THE ALGEBRAIC GEOMETRY ALGEBRAIC TOPOLOGY DUMP

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From the beginning of 2016, I decided to cease all explicit crowdfunding for any of my materials on physics, math. I failed to raise any funds from previous crowdfunding efforts. I decided that if I was going to live in abundance, I must lose a scarcity attitude. I am committed to keeping all of my material open-sourced. I give all my stuff for free.

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6 6	We should know some algebra. I will follow mostly Rotman (2010) [6].	
7 8	1. Prime numbers, GCD (greatest common denominator), integers, Euler's totient, Chinese Remainder Theorem, integer divison, modulus, remainders; Euclid's Lemma	ER
8 9	1.1. Greatest Common Denominator (GCD); Euclid's Lemma.	
9	Theorem 1 (1.7 of Rotman (2010) [6]). If $a, b \in \mathbb{Z}$, then $gcd(a, b) \equiv (a, b) = d$ is linear combination of a and b , i.e. $\exists s, t$ $s.t$.	$t \in \mathbb{Z}$
9 10	d = sa + tb	
10	cf. pp.4, Thm. 1.7, Ch. 1 Things Past of Rotman (2010) [6]	

Proof. Let I :=

$$I := \{sa + tb | s, t \in \mathbb{Z}\}$$

If $I \neq \{0\}$, let d be smallest positive integer in I.

 $d \in I$, so d = sa + tb for some $s, t \in \mathbb{Z}$.

Claim: $I = (d) \equiv \{kd | k \in \mathbb{Z}\} = \text{set of all multiples of } d.$

Clearly $(d) \subseteq I$, since $kd = k(sa + tb) = (ks)a + (kt)b \in I$.

Let $c \in I$.

By division algorithm, c = qd + r, $0 \le r < d$

$$r = c - qd = s'a + t'b - qsa - qtb = (s' - sq)a + (t' - qt)b \in I$$

If $r \in I$, but r < d, contradiction that $\min_{i \in I} i = d$.

So r = 0, and d|c = c/d.

$$c \in (d)$$
, so $I \subseteq (d) \Longrightarrow I = (d)$

Theorem 2 (Euclid's Lemma; 1.10 of Rotman (2010) [6]). If p prime and p|ab, then p|a or p|b.

 $More\ generally,$

if prime p divides product $a_1 a_2 \dots a_n$,

then it must divide at least 1 of the factors a_i .

i.e. (notation),

If prime p, and $ab/p \in \mathbb{Z}$,

then $a/p \in \mathbb{Z}$ or $b/p \in \mathbb{Z}$.

More generally,

if prime p, s.t. $a_1 a_2 \dots a_n / p \in \mathbb{Z}$,

then $\exists 1 \ a_i \ s.t. \ a_i/p \in \mathbb{Z}$

Proof. If $p \nmid a$, i.e. $a/p \notin \mathbb{Z}$, then $\gcd(p, a) \equiv (p, a) = 1$.

From Thm. 1,

$$1 = sp + ta$$

$$\implies b = spb + tab = p(sb + td)$$

ab/p and so ab = pd, so b = spb + tdp, i.e. b is a multiple of p ($b/p \in \mathbb{Z} \equiv p|b$).

Corollary 1 (1.11 of Rotman (2010) [6]). Let $a, b, c \in \mathbb{Z}$.

If c, a relatively prime, i.e. gcd(c, a) = 1, and if $c|ab \equiv ab/c \in \mathbb{Z}$, then $c|b \equiv b/c \in \mathbb{Z}$

Proof.

$$gcd(c, a) = 1 = sc + ta \Longrightarrow b = sbc + tab = sbc + t(qc) = c(sb + tq) \Longrightarrow b/c = sb + tq$$

Theorem 3 (1.26 of Rotman (2010) [6]). If $gcd(a, m) \equiv (a, m) = 1$, then $\forall b \in \mathbb{Z}$, $\exists x \ s.t.$

$$ax = b \mod m$$

In fact, x = sb, where $sa \equiv 1 \mod m$

Proof. gcd(a, m) = 1 = sa + tm.

Then $b = b \cdot 1 = b(sa + tm) = sab + tmb$ or b = tbm + sab or a(sb) = -tbm + b.

