# **EOS — A HEP Program for Flavour Physics**

**User Manual** 

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version 0.1

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# **Software Documentation**

### 1. Installation

The aim of this section is to assist you in the installation of EOS from source. For the remainder of the section, we will assume that you build and install EOS on a Linux based operating system, such as Debian or Ubuntu. Installation on MacOS X is known to work, but not guaranteed to work out of the box.

### 1.1. Installing the Dependencies

The dependencies can be roughly categorized as either system software, or scientific software.

**System software** Installing EOS from source will require the following system software to be pre-installed:

g++ the GNU C++ compiler, in version 4.8.1 or higher,

autoconf the GNU tool for creating configure scripts, in version 2.69 or higher,

automake the GNU tool for creating makefiles, in version 1.14.1 or higher,

libtool the GNU tool for generic library support, in version 2.4.2 or higher,

pkg-config the freedesktop.org library helper, in version 0.28 or higher,

libboost-filesystem-dev the BOOST library for file system access,

**libboost-system-dev** the BOOST library for system-specific error conditions.

If you intend to use the Python [1] interface to the EOS library, you will need to additionally install

**python** the python interpreter in version 3.3 or higher,

libboost-python-dev the BOOST library for interfacing Python and C++,

python-h5py the Python interface to HDF5,

python-matplotlib the Python plotting library,

python-scipy the Python scientific library.

We recommend that you install the above packages only via your system's software management system.

<sup>&</sup>lt;sup>1</sup>Other flavours of Linux will work as well, however, note that we will exclusively use package names as they appear in the Debian/Ubuntu apt databases.

**Scientific Software** Building and using the EOS core libraries requires in addition the following scientific software to be pre-installed:

libgsl0-dev the GNU Scientific Library [2], in version 1.16 or higher,

libhdf5-serial-dev the Hierarchical Data Format v5 library [3], in version 1.8.11 or higher,

**libfftw3-dev** the C subroutine library for computing the discrete Fourier transform,

**minuit2** the physics analysis tool for function minimization, in version 5.28.00 or higher.

If you intend to use the Population Monte Carlo (PMC) sampling algorithm with EOS, you will need to install

**libpmc** a free implementation of said algorithm [4], in version 1.01 or higher,

Except for **libpmc** and **minuit2**, we recommend the installation of the above packages using your system's software management system.<sup>2</sup> For the remaining packages **libpmc** and **minuit2**, alternative means of installation are available, as discussed in the following.

### 1.1.1. Alternative: Installing with apt

Prebuilt binary package files for the Ubuntu long-term-support varieties "Trusty" and "Xenial" are available for the packages **libpmc** and **minuit2**. Create a new file eos.list within the directory /etc/apt/sources.list.d with the following content:

```
deb https://packagecloud.io/eos/eos/ubuntu/ DIST main deb-src https://packagecloud.io/eos/eos/ubuntu/ DIST main
```

where DIST has to replaced by either trusty or xenial, depending on your version of Ubuntu. Add our repository's GPG key by running

```
curl -L "https://packagecloud.io/eos/eos/gpgkey" 2> /dev/null | apt-key add - &>/dev/null
```

You can then install the binary packages through

```
apt-get update apt-get install minuit2 libpmc
```

### 1.1.2. Alternative: Installing from source

In order to install **minuit2** from source you will need to disable the automatic support for OpenMP. The installation can be done by executing the following commands:

```
mkdir /tmp/Minuit2
pushd /tmp/Minuit2
wget http://www.cern.ch/mathlibs/sw/5_28_00/Minuit2/Minuit2-5.28.00.tar.gz
tar zxf Minuit2-5.28.00.tar.gz
pushd Minuit2-5.28.00
./configure --prefix=/opt/pkgs/Minuit2-5.28.00 --disable-openmp
make all
sudo make install
popd
popd
rm -R /tmp/Minuit2
```

For the **libpmc** package EOS requires some modifications to libpmc's source code, in order to make it compatible with C++. We suggest the following commands to install it:

<sup>&</sup>lt;sup>2</sup>There is presently a bug in the Debian/Ubuntu packages for **minuit2**, which prevents linking.

```
mkdir /tmp/libpmc
pushd /tmp/libpmc
wget http://www2.iap.fr/users/kilbinge/CosmoPMC/pmclib_v1.01.tar.gz
tar zxf pmclib_v1.01.tar.gz
pushd pmclib_v1.01
./waf configure --m64 --prefix=/opt/pkgs/pmclib-1.01
./waf
sudo ./waf install
sudo find /opt/pkgs/pmclib-1.01/include -name "*.h" \
    -exec sed -i \
    -e 's/#include "errorlist\.h"/#include <pmctools\/errorlist.h>/' \
    -e 's/#include "io\.h"/#include <pmctools\/io.h>/' \
    -e 's/#include "mvdens\.h"/#include <pmctools\/mvdens.h>/'
    -e 's/#include "maths\.h"/#include <pmctools\/maths.h>/' \
    -e 's/#include "maths_base\.h"/#include <pmctools\/maths_base.h>/' \
   {} \;
sudo sed -i \
    -e 's/^double fmin(double/\/\/\/\/\)
    -e 's/^double fmax(double/\/\/\&/' \
   /opt/pkgs/pmclib-1.01/include/pmctools/maths.h
popd
rm -R /tmp/libpmc
```

**Note** The waf script supplied by **libpmc** is written in Python version 2. On systems that use python3 as the default Python interpreter, you will see an error message:

This problem can usually be fixed by replacing python with python2 in the very first line of the file waf.

### 1.2. Installing EOS

The most recent version of EOS is contained in the public GIT [5] repository at http://github.com/eos/eos. In order to download it for the first time, create a new local clone of said repository via:

```
git clone \
   -o eos \
   -b master \
   https://github.com/eos/eos.git \
   eos
```

As a first step, you need to create all the necessary build scripts via:

```
cd eos ./autogen.bash
```

For the installation you have to select an appropriate PREFIX:

1. If you are administrating the computer on which you install EOS yourself, you can choose any prefix. The default is PREFIX=/usr/local/.

#### 1. Installation

2. If you are not administrating your computer yourself, you can install EOS into a prefix below your home directory or any other directory under your control. We recommend to use PRE-FIX=\$HOME/.eos/.

Next, you configure the build scripts using:

In the above, three alternatives apply:

- 1. Building the EOS-Python interface is purely optional. In order to disable building this interface, replace --enable-python with --disable-python.
- 2. If you have installed ROOT on your system, you can use ROOT's internal copy of Minuit2. In such a case, issue --with-minuit2=root to the configure script.
- 3. Only if you have *manually* installed **minuit2**, you must specify its installation path using --with-minuit2=MINUIT2-INSTALLATION-PATH.
- 4. Building the EOS-PMC support is purely optional. In order to enable building this support, issue --enable-pmc. If you *manually* installed **libpmc**, you must specify its installation path using --with-pmc=PMC-INSTALLATION-PATH.

If the configure script finds any problems with your system, it will complain loudly.

After successful configuration, you can build EOS using:

```
make all
```

Moreover, we urgently recommend to also run the complete test suite by executing:

```
make check
```

within the source directory. Please contact the authors in the case that any test failures should occur. If the test cases have successfully passed, you can install EOS using:

```
make install # Use 'sudo make install' if you install e.g. to 'PREFIX=/usr/local'
```

In order to be able to use the EOS clients from the command line, you will need to set up some environment variables. For the Bash, which is the default Debian/Ubuntu shell this can be achieved by adding the lines

```
export PATH+=":/opt/pkgs/eos/bin"
export PYTHONPATH+=":/opt/pkgs/eos/lib/python3.3/site-packages"
```

to you .bashrc file. In the above, the last line is optional and should only be added if you built EOS with Python support. Note that python3.3 should be replaced by the apppropriate Python version against which EOS was built.

