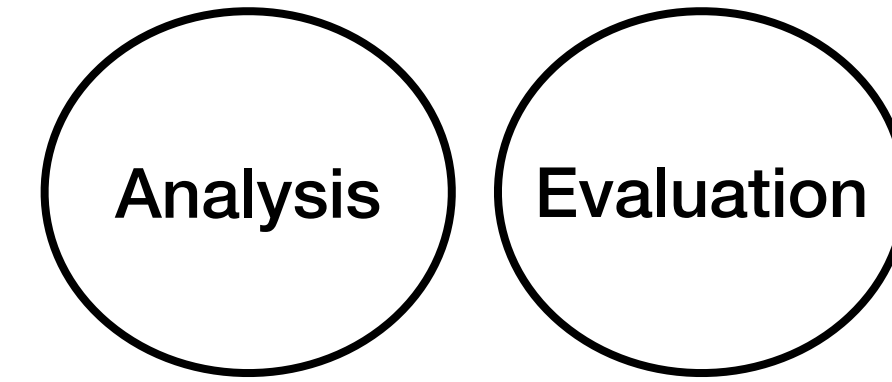


Core Design Concepts Discussed:



Performance and Optimization of Recursive Functions

Harley Eades III

Consider evaluating the following recursive function:

```
1. let rec mult m n =  
2.   if m == 0  
3.   then 0  
4.   else if n == 0  
5.       then 0  
6.       else let rc = mult m (n - 1) in  
7.           let ret = m + rc in  
8.           ret  
9.  
10. let main =  
11.   let m = 1 in  
12.   let n = 2 in  
13.   let answ = mult m n in  
14.   answ  
15.  
16. main;;
```

The Performance Hit

```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.         then 0
6.         else let rc = mult m (n - 1) in
7.               let ret = m + rc in
8.               ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|------------------|-------------------|----------------|
| init line: 16 | ackermann main | <fun> <fun> |

→

```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.       then 0
6.       else let rc = mult m (n - 1) in
7.             let ret = m + rc in
8.             ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|----------|-----------|-------|
| init | ackermann | <fun> |
| line: 16 | main | <fun> |
| main | m | 1 |
| line: 13 | n | 2 |
| | answ | ? |



```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.         then 0
6.         else let rc = mult m (n - 1) in
7.               let ret = m + rc in
8.               ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|------------------|-------------------|----------------|
| init line: 16 | ackermann main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult: line 6 | m n rc | 1 2 ? |

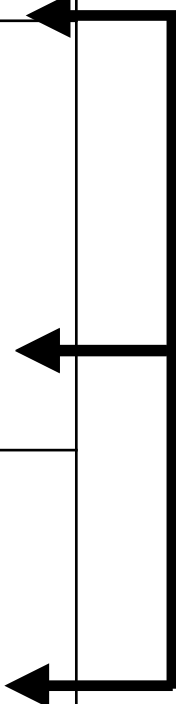
```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.         then 0
6.         else let rc = mult m (n - 1) in
7.               let ret = m + rc in
8.               ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|------------------|-------------------|----------------|
| init line: 16 | ackermann main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult: line 6 | m n rc | 1 2 ? |
| mult: line 7 | m n ret | 1 1 ? |



```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.         then 0
6.         else let rc = mult m (n - 1) in
7.               let ret = m + rc in
8.               ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

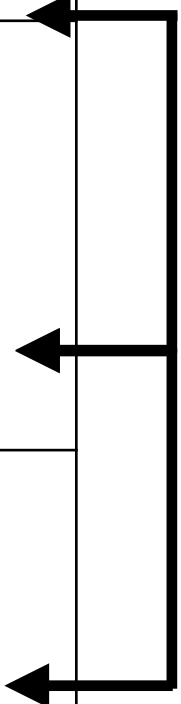
| Frame | Symbol | Value |
|------------------|-------------------|----------------|
| init line: 16 | ackermann main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult line: 6 | m n rc | 1 2 ? |
| mult line: 7 | m n rc | 1 1 ? |
| mult line: 5 | m n | 1 0 |