So $a(sb) \mod m = b$.

Let x := sb and so $ax \mod m = b$.

Now suppose $x \neq sb$ s.t. $ax \mod m = b$. Then ax = qm + b. From $a(sb) \mod m = b$, we also get a(sb) = q'm + b. Then $a(x - sb) \mod m = 0$, so $m|a(x - sb) \equiv a(x - sb)/m \in \mathbb{Z}$.

By Corollary 1 (which says, if gcd(c, a) = 1 and if $ab/c \in \mathbb{Z}$, then $b/c \in \mathbb{Z}$), since gcd(m, a) = (m, a) = 1, and since $a(x - sb)/m \in \mathbb{Z}$, then $(x - sb)/m \in \mathbb{Z}$. So (x - sb) = qm or $(sb) \mod m = x$.

Proposition 1 (3.1 of Scheinerman (2006) [7]). Let $a, b \in \mathbb{Z}$, let $c = a \mod b$, i.e. a = qb + c s.t. $0 \le c < b$. Then

$$gcd(a,b) = gcd(b,c)$$

cf. Sec. 3.3 Euclid's method of Scheinerman (2006) [7]

Proof. If d common divisor of a, b, i.e. $a/d, b/d \in \mathbb{Z} \equiv d|a, d|b$.

 $c/d \in \mathbb{Z} \equiv d|c \text{ since } c = a - qb.$

If d is common divisor of b, c, i.e. $d|b, d|c \equiv c/d, b/d \in \mathbb{Z}$,

then $d|a \equiv a/d \in \mathbb{Z}$ since a = qb + c. So set of common divisors of a, b same as set of common divisors of b and c. Then gcd(a, b) = gcd(b, c).

1.2. Euler's totient; relatively prime.

Definition 1. if $a, b \in \mathbb{Z}$,

a divisor of b, if $\exists d \in \mathbb{Z}$ s.t. b = ad.

Also, a **divides** b or b multiple of $a \equiv a|b$.

 $a|b \equiv b/a \in \mathbb{Z}$

cf. pp. 3 of Ch. 1 Things Past, Sec. 1.1 Some Number Theory of Rotman (2010) [6].

cf. Ch. 5 Arrays, Sec. 5.1 Euler's totient of Scheinerman (2006) [7]

For

 $\varphi: \mathbb{Z}^+ \to \mathbb{Z}^+$

 $\varphi: n \mapsto \varphi(n) := \text{ number of elements of } \{1, 2, \dots n\} \text{ that are relative prime to } n = |\{i | i \in \{1, 2, \dots n\}, (n, i) = 1 \text{ or equivalently } n \propto i\}|$

e.g. $\varphi(10) = 4$ since $\varphi(10) = |\{1, 3, 7, 9\}|$. we want $|(a, b)| 1 \le a, b, \le n, \gcd(a, b) \equiv (a, b) = 1|$.

 $p_n = \frac{1}{n^2} \left[-1 + 2 \sum_{i=1}^n \varphi(k) \right]$ = probability that 2 integers, chosen uniformly and independently from $\{1, 2, \dots n\}$ are relatively prime

If p is prime, $\forall i \in \{1, 2, \dots p\}$, $(p, i) \equiv \gcd(p, i) = 1$, i.e. relatively prime to p, except $1 \ i \in \{1, 2, \dots p\}$. Therefore

$$\varphi(p) = p - 1$$

Consider $\varphi(p^2)$

 $\{1,2,\ldots p^2\}$, only numbers *not* relatively prime to p^2 are multiples of p since $p,2p,3p,\ldots p^2$ all divide p^2 , i.e. $p|p^2,2p|p^2\ldots (p-1)p|p^2\equiv p^2/p,p^2/2p,\ldots p^2/p(1-p)$. Assume $\varphi(p^n)=p^2-p^{n-1}=p^{n-1}(p-1)$.

$$\varphi(p^{n+1}) = \varphi(pp^n) = p^n \varphi(p) = p^n (p-1)$$

Therefore,

Proposition 2 (5.1). Let p prime, $n \in \mathbb{Z}^+$

e.g. $\varphi(77)$. $\forall n \text{ s.t. } 1 \leq n \leq 77$.