In order to build you own programs that use the EOS libraries, add

```
CXXFLAGS+=" -I/opt/pkgs/eos/include"
LDFLAGS+=" -L/opt/pkgs/eos/lib"
```

to your makefile.

### 2. Usage

EOS has been authored with several use cases in mind.

- The first such use case is the evaluation of observables and further theoretical quantities in the field of flavor physics. EOS aspires to produce theory estimates of publication quality, and has produced such estimates in the past.
- The second use case is the inference of parameters from experimental observations. For this task, EOS defaults to the Bayesian framework of parameter inference.
- The third use case is the production of toy events for a variety of flavor-physics-related processes.

In the remainder of this chapter, we document the usage of the existing EOS clients and scripts, in order to carry out tasks corresponding to the above use cases. We assume further that only the built-in observables, physics models and experimental constraints are used. The necessary steps to extend EOS with new observables, physics models or constraints will be discussed in chapter 4.

### 2.1. Browsing the List of Parameters, Observables and Constraints

EOS has knowledge of parameters, observables, options, kinematic variables, and constraints. Parameters, observables and constraints share a common naming scheme, in order to allow categorize these quantities more readily. The naming scheme is as follows: each name can be decomposed into a prefix (before the :: separator), an optional tag (after the optional separator), and the short name (between the separators); e.g.:

Here  $K^*$  indicated the association with the  $K^*$  meson, a\_1\_para is the short name for this object, and the tag is present and reads 1GeV.

Amongst these objects, parameters are used as inputs to EOS. They are real-valued numbers that can be set to arbitrary values at run time. The full list of parameters known to EOS can be browsed by running the eos-list-parameters client.

Observables are functions that take a set of parameters and return a single (real-valued) number. They include true observables in the physical sense (e.g. a branching ratio) but also pseudo observables such as hadronic form factors. A full list of observables known to EOS can be perused by running eos-list-observables.

Options are used to modify the behaviour of an observable. As such their names are specific to a single observable. There is presently no way to list all possible options.

Kinematic variables are used to provide numerical inputs to an observable that can be changed on a per-observable level. As such, the names of kinematic variables are specific to each observable. The

required kinematic variables for each observable can be looked up using the eos-list-observables client.

Constraints represent a piece of a (statistical) likelihood function, be it either an experimental measurement or a theory prediction. The list of builtin constraints is available through usage of the eoslist-constraints client.

### 2.2. Evaluating Observables

Observables can be evaluated using the eos-evaluate client. It accepts the following command line arguments:

--kinematics NAME VALUE

Within the scope of the next observable, declare a new kinematic variable with name NAME and numerical value VALUE.

--range NAME MIN MAX POINTS

Within the scope of the next observable, declare a new kinematic variable with name NAME. Subdivide the interval [MIN, MAX] in POINTS subintervals, and evaluate the observable at each subinterval boundary.

*Note*: More than one --range command can be issued per observable, but only one --range command per kinematic variable.

--observable NAME

Add a new observable with name NAME to the list of observables that shall be evaluated. All previously issued --kinematics and --range arguments apply, and will be used by the new obervable. The kinematics will be reset (i.e., all kinematic variables will be removed) in anticipation of the next --observable argument.

--vary NAME

Estimate the uncertainty based on variation of the parameter NAME, as if the parameter was distributed like a univariate Gaussian.

--budget NAME

Create a new uncertainty budget, which encompasses all the subsequently issued --vary commands (until the issue of a new --budget command). By default, the delta budget always exists, and encompasses all variations.

As an example, we turn to the evaluation of the  $q^2$ -integrated branching ratio  $\mathcal{B}(\bar{B}^0 \to \pi^+ \mu^- \bar{\nu}_\mu)$ , which can be addressed in EOS through the observable name B->pilnu::BR. For this example, let us use the integration range

$$0\,\mathrm{GeV}^2 \leq q^2 \leq 12\,\mathrm{GeV}^2\,.$$

Further, let us use the BCL2008 [6] parametrization of the  $\bar{B}\to\pi$  form factor, as well as the Wolfenstein parametrization of the CKM matrix. The latter is achieved by choosing the physics model 'SM'. By default, EOS uses the most recent results of the UTfit collaboration's fit of the CKM Wolfenstein parameter to data on tree-level decays. In this example, we will evaluate the observable, and estimate parametric uncertainties based on the naive expectation of Gaussian uncertainty propagation.

Here, we will classify two budgets of parametric uncertainties: one for uncertainties pertaining to the form factors (labelled 'FF'), and one for uncertainties pertaining to the CKM matrix elements (labelled 'CKM').

Our intentions translate to the following call to eos-evaluate:

The above call yields the following output:

```
# B->pilnu::BR: form-factors=BCL2008,l=mu

# s_min s_max central FF_min FF_max CKM_min CKM_max delta_min delta_max

0 12 0.000106816 3.00927e-05 1.46426e-05 9.14007e-06 9.70515e-06 3.14501e-05 1.75669e-05 \

(-29.4434% / +16.446%)
```

The output of calls to eos-evaluate is structured as follows:

- The first row names the observable at hand, as well as all active options.
- The second row contains column headers in the order:
  - kinematics variables,
  - the upper and lower uncertainty estimates for each individual uncertainty budget,
  - the total upper and lower uncertainty estimates.
- The third row contains the result as described by the above columns. In addition, at the end of the row the relative total uncertainties are given in parantheses.

The above structure repeats itself for every observable, as well as for each variation point of the kinematic variables as described by occurring --range arguments.

### 2.3. Producing Random Parameter Samples

For all the previously mentioned use cases (observable evaluation, Bayesian parameter inference, and production of pseudo events) one requires to draw random samples from some arbitrary Probability Density Function (PDF)  $P(\vec{\theta})$ . When using EOS, these random samples can be produced from Markov-Chain random walks, using the Metropolis-Hastings algorithm, by calls to the eos-sample-mcmc client. In a second step, refined samples or samples for a very complicated setup, can be obtained from an algorithm described in Ref. [7]. This algorithm uses an adaptive importance sampling called Population Monte Carlo (PMC), implemented within the client eos-sample-pmc, and requires a prior run of eos-sample-mcmc for initialization.

The follow command-line arguments are common to the eos-sample-mcmc and eos-sample-pmc clients, as well as further clients described in subsequent sections:

```
--scan NAME --prior flat MIN MAX
--scan NAME [ABSMIN ABSMAX] --prior gaussian MIN CENTRAL MAX
```

```
--nuisance [...]
```

These arguments add a parameter to the statistical analysis, with either a flat or a gaussian prior. If ABSMIN and ABSMAX are specified, the prior will be cropped to this absolute interval. The --scan and --nuisance arguments work identically, with one exception: --nuisance declares the associated parameter as a nuisance parameter, which is flagged in the HDF5 output. The sampling algorithm treats nuisance parameters in the same way as scan parameters.

```
--constraint NAME
```

The named constraint from the internal database will be used as part of the likelihood. The functional form of the the likelihood, details such as correlations, and the required options for the observables used will be automatically looked up. In order to browse the entries of the database, use the eos-list-constraints client.

```
--fix NAME VALUE
```

The value of parameter NAME will be set to the supplied VALUE, and thus potentially deviate from its default value.

The eos-sample-mcmc client further accepts the following arguments:

```
--seed [time|VALUE]
```

This argument sets the seed value for the Random Number Generator (RNG). Setting the seed to a fixed numerical VALUE ensures reproducibility of the results. This is important for publication-quality usage of the client. If time is specified, the RNG is seeded with an interger value based on the current time.

```
--prerun-min VALUE
```

For the prerun phase of the sampling algorithm, set the minimum number of steps to VALUE.

```
--prerun-max VALUE
```

For the prerun phase of the sampling algorithm, set the maximum number of steps to VALUE.

```
--prerun-update VALUE
```

For the prerun phase of the sampling algorithm, force an adaptation of the Markov chain's proposal function to its environment after every VALUE steps.

```
--store-prerun [0|1]
```

Either disable or enable storing of the prerun samples to the output file.

```
--output FILENAME
```

Use the file FILENAME to store the output, using the HDF5 file format. The resulting HDF5 file follows the EOS-MCMC format, and can be accessed using, e.g., the eosdata Python module.

