MANUAL

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RAVEN User Manual

Prepared by Idaho National Laboratory Idaho Falls, Idaho 83415

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RAVEN User Manual

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1 Introduction

RAVEN is a generic software framework to perform parametric and probabilistic analysis based on the response of complex system codes. The initial development was aimed to provide dynamic risk analysis capabilities to the Thermo-Hydraulic code RELAP-7, currently under development at the Idaho National Laboratory (INL). Although the initial goal has been fully accomplished, RAVEN is now a multi-purpose probabilistic and uncertainty quantification platform, capable to agnostically communicate with any system code. This agnosticism includes providing Application Programming Interfaces (APIs). These APIs are used to allow RAVEN to interact with any code as long as all the parameters that need to be perturbed are accessible by inputs files or via python interfaces. RAVEN is capable of investigating the system response, and investigating the input space using Monte Carlo, Grid, or Latin Hyper Cube sampling schemes, but its strength is focused toward system feature discovery, such as limit surfaces, separating regions of the input space leading to system failure, using dynamic supervised learning techniques. The development of RAVEN has started in 2012, when, within the Nuclear Energy Advanced Modeling and Simulation (NEAMS) program, the need to provide a modern risk evaluation framework became stronger. RAVEN principal assignment is to provide the necessary software and algorithms in order to employ the concept developed by the Risk Informed Safety Margin Characterization (RISMC) program. RISMC is one of the pathways defined within the Light Water Reactor Sustainability (LWRS) program. In the RISMC approach, the goal is not just the individuation of the frequency of an event potentially leading to a system failure, but the closeness (or not) to key safety-related events. Hence, the approach is interested in identifying and increasing the safety margins related to those events. A safety margin is a numerical value quantifying the probability that a safety metric (e.g. for an important process such as peak pressure in a pipe) is exceeded under certain conditions. The initial development of RAVEN has been focused on providing dynamic risk assessment capability to RELAP-7, currently under develop-ment at the INL and, likely, future replacement of the RELAP5-3D code. Most the capabilities that have been implemented having RELAP-7 as principal focus are easily deployable for other system codes. For this reason, several side activates are currently ongoing for coupling RAVEN with software such as RELAP5-3D, etc. The aim of this document is the explaination of the input requirements, focalizing on the input structure.

2 Installing and running RAVEN

How To Run!!!

3 Raven Input Structure

The RAVEN code does not have a fixed calculation flow, since all its basic objects can be combined in order to create a user-defined calculation flow. Thus, its input (xml) is organized in different xml blocks, each with a different functionality. The main input blocks are as follows:

- **Simulation**: The simulation block is the one that has inside the entire input, all the following blocks fit inside the simulation block;
- **RunInfo**: Block in which the calculation settings are specified (number of parallel simulations, etc.);
- **Distributions**: Distributions' container;
- Samplers: Exploration of the uncertain domain strategy specification;
- Functions: External functions container;
- Models: Models' specifications (e.g. Codes,ROM,etc.);
- **Steps**: Place where the single basic objects get combained;
- Datas: Internal Data object block;
- Databases: Databases block;
- OutStream system: Visualization and Printing system block.

Each of these blocks are explained in dedicated sections in the following chapters.

4 RunInfo

The RunInfo block is the place where the user specifiy how the calculation needs to be performed. In this input block, several settings can be inputted, in order to define how to drive the calculation and set up, when needed, particular settings for the machine the code needs to run on (queue system if not PBS, etc.). In the following subsections, all the keywords are explained in detail.

4.1 RunInfo: input of calculation flow.

This sub-section contains the information regarding the xml nodes that define the settings of the calculation flow is going to be performed through RAVEN:

- < WorkingDir >, string, required field. in this block the user needs to specify the absolute or relative (with respect to the location where RAVEN is run from) path to a directory that is going to be used to store all the results of the calculations and where RAVEN looks for the files specified in the block < Files >. Default = None;
- < Files >, comma separated string, required field. these are the paths to the files required by the code, string from the WorkingDir;
- < batchSize >, integer, required field. This parameter specifies the number of parallel runs need to be run simultaneously (e.g., the number of driven code instances, e.g. RELAP5-3D, that RAVEN will spoon at the same time). Default = 1;
- < Sequence >, comma separated string, required field. ordered list of the step names that RAVEN will run (see Section 9);
- < NumThreads >, integer, optional field. this section can be used to specify the number of threads RAVEN should associate when running the driven software. For example, if RAVEN is driving a code named "FOO", and this code has multi-threading support, in here the user specify how many threads each instance of FOO should use (e.g. FOO –n-threads=NumThreads). Default = 1 (or None when the driven code does not have multi-threading support);

- < NumMPI >, integer, optional field. this section can be used to specify the number of MPI cpus RAVEN should associate when running the driven software. For example, if RAVEN is driving a code named "FOO", and this code has MPI support, in here the user specifies how many mpi cpus each instance of FOO should use (e.g. mpiexec FOO -np NumMPI). Default = 1 (or None when the driven code does not have MPI support);
- < totalNumCoresUsed >, integer, optional field. global number of cpus RAVEN is going to use for performing the calculation. When the driven code has MPI and/or Multi-threading support and the user decides to input NumThreads > 1 and NumMPI > 1, the totalNumCoresUsed = NumThreads*NumMPI*batchSize. Default = 1;
- < precommand >, string, optional field. in here the user can specifies a command that needs to be inserted before the actual command that is used to run the external model (e.g., mpiexec -n 8 precommand ./externalModel.exe (...)). Default = None;
- < postcommand >, string, optional field. in here the user can specifies a command that needs to be appended after the actual command that is used to run the external model (e.g., mpiexec -n 8 ./externalModel.exe (...) postcommand). Default = None;
- < MaxLogFileSize >, integer, optional field. every time RAVEN drives a code/software, it creates a logfile of the code screen output. In this block, the user can input the maximum size of log file in bytes. Defautl = Inf. NB. This flag is not implement yet;
- < deleteOutExtension >, comma separated string, optional field. if a run of an external model has not failed delete the outut files with the listed extension (e.g., < deleteOutExtension > txt, pdf < /deleteOutExtension >). Default = None.
- < delSucLogFiles >, boolean, optional field. if a run of an external model has not failed (return code = 0), delete the associated log files. Default = False;

4.2 RunInfo: input of queue modes.

In this sub-section all the keyword (xml nodes) for setting the queue system are reported.

- < mode >, string, optional field. In this xml block, the user might specify which kind of protocol the parallel environment should use. By instance, RAVEN currently supports two pre-defined "modes":
 - pbsdsh: this "mode" uses the pbsdsh protocol to distribute the program running; more information regarding this protocol can be found in ref.
 Mode "pbsdsh" automatically "understands" when it needs to generate the "qsub" command, inquiring the "machine eviroment":
 - * If RAVEN is executed in the HEAD node of an HPC system, RAVEN generates the "qsub" command, instantiates and submits itself to the queue system;
 - * If the user decides to execute RAVEN from an "interactive node" (a certain number of nodes that have been reserved in interactive PBS mode), RAVEN, using the "pbsdsh" system, is going to utilize the reserved resources (cpus and nodes) to distribute the jobs, but, obviously, it's not going to generate the "qsub" command.
 - mpi: this "mode" uses mpiexec to distribute the program running; more information regarding this protocol can be found in ref.
 - Mode "MPI" can either generate the "qsub" command or can execute in selected nodes.
 - In order to make the "mpi" mode generate the "qsub" command, an additional keyword (xml sub-node) needs to be specified:
 - * If RAVEN is executed in the HEAD node of an HPC system, the user needs to input a sub-node, < runQSUB/>, right after the specification of the mpi mode (i.e. < mode > mpi < runQSUB/></mode >). If the keyword is provided, RAVEN generates the "qsub" command, instantiates and submits itself to the queue system;
 - * If the user decides to execute RAVEN from an "interactive node" (a certain number of nodes that have been reserved in interactive PBS mode), RAVEN, using the "mpi" system, is going to utilize the reserved resources (cpus and nodes) to distribute the jobs, but, obviously, it's not going to generate the "qsub" command.

When the user decides to run in "mpi" mode without making RAVEN generate the "qsub" command, different options are available:

* If the user decides to run in the local machine (either in local desktop/workstation or remote machine), no additional keywords are needed (i.e. < mode > mpi < /mode >);

- * If the user decided to run in multiple nodes, the nodes' ids have to be specified:
 - the nodes' ids' can be specified in an external text file (nodes' ids separated by blank space). This file needs to be provided in the mode xml node, introducing a sub-node named nodefile (e.g. < mode > mpi < nodefile > /tmp/nodes < /nodefile >< /mode >);
 - the nodes' ids' can be contained in an environmental variable (nodes' ids separated by blank space). This variable needs to be provided in the mode xml node, introducing a sub-node named node fileenv (e.g. < mode > mpi < node fileenv > NODEFILE < /node fileenv > < /mode >);
 - · if none of the above options are used, RAVEN is going to try finding the nodes' information in the environment variable *PBS_NODEFILE*.
- < NumNode >, integer, optional field. this xml node is used to specify the number of nodes RAVEN should request when running in High Performance Computing (HPC) systems. Default = None;
- < CustomMode >, xml node, optional field. In this xml node, the "advanced" users can implement a newer "mode". Please refer to sub-section 4.3 for advanced users.
- < quequingSoftware >, string, optional field. RAVEN has support for PBS quequing system. If the platform provides a different quequing system, the user can specify its name here (e.g., PBS PROFESSIONAL, etc.). Default = PBS PROFESSIONAL;
- < expectedTime >colum separated string, requested field (pbsdsh mode) . In this block the user specifies the time the whole calculation is expected to last. The syntax of this node is hours : minutes : seconds (e.g. 40:10:30 = 40 hours, 10 minutes, 30 seconds). After this period of time the HPC system will automatically stop the simulation (even if the simulation is not completed). It is preferable to rationally overstimate the needed time. Default = None;

4.3 RunInfo: Advanced Users.

This sub-section addresses some customizations of the running environment that are possible in RAVEN. // Firstly, all the keywords reported in the previous sections can be pre-

defined by the user in an auxiliary xml input file. Every time RAVEN gets instantiated (i.e. the code is run), it looks for an optional file, named "default_runinfo.xml" contained in "\home\username\.raven\" directory (i.e. "\home\username\.raven\default_runinfo.xml"). This file (same syntax of the RunInfo block definable in the general input file) will be used for defining default values for the data inputtable in the RunInfo block.In addition to the keywords defined in the previous sections, in the < RunInfo>, an additional keyword can be defined:

• < DefaultInputFile >, string, optional field. In this block the user can change the default xml input file RAVEN is going to look for if none has been provided as command-line argument. Default = "test.xml".

As already mentioned, this file is read to define defaul data for the RunInfo block. This means that all the keywords, that lately are read in the actual input file, will be overridden by values in the actual RAVEN input file.

In section refsubsec:runinfoModes, it has been explained how RAVEN can handle the queue and parallel systems. If the currently available "modes" are not suitable for the user's system (workstation, HPC system, etc.), it is possible to define a custom "mode" modifying the < RunInfo> block as follows:

The python file should override the functions in SimulationMode in Simulation.py. Generally modifySimulation will be overridden to change the precommand or postcommand parts which will be added before and after the executable command. In the following section an example is reported:

import Simulation
class NewMode(Simulation.SimulationMode):
 def doOverrideRun(self):
 # If doOverrideRun is true, then use runOverride

```
# instead of running the simulation normally.
  # This method should call simulation.run somehow
  return True
def runOverride (self):
  # this can completely override the Simulation's run method
  pass
def modifySimulation(self):
  # modifySimulation is called after the runInfoDict
  # has been setup.
 # This allows the mode to change any parameters
  # that need changing. This typically modifies the
  # precommand and the postcommand that
  # are put infront of the command and after the command.
  pass
def XMLread(self,xmlNode):
  # XMLread is called with the mode node,
  # and can be used to
  # get extra parameters needed for the simulation mode.
  pass
```

4.4 RunInfo: Examples.

In here we present some examples:

Specifies the working directory (WorkingDir) where are located the files necessary (Files) to run a series of 100 (batchSize) Monte-Carlo calculations (Sequence). MPI (mode) mode is used along with 4 threads (NumThreads) and 2 mpi process per run (NumMPI).