$$\gcd(n, 77) = 1$$
$$\gcd(n, 7) = 1$$
$$\gcd(n, 11) = 1$$

By Prop. 1,

$$\gcd(n,7) = \gcd(7, n \mod 7)$$
$$\gcd(n,11) = \gcd(11, n \mod 11)$$

Scheinerman (2006) [7]

1.2.1. Chinese Remainder Theorem.

Theorem 4. If m, m' relatively prime (i.e. gcd(m, m') = 1), then for

$$x \equiv b \mod m$$
$$x \equiv b' \mod m'$$

i.e. given b, b'm, m', and wanting to find $x, \exists x \text{ and } \forall 2x \text{'s, } x = x' \mod mm'$.

Proof.
$$x = b'ms + bm's'$$

cf. Ch. 1 Things Past, Thm. 1.28 of Rotman (2010) [6], pp. 68 Thm. 5.2 (Chinese Remainder) of Scheinerman (2006) [7].

2. Groups; Normal Subgroups

Definition 2 (normal subgroup $K \triangleleft G$). normal subgroup K of $G \equiv K \triangleleft G$ subgroup $K \subseteq G$, if $\forall k \in K, \forall g \in G$,

$$gkg^{-1} \in K$$

Definition 3 (quotient group).

quotient group
$$G \mod K \equiv G/K$$
 -

if
$$G/K = family of all left cosets of subgroups $K \subset G =$$$

$$=\{gK|g\in G, K=\{gk|k\in K\}$$

and

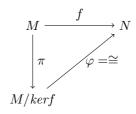
 $K = normal \ subgroup \ of \ G, \ i.e. \ K \triangleleft G, \ and \ so$

$$aKbK = abK \qquad \forall a, b \in G,$$

so G/K group.

2.1. 1st, 2nd, 3rd Isomorphism Theorems.

Theorem 5 (1st Isomorphism Theorem (Modules) Thm. 7.8 of Rotman (2010) [6]). If $f: M \to N$ is R-map of modules, then $\exists R$ -isomorphism s.t.



$$\varphi: M/kerf \to imf$$

$$\varphi: m + kerf \mapsto f(m)$$

Proof. View M, N as abelian groups.

Recall natural map $\pi: M \to M/N$

$$m \mapsto m + N$$

Define φ s.t. $\varphi \pi = f$.

 $(\varphi \text{ well-defined}).$ Let $m + \ker f = m' + \ker f, m, m' \in M$, then $\exists n \in \ker f \text{ s.t. } m = m' + n$.

$$\varphi(m + \ker f) = \varphi \pi(m) = f(m' + n) = f(m') + f(n) = \varphi \pi(m') + 0 = \varphi(m' + \ker f)$$

 $\Longrightarrow \varphi$ well-defined.

 $(\varphi \text{ surjective}). \text{ Clearly, } \operatorname{im} \varphi \subseteq \operatorname{im} f.$

Let $y \in \text{im} f$. So $\exists m \in M$ s.t. y = f(m). $f(m) = \varphi \pi(m) = \varphi(m + \text{ker} f) = y$. So $y \in \text{im} \varphi$. $\text{im} f \subseteq \text{im} \varphi$.

 $\Longrightarrow \varphi$ surjective.

 $(\varphi \text{ injective}) \text{ If } \varphi(a + \ker f) = \varphi(b + \ker f), \text{ then }$

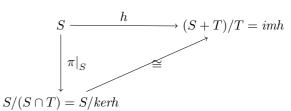
$$\varphi\pi(a) = \varphi\pi(b)$$
 or $f(a) = f(b)$ or $0 = f(a) - f(b) = f(a-b)$ so $a-b \in \ker f(a-b) + \ker f = \ker f$ so $a + \ker f = b + \ker f$

 φ isomorphism.

$$\varphi$$
 R-map. $\varphi(r(m+N)) = \varphi(rm+N) = f(rm)$.

Since f R-map, $f(rm) = rf(m) = r\varphi(m+N)$. φ is R-map indeed.

Theorem 6 (2nd Isomorphism Theorem (Modules) Thm. 7.9 of Rotman (2011) [6]). If S, T are submodules of module M, i.e. $S, T \in M$, then $\exists R$ -isomorphism



(3)
$$S/(S \cap T) \to (S+T)/T$$

Proof. Let natural map $\pi: M \to M/T$.

