

THE ALGEBRAIC GEOMETRY ALGEBRAIC TOPOLOGY DUMP

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ABSTRACT. Everything about Algebraic Geometry, Algebraic Topology	
<b>Part 1. Algebra; Groups, Rings, R-Modules, Categories</b>	
We should know some algebra. I will follow mostly Rotman (2010) [1].	
1. PRIME NUMBERS, GCD (GREATEST COMMON DENOMINATOR), INTEGERS, EULER'S TOTIENT, CHINESE REMAINDER THEOREM, INTEGER DIVISON, MODULUS, REMAINDERS; EUCLID'S LEMMA	
<b>Definition 1</b> (natural numbers $\mathbb{N}$ ). <i>natural numbers</i> $\mathbb{N}$	
(1) $\mathbb{N} = \{ integers\ n n \geq 0 \}$	
<i>i.e.</i> $\mathbb{N}$ is set of all nonnegative integers.	
<b>Definition 2</b> (prime). <i>natural number</i> $p$ is <b>prime</b> if $p \geq 2$ , and $\nexists$ factorization $p = ab$ , where $a < p$ , $b < p$ are natural numbers.	
<b>Definition 3.</b> $a, b \in \mathbb{Z}$ <b>relatively prime</b> if $\gcd(a, b) = 1$	

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1.1. Greatest Common Denominator (GCD); Euclid’s Lemma.

□

**Theorem 1** (1.7 of Rotman (2010) [1]). *If  $a, b \in \mathbb{Z}$ , then  $\gcd(a, b) \equiv (a, b) = d$  is linear combination of  $a$  and  $b$ , i.e.  $\exists s, t \in \mathbb{Z}$  s.t.*

$$d = sa + tb$$

cf. pp.4, Thm. 1.7, Ch. 1 Things Past of Rotman (2010) [1]

*Proof.* Let  $I :=$

$$I := \{sa + tb | s, t \in \mathbb{Z}\}$$

If  $I \neq \{0\}$ , let  $d$  be smallest positive integer in  $I$ .

$d \in I$ , so  $d = sa + tb$  for some  $s, t \in \mathbb{Z}$ .

Claim:  $I = (d) \equiv \{kd | k \in \mathbb{Z}\} =$  set of all multiples of  $d$ .

Clearly  $(d) \subseteq I$ , since  $kd = k(sa + tb) = (ks)a + (kt)b \in I$ .

Let  $c \in I$ .

By division algorithm,  $c = qd + r$ ,  $0 \leq r < d$

$$r = c - qd = s'a + t'b - qsa - qtb = (s' - sq)a + (t' - qt)b \in I$$

If  $r \in I$ , but  $r < d$ , contradiction that  $\min_{\substack{i \in I \\ i > 0}} i = d$ .

So  $r = 0$ , and  $d | c = c/d$ .

$$c \in (d), \text{ so } I \subseteq (d) \implies I = (d)$$

**Theorem 2 (Euclid’s Lemma;** 1.10 of Rotman (2010) [1]). *If  $p$  prime and  $p | ab$ , then  $p | a$  or  $p | b$ .*

*More generally,*

*if prime  $p$  divides product  $a_1 a_2 \dots a_n$ ,*

*then it must divide at least 1 of the factors  $a_i$ .*

*i.e. (notation),*

*If prime  $p$ , and  $ab/p \in \mathbb{Z}$ ,*

*then  $a/p \in \mathbb{Z}$  or  $b/p \in \mathbb{Z}$ .*

*More generally,*

*if prime  $p$ , s.t.  $a_1 a_2 \dots a_n / p \in \mathbb{Z}$ ,*

*then  $\exists 1 \leq i$  s.t.  $a_i / p \in \mathbb{Z}$*

*Proof.* If  $p \nmid a$ , i.e.  $a/p \notin \mathbb{Z}$ , then  $\gcd(p, a) \equiv (p, a) = 1$ .

From Thm. 1,

$$1 = sp + ta$$

$$\implies b = spb + tab = p(sb + td)$$

$ab/p$  and so  $ab = pd$ , so  $b = spb + tdp$ , i.e.  $b$  is a multiple of  $p$  ( $b/p \in \mathbb{Z} \equiv p | b$ ).

**Corollary 1** (1.11 of Rotman (2010) [1]). *Let  $a, b, c \in \mathbb{Z}$ .*

*If  $c, a$  relatively prime, i.e.  $\gcd(c, a) = 1$ , and if  $c | ab \equiv ab/c \in \mathbb{Z}$ , then  $c | b \equiv b/c \in \mathbb{Z}$*

*Proof.*

$$\gcd(c, a) = 1 = sc + ta \implies b = sbc + tab = sbc + t(qc) = c(sb + tq) \implies b/c = sb + tq$$

**Theorem 3** (Euclidean Algorithm). *Let  $a, b \in \mathbb{Z}^+$ .*

$\exists$  *algorithm that finds  $d = \gcd a, b$*

cf. pp. 5, Thm. 1.14 (Euclidean Algorithm), Ch. 1 Things Past of Rotman (2010) [1].

*Proof.*

**Definition 4.** *Let fixed  $m \geq 0$ . Then  $a, b \in \mathbb{Z}$  are **congruent modulo  $m$** , denoted by*

$$a \equiv b \pmod{m}$$

*if  $m | (a - b)$ , i.e.  $(a - b)/m \in \mathbb{Z}$ , i.e. if  $(a - b)/m \in \mathbb{Z}$ , i.e.  $(a - b)$  integer multiple of  $m$*

**Proposition 1.** *If  $m \geq 0$  is fixed,  $m \in \mathbb{Z}$ , then  $\forall a, b, c \in \mathbb{Z}$*

(1)  $a \equiv a \pmod{m}$

(2) *if  $a \equiv b \pmod{m}$ , then  $b \equiv a \pmod{m}$*

(3) *if  $a \equiv b \pmod{m}$ , and  $b \equiv c \pmod{m}$ , then  $a \equiv c \pmod{m}$*

cf. Prop. 1.18 of Rotman (2010) [1]

*Proof.* (1)  $(a - a)/m = 0/m = 0$

(2)  $(b - a)/m = (-1)(a - b)/m \in \mathbb{Z}$

(3)  $(a - c)/m = (a - b + b - c)/m = (a - b)/m + (b - c)/m \in \mathbb{Z}$

□

EY : 20171225 to recap,

□

(2)

$$a \equiv b \pmod{n}$$

meaning

$$\frac{a - b}{n} \in \mathbb{Z} \text{ or } a - b = kn, \ k \in \mathbb{Z} \text{ or } a = b + kN \text{ but rather}$$
$$a = pn + r$$
$$b = qn + r$$

for  $a = b + kn$ , but  $b$  need not be a remainder of division of  $a$  by  $n$ . More precisely,  $a = b \pmod{n}$  asserts that  $a, b$  have the same remainder when divided by  $n$ , i.e.

$$a = pn + r$$

$$b = qn + r$$

So  $a \sim b$  or  $[a] = [b]$  is an equivalence relation since

$a \sim a$  since  $a \equiv a \pmod{N}$ , since  $a = a + 0N$ ,

if  $a \sim b$ , then  $b \sim a$ , since  $a - b = kN$ , then  $b = a - kN$

if  $a \sim b$ ,  $b \sim c$ , then  $a \sim c$ , since  $a - b = kN$ , then  $a - c = (k + l)N$ .

□

$$b - c = lN$$

cf. Prop. 1.19 of Rotman (2010) [1]

**Proposition 2.** *Let  $m \geq 0$  be fixed*

(1) *If  $a = qm + r$ , then  $a \equiv r \pmod{m}$*

(2) *If  $0 \leq r' < r < m$ , then  $r \not\equiv r' \pmod{m}$  i.e.  $r$  and  $r'$  aren't congruent mod  $m$*

□

(3)  $a \equiv b \pmod{m}$  *iff  $a, b$  leave same remainder after dividing by  $m$*

(4) *If  $m \geq 2$ ,  $\forall a \in \mathbb{Z}$ ,  $a \equiv b \pmod{m}$  for some  $b \in \{0, 1, \dots, m - 1\}$*

*Proof.* (1) If  $a = qm + r$ , then  $a \equiv r \pmod{m}$

$$\frac{a - r}{m} = q \in \mathbb{Z}$$

- (2) *Want:* If  $0 \leq r' < r < m$ , then  $r \not\equiv \text{mod } m$ .

Suppose  $\frac{r-r'}{m} = k \in \mathbb{Z}$ . Then  $r - r' = km$  or  $r = r' + km$ .

$$m > r > r' \leq 0$$

$$m > r' + km > r' \leq 0$$

$$m - r' > km > 0$$

But  $k > 0$  (since  $m > 0$  and  $r - r' = km > 0$ ) and  $m - r' > km > 0$  is a contradiction.

- (3) *Want:*  $a \equiv b \text{ mod } m$  iff  $a, b$  leave same remainder after dividing by  $m$ . By

By Division Algorithm, this is true:

$$a = q_a m + r_a$$

$$b = q_b m + r_b$$

$$\frac{a-b}{m} = q_a + \frac{r_a}{m} - q_b - \frac{r_b}{m} = k = q_a - q_b + \frac{r_a - r_b}{m} \in \mathbb{Z}$$

Now

$$|m| > r_a \leq 0$$

$$|m| > r_b \leq 0$$

$$2|m| > r_a + r_b.$$

And if  $r_a > r_b$ ,  $|m| > r_a > r_a - r_b > 0$ .

In both cases,  $r_a = r_b$  since  $q_a - q_b + \frac{r_a - r_b}{m} \in \mathbb{Z}$  needs to be enforced.

- (4) *Want:* If  $m \geq 2$ ,  $\forall a \in \mathbb{Z}$ ,  $a \equiv b \text{ mod } m$  for some  $b \in 0, 1, \dots, m-1$ .

By Division Algorithm,  $a = q_a m + r_a$ ,  $0 \leq r_a < |m|$ .  $\frac{a-r_a}{m} = q_a \in \mathbb{Z}$  so let  $b = r_a$ .

**Theorem 4** (1.26 of Rotman (2010) [1]). *If  $\gcd(a, m) \equiv (a, m) = 1$ , then  $\forall b \in \mathbb{Z}$ ,  $\exists x$  s.t.*

$$ax \equiv b \pmod{m}$$

*In fact,  $x = sb$ , where  $sa \equiv 1 \pmod{m}$*

*Proof.*  $\gcd(a, m) = 1 = sa + tm$ .

Then  $b = b \cdot 1 = b(sa + tm) = sab + tmb$  or  $b = tbm + sab$  or  $a(sb) = -tbm + b$ .

So  $a(sb) \pmod{m} = b$ .

Let  $x := sb$  and so  $ax \pmod{m} = b$ .

Now suppose  $x \neq sb$  s.t.  $ax \pmod{m} = b$ . Then  $ax = qm + b$ . From  $a(sb) \pmod{m} = b$ , we also get  $a(sb) = q'm + b$ . Then  $a(x - sb) \pmod{m} = 0$ , so  $m|a(x - sb) \equiv a(x - sb)/m \in \mathbb{Z}$ .

By Corollary 1 (which says, if  $\gcd(c, a) = 1$  and if  $ab/c \in \mathbb{Z}$ , then  $b/c \in \mathbb{Z}$ ), since  $\gcd(m, a) = (m, a) = 1$ , and since  $a(x - sb)/m \in \mathbb{Z}$ , then  $(x - sb)/m \in \mathbb{Z}$ . So  $(x - sb) = qm$  or  $(sb) \pmod{m} = x$ .

**Proposition 3** (3.1 of Scheinerman (2006) [2]). *Let  $a, b \in \mathbb{Z}$ , let  $c = a \pmod{b}$ , i.e.  $a = qb + c$  s.t.  $0 \leq c < b$ .*

*Then*

$$(3) \quad \gcd(a, b) = \gcd(b, c)$$

cf. Sec. 3.3 Euclid's method of Scheinerman (2006) [2]

*Proof.* If  $d$  common divisor of  $a, b$ , i.e.  $a/d, b/d \in \mathbb{Z} \equiv d|a, d|b$ .

$c/d \in \mathbb{Z} \equiv d|c$  since  $c = a - qb$ .

If  $d$  is common divisor of  $b, c$ , i.e.  $d|b, d|c \equiv c/d, b/d \in \mathbb{Z}$ ,

then  $d|a \equiv a/d \in \mathbb{Z}$  since  $a = qb + c$ . So set of common divisors of  $a, b$  same as set of common divisors of  $b$  and  $c$ .

Then  $\gcd(a, b) = \gcd(b, c)$ .

## 1.2. Euler's totient; relatively prime.

**Definition 5.** *if  $a, b \in \mathbb{Z}$ ,*

*$a$  **divisor** of  $b$ , if  $\exists d \in \mathbb{Z}$  s.t.  $b = ad$ .*

*Also,  $a$  **divides**  $b$  or  $b$  multiple of  $a \equiv a|b$ .*

*$a|b \equiv b/a \in \mathbb{Z}$*

cf. pp. 3 of Ch. 1 Things Past, Sec. 1.1 Some Number Theory of Rotman (2010) [1].

cf. Ch. 5 Arrays, Sec. 5.1 Euler's totient of Scheinerman (2006) [2]

For

$$\varphi : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$$

$\varphi : n \mapsto \varphi(n) :=$  number of elements of  $\{1, 2, \dots, n\}$  that are relative prime to  $n = |\{i|i \in \{1, 2, \dots, n\}, (n, i) = 1 \text{ or equivalently } n \propto i\}|$

e.g.  $\varphi(10) = 4$  since  $\varphi(10) = |\{1, 3, 7, 9\}|$ .

we want  $|(a, b)|1 \leq a, b, \leq n, \gcd(a, b) \equiv (a, b) = 1|$ .

$$p_n = \frac{1}{n^2} \left[ -1 + 2 \sum_{i=1}^n \varphi(k) \right] = \text{probability that 2 integers, chosen uniformly and independently from } \{1, 2, \dots, n\} \text{ are relatively prime}$$

If  $p$  is prime,  $\forall i \in \{1, 2, \dots, p\}$ ,  $(p, i) \equiv \gcd(p, i) = 1$ , i.e. relatively prime to  $p$ , except  $1 \ i \in \{1, 2, \dots, p\}$ .

Therefore

$$\varphi(p) = p - 1$$

Consider  $\varphi(p^2)$ .