The eos-sample-pmc client additionally accepts the following command-line arguments:

```
--seed [time|VALUE]
```

This argument sets the seed value for the RNG. Setting the seed to a fixed numerical VALUE ensures reproducibility of the results. This is important for publication-quality usage of the client. If time is specified, the RNG is seeded with an interger value based on the current time.

--hc-target-ncomponents N

When creating mixture components, create N components per existing MC group.

--hc-patch-length LENGTH

When clustering a group's MCs onto the mixture components, cut the chains into patches of LENGTH samples each.

```
--hc-skip-initial FRACTION
```

Skip the first FRACTION of all MCMC samples in the clustering step.

Note: FRACTION must be a decimal number between 0 and 1.

```
--pmc-initialize-from-file HDF5FILE
```

Use the samples from a MCMC HDF5 output file HDF5FILE as generated with eos-sample-mcmc, in order to initialize the mixture density of the initial PMC step.

```
--pmc-group-by-r-value R
```

When forming groups of MCs from the initialization file, only add a chain to an existing group if the chain's R-value is less than R; create a new group otherwise.

```
--pmc-samples-per-component N
```

Set the number  ${\tt N}$  of samples that will be drawn per component and per update step of the PMC run.

```
--pmc-final-samples N
```

Set the number  ${\tt N}$  of samples that will be drawn for the final step, i.e.: after the PMC updates have converged.

```
--pmc-relative-std-deviation-over-last-step STD STEPS
```

If both perplexity and ESS have a standard deviation less than STD over the last STEPS updates, declare convergence.

```
--pmc-ignore-ess [0|1]
```

Set whether convergence of the PMC updates shall be determined from the effective sample size (ESS) *in addition* to the perplexity.

Default: Use the ESS.

```
--output FILENAME
```

Use the file FILENAME to store the output, using the HDF5 file format. The resulting HDF5 file follows the EOS-PMC structure, and can be accessed using, e.g., the eosdata Python module.

As an example, we define the a-priori PDF for a study of the decay  $\bar{B}\to\pi^+\mu^-\bar{\nu}_\mu$ . For the CKM Wolfenstein parameters, we use

```
\lambda = 0.22535 \pm 0.00065, A = 0.807 \pm 0.020,
\bar{\rho} = 0.128 \pm 0.055, \bar{\eta} = 0.375 \pm 0.060.
```

For the a-priori PDF, we use uniform distributions for the BCL2008 [6] parameters for the  $B\to\pi$  form factor  $f_+^{B\pi}$ . However, we construct a likelihood from the results of a recent study (IKMvD2016 [8]) of the form factor  $f_+^{B\pi}(q^2)$  within Light-Cone Sum Rules (LCSRs). We now intend to draw random

numbers from the posterior PDF using EOS' adaptive Metropolis-Hasting algorithm. During its prerun phase, the algorithm adapts the chains' proposal functions. As a consequence, the prerun samples will in general *not* be distributed as the posterior PDF. With a subequent PMC sampling run in mind, we should demand at least 500 steps, and – for a problem of this complexity – maximally 7500 steps during the prerun phase; the adaption process should be executed after every 500 steps.

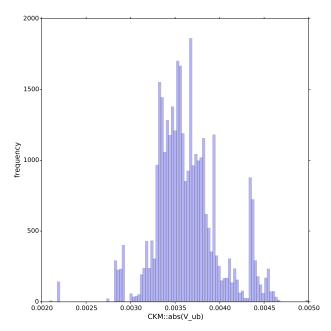
Our intentions translate to the following call to eos-sample-mcmc:

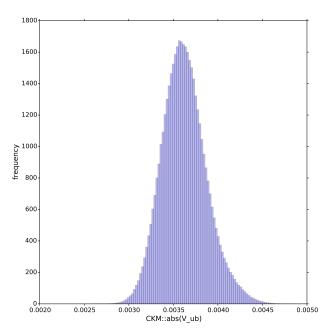
```
eos-sample-mcmc \
     --global-option model CKMScan \
     --global-option form-factors BCL2008 \
                                             2e-3 5e-3 --prior flat \
" 0 1 --prior flat \
     --scan "CKM::abs(V_ub)"
    --scan "B->pi::t_+(0)@BCL2008" 0 1 --prior flat \
--scan "B->pi::b_+^1@BCL2008" -20 +20 --prior flat \
--scan "B->pi::b_+^2@BCL2008" -20 +20 --prior flat \
--constraint "P->pi::b_+^2@BCL2008" -20 +20 --prior flat \
    --constraint "B->pi::f_+@IKMvD-2014" \
     --constraint "B^0->pi^+lnu::BR@BaBar-2010B" \
     --constraint "B^0->pi^+lnu::BR@Belle-2010A" \
     --constraint "B^0->pi^+lnu::BR@BaBar-2012D" \
     --constraint "B^0->pi^+lnu::BR@Belle-2013A" \
    --prerun-min 500 \
--prerun-max 7500 \
     --prerun-update 500 \
     --prerun-only
     --output /tmp/mcmc_prerun_btopi+ff.hdf5
     --chunks 10 \
--chunk-size 1000 \
```

Optionally, if the prerun converges, the client can be used to perform a main run, in which the proposal functions will be kept static. For such these main run samples, we wish for a total of  $10^4$ , which we artifically decompose into 10 chunks with 1000 samples each. While the sampling at hand will be quite quick, sampling of computationally expensive functions should be done with small chunks so that the progress of the computations can be monitored. The require options for these intentation are shown above with a leading hash mark. Also, for a main run, the --prerun-only flag would need to be removed.

We use the above call to eos-sample-mcmc in order to initialize a PMC run. We wish for 4 mixture components per MC group, and to skip 20% of the MCMC samples as part of the burn in. MC groups will be created based on an R-value [9] threshold of 1.5. For each update, 500 samples per mixture component shall be drawn, in order to produce  $10^6$  samples in the final step. Convergence shall be declared upon a standard deviation for the perplexity only, of 0.05 over the last 4 update steps. The call then reads:

```
eos-sample-pmc \
   --global-option model CKMScan \
   --global-option form-factors BCL2008 \
   --constraint "B->pi::f_+@IKMvD-2014" \
   --constraint "B^0->pi^+lnu::BR@BaBar-2010B" \
   --constraint "B^0->pi^+lnu::BR@Belle-2010A" \
   --constraint "B^0->pi^+lnu::BR@BaBar-2012D" \
   --constraint "B^O->pi^+lnu::BR@Belle-2013A" \
   --pmc-initialize-from-file /tmp/mcmc_prerun_btopi+ff.hdf5 \
   --hc-target-ncomponents 4 \setminus
   --hc-skip-initial 0.2 \
   --pmc-samples-per-component 500 \
   --pmc-group-by-r-value 1.5 \
   --pmc-final-samples 1000000 \
   --pmc-ignore-ess 1 \
   --pmc-relative-std-deviation-over-last-steps 0.05 4 \
```





- (a) Histogram of the marginal posterior of  $|V_{ub}|$ , using  $4 \times 7500$  samples. Despite the poor quality of these samples, they can be used to initialize the PMC run as described in the text.
- (b) Histogram of the marginal posterior of  $|V_{ub}|$ , using  $10^6$  samples.

Figure 2.1.: Histograms of the parameter of interest  $|V_{ub}|$  in the two example fits as described in section 2.3, and plotted using the eos-plot-1d client; see section 2.6 for details.

```
--output /tmp/pmc_monolithic_btopi+ff.hdf5
```

Both clients output copious amounts diagnostic data to the standard output, which include

- all information about the prior and the likelihood as specified on the command line (both clients);
- information about the convergence of the Markov chains within the parameter space, based on the *R*-value criterion [9] (MCMC only);
- information about the convergence of the PMC run based on the perplexity and effective sampling size (PMC only).