So $\ker \pi = T$.

Define $h := \pi|_S$, so $h : S \to M/T$, so $\ker h = S \cap T$,

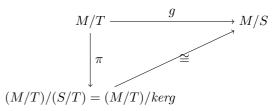
$$(S+T)/T = \{(s+t) + T | a \in S + T, s \in S, t \in T\}$$

i.e. (S+T)/T consists of all those cosets in M/T having a representation in S.

By 1st. isomorphism theorem,

$$S/S \cap T \xrightarrow{\cong} (S+T)/T$$

Theorem 7 (3rd Isomorphism Theorem (Modules) Thm. 7.10 of Rotman (2011) [6]). If $T \subseteq S \subseteq M$ is a tower of submodules, then $\exists R$ -isomorphism



$$(4) \hspace{3.1em} (M/T)/(S/T) \rightarrow M/S$$

Proof. Define $g: M/T \to M/S$ to be **coset enlargement**, i.e.

$$g: M+T \mapsto m+S$$

g well-defined: if m+T=m'+T, then $m-m'\in T\subseteq S$, and $m+S=m'+S\Longrightarrow g(m+T)=g(m'+T)$ ker g=S/T since

$$g(s+T) = s+S = S$$
 $(S/T \subseteq \ker g)$
 $g(m+T) = m+S = 0 = S = s+S$, so $m = s \Longrightarrow \ker g \subseteq S/T$

img = M/S since

$$g(m+T) = m+S \Longrightarrow \operatorname{im} g \subseteq M/S$$

 $m+S = g(m+T)$

Then by 1st isomorphism, and commutative diagram, done.

3. R-modules

Definition 4 (R-homomorphism (or R-map)). *If ring* R, R-modules M, N, then function $f: M \to N$, if $\forall m, m' \in M$, $\forall r \in R$,

$$f(m+m') = f(m) + f(m')$$
$$f(rm) = rf(m)$$

Definition 5 (quotient module M/N). quotient module M/N -

For submodule N of R-module M, then, remember M abelian group, N subgroup, quotient group M/N equipped with scalar multiplication

$$r(m+N) = rm + N$$
$$M/N = \{m+N|m \in M\}$$

natural map

(6)
$$\pi: M \to M/N \\ m \mapsto m+N$$

easily seen to be R-map.

Scalar multiplication in quotient module well-defined:

If m+N=m'+N, $m-m'\in N$, so $r(m-m')\in N$ (because N submodule), so

$$rm - rm' \in N \text{ and } rm + N = rm' + N$$

(i) $S \mid T \simeq M$

Proposition 3 (7.15 of Rotman (2010) [6]).

(ii)
$$\exists$$
 injective R-maps $i: S \to M$, s.t.

$$j:T\to M$$

(7)
$$M = im(i) + im(j) \text{ and}$$
$$im(i) \bigcap im(j) = \{0\}$$

(iii) ∃ R-maps

$$i: S \to M$$

 $j: T \to M$

s.t. $\forall m \in M, \exists!$

$$\forall\,m\in M\,,\,\exists\,!$$

$$s\in S$$

$$t\in T$$

with m = is + it.

(iv) $\exists R\text{-}maps$

$$i: S \to M$$
 $p: M \to S$
 $j: T \to M$ $q: M \to T$

s.t.

$$\begin{aligned} pi &= 1_S & & pj &= 0 \\ qj &= 1_T & & qi &= 0 \end{aligned} \qquad ip + jq = 1_M$$

 \square Proof. • (i) \rightarrow (ii) Given $S \bigsqcup T \simeq M$,

let $\varphi: S \bigsqcup T \to M$ be this isomorphism.

Define

$$i := \varphi \lambda_S$$
 $(\lambda_S : s \mapsto (s, 0))$ $i : S \to M$
 $j := \varphi \lambda_T$ $(\lambda_T : t \mapsto (0, t))$ $j : T \to M$

i, j are injections, being composites of injections.

If
$$m \in M$$
, $\exists ! (s,t) \in S \mid T$, s.t. $\varphi(s,t) = m$.

