```
- MODULE PoDCon
  1
         EXTENDS Integers, FiniteSets, Sequences
         INSTANCE Block
  5 F
         CONSTANTS Validator,
                                                                                                                            The set of honest validators
  6
                                            Fake Validator,
                                                                                                                            The set of malicious or crashed validators
                                            ByzQuorum
                                                                                                                            Set of n honest validators with f fake validators, where n \geq 2f+1. Each byzant
  8
          ByzValidator \stackrel{\Delta}{=} Validator \cup FakeValidator
             *********** Constants
                                                                                                                                                                                                                       for TLC Model:***************
12
              Validator \leftarrow \{ v1^{"}, v2^{"}, v3^{"}, v4^{"} \}
13
             FakeValidator \leftarrow \{"f1"\}
14
             ByzQuorum \leftarrow \{\{\text{``v1''}, \text{``v2''}, \text{``v3''}, \text{``f1''}\}, \{\text{``v4''}, \text{``v2''}, \text{``v3''}, \text{``f1''}\}, \{\text{``v1''}, \text{``v4''}, \text{``v1''}, \{\text{``v1''}, \text{``v2''}, \text{``v4''}, \text{``f1''}\}, \{\text{``v1''}, \text{``v2''}, \text{``v4''}, \text{``p1''}\}, \{\text{``v1''}, \text{``v2''}, \text{``v3''}, \text{``f1''}\}, \{\text{``v1''}, \text{``v2''}, \text{``v3''}, \text{``v3''}, \text{``v3''}, \text{``f1''}\}, \{\text{``v1''}, \text{``v2''}, \text{``v3''}, \text{``v3'''}, \text{``v3'''}, \text{``v3'''}, \text{``
15
          ASSUME BQA \triangleq \land Validator \cap FakeValidator = \{\}
17
                                                            \land \ \forall \ Q \in \mathit{ByzQuorum} : Q \subseteq \mathit{ByzValidator}
18
                                                            \land \forall Q1, Q2 \in ByzQuorum : Q1 \cap Q2 \cap Validator \neq \{\}
19
20
         CONSTANTS Blocks
21
          Genesis \stackrel{\triangle}{=} [id \mapsto 1, parent \mapsto 0]
                                                                                                                                    Geneis block
          Assume BA \triangleq \forall b \in Blocks : b \in Block
             for TLC Model:**************
27
             Blocks \leftarrow \{[id \mapsto 1, parent \mapsto 0], [id \mapsto 2, parent \mapsto 1], [id \mapsto 3, parent \mapsto 2]\}
28
31
             Here we define the set Message of all possible messages.
32
          PathMessage \triangleq [type: \{ "path\_vote" \}, sender: ByzQuorum, val: Blocks]
          PrefixMessage \triangleq [type: \{ "prefix\_vote" \}, sender: ByzQuorum, val: Blocks]
          BMessage \stackrel{\triangle}{=} PathMessage \cup PrefixMessage \cup ....
         LEMMA BMessageLemma \stackrel{\triangle}{=} \forall m \in BMessage : \land (m \in PathMessage) \equiv (m.type = "path_vote")
40
                                                                                                                                              \land (m \in PrefixMessage) \equiv (m.type = "prefix_vote")
41
44
              --algorithm PoDCon
45
                    variables localBlocks = [v \in ByzValidator \mapsto \{Genesis\}],
                                                                                                                                                                                                                      Local chain
46
                                                  beaconChain = [v \in ByzValidator \mapsto \langle Genesis \rangle],
47
                                                                                                                                                                                                                      chain that records finalized blocks
                                                  votedPath = [v \in ByzValidator \mapsto \{\}],
                                                                                                                                                                                                  voted path in the first round
48
                                                  prefixPaths = [v \in ByzValidator \mapsto \{\}],
                                                                                                                                                                                                  all posible prefix paths of a byzvalidator
49
                                                  votedPrefix = [v \in ByzValidator \mapsto \{\}],
                                                                                                                                                                                                  voted prefix in the second round
50
                                                  msgs = \{\};
                                                                                                                                                                                            all messages
```

```
define
53
          Here we need some useful operatos, and some of them are defined in Block.tla
54
              IsChain(blocks)
55
56
              IsPath(blocks)
              Prefix(chains)
57
              GetPath(s, t, blocks)
58
              LongestPath(paths)
59
61
              Get the set of all elements in seq
             SeqToSet(seq) \triangleq \{seq[i] : i \in 1 .. Len(seq)\}
62
              True for did not vote the path or any path conflicting before.