$\{1, 2, \dots, p^2\}$ , only numbers *not* relatively prime to  $p^2$  are multiples of  $p$  since

□  $p, 2p, 3p, \dots, p^2$  all divide  $p^2$ , i.e.  $p|p^2, 2p|p^2 \dots (p-1)p|p^2 \equiv p^2/p, p^2/2p, \dots, p^2/p(1-p)$ .

Assume  $\varphi(p^n) = p^2 - p^{n-1} = p^{n-1}(p-1)$ .

$$\varphi(p^{n+1}) = \varphi(pp^n) = p^n \varphi(p) = p^n(p-1)$$

Therefore,

**Proposition 4** (5.1). *Let  $p$  prime,  $n \in \mathbb{Z}^+$*

e.g.  $\varphi(77)$ .

$\forall n$  s.t.  $1 \leq n \leq 77$ .

$$\gcd(n, 77) = 1$$

$$\gcd(n, 7) = 1$$

$$\gcd(n, 11) = 1$$

By Prop. 3,

$$\gcd(n, 7) = \gcd(7, n \pmod{7})$$

$$\gcd(n, 11) = \gcd(11, n \pmod{11})$$

cf. Example (10) of Dummit and Foote [4].

To recap,

**Definition 6** (Euler  $\varphi$ -function).  $\forall n \in \mathbb{Z}^+$ ,

*let  $\varphi(n) :=$  number of positive integers  $a \leq n$  with  $a$  relatively prime to  $n$ , i.e.  $\gcd(a, n) = 1 \equiv (a, n)$*

e.g.  $\varphi(12) = 4$ , since  $1, 5, 7, 11$  are only positive integers less than or equal to  $12$ .

If  $p$  prime,  $\varphi(p) = p - 1$ .

More generally,

$\forall a \geq 1$ ,

□ (4)

$$\varphi(p^a) = p^a - p^{a-1} = p^{a-1}(p-1)$$

$\varphi$  is multiplicative in the sense that

(5) 
$$\varphi(ab) = \varphi(a)\varphi(b) \text{ if } \gcd(a, b) = 1$$

$\implies$  general formula.

If  $n = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_s^{\alpha_s}$  (Fumdanetal Thm. of Arithmetic,  $\forall n \in \mathbb{Z}, n > 1$ ), then

(6) 
$$\boxed{\begin{aligned} \varphi(n) &= \varphi(p_1^{\alpha_1})\varphi(p_2^{\alpha_2}) \dots \varphi(p_s^{\alpha_s}) \\ p_1^{\alpha_1-1}(p_1-1)p_2^{\alpha_2-1}(p_2-1) \dots p_s^{\alpha_s-1}(p_s-1) \end{aligned}}$$

cf. pp. 69 Thm. 5.4 (Chinese Remainder) of Scheinerman (2006) [2].

**Theorem 5.** Let  $n \in \mathbb{Z}^+$ ,

let  $p_1, p_2, \dots, p_t$  be distinct prime divisors of  $n$  (i.e.  $\forall p_i, \frac{n}{p_i^{k_i}} \in \mathbb{Z}$  for some  $k_i \geq 1$ )

Then

(7) 
$$\varphi(n) = n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \dots \left(1 - \frac{1}{p_t}\right)$$

*Proof.* By Fundamental Thm. of Arithmetic,

$$n = p_1^{e_1} p_2^{e_2} \dots p_t^{e_t}$$

where  $p_j$  are distinct primes, and  $e_j$  are positive integers.

From Eqns. 4, 5, i.e. where

$$\begin{aligned} \varphi(p^a) &= p^a - p^{a-1} = p^{a-1}(p-1) \\ \varphi(ab) &= \varphi(a)\varphi(b) \text{ if } \gcd(a, b) = 1 \\ \varphi(n) &= \varphi(p_1^{e_1} p_2^{e_2} \dots p_t^{e_t}) = \varphi(p_1^{e_1})\varphi(p_2^{e_2}) \dots \varphi(p_t^{e_t}) = \\ &= p_1^{e_1} \left(1 - \frac{1}{p_1}\right) p_2^{e_2} \left(1 - \frac{1}{p_2}\right) \dots p_t^{e_t} \left(1 - \frac{1}{p_t}\right) = n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \dots \left(1 - \frac{1}{p_t}\right) \end{aligned}$$

**Exercise 10.** cf. pp. 7 Exercise 10 Dummit and Foote [4].

Prove:  $\forall$  given  $N \in \mathbb{Z}^+$  (positive number),

$\exists$  only finite many integers  $n$  with  $\varphi(n) = N$ , where  $\varphi$  denotes Euler's  $\varphi$ -function.

EY, Indeed, by definition,

$$\varphi(n) = N$$

$$a_1, a_2 \dots a_N \text{ s.t. } a_i \leq n$$

$$\gcd(a_i, n) = 1 \text{ i.e. } 1 = s_i a_i + t_i n$$

Given  $N \in \mathbb{Z}^+$ , let  $n \in \mathbb{Z}$ , s.t.  $\varphi(n) = N$  (given hypothesis).

Let  $p$  = least (i.e. smallest) prime s.t.  $p > N + 1$ .

If  $q \geq p$  is a prime divisor of  $n$ , i.e.

$$n = q^k m$$

for some  $k \geq 1$ , and  $m$  with  $q$  not dividing  $m$ .

Then

$$\varphi(n) = \varphi(q^k)\varphi(m) = q^{k-1}(q-1)\varphi(m) \geq q-1 \geq p-1 > N$$

Contradiction.

Thus,  $\nexists$  prime divisor of  $n$  greater than  $N + 1$ .

Particularly, distinct prime divisors of  $n$  belong to a finite set, say these primes are  $p_1, p_2 \dots p_m$ .

**Definition 7.** prime divisor  $q$  of  $n$  if  $q$  is prime and

(8) 
$$\frac{n}{q} \in \mathbb{Z} \text{ i.e. } n = q^k m \text{ for some } k \geq 1 \text{ and } \frac{m}{q} \notin \mathbb{Z}^+$$

Now

$$n = p_1^{a_1} p_2^{a_2} \dots p_m^{a_m}$$

for some  $0 < a_i$ , so

$$\varphi(n) = \varphi(p_1^{a_1})\varphi(p_2^{a_2}) \dots \varphi(p_m^{a_m}), \text{ so } \varphi(n) = \prod_{i=1}^m p_i^{a_i-1}(p_i-1)$$

Note,  $\forall$  prime  $p_i$ ,  $\varphi(n) \geq p_i^{a_i-1}(p_i-1) \geq p_i-1 > N$  for sufficiently large  $a_i$ .

Thus,  $\forall p_i$ ,  $\exists$  only finitely many permissible choices for exponents  $a_i$ .

So set of all  $n$  with  $\varphi(n) = N$  is subset of finite set, hence finite.

$\forall N \in \mathbb{Z}^+$ ,  $\exists$  largest integer  $n$  with  $\varphi(n) = N$ .

Thus, as  $n \rightarrow \infty$ ,  $\varphi(n) \rightarrow \infty$ .

Scheinerman (2006) [2]

cf. Ex. 1.19, pp. 13, Sec. 1.1 Some Number Theory of Rotman (2010) [1]    **Exercise 1.19.** If  $a$  and  $b$  are relatively prime

and if each divides an integer  $n$ , then their product  $ab$  also divides  $n$ , i.e.

**Theorem 6.** If  $\gcd a, b = 1$ , and if  $n/a \in \mathbb{Z} \equiv a|n$ , and  $n/b \in \mathbb{Z} \equiv b|n$ , then  $n/ab \in \mathbb{Z} \equiv ab|n$ .

*Proof.*  $\gcd a, b = 1$ , so  $sa + tb = 1$  for some  $s, t \in \mathbb{Z}$  (Thm. ??).

$\frac{n}{a}, \frac{n}{b} \in \mathbb{Z}$ , so  $n = au$ ,  $n = bv$

$n = n \cdot 1 = n(sa + tb) = bvs a + aut b = ab(vs + ut)$ , so  $\frac{n}{ab} = vs + ut \in \mathbb{Z}$ .  $\square$

Scheinerman (2006) [2]

1.2.1. Chinese Remainder Theorem.

**Theorem 7.** If  $m, m'$  relatively prime (i.e.  $\gcd(m, m') = 1$ ), then for

$\square$

$$\begin{aligned} x &\equiv b \pmod{m} \\ x &\equiv b' \pmod{m'} \end{aligned}$$

i.e. given  $b, b', m, m'$ , and wanting to find  $x$ ,  $\exists x$  and  $\forall 2x$ 's,  $x = x' \pmod{mm'}$ .

*Proof.*  $x = b' m s + b m' s'$   $\square$

cf. Ch. 1 Things Past, Thm. 1.28 of Rotman (2010) [1], pp. 68 Thm. 5.2 (Chinese Remainder) of Scheinerman (2006) [2].

## 2. GROUPS; NORMAL SUBGROUPS

**Definition 8** (normal subgroup  $K \triangleleft G$ ).

**normal subgroup**  $K$  of  $G \equiv K \triangleleft G$  -

subgroup  $K \subset G$ , if  $\forall k \in K, \forall g \in G$ ,

$$gkg^{-1} \in K$$

**Definition 9** (quotient group).

**quotient group**  $G \pmod{K} \equiv G/K$  -

if  $G/K$  = family of all left cosets of subgroups  $K \subset G$  =

$$= \{gK | g \in G, K = \{gk | k \in K\}$$

and

$K$  = normal subgroup of  $G$ , i.e.  $K \triangleleft G$ , and so

$$aKbK = abK \quad \forall a, b \in G,$$

so  $G/K$  group.

**Definition 10** (exact sequence of groups). ***exact sequence** if  $\text{im}f_{n+1} = \ker f_n$  and groups*

$\forall n$  for sequence of group homomorphisms

$$(9) \quad G_{n+1} \xrightarrow{f_{n+1}} G_n \xrightarrow{f_n} G_{n-1}$$

**Theorem 8.** (1)

$$1 \quad A \xrightarrow{f} B$$

(2)

$$B \xrightarrow{g} C \quad 1$$

(3)

$$1 \quad A \xrightarrow{h} B \quad 1$$

*Proof.* (1)  $\text{im}(1 \rightarrow A) = 1$ , since  $1 \rightarrow A$  is a group homomorphism ( $(1 \rightarrow A)(1) = 1_A$ ).

if  $1 \rightarrow A \xrightarrow{f} B$  exact,  $\ker f = \text{im}(1 \rightarrow A) = 1$ , so if  $f(x) = 1$ ,  $x = 1$ ,  $f$  injective.

If  $f$  injective,  $\ker f = 1$ .  $1 = \text{im}(1 \rightarrow A)$ .  $1 \rightarrow A \xrightarrow{f} B$ , exact.

(2)  $\ker(C \rightarrow 1) = C$ , by def. of  $C \rightarrow 1$

if  $B \xrightarrow{g} C \rightarrow 1$  exact,  $\text{img} = g(B) = \ker(C \rightarrow 1) = C$ .  $g(B) = C$  implies  $g$  surjective.

If  $g$  surjective,  $g(B) = C = \ker(C \rightarrow 1)$ .  $B \xrightarrow{g} C \rightarrow 1$  exact.

(3) From (i),  $1 \rightarrow A \xrightarrow{h} B$  exact iff  $h$  injective. From (ii),  $A \xrightarrow{h} B \rightarrow 1$ , exact iff  $h$  surjective.  $h$  isomorphism.

## 2.1. 1st, 2nd, 3rd Isomorphism Theorems.

**Theorem 9** (1st Isomorphism Theorem (Modules) Thm. 7.8 of Rotman (2010) [1]). *If  $f : M \rightarrow N$  is  $R$ -map of modules, then  $\exists R$ -isomorphism s.t.*

$$(10) \quad \begin{array}{ccc} M & \xrightarrow{f} & N \\ \downarrow \pi & \nearrow \varphi \cong & \\ M/\ker f & & \end{array}$$

$\varphi : M/\ker f \rightarrow \text{im}f$   
 $\varphi : m + \ker f \mapsto f(m)$

*Proof.* View  $M, N$  as abelian groups.

Recall natural map  $\pi : M \rightarrow M/N$

$$m \mapsto m + N$$

Define  $\varphi$  s.t.  $\varphi\pi = f$ .

( $\varphi$  well-defined). Let  $m + \ker f = m' + \ker f$ ,  $m, m' \in M$ , then  $\exists n \in \ker f$  s.t.  $m = m' + n$ .

$$\varphi(m + \ker f) = \varphi\pi(m) = f(m) = f(m' + n) = f(m') + f(n) = \varphi\pi(m') + 0 = \varphi(m' + \ker f)$$

$\implies \varphi$  well-defined.

( $\varphi$  surjective). Clearly,  $\text{im}\varphi \subseteq \text{im}f$ .

Let  $y \in \text{im}f$ . So  $\exists m \in M$  s.t.  $y = f(m)$ .  $f(m) = \varphi\pi(m) = \varphi(m + \ker f) = y$ . So  $y \in \text{im}\varphi$ .  $\text{im}f \subseteq \text{im}\varphi$ .

$\implies \varphi$  surjective.

( $\varphi$  injective) If  $\varphi(a + \ker f) = \varphi(b + \ker f)$ , then

$$\varphi\pi(a) = \varphi\pi(b) \text{ or } f(a) = f(b) \text{ or } 0 = f(a) - f(b) = f(a - b) \text{ so } a - b \in \ker f(a - b) + \ker f = \ker f \text{ so } a + \ker f = b + \ker f$$

$\varphi$  isomorphism.

$\varphi$   $R$ -map.  $\varphi(r(m + N)) = \varphi(rm + N) = f(rm)$ .

Since  $f$   $R$ -map,  $f(rm) = rf(m) = r\varphi(m + N)$ .  $\varphi$  is  $R$ -map indeed.

□

**Theorem 10** (2nd Isomorphism Theorem (Modules) Thm. 7.9 of Rotman (2011) [1]). *If  $S, T$  are submodules of module  $M$ , i.e.  $S, T \in M$ , then  $\exists R$ -isomorphism*

$$\begin{array}{ccc} S & \xrightarrow{h} & (S + T)/T = \text{im}h \\ \downarrow \pi|_S & \nearrow \cong & \\ S/(S \cap T) = S/\ker h & & \end{array}$$

$$(11) \quad S/(S \cap T) \rightarrow (S + T)/T$$

*Proof.* Let natural map  $\pi : M \rightarrow M/T$ .

So  $\ker\pi = T$ .

