#### THE ALGEBRAIC GEOMETRY ALGEBRAIC TOPOLOGY DUMP

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ABSTRACT. Everything about Algebraic Geometry, Algebraic Topology

# Part 1. Algebra; Groups, Rings, R-Modules, Categories

Part 4. Conformal Field Theory: Virasoro Algebra

We should know some algebra. I will follow mostly Rotman (2010) [1].

- 1. Prime numbers, GCD (greatest common denominator), integers, Euler's totient, Chinese Remainder Theorem, integer divison, modulus, remainders: Euclid's Lemma
- 1.1. Greatest Common Denominator (GCD); Euclid's Lemma.

**Theorem 1** (1.7 of Rotman (2010) [1]). If  $a, b \in \mathbb{Z}$ , then  $qcd(a, b) \equiv (a, b) = d$  is linear combination of a and b, i.e.  $\exists s, t \in \mathbb{Z}$ 9 s.t.

9 d = sa + tb11 cf. pp.4, Thm. 1.7, Ch. 1 Things Past of Rotman (2010) [1] 11

11 Proof. Let I := $I := \{sa + tb | s, t \in \mathbb{Z}\}$ 

12 If  $I \neq \{0\}$ , let d be smallest positive integer in I.

12  $d \in I$ , so d = sa + tb for some  $s, t \in \mathbb{Z}$ .

Claim:  $I = (d) \equiv \{kd | k \in \mathbb{Z}\} = \text{set of all multiples of } d.$ 

Clearly  $(d) \subseteq I$ , since  $kd = k(sa + tb) = (ks)a + (kt)b \in I$ .

13 Let  $c \in I$ .

9

12

By division algorithm, c = qd + r,  $0 \le r \le d$ 

$$r = c - qd = s'a + t'b - qsa - qtb = (s' - sq)a + (t' - qt)b \in I$$

If  $r \in I$ , but r < d, contradiction that  $\min_{i \in I} i = d$ .

So r = 0, and d|c = c/d.

$$c \in (d)$$
, so  $I \subseteq (d) \Longrightarrow I = (d)$ 

**Theorem 2** (Euclid's Lemma; 1.10 of Rotman (2010) [1]). If p prime and p|ab, then p|a or p|b.

More generally,

if prime p divides product  $a_1 a_2 \dots a_n$ ,

then it must divide at least 1 of the factors  $a_i$ .

i.e. (notation),

If prime p, and  $ab/p \in \mathbb{Z}$ ,

then  $a/p \in \mathbb{Z}$  or  $b/p \in \mathbb{Z}$ .

More generally,

if prime p, s.t.  $a_1 a_2 \dots a_n / p \in \mathbb{Z}$ ,

then  $\exists 1 \ a_i \ s.t. \ a_i/p \in \mathbb{Z}$ 

*Proof.* If  $p \nmid a$ , i.e.  $a/p \notin \mathbb{Z}$ , then  $gcd(p, a) \equiv (p, a) = 1$ .

From Thm. 1,

$$1 = sp + ta$$

$$\implies b = spb + tab = p(sb + td)$$

ab/p and so ab = pd, so b = spb + tdp, i.e. b is a multiple of p ( $b/p \in \mathbb{Z} \equiv p|b$ ).

Corollary 1 (1.11 of Rotman (2010) [1]). Let  $a, b, c \in \mathbb{Z}$ .

If c, a relatively prime, i.e. gcd(c, a) = 1, and if  $c|ab \equiv ab/c \in \mathbb{Z}$ , then  $c|b \equiv b/c \in \mathbb{Z}$ 

Proof.

$$\gcd(c,a) = 1 = sc + ta \Longrightarrow b = sbc + tab = sbc + t(qc) = c(sb + tq) \Longrightarrow b/c = sb + tq$$

**Theorem 3** (1.26 of Rotman (2010) [1]). If  $qcd(a,m) \equiv (a,m) = 1$ , then  $\forall b \in \mathbb{Z}$ ,  $\exists x \ s.t.$ 

$$ax = b \mod m$$

In fact, x = sb, where  $sa \equiv 1 \mod m$ 

Proof. gcd(a, m) = 1 = sa + tm.

Then  $b = b \cdot 1 = b(sa + tm) = sab + tmb$  or b = tbm + sab or a(sb) = -tbm + b.

So  $a(sb) \mod m = b$ .

Let x := sb and so  $ax \mod m = b$ .

Now suppose  $x \neq sb$  s.t.  $ax \mod m = b$ . Then ax = qm + b. From  $a(sb) \mod m = b$ , we also get a(sb) = q'm + b. Then  $a(x - sb) \mod m = 0$ , so  $m|a(x - sb) \equiv a(x - sb)/m \in \mathbb{Z}$ .

By Corollary 1 (which says, if gcd(c, a) = 1 and if  $ab/c \in \mathbb{Z}$ , then  $b/c \in \mathbb{Z}$ ), since gcd(m, a) = (m, a) = 1, and since  $a(x - sb)/m \in \mathbb{Z}$ , then  $(x - sb)/m \in \mathbb{Z}$ . So (x - sb) = qm or  $(sb) \mod m = x$ .

**Proposition 1** (3.1 of Scheinerman (2006) [?]). Let  $a, b \in \mathbb{Z}$ , let  $c = a \mod b$ , i.e. a = qb + c s.t.  $0 \le c < b$ . Then

$$gcd(a,b) = gcd(b,c)$$

cf. Sec. 3.3 Euclid's method of Scheinerman (2006) [?]

*Proof.* If d common divisor of a, b, i.e.  $a/d, b/d \in \mathbb{Z} \equiv d|a, d|b$ .  $c/d \in \mathbb{Z} \equiv d|c$  since c = a - qb.

If d is common divisor of b, c, i.e.  $d|b, d|c \equiv c/d, b/d \in \mathbb{Z}$ ,

then  $d|a \equiv a/d \in \mathbb{Z}$  since a = qb + c. So set of common divisors of a, b same as set of common divisors of b and c. Then  $\gcd(a,b) = \gcd(b,c)$ .

## 1.2. Euler's totient; relatively prime.

**Definition 1.** if  $a, b \in \mathbb{Z}$ ,

a divisor of b, if  $\exists d \in \mathbb{Z}$  s.t. b = ad.

Also, a **divides** b or b multiple of  $a \equiv a|b$ .

 $a|b \equiv b/a \in \mathbb{Z}$ 

cf. pp. 3 of Ch. 1 Things Past, Sec. 1.1 Some Number Theory of Rotman (2010) [1].

cf. Ch. 5 Arrays, Sec. 5.1 Euler's totient of Scheinerman (2006) [?]

For

 $\varphi: \mathbb{Z}^+ \to \mathbb{Z}^+$ 

 $\varphi: n \mapsto \varphi(n) := \text{ number of elements of } \{1, 2, \dots n\} \text{ that are relative prime to } n = |\{i | i \in \{1, 2, \dots n\}, (n, i) = 1 \text{ or equivalently } n \propto i\}|$ 

e.g. 
$$\varphi(10) = 4$$
 since  $\varphi(10) = |\{1, 3, 7, 9\}|$ .  
we want  $|(a, b)| 1 \le a, b, \le n, \gcd(a, b) \equiv (a, b) = 1|$ .

$$p_n = \frac{1}{n^2} \left[ -1 + 2 \sum_{i=1}^n \varphi(k) \right] = \text{ probability that 2 integers, chosen uniformly and independently from } \{1, 2, \dots n\} \text{ are relatively prime}$$

If p is prime,  $\forall i \in \{1, 2, \dots p\}$ ,  $(p, i) \equiv \gcd(p, i) = 1$ , i.e. relatively prime to p, except  $1 \ i \in \{1, 2, \dots p\}$ . Therefore

$$\varphi(p) = p - 1$$

Consider  $\varphi(p^2)$ .

 $\{1,2,\ldots p^2\}$ , only numbers not relatively prime to  $p^2$  are multiples of p since  $p,2p,3p,\ldots p^2$  all divide  $p^2$ , i.e.  $p|p^2,2p|p^2\ldots (p-1)p|p^2\equiv p^2/p,p^2/2p,\ldots p^2/p(1-p)$ . Assume  $\varphi(p^n)=p^2-p^{n-1}=p^{n-1}(p-1)$ .

$$\varphi(p^{n+1}) = \varphi(pp^n) = p^n \varphi(p) = p^n (p-1)$$

Therefore,

**Proposition 2** (5.1). Let p prime,  $n \in \mathbb{Z}^+$ 

e.g. 
$$\varphi(77)$$
.  $\forall n \text{ s.t. } 1 < n < 77$ .

$$\gcd(n, 77) = 1$$
$$\gcd(n, 7) = 1$$
$$\gcd(n, 11) = 1$$

By Prop. 1,

$$\gcd(n,7) = \gcd(7, n \mod 7)$$
$$\gcd(n,11) = \gcd(11, n \mod 11)$$

Scheinerman (2006) [?]

1.2.1. Chinese Remainder Theorem.

**Theorem 4.** If m, m' relatively prime (i.e. gcd(m, m') = 1), then for  $x \equiv b \mod m$ 

$$x \equiv b' \mod m'$$

i.e. given b, b'm, m', and wanting to find x,  $\exists x \text{ and } \forall 2x$ 's,  $x = x' \mod mm'$ .

Proof. x = b'ms + bm's'

cf. Ch. 1 Things Past, Thm. 1.28 of Rotman (2010) [1], pp. 68 Thm. 5.2 (Chinese Remainder) of Scheinerman (2006) [?].

## 2. Groups; Normal Subgroups

**Definition 2** (normal subgroup  $K \triangleleft G$ ). normal subgroup K of  $G \equiv K \triangleleft G$  subgroup  $K \subset G$ , if  $\forall k \in K$ ,  $\forall q \in G$ ,

$$gkg^{-1} \in K$$

**Definition 3** (quotient group).

quotient group  $G \mod K \equiv G/K$  -

if  $G/K = family of all left cosets of subgroups <math>K \subset G =$ 

$$= \{gK | g \in G, K = \{gk | k \in K\}$$

and

 $K = normal \ subgroup \ of \ G, \ i.e. \ K \triangleleft G, \ and \ so$ 

$$aKbK = abK \qquad \forall a, b \in G,$$

so G/K group.

**Definition 4** (exact sequence of groups). exact sequence if  $imf_{n+1} = kerf_n$ and groups

 $\forall n \text{ for sequence of group homomorphisms}$ 

$$G_{n+1} \xrightarrow{f_{n+1}} G_n \xrightarrow{f_n} G_{n-1}$$

Theorem 5. (1)

$$1 A \xrightarrow{f} B$$

(2)

$$B \xrightarrow{g} C$$

(3)

1 
$$A \xrightarrow{h} B$$
 1

(1)  $\operatorname{im}(1 \to A) = 1$ , since  $1 \to A$  is a group homomorphism  $((1 \to A)(1) = 1_A)$ . if  $1 \to A \stackrel{f}{\mapsto} B$  exact,  $\ker f = \operatorname{im}(1 \to A) = 1$ , so if f(x) = 1, x = 1, f injective.

If f injective,  $\ker f = 1$ .  $1 = \operatorname{im}(1 \to A)$ .  $1 \to A \xrightarrow{f} B$ , exact.

(2)  $\ker(C \to 1) = C$ , by def. of  $C \to 1$ 

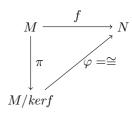
if  $B \stackrel{g}{\mapsto} C \to 1$  exact, im $g = g(B) = \ker(C \to 1) = C$ . g(B) = C implies g surjective.

If q surjective,  $q(B) = C = \ker(C \to 1)$ .  $B \stackrel{g}{\mapsto} C \to 1$  exact.

(3) From (i),  $1 \to A \xrightarrow{h} B$  exact iff h injective. From (ii),  $A \xrightarrow{h} B \to 1$ , exact iff h surjective. h isomorphism.