5 Distributions

Author: Andrea Alfonsi

RAVEN provides support for several probability distributions. Currently, the user can choose among all the most important 1-Dimensional distributions and N-Dimensional ones, either custom or Multi-Variate.

The user needs to specify the probability distributions, that need to be used during the simulation, within the < Distributions > xml block:

```
<Simulation>
...
<Distributions>
  <!-- here all the distributions, that need to be used,
        are listed -->
  </Distributions>
...
</Simulation>
```

In the following two sub-sections, the input requirements for all of them are reported.

5.1 1-Dimensional Probability Distributions

This sub-section is organized in two different parts: 1) Continuous 1-D distributions; 2) Discrete 1-D distributions. These two chapters cover all the requirements for using the different distribution entities.

5.1.1 1-Dimensional Continuous Distributions.

In this paragraph all the 1-D distributions', currently available in RAVEN, are reported. Firstly, all the probability distributions functions in the code can be truncated by the following keywords:

```
<lowerBound>***</lowerBound><upperBound>***</upperBound>
```

Obviously, each distribution already defines its validity domain (e.g. Normal distribution, [-inf,+inf]).

RAVEN currently provides support for 12 1-Dimensional distributions. In the following paragraphs, all the input requirements are reported and commented.

5.1.1.1 Beta Distribution

The **Beta** distribution is a continuous distribution defined on the interval [0,1] parametrized by two positive shape parameters, denoted by α and β , that appear as exponents of the random variable and control the shape of the distribution. The distribution domain can be changed, specifying new boundaries, to fit the user needs. Its support is $x \in (0,1)$.

The specifications of this distribution must be defined within the xml block < Beta >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

- \bullet < alpha >, float, required parameter, first shape parameter;
- \bullet < beta >, float, required parameter, second shape parameter;
- \bullet < low >, float, optional parameter, lower domain boundary;
- \bullet < high >, float, required parameter, upper domain boundary.

5.1.1.2 Exponential Distribution

The **Exponential** distribution is a continuous distribution that can be used to model the time between independent events that happen at a constant average time. Its support is $x \in [0, +\inf)$.

The specifications of this distribution must be defined within the xml block < Exponential >. This xml-node needs to contain the attribute:

• **name**, *required string attribute*, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

This distribution can be initialized through the following keyword/s:

 \bullet < lambda >, float, required parameter, rate parameter.

```
</Exponential>
...
</Distributions>
```

5.1.1.3 Gamma Distribution

The **Gamma** distribution is a two-parameter family of continuous probability distributions. The common exponential distribution and chi-squared distribution are special cases of the gamma distribution. Its support is $x \in (0, +\inf)$.

The specifications of this distribution must be defined within the xml block < Gamma >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

- < alpha >, float, required parameter, shape parameter;
- \bullet < beta >, float, required parameter, 1/scale or the inverse scale parameter;
- \bullet < low >, float, optional parameter, lower domain boundary.

```
Example:

<Distributions>
...

<Gamma name='...'>
<alpha>***</alpha>
<beta>***</beta>
<low>***</low>
</Gamma>
...

</Distributions>
```

5.1.1.4 Logistic Distribution

The **Logistic** distribution is a continuous distribution similar to the normal distribution with a CDF that is an instance of a logistic function. It resembles the normal distribution in shape but has heavier tails (higher kurtosis). Its support is $x \in (-\inf, +\inf)$.

The specifications of this distribution must be defined within the xml block < Logistic >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

This distribution can be initialized through the following keyword/s:

- < location >, float, required parameter, it is the distribution mean;
- < scale >, float, required parameter, scale parameter that is proportional to the standard deviation.

5.1.1.5 LogNormal Distribution

The **LogNormal** distribution is a continuous distribution with the logarithm of the random variable being normally distributed. Its support is $x \in (0, +\inf)$.

The specifications of this distribution must be defined within the xml block < LogNormal >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

This distribution can be initialized through the following keyword/s:

- < mean >, float, required parameter, it is the distribution mean or expected value (in log-scale);
- \bullet < sigma >, float, required parameter, standard deviation.

5.1.1.6 Normal Distribution

The **Normal** distribution (or Gaussian) distribution is a continuous distribution. It is extremely useful because of the central limit theorem, which states that, under mild conditions, the mean of many random variables independently drawn from the same distribution is distributed approximately normally, irrespective of the form of the original distribution. Its support is $x \in (-\inf, +\inf)$.

The specifications of this distribution must be defined within the xml block < Normal >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

- \bullet < mean >, float, required parameter, it is the distribution mean or expected value;
- \bullet < sigma >, float, required parameter, standard deviation.

```
Example:

<Distributions>
...

<Normal name='...'>
...

<mean>***</mean>
.sigma>***</sigma>
</Normal>
...

</Distributions>
```

5.1.1.7 Triangular Distribution

The **Triangular** distribution is a continuous distribution that has a triangular shape for the Pdf. It is often used where the distribution is only vaguely known, but, like the uniform distribution, upper and lower limits are "known", but a "best guess", the mode or center point, is also added. It has been recommended as a "proxy" for the beta distribution. Its support is $lower \le x \le upper$.

The specifications of this distribution must be defined within the xml block < Triangular >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

- \bullet < apex >, float, required parameter, "best guess", also called, peak factor;
- \bullet < min >, float, required parameter, domain lower boundary;
- \bullet < max >, float, required parameter, domain upper boundary.

5.1.1.8 Uniform Distribution

The **Uniform** distribution is a continuous distribution with a rectangular shaped Pdf. It is often used where the distribution is only vaguely known, but upper and lower limits are "known". Its support is $lower \le x \le upper$.

The specifications of this distribution must be defined within the xml block < Uniform >. This xml-node needs to contain the attribute:

• **name**, *required string attribute*, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

- \bullet < low >, float, required parameter, domain lower boundary;
- \bullet < high >, float, required parameter, domain upper boundary.

```
Example:

<Distributions>

...

<Uniform name='...'>
```

```
<la>low>***</low>
<high>***</high>
</Uniform>
...
</Distributions>
```

5.1.1.9 Weibull Distribution

The **Weibull** distribution is a continuous distribution that is often used in the field of failure analysis; in particular it can mimic distributions where the failure rate varies over time. If the failure rate is:

- \bullet constant over time, then k=1, suggests that items are failing from random events;
- decreases over time, then k < 1, suggesting "infant mortality";
- increases over time, then k > 1, suggesting "wear out" more likely to fail as time goes by.

Its support is $x \in [0, +\inf)$.

The specifications of this distribution must be defined within the xml block < Weibull >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

- \bullet < k >, float, required parameter, shape parameter;
- \bullet < lambda >, float, required parameter, scale parameter.

```
Example:
------

<Distributions>
...
<Weibull name='...'>
```

5.1.2 1-Dimensional Discrete Distributions.

RAVEN currently supports 3 discrete distributions. In the following paragraphs, the input requirements are reported.

5.1.2.1 Bernoulli Distribution

The **Bernoulli** distribution is a discrete distribution of the outcome of a single trial with only two results, 0 (failure) or 1 (success), with a probability of success p. It is the simplest building block on which other discrete distributions of sequences of independent Bernoulli trials can be based. Basically, it is the binomial distribution (k = 1, p) with only one trial. Its support is $k \in 0, 1$.

The specifications of this distribution must be defined within the xml block < Bernoulli >. This xml-node needs to contain the attribute:

• name, required integer attribute, Name of this distribution. As for the other objects, this is the name that can be used to refer to this specific entity in other input blocks (xml).

This distribution can be initialized through the following keyword/s:

 \bullet < p >, float, required parameter, probability of success.

```
Example:

<Distributions>
...

<Bernoulli name='...'>
```

```
***</bernoulli><br/>...stributions>
```

5.1.2.2 Binomial Distribution

The **Binomial** distribution is the discrete probability distribution of the number of successes in a sequence of n independent yes/no experiments, each of which yields success with probability p. Its support is $k \in {0, 1, 2, ..., n}$.

The specifications of this distribution must be defined within the xml block < Binomial >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

- \bullet < p >, float, required parameter, probability of success;
- \bullet < n >, integer, required parameter, number of experiment.

```
Example:

<Distributions>
...

<Binomial name='...'>
...

<n>***</n>
...

***
./Binomial>
...

</Distributions>
```

5.1.2.3 Poisson Distribution

The **Poisson** distribution is a discrete probability distribution that expresses the probability of a given number of events occurring in a fixed interval of time and/or space if these events occur with a known average rate and independently of the time since the last event. Its support is $k \in \{1, 2, 3, 4,$

The specifications of this distribution must be defined within the xml block < Poisson >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this distribution. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml).

This distribution can be initialized through the following keyword/s:

 \bullet < mu >, float, required parameter, mean rate of events/time.

5.2 N-Dimensional Probability Distributions

We have the MultiVariate Normal distributions and 3 different type of user-input ND distribution. These types depend on the type of interpolation scheme that the user request. The inputs requirements are explained in the following:

Diego I choose you!

6 Samplers

The sampler is probably the most important entity in the RAVEN framework. Indeed, it performs the driving of the specific sampling strategy and, hence, determines the effectiveness of the analysis, from both an accuracy and computational point of view. The samplers, that are available in RAVEN, can be categorized in three main classes:

- Once-through
- Dynamic Event Tree (DET)
- Adaptive

Before analyzing each sampler in details, it is important to mention that each type has a similar syntax to input the variables that need to be "sampled". In the example below the variable "variableName" is going to be sampled by the Sampler "WhatEverSampler" using the distribution "aDistribution".

As reported in section 13, the variable naming syntax, for external driven codes, depends on the way the "code interface" has been implemented. For example, if the code has an input structure like the one reported below, the variable name would be "I - Level|II - Level|variable"; in this way, the relative code interface (and input parser) will know which variable needs to be perturbed and the "recipe" to access to it. As reported in 13, its syntax is chosen by the developer of the "code interface" and is implemented in the interface only (no modifications are needed in the RAVEN code).

```
Example Input:
[I-Level]
  [./II-Level]
    variable = xxx
  [../]
[]

XML relative
------
Example XML block:
<variable name="I-Level|II-Level|variable">
    <distribution>exampleDistribution</distribution>
</variable>
```

6.1 Once-through Samplers.

The once-through sampler category collects all the strategies that perform the sampling of the input space without exploiting, through dynamic learning approaches, the information made available from the outcomes of calculation previously performed (adaptive sampling) and the common system evolution (patterns) that different sampled calculations can generate in the phase space (dynamic event tree). In the RAVEN framework, five different and well-known "once-through" samplers are available:

- Monte Carlo (MC)
- Stratified
- Grid Based

- Response Surface Design of Experiment
- Factorial Design of Experiment

From a practical point of view, these sampling strategies represent different ways to perturb the input space. In the following paragraphs, the input requirements and a small explaination of the different sampling methodologies are reported.

6.1.1 Monte Carlo.

Monte-Carlo sampling approach is one of the most well-known and used approaches to perform exploration of the input space. The main idea, behind it, is the random perturbation of the input space accordingly with uniform or parameter-based probability density functions.

