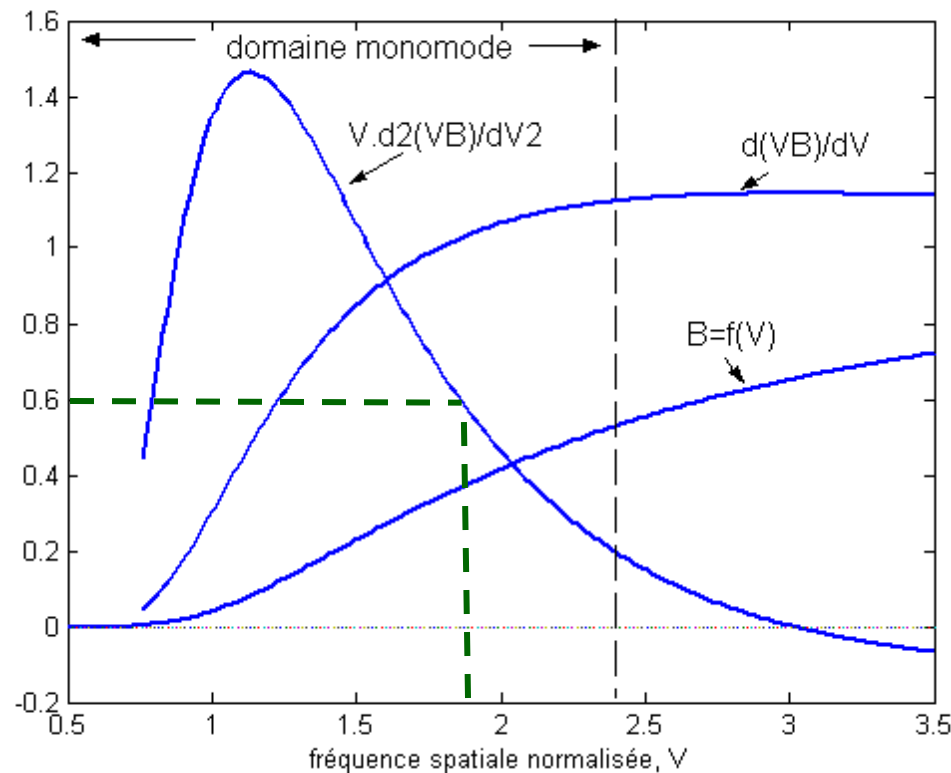
$$a = 4,5\mu\text{m} \quad ON = 0,105 \quad n_1 = 1,46$$

$$\text{à } \lambda = 1,55\mu\text{m}$$

$$\rightarrow \Delta = \frac{ON^2}{2n_1^2} = 2,83 \cdot 10^{-3}$$

$$\rightarrow V = \frac{2\pi}{\lambda} a ON = 1,91$$

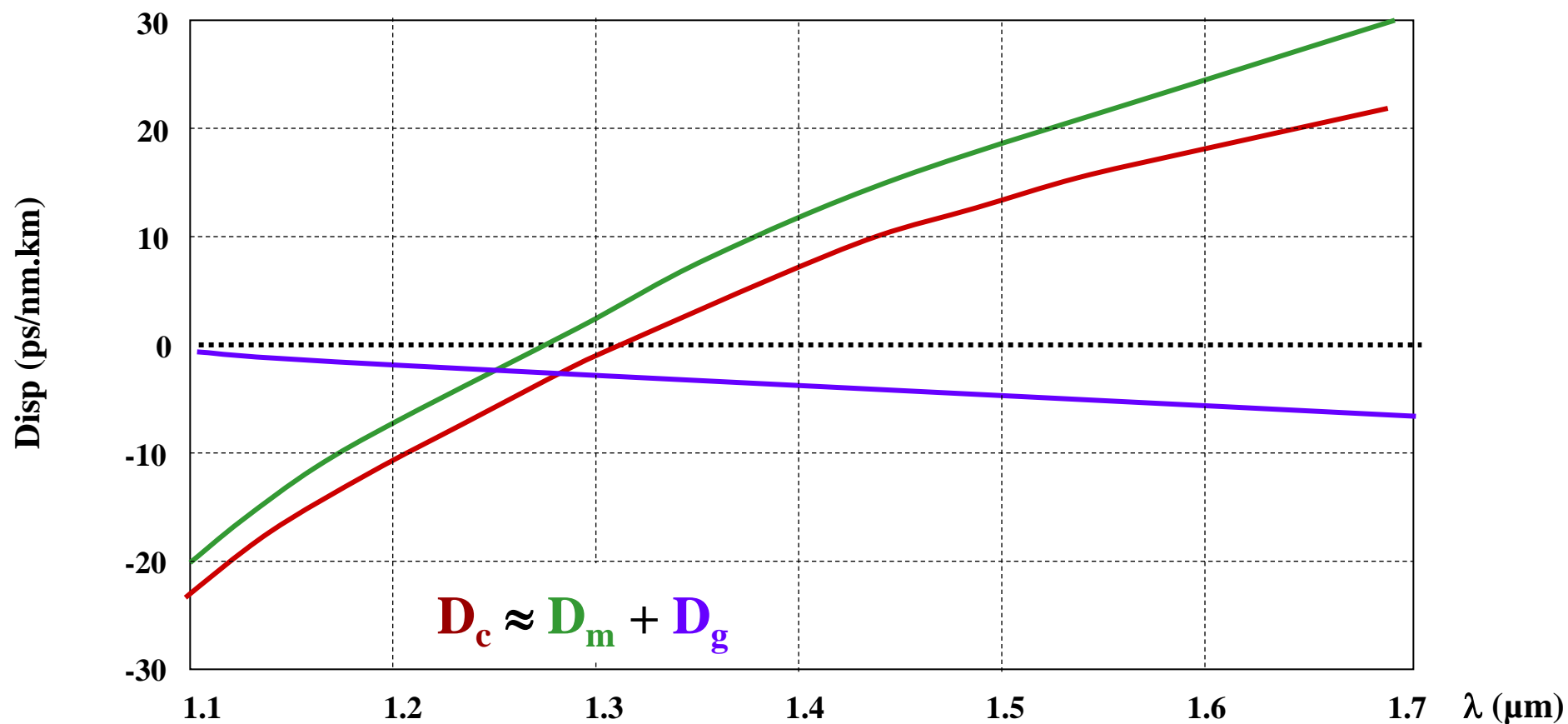
$$\rightarrow V \frac{d^2(VB)}{dV^2} \approx 0,6$$