Define  $h := \pi|_S$ , so  $h : S \rightarrow M/T$ , so  $\ker h = S \cap T$ ,

$$(S + T)/T = \{(s + t) + T | a \in S + T, s \in S, t \in T\}$$

□ i.e.  $(S + T)/T$  consists of all those cosets in  $M/T$  having a representation in  $S$ .

By 1st. isomorphism theorem,

$$S/S \cap T \xrightarrow{\cong} (S + T)/T$$

□

**Theorem 11** (3rd Isomorphism Theorem (Modules) Thm. 7.10 of Rotman (2011) [1]). *If  $T \subseteq S \subseteq M$  is a tower of submodules, then  $\exists R$ -isomorphism*

$$(12) \quad \begin{array}{ccc} M/T & \xrightarrow{g} & M/S \\ \downarrow \pi & \nearrow \cong & \\ (M/T)/(S/T) = (M/T)/\ker g & & \end{array}$$

*Proof.* Define  $g : M/T \rightarrow M/S$  to be **coset enlargement**, i.e.

$$(13) \quad g : m + T \mapsto m + S$$

$g$  well-defined: if  $m + T = m' + T$ , then  $m - m' \in T \subseteq S$ , and  $m + S = m' + S \implies g(m + T) = g(m' + T)$

$\ker g = S/T$  since

$$g(s + T) = s + S = S \quad (S/T \subseteq \ker g)$$

$$g(m + T) = m + S = 0 = S = s + S, \text{ so } m = s \implies \ker g \subseteq S/T$$

$\text{img} = M/S$  since

$$\begin{aligned} g(m+T) &= m+S \implies \text{img} \subseteq M/S \\ m+S &= g(m+T) \end{aligned}$$

Then by 1st isomorphism, and commutative diagram, done.

3. R-MODULES

**Definition 11** (R-homomorphism (or R-map)). *If ring  $R$ ,  $R$ -modules  $M, N$ , then function  $f : M \rightarrow N$ , if  $\forall m, m' \in M, \forall r \in R$ ,*

$$\begin{aligned} f(m+m') &= f(m) + f(m') \\ f(rm) &= rf(m) \end{aligned}$$

**Definition 12** (quotient module  $M/N$ ).

**quotient module**  $M/N$  -

*For submodule  $N$  of  $R$ -module  $M$ , then, remember  $M$  abelian group,  $N$  subgroup, quotient group  $M/N$  equipped with scalar multiplication*

$$\begin{aligned} r(m+N) &= rm+N \\ M/N &= \{m+N | m \in M\} \end{aligned}$$

**natural map**

$$\begin{aligned} (14) \quad \pi : M &\rightarrow M/N \\ m &\mapsto m+N \end{aligned}$$

*easily seen to be  $R$ -map.*

*Scalar multiplication in quotient module well-defined:*

*If  $m+N = m'+N$ ,  $m-m' \in N$ , so  $r(m-m') \in N$  (because  $N$  submodule), so*

$$rm - rm' \in N \text{ and } rm+N = rm'+N$$

**Proposition 5** (7.15 of Rotman (2010) [1]). (i)  $S \sqcup T \simeq M$

$$(ii) \quad \exists \text{ injective } R\text{-maps } \begin{aligned} i : S &\rightarrow M, \text{ s.t.} \\ j : T &\rightarrow M \end{aligned}$$

$$\begin{aligned} (15) \quad M &= \text{im}(i) + \text{im}(j) \text{ and} \\ \text{im}(i) \bigcap \text{im}(j) &= \{0\} \end{aligned}$$

(iii)  $\exists$   $R$ -maps

$$\begin{aligned} i : S &\rightarrow M \\ j : T &\rightarrow M \end{aligned}$$

$$\text{s.t. } \forall m \in M, \exists!$$

$$\begin{aligned} s &\in S \\ t &\in T \end{aligned}$$

$$\text{with } m = is + jt.$$

(iv)  $\exists$   $R$ -maps

$$\begin{aligned} i : S &\rightarrow M & p : M &\rightarrow S \\ j : T &\rightarrow M & q : M &\rightarrow T \end{aligned}$$

s.t.

$$\begin{aligned} pi &= 1_S & pj &= 0 \\ qj &= 1_T & qi &= 0 \end{aligned} \quad ip + jq = 1_M$$

□ *Proof.* • (i)→ (ii) Given  $S \sqcup T \simeq M$ , let  $\varphi : S \sqcup T \rightarrow M$  be this isomorphism. Define

$$\begin{aligned} i &:= \varphi \lambda_S & (\lambda_S : s &\mapsto (s, 0)) & i : S &\rightarrow M \\ j &:= \varphi \lambda_T & (\lambda_T : t &\mapsto (0, t)) & j : T &\rightarrow M \end{aligned}$$

$i, j$  are injections, being composites of injections.

If  $m \in M$ ,  $\exists! (s, t) \in S \sqcup T$ , s.t.  $\varphi(s, t) = m$ .

Then

$$m = \varphi(s, t) = \varphi((s, 0) + (0, t)) = \varphi \lambda_S(s) \varphi \lambda_T(t) = is + jt \in \text{im}(i) + \text{im}(j)$$

Let  $c \in \text{im}(i) + \text{im}(j)$ . Since  $i : S \rightarrow M$ ,  $c \in M$ .

$$j : T \rightarrow M$$

$$\implies M = \text{im}(i) + \text{im}(j).$$

If  $x \in \text{im}(i) \bigcap \text{im}(j)$ ,

$$x = i(s) \text{ for some } s \in S$$

$$x = j(t) \text{ for some } t \in T$$

$$is = jt = \varphi \lambda_S(s) = \varphi \lambda_T(t) = \varphi(s, 0) = \varphi(0, t)$$

$\varphi$  isomorphism, so  $\exists \varphi^{-1} \implies (s, 0) = (0, t)$ , so  $s = t = 0$ .  $x = 0$

• (ii)→ (iii) Given  $i : S \rightarrow M$ , s.t.  $M = \text{im}(i) + \text{im}(j)$ , so

$$j : T \rightarrow M$$

$$\forall m \in M, m = i(s) + j(t) \text{ for some } s \in S, t \in T.$$

Suppose  $s' \in S$ , s.t.  $m = i(s'_+ j(t'))$ .

$$t' \in T$$

$$i(s - s') = j(t - t') \in \text{im}(i) \bigcap \text{im}(j) = \{0\}$$

So  $s = s', t = t'$ , since  $i, j$  injective.

• (iii)→ (iv) Given  $\forall m \in M$ ,  $\exists! s \in S, t \in T$  s.t.

$$m = i(s) + j(t)$$

Define

$$\begin{aligned} p : M &\rightarrow S & q : M &\rightarrow T \\ p(m) &:= s & q(m) &:= t \end{aligned}$$

$$\begin{aligned} pi(s) &= s & pj(t) &= 0 \\ qj(t) &= t & qi(s) &= 0 \end{aligned} \quad (ip + jq)(m) = ip(m) + jq(m) = i(s) + j(t) = m$$

□

4. CATEGORIES; CATEGORY THEORY

4.1. **Categories.** cf. 7.2 Categories of Rotman (2010) [1]



4.1.1. *Russell paradox, Russell set.*

**Definition 13** (Russell set). *Russell set - set  $S$  that's not a member of itself, i.e.  $S \notin R$*

If  $R$  is family of all Russell sets,  
Let  $X \in R$ . Then  $X \notin X$ . But  $X \in R$ .  $X \notin R$ .  
Let  $R \notin R$ . Then  $R$  in family of Russell Sets.  $R \in R$ . Contradiction.  
Then consider *class* as primitive term, instead of set.

**Definition 14** (Category). *Category  $\mathcal{C}$  (Rotman's notation)  $\equiv \mathbf{C}$  (my notation), consists of class  $\text{obj}(\mathcal{C})$  (Rotman's notation)  $\equiv \text{Obj}(\mathbf{C}) \equiv \text{Obj}\mathbf{C}$  (my notation) of objects, set of **morphisms**  $\text{Hom}(A, B) \forall (A, B)$  of ordered tuples of objects, composition*

$$\begin{aligned} \text{Hom}(A, B) \times \text{Hom}(B, C) &\rightarrow \text{Hom}(A, C) \\ (f, g) &\mapsto gf \end{aligned}$$

, s.t.

$$(1) \exists \mathbf{1}, \forall f : A \rightarrow B, \exists \mathbf{1}_A : A \rightarrow A \quad , \text{ s.t. } \mathbf{1}_B \cdot f = f = f \cdot \mathbf{1}_A, \text{ and } \mathbf{1}_B : B \rightarrow B$$

$$(2) \text{ associativity, } \forall \begin{aligned} &f : A \rightarrow B \\ &g : B \rightarrow C, \text{ then } h \circ (g \circ f) = (h \circ g) \circ f \\ &h : C \rightarrow D \end{aligned}$$

In summary,

$$(16) \quad \mathbf{C} := (\text{Obj}(\mathbf{C}), \text{Mor}\mathbf{C}, \circ, \mathbf{1}) \equiv (\text{Obj}\mathbf{C}, \text{Mor}\mathbf{C}, \circ_{\mathbf{C}}, \mathbf{1}_{\mathbf{C}})$$

s.t.

$$\text{Mor}\mathbf{C} = \bigcup_{A, B \in \text{Obj}\mathbf{C}} \text{Hom}(A, B)$$

Examples (7.25 of Rotman (2010)[1]):

- (i)  $\mathbf{C} = \text{Sets}$
- (ii)  $\mathbf{C} = \text{Groups} = \text{Grps}$
- (iii)  $\mathbf{C} = \text{CommRings}$
- (iv)  $\mathbf{C} = {}_R\mathbf{Mod}$ , if  $R = \mathbb{Z}$ ,  ${}_{\mathbb{Z}}\mathbf{Mod} = \mathbf{Ab}$ , i.e.  $\mathbb{Z}$ -modules are just abelian groups.
- (v)  $\mathbf{C} = \mathbf{PO}(X)$ , If partially ordered set  $X$ , regard  $X$  as category, s.t.  $\mathbf{Obj}, \mathbf{PO}(X) = \{x | x \in X\}$ ,  $\forall \text{Hom}(x, y) \in$

$$\mathbf{Mor}_{\mathbf{PO}}(X), \text{Hom}(x, y) = \begin{cases} \emptyset & \text{if } x \not\preceq y \\ \kappa_y^x & \text{if } x \preceq y \end{cases} \text{ where } \kappa_y^x \equiv \text{unique element in Hom set when } x \preceq y \text{ s.t.}$$

$$\kappa_z^y \kappa_y^x = \kappa_z^x$$

Also, notice that

$$\mathbf{1}_x = \kappa_x^x$$

**Definition 15** (isomorphisms or equivalences).  *$f : A \rightarrow B$ ,  $f \in \text{Hom}(A, B)$ , if  $\exists$  **inverse**  $g : B \rightarrow A$ ,  $g \in \text{Hom}(B, A)$ , s.t.*

$$gf = \mathbf{1}_A$$

$$fg = \mathbf{1}_B$$

and if  $\mathbf{C} = \mathbf{Top}$ , *equivalences (isomorphisms) are homeomorphisms.*

Feature of category  ${}_R\mathbf{Mod}$  not shared by more general categories: *Homomorphisms can be added.*

**Definition 16** (pre-additive Category). *category  $\mathbf{C}$*

We can force 2 overlapping subsets  $A, B$  to be disjoint by “disjointifying” them: e.g. consider  $(A \cup B) \times \{1, 2\}$ , consider

$$A' = A \times \{1\}.$$

$$B' = B \times \{2\}$$

$$\implies A' \cap B' = \emptyset$$

since  $(a, 1) \neq (b, 2) \quad \forall a \in A, \forall b \in B$ .

Let bijections  $\alpha : A \rightarrow A'$ ,  $\alpha : a \mapsto (a, 1)$ , denote  $A' \cup B' \equiv A \coprod B$ .

$$\beta : B \rightarrow B' \quad \beta : b \mapsto (b, 2)$$

From Rotman (2010) [1], pp. 447,

**Definition 17. coproduct**  $A \coprod B \equiv C \in \text{Obj}(\mathcal{C})$

In my notation,  
**coproduct**

$$(17) \quad \begin{aligned} &(\mu_1, A_1 \coprod A_2) \\ &(\mu_2, A_1 \coprod A_2) \end{aligned}$$

where injection (morphisms)

$$(18) \quad \begin{aligned} &\mu_1 : A_1 \rightarrow A_1 \coprod A_2 \\ &\mu_2 : A_1 \rightarrow A_1 \coprod A_2 \end{aligned}$$

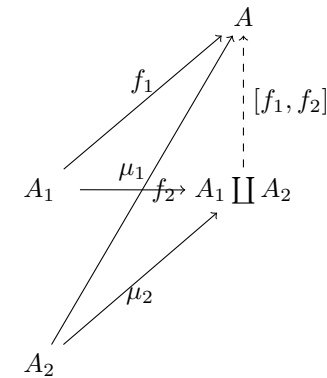
s.t.

$$\forall A \in \text{Obj}\mathbf{A}, \forall f_1, f_2 \in \text{Mor}\mathbf{A} \text{ s.t. } \begin{aligned} &f_1 : A_1 \rightarrow A \\ &f_2 : A_2 \rightarrow A \end{aligned}$$

then

$$(19) \quad \begin{aligned} &\exists ! [f_i] \equiv [f_1, f_2] \in \text{Mor}\mathbf{A}, [f_1, f_2] : A_1 \coprod A_2 \rightarrow A \text{ s.t.} \\ &[f_1, f_2]\mu_1 = f_1 \\ &[f_1, f_2]\mu_2 = f_2 \end{aligned}$$

i.e.



So to generalized, for  $i \in I$ , (finite set  $I$ ?)

