

# A Tree Sampler for Bounded Context-Free Languages

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## Main Idea

- Analytic combinatorics: if you can count it, then you can sample from it!
- We implement a bijection between labeled binary trees in BCFLs and  $\mathbb{Z}_{|T|}$
- Allows for communication-free parallel no-replacement sampling in  $\tilde{O}(1)$

## Semiring Parsing

Given a CFG  $\mathcal{G} = \langle V, \Sigma, P, S \rangle$  in Chomsky Normal Form (CNF), we may construct a recognizer  $R_{\mathcal{G}} : \Sigma^n \rightarrow \mathbb{B}$  for strings  $\sigma : \Sigma^n$  as follows. Let  $2^V$  be our domain, where  $\emptyset$  is  $\emptyset$ ,  $\oplus$  is  $\cup$ , and  $\otimes$  be defined as:

$$s_1 \otimes s_2 = \{C \mid \langle A, B \rangle \in s_1 \times s_2, (C \rightarrow AB) \in P\}$$

If we define  $\hat{\sigma}_r = \{w \mid (w \rightarrow \sigma_r) \in P\}$ , then construct a matrix with unit nonterminals on the superdiagonal,  $M_0[r+1 = c](G', \sigma) = \hat{\sigma}_r$  the fixpoint  $M_{i+1} = M_i + M_i^2$  is fully determined by the first diagonal:

$$M_0 = \begin{pmatrix} \emptyset & \hat{\sigma}_1 & \emptyset & \emptyset \\ & \emptyset & \hat{\sigma}_2 & \emptyset \\ & & \emptyset & \hat{\sigma}_3 \\ \emptyset & \emptyset & \emptyset & \emptyset \end{pmatrix} \Rightarrow \begin{pmatrix} \emptyset & \hat{\sigma}_1 & \Lambda & \emptyset \\ & \emptyset & \Lambda & \hat{\sigma}_2 \\ & & \emptyset & \Lambda \\ \emptyset & \emptyset & \emptyset & \emptyset \end{pmatrix} \Rightarrow \dots \Rightarrow M_{\infty} = \begin{pmatrix} \emptyset & \hat{\sigma}_1 & \Lambda & \Lambda_{\sigma}^* \\ & \emptyset & \Lambda & \hat{\sigma}_2 \\ & & \emptyset & \Lambda \\ \emptyset & \emptyset & \emptyset & \emptyset \end{pmatrix}$$

CFL membership is recognized by  $R(G', \sigma) = [S \in \Lambda_{\sigma}^*] \Leftrightarrow [\sigma \in \mathcal{L}(G)]$ .

## Parsing Dynamics

Let us consider an example with two holes,  $\sigma = 1 \_ \_$ , and the grammar being  $G = \{S \rightarrow NON, O \rightarrow + \mid \times, N \rightarrow 0 \mid 1\}$ . This can be rewritten into CNF as  $G' = \{S \rightarrow NL, N \rightarrow 0 \mid 1, O \rightarrow \times \mid +, L \rightarrow ON\}$ .

|              | $2^V$   | $\mathbb{B}^{ V }$  | $\mathbb{B}^{ V } \rightarrow \mathbb{B}^{ V }$   |
|--------------|---|---|---|
| $M_0$        | $\begin{pmatrix} \{N\} \\ \{N, O\} \\ \{N, O\} \end{pmatrix}$                                     | $\begin{pmatrix} \square \blacksquare \square \square \\ \square \blacksquare \blacksquare \square \\ \square \blacksquare \blacksquare \square \end{pmatrix}$  | $\begin{pmatrix} V_{0,1} \\ V_{1,2} \\ V_{2,3} \end{pmatrix}$                               |
| $M_1$        | $\begin{pmatrix} \{N\} & \emptyset \\ \{N, O\} & \{L\} \\ \{N, O\} & \{L\} \end{pmatrix}$         | $\begin{pmatrix} \square \blacksquare \square \square \square \square \square \\ \square \blacksquare \blacksquare \square \square \square \square \\ \square \blacksquare \blacksquare \square \end{pmatrix}$              | $\begin{pmatrix} V_{0,1} & V_{0,2} \\ V_{1,2} & V_{1,3} \\ V_{2,3} \end{pmatrix}$           |
| $M_{\infty}$ | $\begin{pmatrix} \{N\} & \emptyset & \{S\} \\ \{N, O\} & \{L\} \\ \{N, O\} & \{L\} \end{pmatrix}$ | $\begin{pmatrix} \square \blacksquare \square \square \square \square \square \blacksquare \\ \square \blacksquare \blacksquare \square \square \square \square \\ \square \blacksquare \blacksquare \square \end{pmatrix}$ | $\begin{pmatrix} V_{0,1} & V_{0,2} & V_{0,3} \\ V_{1,2} & V_{1,3} \\ V_{2,3} \end{pmatrix}$ |

This procedure decides if  $\exists \sigma' \in \mathcal{L}(G) \mid \sigma' \sqsubseteq \sigma$  but forgets provenance.