We display the outcome of both the MCMC (prerun) sampling as well as the PMC sampling steps in figure 2.1.

### 2.4. Finding the Mode of a Probability Density

The mode, best-fit point, or simply the most-likely value of some PDF  $P(\vec{\theta})$  is regularly searched for in physics analyses. EOS supplies the client <code>eos-find-mode</code>, which accepts the common set of arguments describing the PDF as already discussed for the <code>eos-sample-mcmc</code> and <code>eos-sample-pmc</code> clients, see section 2.3 for further information. In addition, it accepts the following command-line arguments:

```
--starting-point { VALUE1 VALUE2 ... VALUEN }
```

Set the starting point for the maximization of the PDF  $P(\vec{\theta})$  at  $\theta = (VALUE1, ..., VALUEN)$ .

```
--max-iterations INTEGER
```

The optimization algorithm is allowed to run at maximum NUMBER iterations.

```
--target-precision NUMBER
```

Attempt to determine the mode up to an uncertainty of NUMBER.

In order to illustrate the client's usage, we use the same example as discussed in section 2.3. The corresponding call then reads:

The output starts with same diagnostic information on the composition of prior and likelihood as for the sampling clients. The results are displayed in the last few lines, including

- the starting point for the mode-finding process;
- · the coordinates of the best-fit point; and
- the log-posterior at the best-fit point.

```
# Starting optimization at ( 0.0035 0.31 0 0 )

# Found maximum at:

# ( 3.568778e-03 2.661032e-01 -2.670912e+00 2.231637e-02 )

# value = -3.101594e+02
```

### 2.5. Bayesian Uncertainty Propagation

EOS presently supports two ways to prograpate theory uncertainties in the framework of Bayesian statistic: First, by using prior PDF that describes the nuisance parameters; second, by using samples from a posterior PDF that have been obtained from running eos-sample-pmc. 1 It accepts the following command-line arguments:

```
--vary NAME --prior flat MIN MAX
--vary NAME [ABSMIN ABSMAX] --prior gaussian MIN CENTRAL MAX
```

These arguments add a parameter to the statistical analysis, with either a flat or a gaussian prior. If ABSMIN and ABSMAX are specified, the prior will be cropped to this absolute interval.

```
--samples NUMBER
```

Sets the number of samples that shall be produced per observable and worker thread.

<sup>&</sup>lt;sup>1</sup>Note that using samples from eos-sample-mcmc is presently not supported.

```
--workers NUMBER
```

Sets the number of worker threads.

```
--pmc-input HDF5FILE BEGIN END
```

Use the samples at index BEGIN up to index END from a named data set in the file HDF5FILE.

Note: The file must have been produced by the eos-sample-pmc client.

```
--pmc-sample-directory NAME
```

Use the named data set within the file specified with <code>--pmc-input</code>. Valid names are <code>/data/X</code>, where X stands for either <code>initial</code>, <code>final</code>, or all numbers describing existing update steps that were carried out in the PMC run.

Note: You should use /data/final unless you are debuging the PMC algorithm.

As an example of the first way, we would like to predict the branching ratio for the decay  $B^- \to \tau^- \bar{\nu}_\tau$ . This prediction involves two parameters: First, the absolute value of  $V_{ub}$ ; and second, the value of the B-meson decay constant  $f_B$ . For the former, we choose the HFAG average of the inclusive determination  $|V_{ub}| = (4.45 \pm 0.26) \cdot 10^{-3}$  [10], while for the latter we use the FLAG average  $f_B = 0.188 \pm 0.007$  GeV [11]. Our intention translates to the following call to eos-propagate-uncertainty:

As an exmple of the second way, we would like to use samples from the posterior PDF as obtained in section 2.3 in order to predict the branching ratio of the differential decay  $\bar{B}^0 \to \pi e^- \bar{\nu}_e$ , at various points in the kinematic range as the decay to muons described previously. Our intention translates to the following call to <code>eos-propagate-uncertainty</code>:

```
eos-propagate-uncertainty \
    --kinematics s 0.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 0.25 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 0.50 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 0.75 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 1.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 1.50 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan" --kinematics s 2.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 2.50 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 3.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan" --kinematics s 3.50 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 4.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 6.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
                     7.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 8.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 9.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 10.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 11.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan"
    --kinematics s 12.00 --observable "B->pilnu::dBR/ds;l=e,form-factors=BCL2008,model=CKMScan" \
    --pmc-input /tmp/pmc_monolithic_btopi+ff.hdf5 0 10000 \
    --pmc-sample-directory "/data/final/
    --output /tmp/unc_btopilnu.hdf5
```

For both ways, the samples of the predictive distributions within the HDF5 files can be accessed using the eosdata Python module.

### 2.6. Plotting Random Samples

Once random samples have been obtained from either a posterior PDF (e.g. as described in section 2.3) or a predictive PDF (e.g. as described in section 2.5), a visual inspection of the samples is the next step. EOS provides scripts for this purpose, which plot histograms of either a marginalized 1D (eos-plot-1d) or heatmaps of 2D (eos-plot-2d) PDFs. Both scripts presently detect the output format by inspection of the resepective HDF5 file name. Files containing MCMC samples should be prefixed with  $mcmc_{-}$ , while PMC sample files should be prefixed with  $pmc_{-}monolithic_{-}$ . Files containing samples from the uncertainty propagation should be prefixed with  $unc_{-}$ .

The eos-plot-1d produces a 1D histogram of the samples for one parameter. It accepts the following arguments:

HDF5FILE

The name of the HDF5 input file whose samples shall be plotted.

IDX

The numerical index for the parameter whose density function shall be plotted. IDX starts with zero

**PDFFILE** 

The name of the PDF output file, into which the plot shall be saved.

```
--xmin XMIN, --xmax XMAX
```

When specified, limit the plot range to the interval XMIN to XMAX. The default values are taken from the description of the parameter within the HDF5 input file.

```
--kde [0|1]
```

When enabled, plots a univariate Kernel Density Estimate of the probability density based on the available samples.

```
--kde-bandwidth KDEBANDWIDTH
```

When specified, multiplies the automatically determined KDE bandwidth parameter with KDE-BANDWIDTH.

The eos-plot-2d produces a 2D heatmap of the samples for two parameters. It accepts the following arguments

HDF5FILE

The name of the HDF5 input file whose samples shall be plotted.

XIDX

The numerical index for the parameter that shall be plotted on the x acis. XIDX starts with zero

YIDX

The numerical index for the parameter that shall be plotted on the y acis. YIDX starts with zero

**PDFFILE** 

The name of the PDF output file, into which the plot shall be saved.

```
--xmin XMIN, --xmax XMAX
```

When specified, limit the plot range on the x axis to the interval XMIN to XMAX. The default values are taken from the description of the parameter within the HDF5 input file.

```
--ymin YMIN,--ymax YMAX
```

When specified, limit the plot range on the y axis to the interval YMIN to YMAX. The default values are taken from the description of the parameter within the HDF5 input file.

### 3. Library Interface

We begin this chapter by explaining the rationale behind several of the design decisions of the EOS libraries in section 3.1. Subsequently, we document the core set of C++ classes in section 3.2.

### 3.1. Design

In order to fulfill its intended use cases, the EOS libraries are designed with concepts in mind.

First, most of the scalar quantities that used within the EOS libraries are treated as parameters. These start with directy experimental input, such as particle masses and lifetimes. They continue along the lines of more theoretically motivated quantities, such as quark masses (in the  $\overline{\rm MS}$  scheme) and the Wolfenstein parameters of the CKM matrix. It is therefore straightforward to change a parameter's role within the scope of a theoretical analysis, from being a nuisance parameter in the course of producing some estimates to being a genuine parameter of interest in the course of a fit. In order to differentiate between the various parameters, a naming scheme is put in place. Within this scheme, a parameter's name is rendered:

where the meta variables take the following meaning:

**NAMESPACE** A short description of the context in which the parameter should be interpreted. Possible namespaces include, but are not limited to: mass, decay-constants, CKM, and others.