## 2.1. 1st, 2nd, 3rd Isomorphism Theorems.

**Theorem 6** (1st Isomorphism Theorem (Modules) Thm. 7.8 of Rotman (2010) [1]). If  $f: M \to N$  is R-map of modules, then  $\Box$   $\exists R$ -isomorphism s.t.



(3) 
$$\varphi: M/kerf \to imf$$
$$\varphi: m + kerf \mapsto f(m)$$

*Proof.* View M, N as abelian groups.

Recall natural map  $\pi: M \to M/N$ 

$$m \mapsto m + N$$

Define  $\varphi$  s.t.  $\varphi \pi = f$ .

 $(\varphi \text{ well-defined}). \text{ Let } m + \ker f = m' + \ker f, m, m' \in M, \text{ then } \exists n \in \ker f \text{ s.t. } m = m' + n.$ 

$$\varphi(m + \ker f) = \varphi \pi(m) = f(m) = f(m' + n) = f(m') + f(n) = \varphi \pi(m') + 0 = \varphi(m' + \ker f)$$

 $\Longrightarrow \varphi$  well-defined.

( $\varphi$  surjective). Clearly,  $\operatorname{im} \varphi \subseteq \operatorname{im} f$ .

Let  $y \in \operatorname{im} f$ . So  $\exists m \in M$  s.t. y = f(m).  $f(m) = \varphi \pi(m) = \varphi(m + \ker f) = y$ . So  $y \in \operatorname{im} \varphi$ .  $\operatorname{im} f \subset \operatorname{im} \varphi$ .

 $\Longrightarrow \varphi$  surjective.

 $(\varphi \text{ injective}) \text{ If } \varphi(a + \ker f) = \varphi(b + \ker f), \text{ then }$ 

$$\varphi \pi(a) = \varphi \pi(b)$$
 or  $f(a) = f(b)$  or  $0 = f(a) - f(b) = f(a-b)$  so  $a-b \in \ker f(a-b) + \ker f = \ker f$  so  $a + \ker f = b + \ker f$ 

 $\varphi$  isomorphism.

 $\varphi$  R-map.  $\varphi(r(m+N)) = \varphi(rm+N) = f(rm)$ .

Since f R-map,  $f(rm) = rf(m) = r\varphi(m+N)$ .  $\varphi$  is R-map indeed.

**Theorem 7** (2nd Isomorphism Theorem (Modules) Thm. 7.9 of Rotman (2011) [1]). If S, T are submodules of module M, i.e.  $S,T \in M$ , then  $\exists R-isomorphism$ 

$$S \xrightarrow{h} (S+T)/T = imh$$
 
$$\downarrow \pi|_{S}$$
 
$$S/(S \cap T) = S/kerh$$

$$(4) S/(S \cap T) \to (S+T)/T$$

*Proof.* Let natural map  $\pi: M \to M/T$ .

So  $\ker \pi = T$ .

Define  $h := \pi|_{S}$ , so  $h : S \to M/T$ , so  $\ker h = S \cap T$ ,

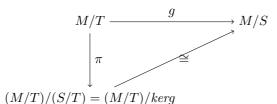
$$(S+T)/T = \{(s+t) + T | a \in S + T, s \in S, t \in T\}$$

i.e. (S+T)/T consists of all those cosets in M/T having a representation in S.

By 1st. isomorphism theorem,

$$S/S \cap T \xrightarrow{\cong} (S+T)/T$$

**Theorem 8** (3rd Isomorphism Theorem (Modules) Thm. 7.10 of Rotman (2011) [1]). If  $T \subseteq S \subseteq M$  is a tower of submodules, then  $\exists R$ -isomorphism



$$(5) (M/T)/(S/T) \to M/S$$

*Proof.* Define  $g: M/T \to M/S$  to be **coset enlargement**, i.e.

$$g: M+T \mapsto m+S$$

g well-defined: if m+T=m'+T, then  $m-m'\in T\subseteq S$ , and  $m+S=m'+S\Longrightarrow g(m+T)=g(m'+T)$  ker g=S/T since

$$g(s+T) = s + S = S$$
  $(S/T \subseteq \ker g)$   
 $g(m+T) = m + S = 0 = S = s + S$ , so  $m = s \Longrightarrow \ker g \subseteq S/T$ 

im g = M/S since

$$g(m+T) = m+S \Longrightarrow \operatorname{im} g \subseteq M/S$$
  
 $m+S = g(m+T)$ 

Then by 1st isomorphism, and commutative diagram, done.

#### 3. R-modules

**Definition 5** (R-homomorphism (or R-map)). *If ring* R, R-modules M, N, then function  $f: M \to N$ , if  $\forall m, m' \in M$ ,  $\forall r \in R$ ,

$$f(m+m') = f(m) + f(m')$$
$$f(rm) = rf(m)$$

**Definition 6** (quotient module M/N). quotient module M/N -

For submodule N of R-module M, then, remember M abelian group, N subgroup, quotient group M/N equipped with scalar multiplication

$$r(m+N) = rm + N$$
$$M/N = \{m+N|m \in M\}$$

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natural map

(7) 
$$\begin{aligned} \pi : M \to M/N \\ m \mapsto m + N \end{aligned}$$

easily seen to be R-map.

Scalar multiplication in quotient module well-defined:

If m + N = m' + N,  $m - m' \in N$ , so  $r(m - m') \in N$  (because N submodule), so

$$rm - rm' \in N \text{ and } rm + N = rm' + N$$

□ **Proposition 3** (7.15 of Rotman (2010) [1]). (i)  $S \sqcup T \simeq M$ 

(ii) 
$$\exists$$
 injective  $R$ -maps  $i: S \to M$ , s.t.  $j: T \to M$ 

(8) 
$$M = im(i) + im(j) \text{ and}$$
$$im(i) \bigcap im(j) = \{0\}$$

(iii) ∃ R-maps

$$i: S \to M$$
  
 $j: T \to M$ 

s.t.  $\forall m \in M, \exists !$ 

$$s \in S$$
$$t \in T$$

with m = is + it.

(iv)  $\exists R\text{-}maps$ 

$$i: S \to M$$
  $p: M \to S$   
 $j: T \to M$   $q: M \to T$ 

s.t.

П

$$pi = 1_S$$
  $pj = 0$   $ip + jq = 1_M$   $ip + jq = 1_M$ 

*Proof.* • (i)  $\rightarrow$  (ii) Given  $S \sqcup T \simeq M$ ,

let  $\varphi: S \coprod T \to M$  be this isomorphism.

Define

$$i := \varphi \lambda_S$$
  $(\lambda_S : s \mapsto (s, 0))$   $i : S \to M$   
 $j := \varphi \lambda_T$   $(\lambda_T : t \mapsto (0, t))$   $j : T \to M$ 

i, j are injections, being composites of injections.

If 
$$m \in M$$
,  $\exists ! (s,t) \in S \mid T$ , s.t.  $\varphi(s,t) = m$ .

Then

$$m = \varphi(s,t) = \varphi((s,0) + (0,t)) = \varphi \lambda_S(s) \varphi \lambda_T(t) = is + jt \in \operatorname{im}(i) + \operatorname{im}(j)$$

Let  $c \in \text{im}(i) + \text{im}(j)$ . Since  $i : S \to M$ ,  $c \in M$ .

$$j:T\to M$$

 $\Longrightarrow M = \operatorname{im}(i) + \operatorname{im}(j).$ If  $x \in \operatorname{im}(i) \cap \operatorname{im}(j)$ ,

$$x = i(s)$$
 for some  $s \in S$ 

$$x = j(t)$$
 for some  $t \in T$ 

$$is = jt = \varphi \lambda_S(s) = \varphi \lambda_T(t) = \varphi(s, 0) = \varphi(0, t)$$

 $\varphi$  isomorphism, so  $\exists \varphi^{-1} \Longrightarrow (s,0) = (0,t)$ , so s=t=0. x=0

  
 • (ii)   
 → (iii) Given 
$$i:S\to M$$
 , s.t.  $M=\operatorname{im}(i)+\operatorname{im}(j),$  so 
$$j:T\to M$$

 $\forall m \in M, m = i(s) + j(t) \text{ for some } s \in S, t \in T.$ 

Suppose 
$$s' \in S$$
, s.t.  $m = i(s'_+j(t'))$ .  
 $t' \in T$ 

$$i(s - s') = j(t - t') \in im(i) \cap im(j) = \{0\}$$

So s = s', t = t', since i, j injective.

• (iii) $\rightarrow$  (iv)

Given  $\forall m \in M, \exists ! s \in S, t \in T \text{ s.t.}$ 

$$m = i(s) + j(t)$$

Define

$$\begin{aligned} p:M\to S & q:M\to T\\ p(m):=s & q(m):=t \end{aligned}$$
 
$$\begin{aligned} pi(s)=s & pj(t)=0\\ qj(t)=t & qi(s)=0 \end{aligned} & (ip+jq)(m)=ip(m)+jq(m)=i(s)+j(t)=m \end{aligned}$$

## 4. Categories: Category Theory

- 4.1. Categories. cf. 7.2 Categories of Rotman (2010) [1]
- 4.1.1. Russell paradox, Russell set.

**Definition 7** (Russell set). Russell set - set S that's not a member of itself, i.e.  $S \notin R$ 

If R is family of all Russell sets,

Let  $X \in R$ . Then  $X \notin X$ . But  $X \in R$ .  $X \notin R$ .

Let  $R \notin R$ . Then R in family of Russell Sets.  $R \in R$ . Contradiction.

Then consider *class* as primitive term, instead of set.

**Definition 8** (Category). Category C (Rotman's notation)  $\equiv C$  (my notation), consists of class obj(C) (Rotman's notation)  $\equiv Obj(C) \equiv Obj(C) \equiv Obj(C)$  (my notation) of objects, set of morphisms  $Hom(A, B) \forall (A, B)$  of ordered tuples of objects, composition

$$Hom(A, B) \times Hom(B, C) \to Hom(A, C)$$
  
 $(f, q) \mapsto qf$ 

, s.t.

(1) 
$$\exists \mathbf{1}, \forall f : A \to B, \exists \mathbf{1}_A : A \to A$$
, s.t.  $\mathbf{1}_B \cdot f = f = f \cdot \mathbf{1}_A$ , and  $\mathbf{1}_B : B \to B$ 

(2) associativity, 
$$\forall \begin{cases} f: A \to B \\ g: B \to C \end{cases}$$
, then  $h \circ (g \circ f) = (h \circ g) \circ f$   
 $h: C \to D$ 

In summary.

(9) 
$$\mathbf{C} := (Obj(\mathbf{C}), Mor\mathbf{C}, \circ, \mathbf{1}) \equiv (Obj\mathbf{C}, Mor\mathbf{C}, \circ_{\mathbf{C}}, \mathbf{1}_{\mathbf{C}})$$

s.t.

$$\mathit{Mor}\mathbf{C} = \bigcup_{A,B \in \mathit{Obj}\mathbf{C}} \mathit{Hom}(A,B)$$

Examples (7.25 of Rotman (2010)[1]):

- (i)  $\mathbf{C} = \operatorname{Sets}$
- (ii)  $\mathbf{C} = \text{Groups} = \text{Grps}$
- (iii)  $\mathbf{C} = \text{CommRings}$
- (iv)  $C = {}_{R}\mathbf{Mod}$ , if  $R = \mathbb{Z}$ ,  ${}_{\mathbb{Z}}\mathbf{Mod} = \mathbf{Ab}$ , i.e.  $\mathbb{Z}$ -modules are just abelian groups.
- (v)  $\mathbf{C} = \mathbf{PO}(X)$ , If partially ordered set X, regard X as category, s.t.  $\mathbf{Obj}, \mathbf{PO}(X) = \{x | x \in X\}$ ,  $\forall \operatorname{Hom}(x,y) \in \mathbf{Mor_{PO}}(X)$ ,  $\operatorname{Hom}(x,y) = \begin{cases} \emptyset & \text{if } x \not\preceq y \\ \kappa_u^x & \text{if } x \preceq y \end{cases}$  where  $\kappa_y^x \equiv \text{unique element in Hom set when } x \preceq y \text{ s.t.}$

$$\kappa_z^y \kappa_y^x = \kappa_z^x$$

Also, notice that

$$1_x = \kappa_x^x$$

**Definition 9** (isormorphisms or equivalences).  $f: A \to B$ ,  $f \in Hom(A, B)$ , if  $\exists$  inverse  $g: B \to A$ ,  $g \in Hom(B, A)$ , s.t.

$$gf = 1_A$$
$$fg = 1_B$$

 $\square$  and if  $\mathbf{C} = \mathbf{Top}$ , equivalences (isomorphisms) are homeomorphisms.