```
1. let rec mult m n =  
2.   if m == 0  
3.   then 0  
4.   else if n == 0  
5.       then 0  
6.       else let rc = mult m (n - 1) in  
7.           let ret = m + rc in  
8.           ret  
9.  
10. let main =  
11.   let m = 1 in  
12.   let n = 2 in  
13.   let answ = mult m n in  
14.   answ  
15.  
16. main;;
```

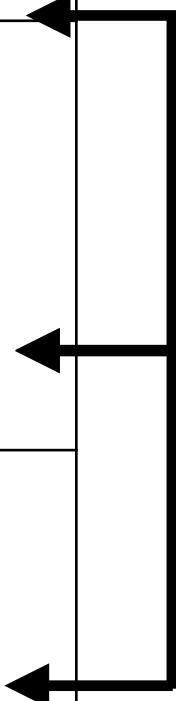
| Frame | Symbol | Value |
|------------------|-------------------|----------------|
| init line: 16 | ackermann main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult line: 6 | m n rc | 1 2 ? |
| mult line: 7 | m n ret | 1 1 0 |
| mult line: 5 | m n | 1 0 |





```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.       then 0
6.       else let rc = mult m (n - 1) in
7.             let ret = m + rc in
8.             ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

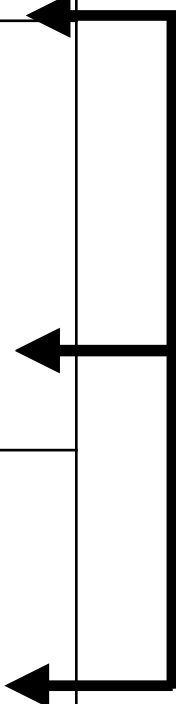
| Frame | Symbol | Value |
|------------------|-------------------|----------------|
| init line: 16 | ackermann main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult line: 6 | m n rc | 1 2 1 |
| mult line: 7 | m n rc | 1 1 0 |
| mult line: 5 | m n | 1 0 |





```
1. let rec mult m n =
2.   if m == 0
3.   then 0
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13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|------------------|-------------------|----------------|
| init line: 16 | ackermann main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 2 |
| mult line: 6 | m n rc | 1 2 1 |
| mult line: 7 | m n rc | 1 1 0 |
| mult line: 5 | m n | 1 0 |



```
1. let rec mult m n =
2.   if m == 0
3.   then 0
4.   else if n == 0
5.         then 0
6.         else let rc = mult m (n - 1) in
7.               let ret = m + rc in
8.               ret
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

- **Bad for performance:** making a recursive call in an argument position (line 7).
- This results in the bindings of an activation record depending on the return value of a new activation record.
- Thus, the compiler will create lots of activation records that cannot be popped off of the stack until the end of evaluation.
- This results in a bad use of memory.

Tail Recursion using the accumulator pattern

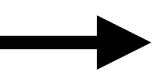
Non-tail recursive:

```
1. let rec mult m n =  
2.   if m == 0  
3.   then 0  
4.   else if n == 0  
5.       then 0  
6.       else m + (mult m (n - 1))
```

Tail recursive:

```
1. let rec mult_helper acc m n =  
2.   if m == 0  
3.   then 0  
4.   else if n == 0  
5.       then acc  
6.       else mult_helper (m + acc) m (n - 1)  
7.  
8. let mult m n = mult_helper 0 m n
```

Evaluation of Tail Recursion



```
1. let mult m n =  
2.   let rec mult_helper acc n' =  
3.     if m == 0  
4.     then 0  
5.     else if n == 0  
6.         then acc  
7.         else mult_helper (m + acc) (n - 1)  
8.   in mult_helper 0 n  
9.  
10. let main =  
11.   let m = 1 in  
12.   let n = 2 in  
13.   let answ = mult m n in  
14.   answ  
15.  
16. main;;
```

→

```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n == 0
6.           then acc
7.           else mult_helper (m + acc) (n - 1)
8.   in mult_helper 0 n
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|----------|--------|-------|
| init | mult | <fun> |
| line: 16 | main | <fun> |

→

```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n == 0
6.           then acc
7.           else mult_helper (m + acc) (n - 1)
8.   in mult_helper 0 n
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.     let answ = mult m n in
14.       answ
15.
16. main;;
```

| Frame | Symbol | Value |
|----------|--------|-------|
| init | mult | <fun> |
| line: 16 | main | <fun> |
| main | m | 1 |
| line: 13 | n | 2 |
| | answ | ? |



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
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10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|------------------|----------------|----------------|
| init line: 16 | mult main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult line: 8 | m n | 1 2 |



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n == 0
6.           then acc
7.           else mult_helper (m + acc) (n - 1)
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13.     let answ = mult m n in
14.       answ
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16. main;;
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| Frame | Symbol | Value |
|------------------------|---------------------|------------------|
| init line: 16 | mult main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult line: 8 | m n | 1 2 |
| mult_helper line: 7 | m n acc n' | 1 2 0 2 |



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
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| Frame | Symbol | Value |
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| init line: 16 | mult main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult line: 8 | m n | 1 2 |
| mult_helper line: 7 | m n acc n' | 1 2 0 2 |
| mult_helper line: 7 | m n acc n' | 1 2 1 1 |



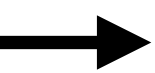
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16. main;;
```

| Frame | Symbol | Value |
|------------------------|---------------------|------------------|
| init line: 16 | mult main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult line: 8 | m n | 1 2 |
| mult_helper line: 7 | m n acc n' | 1 2 0 2 |
| mult_helper line: 7 | m n acc n' | 1 2 1 1 |
| mult_helper line: 7 | m n acc n' | 1 2 2 0 |