**ID** A handle for a parameter in a given namespace.

**TAG** Usually a reference, which allows to distinguish between parameters with the same ID.

Second, if a quantity exhibits a functional dependence on either a parameter or a kinematic variable, it is treated in a modular fashion. Per default, an abstract interface is created that allows for several implementation of the same quantity. The actual implementations of this interface are then accesible via a factory method. An excellent example for such a *plugin* design is found within the scope of hadronic matrix elements, in particular hadronic form factors. Each implementation of a plugin usually depends on its own set of parameters. For clarity, we use one of the hadronic form factor as an example. Consider the  $B \to \pi$  vector form factor  $f_+^{B\pi}$ . One possible parametrization of this form factor has been proposed in [6] (BCL2008). It is achieved in terms of three parameters: the normalization  $f_+^{B\pi}(0)$ , and two shape parameters  $b_1^{B\pi,+}$  and  $b_2^{B\pi,+}$ . This parametrization is implemented within EOS, andobservables that depend on the  $B \to \pi$  form factors can choose it through the option form-factors=BCL2008. The relevant parameters are contained in the namespace B->pi, and tagged for the BCL2008 plugin:

$$B \rightarrow pi::f_+(0)BCL2008$$
,  $B \rightarrow pi::b_+^1BCL2008$ , and  $B \rightarrow pi::b_+^2BCL2008$ . (3.2)

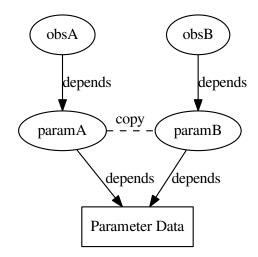


Figure 3.1.: Diagrammatic illustration that multiple observables can depend on the very same instance of Parameters.

As such, there is no risk of namespace collisions among the various plugins' parameters.

Third, ...

Likelihood and Prior

- · construct likelihood and prior at run time
- · abstract tree, with leaves:
  - (Multivariate) Gaussian distribution
  - LogGamma distribution (for asymmetric uncertainty intervals)
  - Amoroso (for limits)
  - Flat (prior only)

### 3.2. Core Classes

At the core of the EOS API there are four classes — Parameters, Kinematics, Options, Observable — all of which are discussed in the following.

#### 3.2.1. Class Parameters

key = value dictionary, with string keys and floating-point real values

- · copies share, by default, the parameters of the original
- · observables usually share a common set of parameters

```
Parameters paramA = Parameters::Defaults();
Parameters paramB(paramA);

ObservablePtr obsA = Observable::make("A", paramA, Kinematics{ }, Options{ });
ObservablePtr obsB = Observable::make("A", paramB, Kinematics{ }, Options{ });
```

- access to individual parameters via array subscript []
  - input: parameter name
  - result: instance of Parameter, w/ persistent access to parameter data lookup once, use often!
- parameter naming scheme: NAMESPACE::ID@SOURCE, e.g.:
  - mass::b(MSbar)  $\rightarrow$  mass  $\overline{m}_b(\overline{m}_b)$  in  $\overline{\text{MS}}$  scheme
  - B->K:: $f_+$ (0)@KMPW2010 → normalization of  $f_+$  FF in  $B \to K$  decays, according to KMPW2010

#### 3.2.2. Class Kinematics

The class Kinematics is a dictionary from std::string-valued keys to double-valued entries. Upon construction of an observable, a suitable instance of kinematics is bound to that observable.

key = value dictionary, with string keys and floating-point real values

- · allows run-time construction of observables
- · each obervable has its very own set of kinematic variables
- access to individual variables via array subscript []
  - input: variable name
  - result: double
- no naming scheme, since namespace is unique per observable instance

#### 3.2.3. Class Options

key = value dictionary, with string keys and string values influences how observables are evaluated

- access to individual otions via array subscript []
  - input: option name
  - result: string value
- example: lepton flavour in semileptonic decay:
   l=mu, l=tau, ...
- example: choice of form factors: form-factors=KMPW2010 ...
- example: model=... as choice of underlying physics model

**SM** to produce SM prediction

WilsonScan to fit Wilson coefficients

CKMScan to fit CKM matrix elements

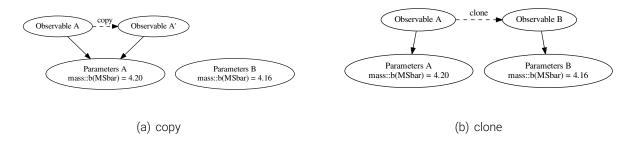


Figure 3.2.: Diagrammatic illustration of the differences between copying an instance of Observable via the copy-constructor, and cloning the observable via the clone method

### 3.2.4. Class Observable

Observable is an abstract base class

- Its descendants must at construction time:
  - associate with an instance of Parameters
  - extract values from their instance of Options
- Their construction occurs via factory method, e.g.: Observable::make("B->pilnu::BR", p, k, o)

The latter creates an observable at runtime using the observable's name (here: B->pilnu::BR), a set of parameters p, a set of kinematic variables k, and a set of options o.

- Any changes to the observable's instance p of Parameters after construction of the observable transparently affect the observable, and all further observable associated with p.
- Any changes to o of Options do not affect the observable after construction time.
- Observables can be
  - evaluated via evaluate:
     This methods runs the necessary computations for the present values of the parameters
  - copied:

The copy-constructor does not create an independent copy; the new object rather uses the same parameters, with the same options as the original.

- cloned:

The method  ${\tt clone}$  creates an independent copy of the same observable, using a different set of parameters than the original

 All users of Observable must also support cloning. This allows to easily parallelize algorithms within EOS.

# 4. Extending EOS

- 4.1. How to add a new observable
- 4.2. How to add a new measurement

# **Physics**

### 5. Effective Field Theories

The electro-weak decays of hadrons (mesons and baryons) with masses much smaller than the electro-weak scale of order of the W-boson mass,  $m_W$ , can be efficiently described using effective field theories (EFT) in the spirit of the well-known Fermi theory of the  $\beta$ -decay. This comprises practically all hadrons containing light quarks  $q=(u,\,d,\,s,\,c,\,b)$ . In this context, specific flavour-changing higher-dimensional (dim>4) operators arise in the standard model (SM) and it's extensions, accompanied by effective couplings (Wilson coefficients) giving rise to the generic structure of the effective Lagrangian

$$\mathcal{L}_{\text{EFT}} = \mathcal{L}_{\text{QCD} \times \text{QED}}(\text{light particles}) + \sum_{i} \mathcal{C}_{i}(\mu)\mathcal{O}_{i} + \text{h.c.} + \dots$$
 (5.1)

The first term describes the  $SU(3)_c \times U(1)_{\rm em}$  gauge interactions of all light quarks, q, and leptons,  $\ell=(e,\,\mu,\,\tau)$ , with QCD and QED gauge bosons. The second part are the aforementioned operators  $\mathcal{O}_i$  and effective couplings  $\mathcal{C}_i$ , where the latter depend on a factorization scale  $\mu_{\rm low}$  that is usually of the order of the mass of the decaying hadron. The operators are composed out of light degrees of freedom, i.e. fermions q and  $\ell$ , as well as  $SU(3)_c$  and  $U(1)_{\rm em}$  gauge bosons. Beyond the SM, it is conceivable that in principle additional light degrees of freedom exist, which however must have escaped direct detection so far. The Wilson coefficients are suppressed by inverse powers of the electroweak scale or some new physics scale, depending on the dimension of their operators. Finally, the dots denote higher-dimensional operators that have been neglected. In practical applications, assuming the SM, they are suppressed at least by  $m_b^2/m_W^2 \sim 0.004$ , where  $m_b$  denotes the bottom-quark mass.