Feature of category  $_R\mathbf{Mod}$  not shared by more general categories: Homomorphisms can be added.

**Definition 10** (pre-additive Category). category C

We can force 2 overlapping subsets A, B to be disjoint by "disjointifying" them: e.g. consider  $(A \cup B) \times \{1, 2\}$ , consider

$$A' = A \times \{1\}.$$

$$B' = B \times \{2\}$$

$$\Longrightarrow A' \cap B' = \emptyset$$

since  $(a, 1) \neq (b, 2) \quad \forall a \in A, \forall b \in B$ .

Let bijections  $\alpha: A \to A'$ ,  $\alpha: a \mapsto (a,1)$ , denote  $A' \bigcup B' \equiv A \coprod B$ .

$$\beta: B \to B'$$
  $\beta: b \mapsto (b, 2)$ 

From Rotman (2010) [1], pp. 447,

**Definition 11.** coproduct  $A \mid B \equiv C \in Obj(C)$ 

In my notation,

coproduct

(10) 
$$(\mu_1, A_1 \coprod A_2)$$
$$(\mu_2, A_1 \coprod A_2)$$

where injection (morphisms)

(11) 
$$\mu_1: A_1 \to A_1 \coprod A_2$$
$$\mu_2: A_1 \to A_1 \coprod A_2$$

6

s.t.

 $\forall A \in \text{Obj}\mathbf{A}, \forall f_1, f_2 \in \text{Mor}\mathbf{A} \text{ s.t. } f_1 : A_1 \to A$   $f_2 : A_2 \to A$ 

then

(12) 
$$\exists ! [f_i] \equiv [f_1, f_2] \in \text{Mor} \mathbf{A}, [f_1, f_2] : A_1 \coprod A_2 \to A \text{ s.t.}$$

$$[f_1, f_2] \mu_1 = f_1$$

$$[f_1, f_2] \mu_2 = f_2$$

i.e.

(13)  $A_{1} \xrightarrow{\mu_{1}} f_{2} A_{1} \coprod A_{2}$   $A_{2} A_{2}$ 

So to generalized, for  $i \in I$ , (finite set I?) **coproduct**  $(\mu_j, \coprod_{i \in I} A_i)_{j \in I}$ , where (family of) injection (morphisms)  $\mu_j : A_j \to \coprod_{i \in I} A_i$  s.t.

 $\forall A \in \text{Obj}\mathbf{A}, \forall f_i \in \text{Mor}\mathbf{A}, i \in I, f_i : A_i \to A$ 

then

(14) 
$$\exists ! [f_i] \equiv [f_i]_{i \in I} \in \text{Mor} \mathbf{A}, [f_i] : \coprod_{i \in I} A_i \to A \text{ s.t.}$$

$$[f_i]\mu_i = f_i \qquad \forall j \in I$$

i.e.

$$(15) A_j \downarrow_{i \in I} A$$

For notation purposes only, recall that it's denoted the sets  $\operatorname{Hom}(A,B)$  in  ${}_{R}\mathbf{Mod}$  by  $\operatorname{Hom}_{R}(A,B)$ 

i.e., in my notation, for  $A, B \in \text{Obj}_R \mathbf{Mod}$ ,  $\text{Hom}(A, B) \subset \text{Mor}(_R \mathbf{Mod})$ ,  $\text{Hom}(A, B) \equiv \text{Hom}_R(A, B)$ 

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**Definition 12** (pre-additive category). category  $\mathbf{C}$  is **pre-additive** if  $\forall Hom(A, B)$ , Hom(A, B) equipped with binary operation  $+ s.t. \ \forall f, g \in Hom(A, B)$ ,

(1) if  $p: B \to B'$ , then

$$p(f+g) = pf + pg \in Hom(A, B')$$

(2) if  $q: A' \to A$ , then

$$(f+g)q = fq + gq \in Hom(A', B)$$

and

$$f + g = g + f$$
 (additive abelian)

4.1.2. Examples of extra assumptions on sets, RMod we take for granted. In Prop. 7.15(iii) Rotman (2010) [1],

$$p: M \to A$$
  $pi = 1_A$ 

direct sum  $M = A \oplus B$  if  $\exists$  homomorphisms  $q: M \to B$  s.t.  $qj = 1_B$ ,

$$i: A \to M$$
  $pj = 0$ 

$$j: B \to M$$
  $qi = 0$ 

$$ip + jq = 1_M$$

direct sum  $M = A \oplus B$  uses property that morphisms can be added  ${}_{R}\mathbf{Mod}$  has this property. **Sets** don't.

In Corollary 7.17,

direct sum in terms of arrows,

 $\exists \text{ map } \rho: M \to S \text{ s.t. } \rho(s) = s. \text{ Moreover } \ker \rho = \operatorname{im} j, \operatorname{im} \rho = \operatorname{im} i \text{ and } \rho(s) = s, \ \forall s \in \operatorname{im} \rho.$ 

$$S \xrightarrow{i} M \xleftarrow{j} T \text{ and } M \simeq S \coprod T,$$

where  $i: s \mapsto s$  (i.e. inclusions)

$$i: t \mapsto t$$

This makes sense in **Sets**, but doesn't make sense in arbitrary categories because image of morphism may fail, e.g. Mor(C(G)) are elements in Hom(\*,\*) = G, not functions.

Categorically, object S is (equivalent to) retract of object M, S, M  $\in$  ObjC, if  $\exists$  morphisms  $i, p \in$  Mor(C), s.t.

$$i:S\to M$$

$$p:M\to S$$

s.t.  $pi = 1_S$ ,  $(ip)^2 = ip$  (for modules, define  $\rho = ip$ )

**Definition 13** (free products). *free products* are coproducts in groups

Prop. 7.26, Rotman (2010) [1]

Proposition 4 (7.26, Rotman). If A, B are R-modules,

then their coproducts in  ${}_{R}\mathbf{Mod}$  exists, and it's the direct sum  $C = A \coprod B$ .

*Proof.* Define

$$\begin{array}{ll} \mu:A\to C & \nu:B\to C \\ \mu:a\mapsto (a,c) & \nu:b\mapsto (0,b) \end{array} \qquad \text{(Rotman's notation)} \begin{array}{ll} \alpha:A\to C \\ \beta:B\to C \end{array}$$

Let X be a module,  $f: A \to X$ ,  $g: B \to X$  homomorphisms

Define

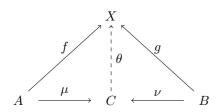
$$\theta: C \to X$$
  

$$\theta: (a,b) \mapsto f(a) + g(b)$$
  

$$\theta\mu(a) = \theta(a,0) = f(a)$$
  

$$\theta\nu(b) = \theta(0,b) = g(b)$$

so diagram commutes, i.e.



If  $\psi: C \to X$  makes diagram commute,

$$\psi((a,0)) = f(a) \qquad \forall a \in A$$
  
$$\psi((0,b)) = g(b) \qquad \forall b \in B$$

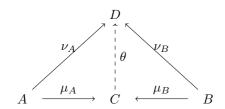
and since  $\psi$  is a homomorphism,  $\psi((a,b)) = \psi((a,0)) + \psi((0,b)) = f(a) + g(b) = \theta((a,b))$ .  $\psi = \theta$ .

Prop. 7.27, Rotman (2010) [1]

**Proposition 5** (7.27, Rotman). If category  $C = \mathbb{C}$ , and if  $A, B \in Obj\mathbb{C}$ , then  $\forall 2$  coproducts of A, B, if they  $\exists$ , are equivalent.

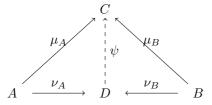
*Proof.* Suppose C, D coproducts of A, B. Suppose coproducts  $\mu_A : A \to C$ ,  $\nu_A:A\to D$ 

$$\mu_B: B \to C, \qquad \quad \nu_B: B \to D$$



Just substitute X = D in diagram above.

Then substitute again:

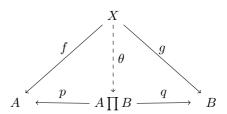


Then combine the 2 diagrams:  $\psi\theta = 1_C$ . Likewise by label symmetry of  $C, D, \theta\psi = 1_D$ . Then C, D are equivalent.

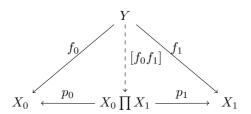
Exer. 7.29 on pp. 459 of Rotman (2010) [1]

**Definition 14.** If  $A, B \in Obj\mathbb{C}$ , then their **product**;  $A \prod B = P \in Obj\mathbb{C}$ , and morphisms  $p: P \to A$  s.t.  $\forall X \in Obj\mathbb{C}$ ,  $q:P\to B$ 

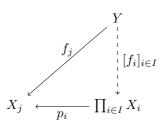
$$\forall f: X \to A \in Mor \mathbf{C},$$
  
 $g: X \to B \in Mor \mathbf{C}$   
 $\exists ! \theta: X \to P, s.t.$ 



If the notation of Kashiwara and Schapira (2006) [2],



In general



**product** of  $X_i$ 's,

$$\prod_{i} X_i \equiv \prod_{i \in I} X_i$$

given by

$$\prod_{i} X_i := \lim_{\longleftarrow} \alpha$$

When  $X_i = X$ ,  $\forall i \in I$ , denote product by  $X^{\prod I} \equiv X^I$ .

e.g. Cartesian product  $P = A \times B$  of 2 sets  $A, B, A, B \in \text{Obj}\mathbf{Sets}$ . Define

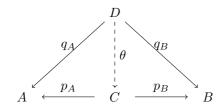
$$p: A \times B \to A$$
  $q: A \times B \to B$   
 $p(a,b) \mapsto a$   $q(a,b) \mapsto b$ 

If  $X \in \text{Obj}\mathbf{Sets}$ ,

if 
$$f: X \to A$$
, then  $\theta: X \to A \times B$   
 $g: X \to B$   $\theta: x \mapsto (f(x), g(x)) \in A \times B$ 

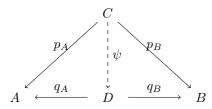
**Proposition 6** (7.28 Rotman (2010); equivalence of products, if it exists). If  $A, B \in Obj\mathbb{C}$ , then  $\forall 2$  products of A and B, should they exist, are equivalent.

Proof. Suppose C, D products of A, B. Suppose products  $p_A : C \to A$ ,  $q_A : D \to A$   $p_B : C \to B$ ,  $q_B : D \to B$ 



Just substitute X = D in diagram above.

Then substitute again:



Then combine the 2 diagrams:  $\psi\theta = 1_C$ . Likewise by label symmetry of  $C, D, \theta\psi = 1_D$ . Then C, D are equivalent.

