

```

1 |----- MODULE Block -----|
3 LOCAL INSTANCE TLC                                For Assert()
4 LOCAL INSTANCE FiniteSets                          For Cardinality()
5 LOCAL INSTANCE Sequences                            For Len()
6 LOCAL INSTANCE Integers                            For 1 .. n

8   In this module we define the structure of blocks, then we give some useful operators.

10 Block  $\triangleq$  [id : Nat, parent : Nat, type : { "normal", "finality" }]
12 NormalBlock  $\triangleq$  [id : Nat, parent : Nat, type : { "normal" }]
14 FinalityBlock  $\triangleq$  [id : Nat, parent : Nat, type : { "finality" }]

16   Genesis block
17 Genesis  $\triangleq$  [id  $\mapsto$  1, parent  $\mapsto$  0, type  $\mapsto$  "normal"]

19   Finalized block without any height finality, which may be caused by time out.
20 Empty  $\triangleq$  [id : {0}, parent : Nat, type : { "finality" }]

22   Basic axiom for block
23 AXIOM BA  $\triangleq$   $\wedge$  NormalBlock  $\subseteq$  Block
24                    $\wedge$  FinalityBlock  $\subseteq$  Block
25                    $\wedge$  Genesis  $\in$  NormalBlock
26                    $\wedge$  Empty  $\in$  FinalityBlock

27   For test
28 {[id  $\mapsto$  1, parent  $\mapsto$  0, type  $\mapsto$  "normal"], [id  $\mapsto$  2, parent  $\mapsto$  1, type  $\mapsto$  "normal"], [id  $\mapsto$  3, parent  $\mapsto$  2, type  $\mapsto$  "normal"], [id  $\mapsto$  4, parent  $\mapsto$  3, type  $\mapsto$  "normal"]},
29 {[id  $\mapsto$  1, parent  $\mapsto$  0, type  $\mapsto$  "normal"], [id  $\mapsto$  2, parent  $\mapsto$  1, type  $\mapsto$  "normal"], [id  $\mapsto$  3, parent  $\mapsto$  2, type  $\mapsto$  "normal"], [id  $\mapsto$  4, parent  $\mapsto$  3, type  $\mapsto$  "normal"]},

31 |-----|
32   Useful operators

34   True for genesis block
35 IsGenesis(b)  $\triangleq$  b = Genesis

37   True for empty finality block
38 IsEmpty(b)  $\triangleq$  b  $\in$  Empty

40   Determine whether the given block is legal.
41 LegalBlock(b)  $\triangleq$   $\wedge$  b.id  $\neq$  0
42                    $\wedge$   $\vee$   $\wedge$  b  $\in$  NormalBlock
43                    $\wedge$  b.id  $\neq$  b.parent
44                    $\vee$   $\wedge$  b  $\in$  FinalityBlock
45                    $\wedge$  TRUE   maybe here need some requirements

47   Determine wheter b1 and b2 are equivalent.
48 Equal(b1, b2)  $\triangleq$   $\vee$   $\wedge$  b1  $\in$  NormalBlock
49                    $\wedge$  b2  $\in$  NormalBlock

```

```

50       $\wedge b1.id = b2.id$ 
51      for finality blocks, the id can be same
52       $\vee \wedge b1 \in FinalityBlock$ 
53       $\wedge b2 \in FinalityBlock$ 
54       $\wedge b1.id = b2.id$ 
55       $\wedge b1.parent = b2.parent$ 

57      Note that  $Equal(b1, b2) = \text{TRUE}$  is not equivalent to  $b1 = b2$ , and we give the following trivial axioms
58      AXIOM NormalBlockEquivalency  $\triangleq \forall b1, b2 \in Block : b1 = b2 \Rightarrow Equal(b1, b2)$ 

60      AXIOM FinalityBlockEquivalency  $\triangleq \forall b1, b2 \in Block : b1 = b2 \equiv Equal(b1, b2)$ 

63      Add new block to local blocks. Do nothing if there are same blocks or conflicting blocks
64      AddBlock(b, blocks)  $\triangleq$  IF  $\neg LegalBlock(b)$  THEN Assert(FALSE, "Illegal block!")
65      Do nothing, if the given set has same block.
66      ELSE IF  $\exists tb \in blocks : Equal(b, tb)$  THEN Print("Conflicting block!", blocks)
67      ELSE  $blocks \cup \{b\}$ 

69      Add a set of blocks to local blocks
70      AddBlocks(bs, blocks)  $\triangleq$  IF  $\exists b \in bs : \neg LegalBlock(b)$  THEN Assert(FALSE, "Illegal block!")
71      ELSE LET repeated_set  $\triangleq \{b \in bs : \exists tb \in blocks : Equal(b, tb)\}$  IN
72       $blocks \cup (bs \setminus (repeated\_set))$ 

75      True for the blocks have at least one fork.
76      HasFork(blocks)  $\triangleq \exists b1 \in blocks : \exists b2 \in blocks \setminus \{b1\} : b1.parent = b2.parent$ 

78      Determine whether the given blocks is a tree, which has a root block
79      IsTree(blocks)  $\triangleq$  LET tree  $\triangleq \{\} \cup blocks$  IN
80      IF tree =  $\{\} \vee \exists fb \in tree : \neg LegalBlock(fb)$  THEN FALSE
81      ELSE IF Cardinality(tree) = 1 THEN TRUE
82      ELSE IF  $\exists b1 \in tree : \exists b2 \in tree \setminus \{b1\} : Equal(b1, b2)$  THEN Assert(FALSE, "Equ
83      Each block in the tree should have a parent in the tree except the root block
84      ELSE IF  $\exists root \in tree : \wedge \forall other \in tree \setminus \{root\} : \wedge other.id \neq root.parent$ 
85       $\wedge other.parent \in \{b.id : b \in tree\}$ 
86       $\wedge root.parent \notin \{b.id : b \in tree\}$ 
87      THEN TRUE
88      ELSE FALSE

90      Determine whether the given blocks is a path, which has no fork
91      IsPath(blocks)  $\triangleq \wedge IsTree(blocks)$ 
92       $\wedge \neg HasFork(blocks)$ 

95      Simple axioms of path
96      AXIOM PathProperty1  $\triangleq \forall blocks : \wedge IsFiniteSet(blocks)$ 
97       $\wedge IsPath(blocks)$ 

