Lecture 2

Lambda calculus

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Literature

Henk Barendregt, Erik Barendsen, Introduction to Lambda Calculus, March 2000.

Lambda calculus

- Leibniz had as ideal the following
 - 1) Create a 'universal language' in which all possible problems can be stated.
 - 2) Find a decision method to solve all the problems stated in the universal language.
- (1) was fulfilled by
 - Set theory + predicate calculus (Frege, Russel, Zermelo)
- (2) has become important philosophical problem:
 - Can one solve all problems formulated in the universal language?
 - Entscheidungsproblem

Entscheidungsproblem

- Negative outcome
- Alonzo Church, 1936
 - Proposes LC as extension of logic
 - Shows the existance of undecidable problem
 - Functional programming languages
- Alan Turing, 1936
 - Proposes TM
 - Turing proved that both models define the same class of computable functions
 - Corresponds to Von Neumann computers
 - Imperative programming languages

Functions

- Function is basic concept of classical and modern mathematics
- Let A and B be sets and let f be relation.
 - -dom(f) = X
 - $\forall x \in A$: \exists unique $y \in B$ such that $(x,y) \in f$
 - Uniquness: $(x,y) \in f \land (x,z) \in f \Rightarrow y=z$
 - f maps or transforms x to y
- $f:A \rightarrow B$
 - − *f* is function from *A* to *B*

Lambda notation

- Lambda expression
 - Pure lambda calculus expression includes
 - variables: *x, y, z, ...*
 - lambda abstraction: λx.M
 - application: M N
- Lambda abstraction $\lambda x.M$ represents function
 - -x is function argument
 - M is function expression
 - Receipt that specifies how function is »computed«
- Application M N
 - If $M = \lambda x.M'$ then all occurences of x in M' are replaced with N
 - Mechanical definition of parameter passing

On notations

- Let x + 1 be expression with variable x
 - Mathematical notation: f(x) = x + 1
 - Lambda notation: $\lambda x.(x + 1)$
- Let x + y be expression where x and y are variables
 - Mathematical notation: f(x,y) = x + y
 - Lambda notation: $\lambda x.\lambda y.(x + y)$
- Obvious difference:
 - $-\lambda$ -notation does not name function

Definition of LC syntax

Definition: The set of λ -expressions Λ is constructed from infinite set of variables $\{v,v',v'',v''',\ldots\}$ by using application and λ -abstraction:

$$x \in V \Rightarrow x \in \Lambda$$
 $M, N \in \Lambda \Rightarrow (M, N) \in \Lambda$
 $X \in V, M \in \Lambda \Rightarrow \lambda x. M \in \Lambda$

Backus-Naur form of λ -calculus syntax:

$$M ::= V | (\lambda v.M) | (M N)$$

 $V ::= v, v', v'' . . .$

Syntax rules

Application is left-associative

$$M N L \equiv (M N) L$$

\(\Lambda\)-abstraction is right-associative

$$\lambda x.\lambda y.\lambda z.M \ N \ L \equiv \lambda x.(\lambda y.(\lambda z.((M \ N) \ L)))$$

We often use the following abbreviation

$$\lambda xyz.M \equiv \lambda x.\lambda y.\lambda z.M$$

Examples

- Let's see some examples of λ -expression
 - Notice spaces!

```
y
y \times x
(\lambda x.y \times) z
(\lambda x.\lambda y.x) z
(\lambda x.\lambda y.x) z w
(\lambda f.\lambda x.f (f x)) (\lambda v.\lambda y.v y)
```

Examples: λ and ocaml

Some λ -expressions (notice spaces!):

```
3

\lambda x.x

(\lambda x.x) (\lambda y.y * y)

(\lambda z.z + 1) 3
```

In OCaml:

```
# 3;;
- : int = 3
# function x -> x;;
- : 'a -> 'a = <fun>
# (function x -> x) (function y->y*y);;
- : int -> int = <fun>
# (function z -> z + 1) 3;;
- : int = 4
```

Free and bound variables

- Abstraction $\lambda x.M$ binds variable x in expression M
 - In simmilar manner the function argumens are bound to the function body
- M is scope of variable x in experssion $\lambda x.M$
- *Variable x is free* in some expression M if there exist no λ -abstraction that binds it
- Name of free variable is important while the name of bound variable is not
- Example:

$$\lambda x.(x + y)$$

Computing free variables

Definition: The set of free variables of λ -expression M, denoted FV(M), is defined with the following rules:

$$FV(x) = \{x\}$$

$$FV(M N) = FV(M) \cup FV(N)$$

$$FV(\lambda x.M) = FV(M) - \{x\}$$

Example:

$$FV(\lambda x.x (\lambda y.x y z)) = \{z\}$$

Definition: λ -expression M is closed if $FV(M)=\{\}$.