64
              The first block of the path should be finalized which means shoule be in the beacon Chain
65
             DidNotVotePath(v, path) \stackrel{\Delta}{=} LET head \stackrel{\Delta}{=} HeadBlock(path)
66
                                                    finalized\_blocks \triangleq SeqToSet(beaconChain[v])
67
68
                                                    \land \forall b \in path \setminus \{head\} : b \notin finalized\_blocks
69
                                                    \land head \in finalized\_blocks
70
        end define;
71
73
          Phase of receiving new blocks
        macro ReceiveNewBlock()begin
74
              For test here
75
             localBlocks[self] := AddBlocks(Blocks, localBlocks[self]);
76
77
        end macro;
          Phase of voting for paht
79
        macro VoteForPath()begin
             with s = beaconChain[self][Len(beaconChain[self])],
                                                                               get the last block in beacon chain as the initiative blo
81
                    t = TailBlock(localBlocks[self]) do
                                                                               get the last block in local blocks as the terminated bl
82
                   if IsPrev(s, t, localBlocks[self]) then
                                                                               IsPrev() will return false if s = t, which means the vo
83
                      with path = GetPath(s, t, localBlocks[self]) do
84
                           if DidNotVotePath(self, path) then
85
                               votedPath[self] := path;
                                                                                empty the set when go to final height vote pathse
86
                               msgs := msgs \cup \{[type \mapsto "path\_vote", sender \mapsto self, val \mapsto path]\};
87
88
                               skip;
89
                           end if;
90
                      end with;
91
                    else
92
                       skip;
93
                    end if;
94
             end with;
95
        end macro;
```

96

```
Phase of voting for longest common prefix, TBA
99
         macro VoteForCommonPrefix()begin
100
             if votedPath[self] \neq \{\} then
101
              wait until
102
                 await \exists Q \in ByzQuorum : \land \forall v \in (Q \cap Validator) : votedPath[v] \neq \{\}
103
                                                 \land self \in Q;
104
                 with quorum\_set = \{Q \in ByzQuorum : \land \forall v \in (Q \cap Validator) : votedPath[v] \neq \{\}
105
                                                               \land self \in Q} do
106
                     with all_prefixs
                                         = \{ GetPrefix(\{votedPath[v] : v \in (q \cap Validator)\}) : q \in quorum\_set \} \mathbf{do}
107
                          votedPrefix[self] := LongestPath(all\_prefixs);
108
                         msgs := msgs \cup \{[type \mapsto "prefix\_vote", sender \mapsto self, val \mapsto votedPrefix[self]]\};
109
                     end with;
110
                 end with;
111
               else
112
                 skip;
113
              end if;
114
         end macro;
115
         macro PhaseFinalHeightVote()begin
118
119
         end macro;
120
         macro Faking Validator()begin
122
123
             skip;
124
125
         end macro;
        We combine these actions into separate process decalrations for validators and fake validators
127
128
      fair process v \in Validator
       begin vote:
129
         while TRUE do
130
                   either
131
                         ReceiveNewBlock();
132
133
                    \mathbf{or}
                         VoteForPath();
134
135
                     \mathbf{or}
                         VoteForCommonPrefix();
136
137
                    or
                       Phase Final Height Vote ();\\
138
139
                       skip;
140
                   end either;
141
         end while;
142
          skip;
```

143

```
end process;
144
         Fake validators
146
        process fv \in FakeValidator
147
        begin fake_vote:
148
          while TRUE do
149
               skip;
                                     do nothing
150
          end while ;
151
        end process;
152
     end algorithm;
155
       BEGIN TRANSLATION
156
     VARIABLES localBlocks, beaconChain, votedPath, prefixPaths, votedPrefix, msgs
157
       define statement
159
     \overline{SeqToSet(seq)} \stackrel{\Delta}{=} \{seq[i] : i \in 1 .. Len(seq)\}
160
     DidNotVotePath(v, path) \triangleq LET \ head \triangleq HeadBlock(path)
164
                                                 finalized\_blocks \stackrel{\triangle}{=} SeqToSet(beaconChain[v])
165
166
                                           IN
167
                                                 \land \forall b \in path \setminus \{head\} : b \notin finalized\_blocks
                                                 \land head \in finalized\_blocks
168
     vars \triangleq \langle localBlocks, beaconChain, votedPath, prefixPaths, votedPrefix,