# 4.1.3. Products of Modules and Sets.

**Proposition 7** (7.29 Rotman (2010); products of R-modules are equivalent). If commutative ring R, R-modules A, B,

then  $\exists$  their (categorical) product  $A \sqcup B$ , in fact

$$(17) A \sqcap B \cong A \sqcup B$$

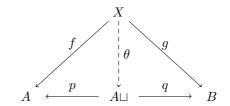
$$\begin{array}{lll} \textit{Proof.} \ \text{If} \ A \sqcup B \cong M \text{, then} \ \exists \ \text{R-maps,} \ i:S \to M \,, & p:M \to S \text{ s.t.} \ pi = 1_A & \text{and} \ pj = 0 \text{, and} \ ip + jq = 1_M \text{, i.e.} \\ j:T \to M & q:M \to T \quad qj = 1_B & qi = 0 \end{array}$$

$$A \xrightarrow{i} M \xrightarrow{q} B$$

If module X, since  $f: X \to A$  are homomorphisms,

$$g: X \to B$$

define  $\theta: X \to A \sqcup B$  $\theta(x) = if(x) + jg(x)$  so that



since,  $\forall x \in X$ ,

$$p\theta(x) = pif(x) + pjg(x) = pif(x) + 0 = f(x)$$

since  $ip + jq = 1_{A \sqcup B}$ 

$$\psi = ip\psi + jq\psi = if + jf = \theta$$

so product is unique.

**Definition 15.** Let R be commutative ring,

let  $\{A_i : i \in I\}$  be indexed family of R-modules.

direct product  $\prod_{i \in I} A_i$  is cartesian product (i.e. set of all I-tuples  $(a_i)$  whose ith coordinate  $a_i$  lies in  $A_i \quad \forall i$ ) with coordinate wise addition and scalar multiplication:

$$(a_i) + (b_i) = (a_i + b_i)$$
$$r(a_i) = (ra_i)$$

where  $r \in R$ ,  $a_i, b_i \in A_i$ ,  $\forall i$ 

cf. Thm. 7.32 of Rotman (2010) [1]

**Theorem 9** (7.32, Rotman). Let commutative ring R.  $\forall R$ -module A,  $\forall$  family  $\{B_i | i \in I\}$  of R-modules,

$$Hom_R(A, \coprod_{i \in I} B_i) \simeq \coprod_{i \in I} Hom_R(A, B_i)$$

via R-isomorphism

$$\varphi: f \mapsto (p_i f)$$

where  $p_i$  are projections of product  $\prod_{i \in I} B_i$ 

*Proof.* Let  $a \in A$ ,  $f, g \in \text{Hom}_R(A, \prod_{i \in I} B_i)$ .

$$\varphi(f+g)(a) = (p_i(f+g))(a) = (p_i(f(a) + g(a))) = (p_i f + p_i g)(a)$$

 $\varphi$  additive.

 $\forall i, \forall r \in R, p_i r f = r p_i f$  (since product of R-modules,  $\coprod_{i \in I} B_i$  is also an R-module of  $Obj_R Mod$ , by def. of product).

$$\varphi rf \mapsto (p_i rf) = (rp_i f) = r(p_i f) = r\varphi(f)$$

So  $\varphi$  is R-map.

If  $(f_i) \in \prod_i \operatorname{Hom}_R(A, B_i)$ , then  $f_i : A \to B_i \ \forall i$ 

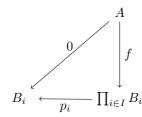
By Rotman's Prop. 7.31 (If family of R-modules  $\{A_i|i\in I\}$ , then direct product  $C=\coprod_{i\in I}A_i$  is their product in R**Mod**), By def. or product,  $\exists !R$ -map,  $\theta:A\to\coprod_{i\in I}B_i$  s.t.  $p_i\theta=f_i$   $\forall i$ 

Then

$$f_i$$
) =  $(p_i\theta) = \varphi(\theta)$ 

, and so  $\varphi$  surjective.

Suppose  $f \in \ker \varphi$ , so  $\theta = \varphi(f) = (p_i f)$ . Thus  $p_i f = 0 \quad \forall i$ 



But 0-homomorphism also makes this diagram commute, so uniqueness of homomorphism  $A \to \prod B_i$  gives f = 0.

# Part 2. Reading notes on Cox, Little, O'Shea's Ideals, Varieties, and Algorithms: An Introduction to Computational Algebraic Geometry and Commutative Algebra

- 5. Geometry, Algebra, and Algorithms
- 5.1. Polynomials and Affine Space. fields are important is that linear algebra works over any field

**Definition 16** (2). set of all polynomials in  $x_1, \ldots, x_n$  with coefficients in k, denoted  $k[x_1, \ldots, x_n]$ 

polynomial f divides polynomial g provided g = fh for some  $h \in k[x_1, \dots, x_n]$ 

 $k[x_1,\ldots,x_n]$  satisfies all field axioms except for existence of multiplicative inverses; commutative ring,  $k[x_1,\ldots,x_n]$  polynomial

Exercises for 1. Exercise 1.  $\mathbb{F}_2$  commutative ring since it's an abelian group under addition, commutative in multiplication, and multiplicative identity exists, namely 1. It is a field since for  $1 \neq 0$ , the multiplicative identity is 1.

## Exercise 2.

- (a)
- (b) (c)
- 5.2. Affine Varieties.
- 5.3. Parametrizations of Affine Varieties.
- 5.4. Ideals.
- 5.5. Polynomials of One Variable.

6. Groebner Bases

- 6.1. Introduction.
- 6.2. Orderings on the Monomials in  $k[x_1, \ldots, x_n]$ .
- 6.3. A Division Algorithm in  $k[x_1, \ldots, x_n]$ .
- 6.4. Monomial Ideals and Dickson's Lemma.
- 6.5. The Hilbert Basis Theorem and Groebner Bases.
- 6.6. Properties of Groebner Bases.
- 6.7. Buchberger's Algorithm.

## 7. Elimination Theory

- 7.1. The Elimination and Extension Theorems.
- 7.2. The Geometry of Elimination.
- 8. The Algebra-Geometry Dictionary
- 8.1. Hilbert's Nullstellensatz.
- 8.2. Radical Ideals and the Ideal-Variety Correspondence.
  - 9. Polynomial and Rational Functions on a Variety
- 9.1. Polynomial Mappings.
  - 10. Robotics and Automatic Geometric Theorem Proving
- 10.1. Geometric Description of Robots.
- Part 3. Reading notes on Cox, Little, O'Shea's Using Algebraic Geometry

Using Algebraic Geometry. David A. Cox. John Little. Donal O'Shea. Second Edition. Springer. 2005. ISBN 0-387-20706-6 QA564.C6883 2004

#### 11. Introduction

## 11.1. Polynomials and Ideals. monomial

$$(1.1) x_1^{\alpha_1} \dots x_n^{\alpha_n}$$

total degree of  $x^{\alpha}$  is  $\alpha_1 + \cdots + \alpha_n \equiv |\alpha|$ 

field  $k, k[x_1 \dots x_n]$  collection of all polynomials in  $x_1 \dots x_n$  with coefficients k.

polynomials in  $k[x_1...x_n]$  can be added and multiplied as usual, so  $k[x_1...x_n]$  has structure of commutative ring (with identity)

however, only nonzero constant polynomials have multiplicative inverses in  $k[x_1 \dots x_n]$ , so  $k[x_1 \dots x_n]$  not a field however set of rational functions  $\{f/g|f,g\in k[x_1\ldots x_n],g\neq 0\}$  is a field, denoted  $k(x_1\ldots x_n)$ 

so

$$f = \sum_{\alpha} c_{\alpha} x^{\alpha}$$

where  $c_{\alpha} \in k$ 

$$f \in k[x_1 \dots x_n] = \{f | f = \sum_{\alpha} c_{\alpha} x^{\alpha}, x^{\alpha} = x_1^{\alpha_1} \dots x_n^{\alpha_n}, c_{\alpha} \in k\}$$

f homogeneous if all monomials have same total degrees polynomial f is homogeneous if all monomials have the same total degree

Given a collection of polynomials  $f_1 \dots f_s \in k[x_1 \dots x_n]$ , we can consider all polynomials which can be built up from these by multiplication by arbitrary polynomials and by taking sums

**Definition 17** (1.3). Let 
$$f_1 ... f_s \in k[x_1 ... x_n]$$
  
Let  $\langle f_1 ... f_s \rangle = \{p_1 f_1 + \cdots + p_s f_s | p_i \in k[x_1 ... x_n] \text{ for } i = 1 ... s\}$ 

## Exercise 1.

(a) 
$$x^2 = x \cdot (x - y^2) + y \cdot (xy)$$
  
(b)

$$p \cdot (x - y^2) = px - py^2$$

and for pxy = (py)x

$$p(y)(x - y^2) = p(y)x - p(y)y^2 \notin \langle x^2, xy \rangle$$

## Exercise 2

(c)

$$\sum_{i=1}^{s} p_i f_i + \sum_{i=1}^{s} q_j f_j = \sum_{i=1}^{s} (p_i + q_i) f_i, \quad p_i + q_i \in k[x_1 \dots x_n]$$

 $\langle f_1 \dots f_s \rangle$  closed under sums in  $k[x_1 \dots x_n]$ 

If 
$$f \in \langle f_1 \dots f_s \rangle$$
,  $p \in k[x_1 \dots x_n]$ 

$$p \cdot f = p \sum_{i=1}^{s} q_j f_j = \sum_{i=1}^{s} p q_j f_j, \quad p q_j \in k[x_1 \dots x_n] \text{ so}$$

$$p \cdot f \in \langle f_1 \dots f_s \rangle$$

Done.

The 2 properties in Ex. 2 are defining properties of ideals in the ring  $k[x_1 \dots x_n]$ 

**Definition 18** (1.5). Let  $I \subset k[x_1 \dots x_n], I \neq \emptyset$ I ideal if

- (a)  $f + q \in I$ ,  $\forall f, q \in I$
- (b)  $pf \in I$ ,  $\forall f \in I$ , arbitrary  $p \in k[x_1 \dots x_n]$

Thus  $\langle f_1 \dots f_s \rangle$  is an ideal by Ex. 2.

we call it the ideal generated by  $f_1 \dots f_s$ .

**Exercise 3.** Suppose  $\exists$  ideal  $J, f_1 \dots f_s \in J$  s.t.  $J \subset \langle f_1 \dots f_s \rangle$ if  $f \in \langle f_1 \dots f_s \rangle$ ,  $f = \sum_{i=1}^s p_i f_i$ ,  $p_i \in k[x_1 \dots x_n]$ 

 $\forall i = 1 \dots s, p_i f_i \in J$  and so  $\sum_{i=1}^s p_i f_i \in J$ , by def. of J as an ideal

$$\langle f_1 \dots f_s \rangle \subseteq J \Longrightarrow J = \langle f_1 \dots f_s \rangle$$

 $\Longrightarrow \langle f_1 \dots f_s \rangle$  is smallest ideal in  $k[x_1 \dots x_n]$  containing  $f_1 \dots f_s$ 

**Exercise 4.** For  $I = \langle f_1 \dots f_s \rangle$  $J = \langle q_1 \dots q_t \rangle$ 

 $I = J \text{ iff } s = t \text{ and } \forall f \in I, \ f = \sum_{i=1}^{t} q_i g_i \text{ and if } 0 = \sum_{i=1}^{t} q_i g_i, \ q_i = 0, \ \forall i = 1 \dots t, \text{ and if } 0 = \sum_{i=1}^{s} p_i f_i, \ p_i = 0,$ 

**Definition 19** (1.6).

$$\sqrt{I} = \{g \in k[x_1 \dots x_n] | g^m \in I \text{ for some } m \ge 1\}$$

e.g.  $x + y \in \sqrt{\langle x^2 + 3xy, 3xy + y^2 \rangle}$ in  $\mathbb{Q}[x,y]$  since

$$(x+y)^3 = x(x^2+3xy) + y(3xy+y^2) \in \langle x^2+3xy, 3xy+y^2 \rangle$$

- (Radical Ideal Property)  $\forall$  ideal  $I \subset k[x_1 \dots x_n], \sqrt{I}$  ideal,  $\sqrt{I} \supset I$
- (Hilbert basis Thm.)  $\forall$  ideal  $I \subset k[x_1 \dots x_n]$  $\exists$  finite generating set, i.e.  $\exists \{f_1 \dots f_2\} \subset k[x_1 \dots x_n] \text{ s.t. } I = \langle f_1 \dots f_s \rangle$
- (Division Algorithm in k[x])  $\forall f, g \in k[x]$  (EY: in 1 variable)  $\forall f, g \in k[x] \text{ (in 1 variable)}$

 $f = qq + r, \exists !$  quotient  $q, \exists$  remainder r

11.2.