**coproduct**  $(\mu_j, \coprod_{i \in I} A_i)_{j \in I}$ , where  
(family of) injection (morphisms)  $\mu_j : A_j \rightarrow \coprod_{i \in I} A_i$   
s.t.

$$\forall A \in \mathbf{Obj} \mathbf{A}, \forall f_i \in \mathbf{Mor} \mathbf{A}, i \in I, f_i : A_i \rightarrow A$$

then

$$(21) \quad \exists ! [f_i] \equiv [f_i]_{i \in I} \in \mathbf{Mor} \mathbf{A}, [f_i] : \coprod_{i \in I} A_i \rightarrow A \text{ s.t.} \\ [f_i] \mu_j = f_j \quad \forall j \in I$$

i.e.

$$(22) \quad \begin{array}{ccc} & & A \\ & \nearrow f_j & \uparrow [f_i] \\ A_j & \xrightarrow{\mu_j} & \coprod_{i \in I} A_i \end{array}$$

For notation purposes only, recall that it's denoted the sets  $\mathbf{Hom}(A, B)$  in  ${}_R \mathbf{Mod}$  by

$$\mathbf{Hom}_R(A, B)$$

i.e., in my notation, for  $A, B \in \mathbf{Obj}_R \mathbf{Mod}$ ,  $\mathbf{Hom}(A, B) \subset \mathbf{Mor}({}_R \mathbf{Mod})$ ,  $\mathbf{Hom}(A, B) \equiv \mathbf{Hom}_R(A, B)$

**Definition 18** (pre-additive category). *category  $\mathbf{C}$  is **pre-additive** if  $\forall \mathbf{Hom}(A, B)$ ,  $\mathbf{Hom}(A, B)$  equipped with binary operation  $+$  s.t.  $\forall f, g \in \mathbf{Hom}(A, B)$ ,*

(1) *if  $p : B \rightarrow B'$ , then*

$$p(f + g) = pf + pg \in \mathbf{Hom}(A, B')$$

(2) *if  $q : A' \rightarrow A$ , then*

$$(f + g)q = fq + gq \in \mathbf{Hom}(A', B)$$

and

$$f + g = g + f \quad (\text{additive abelian})$$

4.1.2. *Examples of extra assumptions on sets,  ${}_R \mathbf{Mod}$  we take for granted.* In Prop. 7.15(iii) Rotman (2010) [1],

$$\begin{array}{ll} p : M \rightarrow A & pi = 1_A \\ \text{direct sum } M = A \oplus B \text{ if } \exists \text{ homomorphisms } q : M \rightarrow B \text{ s.t. } & qj = 1_B, \\ i : A \rightarrow M & pj = 0 \\ j : B \rightarrow M & qi = 0 \\ & ip + jq = 1_M \end{array}$$

direct sum  $M = A \oplus B$  uses property that morphisms can be added  ${}_R \mathbf{Mod}$  has this property. **Sets** don't.

In Corollary 7.17,

direct sum in terms of arrows,

$\exists$  map  $\rho : M \rightarrow S$  s.t.  $\rho(s) = s$ . Moreover  $\ker \rho = \text{im } j$ ,  $\text{im } \rho = \text{im } i$  and  $\rho(s) = s$ ,  $\forall s \in \text{im } \rho$ .

$$S \xrightarrow{i} M \xleftarrow{j} T \quad \text{and } M \simeq S \coprod T,$$

where  $i : s \mapsto s$  (i.e. inclusions)

$$j : t \mapsto t$$

This makes sense in **Sets**, but doesn't make sense in arbitrary categories because image of morphism may fail, e.g.  $\mathbf{Mor}(\mathcal{C}(G))$  are elements in  $\mathbf{Hom}(*, *) = G$ , not functions.

Categorically, object  $S$  is (equivalent to) retract of object  $M$ ,  $S, M \in \mathbf{Obj} \mathbf{C}$ , if  $\exists$  morphisms  $i, p \in \mathbf{Mor}(\mathbf{C})$ , s.t.

$$i : S \rightarrow M$$

$$p : M \rightarrow S$$

s.t.  $pi = 1_S$ ,  $(ip)^2 = ip$  (for modules, define  $\rho = ip$ )

**Definition 19** (free products). ***free products** are coproducts in groups*

Prop. 7.26, Rotman (2010) [1]

**Proposition 6** (7.26, Rotman). *If  $A, B$  are  $R$ -modules, then their coproducts in  ${}_R \mathbf{Mod}$  exists, and it's the direct sum  $C = A \coprod B$ .*

*Proof.* Define

$$\begin{array}{ll} \mu : A \rightarrow C & \nu : B \rightarrow C \\ \mu : a \mapsto (a, 0) & \nu : b \mapsto (0, b) \end{array} \quad (\text{Rotman's notation}) \quad \begin{array}{l} \alpha : A \rightarrow C \\ \beta : B \rightarrow C \end{array}$$

Let  $X$  be a module,  $f : A \rightarrow X$ ,  $g : B \rightarrow X$  homomorphisms

Define

$$\theta : C \rightarrow X$$

$$\theta : (a, b) \mapsto f(a) + g(b)$$

$$\theta \mu(a) = \theta(a, 0) = f(a)$$

$$\theta \nu(b) = \theta(0, b) = g(b)$$

so diagram commutes, i.e.

$$\begin{array}{ccccc} & & X & & \\ & \nearrow f & \uparrow \theta & \nwarrow g & \\ A & \xrightarrow{\mu} & C & \xleftarrow{\nu} & B \end{array}$$

If  $\psi : C \rightarrow X$  makes diagram commute,

$$\psi((a, 0)) = f(a) \quad \forall a \in A$$

$$\psi((0, b)) = g(b) \quad \forall b \in B$$

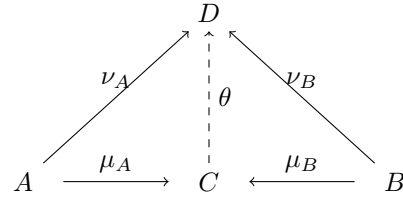
and since  $\psi$  is a homomorphism,  $\psi((a, b)) = \psi((a, 0)) + \psi((0, b)) = f(a) + g(b) = \theta((a, b))$ .  $\psi = \theta$ .

Prop. 7.27, Rotman (2010) [1]

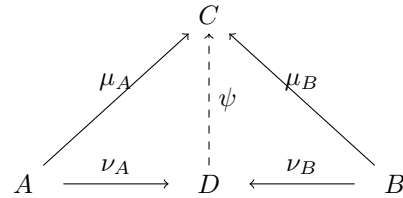
**Proposition 7** (7.27, Rotman). *If category  $\mathcal{C} = \mathbf{C}$ , and if  $A, B \in \mathbf{Obj} \mathbf{C}$ , then  $\forall$  2 coproducts of  $A, B$ , if they  $\exists$ , are equivalent.*



*Proof.* Suppose  $C, D$  coproducts of  $A, B$ . Suppose coproducts  $\mu_A : A \rightarrow C, \quad \nu_A : A \rightarrow D$   
 $\mu_B : B \rightarrow C, \quad \nu_B : B \rightarrow D$



Just substitute  $X = D$  in diagram above.  
 Then substitute again:

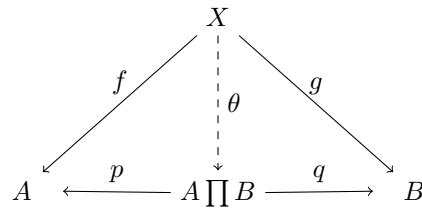


Then combine the 2 diagrams:  $\psi\theta = 1_C$ . Likewise by label symmetry of  $C, D$ ,  $\theta\psi = 1_D$ .  
 Then  $C, D$  are equivalent.

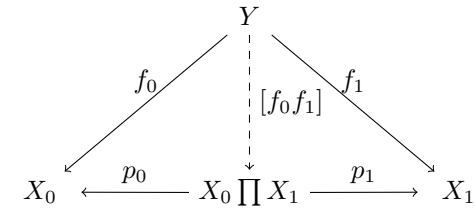
Exer. 7.29 on pp. 459 of Rotman (2010) [1]

**Definition 20.** If  $A, B \in \text{Obj}\mathbf{C}$ , then their **product**;  $A \amalg B = P \in \text{Obj}\mathbf{C}$ , and morphisms  $p : P \rightarrow A$  s.t.  $\forall X \in \text{Obj}\mathbf{C}$ ,  
 $q : P \rightarrow B$

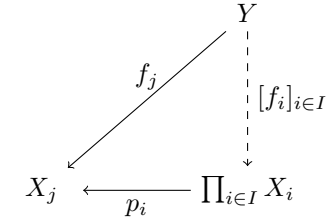
$\forall f : X \rightarrow A \in \text{Mor}\mathbf{C}$ ,  
 $g : X \rightarrow B \in \text{Mor}\mathbf{C}$   
 $\exists ! \theta : X \rightarrow P$ , s.t.



If the notation of Kashiwara and Schapira (2006) [3],



In general



**product** of  $X_i$  's,

given by

(23)

$$\prod_i X_i \equiv \prod_{i \in I} X_i$$

$$\prod_i X_i := \lim_{\leftarrow} \alpha$$

When  $X_i = X, \forall i \in I$ , denote product by  $X^{\prod I} \equiv X^I$ .

□ e.g. Cartesian product  $P = A \times B$  of 2 sets  $A, B, A, B \in \text{Obj}\mathbf{Sets}$ .  
 Define

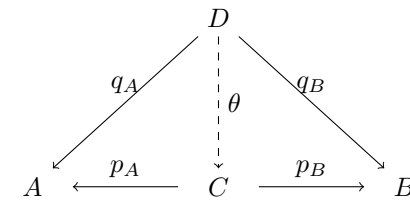
$$\begin{aligned} p : A \times B &\rightarrow A & q : A \times B &\rightarrow B \\ p(a, b) &\mapsto a & q(a, b) &\mapsto b \end{aligned}$$

If  $X \in \text{Obj}\mathbf{Sets}$ ,

if  $f : X \rightarrow A$ , then  $\theta : X \rightarrow A \times B$   
 $g : X \rightarrow B \quad \theta : x \mapsto (f(x), g(x)) \in A \times B$

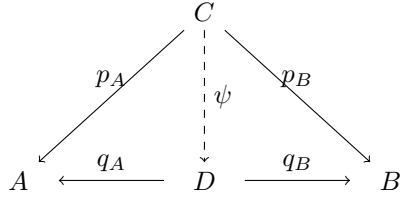
**Proposition 8** (7.28 Rotman (2010); equivalence of products, if it exists). If  $A, B \in \text{Obj}\mathbf{C}$ , then  $\forall$  2 products of  $A$  and  $B$ , should they exist, are equivalent.

*Proof.* Suppose  $C, D$  products of  $A, B$ . Suppose products  $p_A : C \rightarrow A, \quad q_A : D \rightarrow A$   
 $p_B : C \rightarrow B, \quad q_B : D \rightarrow B$



Just substitute  $X = D$  in diagram above.

Then substitute again:



Then combine the 2 diagrams:  $\psi\theta = 1_C$ . Likewise by label symmetry of  $C, D$ ,  $\theta\psi = 1_D$ .

Then  $C, D$  are equivalent.

#### 4.1.3. Products of Modules and Sets.

**Proposition 9** (7.29 Rotman (2010); products of  $R$ -modules are equivalent). *If commutative ring  $R$ ,  $R$ -modules  $A, B$ , then  $\exists$  their (categorical) product  $A \sqcup B$ , in fact*

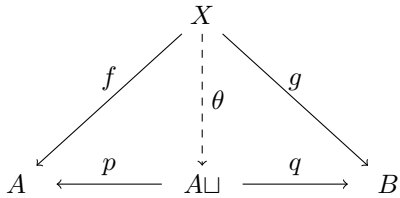
$$(24) \quad A \sqcap B \cong A \sqcup B$$

*Proof.* If  $A \sqcup B \cong M$ , then  $\exists$   $R$ -maps,  $i : S \rightarrow M$ ,  $j : T \rightarrow M$ ,  $p : M \rightarrow S$  s.t.  $pi = 1_A$  and  $pj = 0$ , and  $ip + jq = 1_M$ , i.e.  $qj = 1_B$  and  $qi = 0$

$$\begin{array}{ccccc} A & \xrightarrow{i} & M & \xleftarrow{j} & B \\ & \xleftarrow{p} & & \xrightarrow{q} & \\ & & & & \end{array}$$

If module  $X$ , since  $f : X \rightarrow A$  are homomorphisms,

define  $\theta : X \rightarrow A \sqcup B$  so that  $\theta(x) = if(x) + jg(x)$



since,  $\forall x \in X$ ,

$$p\theta(x) = pif(x) + pjg(x) = pif(x) + 0 = f(x)$$

since  $ip + jq = 1_{A \sqcup B}$

$$\psi = ip\psi + jq\psi = if + jf = \theta$$

so product is unique.

**Definition 21.** *Let  $R$  be commutative ring, let  $\{A_i : i \in I\}$  be indexed family of  $R$ -modules.*

**direct product**  $\prod_{i \in I} A_i$  is cartesian product (i.e. set of all  $I$ -tuples  $(a_i)$  whose  $i$ th coordinate  $a_i$  lies in  $A_i \quad \forall i$ ) with coordinate wise addition and scalar multiplication:

$$(a_i) + (b_i) = (a_i + b_i)$$

$$r(a_i) = (ra_i)$$

where  $r \in R$ ,  $a_i, b_i \in A_i$ ,  $\forall i$

cf. Thm. 7.32 of Rotman (2010) [1]

**Theorem 12** (7.32, Rotman). *Let commutative ring  $R$ .*

*$\forall R$ -module  $A$ ,  $\forall$  family  $\{B_i | i \in I\}$  of  $R$ -modules,*

$$(25) \quad \text{Hom}_R(A, \prod_{i \in I} B_i) \simeq \prod_{i \in I} \text{Hom}_R(A, B_i)$$

□

via  $R$ -isomorphism

$$\varphi : f \mapsto (p_i f)$$

where  $p_i$  are projections of product  $\prod_{i \in I} B_i$

*Proof.* Let  $a \in A$ ,  $f, g \in \text{Hom}_R(A, \prod_{i \in I} B_i)$ .

$$\varphi(f + g)(a) = (p_i(f + g))(a) = (p_i(f(a) + g(a))) = (p_i f + p_i g)(a)$$

$\varphi$  additive.

$\forall i, \forall r \in R$ ,  $p_i r f = r p_i f$  (since product of  $R$ -modules,  $\prod_{i \in I} B_i$  is also an  $R$ -module of  $\text{Obj}_R \mathbf{Mod}$ , by def. of product).

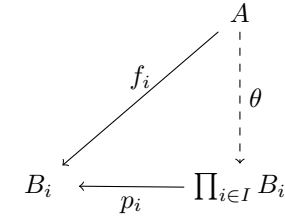
$$\varphi r f \mapsto (p_i r f) = (r p_i f) = r(p_i f) = r\varphi(f)$$

So  $\varphi$  is  $R$ -map.