The EFT framework is sufficient to describe interactions at and below the scale  $\mu_{low}$ , including hadronic binding effects due to strong interactions as well as electro-magnetic virtual and real corrections to observables. The implementation of according observables in EOS is thus based on universal EFT Lagrangians. All respective short-distance interactions above  $\mu_{low}$  are fixed by the structure of the operators and the values of the Wilson coefficients. In the spirit of Fermi, the according Wilson coefficients can be viewed as unknowns to be determined from experiment. This so-called *model-independent* approach implies the independence of all Wilson coefficients and correlation among observables arise from the assumption of which operators are included, i.e. have non-vanishing Wilson coefficients at the scale  $\mu_{low}$  (or some other).

The latter point is important in view of operator mixing (under QCD and QED), which is governed by the anomalous dimension matrices (ADM) of the involved operators. In the case of operator mixing, vanishing Wilson coefficients at some scale  $\mu_0$  can become non-zero at some other scale  $\mu_1$ , depending on their mixing and the initial conditions of Wilson coefficients involved in the mixing. This is summarized by the general solution of the renormalisation group equation (RGE)

$$C_i(\mu_1) = \sum_j [U(\mu_1, \mu_0)]_{ij} C_j(\mu_0).$$
(5.2)

The evolution matrix U depends on the ADM's of the operators, the strong and electro-magnetic couplings,  $\alpha_s$  and  $\alpha_e$  respectively, and their respective RG-functions (beta-functions). Throughout Wilson coefficients and couplings are  $\overline{\rm MS}$ -renormalized quantities.

In the following we collect the conventions of the effective theories implemented in EOS for hadron decays based on quark transitions

$$b \to (d, s),$$
 (5.3)

For an introduction to the topic, the reader is referred to the exhaustive review articles [12, 13]. The following abbreviations will be often used below for products of elements of the CKM quark mixing matrix appearing in b-quark decays

$$\lambda_U^{(D)} = V_{Ub}V_{UD}^*, \qquad D = (d, s), \qquad U = (u, c, t).$$
 (5.4)

### **5.1.** $|\Delta B| = |\Delta S| = 1$

In this section we summarize the convention of the EFT for  $|\Delta B|=|\Delta S|=1$  decays covering transitions

$$\begin{array}{ll} b \to s + (\bar{u}u,\,\bar{c}c) & \text{current-current}\,, \\ b \to s + \bar{q}q & \text{QCD \& QED penguin}\,, \\ b \to s + (\gamma,\,\text{gluon}) & \text{electro- \& chromo-magnetic dipole}\,, \\ b \to s + (\bar{\ell}\ell,\,\bar{\nu}\nu) & \text{semi-leptonic}\,, \end{array}$$

where the classification corresponds to the origin of the operators from decoupling W,Z-bosons and the top-quark in the SM. The basis contains several blocks that are however related via operator mixing and renders the RGE of the Wilson coefficients non-trivial. The results for  $|\Delta B| = |\Delta D| = 1$  transitions are obtained by the replacement  $s \to d$ .

We start with the operators generated in the SM, where the initial Wilson coefficients and ADM's are known at several orders in perturbation theory. The most appropriate choice of basis for higher order QCD calculations of ADM's was given in [14, 15] with according extension for QED corrections in [16, 17]. The Lagrangian

$$\mathcal{L}_{\text{EFT}} = \mathcal{L}_{\text{QCD} \times \text{QED}}(q; \, \ell) + \frac{4G_F}{\sqrt{2}} \lambda_u^{(s)} \sum_{i=1}^2 \mathcal{C}_i (\mathcal{O}_i^c - \mathcal{O}_i^u)$$

$$+ \frac{4G_F}{\sqrt{2}} \lambda_t^{(s)} \left[ \sum_{i=1}^2 \mathcal{C}_i \mathcal{O}_i^c + \sum_{i=3}^{10} \mathcal{C}_i \mathcal{O}_i + \sum_{i=3}^6 \mathcal{C}_{iQ} \mathcal{O}_{iQ} + \mathcal{C}_b \mathcal{O}_b \right] + \text{h.c.} .$$

$$(5.5)$$

incorporates unitarity of the CKM matrix  $\lambda_u^{(s)} + \lambda_c^{(s)} + \lambda_t^{(s)} = 0$ . All Wilson coefficients  $\mathcal{C}_i$  are evaluated at  $\mu_{\text{low}} \sim m_b$ . The up-quark sector  $\sim \lambda_u^{(s)}$  is doubly-Cabibbo suppressed for  $b \to s$  transitions and leads to tiny CP-asymmetries in the SM.

The current-current (U = u, c) operators are defined as

$$\mathcal{O}_1^U = (\bar{s}\gamma_\mu P_L T^a U)(\bar{U}\gamma^\mu P_L T^a b), \quad \mathcal{O}_2^U = (\bar{s}\gamma_\mu P_L U)(\bar{U}\gamma^\mu P_L b), \tag{5.6}$$

which arise already at tree-level from decoupling the W-boson in  $b \to s + (\bar{u}u, \bar{c}c)$  and mix into all other operators, except the semi-leptonic  $\mathcal{O}_{10}$ . Here and below  $P_{L,R} = (1 \mp \gamma_5)/2$  denote chirality projectors. The QCD-penguin operators are

$$\mathcal{O}_{3} = (\bar{s}\gamma_{\mu}P_{L}b)\sum_{q}(\bar{q}\gamma^{\mu}q), \qquad \mathcal{O}_{5} = (\bar{s}\gamma_{\mu\nu\rho}P_{L}b)\sum_{q}(\bar{q}\gamma^{\mu\nu\rho}q), 
\mathcal{O}_{4} = (\bar{s}\gamma_{\mu}P_{L}T^{a}b)\sum_{q}(\bar{q}\gamma^{\mu}T^{a}q), \quad \mathcal{O}_{6} = (\bar{s}\gamma_{\mu\nu\rho}P_{L}T^{a}b)\sum_{q}(\bar{q}\gamma^{\mu\nu\rho}T^{a}q),$$
(5.7)

where the sum extends over all  $q=(u,\,d,\,s,\,c,\,b)$  and  $T^a$  are the generators of  $SU(3)_c$ . Products of several gamma matrices have been abbreviated  $\gamma^{\mu\nu\rho}\equiv\gamma^\mu\gamma^\nu\gamma^\rho$ . Analogous QED-penguin operators are defined as

$$\mathcal{O}_{3Q} = (\bar{s}\gamma_{\mu}P_{L}b) \sum_{q} Q_{q}(\bar{q}\gamma^{\mu}q) , \qquad \mathcal{O}_{5Q} = (\bar{s}\gamma_{\mu\nu\rho}P_{L}b) \sum_{q} Q_{q}(\bar{q}\gamma^{\mu\nu\rho}q) , 
\mathcal{O}_{4Q} = (\bar{s}\gamma_{\mu}P_{L}T^{a}b) \sum_{q} Q_{q}(\bar{q}\gamma^{\mu}T^{a}q) , \quad \mathcal{O}_{6Q} = (\bar{s}\gamma_{\mu\nu\rho}P_{L}T^{a}b) \sum_{q} Q_{q}(\bar{q}\gamma^{\mu\nu\rho}T^{a}q) ,$$
(5.8)

where  ${\cal Q}_q$  denotes the quark charges as multiples of e. Further, an additional operator has to be considered

$$\mathcal{O}_b = -\frac{1}{3} (\bar{s}\gamma_\mu P_L b) (\bar{b}\gamma^\mu b) + \frac{1}{12} (\bar{s}\gamma_{\mu\nu\rho} P_L b) (\bar{b}\gamma^{\mu\nu\rho} b), \qquad (5.9)$$

receiving contributions from electro-weak boxes. In four dimension it would correspond to  $(\bar{s}\gamma_{\mu}P_Lb)(\bar{b}\gamma^{\mu}P_Lb)$ , however the above form allows to avoid traces with  $\gamma_5$  to all orders in QCD.