The specifications of this Sampler must be defined within the xml block < Monte Carlo >. This xml-node needs to contain the attribute:

- name, required string attribute, user-defined name of this Sampler. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml);
- **limit**, required integer attribute, number of MonteCarlo samples needs to be generated;
- **initial_seed**, *optional integer attribute*, initial seeding of random number generator. *Default = random seed*;
- **reseedAtEachIteration**, *optional boolean/string attribute*, perform a re-seeding for each sample generated (True values = True, yes, y, t, si, dajie). *Default = False*;

In the **MonteCarlo** input block, the user needs to specify the variables need to be sampled. As already mentioned, these variables are inputted within consecutive xml blocks called < variable >:

- < variable >, xml node, required parameter. This xml-node needs to contain the attribute:
 - **name**, required string attribute, user-defined name of this variable.

In the variable node, the following xml-nodes need to be specified:

- < distribution >, string, required field.. Name of the distribution that is associated to this variable. Its name needs to be contained in the **Distributions** block explained in sections 5.

```
Example:

<Samplers>
...

<MonteCarlo name='MCname' limit='10' initial_seed='200286'
    reseedAtEachIteration='false'>
    <variable name='var1'>
        <distribution>***</distribution>
    </variable>
</MonteCarlo>
...

</Samplers>
```

6.1.2 Grid.

Grid sampling approach is probably the simplest exploration approach that can be employed to explore the uncertain domain. The main idea, behind it, is the construction of a N-Dimensional grid, where each dimension is represented by the uncertain variables. This approach performs the sampling of each node of the grid. The sampling of the grid consists in evaluating the answer of the system under all the possible combinations among the different variables' values, with respect a predefined discretization metric. In RAVEN two discretization metrics are available: 1) Cumulative Distribution Function, and 2) Value. Thus, the grid meshing can be inputted either in probability or in absolute values.

The specifications of this Sampler must be defined within the xml block < Grid >. This xml-node needs to contain the attribute:

• **name**, *required string attribute*, user-defined name of this Sampler. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml);

In the **Grid** input block, the user needs to specify the variables need to be sampled. As already mentioned, these variables are inputted within consecutive xml blocks called < variable >:

- < variable >, xml node, required parameter. This xml-node needs to contain the attribute:
 - name, required string attribute, user-defined name of this variable.

In the variable node, the following xml-nodes need to be specified:

- < distribution >, string, required field. Name of the distribution that is associated to this variable. Its name needs to be contained in the **Distributions** block explained in sections 5;
- < grid >, float or space separated floats, required field. The content of this xml node depends on the definition of the associated attributes:
 - * **type**, required string attribute, user-defined discretization metric type: 1) CDF, the grid is going to be specified based on Cumulative Distribution Function probability thresholds, and 2) value, the grid is going to be provided inputting absolute variable values;
 - * **construction**, required string attribute, how the grid needs to be constructed, independently by the its type (i.e. CDF or value).

Based on the construction type, the content of the < grid > xml node and the requirements for other attributes change:

- * construction = "equal". The grid is going to be constructed equally-spaced (type = "value") or equally-probable (type == "CDF"). This construction type requires the definition of additional attributes:
 - · **steps**, *required integer attribute*, number of equally-spaced/probable discretization steps.
 - upperBound, required float attribute, the upper limit of the grid. NB.
 This attribute must be specified if the lowerBound has not been defined;
 - lowerBound, required float attribute, the lower limit of the grid. NB.
 This attribute must be specified if the upperBound has not been defined;

This construction type requires that the content of the xml node < grid > represents the step size (either in probability or value). The attributes

lowerBound and **upperBound** are mutually exclusive (only one of them can be specified):

If the *upperBound* is present, the grid lower bound is going to be at the upperBound - steps * stepSize

If the lowerBound is present, the grid upper bound is going to be at the lowerBound + steps * stepSize The lower and upper bounds are checked against the associated < distribution > bounds. If one or both of them fell/s outside the distribution's bounds, the code is going to raise an error.

* *construction* = "*custom*". The grid is going to directly be specified by the user. No additional attributes are needed.

This construction type requires that the xml node < grid > contains the actual mesh bins. For example, if the grid "type" is "CDF", in the body of < grid >, the user is going to specify CDF probability thresholds (nodalization in probability).

```
Example:
<Samplers>
 < Grid name='Gridname'>
    <variable name='var1'>
      <distribution>***</distribution>
      <grid type='value' construction='equal' steps='100'
         lowerBound='1.0'>0 . 2</grid>
    </variable>
    <variable name='var2'>
      <distribution> * * * </distribution>
      <grid type='CDF' construction='equal' steps='5'</pre>
         lowerBound='0.0'>0 . 2</grid>
    </variable>
    <variable name='var3'>
      <distribution> * * * </distribution>
      <grid type='value' construction='equal' steps='100'
         upperBound='21.0'>0.2</grid>
    </variable>
    <variable name='var4'>
      <distribution> * * * </distribution>
```

6.1.3 Stratified.

Stratified sampling approach is a method for the exploration of the input space that basically consists in dividing the uncertain domain into subgroups before sampling. In the "stratified" sampling, these subgroups must be:

- mutually exclusive: every element in the population must be assigned to only one stratum (subgroup);
- collectively exhaustive: no population element can be excluded.

Then simple random sampling or systematic sampling is applied within each stratum. It is worth to notice that the well-known Latin Hyper Cube sampling represents a specialization of the Stratified approach, when the domain strata are constructed in equally-probable CDF bins.

The specifications of this Sampler must be defined within the xml block < Stratified >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this Sampler. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml);

In the **Stratified** input block, the user needs to specify the variables need to be sampled. As already mentioned, these variables are inputted within consecutive xml blocks called < variable >:

- < variable >, xml node, required parameter. This xml-node needs to contain the attribute:
 - name, required string attribute, user-defined name of this variable.

In the variable node, the following xml-nodes need to be specified:

- < distribution >, string, required field.. Name of the distribution that is associated to this variable. Its name needs to be contained in the **Distributions** block explained in sections 5;
- < grid >, float or space separated floats, required field. The content of this xml node depends on the definition of the associated attributes:
 - * **type**, required string attribute, user-defined discretization metric type: 1) CDF, the grid is going to be specified based on Cumulative Distribution Function probability thresholds, and 2) value, the grid is going to be provided inputting absolute variable values;
 - * **construction**, required string attribute, how the grid needs to be constructed, independently by the its type (i.e. CDF or value).

Based on the construction type, the content of the < grid > xml node and the requirements for other attributes change:

- * construction = "equal". The grid is going to be constructed equally-spaced (type = "value") or equally-probable (type == "CDF"). This construction type requires the definition of additional attributes:
 - · **steps**, *required integer attribute*, number of equally-spaced/probable discretization steps.
 - upperBound, required float attribute, the upper limit of the grid. NB.
 This attribute must be specified if the lowerBound has not been defined;
 - lowerBound, required float attribute, the lower limit of the grid. NB.
 This attribute must be specified if the upperBound has not been defined;

This construction type requires that the content of the xml node < grid > represents the step size (either in probability or value). The attributes

lowerBound and **upperBound** are mutually exclusive (only one of them can be specified):

If the upperBound is present, the grid lower bound is going to be at the upperBound - steps*stepSize

If the lowerBound is present, the grid upper bound is going to be at the lowerBound + steps * stepSize The lower and upper bounds are checked against the associated < distribution > bounds. If one or both of them fell/s outside the distribution's bounds, the code is going to raise an error.

* *construction* = "*custom*". The grid is going to directly be specified by the user. No additional attributes are needed.

This construction type requires that the xml node < grid > contains the actual mesh bins. For example, if the grid "type" is "CDF", in the body of < grid >, the user is going to specify CDF probability thresholds (nodalization in probability).

As it is inferable from above, the input specifications for the **Stratified** sampler are similar the Grid sampler ones. It is important to mention again that for each zone (grid mesh) only a point, randomly selected, is picked and not all the nodal combinations (like in the Grid sampling).

```
Example:
<Samplers>
 < Stratified name='StratifiedName'>
    <variable name='var1'>
      <distribution> * * * </distribution>
      <grid type='CDF' construction='equal' steps='5'</pre>
         lowerBound='0.0'>0 . 2</grid>
    </variable>
    <variable name='var2'>
      <distribution> * * * < /distribution>
      <grid type='value' construction='equal' steps='100'
         upperBound='21.0'>0.2</grid>
    </variable>
    <variable name='var3'>
      <distribution> * * * </distribution>
      <grid type='CDF' construction='custom'>0.2 0.5 1.0</grid>
```

```
</ri>
```

6.1.4 Response Surface Design.

Response Surface Design approach is one of the most common Design of Experiment (DOE) methodology that are currently in use. It explores the relationships between several explanatory variables and one or more response variables. The main idea of RSM is to use a sequence of designed experiments to obtain an optimal response. RAVEN Currently employs two different algorithms that can be classified within this methodology family:

- Box-Behnken design: this methodology has the aim to achieve the following goals:
 - Each factor, or independent variable, is placed at one of three equally spaced values, usually coded as -1, 0, +1. (At least three levels are needed for the following goal);
 - The design should be sufficient to fit a quadratic model, that is, one containing squared terms and products of two factors;
 - The ratio of the number of experimental points to the number of coefficients in the quadratic model should be reasonable (in fact, their designs kept it in the range of 1.5 to 2.6);
 - The estimation variance should more or less depend only on the distance from the center (this is achieved exactly for the designs with 4 and 7 factors), and should not vary too much inside the smallest (hyper)cube containing the experimental points.

Each design can be thought of as a combination of a two-level (full or fractional) factorial design with an incomplete block design. In each block, a certain number of factors are put through all combinations for the factorial design, while the other factors are kept at the central values.

• **Central Composite** design: the design consists of three distinct sets of experimental runs:

- A factorial (perhaps fractional) design in the factors studied, each having two levels;
- A set of center points, experimental runs whose values of each factor are the medians of the values used in the factorial portion. This point is often replicated in order to improve the precision of the experiment;
- A set of axial points, experimental runs identical to the centre points except for one factor, which will take on values both below and above the median of the two factorial levels, and typically both outside their range. All factors are varied in this way.

This methodology is useful for building a second order (quadratic) model for the response variable without needing to use a complete three-level factorial experiment.

All the parameters, needed for setting up the algorithms reported above, must be defined within the xml block < ResponseSurfaceDesign >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this Sampler. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml);

In the **ResponseSurfaceDesign** input block, the user needs to specify the variables need to be sampled. As already mentioned, these variables are inputted within consecutive xml blocks called < variable >:

- < variable >, xml node, required parameter. This xml-node needs to contain the attribute:
 - **name**, required string attribute, user-defined name of this variable.

In the variable node, the following xml-nodes need to be specified:

- < distribution >, string, required field. Name of the distribution that is associated to this variable. Its name needs to be contained in the Distributions block explained in sections 5;
- < boundaries >, xml node, required field. Within this block the boundaries for this variable are defined. This xml-node needs to contain the attribute:

- * **type**, *required string attribute*, how the boundaries are defined. This attribute can be:
 - either *CDF*: the boundaries are going to be provided as probability CDF thresholds
 - · or *value*: the boundaries are going to be provided as variable values.

Within the < boundaries > xml block, the following sub-nodes need to be provided:

- * < lower >, float, required field. The lower limit of this variable;
- * < upper >, float, required field. The upper limit of this variable.