Then

$$m = \varphi(s,t) = \varphi((s,0) + (0,t)) = \varphi \lambda_S(s) \varphi \lambda_T(t) = is + jt \in \operatorname{im}(i) + \operatorname{im}(j)$$

Let $c \in \operatorname{im}(i) + \operatorname{im}(j)$. Since $i : S \to M$, $c \in M$.

$$j:T\to M$$

 $\Longrightarrow M = \operatorname{im}(i) + \operatorname{im}(j).$ If $x \in \operatorname{im}(i) \cap \operatorname{im}(j)$,

$$x = i(s)$$
 for some $s \in S$
 $x = j(t)$ for some $t \in T$

$$is = jt = \varphi \lambda_S(s) = \varphi \lambda_T(t) = \varphi(s, 0) = \varphi(0, t)$$

 φ isomorphism, so $\exists \varphi^{-1} \Longrightarrow (s,0) = (0,t)$, so s=t=0. x=0

• (ii) \rightarrow (iii) Given $i: S \rightarrow M$, s.t. $M = \operatorname{im}(i) + \operatorname{im}(j)$, so $i: T \rightarrow M$

 $\forall m \in M, m = i(s) + j(t) \text{ for some } s \in S, t \in T.$

Suppose $s' \in S$, s.t. $m = i(s'_+j(t'))$. $t' \in T$

$$i(s - s') = j(t - t') \in im(i) \cap im(j) = \{0\}$$

So s = s', t = t', since i, j injective.

• (iii) \rightarrow (iv) Given $\forall m \in M, \exists ! s \in S, t \in T \text{ s.t.}$

$$m = i(s) + j(t)$$

Define

$$p: M \to S$$
 $q: M \to T$
 $p(m) := s$ $q(m) := t$

$$pi(s) = s$$
 $pj(t) = 0$
 $qj(t) = t$ $qi(s) = 0$ $(ip + jq)(m) = ip(m) + jq(m) = i(s) + j(t) = m$

4. Categories; Category Theory

- 4.1. Categories. cf. 7.2 Categories of Rotman (2010) [6]
- 4.1.1. Russell paradox, Russell set.

Definition 6 (Russell set). Russell set - set S that's not a member of itself, i.e. $S \notin R$

If R is family of all Russell sets,

Let $X \in R$. Then $X \notin X$. But $X \in R$. $X \notin R$.

Let $R \notin R$. Then R in family of Russell Sets. $R \in R$. Contradiction.

Then consider *class* as primitive term, instead of set.

Definition 7 (Category). Category C (Rotman's notation) $\equiv C$ (my notation), consists of class obj(C) (Rotman's notation) $\equiv Obj(C) \equiv Obj(C)$ (my notation) of objects, set of morphisms $Hom(A, B) \forall (A, B)$ of ordered tuples of objects, composition

$$Hom(A, B) \times Hom(B, C) \to Hom(A, C)$$

 $(f, q) \mapsto qf$

, s.t.

(1)
$$\exists \mathbf{1}, \forall f : A \to B, \exists \mathbf{1}_A : A \to A$$
, s.t. $\mathbf{1}_B \cdot f = f = f \cdot \mathbf{1}_A$, and $\mathbf{1}_B : B \to B$

(2) associativity,
$$\forall \begin{cases} f: A \to B \\ g: B \to C \end{cases}$$
, then $h \circ (g \circ f) = (h \circ g) \circ f$
 $h: C \to D$

In summary,

(8)
$$\mathbf{C} := (\mathit{Obj}(\mathbf{C}), \mathit{Mor}\mathbf{C}, \circ, \mathbf{1}) \equiv (\mathit{Obj}\mathbf{C}, \mathit{Mor}\mathbf{C}, \circ_{\mathbf{C}}, \mathbf{1}_{\mathbf{C}})$$

s.t.

$$Mor$$
C = $\bigcup_{A,B \in Obj$ **C** $Hom(A,B)$

Examples (7.25 of Rotman (2010)[6]):