```

```

101 Determine whether there is path which starts from  $s$  to  $t$ 
102  $HasPath(s, t, blocks) \triangleq$ 
103   LET  $F[m \in blocks] \triangleq$  True if  $m$  is a child of  $s$ 
104   IF  $m = s$  THEN TRUE
105   ELSE IF  $\forall b \in blocks : b.id \neq m.parent$  THEN FALSE
106   ELSE LET  $pm \triangleq$  CHOOSE  $b \in blocks : b.id = m.parent$ 
107     IN  $F[pm]$ 
108   IN  $F[t]$ 

110 Here we give another no-recursive version.
111  $HasPath(s, t, blocks) \triangleq \exists path \in SUBSET blocks : \wedge s \in path$ 
112    $\wedge t \in path$ 
113    $\wedge IsPath(path)$ 

116 Return the head of a given path
117  $HeadBlock(blocks) \triangleq$  IF  $Cardinality(blocks) = 1$  THEN CHOOSE  $b \in blocks : LegalBlock(b)$ 
118   ELSE IF  $IsPath(blocks)$  THEN CHOOSE  $head \in blocks : \wedge IsPath(blocks \setminus \{head\})$ 
119    $\wedge \forall b \in blocks : head.parent \neq b$ 
120   ELSE Assert(FALSE, "Set is not a path")

122 Return the tail of a given path
123  $TailBlock(blocks) \triangleq$  IF  $Cardinality(blocks) = 1$  THEN CHOOSE  $b \in blocks : LegalBlock(b)$ 
124   ELSE IF  $IsPath(blocks)$  THEN CHOOSE  $t \in blocks : \forall b \in blocks : b.parent \neq t.id$ 
125   ELSE Assert(FALSE, "Set is not a path")

127 Return a path of given source and terminated blocks
128  $GetPath(s, t, blocks) \triangleq$  IF  $\neg HasPath(s, t, blocks)$  THEN Assert(FALSE, "No path")
129   ELSE LET  $F[m \in blocks] \triangleq$ 
130     IF  $m = s$  THEN  $\{s\}$ 
131     ELSE LET  $pm \triangleq$  CHOOSE  $b \in blocks : b.id = m.parent$ 
132       IN  $F[pm] \cup \{m\}$ 
133   IN  $F[t]$ 

135 Here we give another no-recursive version.
136  $GetPath(s, t, blocks) \triangleq$  IF  $\neg HasPath(s, t, blocks)$  THEN Assert(FALSE, "No path")
137   ELSE LET all  $\triangleq$  SUBSET blocks IN
138     CHOOSE  $path \in all : \wedge IsPath(path)$ 
139      $\wedge s \in path$ 
140      $\wedge t \in path$ 
141      $\wedge HeadBlock(path) = s$ 
142      $\wedge TailBlock(path) = t$ 