171
172
     ProcSet \triangleq (Validator) \cup (Fake Validator)
174
     Init \stackrel{\triangle}{=}
                Global variables
176
                \land localBlocks = [v \in ByzValidator \mapsto \{Genesis\}]
177
                \land beaconChain = [v \in ByzValidator \mapsto \langle Genesis \rangle]
178
                \land votedPath = [v \in ByzValidator \mapsto \{\}]
179
                \land \textit{prefixPaths} = [v \in \textit{ByzValidator} \mapsto \{\}]
180
                \land votedPrefix = [v \in ByzValidator \mapsto \{\}]
181
                \land msqs = \{\}
182
     v(self) \stackrel{\Delta}{=} \land \lor \land localBlocks' = [localBlocks \ EXCEPT \ ![self] = AddBlocks(Blocks, localBlocks[self])]
184
                           \land UNCHANGED \langle votedPath, votedPrefix, msgs \rangle
185
                        \vee \wedge \text{LET } s \stackrel{\Delta}{=} beaconChain[self][Len(beaconChain[self])]IN
186
                                LET t \triangleq TailBlock(localBlocks[self])IN
187
                                  IF IsPrev(s, t, localBlocks[self])
188
                                       THEN \land LET path \stackrel{\triangle}{=} GetPath(s, t, localBlocks[self])IN
189
                                                     IF DidNotVotePath(self, path)
190
                                                         THEN \land votedPath' = [votedPath \ EXCEPT \ ![self] = path]
191
                                                                  \land msgs' = (msgs \cup \{[type \mapsto "path\_vote", sender \mapsto self, val \vdash \}]
192
```

```
ELSE \land TRUE
193
                                                                  \land UNCHANGED \langle votedPath, msgs \rangle
194
                                       ELSE ∧ TRUE
195
                                                \land UNCHANGED \langle votedPath, msgs \rangle
196
                           \land UNCHANGED \langle localBlocks, votedPrefix \rangle
197
                        \lor \land IF \ votedPath[self] \neq \{\}
198
                                  THEN \land \exists Q \in ByzQuorum : \land \forall v \in (Q \cap Validator) : votedPath[v] \neq \{\}
199
                                                                         \land self \in Q
200
                                           \land LET quorum\_set \triangleq \{Q \in ByzQuorum : \land \forall v \in (Q \cap Validator) : votedPath[
201
                                                                                                   \land self \in QIN
202
                                                LET all\_prefixs \triangleq \{GetPrefix(\{votedPath[v] : v \in (q \cap Validator)\}) : q \in qu
203
                                                   \land votedPrefix' = [votedPrefix \ EXCEPT \ ! [self] = LongestPath(all\_prefixs)]
204
                                                   \land msgs' = (msgs \cup \{[type \mapsto "prefix\_vote", sender \mapsto self, val \mapsto votedPrefite
205
206
                                  ELSE \land TRUE
                                           \land UNCHANGED \langle votedPrefix, msgs \rangle
207
                           \land UNCHANGED \langle localBlocks, votedPath \rangle
208
                     \land UNCHANGED \langle beaconChain, prefixPaths \rangle
209
     fv(self) \triangleq \land TRUE
211
                     ∧ UNCHANGED ⟨localBlocks, beaconChain, votedPath, prefixPaths,
212
213
                                           votedPrefix, msqs
      Next \stackrel{\triangle}{=} (\exists self \in Validator : v(self))
215
                    \lor (\exists self \in FakeValidator : fv(self))
216
      Spec \stackrel{\Delta}{=} \wedge Init \wedge \Box [Next]_{vars}
218
                  \land \forall self \in Validator : WF_{vars}(v(self))
219
       END TRANSLATION
221
223
       ****** Invariants
      ChainCorrectness \stackrel{\Delta}{=} \forall i \in Validator : \land localBlocks[i] \subseteq Blocks
225
                                                          \land votedPath[i] \subseteq Blocks
226
                                                             \land prefixPaths[i] \subseteq Blocks
227
      GenesisInvariants \triangleq \forall i \in ByzValidator : \land Genesis \in localBlocks[i]
229
                                                              \land Genesis = beaconChain[i][1]
230
       ****** Properties
                                                                   *********
233
      Liveness \stackrel{\Delta}{=} \forall i \in Validator : \land \Diamond (Blocks = localBlocks[i])
234
                                             \land \diamondsuit(Blocks = votedPath[i])
235
                                                                                    for test
                                             \land \diamondsuit(Blocks = votedPrefix[i])
236
                                                                                    for test
237
      \* Modification History
      \* Last modified Mon Jun 17 14:35:07 CST 2019 by tangzaiyang
      \* Created Wed Jun 05 14:48:17 CST 2019 by tangzaiyang
```