The electro- and chromo-magnetic dipole operators

$$\tilde{\mathcal{O}}_7 = \frac{e}{g_s^2} [\bar{s}\sigma^{\mu\nu}(\overline{m}_b P_R + \overline{m}_s P_L)b] F_{\mu\nu} , \qquad \tilde{\mathcal{O}}_8 = \frac{1}{g_s} [\bar{s}\sigma^{\mu\nu}(\overline{m}_b P_R + \overline{m}_s P_L)T^a b] G^a_{\mu\nu} , \qquad (5.10)$$

receive contributions from on-shell photon and gluon penguins. The appearing quark masses are renormalised in the MS scheme.

In the SM there are two semi-leptonic operators

$$\tilde{\mathcal{O}}_{9}^{\ell} = \frac{e^2}{g_s^2} (\bar{s}\gamma_{\mu} P_L b) (\bar{\ell}\gamma^{\mu}\ell) , \qquad \qquad \tilde{\mathcal{O}}_{10}^{\ell} = \frac{e^2}{g_s^2} (\bar{s}\gamma_{\mu} P_L b) (\bar{\ell}\gamma^{\mu}\gamma_5\ell) , \qquad (5.11)$$

describing  $b \to s + \bar{\ell}\ell$  transitions. In this case the lepton charge  $Q_\ell$  has been pulled into the definition of the Wilson coefficient.

note definition of evanescent operators, without whom ADM's and initial Wilson coefficients are meaningless ...

For the tilde operators the normalization to  $(4\pi/g_s)^2$ , the QCD coupling, has been chosen for practical reasons such that the leading SM 1-loop correction to the initial Wilson coefficients counts formally as a strong correction rather then an electro-magnetic one. The initial Wilson coefficients are known up to NNLO in QCD and NLO in EW corrections

$$C_i(\mu_0) = \dots {(5.12)}$$

 $(a_i \equiv \alpha_i/(4\pi))$  For practical applications, however, we use operators without a tilde, which are related via

$$\mathcal{O}_i = \frac{g_s^2}{(4\pi)^2} \,\tilde{\mathcal{O}}_i \,. \tag{5.13}$$

The full basis of semileptonic operators is spanned by  $\mathcal{O}_{9,10}$  as above, and additionally

$$\mathcal{O}_{9'}^{\ell} = \frac{e^2}{(4\pi)^2} (\bar{s}\gamma_{\mu} P_R b) (\bar{\ell}\gamma^{\mu}\ell) , \qquad \qquad \mathcal{O}_{10'}^{\ell} = \frac{e^2}{(4\pi)^2} (\bar{s}\gamma_{\mu} P_R b) (\bar{\ell}\gamma^{\mu}\gamma_5\ell) , \qquad (5.14)$$

$$\mathcal{O}_{S}^{\ell} = \frac{e^{2}}{(4\pi)^{2}} (\bar{s}P_{R}b)(\bar{\ell}\ell), \qquad \qquad \mathcal{O}_{P}^{\ell} = \frac{e^{2}}{(4\pi)^{2}} (\bar{s}P_{R}b)(\bar{\ell}\gamma_{5}\ell), \qquad (5.15)$$

$$\mathcal{O}_{S'}^{\ell} = \frac{e^2}{(4\pi)^2} (\bar{s}P_L b)(\bar{\ell}\ell), \qquad \qquad \mathcal{O}_{P'}^{\ell} = \frac{e^2}{(4\pi)^2} (\bar{s}P_L b)(\bar{\ell}\gamma_5\ell), \qquad (5.16)$$

$$\mathcal{O}_{T}^{\ell} = \frac{e^{2}}{(4\pi)^{2}} (\bar{s}\sigma_{\mu\nu}b)(\bar{\ell}\sigma^{\mu\nu}\ell) , \qquad \qquad \mathcal{O}_{T5}^{\ell} = \frac{e^{2}}{(4\pi)^{2}} (\bar{s}\sigma_{\mu\nu}b)(\bar{\ell}\sigma^{\mu\nu}\gamma_{5}\ell) . \qquad (5.17)$$

| Quantity Qualified Name                                      |                                      |  |  |  |  |  |
|--|--------------------------------------|--|--|--|--|--|
| i=7,7'   |                                      |  |  |  |  |  |
| $\overline{\operatorname{Re} C_i(\mu_b)}$                    | b->s::Re{c <i>i</i> }                |  |  |  |  |  |
| $\operatorname{Im} C_i(\mu_b)$                               | $b \rightarrow s : : Im\{ci\}$       |  |  |  |  |  |
| i=9,9',  | 10, 10', S, S', P, P', T, T5         |  |  |  |  |  |
| $\overline{\operatorname{Re} \mathcal{C}_i^{(\ell)}(\mu_b)}$ | b->sℓℓ::Re{c <i>i</i> }              |  |  |  |  |  |
| $\operatorname{Im} \mathcal{C}_i^{(\ell)}(\mu_b)$            | $(u_b)$ b->s $\ell\ell$ ::Im{c $i$ } |  |  |  |  |  |
| $i=1\dots 6,8,8'$  |                                      |  |  |  |  |  |
| $\overline{C_i(\mu_b)}$                                      | b->s::ci                             |  |  |  |  |  |
|  |                                      |  |  |  |  |  |

Table 5.1.: Naming scheme for the  $|\Delta B| = |\Delta S| = 1$  operators.

For studies of NP effects in these operators the values of the respective Wilson coefficients can be changed within the EOS code at run time. For the changed to take effect, the observables must be constructed with the option model set to WilsonScan. In that case, the coefficients  $\mathcal{C}_i$  with  $i \in \{7,7',9,9',10,10',S,S',P,P',T,T5\}$  are treated as complex-valued parameters. Since EOS parameters are real-valued only, this implies that real and imaginary part of these coefficients can be adjusted separately. The coefficients  $\mathcal{C}_jU$  with  $j=\{1,2\}$  are presently U-flavour-universal, and are simply considered as two independent coefficients  $\mathcal{C}_j$ . The coefficients with  $j=\{1,\ldots 6,8\}$  are treated as real-valued parameters. The naming scheme for these coefficients is listed in table 5.1.

**5.2.** 
$$|\Delta B| = |\Delta U| = 1$$

The Lagrangian reads:

$$\mathcal{L}_{\mathsf{EFT}} = \mathcal{L}_{\mathsf{QCD} \times \mathsf{QED}}(q; \, \ell) - \frac{4G_F}{\sqrt{2}} V_{ub}^{\mathsf{eff}} \left[ \sum_{X} \mathcal{C}_X \mathcal{O}_X \right] \,. \tag{5.18}$$

Note the change of sign compared to the journal version of [**Feldmann:2015xsa**], which has a wrong but inconsequential global factor of -1. The operators are defined as

$$\mathcal{O}_{V,L(R)} \equiv \left[ \bar{u} \gamma^{\mu} P_{L(R)} b \right] \left[ \bar{\ell} \gamma_{\mu} P_{L} \nu_{\ell} \right] , 
\mathcal{O}_{S,L(R)} \equiv \left[ \bar{u} P_{L(R)} b \right] \left[ \bar{\ell} P_{L} \nu_{\ell} \right] , 
\mathcal{O}_{T} \equiv \left[ \bar{u} \sigma^{\mu \nu} b \right] \left[ \bar{\ell} \sigma_{\mu \nu} P_{L} \nu_{\ell} \right] .$$
(5.19)

**5.3.** 
$$|\Delta B| = |\Delta C| = 1$$

The Lagrangian reads:

$$\mathcal{L}_{\text{EFT}} = \mathcal{L}_{\text{QCD} \times \text{QED}}(q; \, \ell) - \frac{4G_F}{\sqrt{2}} V_{cb}^{\text{eff}} \left[ \sum_{X} \mathcal{C}_X \mathcal{O}_X \right] \,. \tag{5.20}$$