Substitution

- Substitution is the basis of LC evaluation
 - Computing is string rewriting?
- Substitute all instances of a variable x in λ -expression M with N:

[N/x]M

Definition: Let M,N \in A and x,z \in V. Substitution rules:

```
[N/x]x = N
[N/x]z = z, \text{ if } z \neq x
[N/x](L M) = ([N/x]L)([N/x]M)
[N/x](\lambda z.M) = \lambda z.([N/x]M), \text{ if } z \neq x \land z \notin FV(N)
```

Example

$$[y(\lambda v.v)/x]\lambda z.(\lambda u.u) z x$$

$$\equiv \lambda z.(\lambda u.u) z (y (\lambda v.v))$$

Check evaluation of substitution rules!

Alpha conversion

- Renaming bound variables in λ -expression yields equivalent λ -expression
- Example:

$$\lambda x.x \equiv \lambda y.y$$

• Alpha conversion rule:

$$\lambda x.M \equiv \lambda y.([y/x]M)$$
, if $y \notin FV(M)$.

Example: α-conversion

Λ-exapression:

$$(\lambda f.\lambda x.f(f x))(\lambda y.y + x)$$

- Analysis of expression:
 - $-(\lambda f.\lambda x.f(f x)) x$ and f are bound variables.
 - $-(\lambda y.y + x) y$ is bound and x is free variable.
 - We have two instances of variable x
 - Can not rename free variables!
 - Variable x in $(\lambda f.\lambda x.f(f x))$ can be renamed.
- A-conversion:
 - $(\lambda f.\lambda x.f (f x)) \equiv \lambda f.\lambda z.[z/x]f (f x) \equiv \lambda f.\lambda z.f (f z)$
 - Result: $(\lambda f.\lambda z.f(fz))(\lambda y.y + x)$

Evaluation

- Λ-calculus is very expressive language equivalent to Turing machine
- Evaluation of λ -expressions is based on:
 - 1) α -coversion and
 - 2) substitution
- Evaluation is often called reduction
- \(\Lambda\)-expressions are reduced to value
 - Values are normal forms of λ -expressions i.e. λ -expressions that can not be further reduced

β-reduction

- β -reduction is the only rule used for evaluation of pure λ -calculus (aside from renaming)
- Expression $(\lambda x.M)$ N stands for operator $(\lambda x.M)$ applied to parameter N
- Intuitive interpretation of $(\lambda x.M)$ N is substitution of x in M for N

β-reduction

Definition: Let $\lambda x.M$ be λ -expression. Application of $(\lambda x.M)$ on parameter N is implemented with β -reduction:

$$(\lambda x.M) N \rightarrow [N/x]M$$

- Expression (λx.M) N is called redex (reducable expression)
- Expression [N/x]M is called contractum

β-reduction

- P includes redex $(\lambda x.M)$ N that is substituted with [N/x]M and we obtain P'
- We say that $P \beta$ -reduces to P':

$$P \rightarrow_{\beta} P'$$

Definition: β -derivation is composed of one or more β -reductions. β -derivation from M to N:

$$M \twoheadrightarrow_{\beta} N$$

β-normal form

Definition: 1) λ -expression Q that does not include β -redexes is in β -normal form.

- 2) The class of all β -normal forms is called β -nf.
- 3) If P β -reduces to Q, which is β -nf, then Q is β -normal form of P.