$$D_{gui} = -\frac{1,46 \times 2,83 \cdot 10^{-3}}{3 \cdot 10^8 \times 1,55 \cdot 10^{-6}} \times 0,6 = -510^{-6} \text{ s}/(\text{m.m}) = -5 \text{ ps}/(\text{nm.km})$$

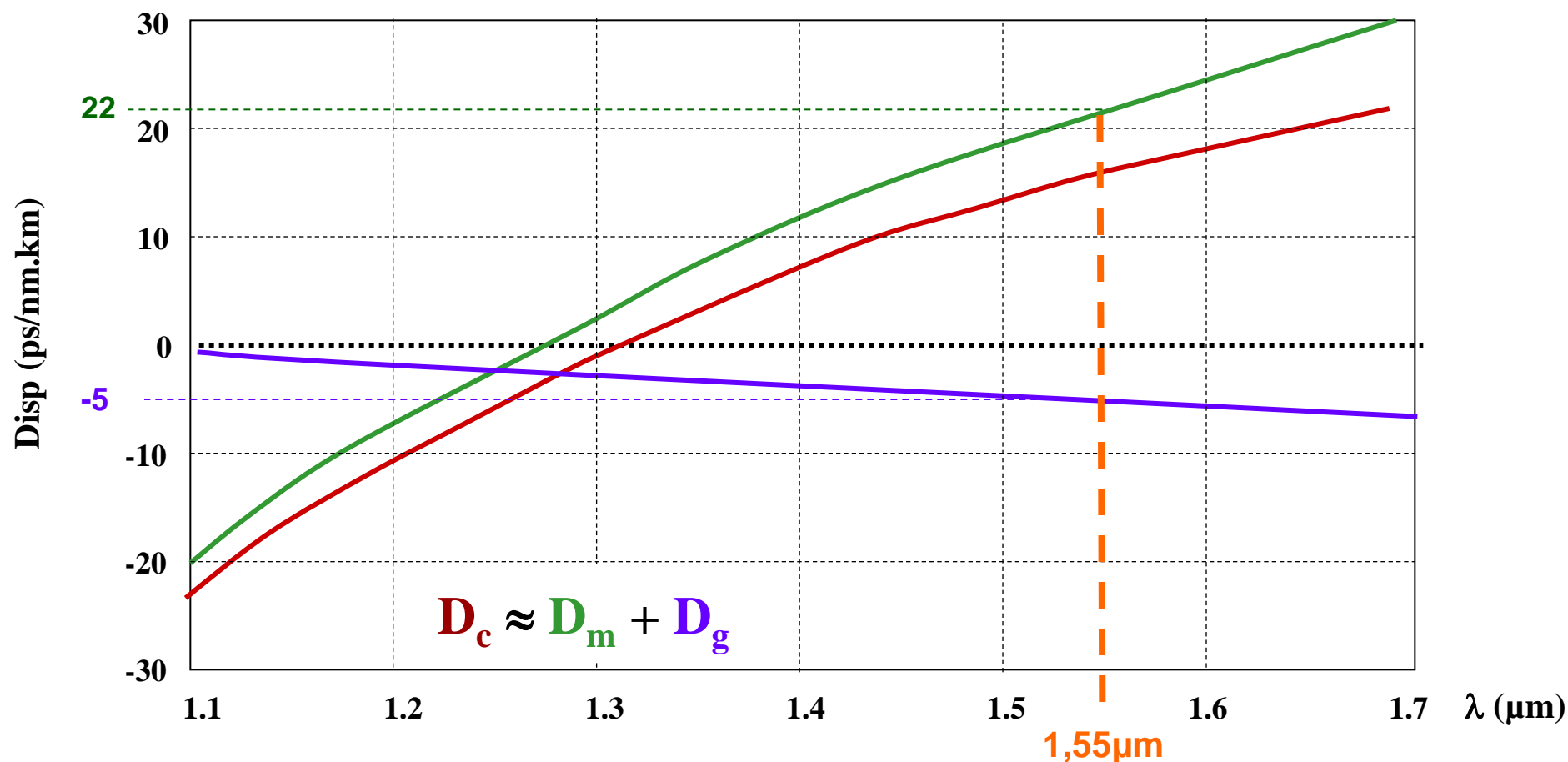
CURVES OF THE CHROMATIC DISPERSION versus WAVELENGTH