## Encoding CFL Sketching into SAT

- CYK parser can be lowered onto a Boolean tensor  $\mathbb{B}^{n \times n \times |V|}$  (Valiant, 1975)
- Binarized CYK parser can be compiled to SAT to solve for  $M^*$  directly
- We simply encode the characteristic function, i.e.,  $1_{\subseteq V} : 2^V \rightarrow \mathbb{B}^{|V|}$
- $\oplus, \otimes$  are defined as  $\boxplus, \boxtimes$ , so that the following diagram commutes:

$$\begin{array}{ccc} 2^V \times 2^V & \xrightarrow{\oplus/\otimes} & 2^V \\ \uparrow \mathbb{1}^{-2} \downarrow \mathbb{1}^2 & & \uparrow \mathbb{1}^{-1} \downarrow \mathbb{1} \\ \mathbb{B}^{|V|} \times \mathbb{B}^{|V|} & \xrightarrow{\boxplus/\boxtimes} & \mathbb{B}^{|V|} \end{array}$$

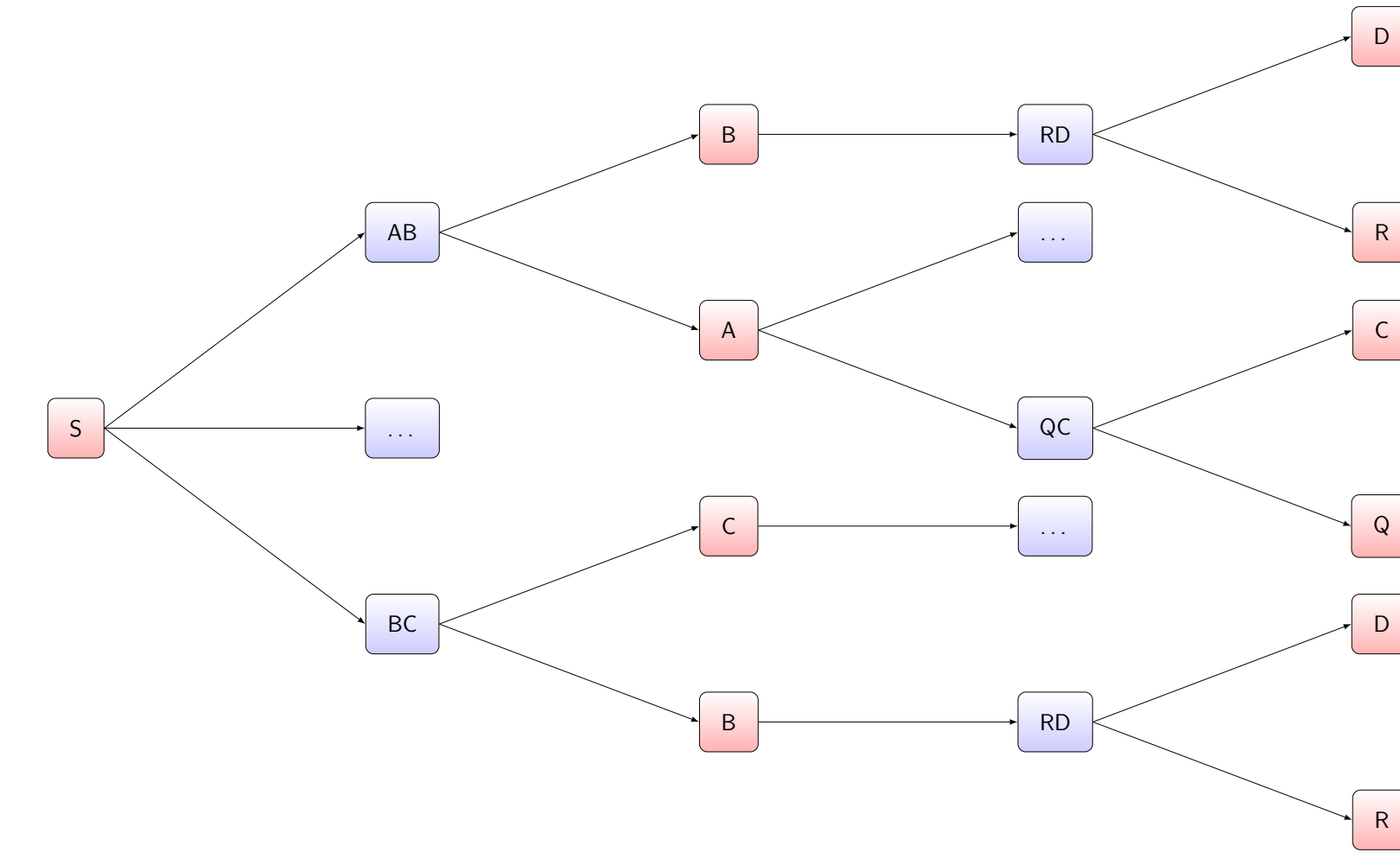
- These operators can be lifted into matrices and tensors in the usual manner