The main xml block < ResponseSurfaceDesign > needs to contain an additional subnode called < ResponseSurfaceDesignSettings >. In this sub-node, the user needs to specify different settings, depending on the algorithm needs to be used:

- < type >, string, required field.. This xml node needs to contain the name of the algorithm needs to be used. Based on the chosen algorithm, other nodes need to be defined:
 - < type > BoxBehnken < type / >. If Box-Behnken is specified, the following additional node might be inputted:
 - * < ncenters >, integer, optional field. The number of center points to include in the box. If this parameter is not specified, then a pre-determined number of points are automatically included. Default = None.

NB. In order to employ the "Box-Behnken" design, at least 3 variables must be inputted.

- < type > CentralComposite < type / >. If Central Composite is specified, the following additional nodes might be inputted:
 - * < centers >, comma separated integers, optional field. The number of center points to be included. This block needs to contain 2 integers values separated by a comma. The first entry represents the number of centers to be added for the factorial block; the second one the one for the star block. Default = 4,4.
 - * < alpha >, *string*, *optional field*. In this node, the user might decides how α factor needs to be determined. Two options are available: 1) *alpha* = *orthogonal*, for orthogonal design, or 2) *alpha* = *rotatable*, for rotatable design. *Default* = *orthogonal*.

* < face >, string, optional field. In this node, the user defines how faces should be constructed. Three options are available: 1) face = circumscribed, for circumscribed facing; 2) face = inscribed, for inscribed facing; 3) face = faced, for faced facing. Default = circumscribed.

NB. In order to employ the "Central Composite" design, at least 2 variables must be inputted.

```
Example:
<Samplers>
   < ResponseSurfaceDesign name='BoxBehnkenRespDesign'>
       < Response Surface Design Settings >
           <type>BoxBehnken</type>
           <ncenters> * * * </ncenters>
       </ResponseSurfaceDesignSettings>
       <variable name='var1' >
           <distribution > Gauss1 </distribution>
           <br/>
boundaries type="CDF">
               <lower>0.0</lower>
               <upper>1.0</upper>
           </boundaries>
       </variable>
       <!-- N.B. at least 3 variables need to inputted
               in order to employ this algorithm
   </ResponseSurfaceDesign>
   < ResponseSurfaceDesign name='CentralCompositeRespDesign'>
       < Response Surface Design Settings >
           <type>CentralComposite</type>
           <centers> * * * , * * * </centers>
           <alpha>orthogonal</alpha>
           <face>circumscribed</face>
       </ResponseSurfaceDesignSettings>
       <variable name='var4' >
           <distribution > Gauss1 </distribution>
           <br/>
<br/>
doundaries type="CDF">
```

6.1.5 Factorial Design.

Factorial Design method is an important method to determine the effects of multiple variables on a response. Factorial design can reduce the number of experiments one has to perform by studying multiple factors simultaneously. Additionally, it can be used to find both main effects (from each independent factor) and interaction effects (when both factors must be used to explain the outcome). Factorial design tests all possible conditions. Because factorial design can lead to a large number of trials, which can become expensive and time-consuming, factorial design is best used for a small number of variables with few states (1 to 3). Factorial design works well when interactions between variables are strong and important and where every variable contributes significantly. RAVEN currently employs three different algorithms that can be classified within this methodology family:

- **General Full Factorial** design: this methodology explore the input space investigating all possible combinations of a set of factors (variables).
- 2-Level Fractional-Factorial design: this methodology consists of a carefully chosen subset (fraction) of the experimental runs of a full factorial design. The subset is chosen so as to exploit the sparsity-of-effects principle to expose information about the most important features of the problem studied, while using a fraction of the effort of a full factorial design in terms of experimental runs and resources.
- **Plackett-Burman** design: this method is used to identify the most important factors early in the experimentation phase when complete knowledge about the system

is usually unavailable. It is an efficient screening method to identify the active factors (variables) using as few samples as possible. In Plackett-Burman designs, main effects have a complicated confounding relationship with two-factor interactions. Therefore, these designs should be used to study main effects when it can be assumed that two-way interactions are negligible.

All the parameters, needed for setting up the algorithms reported above, must be defined within the xml block < FactorialDesign >. This xml-node needs to contain the attribute:

• name, required string attribute, user-defined name of this Sampler. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml);

In the **FactorialDesign** input block, the user needs to specify the variables need to be sampled. As already mentioned, these variables are inputted within consecutive xml blocks called < variable >:

- < variable >, xml node, required parameter. This xml-node needs to contain the attribute:
 - **name**, required string attribute, user-defined name of this variable.

In the variable node, the following xml-nodes need to be specified:

- < distribution >, string, required field. Name of the distribution that is associated to this variable. Its name needs to be contained in the **Distributions** block explained in sections 5;
- < grid >, float or space separated floats, required field. The content of this xml node depends on the definition of the associated attributes:
 - * **type**, required string attribute, user-defined discretization metric type: 1) CDF, the grid is going to be specified based on Cumulative Distribution Function probability thresholds, and 2) value, the grid is going to be provided inputting absolute variable values;
 - * **construction**, *required string attribute*, how the grid needs to be constructed, independently by the its type (i.e. *CDF* or *value*).

Based on the construction type, the content of the < grid > xml node and the requirements for other attributes change:

- * construction = "equal". The grid is going to be constructed equally-spaced (type = "value") or equally-probable (type == "CDF"). This construction type requires the definition of additional attributes:
 - · **steps**, *required integer attribute*, number of equally-spaced/probable discretization steps.
 - upperBound, required float attribute, the upper limit of the grid. NB.
 This attribute must be specified if the lowerBound has not been defined;
 - lowerBound, required float attribute, the lower limit of the grid. NB.
 This attribute must be specified if the upperBound has not been defined;

This construction type requires that the content of the xml node < grid > represents the step size (either in probability or value). The attributes **lowerBound** and **upperBound** are mutually exclusive (only one of them can be specified):

If the *upperBound* is present, the grid lower bound is going to be at the upperBound - steps * stepSize

If the lowerBound is present, the grid upper bound is going to be at the lowerBound + steps * stepSize The lower and upper bounds are checked against the associated < distribution > bounds. If one or both of them fell/s outside the distribution's bounds, the code is going to raise an error.

* construction = "custom". The grid is going to directly be specified by the user. No additional attributes are needed.

This construction type requires that the xml node < grid > contains the actual mesh bins. For example, if the grid "type" is "CDF", in the body of < grid >, the user is going to specify CDF probability thresholds (nodalization in probability).

The main xml block < FactorialDesign > needs to contain an additional sub-node called < FactorialSettings >. In this sub-node, the user needs to specify different settings, depending on the algorithm needs to be used:

• < type >, string, required field. This xml node needs to contain the name of the algorithm needs to be used. Based on the chosen algorithm, other nodes need to be defined:

- < type > full < type / >. Full factorial design. If "full" is specified, no additional nodes need to be inputted. NB. The Full factorial design does not have any limitations in the number of discretization bins can be inputted in the < qrid > xml node for each < variable >.
- < type > 2levelFract < type / >. Two-levels Fractional-Factorial design. If "levelFract" is specified, the following additional nodes must be inputted:
 - * < gen >, space separated strings, required field. In this block the confounding mapping needs to inputted. By instance, in this block the user defines the decisions on a fraction of the full-factorial by allowing some of the factor main effects to be confounded with other factor interaction effects. This is done by defining an alias structure that defines, symbolically, these interactions. These alias structures are written like "C = AB" or "I = ABC", or "AB = CD", etc. These define how one column is related to the others.
 - * < genMap >, space separated strings, required field. In this block the user defines the mapping between the "gen" symbolic aliases and the variables that have been inputted in the < FactorialDesign > main block.
 - NB. The Two-levels Fractional-Factorial design is limited to 2 discretization bins that can be inputted in the < grid > xml node for each < variable >.
- < type > pb < type / >. Plackett-Burman design. If "pb" is specified, no additional nodes need to be inputted.
 - NB. The Full factorial design does not have any limitations in the number of discretization bins can be inputted in the < grid > xml node for each < variable >.

```
</variable>
   <variable name='var2' >
       <distribution > * * * </distribution>
       <grid type='CDF' construction='custom'>0.5 0.7 1.0</grid>
   </variable>
</FactorialDesign>
< Factorial Design name = '2level Fract Factorial' >
   < Factorial Settings >
       <type>2levelFract</type>
       <gen>a, b, ab</gen>
       <genMap>var1, var2, var3/genMap>
   </FactorialSettings>
   <variable name='var1' >
       <distribution > * * * </distribution>
       <grid type='value' construction='custom' > 0.02 0.5
   </variable>
   <variable name='var2' >
       <distribution > * * * </distribution>
       <grid type='CDF' construction='custom'>0.5 1.0
   </variable>
   <variable name='var3'>
       <distribution > * * * </distribution>
       <grid type='value' upperBound='4' construction='equal'</pre>
           steps='1'>0.5</grid>
   </variable>
</FactorialDesign>
< Factorial Design name = 'pbFactorial'>
   < Factorial Settings >
      <type>pb</type>
   </FactorialSettings>
   <variable name='var1' >
       <distribution > * * * </distribution>
       <grid type='value' construction='custom' > 0.02 0.5
   </variable>
   <variable name='VarGauss2' >
       <distribution > * * * </distribution>
       <grid type='CDF' construction='custom'>0.5 1.0
   </variable>
```



6.2 Dynamic Event Tree (DET) Samplers.

The Dynamic Event Tree methodologies are designed to take the timing of events explicitly into account, which can become very important especially when uncertainties in complex phenomena are considered. Hence, the main idea of this methodology is to let a system code determine the pathway of an accident scenario within a probabilistic "environment". In this methodologies' family a continuous monitoring of the system evolution in the phase space is needed. In order to use the DET-based methods, the generic driven code needs to have, at least, an internal trigger system and, consequentially, a "restart" capability. In the RAVEN framework, three different DET samplers are available:

- Dynamic Event Tree (DET)
- Hybrid Dynamic Event Tree (HDET)
- Adaptive Dynamic Event Tree (ADET)

The ADET methodology represents an hybrid between the DET and Adaptive sampling approaches. For this reason, its input requirements are reported in the Adaptive Samplers' section (6.3).

6.2.1 Dynamic Event Tree.

Dynamic Event Tree sampling approach is a sampling strategy that is designed to take the timing of events, in transient/accident scenarios, explicitly into a account.

From an application point of view, a N-Dimensional grid is built on the CDF space. A single simulation is spooned and a set of triggers is added to the system code control logic. Every time a trigger gets activated (one of the CDF thresholds in the grid is overpassed), a new set of simulations (branches) is spooned. Each branch carries its conditional probability. In RAVEN code, the triggers are defined specifying a grid, using a predefined

discretization metric. In RAVEN two discretization metrics are available: 1) Cumulative Distribution Function, and 2) Value. Thus, the trigger thresholds can be inputted either in probability or in absolute values.

The specifications of this Sampler must be defined within the xml block < DynamicEventTree >. This xml-node needs to contain the attribute:

- name, required string attribute, user-defined name of this Sampler. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml);
- **print_end_xml**, *optional string/boolean attribute*, this attribute controls the dumping of a "summary" of the DET performed in an xml external output. *Default* = *False*.
- maxSimulationTime, *optional float attribute*, this attribute controls the maximum "mission" time of the simulation underneath. *Default = None*.

In the **DynamicEventTree** input block, the user needs to specify the variables need to be sampled. As already mentioned, these variables are inputted within consecutive xml blocks called < *variable* >:

- < variable >, xml node, required parameter. This xml-node needs to contain the attribute:
 - **name**, required string attribute, user-defined name of this variable.