#### 11.3. Gröbner Bases.

**Definition 20** (3.1). Gröbner basis for  $I \equiv G = \{g_1 \dots g_k\} \subset I$  s.t.  $\forall f \in I$ , LT(f) divisible by  $LT(g_i)$  for some i

• (Uniqueness of Remainders) let ideal  $I \subset k[x_1 \dots x_n]$ division of  $f \in k[x_1 \dots x_n]$  by Grö bner basis for I, produces f = q + r,  $g \in I$ , and no term in r divisible by any element of LT(I)

11.4. **Affine Varieties.** affine *n*-dim. space over k  $k^n = \{(a_1 \dots a_n) | a_1 \dots a_n \in k\}$   $\forall$  polynomial  $f \in k[x_1 \dots x_n], (a_1 \dots a_n) \in k^n$ 

$$f:k^n\to k$$

$$f(a_1 \dots a_n)$$
 s.t.  $x_i = a_i$  i.e.

if 
$$f = \sum_{\alpha} c_{\alpha} x^{\alpha}$$
 for  $c_{\alpha} \in k$ , then  $f(a_1 \dots a_n) = \sum_{\alpha} c_{\alpha} a^{\alpha} \in k$ , where  $a^{\alpha} = a_1^{\alpha_1} \dots a_n^{\alpha_n}$ 

**Definition 21** (4.1). affine variety  $V(f_1 \dots f_s) = \{(a_1 \dots a_n) | (a_1 \dots a_n) \in k^n, f_1(x_1 \dots x_n) = \dots = f_s(x_1 \dots x_n) = 0\}$ subset  $V \subset k^n$  is affine variety if  $V = V(f_1 \dots f_s)$  for some  $\{f_i\}$ , polynomial  $f_i \in k[x_1 \dots x_n]$ 

• (Equal Ideals Have Equal Varieties) If  $\langle f_1 \dots f_s \rangle = \langle g_1 \dots g_t \rangle$  in  $k[x_1 \dots x_n]$ , then  $\mathbf{V}(f_1 \dots f_s) = \mathbf{V}(g_1 \dots g_t)$ 

so, recap if 
$$\langle f_1 \dots f_s \rangle = \langle g_1 \dots g_t \rangle$$
 in  $k[x_1 \dots x_n]$ ,

Recall Hilbert basis Thm.  $\forall$  ideal  $I \subset k[x_1 \dots x_n]$ 

$$I = \langle f_1 \dots f_s \rangle$$

$$\implies$$
 if  $I = J$ , then  $V(I) = V(J)$ 

then  $V(f_1 \dots f_s) = V(g_1 \dots g_t)$ 

think of V defined by I, rather than  $f_1 = \cdots = f_s = 0$ 

#### Exercise 3.

Recall Def. 1.5 Let  $I \subset k[x_1 \dots x_n]$ 

 $I \text{ ideal if } f + g \in I \quad \forall f, g \in I$ 

$$pf \in I$$
,  $\forall f \in I$  arbitrary  $p \in k[x_1 \dots x_n]$ 

Let  $f, g \in I(V)$ 

$$(f+g)(a_1 \dots a_n) = f(a_1 \dots a_n) + g(a_1 \dots a_n) = 0 + 0 = 0$$
  $f+g \in I(V)$   
 $pf(a_1 \dots a_n) = p(a_1 \dots a_n)f(a_1 \dots a_n) = 0$   $pf \in I(V)$ 

Then I(V) an ideal.

$$V = V(x^2)$$
 in  $\mathbb{R}^2$ 

$$I = \langle x^2 \rangle$$
 in  $\mathbb{R}[x, y], I = \{px^2 | p \in k[x, y]\}$ 

 $I \subset I(V)$ , since  $px^2 = 0$  for  $x^2 = 0$ , (0,b),  $b \in \mathbb{R}$ 

But  $p(x,y) = x \in I(V)$ , as

$$I(V) = \{ f \in k[x_1 \dots x_n] | f(a_1 \dots a_n) = 0, \forall (a_1 \dots a_n) \in V \}$$

$$p(0,b) = x = 0$$

But  $x \notin I$ 

# Exercise 4. $I \subset \sqrt{I}$

Recall Def. 1.6  $\sqrt{I} = \{g \in k[x_1 \dots x_n] | g^m \in I \text{ for some } m \ge 1\}$ 

$$\forall f \in I, f = f^1, m = 1, \text{ so } f \in \sqrt{I}, \quad I \subset \sqrt{I}$$

Hilbert basis thm.,  $\forall$  ideal  $I \subset k[x_1 \dots x_n]$  s.t.  $I = \langle f_1 \dots f_s \rangle$ 

 $\{V(I) = \{(a_1 \dots a_n) | (a_1 \dots a_n) \in k^n, f_1(a_1 \dots a_n) = \dots = f_s(a_1 \dots a_n) = 0 \}$ 

$$\mathbf{I}(\mathbf{V}(I)) = \{ f \in k[x_1 \dots x_n] | f(a_1 \dots a_n) = 0 \quad \forall (a_1 \dots a_n) \in V(I) \}$$

Let  $g \in \sqrt{I}$ ,  $g^m \in I$ ,  $g^m = g^{m-1}g$ 

$$g^m(a_1 \dots a_n) = 0 = g^{m-1}(a_1 \dots a_n)g(a_1 \dots a_n) = 0$$
. Then  $g(a_1 \dots a_n) = 0$  or  $g^{m-1}(a_1 \dots a_m) = 0$  as  $g^m \in I$ , and  $V(I)$  is s.t.  $f_1(a_1 \dots a_n) = \dots = f_s(a_1 \dots a_n) = 0$  for  $I = \langle f_1 \dots f_s \rangle$ 

• (Strong Nullstellensatz) if k algebraically closed (e.g.  $\mathbb{C}$ ), I ideal in  $k[x_1 \dots x_n]$ , then

$$\mathbf{I}(\mathbf{V}(I) = \sqrt{I}$$

• (Ideal-variety correspondence) Let k arbitrary field

$$I \subset I(V(I))$$

$$V(I(V)) = V \quad \forall V$$

Additional Exercises for Sec.4. Exercise 6.

## 12. Solving Polynomial Equations

12.1.

12.2. **Finite-Dimensional Algebras.** Gröbner basis  $G = \{g_1 \dots g_t\}$  of ideal  $I \subset k[x_1 \dots x_n]$ , recall def.: Gröbner basis  $G = \{g_1 \dots g_t\} \subset I$  of ideal  $I, \forall f \in I, \mathrm{LT}(f)$  divisible by  $\mathrm{LT}(g_i)$  for some i  $f \in k[x_1 \dots x_n]$  divide by G produces  $f = g + r, g \in I, r$  not divisible by any  $\mathrm{LT}(I)$  uniqueness of r  $f \in k[x_1 \dots x_n]$  divide by G,

Recall from Ch. 1, divide  $f \in k[x_1 \dots x_n]$  by G, the division algorithm yields

(20) 
$$f = h_1 g_1 + \dots + h_t g_t + \overline{f}^G$$

where remainder  $\overline{f}^G$  is a linear combination of monomials  $x^{\alpha} \notin \langle \operatorname{LT}(I) \rangle$ 

since Gröbner basis,  $f \in I$  iff  $\overline{f}^G = 0$  $\forall f \in k[x_1 \dots x_n]$ , we have coset  $[f] = f + I = \{f + h | h \in I\}$  s.t. [f] = [g] iff  $f - g \in I$ 

We have a 1-to-1 correspondence

remainders  $\leftrightarrow$  cosets

$$\overline{f}^G \leftrightarrow [f]$$

algebraic

$$\overline{f}^G + \overline{g}^G \leftrightarrow [f] + [g]$$
$$\overline{f}^G \cdot \overline{g}^G \leftrightarrow [f] \cdot [g]$$

 $B=\{x^\alpha|x^\alpha\notin\langle\mathrm{LT}(I)\rangle\}$  is a basis of A, basis monomials, standard monomials 20141023 EY's take

$$\forall [f] \in A = k[x_1 \dots x_n]/I, \quad [f] = p_i b_i; \quad b_i \in B = \{x^\alpha | x^\alpha \notin \langle LT(I) \rangle \}$$

For  $I = \langle G \rangle$ 

e.g. 
$$G = \{x^2 + \frac{3}{2}xy + \frac{1}{2}y^2 - \frac{3}{2}x - \frac{3}{2}y, xy^2 - x, y^3 - y\}$$

 $\langle \operatorname{LT}(I) \rangle = \langle x^2, xy^2, y^3 \rangle$ 

e.g.  $B = \{1, x, y, xy, y^2\}$ 

 $[f] \cdot [g] = [fg]$ 

e.g. f = x, g = xy,  $[fg] = [x^2y]$ 

now  $f = h_1 g_1 + \dots + h_t g_t + \overline{f}^C$ 

12.3.

#### 12.4. Solving Equations via Eigenvalues and Eigenvectors.

#### 12

## 13. Resultants

#### 14. Computation in Local Rings

## 14.1. Local Rings.

Definition 22 (1.1).

$$k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle} \equiv \{\frac{f}{g} | \text{ rational functions } \frac{f}{g} \text{ of } x_1 \dots x_n \text{ with } g(p) \neq 0 \text{ at } p \}$$

main properties of  $k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$ 

**Proposition 8** (1.2). Let  $R = k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$ . Then

- (a) R subring of field of rational functions  $k(x_1 ... x_n) \supset k[x_1 ... x_n]$
- (b) Let  $M = \langle x_1 \dots x_n \rangle \subset R$  (ideal generated by  $x_1 \dots X_n$  in R)

  Then  $\forall \frac{f}{g} \in R \backslash M$ ,  $\frac{f}{g}$  unit in R (i.e. multiplicative inverse in R)
- (c) M maximal ideal in R

**Exercise 1.** if  $p = (a_1 \dots a_n) \in k^n$ ,  $R = \{\frac{f}{g} | f, g \in k[x_1 \dots x_n], g(p) \neq 0\}$ 

- (a) R subring of field of rational functions  $k(x_1 \dots x_n)$
- (b) Let M ideal generated by  $x_1 a_1 \dots x_n a_n$  in RThen  $\forall \frac{f}{g} \in R \backslash M$ ,  $\frac{f}{g}$  unit in R (i.e. multiplicative inverse in R)
- (c) M maximal ideal in R

Proof. let  $p = (a_1 \dots a_n) \in k^n$ let  $g_1(p) \neq 0$ ,  $g_2(p) \neq 0$ 

$$\frac{f_1}{g_1} + \frac{f_2}{g_2} = \frac{f_1 g_2 + f_2 g_1}{g_1 g_2} \qquad g_1(p) g_2(p) \neq 0 \text{ so } \frac{f_1}{g_1} + \frac{f_2}{g_2} \in R$$

$$\frac{f_1}{g_1} \cdot \frac{f_2}{g_2} = \frac{f_1 f_2}{g_1 g_2} \qquad g_1(p) g_2(p) \neq 0 \text{ so } \frac{f_1}{g_1} \frac{f_2}{g_2} \in R$$