## A Nested Datatype for BCFLs

We define a map from nonterminals  $\mathbb{T}_3 = (V \cup \Sigma) \rightarrow \mathbb{T}_2$  onto the datatype  $\mathbb{T}_2 = (V \cup \Sigma) \times (\mathbb{N} \rightarrow \mathbb{T}_2 \times \mathbb{T}_2)$ , whose inhabitants satisfy the recurrence:

$$L(p) = 1 + pL(p) \quad P(a) = V + aL(V^2P(a)^2)$$

Each  $\mathbb{T}_2$  consists of a root nonterminal, and a list of distinct products, e.g.,



Morally,  $\mathbb{T}_2$  represents an implicit set of possible trees sharing the same root, where  $\mathbb{T}_3$  is a dictionary of possible  $\mathbb{T}_2$  values indexed by possible roots, given by a specific CFG under a porous string. Instead of  $\hat{\sigma}_r$  we initialize using  $\Lambda(\sigma_r)$ :

$$\Lambda(s : \underline{\Sigma}) \mapsto \begin{cases} \bigoplus_{s \in \Sigma} \Lambda(s) & \text{if } s \text{ is a hole,} \\ \{ \mathbb{T}_2(w, [\langle \mathbb{T}_2(s), \mathbb{T}_2(\varepsilon) \rangle]) \mid (w \rightarrow s) \in P \} & \text{otherwise.} \end{cases}$$

The operations  $\oplus, \otimes : \mathbb{T}_3 \times \mathbb{T}_3 \rightarrow \mathbb{T}_3$  are then redefined over trees as follows:

$$X \oplus Z \mapsto \bigcup_{k \in \pi_1(X \cup Z)} \{k \Rightarrow \mathbb{T}_2(k, x \cup z) \mid x \in \pi_2(X \circ k), z \in \pi_2(Z \circ k)\}$$

$$X \otimes Z \mapsto \bigoplus_{(w \rightarrow xz) \in P} \{ \mathbb{T}_2(w, [\langle X \circ x, Z \circ z \rangle]) \mid x \in \pi_1(X), z \in \pi_1(Z) \}$$

## Sampling with Replacement

Given a PCFG whose productions indexed by each nonterminal are decorated with a probability vector  $\mathbf{p}$ , we define a tree sampler  $\Gamma : \mathbb{T}_2 \rightsquigarrow \mathbb{T}$  like so:

$$\Gamma(T) \mapsto \begin{cases} \text{Multi}(\text{children}(T), \mathbf{p}) & \text{if } T \text{ is a root} \\ \langle \Gamma(\pi_1(T)), \Gamma(\pi_2(T)) \rangle & \text{if } T \text{ is a child} \end{cases}$$

This relates to the generating function for the ordinary Boltzmann sampler,

$$\Gamma C(x) \mapsto \begin{cases} \text{Bern}\left(\frac{A(x)}{A(x)+B(x)}\right) \rightarrow \Gamma A(x) \mid \Gamma B(x) & \text{if } C = A + B \\ \langle \Gamma A(x), \Gamma B(x) \rangle & \text{if } C = A \times B \end{cases}$$

however unlike Duchon et al. (2004), our work does require rejection to ensure exact-size sampling, as all trees contained in  $\mathbb{T}_2$  are necessarily the same width.

## Sampling without Replacement

To sample all trees in a given  $T : \mathbb{T}_2$  uniformly without replacement, we define a modular pairing function  $\varphi : \mathbb{T}_2 \rightarrow \mathbb{Z}_{|T|} \rightarrow \text{BTree}$  using the construction:

$$\varphi(T : \mathbb{T}_2, i : \mathbb{Z}_{|T|}) \mapsto \begin{cases} \langle \text{BTree}(\text{root}(T)), i \rangle & \text{if } T \text{ is a leaf,} \\ \text{Let } b = |\text{children}(T)|, \\ q_1, r = \langle \lfloor \frac{i}{b} \rfloor, i \pmod{b} \rangle, \\ lb, rb = \text{children}[r], \\ T_1, q_2 = \varphi(lb, q_1), \\ T_2, q_3 = \varphi(rb, q_2) \text{ in} \\ \langle \text{BTree}(\text{root}(T), T_1, T_2), q_3 \rangle & \text{otherwise.} \end{cases}$$

Then instead of sampling trees, we can simply sample integers WOR from  $\mathbb{Z}_{|T|}$ .