In the variable node, the following xml-nodes need to be specified:

- < distribution >, string, required field. Name of the distribution that is associated to this variable. Its name needs to be contained in the **Distributions** block explained in sections 5;
- < grid >, float or space separated floats, required field. The content of this xml node depends on the definition of the associated attributes:
 - * **type**, required string attribute, user-defined discretization metric type: 1) CDF, the grid is going to be specified based on Cumulative Distribution Function probability thresholds, and 2) value, the grid is going to be provided inputting absolute variable values;
 - * **construction**, *required string attribute*, how the grid needs to be constructed, independently by the its type (i.e. *CDF* or *value*).

Based on the construction type, the content of the < grid > xml node and the requirements for other attributes change:

- * construction = "equal". The grid is going to be constructed equally-spaced (type = "value") or equally-probable (type == "CDF"). This construction type requires the definition of additional attributes:
 - · **steps**, *required integer attribute*, number of equally-spaced/probable discretization steps.
 - upperBound, required float attribute, the upper limit of the grid. NB.
 This attribute must be specified if the lowerBound has not been defined;
 - · **lowerBound**, *required float attribute*, the lower limit of the grid. NB. This attribute must be specified if the **upperBound** has not been defined;

This construction type requires that the content of the xml node < grid > represents the step size (either in probability or value). The attributes **lowerBound** and **upperBound** are mutually exclusive (only one of them can be specified):

If the *upperBound* is present, the grid lower bound is going to be at the upperBound - steps * stepSize

If the lowerBound is present, the grid upper bound is going to be at the lowerBound + steps * stepSize The lower and upper bounds are checked against the associated < distribution > bounds. If one or both of them fell/s outside the distribution's bounds, the code is going to raise an error.

* construction = "custom". The grid is going to directly be specified by the user. No additional attributes are needed.

This construction type requires that the xml node < grid > contains the actual mesh bins. For example, if the grid "type" is "CDF", in the body of < grid >, the user is going to specify CDF probability thresholds (nodalization in probability).

```
Example:

<Samplers>
...

<DynamicEventTree name='DETname'>
<variable name='var1'>
```

```
<distribution> * * * </distribution>
      <grid type='value' construction='equal' steps='100'
         lowerBound='1.0'>0.2</grid>
    </variable>
    <variable name='var2'>
      <distribution> * * * </distribution>
      <grid type='CDF' construction='equal' steps='5'</pre>
         lowerBound='0.0'>0.2</grid>
    </variable>
    <variable name='var3'>
      <distribution> * * * </distribution>
      <grid type='value' construction='equal' steps='100'
         upperBound='21.0'>0.2</grid>
    </variable>
    <variable name='var4'>
      <distribution> * * * </distribution>
      <grid type='CDF' construction='equal' steps='5'</pre>
         upperBound='1.0'>0.2</grid>
    </variable>
    <variable name='var5'>
      <distribution> * * * </distribution>
      <grid type='value' construction='custom'>0.2 0.5 10.0
    </variable>
    <variable name='var6'>
      <distribution> * * * </distribution>
      <grid type='CDF' construction='custom'>0.2 0.5 1.0
    </variable>
 </DynamicEventTree>
  . . .
</Samplers>
```

6.2.2 Hybrid Dynamic Event Tree.

Hybrid Dynamic Event Tree sampling approach is a sampling strategy that represents an evolution of the Dynamic Event Tree method for the simultaneous exploration of the epistemic and aleatory uncertain space. In similar approaches, the uncertainties are generally

treated employing a Monte-Carlo sampling approach (epistemic) and DET methodology (aleatory). The HDET methodology, developed within the RAVEN code, can reproduce the capabilities employed by this approach, but provides additional sampling strategies to the user. The epistemic or epistemic-like uncertainties can be sampled through the following strategies:

- Monte-Carlo;
- Grid sampling;
- Stratified (e.g., Latin Hyper Cube).

From a practical point of view, the user defines the parameters that need to be sampled by one or more different approaches. The HDET module samples those parameters creating a N-Dimensional Grid characterized by all the possible combinations of the input space coordinates coming from the different sampling strategies. Each coordinate in the input space represents a separated and parallel standard DET exploration of the uncertain domain. The HDET methodology allows the user to completely explore the uncertain domain employing one methodology. The addition of Grid sampling strategy among the approaches usable, allow the user to perform a discrete parametric study, under aleatory and epistemic uncertainties.

Regarding the input requirements, the HDET sampler is a "sub-type" of the < DynamicEventTree > sampler. For this reason, its specifications must be defined within the xml block < DynamicEventTree >. This xml-node needs to contain the attribute:

- name, required string attribute, user-defined name of this Sampler. N.B. As for the other objects, this is the name that can be used to refer to this specific entity from other input blocks (xml);
- **print_end_xml**, *optional string/boolean attribute*, this attribute controls the dumping of a "summary" of the DET performed in an xml external output. *Default* = *False*;
- maxSimulationTime, optional float attribute, this attribute controls the maximum "mission" time of the simulation underneath. Default = None.

In the **DynamicEventTree** input block, the user needs to specify the variables need to be sampled. As already mentioned, these variables are inputted within consecutive xml blocks called < *variable* >:

- < variable >, xml node, required parameter. This xml-node needs to contain the attribute:
 - name, required string attribute, user-defined name of this variable.

In the variable node, the following xml-nodes need to be specified:

- < distribution >, string, required field. Name of the distribution that is associated to this variable. Its name needs to be contained in the **Distributions** block explained in sections 5;
- < grid >, float or space separated floats, required field. The content of this xml node depends on the definition of the associated attributes:
 - * **type**, *required string attribute*, user-defined discretization metric type: 1) CDF, the grid is going to be specified based on Cumulative Distribution Function probability thresholds, and 2) value, the grid is going to be provided inputting absolute variable values;
 - * **construction**, required string attribute, how the grid needs to be constructed, independently by the its type (i.e. CDF or value).

Based on the construction type, the content of the < grid > xml node and the requirements for other attributes change:

- * construction = "equal". The grid is going to be constructed equally-spaced (type = "value") or equally-probable (type == "CDF"). This construction type requires the definition of additional attributes:
 - · **steps**, *required integer attribute*, number of equally-spaced/probable discretization steps.
 - upperBound, required float attribute, the upper limit of the grid. NB.
 This attribute must be specified if the lowerBound has not been defined:
 - lowerBound, required float attribute, the lower limit of the grid. NB.
 This attribute must be specified if the upperBound has not been defined;

This construction type requires that the content of the xml node < grid > represents the step size (either in probability or value). The attributes **lowerBound** and **upperBound** are mutually exclusive (only one of them can be specified):

If the upperBound is present, the grid lower bound is going to be at the upperBound - steps*stepSize

If the lowerBound is present, the grid upper bound is going to be at the lowerBound + steps * stepSize The lower and upper bounds are checked against the associated < distribution > bounds. If one or both of them fell/s outside the distribution's bounds, the code is going to raise an error.

* *construction* = "*custom*". The grid is going to directly be specified by the user. No additional attributes are needed.

This construction type requires that the xml node < grid > contains the actual mesh bins. For example, if the grid "type" is "CDF", in the body of < grid >, the user is going to specify CDF probability thresholds (nodalization in probability).

In order to activate the **Hybrid Dynamic Event Tree** sampler, the main xml block < DynamicEventTree > needs to contain, at least, an additional sub-node called < HybridSamplerSettings >. As already mentioned, the user can combine the Monte-Carlo, Stratified and Grid approaches in order to create a "pre-sampling" N-Dimensional grid, from whose nodes a standard DET method is employed. For this reason, the user can specify at maximum three < HybridSamplerSettings > sub-nodes (i.e. one for each of the available once-through samplers). This sub-node needs to contain the attribute:

• **type**, *required string attribute*, type of pre-sampling strategy needs to be used. Available are: 1) MonteCarlo, 2) Grid, and 3) Stratified.

Independently on the type of "pre-sampler" that has been specified, the < HybridSamplerSettings > must contain the variables need to be sampled. As already mentioned, these variables are inputted within consecutive xml blocks called < variable >:

- < variable >, xml node, required parameter. This xml-node needs to contain the attribute:
 - name, required string attribute, user-defined name of this variable.

In the variable node, the following xml-nodes need to be specified:

- < distribution >, string, required field. Name of the distribution that is associated to this variable. Its name needs to be contained in the Distributions block explained in sections 5;

If the pre-sampling strategy is either **Grid** or **Stratified**, within the < variable > blocks, the user needs to specify the sub-node < grid >. As for the standard DET, the content of this xml node depends on the definition of the associated attributes:

- **type**, required string attribute, user-defined discretization metric type: 1) CDF, the grid is going to be specified based on Cumulative Distribution Function probability thresholds, and 2) value, the grid is going to be provided inputting absolute variable values:
- **construction**, *required string attribute*, how the grid needs to be constructed, independently by the its type (i.e. CDF or value).

Based on the construction type, the content of the < grid > xml node and the requirements for other attributes change:

- *construction* = "*equal*". The grid is going to be constructed equally-spaced (type = "value") or equally-probable (type == "CDF"). This construction type requires the definition of additional attributes:
 - **steps**, *required integer attribute*, number of equally-spaced/probable discretization steps.
 - **upperBound**, *required float attribute*, the upper limit of the grid. NB. This attribute must be specified if the **lowerBound** has not been defined;
 - **lowerBound**, *required float attribute*, the lower limit of the grid. NB. This attribute must be specified if the **upperBound** has not been defined;

This construction type requires that the content of the xml node < grid > represents the step size (either in probability or value). The attributes **lowerBound** and **upperBound** are mutually exclusive (only one of them can be specified):

If the upperBound is present, the grid lower bound is going to be at the upperBound-steps*stepSize

If the lowerBound is present, the grid upper bound is going to be at the lowerBound+ steps*stepSize The lower and upper bounds are checked against the associated distribution > bounds. If one or both of them fell/s outside the distribution's bounds, the code is going to raise an error.

• *construction* = "*custom*". The grid is going to directly be specified by the user. No additional attributes are needed.

This construction type requires that the xml node < grid > contains the actual mesh bins. For example, if the grid "type" is "CDF", in the body of < grid >, the user is going to specify CDF probability thresholds (nodalization in probability).

```
Example:
<Samplers>
  <DynamicEventTree name='HybridDETname' print_end_xml="True">
      < HybridSamplerSettings type='MonteCarlo' limit='2'>
          <variable name='***' >
             <distribution> * * * </distribution>
         </variable>
         <variable name='***'>
             <distribution> * * * </distribution>
             <grid type='CDF' construction='equal' steps='1'</pre>
                 lowerBound='0.1'>0.1</grid>
          </variable>
      </HybridSamplerSettings>
      < HybridSamplerSettings type='Grid'>
         <!-- Point sampler way (directly sampling the
             variable) -->
         <variable name='***' >
             <distribution> * * * </distribution>
             <grid type='CDF' construction='equal' steps='1'</pre>
                 lowerBound='0.1'>0 . 1</grid>
         </variable>
         <variable name='***' >
             <distribution> * * * </distribution>
             <grid type='CDF' construction='equal' steps='1'</pre>
                 lowerBound='0.1'>0 . 1</grid>
          </variable>
      </HybridSamplerSettings>
      < HybridSamplerSettings type='Stratified'>
         <!-- Point sampler way (directly sampling the
             variable ) -->
         <variable name='***' >
```

```
<distribution> * * * </distribution>
             <grid type='CDF' construction='equal' steps='1'</pre>
                lowerBound='0.1'>0.1</grid>
         </variable>
         <variable name='***'>
             <distribution> * * * </distribution>
             <grid type='CDF' construction='equal' steps='1'</pre>
                lowerBound='0.1'>0 . 1</grid>
         </variable>
     </HybridSamplerSettings>
     <!-- DYNAMIC EVENT TREE INPUT (it goes outside an
         inner block like HybridSamplerSettings) -->
       < Distribution name='***'>
         <distribution > * * * </distribution>
         <grid type='CDF' construction='custom'>0.1 0.8
       </Distribution>
 </DynamicEventTree>
  . . .
</Samplers>
```

6.3 Adaptive Samplers.

The Dynamic Event Tree methodologies are designed to take the timing of events explicitly into account, which can become very important especially when uncertainties in complex phenomena are considered. Hence, the main idea of this methodology is to let a system code determine the pathway of an accident scenario within a probabilistic "environment". From an application point of view, a N-Dimensional grid is built on the CDF space. A single simulation is spooned and a set of triggers is added to the system code control logic. Every time a trigger gets activated (one of the CDF thresholds in the grid is overpassed), a new set of simulations (branches) is spooned. Each branch carries its conditional probability. As easily understandable, a continuous monitoring of the system evolution in the phase space is needed. In order to use the DET method, the driven generic code needs to

In the RAVEN framework, five different and well-known "once-through" samplers are available:

- Monte Carlo (MC)
- Stratified
- Grid Based
- Response Surface Design of Experiment
- Factorial Design of Experiment

From a practical point of view, these sampling strategies represent different ways to perturb the input space. In the following paragraphs, the input requirements and a small explaination of the different sampling methodologies are reported.