Example with a step index fiber : $n_1 = 1.46$ $n_2 = 1.455$ $a = 4 \mu\text{m}$



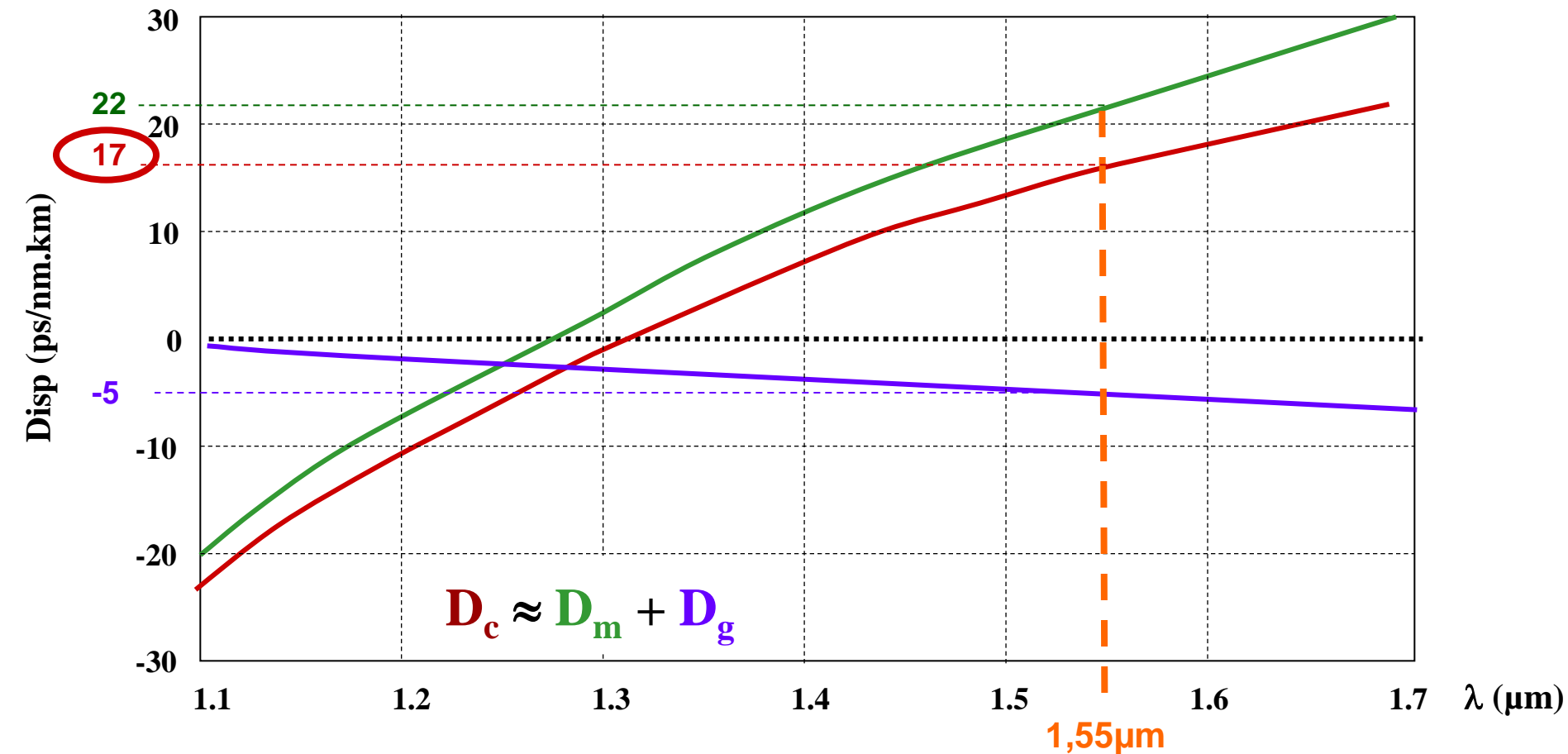
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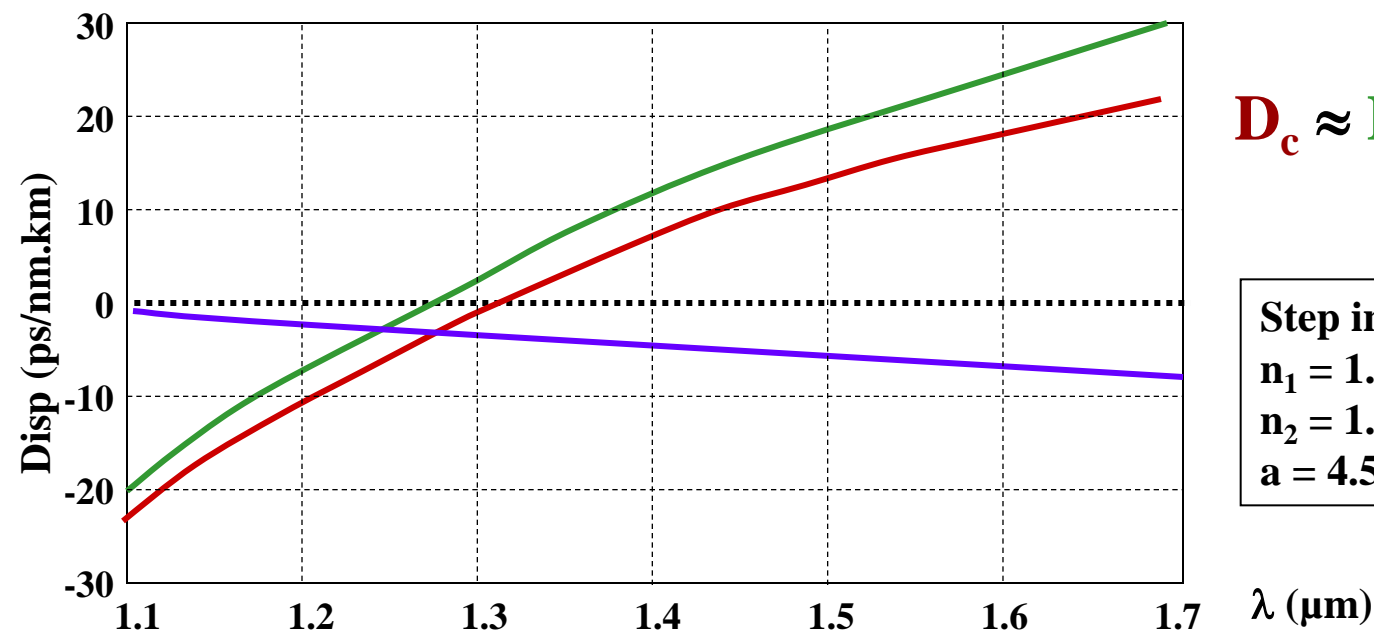