Examples of β-reduction

- $(\lambda x.x y)(u v) \rightarrow_{\beta} u v y$
- $(\lambda x.\lambda y.x) z w \rightarrow_{\beta} (\lambda y.z)w \rightarrow_{\beta} z$ $(\lambda x.\lambda y.x) z w \rightarrow_{\beta} z$
- $(\lambda x.(\lambda y.yx)z)v \rightarrow [v/x](\lambda y.yx)z = (\lambda y.yv)z$ $\rightarrow [z/y]yv = zv$

Example: α -coversion in β -reduction

Λ-expression:

$$(\lambda f.\lambda x.f(f x))(\lambda y.y + x)$$

Blind substitution:

$$= \lambda x.((\lambda y.y + x) ((\lambda y.y + x) x))$$
$$= \lambda x.(\lambda y.y + x) (x+x)$$
$$= \lambda x.x + x + x$$

Correct substitution:

$$(\lambda f. \lambda z. f (f z)) (\lambda y. y + x)$$

= $\lambda z. ((\lambda y. y + x) ((\lambda y. y + x) z))$
= $\lambda z. ((\lambda y. y + x) (z + x))$
= $\lambda z. z + x + x$

Examples of the evaluation

Example with identity function
 (λx.x)E → [E/x]x = E

• Another example with identity function $(\lambda f.f(\lambda x.x))(\lambda x.x) \rightarrow [(\lambda x.x)/f]f(\lambda x.x) = [(\lambda x.x)/f]f(\lambda y.y) \rightarrow (\lambda x.x)(\lambda y.y) \rightarrow [(\lambda y.y)/x]x = \lambda y.y$

Examples of the evaluation

Repeating β-derivation
 (λx.xx)(λy.yy)
 → [(λy.yy)/x]xx = (λx.xx)(λy.yy)
 → [(λy.yy)/x]xx = (λx.xx)(λy.yy)
 → ...

• Counting β -derivation:

```
(\lambda x.xxy)(\lambda x.xxy)
```

- $\rightarrow [(\lambda x.xxy)/x]xxy = (\lambda x.xxy)(\lambda x.xxy)y$
- \rightarrow ([($\lambda x.xxy$)/x]xxy)y = ($\lambda x.xxy$)($\lambda x.xxy$)yy \rightarrow ...

Higher-order functions

- Higher-order function is a function that can either:
 - take another function as an argument, or,
 - return function as the result of function application.
- Example:
 - Construct compositum: $(f \circ f)(x) = f(f(x))$
 - Lambda expression: $\lambda f.\lambda x.f$ (f x)

```
(\lambda f.\lambda x.f (f x))(\lambda y.y + 1)
= \lambda x.(\lambda y.y + 1)((\lambda y.y + 1) x)
= \lambda x.(\lambda y.y + 1)(x + 1)
= \lambda x.(x + 1) + 1
```

Higher-order functions

• The same function $(f \circ f)(x)$ in Lisp

```
(lambda(f)(lambda(x)(f (f x))))

((lambda(f)(lambda(x)(f (f x))))(lambda(y)(+ y 1))

= (lambda(x)((lambda(y)(+ y 1))((lambda(y)(+ y 1)) x))))

= (lambda(x)((lambda(y)(+ y 1))(+ x 1))))

= (lambda(x)(+ (+ x 1) 1))
```

Examples in Ocaml

```
# let c = 4;;
valc:int=4
# let sq = function x -> x^*x; (* \lambda x.x^*x *)
val sq : int -> int = <fun>
# let nx = function x -> x + 1;; (* \lambda x.x+1 *)
val nx : int -> int = <fun>
                                                                              (* \lambda f.\lambda x.f(f(x)) *)
# let compose1 = function f -> function x -> f(f(x));;
val compose1 : ('a -> 'a) -> 'a -> 'a = <fun>
# let compose = function f -> function g -> function x -> f(g(x));; (* \lambda f.\lambda g.\lambda x.f(g(x)) *)
val compose : ('a -> 'b) -> ('c -> 'a) -> 'c -> 'b = <fun>
# let rcompose = function f -> function g -> function x -> g(f(x)); (* \lambda f.\lambda g.\lambda x.g(f(x)) *)
val rcompose : ('a -> 'b) -> ('b -> 'c) -> 'a -> 'c = <fun>
# (compose nx nx) 3;;
-: int = 5
# (compose sq nx) 3;;
-: int = 16
# (rcompose sq nx) 3;;
-: int = 10
```

Programming in LC

- Function in Curry form
- Combinators
 - Primitives of programming languages
- Logical values
 - If statement
- Integer numbers
 - Arithmetics
- Recursion