For the Adaptive method:

• Adaptive

- name
- initial seed (optional)
- Convergence
 - limit: 'Integer'
 - persistence: 'Integer'
 - weight='probability' or 'None':
 - subGridTol='None' or 'Float' :This is the tolerance used to construct the testing sub grid
 - forcelteration='True' or 'False': this flag control if at least a self.limit number of iteration should be done
- variable
- distribution

```
<Adaptive name='***' initial_seed='***'>
<Convergence limit='***' persistence='***' weight='***' subGridTol='***'
forcelteration='***'>***</Convergence>
<variable name='***'>
```

7 Functions

This module contains interfaces to import external functions

• External

- name=name of the function
- file=file name where the function is
- variable
 - type=numpy.float64
 - variable inside the function that has been defined

```
<Functions>
<External name='***' file='***'>
<variable type='***'>***</variable>
</External>
</Functions>
```

8 Models

The xml section "models" contains the information regarding the code employed in the analysis (e.g., RAVEN/RELAP-7, RELAP-5 or an external model). A model is something that given an input will return an output reproducing some physical model it could be as complex as a stand alone code, a reduced order model trained somehow or something externally build and imported by the user. The available models are:

Dummy: it is a dummy model that just return the effect of the sampler. The values reported as input in the output are the output of the sampler and the output is the counter of the performed sampling

ROM: ROM stands for Reduced Order Model. All the models here, first learn than predict the outcome

External Model: this model allows to interface with an external python module

Code: generic class that imports an external code into the framework

Projector: generic data manipulator

PostProcessor: an Action System. All the models here, take an input and perform an

action

8.1 Dummy

Description

Summary

Example

8.2 **ROM**

Description

Summary

- name: name of the ROM model
- subType: 'SciKitLearn'. Imports the libraries from scikitlearn
- Features: input the variables set in the Samplers block separated by a comma
- SKLtype: input a model from http://scikit-learn.org/stable/modules/classes.html (LinearRegression in the example)
- Target: Input a set of variables inside the output space which the ROM has to be developed for.
- Parameters: From the SKLtype class are defined all the parameters required (fit_intercept and normalize in the exemple)

Example

```
<Models>
  <ROM name='*** subType='***'>
    <Features>***, ***</Features>
    <SKLtype>linear_model|LinearRegression</SKLtype>
    <Target>***</Target>
    <fit_intercept>***</fit_intercept>
    <normalize>***</normalize>
  </ROM>
```

8.3 External Model

Description

Summary

Example As an example we use the external model shown in lorentzAttractor.py which, given the 3-dimensional initial coordinates (x0, y0, z0), calculate the trajectory of a Lorentz attractor in the time interval [0.0, 0.03] seconds. We want to perform sampling of the 3-dimensional initial conditions of the attractor using classical Monte-Carlo sampling. The user is required to specify:

• the initialize function: def initialize(self,runInfoDict,inputFiles)

- the function which create a new input: def createNewInput(self,myInput,samplerType,**Kwargs)
- the function which perform the actual calculation: def run(self,Input)

```
def initialize(self,runInfoDict,inputFiles):
 self.SampledVars = None
 self.sigma = 10.0
 self.rho = 28.0
 self.beta = 8.0/3.0
 return
def createNewInput(self, myInput, samplerType, **Kwargs):
 return Kwargs['SampledVars']
def run(self,Input):
<Models>
   < ExternalModel name='PythonModule' subType=''
       ModuleToLoad='externalModel/lorentzAttractor'>
      <variable type='float'>sigma
      <variable type='float'>rho</variable>
      <variable type='float'>beta
      <variable type='numpy.ndarray'>x</variable>
      <variable type='numpy.ndarray'>y</variable>
      <variable type='numpy.ndarray'>z/variable>
      <variable type='numpy.ndarray'>time</variable>
      <variable type='float'>x0</variable>
      <variable type='float'>y0</variable>
      <variable type='float'>z0</variable>
   </ExternalModel>
</Models>
```

8.4 Code

Description: This is the generic class that import an external code into the framework

Summary

Example

8.5 Projector

Description

Summary

Example

8.6 PostProcessor

Description

List variable, Input Data, Keyword sul tipo analisi statistica!!

Summary

Example

9 Steps

- SingleRun: This is the step that will perform just one evaluation
- MultiRun: This class implements one step of the simulation pattern where several runs are, needed without being adaptive.
 - * name = name of the step (sequence) defined in the RunInfo block, under the Sequence card
 - pauseAtEnd= if True the code will not go to next step until plots are closed manually by the user

```
- Sampler:
```

- * class=Samplers
- * type: the type of sampler used in the Samplers block
- * name of the sampler
- Model:
 - * class= (Models)
 - * type=(dummy, ROM, External Model, Code, Projector, PostProcessor)
 - * name of the model
- Input:
 - * class=(Data e Files)
 - * type:
 - · if class = files —-> none
 - · if class = Data —-> timepoint, timepointset, historie, histories
- Output:
 - * class: they are the output destinations
 - · Datas
 - · OutStreamManager
 - * type:
 - · if class=Datas —->(timepoint, timepointset, historie, histories)
 - · if class=OutstreamManager —> type:print, plot
 - * name of the output

```
<MultiRun name='***' pauseAtEnd='***'>
<Sampler class='Samplers' type='***'>***</Sampler>
<Input class='***' type='***'>***</Input>
<Model class='Models' type='***'>***</Model>
<Output class='Datas'*** type='***'</Output>
<Output class='OutStreamManager' type='***'>***</Output>
</MultiRun>
```

- Adaptive: this class implement one step of the simulation pattern where several runs are needed in an adaptive scheme
 - Sampler: class=samplers type=Adaptive;???;

- Model: class=Models type=(dummy, ROM, External Model, Code, Projector, PostProcessor)
- Function: it takes in a datas and generate the value of the goal functions, it gives the criteria for which i represent the limit surface (class=Functions)
- Input:
- TargetEvaluation: is the output datas that is used for the evaluation of the goal function, it represents the sampling points. It has to be declared among the outputs.
- SolutionExport: if declared it is used to export the location of the goal functions = 0, it exports the limit surface
- ROM: is boolean, it selects values of input (through sampling) to find the limit surface through the values that were given by the function.
- Output:

```
<Adaptive name='***' pauseAtEnd='***'>
<Input class = 'Datas' type = 'TimePointSet'>***</Input>
<Sampler class = 'Samplers' type = 'Adaptive'>***</Sampler>
<TargetEvaluation class = 'Datas' type = '***'>***</TargetEvaluation>
```

- IODataBase: This step type is used only to extract or push information from/into a DataBase. If in the Databases block the Database is created (no directory or filename) the then the Databse will be an output of this block, otherwise, if it is uploaded then in this block it will be a Input
 - Input: class=(Datas or Databases) type=(if Databases, HDF5, if Datas:timepoint, timepointset, historie, histories)
 - Output: class=(Datas or Databases) type=(if Databases, HDF5, if Datas:timepoint, timepointset, historie, histories)
- RomTrainer: This step type is used only to train a ROM.

```
<RomTrainer name='***'>
<Input class='Datas' type='TimePointSet'>***</Input>
```

- PostProcess:
- OutStreamStep:

10 Datas

- TimePoint: A couple of points inside the input and output spaces.
- TimePointSet: A set of couples of points inside the input and output spaces.
- History: A set of couple of points inside the input space and a time dependent array inside the output space. Because time is not a continuous variable inside the RAVEN environment, each array is associated with an array of time points. The input space points and the output space array are correlated by model. (see section *models*)
- Histories: A set of couple of points inside the input space and a set of array inside the output space. The input space points and the output space array are correlated by model. (see section *models*)

Input: sampling variables inside relap or any TH code

Output: variables inside the model output (OutPlaceHolder is a keyword for a something that doesn't have an output)

11 Databases

- name: database name
- directory:
 - if it is not specified a directory, such directory will be created. It will be named DataBaseStorage in the working directory with inside the .h5 file containing the database.

- if input directory name is given the code will look for DataBaseStorage folder and pick the file from filename from inside such directory
- filename: input file to be read from, if not there such file will be created

```
Example:
```

12 OutStream system

Author: Andrea Alfonsi

The PRA and UQ framework provides the capabilities to visualize and dump out the data that are generated, imported (from a system code) and post-processed during the analysis. These capabilities are contained in the "OutStream" system. Actually, two different OutStream types are available:

- Print, module that lets the user dump the data contained in the internal objects
- Plot, module, based on MatPlotLib [?], aimed to provide advanced plotting capabilities

Both the types listed above only accept as "input" a *Data* object type. This choice has been taken since the "*Datas*" system (see section ??) has the main advantages, among the others, of ensuring a standardized approach for exchanging the data/meta-data among the different framework entities. Every module can project its outcomes into a *Data* object. This provides, to the user, the capability to visualize/dump all the modules' results. As already mentioned [put reference to the xml input section], the RAVEN framework input is based on the Extensible Markup Language (XML) format. Thus, in order to activate the "*OutStream*" system, the input needs to contain a block identified by the "< OutStreamManager >" tag (as shown below).

-----<OutStreamManager>

```
<!-- "OutStream" objects that need to be created-->
</OutStreamManager>
```

In the "OutStreamManager" block an unlimited number of "Plot" and "Print" sub-blocks can be inputted. The input specifications and the main capabilities for both types are reported in the following sections.

12.1 Printing system

The Printing system has been created in order to let the user dump the data, contained in the internal data objects (see [reference to Data(s) section]), out at anytime during the calculation. Currently, the only available output is a Comma Separated Value (CSV) file. In the near future, an XML formatted file option will be available. This will facilitate the exchanging of results and provide the possibility to dump the solution of an analysis and "restart" another one constructing a *Data* from scratch. The XML code, that is reported below, shows different ways to request a *Print* OutStream. The user needs to provide a name for each sub-block (XML attribute). These names are then used in the *Steps*' blocks in order to activate the Printing options at anytime. As shown in the examples below, every *Print* block must contain, at least, the two required tags:

- \bullet < type >, the output file type (csv or xml). Note, only csv is currently available
- < source >, the Data name (one of the Data defined in the "Datas" block)

If only these two tags are provided (as in the "first-example" below), the output file will be filled with the whole content of the "d-name" *Data*.