 $f = \frac{f}{I} \in R$ ,  $\forall f \in k[x_1 \dots x_n]$ , so  $k[x_1 \dots x_n] \subset R$ 

EY : 20141027, to recap,

Let  $V = k^n$ 

Let  $p = (a_1 \dots a_n)$ 

single pt.  $\{p\}$  is (an example of) a variety

$$I(\{p\}) = \{x_1 - a_1 \dots x_n - a_n\} \subset k[x_1 \dots x_n]$$

 $R \equiv k[x_1 \dots x_n]_{\langle x_1 - a_1 \dots x_n - a_n \rangle}$ 

$$R = \{\frac{f}{g} | \text{ rational function } \frac{f}{g} \text{ of } x_1 \dots x_n, g(p) \neq 0, p = (a_1 \dots a_n) \}$$

Prop. 1.2. properties

- (a) R subring of field of rational functions  $k(x_1 ... x_n) = k(x_1 ... x_n) \subset R$
- (b)  $M = \langle x_1 \dots a_1 \dots x_n a_n \rangle \subset R$ . ideal generated by  $x_1 a_1 \dots x_n a_n$ Then  $\forall \frac{f}{g} \in R \backslash M$ ,  $\frac{f}{g}$  unit in R ( $\exists$  multiplicative inverse in R)
- (c) M maximal ideal in R.

in R we allow denominators that are not elements of this ideal  $I(\{p\})$ 

**Definition 23** (1.3). local ring is a ring that has exactly 1 maximal ideal

**Proposition 9** (1.4). ring R with proper ideal  $M \subset R$  is local ring if  $\forall \frac{f}{g} \in R \setminus M$  is unit in R

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localization Ex. 8, Ex. 9 parametrization

Exercise 2.

$$x = x(t) = \frac{-2t^2}{1+t^2}$$
$$y = y(t) = \frac{2t}{1+t^2}$$

$$k[t]_{\langle t\rangle} = \frac{-2t^2}{1+t^2}$$
 rational function of  $t.$   $1+t^2\neq 0$  if  $k=\mathbb{C}$  or  $\mathbb{R}$ 

Consider set of convergent power series in n variables

(21) 
$$k\{x_1 \dots x_n\} = \{ \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha x^\alpha | c_\alpha \in k, \text{ series converges in some open } U \ni 0 \in k^n \}$$

Consider set  $k[[x_1 \dots x_n]]$  of formal power series

(22) 
$$k[[x_1 \dots x_n]] = \{ \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_{\alpha} x^{\alpha} | c_{\alpha} \in k \} \text{ series need not converge}$$

variety V

$$k[x_1 \dots x_n]/\mathbf{I}(V)$$
 variety  $V$ 

14.2. Multiplicities and Milnor Numbers. if I ideal in  $k[x_1 ... x_n]$ , then denote  $Ik[x_1 ... x_n]_{\langle x_1 ... x_n \rangle}$  ideal generated by I in larger ring  $k[x_1 ... x_n]_{\langle x_1 ... x_n \rangle}$ 

**Definition 24** (2.1). Let I 0-dim. ideal in  $k[x_1 \dots x_n]$ , so V(I) consists of finitely many pts. in  $k^n$ . Assume  $(0 \dots 0) \in V(I)$ 

 $\square$  multiplicity of  $(0...0) \in V(I)$  is

$$dim_k k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle} / Ik[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$$

generally, if  $p = (a_1 \dots a_n) \in V(I)$ multiplicity of p,  $m(p) = \dim k[x_1 \dots x_n]_M / Ik[x_1 \dots x_n]_M$ 

$$\dim k[x_1 \dots x_n]_M / Ik[x_1 \dots x_n]_M$$

localizing  $k[x_1 \dots x_n]$  at maximal ideal  $M = I(\{p\}) = \langle x_1 - a_1 \dots x_n - a_n \rangle$ 

15.

16.

- 17. Polytopes, Resultants, and Equations
- 18. Polyhedral Regions and Polynomials

#### 18.1. Integer Programming. Prop. 1.12.

Suppose 2 customers A, B ship to same location

A: ship 400 kg pallet taking up  $2 m^3$  volume

B: ship 500 kg pallet taking up  $3 m^3$  volume

shipping firm trucks carry up to 3700 kg, up to  $20 m^3$ 

B's product more perishable, paying \$ 15 per pallet

A pays \$ 11 per pallet

How many pallets from A, B each in truck to maximize revenues?

(23) 
$$4A + 5B \le 37$$
$$2A + 3B \le 20$$
$$A, B \in \mathbb{Z}_{>0}^*$$

maximize 11A + 15B

integer programming.

max. or min. value of some linear function

$$l(A_1 \dots A_n) = \sum_{i=1}^n c_i A_i$$

on set  $(A_1 \dots A_n) \in \mathbb{Z}_{>0}^n$  s.t.

3. Finally, by introducing additional variables; rewrite linear constraint inequalities as equalities. The new variables are called "slack variables"

$$(24) a_{ij}A_j = b_i, \quad A_j \in \mathbb{Z}_{\geq 0}$$

introduce indeterminate  $z_i$ ,  $\forall$  equation in (1.4)

$$z_i^{a_{ij}A_j} = z_i^{b_i}$$

m constraints

$$\prod_{i=1}^{m} z_i^{a_{ij}A_j} = \prod_{i=1}^{m} z_i^{b_i} = \left(\prod_{i=1}^{m} z_i^{a_{ij}}\right)^{A_j}$$

**Proposition 10** (1.6). Let k field, define  $\varphi : k[w_1 \dots w_n] \to k[z_1 \dots z_m]$  by

$$\varphi(w_j) = \prod_{i=1}^m z_i^{a_{ij}} \qquad \forall j = 1 \dots n$$

and

$$\varphi(g(w_1 \dots w_n)) = g(\varphi(w_1) \dots \varphi(w_n))$$

 $\forall$  general polynomial  $g \in k[w_1 \dots w_n]$ 

Then  $(A_1 
ldots A_n)$  integer pt. in feasible region iff  $\varphi : w_1^{A_1} 
ldots w_n^{A_n} \mapsto z_1^{b_1} 
ldots z_m^{b_m}$ 

Exercise 3.

Now

$$\varphi(w_j) = \prod_{i=1}^m z_i^{a_{ij}}$$
$$z_i^{a_{ij}A_j} = z_i^{b_i}$$

If  $(A_1 ... A_n)$  an integer pt. in feasible region,  $a_{ij}A_j = b_i$ 

$$z_i^{a_{ij}A_j} = z_i^{b_i} = \prod_{j=1}^n z_i^{a_{ij}A_j} \Longrightarrow \prod_{j=1}^n \prod_{i=1}^m (z_i^{a_{ij}})^{A_j} = \prod_{i=1}^m z_i^{b_i} = \prod_{j=1}^n \varphi(w_j)^{A_j} = \prod_{j=1}^n \varphi(w_j)^{A_j} = \varphi\left(\prod_{j=1}^n w_j^{A_j}\right) = \prod_{i=1}^m z_i^{b_i}$$

since  $\varphi(g(w_1 \dots w_n)) = g(\varphi(w_1) \dots \varphi(w_n))$ 

If  $\varphi: \prod_{j=1}^n w_j^{A_j} \mapsto \prod_{i=1}^m z_i^{b_i}$ 

$$\varphi\left(\prod_{j=1}^{n} w_{j}^{A_{j}}\right) = \prod_{j=1}^{n} (\varphi(w_{j}))^{A_{j}} = \prod_{i=1}^{m} z_{i}^{b_{i}} = \prod_{j=1}^{n} \left(\prod_{i=1}^{m} z_{i}^{a_{ij}}\right)^{A_{j}} \Longrightarrow \prod_{j=1}^{n} z_{i}^{a_{ij}A_{j}} = z_{i}^{b_{i}}$$

or  $a_{ij}A_j = b_i$ . So  $(A_1 \dots A_n)$  integer pt.

Exercise 4.

$$\prod_{i=1}^{m} z_i^{b_i} = \prod_{i=1}^{m} \prod_{j=1}^{n} z_i^{a_{ij} A_j} = \prod_{j=1}^{n} \left( \prod_{i=1}^{m} z_i^{a_{ij}} \right)^{A_j} = \prod_{j=1}^{n} \varphi(w_j)^{A_j} = \varphi\left( \prod_{j=1}^{n} w_j^{A_j} \right)^{A_j}$$

So if given  $(b_1 
ldots b_m) \in \mathbb{Z}^m$ , and for a given  $a_{ij}$ ,  $a_{ij}A_j = b_i$ 

For  $m \leq n$ , then  $a_{ij}$  is surjective, so  $\exists A_j$  s.t.  $\prod_{i=1}^m z_i^{b_i} = \varphi\left(\prod_{j=1}^n w_j^{A_j}\right)$ 

**Proposition 11** (1.8). Suppose  $f_1 \dots f_n \in k[z_1 \dots z_m]$  given

Fix monomial order in  $k[z_1 \ldots z_n, w_1 \ldots w_n]$  with elimination property:

 $\forall$  monomial containing 1 of  $z_i$  greater than any monomial containing only  $w_j$ 

Let G Gröbner basis for ideal

$$I = \langle f_1 - w_1 \dots f_n - w_n \rangle \subset k[z_1 \dots z_m, w_1 \dots w_n]$$

 $\forall f \in k[z_1 \dots z_m], \text{ let } \overline{f}^{\mathcal{G}} \text{ be remainder on division of } f \text{ by } \mathcal{G}$ Then

- (a) polynomial f s.t.  $f \in k[f_1 \dots f_n]$  iff  $g = \overline{f}^{\mathcal{G}} \in k[w_1 \dots w_n]$
- (b) if  $f \in k[f_1 \dots f_n]$  as in part (a),  $q = \overline{f}^{\mathcal{G}} \in k[w_1 \dots w_n]$

then  $f = g(f_1 \dots f_n)$  , giving an expression for f as polynomial in  $f_j$ 

- (c) if  $\forall f_i, f \text{ monomials, } f \in k[f_1 \dots f_n],$ then a also a monomial.
- 18.2. Integer Programming and Combinatorics.

19. Algebraic Coding Theory

20. The Berlekamp-Massey-Sakata Decoding Algorithm

Gröbner Bases, Martin R. Albrecht of the DTU Crypto Group

# Part 4. Conformal Field Theory: Virasoro Algebra

cf. Schottenloher (2008) [?]

**Definition 25.** extension of G by group A is (given by) an exact sequence of group homomorphisms.

$$1 \longrightarrow A \stackrel{i}{\longrightarrow} E \stackrel{\pi}{\longrightarrow} G \longrightarrow 1$$

cf. Def. 3.1 of Schottenloher (2008) [?].

Recall that an exact sequence, if  $\lim_{i \to A} (1 \to A) = \ker(i)$  $\lim_{i \to A} (i) = \ker(\pi)$ 

$$im(\pi) = ker(G \to 1)$$

By Thm.,  $1 \to A \xrightarrow{i} E$  exact so i injective.

 $E \xrightarrow{\pi} G \to 1$  exact so  $\pi$  surjective.

Extension is called **central** if A abelian and image im is in center of E, i.e.  $a \in A, b \in E \Longrightarrow i(a)b = bi(a)$ 

20.0.1. Examples of extensions of G, and central extensions of G (which has a particular E). e.g. central extension has form

$$1 \longrightarrow A \stackrel{i}{\longrightarrow} A \times G \stackrel{\operatorname{pr}_2}{\longrightarrow} G \longrightarrow 1$$

where  $i: A \to A \times G$ 

$$a \mapsto (a, 1)$$

$$i(a)(a',g) = (a,1)(a',g) = (aa',g) =$$
  
=  $(a'a,g\cdot 1) = (a',g)(a,1) = (a',g)i(a)$ 

Notice that what the *exactness* property of an exact sequence does:

$$pr_2i(a) = pr_2(a, 1) = 1$$

e.g. of a nontrivial central extension is exact sequence

$$1 \longrightarrow \mathbb{Z}/k\mathbb{Z} \longrightarrow E \times U(1) \stackrel{\pi}{\longrightarrow} U(1) \longrightarrow 1$$

with  $\pi(z) = z^k \quad \forall k \in \mathbb{N}, k \geq 2$ , since E = U(1) and  $\mathbb{Z}/k\mathbb{Z}$  are not isomorphic.