Curry functions

- Functions can have single parameter in λ -calculus
- Multiple parameters can be implemented by using higher-order functions
- F is function with parameters (N, L) and body M
 - M be expression with free variables x and y
 - We wish to replace x with N and y with L
- Curry notation: $F \equiv \lambda x.\lambda y.M$
 - − F N L → $(\lambda y.[N/x]M)L \rightarrow [L/y][N/x]M$
 - Λ -calculus with pairs: $F \equiv \lambda(x,y)$. M
- Transformation from λ(x,y).M to λx.λy.M is called Currying

Example: Curry functions

- Math notation: sum $\equiv \lambda(x,y).x + y$
 - sum : \mathbb{Z} → \mathbb{Z} (type of sum)
- Curry notation: sum = $\lambda x.\lambda y.x + y$
 - Application to the first argument returns a function.
 funkcijo.
 - suma ≡ (λx.λy.x + y) a -> λy.a + y
 - suma : ℤ→ℤ
- Ocaml libraries are written in Curry notation
 - New functions can be defined from existing functions.
 - Examples will be presented on the lecture on Functional languages

Combinators

- Combinators are primitive functions
 - Expressing basic operations of computation
 - Functions: identity, composition, choice, etc.
- Combinatory logic CL
 - Curry, Feys, 1958
 - Combinators are building blocks of CL
 - CL uses combinators I, K and S
- Combinators are often used in programming languages
 - Functions that construct new functions (genericity?)
 - Examples will be given when we present fun. languages
 - Higher-order functions: apply, map, fold, filter, etc.

Combinators

Identity function:

$$I = \lambda x.x$$

Choosing one argument of two (if):

$$\mathbf{K} = \lambda \mathbf{x}.(\lambda \mathbf{y}.\mathbf{x})$$

Passing argument to two functions:

$$S = \lambda x.\lambda y.\lambda z.(x z)(y z)$$

Function that repeats itself (loop):

$$\Omega = (\lambda x.x x)(\lambda x.x x)$$

Function composition:

$$\mathbf{B} = \lambda f. \lambda g. \lambda x. f(g x)$$

Combinators

• Inverse function composition:

$$\mathbf{B'} = \lambda f. \lambda g. \lambda x. g(f x)$$

Duplication of function argument:

$$W = \lambda f. \lambda x. f \times x$$

Recursive function:

$$\mathbf{Y} = \lambda f.(\lambda x.f(x x))(\lambda x.f(x x))$$

Logical values

- How to represent truth (logical) values?
 - true $\equiv \lambda t.\lambda f.t$ | function returning first argument of two
 - false $\equiv \lambda t.\lambda f.f$ | function returning second argument of two
- IF statement is simple application of truth value
 - λl. λ m. λ n. I m n
 - Truth value determines first or second choice
- Evaluation of IF statement

```
IF true M N \equiv (\lambda I.\lambda m.\lambda n. I m n) true M N \rightarrow (\lambda m.\lambda n. true m n) M N \rightarrow true M N = (\lambda t.\lambda f.t) M N \rightarrow (\lambda f.M) N \rightarrow M
```

Logical values

Logical operations

```
AND \equiv \lambda p.\lambda q.p q p

OR \equiv \lambda p.\lambda q.p p q

NOT \equiv \lambda p.p false true

IF \equiv \lambda p.\lambda a.\lambda b.p a b
```

Examples:

 $(\lambda x.\lambda y.IF (AND x (NOT y)) M N)$ true false

- = IF (AND true (NOT false)) M N
- = $(\lambda p.\lambda a.\lambda b.p \ a \ b)$ (AND true (NOT false)) M N
- = (AND true (NOT false)) M N
- = true M N
- = M

AND true false

- = $(\lambda p.\lambda q.p q p)$ true false
- = true false true
- = $(\lambda t.\lambda f.t)$ false true
- = false

OR true false

- = $(\lambda p.\lambda q.p p q)$ true false
- = true true false
- = $(\lambda t.\lambda f.t)$ true false
- = true

NOT true

- = $(\lambda p.p)$ false true) true
- = true false true
- = false

Church numbers

- Peanovi aksiomi
 - $-0\in\mathbb{N}_0$
 - $n \in \mathbb{N}_0 \Rightarrow n+1 \in \mathbb{N}_0$

 $C_0 = \lambda z.\lambda s.z$ $C_1 = \lambda z.\lambda s.s z$ $C_2 = \lambda z.\lambda s.s(s z)$... $C_n = \lambda z.\lambda s.s(s(...(s z)...)$