If  $(f_i) \in \prod_i \text{Hom}_R(A, B_i)$ , then  $f_i : A \rightarrow B_i \quad \forall i$

By Rotman's Prop. 7.31 (If family of  $R$ -modules  $\{A_i | i \in I\}$ , then direct product  $C = \prod_{i \in I} A_i$  is their product in  $_R \mathbf{Mod}$ ),

By def. or product,  $\exists!$   $R$ -map,  $\theta : A \rightarrow \prod_{i \in I} B_i$  s.t.  $p_i \theta = f_i \quad \forall i$

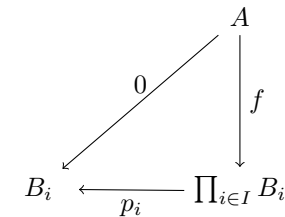


Then

$$f_i = (p_i \theta) = \varphi(\theta)$$

, and so  $\varphi$  surjective.

Suppose  $f \in \ker \varphi$ , so  $\theta = \varphi(f) = (p_i f)$ . Thus  $p_i f = 0 \quad \forall i$



□

But 0-homomorphism also makes this diagram commute, so uniqueness of homomorphism  $A \rightarrow \prod B_i$  gives  $f = 0$ .

□

Part 2. Reading notes on Cox, Little, O’Shea’s *Ideals, Varieties, and Algorithms: An Introduction to Computational Algebraic Geometry and Commutative Algebra*

5. GEOMETRY, ALGEBRA, AND ALGORITHMS

5.1. **Polynomials and Affine Space.** fields are important is that linear algebra works over *any* field

**Definition 22** (2). *set of all polynomials in  $x_1, \dots, x_n$  with coefficients in  $k$ , denoted  $k[x_1, \dots, x_n]$*   
polynomial  $f$  *divides* polynomial  $g$  provided  $g = fh$  for some  $h \in k[x_1, \dots, x_n]$   
 $k[x_1, \dots, x_n]$  satisfies all field axioms except for existence of multiplicative inverses; commutative ring,  $k[x_1, \dots, x_n]$  *polynomial ring*

*Exercises for 1.* **Exercise 1.**  $\mathbb{F}_2$  commutative ring since it’s an abelian group under addition, commutative in multiplication, and multiplicative identity exists, namely 1. It is a field since for  $1 \neq 0$ , the multiplicative identity is 1.

**Exercise 2.**

- (a)
- (b)
- (c)

5.2. **Affine Varieties.**

5.3. **Parametrizations of Affine Varieties.**

5.4. **Ideals.**

5.5. **Polynomials of One Variable.**

6. GROEBNER BASES

6.1. **Introduction.**

6.2. **Orderings on the Monomials in  $k[x_1, \dots, x_n]$ .**

6.3. **A Division Algorithm in  $k[x_1, \dots, x_n]$ .**

6.4. **Monomial Ideals and Dickson’s Lemma.**

6.5. **The Hilbert Basis Theorem and Groebner Bases.**

6.6. **Properties of Groebner Bases.**

6.7. **Buchberger’s Algorithm.**

7. ELIMINATION THEORY

7.1. **The Elimination and Extension Theorems.**

7.2. **The Geometry of Elimination.**

8. THE ALGEBRA-GEOMETRY DICTIONARY

8.1. **Hilbert’s Nullstellensatz.**

8.2. **Radical Ideals and the Ideal-Variety Correspondence.**

9. POLYNOMIAL AND RATIONAL FUNCTIONS ON A VARIETY

9.1. **Polynomial Mappings.**

10. ROBOTICS AND AUTOMATIC GEOMETRIC THEOREM PROVING

10.1. **Geometric Description of Robots.**

Part 3. Reading notes on Cox, Little, O’Shea’s *Using Algebraic Geometry*

**Using Algebraic Geometry.** David A. Cox. John Little. Donal O’Shea. Second Edition. Springer. 2005. ISBN 0-387-20706-6 QA564.C6883 2004

11. INTRODUCTION

11.1. **Polynomials and Ideals.** *monomial*

(26) (1.1)  $x_1^{\alpha_1} \dots x_n^{\alpha_n}$

total degree of  $x^\alpha$  is  $\alpha_1 + \dots + \alpha_n \equiv |\alpha|$

field  $k$ ,  $k[x_1 \dots x_n]$  collection of all polynomials in  $x_1 \dots x_n$  with coefficients  $k$ .

polynomials in  $k[x_1 \dots x_n]$  can be added and multiplied as usual, so  $k[x_1 \dots x_n]$  has structure of commutative ring (with identity)  
however, only nonzero constant polynomials have multiplicative inverses in  $k[x_1 \dots x_n]$ , so  $k[x_1 \dots x_n]$  not a field  
however set of rational functions  $\{f/g|f, g \in k[x_1 \dots x_n], g \neq 0\}$  is a field, denoted  $k(x_1 \dots x_n)$

so

$$f = \sum_{\alpha} c_{\alpha} x^{\alpha}$$

where  $c_{\alpha} \in k$

so

$$f \in k[x_1 \dots x_n] = \{f|f = \sum_{\alpha} c_{\alpha} x^{\alpha}, x^{\alpha} = x_1^{\alpha_1} \dots x_n^{\alpha_n}, c_{\alpha} \in k\}$$

$f$  homogeneous if all monomials have same total degrees  
polynomial  $f$  is homogeneous if all monomials have the *same total degree*

Given a collection of polynomials  $f_1 \dots f_s \in k[x_1 \dots x_n]$ , we can consider all polynomials which can be built up from these by multiplication by arbitrary polynomials and by taking sums

**Definition 23** (1.3). *Let  $f_1 \dots f_s \in k[x_1 \dots x_n]$*   
*Let  $\langle f_1 \dots f_s \rangle = \{p_1 f_1 + \dots + p_s f_s | p_i \in k[x_1 \dots x_n] \text{ for } i = 1 \dots s\}$*

**Exercise 1.**

- (a)  $x^2 = x \cdot (x - y^2) + y \cdot (xy)$
- (b)

$$p \cdot (x - y^2) = px - py^2$$

and for  $pxy = (py)x$

- (c)

$$p(y)(x - y^2) = p(y)x - p(y)y^2 \notin \langle x^2, xy \rangle$$

**Exercise 2.**

$$\sum_{i=1}^s p_i f_i + \sum_{j=1}^s q_j f_j = \sum_{i=1}^s (p_i + q_i) f_i, \quad p_i + q_i \in k[x_1 \dots x_n]$$

$\langle f_1 \dots f_s \rangle$  closed under sums in  $k[x_1 \dots x_n]$

If  $f \in \langle f_1 \dots f_s \rangle$ ,  
 $p \in k[x_1 \dots x_n]$

$$p \cdot f = p \sum_{i=1}^s q_i f_i = \sum_{i=1}^s p q_i f_i, \quad p q_i \in k[x_1 \dots x_n] \text{ so}$$
$$p \cdot f \in \langle f_1 \dots f_s \rangle$$

Done.

The 2 properties in Ex. 2 are defining properties of ideals in the ring  $k[x_1 \dots x_n]$

**Definition 24** (1.5). *Let  $I \subset k[x_1 \dots x_n]$ ,  $I \neq \emptyset$   
 $I$  ideal if*

- (a)  $f + g \in I, \quad \forall f, g \in I$
- (b)  $pf \in I, \quad \forall f \in I, \text{ arbitrary } p \in k[x_1 \dots x_n]$

Thus  $\langle f_1 \dots f_s \rangle$  is an ideal by Ex. 2.

we call it the ideal generated by  $f_1 \dots f_s$ .

**Exercise 3.** Suppose  $\exists$  ideal  $J, f_1 \dots f_s \in J$  s.t.  $J \subset \langle f_1 \dots f_s \rangle$   
if  $f \in \langle f_1 \dots f_s \rangle, f = \sum_{i=1}^s p_i f_i, \quad p_i \in k[x_1 \dots x_n]$

$\forall i = 1 \dots s, p_i f_i \in J$  and so  $\sum_{i=1}^s p_i f_i \in J$ , by def. of  $J$  as an ideal.

$$\langle f_1 \dots f_s \rangle \subseteq J \quad \implies J = \langle f_1 \dots f_s \rangle$$

$\implies \langle f_1 \dots f_s \rangle$  is smallest ideal in  $k[x_1 \dots x_n]$  containing  $f_1 \dots f_s$

**Exercise 4.** For  $I = \langle f_1 \dots f_s \rangle$   
 $J = \langle g_1 \dots g_t \rangle$

$I = J$  iff  $s = t$  and  $\forall f \in I, f = \sum_{i=1}^t q_i g_i$  and if  $0 = \sum_{i=1}^t q_i g_i, q_i = 0, \quad \forall i = 1 \dots t$ , and if  $0 = \sum_{i=1}^s p_i f_i, \quad p_i = 0, \quad \forall i = 1 \dots s$

**Definition 25** (1.6).

$$\sqrt{I} = \{g \in k[x_1 \dots x_n] | g^m \in I \text{ for some } m \geq 1\}$$

e.g.  $x + y \in \sqrt{\langle x^2 + 3xy, 3xy + y^2 \rangle}$   
in  $\mathbb{Q}[x, y]$  since

$$(x + y)^3 = x(x^2 + 3xy) + y(3xy + y^2) \in \langle x^2 + 3xy, 3xy + y^2 \rangle$$

- (Radical Ideal Property)  $\forall$  ideal  $I \subset k[x_1 \dots x_n], \sqrt{I}$  ideal,  $\sqrt{I} \supset I$
- **(Hilbert basis Thm.)**  $\forall$  ideal  $I \subset k[x_1 \dots x_n]$   
 $\exists$  finite generating set,  
i.e.  $\exists \{f_1 \dots f_2\} \subset k[x_1 \dots x_n]$  s.t.  $I = \langle f_1 \dots f_s \rangle$

- (Division Algorithm in  $k[x]$ )  $\forall f, g \in k[x]$  (EY : in 1 variable)  
 $\forall f, g \in k[x]$  (in 1 variable )  
 $f = qg + r, \exists!$  quotient  $q, \exists$  remainder  $r$

11.2.

**11.3. Gröbner Bases.**

**Definition 26** (3.1). *Gröbner basis for  $I \equiv G = \{g_1 \dots g_k\} \subset I$  s.t.  $\forall f \in I, LT(f)$  divisible by  $LT(g_i)$  for some  $i$*

- (Uniqueness of Remainders) let ideal  $I \subset k[x_1 \dots x_n]$   
division of  $f \in k[x_1 \dots x_n]$  by Grö bner basis for  $I$ , produces  $f = g + r, g \in I$ , and no term in  $r$  divisible by any element of  $LT(I)$

**11.4. Affine Varieties.** affine  $n$ -dim. space over  $k \quad k^n = \{(a_1 \dots a_n) | a_1 \dots a_n \in k\}$   
 $\forall$  polynomial  $f \in k[x_1 \dots x_n], (a_1 \dots a_n) \in k^n$   
 $f : k^n \rightarrow k$   
 $f(a_1 \dots a_n)$  s.t.  $x_i = a_i$  i.e.

if  $f = \sum_{\alpha} c_{\alpha} x^{\alpha}$  for  $c_{\alpha} \in k$ , then  
 $f(a_1 \dots a_n) = \sum_{\alpha} c_{\alpha} a^{\alpha} \in k$ , where  $a^{\alpha} = a_1^{\alpha_1} \dots a_n^{\alpha_n}$

**Definition 27** (4.1). *affine variety  $\mathbf{V}(f_1 \dots f_s) = \{(a_1 \dots a_n) | (a_1 \dots a_n) \in k^n, f_1(x_1 \dots x_n) = \dots = f_s(x_1 \dots x_n) = 0\}$   
subset  $V \subset k^n$  is affine variety if  $V = V(f_1 \dots f_s)$  for some  $\{f_i\}$ , polynomial  $f_i \in k[x_1 \dots x_n]$*

- (Equal Ideals Have Equal Varieties) If  $\langle f_1 \dots f_s \rangle = \langle g_1 \dots g_t \rangle$  in  $k[x_1 \dots x_n]$ , then  $\mathbf{V}(f_1 \dots f_s) = \mathbf{V}(g_1 \dots g_t)$

so, recap  
if  $\langle f_1 \dots f_s \rangle = \langle g_1 \dots g_t \rangle$  in  $k[x_1 \dots x_n]$ ,  
then  $V(f_1 \dots f_s) = V(g_1 \dots g_t)$

Recall Hilbert basis Thm.  $\forall$  ideal  $I \subset k[x_1 \dots x_n]$

$$I = \langle f_1 \dots f_s \rangle$$

$\implies$  if  $I = J$ , then  $V(I) = V(J)$   
think of  $V$  defined by  $I$ , rather than  $f_1 = \dots = f_s = 0$

**Exercise 3.**

Recall Def. 1.5 Let  $I \subset k[x_1 \dots x_n]$

$I$  ideal if  $f + g \in I \quad \forall f, g \in I$   
 $pf \in I, \quad \forall f \in I$  arbitrary  $p \in k[x_1 \dots x_n]$   
Let  $f, g \in I(V)$

$$(f + g)(a_1 \dots a_n) = f(a_1 \dots a_n) + g(a_1 \dots a_n) = 0 + 0 = 0 \quad f + g \in I(V)$$
$$pf(a_1 \dots a_n) = p(a_1 \dots a_n)f(a_1 \dots a_n) = 0 \quad pf \in I(V)$$

Then  $I(V)$  an ideal.  
 $V = V(x^2)$  in  $\mathbb{R}^2$   
 $I = \langle x^2 \rangle$  in  $\mathbb{R}[x, y], \quad I = \{px^2 | p \in k[x, y]\}$   
 $I \subset I(V)$ , since  $px^2 = 0$  for  $x^2 = 0, (0, b), \quad b \in \mathbb{R}$   
But  $p(x, y) = x \in I(V)$ , as

$$I(V) = \{f \in k[x_1 \dots x_n] | f(a_1 \dots a_n) = 0, \forall (a_1 \dots a_n) \in V\}$$

$p(0, b) = x = 0$   
But  $x \notin I$

**Exercise 4.**  $I \subset \sqrt{I}$

Recall Def. 1.6  $\sqrt{I} = \{g \in k[x_1 \dots x_n] \mid g^m \in I \text{ for some } m \geq 1\}$

$\forall f \in I, f = f^1, m = 1$ , so  $f \in \sqrt{I}$ ,  $I \subset \sqrt{I}$

Hilbert basis thm.,  $\forall$  ideal  $I \subset k[x_1 \dots x_n]$  s.t.  $I = \langle f_1 \dots f_s \rangle$   
 $\left\{ V(I) = \{(a_1 \dots a_n) \mid (a_1 \dots a_n) \in k^n, f_1(a_1 \dots a_n) = \dots = f_s(a_1 \dots a_n) = 0\} \right\}$

$\mathbf{I}(V(I)) = \{f \in k[x_1 \dots x_n] \mid f(a_1 \dots a_n) = 0 \quad \forall (a_1 \dots a_n) \in V(I)\}$

Let  $g \in \sqrt{I}$ ,  $g^m \in I$ ,  $g^m = g^{m-1}g$

$g^m(a_1 \dots a_n) = 0 = g^{m-1}(a_1 \dots a_n)g(a_1 \dots a_n) = 0$ . Then  $g(a_1 \dots a_n) = 0$  or  $g^{m-1}(a_1 \dots a_n) = 0$   
 as  $g^m \in I$ , and  $V(I)$  is s.t.  $f_1(a_1 \dots a_n) = \dots = f_s(a_1 \dots a_n) = 0$  for  $I = \langle f_1 \dots f_s \rangle$

- (Strong Nullstellensatz) if  $k$  algebraically closed (e.g.  $\mathbb{C}$ ),  $I$  ideal in  $k[x_1 \dots x_n]$ , then

$$\mathbf{I}(V(I)) = \sqrt{I}$$

- (Ideal-variety correspondence) Let  $k$  arbitrary field

$$I \subset I(V(I))$$

$$V(I(V)) = V \quad \forall V$$

#### Additional Exercises for Sec.4. Exercise 6.

### 12. SOLVING POLYNOMIAL EQUATIONS

12.1.