5.3. 
$$|\Delta B| = |\Delta C| = 1$$

Note the change of sign compared to the journal version of [**Feldmann:2015xsa**], which has a wrong but inconsequential global factor of -1. The operators are defined as

$$\mathcal{O}_{V,L(R)} \equiv \left[ \bar{c} \gamma^{\mu} P_{L(R)} b \right] \left[ \bar{\ell} \gamma_{\mu} P_{L} \nu_{\ell} \right] , 
\mathcal{O}_{S,L(R)} \equiv \left[ \bar{c} P_{L(R)} b \right] \left[ \bar{\ell} P_{L} \nu_{\ell} \right] , 
\mathcal{O}_{T} \equiv \left[ \bar{c} \sigma^{\mu \nu} b \right] \left[ \bar{\ell} \sigma_{\mu \nu} P_{L} \nu_{\ell} \right] .$$
(5.21)

# A. List of default parameters

The complete list of default parameters is given in this appendix, in a series of tables.

| Qualified Name                    | Parameter Description |
|-----------------------------------|-----------------------|
| b->s::c1                          | ???                   |
| b->s::c2                          | ???                   |
| b->s::c3                          | ???                   |
| b->s::c4                          | ???                   |
| b->s::c5                          | ???                   |
| b->s::c6                          | ???                   |
| b->s::Re{c7}                      | ???                   |
| b->s::Im{c7}                      | ???                   |
| b->s::c8                          | ???                   |
| b->see::Re{c9}                    | ???                   |
| b->see::Im{c9}                    | ???                   |
| b->see::Re{c10}                   | ???                   |
| b->see::Im{c10}                   | ???                   |
| b->smumu::Re{c9}                  | ???                   |
| b->smumu::Im{c9}                  | ???                   |
| b->smumu::Re{c10}                 | ???                   |
| b->smumu::Im{c10}                 | ????                  |
| b->s::Re{c7'}                     | ???                   |
| b->s::Im{c7'}                     | ???                   |
| b->s::c8'                         | ???                   |
| b->see::Re{c9'}                   | ???                   |
| b->see::Im{c9'}                   | ???                   |
| b->see::Re{c10'}                  | ???                   |
| b->see::Im{c10'}                  | ???                   |
| b->smumu::Re{c9'}                 | ???                   |
| b->smumu::Im{c9'}                 | ???                   |
| b->smumu::Re{c10'}                | ???                   |
| b->smumu::Im{c10'}                | ???                   |
|                                   | ???                   |
| b->see::Re{cS}                    | ???                   |
| b->see::Im{cS}<br>b->see::Re{cS'} | ???                   |
|                                   | ???                   |
| b->see::Im{cS'}                   |                       |
| b->see::Re{cP}                    | ???                   |
| b->see::Im{cP}                    | ???                   |
| b->see::Re{cP'}                   | ???                   |
| b->see::Im{cP'}                   | ???                   |
| b->see::Re{cT}                    | ???                   |
| b->see::Im{cT}                    | ???                   |
| b->see::Re{cT5}                   | ???                   |
| b->see::Im{cT5}                   | ???                   |
| b->smumu::Re{cS}                  | ???                   |
| b->smumu::Im{cS}                  | ???                   |
| b->smumu::Re{cS'}                 | ???                   |
| b->smumu::Im{cS'}                 | ???                   |
| b->smumu::Re{cP}                  | ???                   |
| 34>smumu::Im{cP}                  | ???                   |
| b->smumu::Re{cP'}                 | ???                   |
| b->smumu::Im{cP'}                 | ???                   |
| b->smumu::Re{cT}                  | ???                   |
| b->smumu::Im{cT}                  | ???                   |

Table A.1.: The list of parameters describing the b->sqqbar,gamma,ll Wilson coefficients. See the EOS manual for their physical definitions.

| Qualified Name            | Parameter                   | Description                              |
|---------------------------|-----------------------------|--|
| CKM::A                    | $A_{CKM}$                   | The Wolfenstein CKM parameter $A$        |
| CKM::lambda               | $\lambda_{CKM}$             | The Wolfenstein CKM parameter $\lambda$  |
| CKM::rhobar               | $ar{ ho}$ CKM               | The Wolfenstein CKM parameter $ar ho$    |
| CKM::etabar               | $ar{\eta}_{CKM}$            | The Wolfenstein CKM parameter $ar{\eta}$ |
| CKM::abs(V_ud)            | $ V_{ud} $                  |  |
| CKM::arg(V_ud)            | arg $V_{ud}$                |  |
| CKM::abs(V_us)            | $ V_{us} $                  |  |
| CKM::arg(V_us)            | arg $V_{\!us}$              |  |
| CKM::abs(V_ub)            | $ V_{ub} $                  |  |
| CKM::arg(V_ub)            | arg $V_{ub}$                |  |
| CKM::abs(V_cd)            | $ V_{cd} $                  |  |
| CKM::arg(V_cd)            | arg $V_{cd}$                |  |
| CKM::abs(V_cs)            | $ V_{cs} $                  |  |
| CKM::arg(V_cs)            | arg $V_{cs}$                |  |
| CKM::abs(V_cb)            | $ V_{cb} $                  |  |
| <pre>CKM::arg(V_cb)</pre> | arg $V_{cb}$                |  |
| CKM::abs(V_td)            | $ V_{td} $                  |  |
| CKM::arg(V_td)            | arg $V_{td}$                |  |
| CKM::abs(V_ts)            | $ V_{ts} $                  |  |
| <pre>CKM::arg(V_ts)</pre> | $\operatorname{arg} V_{ts}$ |  |
| CKM::abs(V_tb)            | $ V_{tb} $                  |  |
| <pre>CKM::arg(V_tb)</pre> | arg $V_{tb}$                |  |

Table A.2.: The list of parameters describing the CKM matrix. For the Wolfenstein parameters of the CKM matrix see e.g. [**UTFIT2013**] for their definition.

| Qualified Name                   | Parameter                  | Description                             |
|----------------------------------|----------------------------|---|
| HQET::lambda_1                   | $\lambda_1$                | cf. [ <b>ALGH2001</b> ], Table 2, p. 13 |
| HQET::lambda_2                   | $\lambda_2$                | cf. [ <b>ALGH2001</b> ], Table 2, p. 13 |
| B->B::mu_pi^2@1GeV               | $\mu_\pi^2(1{\rm GeV})$    | cf. [BBMU2003], Eq. (19), p. 9          |
| B->B::mu_G^2@1GeV                | $\mu_G^2(1{\sf GeV})$      | cf. [BBMU2003], Eq. (17), p. 9          |
| B->B::rho_D^3@1GeV               | $ ho_D^3(1{\sf GeV})$      | cf. [BBMU2003], between Eqs. (19),(20), |
|                                  |                            | p. 9                                    |
| B->B::rho_LS^3@1GeV              | $ ho_{LS}^3(1{\rm GeV})$   | cf. [BBMU2003], Eq. (20), p. 9          |
| Lambda_b->Lambda_b::mu_pi^2@1GeV | $\mu_{\pi}^2(1\text{GeV})$ | cf. [MvD2015]                           |
| Lambda_b->Lambda_b::rho_D^3@1GeV | $\rho_D^3(1{\rm GeV})$     | cf. [ <b>MvD2015</b> ]                  |

Table A.3.: The list of hadronic forward matrix elements of operators arising in the heavy quark expansion. For the matrix elements  $\mu^2_{\pi,G}$  and similar, see [MTU2010] for the definition.

## **Acronyms**

**LCSR** Light-Cone Sum Rule. 11

**PDF** Probability Density Function. 9, 11–13

PMC Population Monte Carlo. 4

**RNG** Random Number Generator. 10

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