CURVES OF THE CHROMATIC DISPERSION versus WAVELENGTH

Example with a step index fiber : $n_1 = 1.46$ $n_2 = 1.455$ $a = 4 \mu\text{m}$



CURVES OF THE CHROMATIC DISPERSION VERSUS WAVELENGTH



$$D_c \approx D_m + D_g$$

Step index fiber

$$n_1 = 1.46$$

$$n_2 = 1.456$$

$$a = 4.5 \mu\text{m}$$

How can we change the chromatic dispersion of an optical fiber ?

→ By changing the material dispersion ???? → no

→ By changing the dispersion of the guide !!!

⇒ Working with higher order modes

⇒ Working in the single mod regime, but with a fiber having a modified index profile

- multicladd fibers ("DS fibers", "DF fibers...")
- Air silica microstructured optical fibers (MOFs so called "PCFs")
- Bragg fibers or photonic bandgap fibers

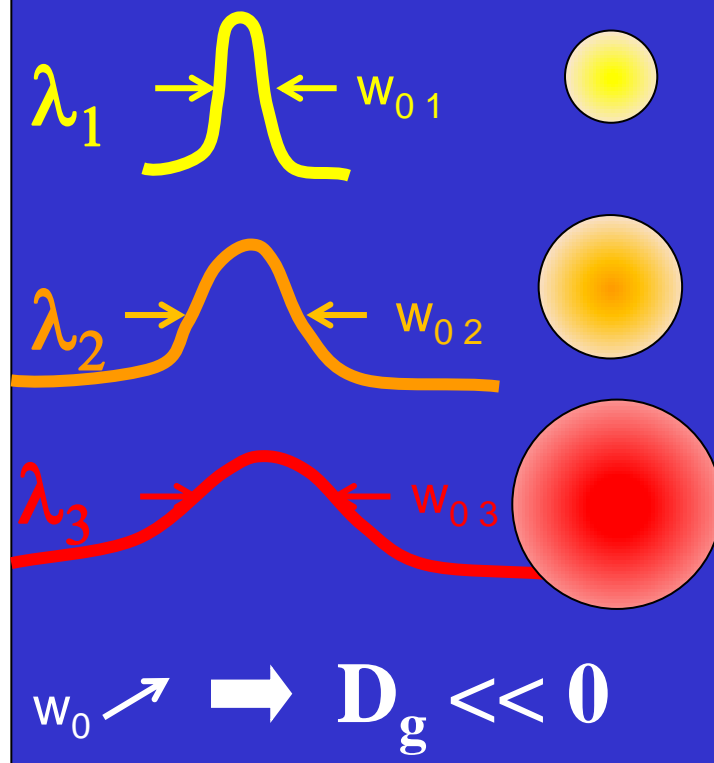
HOW CAN WE CHANGE THE DISPERSION OF THE GUIDE ?

$$D_g = -\frac{1}{\pi^2 n_2 c} \frac{\lambda}{w_0^2} \left(\frac{\lambda}{w_0} \frac{dw_0}{d\lambda} - \frac{1}{2} \right)$$

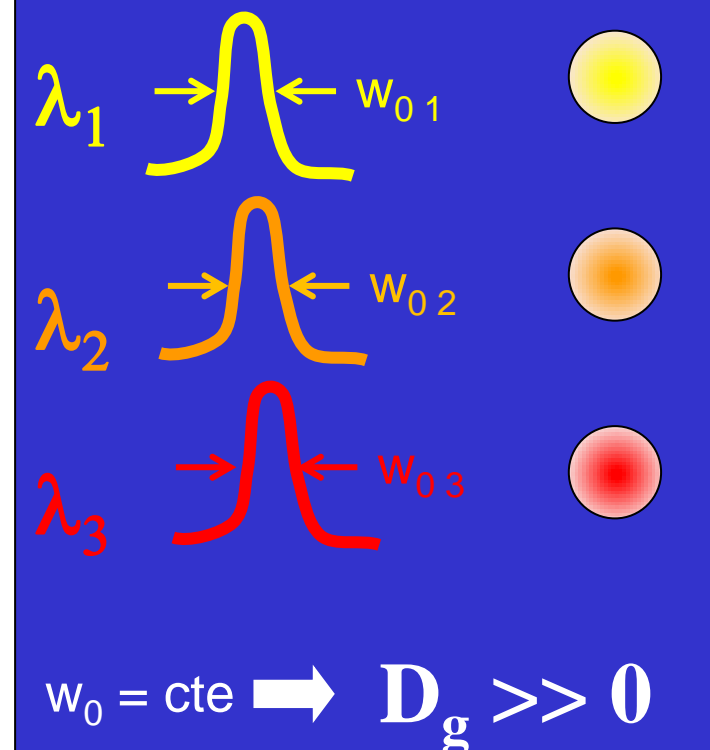
Pierre Sansonetti, Elect. Letters,
vol 18, n°15, pp 647-648 (1982)