12.2. **Finite-Dimensional Algebras.** Gröbner basis  $G = \{g_1 \dots g_t\}$  of ideal  $I \subset k[x_1 \dots x_n]$ ,

recall def.: Gröbner basis  $G = \{g_1 \dots g_t\} \subset I$  of ideal  $I$ ,  $\forall f \in I$ ,  $\text{LT}(f)$  divisible by  $\text{LT}(g_i)$  for some  $i$

$f \in k[x_1 \dots x_n]$  divide by  $G$  produces  $f = g + r$ ,  $g \in I$ ,  $r$  not divisible by any  $\text{LT}(I)$  uniqueness of  $r$   
 $f \in k[x_1 \dots x_n]$  divide by  $G$ ,

Recall from Ch. 1, divide  $f \in k[x_1 \dots x_n]$  by  $G$ , the division algorithm yields

$$(27) \quad (2.1) \quad f = h_1g_1 + \dots + h_tg_t + \bar{f}^G$$

where remainder  $\bar{f}^G$  is a linear combination of monomials  $x^\alpha \notin \langle \text{LT}(I) \rangle$

since Gröbner basis,  $f \in I$  iff  $\bar{f}^G = 0$

$\forall f \in k[x_1 \dots x_n]$ , we have coset  $[f] = f + I = \{f + h \mid h \in I\}$  s.t.  $[f] = [g]$  iff  $f - g \in I$

We have a 1-to-1 correspondence

remainders  $\leftrightarrow$  cosets

$$\bar{f}^G \leftrightarrow [f]$$

algebraic

$$\bar{f}^G + \bar{g}^G \leftrightarrow [f] + [g]$$

$$\overline{\bar{f}^G \cdot \bar{g}^G} \leftrightarrow [f] \cdot [g]$$

$B = \{x^\alpha \mid x^\alpha \notin \langle \text{LT}(I) \rangle\}$  is a basis of  $A$ , basis monomials, standard monomials

20141023 EY's take

$\forall [f] \in A = k[x_1 \dots x_n]/I$ ,  $[f] = p_i b_i$ ;  $b_i \in B = \{x^\alpha \mid x^\alpha \notin \langle \text{LT}(I) \rangle\}$

For  $I = \langle G \rangle$

e.g.  $G = \{x^2 + \frac{3}{2}xy + \frac{1}{2}y^2 - \frac{3}{2}x - \frac{3}{2}y, xy^2 - x, y^3 - y\}$

$\langle \text{LT}(I) \rangle = \langle x^2, xy^2, y^3 \rangle$

e.g.  $B = \{1, x, y, xy, y^2\}$

$[f] \cdot [g] = [fg]$

e.g.  $f = x, g = xy, [fg] = [x^2y]$

now  $f = h_1g_1 + \dots + h_tg_t + \bar{f}^G$

12.3.

#### 12.4. Solving Equations via Eigenvalues and Eigenvectors.

### 13. RESULTANTS

### 14. COMPUTATION IN LOCAL RINGS

#### 14.1. Local Rings.

**Definition 28** (1.1).

$$k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle} \equiv \left\{ \frac{f}{g} \mid \text{rational functions } \frac{f}{g} \text{ of } x_1 \dots x_n \text{ with } g(p) \neq 0 \text{ at } p \right\}$$

main properties of  $k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$

**Proposition 10** (1.2). Let  $R = k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$ . Then

(a)  $R$  subring of field of rational functions  $k(x_1 \dots x_n) \supset k[x_1 \dots x_n]$

(b) Let  $M = \langle x_1 \dots x_n \rangle \subset R$  (ideal generated by  $x_1 \dots x_n$  in  $R$ )

Then  $\forall \frac{f}{g} \in R \setminus M$ ,  $\frac{f}{g}$  unit in  $R$  (i.e. multiplicative inverse in  $R$ )

(c)  $M$  maximal ideal in  $R$

**Exercise 1.** if  $p = (a_1 \dots a_n) \in k^n$ ,  $R = \{\frac{f}{g} \mid f, g \in k[x_1 \dots x_n], g(p) \neq 0\}$

(a)  $R$  subring of field of rational functions  $k(x_1 \dots x_n)$

(b) Let  $M$  ideal generated by  $x_1 - a_1 \dots x_n - a_n$  in  $R$

Then  $\forall \frac{f}{g} \in R \setminus M$ ,  $\frac{f}{g}$  unit in  $R$  (i.e. multiplicative inverse in  $R$ )

(c)  $M$  maximal ideal in  $R$

*Proof.* let  $p = (a_1 \dots a_n) \in k^n$

let  $g_1(p) \neq 0, g_2(p) \neq 0$

$$\frac{f_1}{g_1} + \frac{f_2}{g_2} = \frac{f_1g_2 + f_2g_1}{g_1g_2} \quad g_1(p)g_2(p) \neq 0 \text{ so } \frac{f_1}{g_1} + \frac{f_2}{g_2} \in R$$

$$\frac{f_1}{g_1} \cdot \frac{f_2}{g_2} = \frac{f_1f_2}{g_1g_2} \quad g_1(p)g_2(p) \neq 0 \text{ so } \frac{f_1}{g_1} \frac{f_2}{g_2} \in R$$

$f = \frac{f}{1} \in R$ ,  $\forall f \in k[x_1 \dots x_n]$ , so  $k[x_1 \dots x_n] \subset R$

□

EY : 20141027, to recap,

Let  $V = k^n$

Let  $p = (a_1 \dots a_n)$

single pt.  $\{p\}$  is (an example of) a variety

$I(\{p\}) = \langle x_1 - a_1 \dots x_n - a_n \rangle \subset k[x_1 \dots x_n]$

$$R \equiv k[x_1 \dots x_n]_{\langle x_1 - a_1 \dots x_n - a_n \rangle}$$

$$R = \left\{ \frac{f}{g} \mid \text{rational function } \frac{f}{g} \text{ of } x_1 \dots x_n, g(p) \neq 0, p = (a_1 \dots a_n) \right\}$$

Prop. 1.2. properties

(a)  $R$  subring of field of rational functions  $k(x_1 \dots x_n)$   $k(x_1 \dots x_n) \subset R$

(b)  $M = \langle x_1 - a_1 \dots x_n - a_n \rangle \subset R$ . ideal generated by  $x_1 - a_1 \dots x_n - a_n$

Then  $\forall \frac{f}{g} \in R \setminus M$ ,  $\frac{f}{g}$  unit in  $R$  (  $\exists$  multiplicative inverse in  $R$  )

(c)  $M$  maximal ideal in  $R$ .

in  $R$  we allow denominators that are not elements of this ideal  $I(\{p\})$

**Definition 29** (1.3). *local ring is a ring that has exactly 1 maximal ideal*

**Proposition 11** (1.4). *ring  $R$  with proper ideal  $M \subset R$  is local ring if  $\forall \frac{f}{g} \in R \backslash M$  is unit in  $R$*

localization Ex. 8, Ex. 9  
parametrization

**Exercise 2.**

$$x = x(t) = \frac{-2t^2}{1+t^2}$$
$$y = y(t) = \frac{2t}{1+t^2}$$

$k[t]_{\langle t \rangle} \stackrel{-2t^2}{1+t^2}$  rational function of  $t$ .  $1+t^2 \neq 0$   
if  $k = \mathbb{C}$  or  $\mathbb{R}$

Consider set of convergent power series in  $n$  variables

(28) (1.5)  $k\{x_1 \dots x_n\} = \{ \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha x^\alpha \mid c_\alpha \in k, \text{ series converges in some open } U \ni 0 \in k^n \}$

Consider set  $k[[x_1 \dots x_n]]$  of formal power series

(29) (1.6)  $k[[x_1 \dots x_n]] = \{ \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha x^\alpha \mid c_\alpha \in k \}$  series need not converge

variety  $V$

$k[x_1 \dots x_n]/\mathbf{I}(V)$  variety  $V$

**14.2. Multiplicities and Milnor Numbers.** if  $I$  ideal in  $k[x_1 \dots x_n]$ , then denote  $Ik[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$  ideal generated by  $I$  in larger ring  $k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$

**Definition 30** (2.1). *Let  $I$  0-dim. ideal in  $k[x_1 \dots x_n]$ , so  $V(I)$  consists of finitely many pts. in  $k^n$ . Assume  $(0 \dots 0) \in V(I)$  multiplicity of  $(0 \dots 0) \in V(I)$  is*

$$\dim_k k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle} / Ik[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$$

generally, if  $p = (a_1 \dots a_n) \in V(I)$   
multiplicity of  $p$ ,  $m(p) = \dim k[x_1 \dots x_n]_M / Ik[x_1 \dots x_n]_M$

$$\dim k[x_1 \dots x_n]_M / Ik[x_1 \dots x_n]_M$$

localizing  $k[x_1 \dots x_n]$  at maximal ideal  $M = I(\{p\}) = \langle x_1 - a_1 \dots x_n - a_n \rangle$

15.

16.

17. POLYTOPES, RESULTANTS, AND EQUATIONS

18. POLYHEDRAL REGIONS AND POLYNOMIALS

18.1. **Integer Programming.** Prop. 1.12.

Suppose 2 customers  $A, B$  ship to same location

A: ship 400 kg pallet taking up  $2\,m^3$  volume

B: ship 500 kg pallet taking up  $3\,m^3$  volume

shipping firm trucks carry up to 3700 kg, up to  $20\,m^3$

B’s product more perishable, paying \$ 15 per pallet

A pays \$ 11 per pallet  
How many pallets from A, B each in truck to maximize revenues?

(30) (1.1) 
$$\begin{aligned} 4A + 5B &\leq 37 \\ 2A + 3B &\leq 20 \\ A, B &\in \mathbb{Z}_{\geq 0}^* \end{aligned}$$

maximize  $11A + 15B$

integer programming.  
max. or min. value of some linear function

$$l(A_1 \dots A_n) = \sum_{i=1}^n c_i A_i$$

on set  $(A_1 \dots A_n) \in \mathbb{Z}_{\geq 0}^n$  s.t.  
3. Finally, by introducing additional variables; rewrite linear constraint inequalities as equalities. The new variables are called “slack variables”

(31) (1.4)  $a_{ij}A_j = b_i, \quad A_j \in \mathbb{Z}_{\geq 0}$

introduce indeterminate  $z_i$ ,  $\forall$  equation in (1.4)

$$z_i^{a_{ij}A_j} = z_i^{b_i}$$

$m$  constraints

$$\prod_{i=1}^m z_i^{a_{ij}A_j} = \prod_{i=1}^m z_i^{b_i} = \left( \prod_{i=1}^m z_i^{a_{ij}} \right)^{A_j}$$

**Proposition 12** (1.6). *Let  $k$  field, define  $\varphi : k[w_1 \dots w_n] \rightarrow k[z_1 \dots z_m]$  by*

$$\varphi(w_j) = \prod_{i=1}^m z_i^{a_{ij}} \quad \forall j = 1 \dots n$$

and

$$\varphi(g(w_1 \dots w_n)) = g(\varphi(w_1) \dots \varphi(w_n))$$

$\forall$  general polynomial  $g \in k[w_1 \dots w_n]$   
Then  $(A_1 \dots A_n)$  integer pt. in feasible region iff  $\varphi : w_1^{A_1} \dots w_n^{A_n} \mapsto z_1^{b_1} \dots z_m^{b_m}$



**Exercise 3.**

Now

$$\varphi(w_j) = \prod_{i=1}^m z_i^{a_{ij}} \\ z_i^{a_{ij} A_j} = z_i^{b_i}$$

If  $(A_1 \dots A_n)$  an integer pt. in feasible region,  $a_{ij} A_j = b_i$

$$z_i^{a_{ij} A_j} = z_i^{b_i} = \prod_{j=1}^n z_i^{a_{ij} A_j} \implies \prod_{j=1}^n \prod_{i=1}^m (z_i^{a_{ij}})^{A_j} = \prod_{i=1}^m z_i^{b_i} = \prod_{j=1}^n \varphi(w_j)^{A_j} = \prod_{j=1}^n \varphi(w_j)^{A_j} = \varphi\left(\prod_{j=1}^n w_j^{A_j}\right) = \prod_{i=1}^m z_i^{b_i}$$

since  $\varphi(g(w_1 \dots w_n)) = g(\varphi(w_1) \dots \varphi(w_n))$

If  $\varphi : \prod_{j=1}^n w_j^{A_j} \mapsto \prod_{i=1}^m z_i^{b_i}$

$$\varphi\left(\prod_{j=1}^n w_j^{A_j}\right) = \prod_{j=1}^n (\varphi(w_j))^{A_j} = \prod_{i=1}^m z_i^{b_i} = \prod_{j=1}^n \left(\prod_{i=1}^m z_i^{a_{ij}}\right)^{A_j} \implies \prod_{j=1}^n z_i^{a_{ij} A_j} = z_i^{b_i}$$

or  $a_{ij} A_j = b_i$ . So  $(A_1 \dots A_n)$  integer pt.