If just few parts of the < source > are important for a particular analysis, the additional XML tag < variables > can be provided. In this block, the variables that need to be dumped must be inputted, in a comma separated format. The available options, for the < variables > sub-block, are listed below:

- Output, the output space will be dumped out (see "second-example")
- **Input**, the input space will be dumped out (see "third-example")
- Input—var-name-in/Output—var-name-out, only the particular variables "var-name-in" and "var-name-out" will be reported in the output file (see "forth-example")

Note that all the XML tags are case-sensitive but not their content.

12.2 Plotting system

The Plotting system provides all the capabilities to visualize the analysis outcomes, in real-time or at the post-processing stage. The system is based on the Python library Mat-PlotLib [?]. MatPlotLib is a 2D/3D plotting library which produces publication quality figures in a variety of hardcopy formats and interactive environments across platforms. This external tool has been wrapped in the RAVEN framework, and is usable by the user. Since it was unfeasible to support, in the source code, all the interfaces for all the available plot types, the RAVEN Plotting system directly provide a formatted input structure for 11 different plot types (2D/3D). The user may request a plot not present among the supported ones, since the RAVEN Plotting system has the capability to construct on the fly the interface for a Plot, based on XML instructions. This capability will be discussed in the sub-section 12.2.2.

12.2.1 Plot input structure

In order to create a plot, the user needs to add, within the < OutStreamManager > block, a < Plot > sub-block. As for the *Print* OutStream, the user needs to specify a name as attribute of the plot. This name will then be used to request the plot in the *Steps'* block. In addition, the Plot block may need the following attributes:

- **dim**, required integer attribute, define the dimensionality of the plot: 2 (2D) or 3 (3D)
- **interactive**, *optional bool attribute (default=False)*', specify if the Plot needs to be interactively created (real-time screen visualization)
- **overwrite**, *optional bool attribute (default=False)*', if the plot needs to be dumped into picture file/s, does the code need to overwrite them every time a new plot (with the same name) is requested?

As shown, in the XML input example below, the body of the Plot XML input contains two main sub-nodes:

- < actions >, where general control options for the figure layout are defined (see [])
- < plot_settings >, where the actual plot options are provided

These two main sub-block are discussed in the following paragraphs.

12.2.1.1 "Actions" input block

The input in the < actions > sub-node is common to all the Plot types, since, in it, the user specifies all the controls that need to be applied to the figure style. This block must be unique in the definition of the < Plot > main block. In the following list, all the predefined "actions" are reported:

- \bullet < how >, comma separated list of output types:
 - screen, show the figure on the screen in interactive mode
 - pdf, save the figure as a Portable Document Format file (PDF)
 - png, save the figure as a Portable Network Graphics file (PNG)
 - eps, save the figure as a Encapsulated Postscript file (EPS)
 - pgf, save the figure as a LaTeX PGF Figure file (PGF)
 - ps, save the figure as a Postscript file (PS)

- gif, save the figure as a Graphics Interchange Format (GIF)
- *svg*, save the figure as a Scalable Vector Graphics file (SVG)
- *jpeg*, save the figure as a jpeg file (JPEG)
- raw, save the figure as a Raw RGBA bitmap file (RAW)
- *bmp*, save the figure as a Windows bitmap file (BMP)
- *tiff*, save the figure as a Tagged Image Format file (TIFF)
- svgz, save the figure as a Scalable Vector Graphics file (SVGZ)
- < title >, as the name suggests , within this block the user can specify the title of the figure. In the body, few other keywords (required and not) are present:
 - < text >, string type, title of the figure
 - < kwargs >, within this block the user can specify optional parameters with the following format:

```
<kwargs>
  <param1>value1</param1>
  <param2>value2</param2>
</kwargs>
```

The kwargs block is able to convert whatever string into a python type (for example < param1 > '1stKeyword' : 45 < /param1 > will be converted into a dictionary, <math>< param2 > [56,67] < /param2 > into a list, etc.). For reference regarding the available kwargs, see "matplotlib.pyplot.title" method in [?].

- < label_format >, within this block the default scale formating can be modified. In the body, few keywords can be specified (all optional):
 - < style >, string, the style of the number notation, 'sci' or 'scientific' for scientific, 'plain' for plain notation. Default = scientific
 - < scilimits >, tuple, (m, n), pair of integers; if style is 'sci', scientific notation will be used for numbers outside the range 10'm':sup: to 10'n':sup:. Use (0,0) to include all numbers. NB. The value for this keyword, needs to be inputted between brackets [for example, (5,6)]. Default = (0,0)

- < useOffset >, bool or double, if True, the offset will be calculated as needed; if False, no offset will be used; if a numeric offset is specified, it will be used. Default = False
- < axis >, string, the axis where to apply the defined format, 'x','y' or 'both'.
 Default = 'both'. NB. If this action will be used in a 3-D plot, the user can input 'z' as well and 'both' will apply this format to all three axis.
- < figure_properties >, within this block the user specifies how to customize the figure style/quality. Thus, through this "action" the user has got full control on the quality of the figure, its dimensions, etc. This control is performed by the following keywords:
 - < figsize >, tuple (optional), (width, hight), in inches
 - $\langle dpi \rangle$, integer, dots per inch
 - < facecolor >, string, set the figure background color (please refer to "matplotlib.figure.Figure" in [?] for a list of all the colors available)
 - < edgecolor >, string, the figure edge background color (please refer to "matplotlib.figure.Figure" in [?] for a list of all the colors available)
 - < linewidth >, self explainable keyword
 - < frameon >, bool, if False, suppress drawing the figure frame
- < range >, the range "action" allows to specify the ranges of all the axis. All the keywords in the body of this block are optional:
 - < ymin >, double (optional), lower boundary for y axis
 - < ymax >, double (optional), upper boundary for y axis
 - < xmin >, double (optional), lower boundary for x axis
 - < xmax >, double (optional), upper boundary for x axis
 - < zmin >, double (optional), lower boundary for z axis. NB. Obviously, this keyword is effective in 3-D plots only
 - < zmax >, double (optional), upper boundary for z axis. NB. Obviously, this keyword is effective in 3-D plots only
- < camera >, the camera item is available in 3-D plots only. Through this "action", it is possible to orientate the plot as wished. The controls are:
 - < elevation >, double (optional), stores the elevation angle in the z plane

- < azimuth >, double (optional), stores the azimuth angle in the x,y plane
- \bullet < scale >, the scale block allows the specification of the axis scales:
 - < xscale >, string (optional), scale of the x axis. Three options are available: "linear", "log", "symlog". Default = linear
 - < yscale >, string (optional), scale of the y axis. Three options are available: "linear", "log", "symlog". Default = linear
 - < zscale >, string (optional), scale of the z axis. Three options are available: "linear", "log", "symlog". Default = linear. NB. Obviously, this keyword is effective in 3-D plots only
- \bullet < add_text >, same as title
- < autoscale >, the autoscale block is a convenience method for simple axis view autoscaling. It turns autoscaling on or off, and then, if autoscaling for either axis is on, it performs the autoscaling on the specified axis or axes. The following keywords are available:
 - < enable >, bool (optional), True turns autoscaling on, False turns it off. None leaves the autoscaling state unchanged. Default = True
 - < axis >, string (optional), string, the axis where to apply the defined format,
 'x','y' or 'both'. Default = 'both'. NB. If this action will be used in a 3-D plot,
 the user can input 'z' as well and 'both' will apply this format to all three axis.
 - < tight >, bool (optional), if True, set view limits to data limits; if False, let
 the locator and margins expand the view limits; if None, use tight scaling if the
 only artist is an image, otherwise treat tight as False.
- < horizontal_line >, this "action" provides the ability to draw a horizontal line in the current figure. This capability might be useful, for example, if the user wants to highlight a trigger, function of a variable. The following keywords are settable:
 - < y >, double (optional), y coordinate. Default = 0
 - < xmin >, double (optional), starting coordinate on the x axis. Default = 0
 - < xmax >, double (optional), ending coordinate on the x axis. Default = 1
 - < kwargs >, within this block the user can specify optional parameters with the following format:

```
<kwargs>
  <param1>value1</param1>
  <param2>value2</param2>
</kwargs>
```

The kwargs block is able to convert whatever string into a python type (for example < param1 > '1stKeyword' : 45 < /param1 > will be converted into a dictionary, <math>< param2 > [56,67] < /param2 > into a list, etc.). For reference regarding the available kwargs, see "matplotlib.pyplot.axhline" method in [?].

NB. This capability is not available for 3-D plots.

- < vertical_line >, similarly to the "horizontal_line" action, this block provides the ability to draw a vertical line in the current figure. This capability might be useful, for example, if the user wants to highlight a trigger, function of a variable. The following keywords are settable:
 - < x >, double (optional), x coordinate. Default = 0
 - < ymin >, double (optional), starting coordinate on the y axis. Default = 0
 - < ymax >, double (optional), ending coordinate on the y axis. Default = 1
 - -< kwargs>, within this block the user can specify optional parameters with the following format:

```
<kwargs>
    <param1>value1</param1>
    <param2>value2</param2>
</kwargs>
```

The kwargs block is able to convert whatever string into a python type (for example < param1 > '1stKeyword' : 45 < /param1 > will be converted into a dictionary, <math>< param2 > [56,67] < /param2 > into a list, etc.). For reference regarding the available kwargs, see "matplotlib.pyplot.axvline" method in [?].

NB. This capability is not available for 3-D plots.

- < horizontal_rectangle >, this "action" provides the possibility to draw, in the current figure, a horizontally orientated rectangle. This capability might be useful, for example, if the user wants to highlight a zone in the plot. The following keywords are settable:
 - < ymin >, double (required), starting coordinate on the y axis
 - < ymax >, double (required), ending coordinate on the y axis
 - < xmin >, double (optional), starting coordinate on the x axis. Default = 0
 - < xmax >, double (optional), ending coordinate on the x axis. Default = 1
 - < kwargs >, within this block the user can specify optional parameters with the following format:

```
<kwargs>
  <param1>value1</param1>
  <param2>value2</param2>
</kwargs>
```

The kwargs block is able to convert whatever string into a python type (for example < param1 > '1stKeyword' : 45 < /param1 > will be converted into a dictionary, <math>< param2 > [56,67] < /param2 > into a list, etc.). For reference regarding the available kwargs, see "matplotlib.pyplot.axhspan" method in [?].

NB. This capability is not available for 3-D plots.

- < vertical_rectangle >, this "action" provides the possibility to draw, in the current figure, a vertically orientated rectangle. This capability might be useful, for example, if the user wants to highlight a zone in the plot. The following keywords are settable:
 - < xmin >, double (required), starting coordinate on the x axis
 - < xmax >, double (required), ending coordinate on the x axis
 - < ymin >, double (optional), starting coordinate on the y axis. Default = 0
 - < ymax >, double (optional), ending coordinate on the y axis. Default = 1
 - < kwargs >, within this block the user can specify optional parameters with the following format:

```
<kwargs>
  <param1>value1</param1>
  <param2>value2</param2>
</kwargs>
```

The kwargs block is able to convert whatever string into a python type (for example < param1 > '1stKeyword' : 45 < /param1 > will be converted into a dictionary, <math>< param2 > [56,67] < /param2 >into a list, etc.). For reference regarding the available kwargs, see "matplotlib.pyplot.axvspan" method in [?].

NB. This capability is not available for 3-D plots.