$$\lambda_1 < \lambda_2 < \lambda_3$$

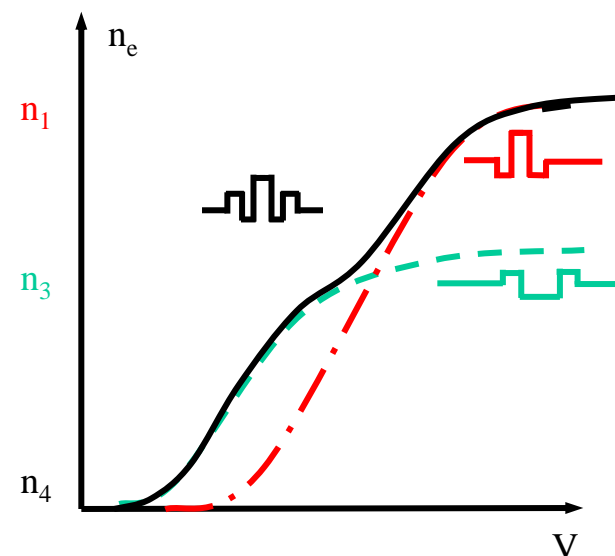
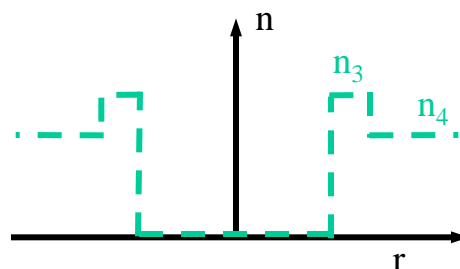
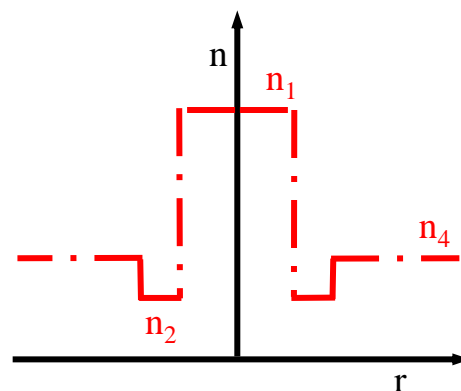
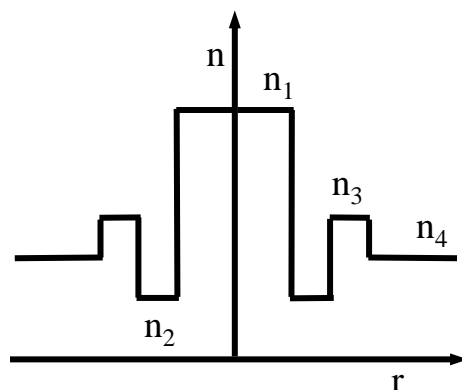
If the field significantly
spreads in the cladding when $\lambda \nearrow$



If the extension of the field do
not change when $\lambda \nearrow$

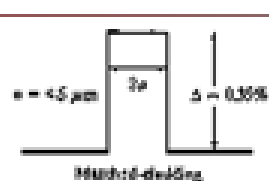


DISPERSION SHIFTED FIBERS : MULTICLAD FIBERS

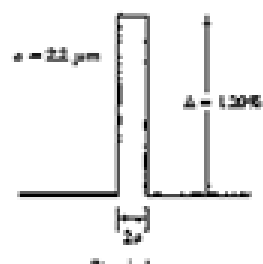
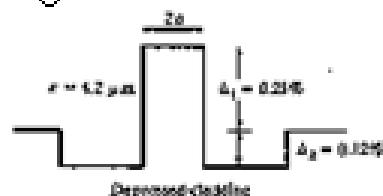


$$D = - \frac{\lambda}{c} \frac{d^2 n_e}{d\lambda^2}$$

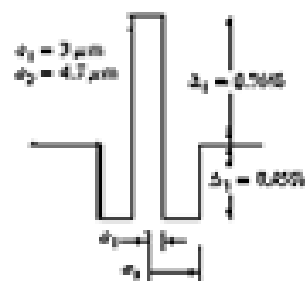
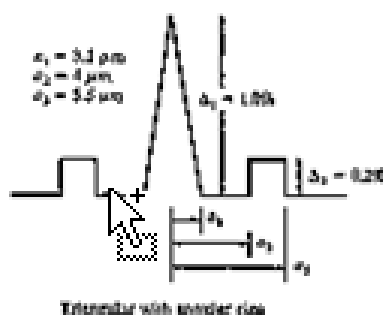
DISPERSION SHIFTED FIBERS : A LARGE VARIETY OF INDEX PROFILES



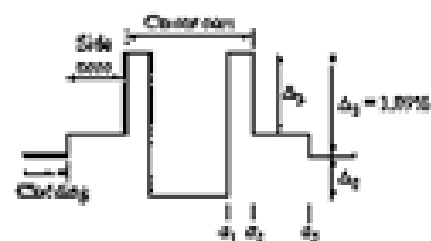
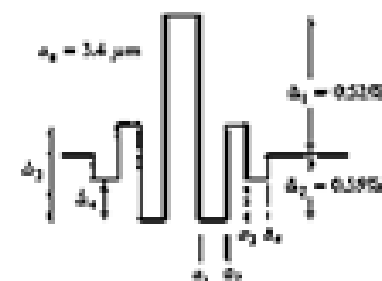
(a)



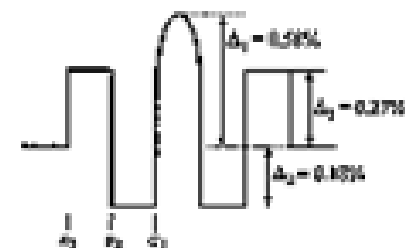
(b)