Also, homomorphism  $\tau: U(1) \to E$  with  $\pi \circ \tau = 1_{U(1)}$ , doesn't exist, since there's no global kth root.

EY: 20170926 It's that in integer division of the argument in a complex number  $z \in U(1)$ , and exponent multiplication by k, you go from 1 to many and many to 1, depending upon the "branch" you're mapping to for complex numbers. For  $[n] \in \mathbb{Z}/k\mathbb{Z}$ ,

$$[n] \stackrel{i}{\mapsto} \exp\left(\frac{[n]}{k} 2\pi i\right)$$

and so

(25)

$$\ker \pi = \{z | \pi(z) = 1\}$$
 so that  $\ker \pi = \{z = \exp\left(\frac{i2\pi n}{k}\right)\}$ 

e.g. Semidirect products.

group G acting on another group H, by homomorphism

$$\tau:G\to \operatorname{Aut}(H)$$

# Part 5. Algebraic Topology

cf. Bredon (1997) [5]

## 21. Simplicial Complexes

cf. pp. 245, from Sec. 21 Simplicial Complexes of Ch. 4 Homology Theory in Bredon (1997) [5]  $\mathbf{v}_0, \dots \mathbf{v}_n \in \mathbb{R}^{\infty}$ , "affinely independent" if they span an affine *n*-plane, i.e.

if 
$$\left(\sum_{i=0}^{n} \lambda_i \mathbf{v}_i = 0, \sum_{i=0}^{n} \lambda_i = 0\right)$$
, then  $\Longrightarrow \forall \lambda_i = 0$ 

If not, then, e.g.  $\lambda_0 \neq 0$ , assume  $\lambda_0 = -1$ , and solve the equations to get

$$\mathbf{v}_0 = \sum_{i=1}^n \lambda_i \mathbf{v}_i$$
$$\sum_{i=1}^n \lambda_i = 1$$

i.e.  $\mathbf{v}_0$  is in affine space spanned by  $\mathbf{v}_1 \dots \mathbf{v}_n$ . If  $\mathbf{v}_0, \dots \mathbf{v}_n$  affinely independent, then

(26) 
$$\sigma = (\mathbf{v}_0, \dots \mathbf{v}_n) = \{ \sum_{i=0}^n \lambda_i \mathbf{v}_i | \sum_{i=0}^n \lambda_i = 1, \lambda_i \ge 0 \}$$

is "affine simplex" spanned by  $\mathbf{v}_i$ ; also convex hull of  $\mathbf{v}_i$ .

 $\forall k \leq n, k$ -face of  $\sigma$  is any affine simplex of form  $(\mathbf{v}_{i_1}, \dots \mathbf{v}_{i_k})$ , where vertices all distinct, so are affinely independent.

**Definition 26.** (geometric) simplicial complex K := collection of affine simplices s.t.

- (1)  $\sigma \in K \Longrightarrow any face of \sigma \in K$ ; and
- (2)  $\sigma, \tau \in K \Longrightarrow \sigma \cap \tau$  is a face of both  $\sigma$  and  $\tau$ , or  $\sigma \cap \tau = \emptyset$

If K simplicial complex,  $|K| = \bigcup \{\sigma | \sigma \in K\} \equiv \text{"polyhedron" of } K$ 

**Definition 27** (Def. 21.2 of Bredon (1997) [5]). polyhedron := space X if  $\exists$  homeomorphism  $h: |K| \xrightarrow{\approx} X$  for some simplicial complex K. h, K is triangulation of X; (map h, complex K)

Let K finite simplicial complex.

Choose ordering of vertices  $\mathbf{v}_0, \mathbf{v}_1, \dots$  of K.

If  $\sigma = (\mathbf{v}_{\sigma_0}, \dots \mathbf{v}_{\sigma_n})$  is simplex of K, where  $\sigma_0 < \dots < \sigma_n$ , then let  $f_{\sigma} : \Delta_n \to |K|$  be

$$f_{\sigma} = [\mathbf{v}_{\sigma_b}, \dots \mathbf{v}_{\sigma_n}]$$

in notation of Def. 1.2. Bredon (1997) [5].

Then this gives CW-complex structure on |K| with  $f_{\sigma}$  as characteristic maps.

## Part 6. Graphs, Finite Graphs

22. Graphs, Finite Graphs, Trees

Serre (1980) [6]

cf. Chapter I. Trees and Amalgams, Section 1 Amalgams, Subsection 1.1 Direct limits of Serre (1980) [6] Let  $(G_i)_{i\in I}$ , family of groups.

 $\forall$  pair (i,j), let  $F_{ij}$  = set of homomorphisms of  $G_i$  into  $G_j$ 

Want: group  $G = \lim_{i \to \infty} G_i$  and

$$\{f_i|f_i:G_i\to G\}$$
 s.t.  $f_i\circ f=f_i \quad \forall\, f\in F_{ij}$ 

group G and family  $\{f_i\}$  universal in that

(\*) if 
$$H$$
 group, if  $\{h_i|h_i:G_i\to H;h_i\circ f=h_i \quad \forall f\in F_{ij}\},$ 

then  $\exists ! h : G \to H \text{ s.t. } h_i = h \circ f_i$ 

i.e.  $\operatorname{Hom}(G, H) \simeq \lim \operatorname{Hom}(G_i, H)$ , the inverse limit being taken relative to  $F_{ij}$ .

i.e. G direct limit of  $G_i$  relative to the  $F_{ij}$ .

**Proposition 12.**  $\exists$ ! pair G, family  $(f_i)_{i\in I}$ , i.e. (pair consisting of G,  $(f_i)_{i\in I}$ , unique up to unique isomorphism.

*Proof.* Define G by generators and relations.

Take generating family to be disjoint union of those for  $G_i$ .

relations -  $xyz^{-1}$  where  $x, y, z \in G_i$ ,  $z = xy \in G_i$ 

$$xy^{-1}$$
 where  $x \in G_i$ ,  $y \in G_j$ ,  $y = f(x)$  for at least  $f \in F_{ij}$ .

Thus, existence of G,  $\{f_i\}$ .

G represents functor  $H \mapsto \lim \operatorname{Hom}(G_i, H)$ .

Thus, uniqueness (also from universal property).

e.g. groups  $A, G_1, G_2$ , homomorphisms  $f_1: A \to G_1$ .

$$f_2:A\to G_2$$

G obtained by amalgamating A in  $G_1, G_2$  by  $f_1, f_2 \equiv G_1 *_A G_2$ .

1 can have  $G = \{1\}$ , even though  $f_1, f_2$  non-trivial.

Application: (Van Kampen Thm.)

Let topological space X be covered by open  $U_1, U_2$ .

Suppose  $U_1, U_2, U_{12} = U_1 \cap U_2$  arcwise connected.

Let basept.  $x \in U_{12}$ .

Then  $\pi_1(X;x)$  obtained by taking 3 groups

$$\pi_1(U_1; x), \pi_1(U_2; x), \pi_1(U_{12}; x)$$

and amalagamating them according to homomorphism

$$\pi_1(U_{12};x) \to \pi_1(U_1;x)$$

$$\pi_1(U_{12};x) \to \pi_1(U_2;x)$$

**Exercise 1.** Let homomorphisms  $f_1: A \to G_1$  amalgam  $G = G_1 *_A G_2$ .

$$f_2:A\to G_2$$

Define subgroups  $A^n, G_1^n, G_2^n$ , of  $A, G_1, G_2$  recursively by

$$A^1 = \{1\}$$

$$G_1^1 = \{1\}$$

$$G_2^1 = \{1\}$$

 $A^n$  = subgroup of A generated by  $f_1^{-1}(G_1^{n-1})$  and  $f_2^{-1}(G_2^{n-1})$ 

$$G_1^n = \text{subgroup of } G_i \text{ generated by } f_i(A^n)$$

Let  $A^{\infty}, G_i^{\infty}$  be unions of  $A^n, G_i^n$  resp.

Show that  $f_i$  defines injection  $A/A^{\infty} \to G_i/G_i^{\infty}$ .

So the amalgamation is  $G \simeq G_1/G_1^{\infty} *_{A/A^{\infty}} G_2/G_2^{\infty}$ .

Take the first induction case (for intuition about the solution).

$$A^{2} = \langle f_{1}^{-1}(G_{1}^{1}), f_{2}^{-1}(G_{2}^{1}) \rangle = \langle f_{1}^{-1}(\{1\}), f_{2}^{-1}(\{1\}) \rangle$$
  

$$G_{i}^{2} = f_{i}(A^{2})$$

Let  $f_i(a) = f_i(b) \in G_i/G_i^{\infty}$ ;  $a, b \in A/A^{\infty}$ 

Then since  $f_i(a), f_i(b) \in G_i/G_i^{\infty}, f_i(a), f_i(b) \in \{gG_i^{\infty} | g \in G_i\}$  (quotient is defined to be the set of all left cosets of  $G_i^{\infty}$ , which has to be a normal subgroup for  $G_i/G_i^{\infty}$  to be a quotient group).

Since  $a, b \in A/A^{\infty}$ , suppose we take  $a, b \in A$ .

And suppose we take

$$f_i(a) = f_i(a)G_i^{\infty} = f_i(a)f_i(A^{n_a}) = f_i(aA^{n_a})$$

$$f_i(b) = f_i(b)G_i^{\infty} = f_i(b)f_i(A^{n_b}) = f_i(bA^{n_b})$$

Taking  $f_i^{-1}$  (recall for group homomorphisms, they map inverse of element of 1st. group to inverse of image of this element).

 $aA^{n_a} = bA^{n_b} \in A/A^{\infty}$  (This is okay as we've "quotiented out  $A^{\infty}$ ; so indeed, they're equal)

cf. Subsection 1.2 Structure of amalgams of Serre (1980) [6]

Suppose given group A, family of groups  $(G_i)_{i \in I}$ , and,  $\forall i \in I$ , injective homomorphism  $A \to G_i$ .

 $*_A G_i \equiv \text{direct limit (cf. no. 1.1) of family } (A, G_i) \text{ with respect to these homomorphisms, call it } sum \text{ (in category theory sense, i.e. product) of } G_i \text{ with } A \text{ amalgamated.}$ 

e.g. 
$$A = \{1\},\$$

 $*G_i \equiv \text{free product of } G_i.$ 

22.0.1. reduced word.  $\forall i \in I$ , choose set  $S_i$  of right coset representations of  $G_i$  modulo A, assume  $1 \in S_i$ ,

 $(a, s) \mapsto as$  is bijection of  $A \times S_i$  onto  $G_i$ ,

$$A \times (S_i - \{1\}) \rightarrow G_i - A \text{ (onto)}$$

Let 
$$\mathbf{i} = (i_1 \dots i_n), n \ge 0, i_i \in I$$
, s.t.

$$i_m \neq i_{m+1}$$
 for  $1 \leq m \leq n-1$ 

cf. (T) of Serre (1980) [6].

(27)

So reduced word m is defined as

$$m = (a; s_1 \dots s_n)$$

where  $a \in A, s_1 \in S_{i_1} \dots s_n \in S_{i_n}$ , and  $s - j \neq 1 \forall j$ .