```

```

146  Return the root of a given tree
147   $RootBlock(blocks) \triangleq$  IF  $Cardinality(blocks) = 1$  THEN CHOOSE  $b \in blocks : LegalBlock(b)$ 
148                                ELSE IF  $IsTree(blocks)$  THEN CHOOSE  $root \in blocks : \quad \wedge \neg IsTree(blocks \setminus \{root\})$ 
149                                 $\wedge \forall b \in blocks : root.parent \neq b$ 
150                                ELSE  $Assert(FALSE, \text{"Set is not a tree"})$ 

152  Return the height of a given block
153   $GetHeight(b, blocks) \triangleq$  IF  $b \notin blocks \vee \neg LegalBlock(b)$  THEN  $Assert(FALSE, \text{"Illegal block"})$ 
154                                ELSE LET  $path \triangleq GetPath(RootBlock(blocks), b, blocks)$  IN
155                                 $Cardinality(path)$ 

157  Return the end of a given tree
158   $EndBlock(blocks) \triangleq$  IF  $Cardinality(blocks) = 1$  THEN CHOOSE  $b \in blocks : LegalBlock(b)$ 
159                                ELSE CHOOSE  $t \in blocks : \wedge IsTree(blocks \setminus \{t\})$ 
160                                 $\wedge \forall t2 \in blocks : \vee \neg IsTree(blocks \setminus \{t2\})$ 
161                                 $\vee \wedge IsTree(blocks \setminus \{t2\})$ 
162                                 $\wedge \vee GetHeight(t, blocks) > GetHeight(t2, blocks)$ 
163                                 $\vee \wedge GetHeight(t, blocks) = GetHeight(t2, blocks)$ 
164                                 $\wedge t.id \leq t2.id$ 
                                 $\wedge TRUE \setminus *$ choose the end block with longest path
                                from  $root$ 
                                 $\wedge TRUE \setminus *$ choose the end block with lowest  $id$ 

169  Simple axioms of path
170  AXIOM  $PathProperty2 \triangleq \forall blocks : \wedge IsFiniteSet(blocks)$ 
171                                 $\wedge IsPath(blocks)$ 
172                                 $\Rightarrow \wedge HeadBlock(blocks) = RootBlock(blocks)$ 
173                                 $\wedge TailBlock(blocks) = EndBlock(blocks)$ 

180  Return the parent block of a given block
181   $GetParent(b, blocks) \triangleq$  IF  $\wedge b.parent \in \{tmp.id : tmp \in blocks\}$ 
182                                 $\wedge b \in blocks$ 
183                                THEN
184                                CHOOSE  $pb \in blocks : pb.id = b.parent$ 
185                                ELSE  $Assert(FALSE, \text{"No parent"})$ 

188  Get the back trace from a block  $b$  with  $n$  length
189   $GetBackTrace(b, n, blocks) \triangleq$ 
190  LET  $F[m \in 0 \dots n] \triangleq$ 
191  IF  $m = 1$  THEN  $\{b\}$ 
192  ELSE LET  $secondblock \triangleq HeadBlock(F[m-1])$ 
193  IN
194  IF  $\forall block \in blocks : block.id \neq secondblock.parent$  THEN  $Assert(FALSE, \text{"No trace"})$ 

```

```

195                                     ELSE
196                                     LET  $firstblock \triangleq$  CHOOSE  $block \in blocks : block.id = secondblock.parent$ 
197                                     IN  $\{firstblock\} \cup F[m - 1]$ 
198     IN  $F[n]$ 

203     Return the longest path
204      $LongestPath(paths) \triangleq$  CHOOSE  $longest \in paths : \forall path \in paths : \wedge Cardinality(longest) \geq Cardinality(path)$ 
205                                      $\wedge IsPath(tmpPath)$ 

207     True for  $path1$  is the prefix of  $path2$ 
208      $IsPrefix(path1, path2) \triangleq$   $\wedge IsPath(path1)$ 
209                                      $\wedge IsPath(path2)$ 
210                                      $\wedge path1 \subseteq path2$ 
211                                      $\wedge HeadBlock(path1) = HeadBlock(path2)$  may not need this

213     Return the longest common prefix of given paths
214      $GetPrefix(paths) \triangleq$  IF  $\exists p1, p2 \in paths : \wedge Cardinality(p1 \cap p2) = 0$ 
215                                      $\wedge HeadBlock(p1) \neq HeadBlock(p2)$ 
216                                     THEN  $Print("No intersection", \{\})$ 
217     ELSE LET  $prefix \triangleq$   $\{intersection \in (UNION paths) : \forall path \in paths : intersection \in path\}$ 
218     IN
219     IF  $IsPath(prefix)$  THEN  $prefix$ 
220     ELSE  $Print("No prefix", \{\})$ 

223     Determine whether the given block  $s$  is ancestor of  $t$ 
224      $IsPrev(s, t, blocks) \triangleq$ 
225     LET  $F[m \in blocks] \triangleq$ 
226     IF  $m = s$  THEN TRUE
227     ELSE IF  $\forall b \in blocks : b.id \neq m.parent$  THEN FALSE
228     ELSE LET  $pm \triangleq$  CHOOSE  $b \in blocks : b.id = m.parent$ 
229     IN  $F[pm]$ 
230     IN  $F[t]$ 

231     Here we give another no-recursive version.
232      $IsPrev(s, t, blocks) \triangleq$  LET  $path\_set \triangleq$   $\{sub\_blocks\_set \in (SUBSET blocks) \setminus \{\{\}\} : IsPath(sub\_blocks\_set)\}$  IN
233      $\exists path \in path\_set : \wedge HeadBlock(path) = s$ 
234      $\wedge TailBlock(path) = t$ 
235      $\wedge s \neq t$ 

238 |
    \ * Modification History
    \ * Last modified Wed Jul 03 11:27:54 CST 2019 by tangzaiyang
    \ * Created Thu Jun 06 11:21:13 CST 2019 by tangzaiyang

```