**Exercise 4.**

$$\prod_{i=1}^m z_i^{b_i} = \prod_{i=1}^m \prod_{j=1}^n z_i^{a_{ij} A_j} = \prod_{j=1}^n \left(\prod_{i=1}^m z_i^{a_{ij}}\right)^{A_j} = \prod_{j=1}^n \varphi(w_j)^{A_j} = \varphi\left(\prod_{j=1}^n w_j^{A_j}\right)$$

So if given  $(b_1 \dots b_m) \in \mathbb{Z}^m$ , and for a given  $a_{ij}$ ,  $a_{ij} A_j = b_i$

For  $m \leq n$ , then  $a_{ij}$  is surjective, so  $\exists A_j$  s.t.  $\prod_{i=1}^m z_i^{b_i} = \varphi\left(\prod_{j=1}^n w_j^{A_j}\right)$

**Proposition 13** (1.8). *Suppose  $f_1 \dots f_n \in k[z_1 \dots z_m]$  given*

*Fix monomial order in  $k[z_1 \dots z_n, w_1 \dots w_n]$  with elimination property:*

$\forall$  *monomial containing 1 of  $z_i$  greater than any monomial containing only  $w_j$*

*Let  $\mathcal{G}$  Gröbner basis for ideal*

$$I = \langle f_1 - w_1 \dots f_n - w_n \rangle \subset k[z_1 \dots z_m, w_1 \dots w_n]$$

$\forall f \in k[z_1 \dots z_m]$ , let  $\overline{f}^{\mathcal{G}}$  be remainder on division of  $f$  by  $\mathcal{G}$

Then

(a) *polynomial  $f$  s.t.  $f \in k[f_1 \dots f_n]$  iff  $g = \overline{f}^{\mathcal{G}} \in k[w_1 \dots w_n]$*

(b) *if  $f \in k[f_1 \dots f_n]$  as in part (a),*

$$g = \overline{f}^{\mathcal{G}} \in k[w_1 \dots w_n]$$

*then  $f = g(f_1 \dots f_n)$ , giving an expression for  $f$  as polynomial in  $f_j$*

(c) *if  $\forall f_i, f$  monomials,  $f \in k[f_1 \dots f_n]$ ,*

*then  $g$  also a monomial.*

**18.2. Integer Programming and Combinatorics.**

19. ALGEBRAIC CODING THEORY

20. THE BERLEKAMP-MASSEY-SAKATA DECODING ALGORITHM

Gröbner Bases, Martin R. Albrecht of the DTU Crypto Group

**Part 4. Conformal Field Theory : Virasoro Algebra**

cf. Schottenloher (2008) [?]

**Definition 31.** *extension of  $G$  by group  $A$  is (given by) an exact sequence of group homomorphisms.*

$$1 \longrightarrow A \xrightarrow{i} E \xrightarrow{\pi} G \longrightarrow 1$$

cf. Def. 3.1 of Schottenloher (2008) [?].

Recall that an exact sequence, if  $\text{im}(1 \rightarrow A) = \ker(i)$   
 $\text{im}(i) = \ker(\pi)$   
 $\text{im}(\pi) = \ker(G \rightarrow 1)$

By Thm.,  $1 \rightarrow A \xrightarrow{i} E$  exact so  $i$  injective.

$E \xrightarrow{\pi} G \rightarrow 1$  exact so  $\pi$  surjective.

Extension is called **central** if  $A$  abelian and image  $\text{im} i$  is in center of  $E$ , i.e.  $a \in A, b \in E \implies i(a)b = bi(a)$ .

20.0.1. *Examples of extensions of  $G$ , and central extensions of  $G$  (which has a particular  $E$ ).* e.g. central extension has form

$$1 \longrightarrow A \xrightarrow{i} A \times G \xrightarrow{\text{pr}_2} G \longrightarrow 1$$

where

$$i : A \rightarrow A \times G$$

$$a \mapsto (a, 1)$$

$$i(a)(a', g) = (a, 1)(a', g) = (aa', g) = \\ = (a'a, g \cdot 1) = (a', g)(a, 1) = (a', g)i(a)$$

Notice that what the *exactness* property of an exact sequence does:

$$\text{pr}_2 i(a) = \text{pr}_2(a, 1) = 1$$

e.g. of a nontrivial central extension is exact sequence

$$(32) \quad 1 \longrightarrow \mathbb{Z}/k\mathbb{Z} \longrightarrow E \times U(1) \xrightarrow{\pi} U(1) \longrightarrow 1$$

with  $\pi(z) = z^k \quad \forall k \in \mathbb{N}, k \geq 2$ , since  $E = U(1)$  and  $\mathbb{Z}/k\mathbb{Z}$  are not isomorphic.

Also, homomorphism  $\tau : U(1) \rightarrow E$  with  $\pi \circ \tau = 1_{U(1)}$ , doesn't exist, since there's no global  $k$ th root.

EY : 20170926 It's that in integer division of the argument in a complex number  $z \in U(1)$ , and exponent multiplication by  $k$ , you go from 1 to many and many to 1, depending upon the "branch" you're mapping to for complex numbers.

For  $[n] \in \mathbb{Z}/k\mathbb{Z}$ ,

$$[n] \mapsto \exp\left(\frac{[n]}{k} 2\pi i\right)$$

and so

$$\ker \pi = \{z | \pi(z) = 1\} \text{ so that } \ker \pi = \{z = \exp\left(\frac{i2\pi n}{k}\right)\}$$

e.g. *Semidirect products.*

group  $G$  acting on another group  $H$ , by homomorphism

$$\tau : G \rightarrow \text{Aut}(H)$$

**Part 5. Algebraic Topology**

cf. Bredon (1997) [7]

21. SIMPLICIAL COMPLEXES

cf. pp. 245, from Sec. 21 Simplicial Complexes of Ch. 4 Homology Theory in Bredon (1997) [7]  
 $\mathbf{v}_0, \dots \mathbf{v}_n \in \mathbb{R}^\infty$ , "affinely independent" if they span an affine  $n$ -plane, i.e.

$$\text{if } \left( \sum_{i=0}^n \lambda_i \mathbf{v}_i = 0, \sum_{i=0}^n \lambda_i = 0 \right), \text{ then } \implies \forall \lambda_i = 0$$

If not, then, e.g.  $\lambda_0 \neq 0$ , assume  $\lambda_0 = -1$ , and solve the equations to get

$$\begin{aligned} \mathbf{v}_0 &= \sum_{i=1}^n \lambda_i \mathbf{v}_i \\ \sum_{i=1}^n \lambda_i &= 1 \end{aligned}$$

i.e.  $\mathbf{v}_0$  is in affine space spanned by  $\mathbf{v}_1 \dots \mathbf{v}_n$ .  
If  $\mathbf{v}_0, \dots \mathbf{v}_n$  affinely independent, then

(33) 
$$\sigma = (\mathbf{v}_0, \dots \mathbf{v}_n) = \left\{ \sum_{i=0}^n \lambda_i \mathbf{v}_i \mid \sum_{i=0}^n \lambda_i = 1, \lambda_i \geq 0 \right\}$$

is "affine simplex" spanned by  $\mathbf{v}_i$ ; also convex hull of  $\mathbf{v}_i$ .  
 $\forall k \leq n$ ,  $k$ -face of  $\sigma$  is any affine simplex of form  $(\mathbf{v}_{i_1}, \dots \mathbf{v}_{i_k})$ , where vertices all distinct, so are affinely independent.

**Definition 32.** (geometric) simplicial complex  $K :=$  collection of affine simplices s.t.

- (1)  $\sigma \in K \implies$  any face of  $\sigma \in K$ ; and
- (2)  $\sigma, \tau \in K \implies \sigma \bigcap \tau$  is a face of both  $\sigma$  and  $\tau$ , or  $\sigma \bigcap \tau = \emptyset$

If  $K$  simplicial complex,  $|K| = \bigcup \{ \sigma \mid \sigma \in K \} \equiv$  "polyhedron" of  $K$

**Definition 33** (Def. 21.2 of Bredon (1997) [7]). *polyhedron*  $:=$  space  $X$  if  $\exists$  homeomorphism  $h : |K| \xrightarrow{\approx} X$  for some simplicial complex  $K$ .  $h, K$  is triangulation of  $X$ ; (map  $h$ , complex  $K$ )

Let  $K$  finite simplicial complex.  
Choose ordering of vertices  $\mathbf{v}_0, \mathbf{v}_1 \dots$  of  $K$ .  
If  $\sigma = (\mathbf{v}_{\sigma_0}, \dots \mathbf{v}_{\sigma_n})$  is simplex of  $K$ , where  $\sigma_0 < \dots < \sigma_n$ , then  
let  $f_\sigma : \Delta_n \rightarrow |K|$  be

$$f_\sigma = [\mathbf{v}_{\sigma_b}, \dots \mathbf{v}_{\sigma_n}]$$

in notation of Def. 1.2. Bredon (1997) [7].  
Then this gives CW-complex structure on  $|K|$  with  $f_\sigma$  as characteristic maps.

**Part 6. Graphs, Finite Graphs**

22. GRAPHS, FINITE GRAPHS, TREES

Serre (1980) [8]  
cf. Chapter I. Trees and Amalgams, Section 1 Amalgams, Subsection 1.1 Direct limits of Serre (1980) [8]  
Let  $(G_i)_{i \in I}$ , family of groups.  
 $\forall$  pair  $(i, j)$ , let  $F_{ij}$  = set of homomorphisms of  $G_i$  into  $G_j$   
Want: group  $G = \varinjlim G_i$  and

$$\{f_i \mid f_i : G_i \rightarrow G\} \text{ s.t. } f_j \circ f = f_i \quad \forall f \in F_{ij}$$

group  $G$  and family  $\{f_i\}$  universal in that  
(\*) if  $H$  group, if  $\{h_i \mid h_i : G_i \rightarrow H; h_j \circ f = h_i \quad \forall f \in F_{ij}\}$ ,  
then  $\exists ! h : G \rightarrow H$  s.t.  $h_i = h \circ f_i$   
i.e.  $\text{Hom}(G, H) \simeq \varprojlim \text{Hom}(G_i, H)$ , the inverse limit being taken relative to  $F_{ij}$ .  
i.e.  $G$  direct limit of  $G_i$  relative to the  $F_{ij}$ .

**Proposition 14.**  $\exists !$  pair  $G$ , family  $(f_i)_{i \in I}$ , i.e. (pair consisting of  $G, (f_i)_{i \in I}$ , unique up to unique isomorphism.

*Proof.* Define  $G$  by generators and relations.  
Take generating family to be disjoint union of those for  $G_i$ .  
relations -  $xyz^{-1}$  where  $x, y, z \in G_i, z = xy \in G_i$   
 $xy^{-1}$  where  $x \in G_i, y \in G_j, y = f(x)$  for at least  $f \in F_{ij}$ .  
Thus, existence of  $G, \{f_i\}$ .  
 $G$  represents functor  $H \mapsto \varprojlim \text{Hom}(G_i, H)$ .  
Thus, uniqueness (also from universal property). □

e.g. groups  $A, G_1, G_2$ , homomorphisms  $f_1 : A \rightarrow G_1$ .  
 $f_2 : A \rightarrow G_2$

$G$  obtained by amalgamating  $A$  in  $G_1, G_2$  by  $f_1, f_2 \equiv G_1 *_A G_2$ .  
1 can have  $G = \{1\}$ , even though  $f_1, f_2$  non-trivial.  
*Application:* (Van Kampen Thm.)  
Let topological space  $X$  be covered by open  $U_1, U_2$ .  
Suppose  $U_1, U_2, U_{12} = U_1 \bigcap U_2$  arcwise connected.  
Let basept.  $x \in U_{12}$ .  
Then  $\pi_1(X; x)$  obtained by taking 3 groups

$$\pi_1(U_1; x), \pi_1(U_2; x), \pi_1(U_{12}; x)$$

and amalgamating them according to homomorphism

$$\begin{aligned} \pi_1(U_{12}; x) &\rightarrow \pi_1(U_1; x) \\ \pi_1(U_{12}; x) &\rightarrow \pi_1(U_2; x) \end{aligned}$$

**Exercise 1.** Let homomorphisms  $f_1 : A \rightarrow G_1$  amalgam  $G = G_1 *_A G_2$ .  
 $f_2 : A \rightarrow G_2$   
Define subgroups  $A^n, G_1^n, G_2^n$ , of  $A, G_1, G_2$  recursively by

$$\begin{aligned} A^1 &= \{1\} \\ G_1^1 &= \{1\} \\ G_2^1 &= \{1\} \end{aligned}$$

$A^n =$  subgroup of  $A$  generated by  $f_1^{-1}(G_1^{n-1})$  and  $f_2^{-1}(G_2^{n-1})$

$G_1^n =$  subgroup of  $G_i$  generated by  $f_i(A^n)$

Let  $A^\infty, G_i^\infty$  be unions of  $A^n, G_i^n$  resp.

Show that  $f_i$  defines injection  $A/A^\infty \rightarrow G_i/G_i^\infty$ .

So the amalgamation is  $G \simeq G_1/G_1^\infty *_A/A^\infty G_2/G_2^\infty$ .

Take the first induction case (for intuition about the solution).

$$A^2 = \langle f_1^{-1}(G_1^1), f_2^{-1}(G_2^1) \rangle = \langle f_1^{-1}(\{1\}), f_2^{-1}(\{1\}) \rangle$$

$$G_i^2 = f_i(A^2)$$

Let  $f_i(a) = f_i(b) \in G_i/G_i^\infty$ ;  $a, b \in A/A^\infty$ .

Then since  $f_i(a), f_i(b) \in G_i/G_i^\infty$ ,  $f_i(a), f_i(b) \in \{gG_i^\infty | g \in G_i\}$  (quotient is defined to be the set of all left cosets of  $G_i^\infty$ , which has to be a normal subgroup for  $G_i/G_i^\infty$  to be a quotient group).

Since  $a, b \in A/A^\infty$ , suppose we take  $a, b \in A$ .