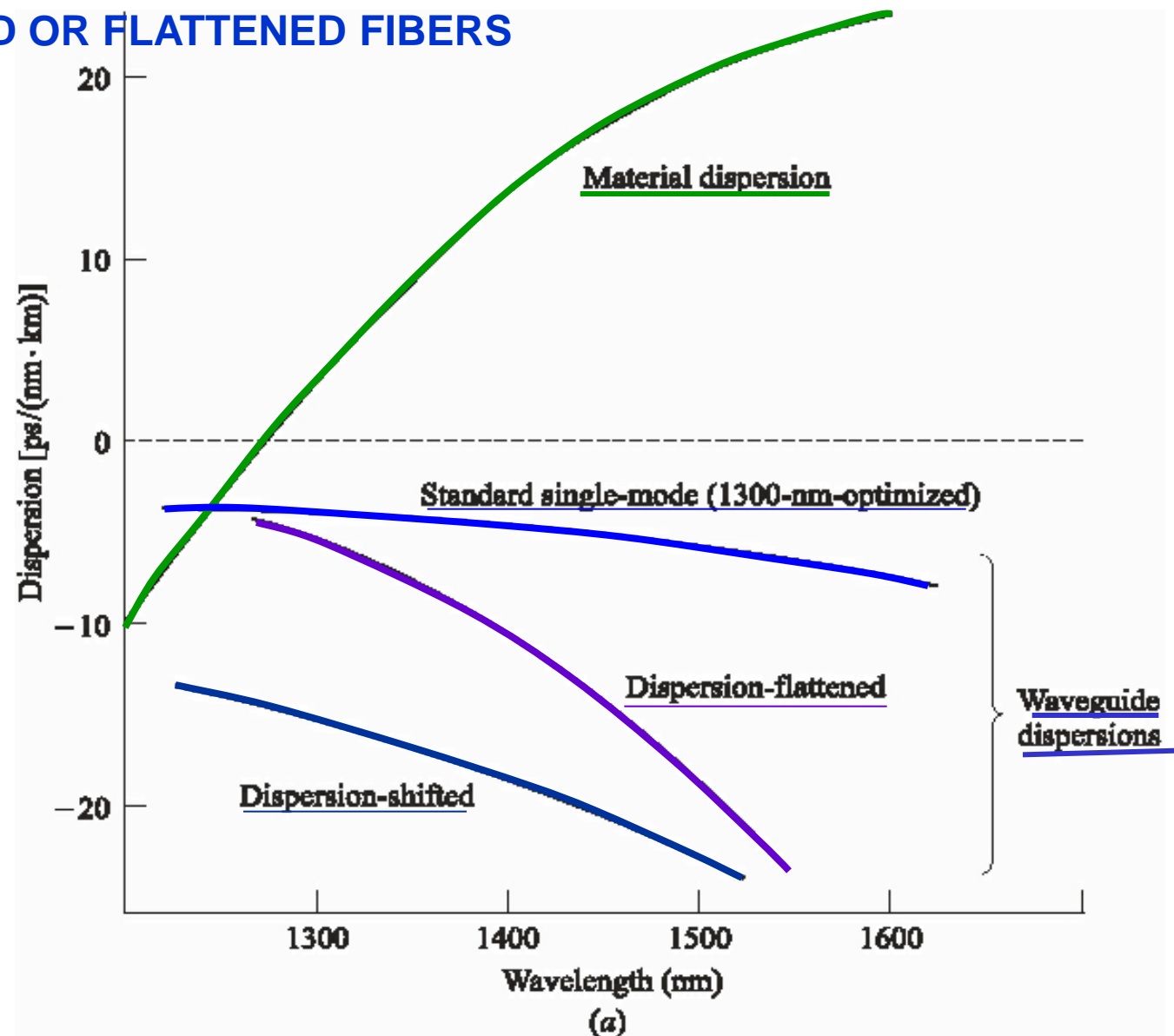
(c)