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
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6.           then acc
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11.   let m = 1 in
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```

| Frame | Symbol | Value |
|------------------------|---------------------|------------------|
| init line: 16 | mult main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 2 |
| mult line: 8 | m n | 1 2 |
| mult_helper line: 7 | m n acc n' | 1 2 0 2 |
| mult_helper line: 7 | m n acc n' | 1 2 1 1 |
| mult_helper line: 7 | m n acc n' | 1 2 2 0 |



```
1. let mult m n =  
2.   let rec mult_helper acc n' =  
3.     if m == 0  
4.     then 0  
5.     else if n == 0  
6.         then acc  
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10. let main =  
11.   let m = 1 in  
12.   let n = 2 in  
13.   let answ = mult m n in  
14.   answ  
15.  
16. main;;
```

Optimization: Tail Recursion

→

```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n == 0
6.           then acc
7.           else mult_helper (m + acc) (n - 1)
8.   in mult_helper 0 n
9.
10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|----------|--------|-------|
| init | mult | <fun> |
| line: 16 | main | <fun> |

1. let mult m n =
2. let rec mult_helper acc n' =
3. if m == 0
4. then 0
5. else if n == 0
6. then acc
7. else mult_helper (m + acc) (n - 1)
8. in mult_helper 0 n
9.
10. let main =
11. let m = 1 in
12. let n = 2 in
13. let answ = mult m n in
14. answ
15.
16. main;;

→

| Frame | Symbol | Value |
|----------|--------|-------|
| init | mult | <fun> |
| line: 16 | main | <fun> |
| main | m | 1 |
| line: 13 | n | 2 |
| | answ | ? |



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
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6.           then acc
7.           else mult_helper (m + acc) (n - 1)
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11.   let m = 1 in
12.   let n = 2 in
13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|------------------|----------------|----------------|
| init line: 16 | mult main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult line: 8 | m n | 1 2 |



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
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10. let main =
11.   let m = 1 in
12.   let n = 2 in
13.     let answ = mult m n in
14.       answ
15.
16. main;;
```

| Frame | Symbol | Value |
|------------------------|---------------------|------------------|
| init line: 16 | mult main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult_helper line: 7 | m n acc n' | 1 2 0 2 |



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
4.     then 0
5.     else if n == 0
6.           then acc
7.           else mult_helper (m + acc) (n - 1)
8.   in mult_helper 0 n
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11.   let m = 1 in
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14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|------------------------|---------------------|------------------|
| init line: 16 | mult main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult_helper line: 7 | m n acc n' | 1 2 1 1 |



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
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6.           then acc
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16. main;;
```

| Frame | Symbol | Value |
|------------------------|---------------------|------------------|
| init line: 16 | mult main | <fun> <fun> |
| main line: 13 | m n answ | 1 2 ? |
| mult_helper line: 7 | m n acc n' | 1 2 2 0 |



```
1. let mult m n =
2.   let rec mult_helper acc n' =
3.     if m == 0
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6.           then acc
7.           else mult_helper (m + acc) (n - 1)
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13.   let answ = mult m n in
14.   answ
15.
16. main;;
```

| Frame | Symbol | Value |
|------------------|--------|-------|
| init | mult | <fun> |
| line: 16 | main | <fun> |
| main line: 13 | m | 1 |
| | n | 2 |
| | answ | 2 |

Tail Call Optimization

- Tail calls do not require any modifications to the activation frame. Thus, we do not need to keep them around.
- Compiler can detect tail recursion, and then optimize its stack usage by discarding each activation frame during evaluation.
 - Constant space usage!
 - The same performance as loops!
- Not all PLs offer this tail call optimization!

Tail Call Optimization

| PL | Tail Call Optimized | Compiler |
|------------|---------------------|----------|
| C/C++ | Yes | GCC |
| Swift | Yes | All |
| Python | No | All |
| C# | No | All |
| Java | Partially | JVM |
| OCaml | Yes | All |
| Haskell | Yes | GHC |
| javascript | Yes | ES6 |