- Number n is represented with C_n
 - n = 0+1+...+1 | n times successor of 0
 - z stands for zero and s represents successor function
- Arithmetic operations
 - Plus = λ m. λ n. λ z. λ s.m (n z s) s
 - Times = $\lambda m.\lambda n.m$ C₀ (Plus n)

Church numbers

(Plus 1 2) \to * 3

```
Plus (\lambda z.\lambda s.s.z) (\lambda z.\lambda s.s(s.z)) \rightarrow
(\lambda m.\lambda n.\lambda z.\lambda s.m(n z s)s) (\lambda z.\lambda s.s z) (\lambda z.\lambda s.s(s z)) \rightarrow
(\lambda n.\lambda z.\lambda s.(\lambda z.\lambda s.s z)(n z s)s)(\lambda z.\lambda s.s(s z)) \rightarrow
\lambda z.\lambda s.(\lambda z.\lambda s.s z)((\lambda z.\lambda s.s(s z)) z s)s \rightarrow
\lambda z.\lambda s.(\lambda z.\lambda s.s.z)((\lambda s.s(s.z))s)s \rightarrow
\lambda z.\lambda s.(\lambda z.\lambda s.s.z)(s(s.z))s =
\lambda z.\lambda s.(((\lambda z.\lambda s.s.z)(s(s.z)))s) \rightarrow
\lambda z.\lambda s.((\lambda s.s(s(sz)))s) \rightarrow
\lambda z.\lambda s.s(s(sz))
```

Recursion

- Recursion can be expressed using combinator Y
 - $Y = \lambda f.(\lambda x.f(x x))(\lambda x.f(x x))$
- Important property of Y
 - $-YF =_{\beta} F(YF)$
 - Proof:

```
Y F = \lambda f.(\lambda x.f(x x))(\lambda x.f(x x)) F \rightarrow
(\lambda x.F(x x))(\lambda x.F(x x)) \rightarrow
F ((\lambda x.F(x x))(\lambda x.F(x x))) \leftarrow
F ((\lambda f.(\lambda x.f(x x))(\lambda x.f(x x))) F ) =
F ((Y F))
```

Recursion

- Operation factorial: n!
 - Intuitive definition
- Definition of recursive function F

```
-G = \lambda f.M | M is body of f
```

-F=YG

Derivation of F

```
F = Y G
=_{\beta} G (Y G)
=_{\beta} G (Y G)
=_{\beta} G (G (Y G))
...
```

if n = 0 then 1

else (n - 2) * . . .

else n * (if n - 1 = 0 then 1)

else (n - 1) * (if n - 2 = 0 then 1)

Factorial

```
Fact = \lambdafact.\lambdan.if (IsZero n) C1 (Times n (fact (Pred n)))
Factorial = Y Fact
Factorial C2 = Y Fact C2
=_{\beta} Fact (Y Fact) C2
=_{\beta} (\lambda\text{fact.}\lambda\n.if (IsZero n) C1 (Times n (fact (Pred n)))) (Y Fact) C2
=_{\beta} (\lambdan.if (IsZero n) C1 (Times n (Y Fact (Pred n)))) C2
=_{\beta} if (IsZero C2 ) C1 (Times C2 (Y Fact (Pred C2)))
=_{\beta} if False C1 (Times C2 (Y Fact C1 )))
=_{\beta} Times C2 (Y Fact C1)
= Times C2 (Factorial C1)
```

Is every λ -expression normalizable?

- Definitely not!
- Let $L \equiv (\lambda x.xxy)(\lambda x.xxy)$.

$$L \rightarrow Ly \rightarrow Lyy \rightarrow ...$$

- Let $P \equiv (\lambda u.v)L$. P can be reduced in two ways.
 - $P \equiv (\lambda u.v)L \rightarrow ([L/u]v)L \equiv v$
 - $-P \rightarrow (\lambda u.v)Ly$
 - \rightarrow ($\lambda u.v$)Lyy
 - **→** ...
- P has β-nf but also infinite derivation!
 - $-\Lambda$ -calculus is undecidable (partialy computable function)

On evaluation order

- Some λ-expressions can be reduced in more than one way.
- Example:
 - 1) $(\lambda x.(\lambda y.y x) z) v \rightarrow (\lambda y.y v) z \rightarrow z v$
 - 2) $(\lambda x.(\lambda y.y x) z) v \rightarrow (\lambda x.z x) v \rightarrow z v$
- Evaluation strategies:
 - Normal form strategie
 - Call by name
 - Call by value

Evaluation strategies

Example λ -expression: $(\lambda x.x) ((\lambda x.x) (\lambda z. (\lambda x.x) z))$

Shorter form: id (id (λz .id z))

1) Full β-reduction is a strategie: At each step we pick some redex, anywhere inside the term we are evaluating, and reduce it.