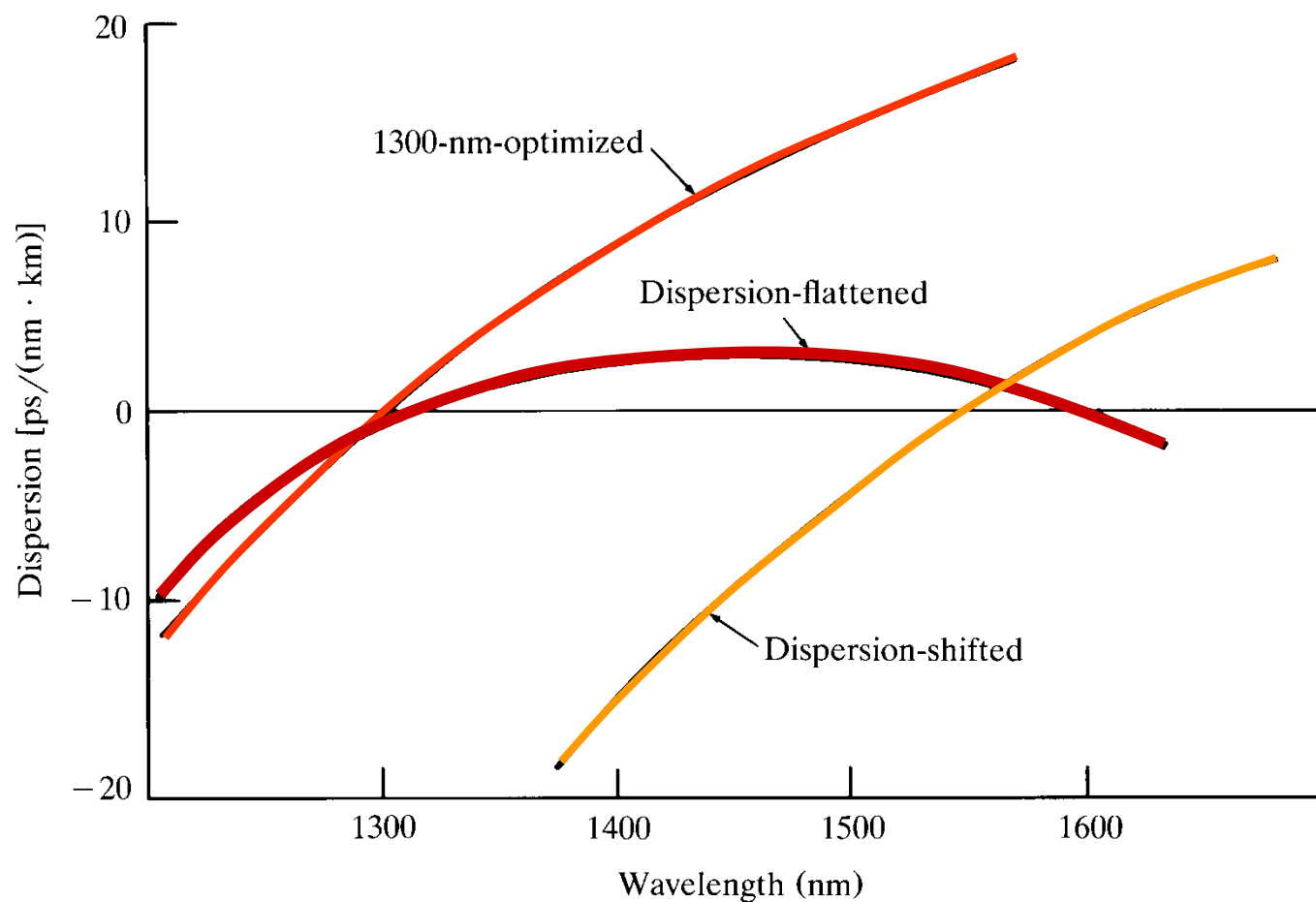
(d)



DISPERSION SHIFTED OR FLATTENED FIBERS



DISPERSION SHIFTED OR FLATTENED FIBERS

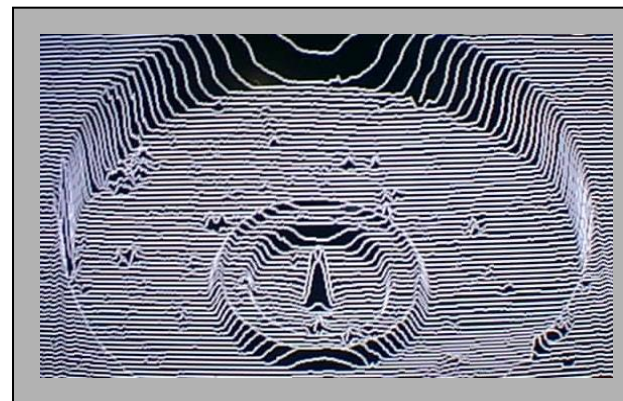
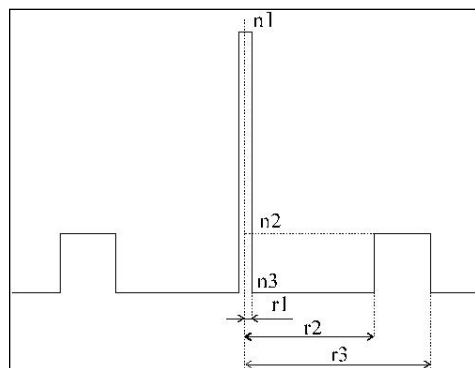


(b)

Optical Fiber communications, 3rd ed., G. Keiser, McGrawHill, 2000

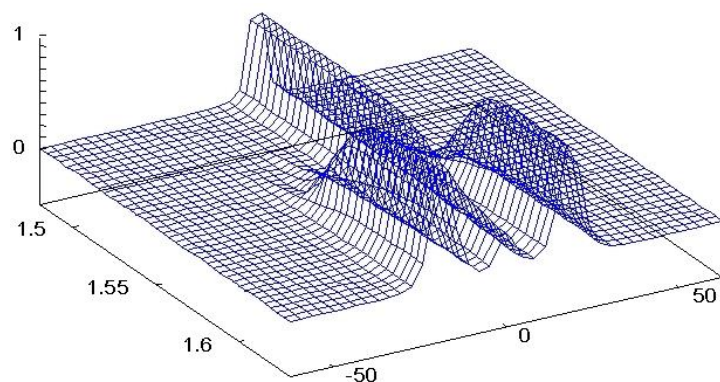
OTHER KINDS OF FIBERS FOR THE MANAGEMENT OF THE DISPERSION (1)

* fibers with $D_g \ll 0$ (compensating fibers)