 $f \equiv \text{canonical homomorphism of } A \text{ into group } G = *_A G_i$ 

 $f_i \equiv \text{canonical homomorphism of } G_i \text{ into group } G = *_A G_i$ 

EY: 20170611 (Further explanations, basic examples, from me):

Given  $A, \{G_i\}_{i \in I}$ , injective (group) homomorphisms  $\{f_i : A \to G_i\}_i$ .

 $G_i \setminus f_i(A) = \{ f_i(A)g | g \in G_i \}.$ 

Right coset representation of  $f_i(A)g \mapsto g$ .

e.g. 
$$A, G_1, G_2, f_1 : A \to G_1$$
.  
 $f_2 : A \to G_2$ 

$$G_1 \backslash f_1(A) = \{ f_1(A)g | g \in G_1 \}$$

$$G_2 \backslash f_2(A) = \{ f_2(A)g | g \in G_2 \}$$

 $\mathbf{i} = (i_1 \dots i_n), i_j \in I, i_m \neq i_{m+1} \text{ for } 1 \leq m \leq n-1.$  Consider  $(1212 \dots 12)$   $m = (a; f_1g_2f_3g_4 \dots f_{2n-1}, g_{2n})$  where f's  $\in S_1 \subset G_1$ , g's  $\in S_2 \subset G_2$ . and so

**Definition 28** (reduced word). reduced word of type i, m,

$$(28) m = (a; s_1 \dots s_n)$$

where  $a \in A, s_1 \in S_{i_1}, \dots s_n \in S_{i_n}, s_j \neq 1 \quad \forall j,$   $\mathbf{i} = (i_1 \dots i_n), i_j \in I, \text{ s.t. } i_m \neq i_{m+1} \text{ for } 1 \leq m \leq n-1,$ with  $S_i = \{g | g \in f_i(A)g \in f_i(A)G_i\}$ 

**Theorem 10** (1 of Serre (1980) [6] ).  $\forall g \in G, \exists sequence i s.t. i_m \neq i_{m+1} for 1 \leq m \leq n-1 and reduced word$ 

$$m = (a; s_1 \dots s_n)$$

of type i s.t.

$$g = f(a)f_{i_1}(s_1)\dots f_{i_n}(s_n)$$

Furthermore,  $\mathbf{i}$  and m unique.

Remark. Thm. 1 implies  $f; f_i$  injective.

Then identify A and  $G_i$  with images  $f(A), f_i(G_i)$  in G, and reduced decomposition (\*) of  $g \in G$ 

$$q = as_1 \dots s_n, \quad a \in A, s_1 \in S_{i_1} - \{1\} \dots s_n \in S_{i_n} - \{1\}$$

Likewise,  $G_i \cap G_j = A$  if  $i \neq j$ .

In particular,  $S_i - \{1\}$  pairwise disjoint in G.

*Proof.* Let  $X_i \equiv \text{set of reduced words of type } \mathbf{i}, X = \coprod X_i$ .

Make G act on X.

In view of universal property of G, sufficient to make  $\forall i, G_i$  act,

check action induced on A doesn't depend on i

Suppose then that  $i \in I$ , and let  $Y_i = \text{set of reduced words of form } (1; s_1 \dots s_n)$ , with  $i_1 \neq i$ .

EY: 20170611

Recall that

$$S_i = \{g | g \in f_i(A)g \in f_i(A)G_i\}$$
  
 $A \times S_i \to G_i \text{ onto}$   
 $A \times (S_i - \{1\}) \to G_i - A \text{ onto}$   
 $(a, s) \mapsto as \text{ bijection}$ 

Let  $Y_i = \text{set of reduced words of form } (1; s_1 \dots s_n) = \{(1; s_1 \dots s_n) | 1 \in A; s_1 \in S_{i_1} \dots s_n \in S_{i_n}; \mathbf{i} = (i_1 \dots i_n), i_j \in I \text{ s.t. } i_m \neq i_{m+1} \text{ for } 1 \leq m \leq n-1\}.$ 

$$A \times Y_i \to X = \coprod_i X_i$$

$$(a, (1; s_1 \dots s_n)) \mapsto (a; s_1 \dots s_n)$$

$$A \times \{S_i - \{1\}) \times Y_i \to X$$

$$((a, s), (1; s_1 \dots s_n)) \mapsto (a; s, s_1 \dots s_n)$$

and remember that  $X_i = \text{set of reduced words of type } \mathbf{i}$ .

It's clear that this yields a bijection  $A \times Y_i \bigcup A \times (S_i - \{1\}) \times Y_i \to X$ .

Let  $x \in X$ . Then  $x \in X_i$  for some **i**. So x is a reduced word of type **i**:  $x = (a; s_1 \dots s_n)$ . Then clearly  $x = (a; s_1 \dots s_n) \mapsto (a, (1; s_1 \dots s_n)) \in A \times Y_i$ .

cf. pp. 13, Sec. 2. Trees, 2.1 Graphs of Serre (1980) [6]

**Definition 29** (1. of Serre (1980) [6]).  $\operatorname{graph} \Gamma = (X, Y, Y \to X \times X, Y \to Y), \text{ where } \operatorname{set} X = \operatorname{vert} \Gamma$   $\operatorname{set} Y = \operatorname{edge} \Gamma$   $Y \to X \times X$   $y \mapsto (o(y), t(y))$   $Y \to Y$   $y \mapsto \overline{y}$ 

s.t.  $\forall y \in Y, \ \overline{y} = y, \ \overline{y} \neq y, \ o(y) = t(\overline{y}).$   $vertex \ P \in X \ of \ \Gamma.$   $(oriented) \ edge \ y \in Y, \ \overline{y} \equiv inverse \ edge.$   $origin \ of \ y := vertex \ o(y) = t(\overline{y}).$   $terminus \ of \ y := vertex \ t(y) = o(\overline{y})$   $extremities \ of \ y := \{o(y), t(y)\}$   $If \ 2 \ vertices \ adjacent, \ they're \ extremities \ of \ some \ edge.$   $orientation \ of \ graph \ \Gamma = Y_+ \subset Y = \ edge \ \Gamma \ s.t. \ Y = Y_+ \coprod \overline{Y}_+. \ It \ always \ exists.$   $oriented \ graph \ defined, \ up \ to \ isomorphism, \ by \ giving \ 2 \ sets \ X, Y_+ \ and \ Y_+ \to X \times X.$  $corresponding \ set \ of \ edges \ is \ Y = Y_+ \coprod \overline{Y}_+ \ where \ \overline{Y}_+ \equiv copy \ of \ Y_+$ 

22.0.2. Realization of a Graph. cf. Realization of a Graph in Serre (1980) [6]. Let graph  $\Gamma$ ,  $X = \text{vert}\Gamma$ ,  $Y = \text{edge}\Gamma$ .

topological space  $T = X \coprod Y \times [0,1]$ , where X, Y provided with discrete topology.

Let R be finest equivalence relation on T for which

(29) 
$$(y,t) \equiv (\overline{y}, 1-t)$$

$$(y,0) \equiv o(y) \qquad \forall y \in Y, \forall t \in [0,1]$$

$$(y,1) \equiv t(y)$$

quotient space real( $\Gamma$ ) = T/R is realization of graph  $\Gamma$ . (realization is a functor which commutes with direct limits). Let  $n \in \mathbb{Z}^+$ . Consider oriented graph of n+1 vertices  $0,1,\ldots n$ ,

**Definition 30.** path (of length n) in graph  $\Gamma$  is morphism c of Path<sub>n</sub> into  $\Gamma$ 

orientation given by n edges  $[i, i+1], 0 \le i < n, \ o([i, i+1]) = i$ 

$$t([i, i+1]) = i+1$$

For n > 1.

 $(y_1 \dots y_n)$  sequence of edges  $y_i = c([i-1,i])$  s.t.

$$t(y_i) = o(y_{i+1}), \qquad 1 \le i < n \text{ determine } c$$

If  $P_i = c(i)$ ,

c is a path from  $P_0$  to  $P_n$ , and  $P_0$  and  $P_n$  are extremities of the path c. pair of form  $(y_i, y_{i+1}) = (y_i, \overline{y}_i)$  in path is **backtracking**. path (of length n-2), from  $P_0$  to  $P_n$  given (for n>2) by  $(y_1 \ldots y_{i-1}, y_{i+2} \ldots y_n)$ If  $\exists$  path from P to Q in  $\Gamma$ ,  $\exists$  one without backtracking (by induction)

direct limit  $Path_{\infty} = \varinjlim Path_n$  provides notion of infinite path.

 $\square$  Path<sub>\infty</sub>  $\ni$  infinite sequence  $(y_1, y_2, ...)$  of edges s.t.  $t(y_i) = o(y_{i+1}) \quad \forall i \ge 1$ .

**Definition 31** (connected graph; Def. 3 of Serre (1980) [6]). graph connected if  $\forall$  2 vertices, 2 vertices are extremities of at least 1 path.

maximal connected subgraphs (under relation of inclusion) are connected components of graph.

22.0.3. Circuits. Let  $n \in \mathbb{Z}^+$ ,  $n \ge 1$ .

Consider

set of vertices  $\mathbb{Z}/n\mathbb{Z}$ , orientation given by n edges [i, i+1],  $(i \in \mathbb{Z}/n\mathbb{Z})$  with o([i, i+1]) = it([i, i+1]) = i+1

**Definition 32** (circuit; Def. 4 of Serre (1980) [6]). circuit (length n) in graph is subgraph isormorphic to Circ<sub>n</sub>.

i.e. subgraph = path  $(y_1 \dots y_n)$ , without backtracking, s.t.  $P_i = t(y_i)$ ,  $(1 \le i \le n)$  distinct, s.t.  $P_n = o(y_1)$ 

$$n = 1$$
 case: Circ<sub>1</sub>,  $\mathbb{Z}/\mathbb{Z} = \{0\}$ , 1 edge,  $[0, 1]$ ,  $0 \in \mathbb{Z}/1\mathbb{Z}$ ,  $o([0, 1]) = 0$ 

Note Circ<sub>1</sub> has automorphism of order 2, which changes its orientation, i.e.

 $\exists$  automorphism  $\sigma \in Aut(Circ_1)$  s.t.  $|\sigma| = 2$ , i.e.  $\sigma^2 = 1$ .

loop := circuit of length 1; so loop  $\in \overline{\text{Circ}}_1$ .

path 
$$(y_1)$$
,  $P_1 = t(y_1) = o(y_1)$ .

n = 2 case: Circ<sub>2</sub>,  $\mathbb{Z}/2\mathbb{Z} = \{0, 1\}$ , 2 edges [0, 1], [1, 2],

path 
$$(y_1, y_2)$$
,  $(1 \le i \le 2)$ ,  $P_1 = t(y_1)$   
 $P_2 = t(y_2) = o(y_1)$ 

22.1. Combinatorial graphs. Let  $(X, S) \equiv \text{simplicial complex of dim.} \leq 1$ , with

 $X \equiv \text{set}$ 

 $S \equiv$  set of subsets of X with 1 or 2 elements, containing all the 1-element subsets. associates with it a graph  $\Gamma = (X, \{(P, Q)\})$ .

X is its set of vertices.

edges = 
$$\{(P,Q) \in X \times X\}$$
 s.t.  $P \neq Q$ ,  $\{P,Q\} \in S$ , with  $\overline{(P,Q)} = (Q,P)$   

$$o(P,Q) = P$$

$$t(P,Q) = Q$$

In this graph, 2 edges with same origin and same terminus are equal. This is equivalent to (see following Def.)

**Definition 33** (combinatorial; Def. 5 of Serre (1980) [6]). graph is combinatorial if it has no circuit of length  $\leq 2$ 

Conversely, it's easy to see that

every combinatorial graph  $\Gamma$  derived (up to isomorphism) by construction above from simplicial complex (X,S), where

 $S = \text{set of subset } \{P, Q\} \text{ of } X \text{ s.t. } P \text{ and } Q \text{ either adjacent or equal.}$ 

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