 $id (id (\lambda z.\underline{id} z))$

- \rightarrow id (id ($\lambda z.z$))
- \rightarrow id ($\lambda z.z$)
- $\rightarrow \lambda Z.Z$

Evaluation strategies

2) Under the normal order strategy, the leftmost, outermost redex is always reduced first.

```
id (id (\lambda z.id z))
→ id (\lambda z.id z)
→ \lambda z.id z
→ \lambda z.z
```

3) The call by name strategy is yet more restrictive, allowing no reductions inside λ -abstractions. Except for this rule, the strategy is the same as the normal form strategy.

```
id (id (\lambda z.id z))

→ id (\lambda z.id z)

→ \lambda z.id z

→ /
```

Evaluation strategies

4) The call by value strategy reduces only outermost redexes and a redex is reduced only when its right-hand side has already been reduced to a value.

```
id (id (\lambda z.id z))

→ id (\lambda z.id z)

→ \lambda z.id z

→ /
```

In the second line, the argument (λz .id z) is not reduced (before the reduction of outermost redex) since it is not redex.

Example: Evaluation strategies

```
Gcd \equiv \lambda gcd.\lambda x.\lambda y.IF (EQ y C0) x (gcd y (MOD x y))
GCD \equiv Y Gcd
```

Strategy call-by-value:

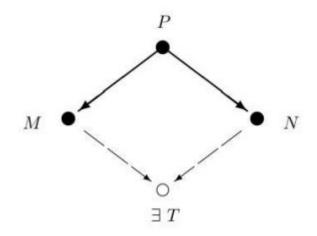
```
GCD (Times C2 C2) (Minus C3 C1)
```

- = GCD (Times C2 C2) C2
- = <u>GCD</u> C4 C2 = <u>(Y Gcd)</u> C4 C2 = <u>Gcd</u> (Y Gcd) C4 C2
- = $(\lambda gcd.\lambda x.\lambda y.IF (EQ y C0) x (gcd y (MOD x y))) (Y Gcd) C4 C2$
- = IF (EQ C2 C0) C4 ((Y Gcd) C2 (MOD C4 C2))
- = IF (EQ C2 C0) C4 (<u>(Y Gcd)</u> C2 C0)
- = IF (EQ C2 C0) C4 (<u>Gcd</u> (Y Gcd) C2 C0)
- = IF (EQ C2 C0) C4 ($(\lambda gcd.\lambda x.\lambda y.IF$ (EQ y 0) x (gcd y (MOD x y))) (Y Gcd) C2 C0)
- = IF (EQ C2 C0) C4 (IF (EQ C0 C0) C2 ((Y Gcd) C0 (MOD C2 C0)))
- = <u>IF (EQ C2 C0) C4 C2</u>
- = C2

Church-Rosser theorem

A central theorem in lambda calculus.

Theorem: Let $P \twoheadrightarrow_{\beta} M$ and $P \twoheadrightarrow_{\beta} N$, then there exists T such that $M \twoheadrightarrow_{\beta} T$ and $N \twoheadrightarrow_{\beta} T$.



Consequences of CR

- $M =_{\beta} N \Rightarrow \exists L: M \gg_{\beta} L \wedge N \gg_{\beta} L$
 - M derivation of (derived from) N ⇒ they have the same value
- - N is value of M ⇒ there must be a derivation
- Every expression has exactly one β-nf
 - Consistency of λ -calculus: $\Lambda \not\vdash true =_{\beta} false$

Properties of LC

- LC is consistent
- LC is equivalent to TM (Turing machine)
 - LC is r.e. language
 - LC is partially computable (not total!)
- LC with types is total function
 - Very limited class of languages
- The characterisation of total TM is not known