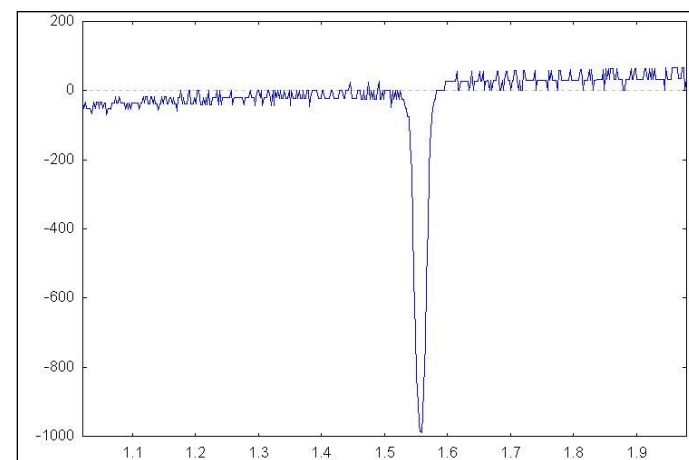


JL Auguste et al.
Optical fiber technology
Vol. 24, issue 1, pp. 442- (2006)

index profile



Distribution of the field
versus wavelength

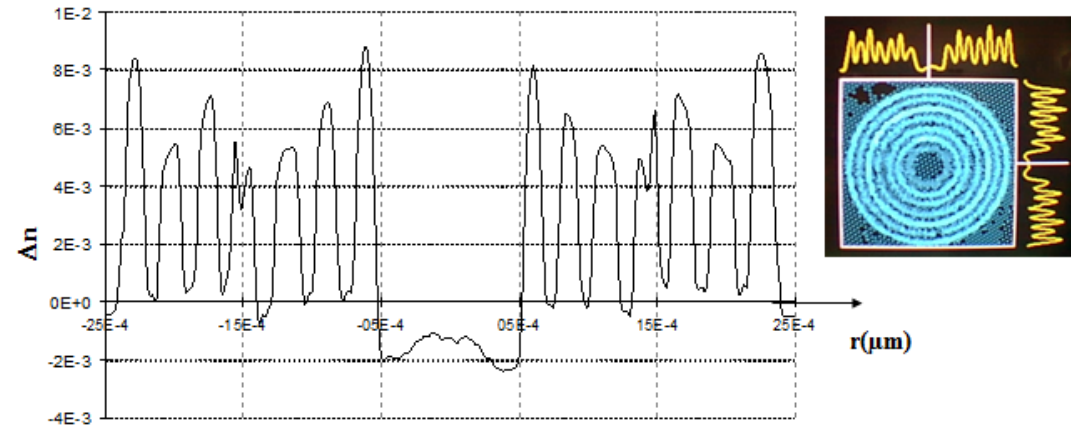


measured chromatic dispersion

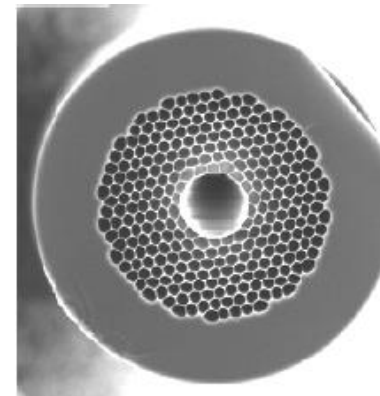
OTHER KINDS OF FIBERS FOR THE MANAGEMENT OF THE DISPERSION (2)

* fibers with $D_g > 0$ at short wavelengths

→ Bragg Fibers



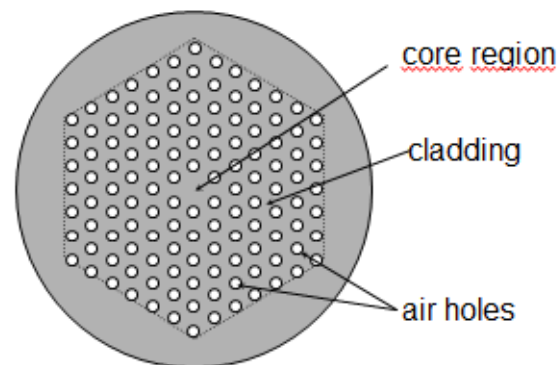
→ Hollow core photonic crystal fibers



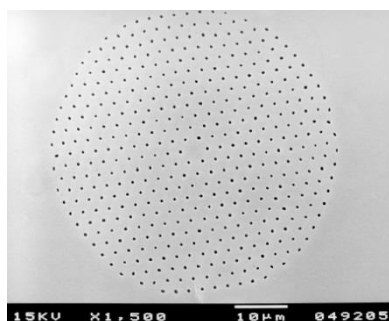
OTHER KINDS OF FIBERS FOR THE MANAGEMENT OF THE DISPERSION (3)

* fibers with Dg specially managed for particular applications

→ Air silica microstructured optical fibers :



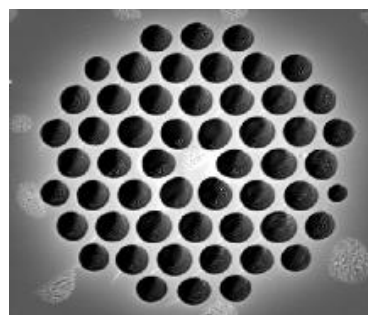
diameter of holes : d
pitch : Λ



$d = 0,6\mu\text{m}$ $\Lambda = 2,6\mu\text{m}$



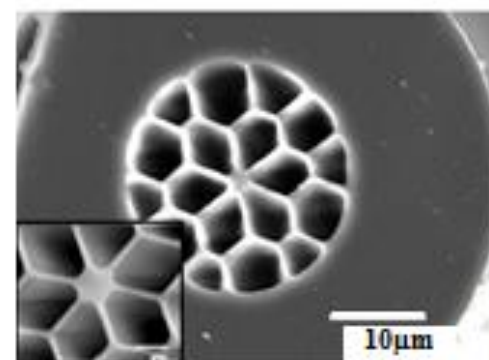
flattened dispersion
1100 nm – 1600 nm



$d = 1,9\mu\text{m}$ $\Lambda = 2,3\mu\text{m}$



$D_c = 0$ @ 1,06µm



core diameter = 1,5µm $\Lambda = 2\mu\text{m}$



$D_c = 0$ @ 0,56µm

End of chapter 4



With the support of the
Erasmus+ Programme
of the European Union