And suppose we take

$$f_i(a) = f_i(a)G_i^\infty = f_i(a)f_i(A^{n_a}) = f_i(aA^{n_a})$$

$$f_i(b) = f_i(b)G_i^\infty = f_i(b)f_i(A^{n_b}) = f_i(bA^{n_b})$$

Taking  $f_i^{-1}$  (recall for group homomorphisms, they map inverse of element of 1st. group to inverse of image of this element).

$aA^{n_a} = bA^{n_b} \in A/A^\infty$  (This is okay as we've "quotiented out  $A^\infty$ ; so indeed, they're equal)

cf. Subsection 1.2 Structure of amalgams of Serre (1980) [8]

Suppose given group  $A$ , family of groups  $(G_i)_{i \in I}$ , and,  $\forall i \in I$ , injective homomorphism  $A \rightarrow G_i$ .

$*_A G_i \equiv$  direct limit (cf. no. 1.1) of family  $(A, G_i)$  with respect to these homomorphisms, call it *sum* (in category theory sense, i.e. product) of  $G_i$  with  $A$  amalgamated.

e.g.  $A = \{1\}$ ,

$*G_i \equiv$  free product of  $G_i$ .

22.0.1. *reduced word*.  $\forall i \in I$ , choose set  $S_i$  of right coset representations of  $G_i$  modulo  $A$ ,

assume  $1 \in S_i$ ,

$(a, s) \mapsto as$  is bijection of  $A \times S_i$  onto  $G_i$ ,

$A \times (S_i - \{1\}) \rightarrow G_i - A$  (onto)

Let  $\mathbf{i} = (i_1 \dots i_n)$ ,  $n \geq 0$ ,  $i_j \in I$ , s.t.

$$(34) \quad i_m \neq i_{m+1} \text{ for } 1 \leq m \leq n-1$$

cf. (T) of Serre (1980) [8].

So *reduced word*  $m$  is defined as

$$m = (a; s_1 \dots s_n)$$

where  $a \in A, s_1 \in S_{i_1} \dots s_n \in S_{i_n}$ , and  $s - j \neq 1 \forall j$ .

$f \equiv$  canonical homomorphism of  $A$  into group  $G = *_A G_i$

$f_i \equiv$  canonical homomorphism of  $G_i$  into group  $G = *_A G_i$

EY : 20170611 (Further explanations, basic examples, from me):

Given  $A, \{G_i\}_{i \in I}$ , injective (group) homomorphisms  $\{f_i : A \rightarrow G_i\}_i$ .

$G_i \setminus f_i(A) = \{f_i(A)g | g \in G_i\}$ .

Right coset representation of  $f_i(A)g \mapsto g$ .

e.g.  $A, G_1, G_2, f_1 : A \rightarrow G_1$ .

$$f_2 : A \rightarrow G_2$$

$$G_1 \setminus f_1(A) = \{f_1(A)g | g \in G_1\}$$

$$G_2 \setminus f_2(A) = \{f_2(A)g | g \in G_2\}$$

$\mathbf{i} = (i_1 \dots i_n)$ ,  $i_j \in I$ ,  $i_m \neq i_{m+1}$  for  $1 \leq m \leq n-1$ .

Consider (1212...12)

$m = (a; f_1 g_2 f_3 g_4 \dots f_{2n-1}, g_{2n})$  where  $f$ 's  $\in S_1 \subset G_1$ ,  $g$ 's  $\in S_2 \subset G_2$ .

and so

**Definition 34** (reduced word). ***reduced word** of type  $\mathbf{i}$ ,  $m$ ,*

$$(35) \quad m = (a; s_1 \dots s_n)$$

where  $a \in A, s_1 \in S_{i_1}, \dots s_n \in S_{i_n}$ ,  $s_j \neq 1 \quad \forall j$ ,

$\mathbf{i} = (i_1 \dots i_n)$ ,  $i_j \in I$ , s.t.  $i_m \neq i_{m+1}$  for  $1 \leq m \leq n-1$ ,

with  $S_i = \{g | g \in f_i(A)g \in f_i(A)G_i\}$

**Theorem 13** (1 of Serre (1980) [8]).  $\forall g \in G, \exists$  sequence  $\mathbf{i}$  s.t.  $i_m \neq i_{m+1}$  for  $1 \leq m \leq n-1$  and *reduced word*

$$m = (a; s_1 \dots s_n)$$

of type  $\mathbf{i}$  s.t.

$$g = f(a)f_{i_1}(s_1) \dots f_{i_n}(s_n)$$

Furthermore,  $\mathbf{i}$  and  $m$  unique.

*Remark.* Thm. 1 implies  $f; f_i$  injective.

Then identify  $A$  and  $G_i$  with images  $f(A), f_i(G_i)$  in  $G$ , and reduced decomposition (\*) of  $g \in G$

$$g = as_1 \dots s_n, \quad a \in A, s_1 \in S_{i_1} - \{1\} \dots s_n \in S_{i_n} - \{1\}$$

Likewise,  $G_i \cap G_j = A$  if  $i \neq j$ .

In particular,  $S_i - \{1\}$  pairwise disjoint in  $G$ .

*Proof.* Let  $X_i \equiv$  set of reduced words of type  $\mathbf{i}$ ,  $X = \coprod X_i$ .

Make  $G$  act on  $X$ .

In view of universal property of  $G$ , sufficient to make  $\forall i, G_i$  act,

check action induced on  $A$  doesn't depend on  $i$

Suppose then that  $i \in I$ , and let  $Y_i =$  set of reduced words of form  $(1; s_1 \dots s_n)$ , with  $i_1 \neq i$ .

EY : 20170611

Recall that

$$S_i = \{g | g \in f_i(A)g \in f_i(A)G_i\}$$

$$A \times S_i \rightarrow G_i \text{ onto}$$

$$A \times (S_i - \{1\}) \rightarrow G_i - A \text{ onto}$$

$$(a, s) \mapsto as \text{ bijection}$$

Let  $Y_i =$  set of reduced words of form  $(1; s_1 \dots s_n) = \{(1; s_1 \dots s_n) | 1 \in A; s_1 \in S_{i_1} \dots s_n \in S_{i_n}; \mathbf{i} = (i_1 \dots i_n), i_j \in I \text{ s.t. } i_m \neq i_{m+1} \text{ for } 1 \leq m \leq n-1\}$ .

$$A \times Y_i \rightarrow X = \coprod_i X_i$$

$$(a, (1; s_1 \dots s_n)) \mapsto (a; s_1 \dots s_n)$$

$$A \times \{S_i - \{1\}\} \times Y_i \rightarrow X$$

$$((a, s), (1; s_1 \dots s_n)) \mapsto (a; s, s_1 \dots s_n)$$

and remember that  $X_i =$  set of reduced words of type  $\mathbf{i}$ .

It's clear that this yields a bijection  $A \times Y_i \cup A \times (S_i - \{1\}) \times Y_i \rightarrow X$ .

Let  $x \in X$ . Then  $x \in X_{\mathbf{i}}$  for some  $\mathbf{i}$ . So  $x$  is a reduced word of type  $\mathbf{i}$ :  $x = (a; s_1 \dots s_n)$ . Then clearly  $x = (a; s_1 \dots s_n) \mapsto (a, (1; s_1 \dots s_n)) \in A \times Y_i$ .

□

cf. pp. 13, Sec. 2. Trees, 2.1 Graphs of Serre (1980) [8]

**Definition 35** (1. of Serre (1980) [8]). ***graph***  $\Gamma = (X, Y, Y \rightarrow X \times X, Y \rightarrow Y)$ , where  $\begin{array}{l} \text{set } X = \text{vert } \Gamma \\ \text{set } Y = \text{edge } \Gamma \end{array}$

$$\begin{array}{l} Y \rightarrow X \times X \\ y \mapsto (o(y), t(y)) \\ Y \rightarrow Y \\ y \mapsto \bar{y} \end{array}$$

s.t.  $\forall y \in Y, \bar{\bar{y}} = y, \bar{y} \neq y, o(y) = t(\bar{y})$ .  
vertex  $P \in X$  of  $\Gamma$ .  
(oriented) edge  $y \in Y, \bar{y} \equiv$  inverse edge.  
origin of  $y :=$  vertex  $o(y) = t(\bar{y})$ .  
terminus of  $y :=$  vertex  $t(y) = o(\bar{y})$   
extremities of  $y := \{o(y), t(y)\}$   
If 2 vertices **adjacent**, they’re extremities of some edge.  
orientation of graph  $\Gamma = Y_+ \subset Y = \text{edge } \Gamma$  s.t.  $Y = Y_+ \coprod \bar{Y}_+$ . It always exists.  
oriented graph defined, up to isomorphism, by giving 2 sets  $X, Y_+$  and  $Y_+ \rightarrow X \times X$ .  
corresponding set of edges is  $Y = Y_+ \coprod \bar{Y}_+$  where  $\bar{Y}_+ \equiv$  copy of  $Y_+$

22.0.2. *Realization of a Graph.* cf. Realization of a Graph in Serre (1980) [8].  
Let graph  $\Gamma, X = \text{vert}\Gamma, Y = \text{edge}\Gamma$ .  
topological space  $T = X \coprod Y \times [0, 1]$ , where  $X, Y$  provided with discrete topology.  
Let  $R$  be finest equivalence relation on  $T$  for which

$$(36) \quad \begin{array}{l} (y, t) \equiv (\bar{y}, 1 - t) \\ (y, 0) \equiv o(y) \\ (y, 1) \equiv t(y) \end{array} \quad \forall y \in Y, \forall t \in [0, 1]$$

quotient space  $\text{real}(\Gamma) = T/R$  is *realization* of graph  $\Gamma$ . (realization is a functor which commutes with direct limits).  
Let  $n \in \mathbb{Z}^+$ . Consider oriented graph of  $n + 1$  vertices  $0, 1, \dots, n$ ,

**Definition 36.** *path (of length  $n$ ) in graph  $\Gamma$  is morphism  $c$  of  $\text{Path}_n$  into  $\Gamma$*

orientation given by  $n$  edges  $[i, i + 1], 0 \leq i < n, o([i, i + 1]) = i$   
 $t([i, i + 1]) = i + 1$

For  $n \geq 1$ ,  
 $(y_1 \dots y_n)$  sequence of edges  $y_i = c([i - 1, i])$  s.t.

$$t(y_i) = o(y_{i+1}), \quad 1 \leq i < n \text{ determine } c$$

If  $P_i = c(i)$ ,  
 $c$  is a path from  $P_0$  to  $P_n$ , and  $P_0$  and  $P_n$  are *extremities of the path  $c$* .  
pair of form  $(y_i, y_{i+1}) = (y_i, \bar{y}_i)$  in path is **backtracking**.  
path (of length  $n - 2$ ), from  $P_0$  to  $P_n$  given (for  $n > 2$ ) by  $(y_1 \dots y_{i-1}, y_{i+2} \dots y_n)$   
If  $\exists$  path from  $P$  to  $Q$  in  $\Gamma, \exists$  one without backtracking (by induction)  
direct limit  $\text{Path}_\infty = \varinjlim \text{Path}_n$  provides notion of infinite path.  
 $\text{Path}_\infty \ni$  infinite sequence  $(y_1, y_2, \dots)$  of edges s.t.  $t(y_i) = o(y_{i+1}) \quad \forall i \geq 1$ .

**Definition 37** (connected graph; Def. 3 of Serre (1980) [8]). *graph connected if  $\forall$  2 vertices, 2 vertices are extremities of at least 1 path.*  
*maximal connected subgraphs (under relation of inclusion) are connected components of graph.*

22.0.3. *Circuits.* Let  $n \in \mathbb{Z}^+, n \geq 1$ .  
Consider

set of vertices  $\mathbb{Z}/n\mathbb{Z}$ , orientation given by  $n$  edges  $[i, i + 1], (i \in \mathbb{Z}/n\mathbb{Z})$  with  $o([i, i + 1]) = i$   
 $t([i, i + 1]) = i + 1$

**Definition 38** (circuit; Def. 4 of Serre (1980) [8]). *circuit (length  $n$ ) in graph is subgraph isormorphic to  $\text{Circ}_n$ .*

i.e. subgraph = path  $(y_1 \dots y_n)$ , without backtracking, s.t.  $P_i = t(y_i), (1 \leq i \leq n)$  distinct, s.t.  $P_n = o(y_1)$

$n = 1$  case:  $\text{Circ}_1, \mathbb{Z}/\mathbb{Z} = \{0\}, 1 \text{ edge}, [0, 1], 0 \in \mathbb{Z}/1\mathbb{Z}, o([0, 1]) = 0$   
 $t([0, 1]) = 1$

Note  $\text{Circ}_1$  has automorphism of order 2, which changes its orientation, i.e.  
 $\exists$  automorphism  $\sigma \in \text{Aut}(\text{Circ}_1)$  s.t.  $|\sigma| = 2$ , i.e.  $\sigma^2 = 1$ .  
loop := circuit of length 1; so loop  $\in \text{Circ}_1$ .

path  $(y_1), P_1 = t(y_1) = o(y_1)$ .  
 $n = 2$  case:  $\text{Circ}_2, \mathbb{Z}/2\mathbb{Z} = \{0, 1\}, 2 \text{ edges } [0, 1], [1, 2],$

path  $(y_1, y_2), (1 \leq i \leq 2), P_1 = t(y_1)$   
 $P_2 = t(y_2) = o(y_1)$

22.1. **Combinatorial graphs.** Let  $(X, S) \equiv$  simplicial complex of dim.  $\leq 1$ , with  
 $X \equiv$  set  
 $S \equiv$  set of subsets of  $X$  with 1 or 2 elements, containing all the 1-element subsets.  
associates with it a graph  $\Gamma = (X, \{(P, Q)\})$ .

$X$  is its set of vertices.  
edges =  $\{(P, Q) \in X \times X\}$  s.t.  $P \neq Q, \{P, Q\} \in S$ , with  $\overline{(P, Q)} = (Q, P)$

$$\begin{array}{l} o(P, Q) = P \\ t(P, Q) = Q \end{array}$$

In this graph, 2 edges with same origin and same terminus are equal. This is equivalent to (see following Def.)

**Definition 39** (combinatorial; Def. 5 of Serre (1980) [8]). *graph is combinatorial if it has no circuit of length  $\leq 2$*

Conversely, it’s easy to see that  
every combinatorial graph  $\Gamma$  derived (up to isomorphism) by construction above from simplicial complex  $(X, S)$ , where  
 $X = \text{vert}\Gamma$   
 $S =$  set of subset  $\{P, Q\}$  of  $X$  s.t.  $P$  and  $Q$  either adjacent or equal.

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