- < axes_box >, this keyword controls the axes' box. No body and its value can be 'on' or 'off'.
- < axis_properties >, this block is used to set axis properties. There are not fixed keywords. If only a single property needs to be set, it can be specified as body of this block, otherwise a dictionary-like string needs to be provided. For reference regarding the available keys, refer to "matplotlib.pyplot.axis" method in [?].
- \bullet < grid >, this block is used to define a grid that needs to be added in the plot. The following keywords can be inputted:
 - < b >, double (required), starting coordinate on the x axis
 - < which >, double (required), ending coordinate on the x axis
 - < axis >, double (optional), starting coordinate on the y axis. Default = 0
 - -< kwargs>, within this block the user can specify optional parameters with the following format:

```
<kwargs>
  <param1>value1</param1>
  <param2>value2</param2>
</kwargs>
```

The kwargs block is able to convert whatever string into a python type (for example < param1 > '1stKeyword' : 45 < /param1 > will be converted

into a dictionary, < param2 > [56, 67] < /param2 > into a list, etc.). For reference regarding the available kwargs, see "matplotlib.pyplot.grid" method in [?].

12.2.1.2 "plot_settings" input block

The sub-block identified by the keyword $< plot_settings >$ is used to define the plot characteristics. Within this sub-section at least a < plot > block must be present. the < plot > sub-section may not be unique within the $< plot_settings >$ definition; the number of < plot > sub-blocks is equal to the number of plots that need to be placed in the same figure. For example, in the following XML cut, a "line" and a "scatter" type are combined in the same figure.

```
<OutStreamManager>
  <Plot name='example2PlotsCombined' dim='2'>
     <actions>
       <!-- Actions -->
     </actions>
     <plo><plot\_settings>
         <plo>plot>
          <type>line</type>
          \langle \mathbf{x} \rangle d-type|Output|\mathbf{x} 1 \langle \mathbf{/x} \rangle
          \langle \mathbf{v} \rangle d-type|Output|y1\langle \mathbf{v} \rangle
       </plot>
         <plo>plot>
          <type>scatter</type>
          \langle \mathbf{x} \rangle d-type|Output|\mathbf{x} 2 \langle \mathbf{/x} \rangle
          \langle y \rangled-type|Output|y2\langle y \rangle
       </plot>
       <xlabel>label X/xlabel>
       <ylabel>label Y
     </plot\_settings>
  </Plot>
</OutStreamManager>
```

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As already mentioned, within the $< plot_settings >$ block, at least a < plot > sub-block needs to be inputted. Independently from the plot type, some keywords are mandatory:

- \bullet < type >, string, the plot type (for example, line, scatter, wireframe, etc.)
- \bullet < x >, string, the parameter needs to be considered as x coordinate
- \bullet < y >, string, the parameter needs to be considered as y coordinate
- \bullet < z >, string (required in 3-D plots only), the parameter needs to be considered as z coordinate

In addition other plot-dependent keywords, reported in section 12.2.1.3, can be provided. Under the $< plot_settings >$ block other keywords, common to all the plots the user decided to combine in the figure, can be inputted, such as:

- \bullet < xlabel >, string, x axis label
- \bullet < ylabel >, string, y axis laber
- \bullet < zlabel >, string (available in 3-D plots only), z axis label

12.2.1.3 Predefined Plotting System: 2D/3D

```
<y>stories|Output|pipe1_Hw</y>
      <kwargs>
       <color>green</color>
       <label>pipe1-Hw</label>
      </kwargs>
     </plot>
     <plo>plot>
      <type>line</type>
      <x>stories|Output|time</x>
      <y>stories|Output|pipe1_aw
      <kwargs>
       <color>blue</color>
       <label>pipe1-aw
      </kwargs>
     </plot>
     <xlabel>time [s]</xlabel>
     <ylabel>evolution/ylabel>
   </plot_settings>
 </Plot>
</OutStreamManager>
```

12.2.2 Interpreted Plotting instruction

13 Existing Interfaces

13.1 RELAP5 Interface

13.1.1 Files

In the < Files > section, as specified before, there should be specified all the files needed for the code to run. In the case of RELAP5 most of the times the files needed are the

following:

- RELAP5 Input file
- Table file or files that RELAP needs to run
- relapdata.py
- relap5run.py

Example:

```
<Files>X10.i,tpfh2o,relapdata.py,RELAP5run.py
```

It is a good practice to put inside the working directory all of these files and also:

- the RAVEN input file
- the executable file for RELAP5
- the license for the executable for RELAP5

13.1.2 Sequence

In the < Sequence> section there should be specified the names of the steps declared in the < Steps> block. So if we called the first multirun: "Grid_Sampler" and the second multirun: "MC_Sampler" in the sequence section we should see this:

```
<Sequence>Grid_Sampler,MC_Sampler
```

13.1.3 batchSize and mode

For the < batch Size > and < mode > sections please take a look at the RunInfo block in the previous chapters.

13.1.4 RunInfo

After all of these blocks are filled out here is a standard example of what a RunInfo block can look like:

13.1.5 Models

For the < Models > block here is a standard example of what can be used to use RELAP5 as the external model:

13.1.6 Distributions

In the < Distribution > block are defined the distributions that are going to be used for the sampling of the variables defined in the < Samplers > block. For all the possibile distributions and all their possible inputs please see the chapter about Distributions. Here we give a general example of three different distributions, with the names:

```
<Distributions debug='True'>

<Triangular name='BPfailtime'>

<apex>5.0</apex>

<min>4.0</min>

<max>6.0</max>
```

```
</Triangular>
<LogNormal name='BPrepairtime'>
<mean>0.75</mean>
<sigma>0.25</sigma>
</LogNormal>
<Uniform name='ScalFactPower'>
<low>1.0</low>
<hi>1.2</hi>
</Uniform>
</Distributions>
```

It is good practice to name the distribution something similar to what kind of variable is going to be sampled, since there might be many variables with the same kind of distributions but different input parameters.

13.1.7 Samplers

In the < Samplers > block we want to define the variables that are going to be sampled. **Example**: We want to do the sampling of 3 variables:

- Battery Fail Time
- Battery Repair Time
- Scaling Factor Power Rate

We are going to sample these 3 variables using two different sampling methods: grid and MonteCarlo.

In RELAP5 the sampler reads the variable in this way: Given the name, the first number is the card number, the second number is the word number. In this example we are sampling:

- For card 0000588 (trip) the word 6 (battery failure time)
- For card 0000575 (trip) the word 6 (battery repari time)
- For card 20210000 (reactor power) the word 4 (reactor scaling factor)

We proceed to do so for both the Grid sampling and the MonteCarlo sampling.

```
<Samplers debug='True'>
    <Grid name='Grid_Sampler' initial_seed ='210491' >
       <variable name='0000588:6'>
           <distribution>BPfailtime</distribution>
           <grid type='value' construction='equal' lowerBound='0.0'</pre>
               steps='10'>2880</grid>
       </variable>
       <variable name='0000575:6'>
           <distribution>BPrepairtime</distribution>
           <grid type='value' construction='equal' lowerBound='0.0'
               steps='10'>2880</grid>
       </variable>
               <variable name='20210000:4'>
           <distribution>ScalFactPower</distribution>
           <grid type='value' construction='equal' lowerBound='1.0'</pre>
               steps='10'>0.02</grid>
       </Grid>
       < Monte Carlo name = 'MC_Sampler' limit = '1000'>
               <variable name='0000588:6'>
           <distribution>BPfailtime</distribution>
               </variable>
               <variable name='0000575:6'>
           <distribution>BPrepairtime</distribution>
               </variable>
               <variable name='20210000:4'>
           <distribution>ScalFactPower</distribution>
               </variable>
       </MonteCarlo>
</Samplers>
```

It can be seen that each variable is connected with a proper distribution defined in the < Distributions > block. Here is how the Input would be read for the first variable:

We are sampling a a variable situated in word 6 of the card 0000588 using a Grid sampling method. The distribution that this variable is following is a Triangular distribution (see section above). We are sampling this variable beginning from 0.0 in 10 *equal* steps of 2880.

13.1.8 Steps

For a RELAP interface the steps that are probably going to be used are the < MultiRun >. First we need to name the step: this name is one the ones used in the < Sequence > block. In this case: Grid_Sampler and MC_Sampler.

```
< MultiRun name='Grid_Sampler' debug='True' re-seeding='210491'>
```

With this step with need to import all the files needed for the simulation:

- RELAP input file
- RELAP5run.py
- relapdata.py
- elements tables tpfh2o

```
<Input class='Files' type=''>inputrelap.i</Input>
<Input class='Files' type=''>RELAP5run.py</Input>
<Input class='Files' type=''>relapdata.py</Input>
<Input class='Files' type=''>tpfh2o</Input>
```

We then need to define which model it is used:

```
< Model class='Models' type='Code'>MyRELAP</Model>
```

We then need to specify which Sampler is used, and this can be done as follows:

```
<Sampler class='Samplers' type='Grid'>Grid_Sampler
```

And lastly we need to specify what kind of Output the used wants. For example the user might want to make a DataBase (in RAVEn the DataBase crated is a HDF5 file. Here is a classical example:

```
<Output class='DataBases' type='HDF5'>MC_out</Output>
```

Following is the example of two MultiRun steps which use different sampling methods (grid and montecarlo), and creating two different DataBases for each one:

```
<Steps debug='True'>
   < MultiRun name='Grid_Sampler' debug='True' re-seeding='210491'>
       <Input class='Files' type='' >X10.i</Input>
       <Input class='Files' type='' >RELAP5run.py</Input>
       <Input class='Files' type='' > relapdata.py</Input>
       <Input class='Files' type='' >tpfh2o</Input>
       < Model class='Models' type='Code' > Myrelap</Model>
       <Sampler class='Samplers' type='Grid' > Grid_Sampler </Sampler>
       <Output class='DataBases' type='HDF5' >Grid_out</Output>
   </MultiRun>
       <MultiRun name='MC_Sampler' debug='True' re-seeding='210491'>
       <Input class='Files' type='' >X10.i</Input>
       <Input class='Files' type='' >RELAP5run.py</Input>
       <Input class='Files' type='' > relapdata.py</Input>
       <Input class='Files' type='' >tpfh2o</Input>
       < Model class='Models' type='Code' > Myrelap</Model>
       <Sampler class='Samplers' type='MonteCarlo' >MC_Sampler
       <Output class='DataBases' type='HDF5' >MC_out</Output>
   </MultiRun>
</Steps>
```

13.1.9 DataBases

As shown in the < Steps > block, the code is creating two DataBases called Grid_out and MC_out. So the user needs to input the following

As listed before, this will create two DataBases. The files are .h5 extension files.

13.2 RELAP7 Interface

13.2.1 Files

In the < Files > section, as specified before, there should be specified all the files needed for the code to run. In the case of RELAP7 most of the times the files needed are the following:

- RELAP7 Input file
- Control Logic file

Example:

```
<Files>nat_circ,control_logic.py</Files>
```

13.2.2 Models

For the < Models > block RELAP7 uses the RAVEN executable. Here is a standard example of what can be used to use RELAP7 as the model:

13.2.3 Distributions

The < Distributions > block, when using RELAP7 has to be specified also through the control logic. Given the names of the distributions, and their parameters, a python file should be used for the control logic. For example, it is required the sampling of a normal distribution for the primary pressure in RELAP7.

```
<Distributions>
<Normal name="Prim_Pres">
<mean>1000000</mean>
```

```
<sigma>100<sigma/>
</Normal>
</Distributions>

The python file associated to it should look like this:

def initial_function(monitored, controlled, auxiliary)
    print("monitored", monitored, "controlled",
    controlled, "auxiliary", auxiliary)

controlled.pressure_in_pressurizer =
```

distributions. Prim_Pres.getDistributionRandom()

13.2.4 Samplers

return

In the < Samplers > block there are going to be defined both which kind of sampling method used, the variables not sampled inside the control logic and of course which distributions will follow the chosen sampling method. For the example it was chosen a Monte-Carlo sampling with a 500 runs. The global initial pressure wasn't specified in the control logic so it is sampled in this block. It is also specified that such initial pressure has to follow the same distribution as the primary pressure.

13.2.5 Steps