- (i) $\mathbf{C} = \operatorname{Sets}$
- (ii) $\mathbf{C} = \text{Groups} = \text{Grps}$
- (iii) $\mathbf{C} = \text{CommRings}$
- (iv) $C = {}_{R}\mathbf{Mod}$, if $R = \mathbb{Z}$, $\mathbb{Z}\mathbf{Mod} = \mathbf{Ab}$, i.e. \mathbb{Z} -modules are just abelian groups.
- (v) $\mathbf{C} = \mathbf{PO}(X)$, If partially ordered set X, regard X as category, s.t. $\mathbf{Obj}, \mathbf{PO}(X) = \{x | x \in X\}, \ \forall \operatorname{Hom}(x,y) \in X \in X$

$$\mathbf{Mor_{PO}}(X), \, \mathrm{Hom}(x,y) = \begin{cases} \emptyset & \text{if } x \not\preceq y \\ \kappa_y^x & \text{if } x \preceq y \end{cases} \text{ where } \kappa_y^x \equiv \text{ unique element in Hom set when } x \preceq y) \text{ s.t.}$$

$$\kappa_z^y \kappa_y^x = \kappa_z^x$$

Also, notice that

$$1_x = \kappa_x^x$$

Definition 8 (isormorphisms or equivalences). $f: A \to B, f \in Hom(A, B), if \exists inverse g: B \to A, g \in Hom(B, A), s.t.$

$$gf = 1_A$$

$$fg = 1_B$$

and if C = Top, equivalences (isomorphisms) are homeomorphisms.

Feature of category $_R$ **Mod** not shared by more general categories: *Homomorphisms can be added.*

Definition 9 (pre-additive Category). category C

- Part 2. Reading notes on Cox, Little, O'Shea's Ideals, Varieties, and Algorithms: An Introduction to Computational Algebraic Geometry and Commutative Algebra
 - 5. Geometry, Algebra, and Algorithms
- 5.1. Polynomials and Affine Space. fields are important is that linear algebra works over any field

Definition 10 (2). set of all polynomials in x_1, \ldots, x_n with coefficients in k, denoted $k[x_1, \ldots, x_n]$

polynomial f divides polynomial g provided g = fh for some $h \in k[x_1, \dots, x_n]$

 $k[x_1, \ldots, x_n]$ satisfies all field axioms except for existence of multiplicative inverses; commutative ring, $k[x_1, \ldots, x_n]$ polynomial ring

Exercises for 1. Exercise 1. \mathbb{F}_2 commutative ring since it's an abelian group under addition, commutative in multiplication, and multiplicative identity exists, namely 1. It is a field since for $1 \neq 0$, the multiplicative identity is 1.

Exercise 2.

- (a)
- (b)
- (c)
- 5.2. Affine Varieties.
- 5.3. Parametrizations of Affine Varieties.
- 5.4. Ideals.
- 5.5. Polynomials of One Variable.
- 6. Groebner Bases

- 6.1. Introduction.
- 6.2. Orderings on the Monomials in $k[x_1, \ldots, x_n]$.
- 6.3. A Division Algorithm in $k[x_1, \ldots, x_n]$.
- 6.4. Monomial Ideals and Dickson's Lemma.
- 6.5. The Hilbert Basis Theorem and Groebner Bases.
- 6.6. Properties of Groebner Bases.
- 6.7. Buchberger's Algorithm.

7. Elimination Theory

- 7.1. The Elimination and Extension Theorems.
- 7.2. The Geometry of Elimination.

8. The Algebra-Geometry Dictionary

- 8.1. Hilbert's Nullstellensatz.
- 8.2. Radical Ideals and the Ideal-Variety Correspondence.
 - 9. Polynomial and Rational Functions on a Variety
- 9.1. Polynomial Mappings.
 - 10. ROBOTICS AND AUTOMATIC GEOMETRIC THEOREM PROVING
- 10.1. Geometric Description of Robots.

Part 3. Reading notes on Cox, Little, O'Shea's Using Algebraic Geometry

Using Algebraic Geometry. David A. Cox. John Little. Donal O'Shea. Second Edition. Springer. 2005. ISBN 0-387-20706-6 QA564.C6883 2004

11. Introduction

11.1. Polynomials and Ideals. monomial

$$(9) (1.1) x_1^{\alpha_1} \dots x_n^{\alpha_n}$$

total degree of x^{α} is $\alpha_1 + \cdots + \alpha_n \equiv |\alpha|$

field $k, k[x_1 \dots x_n]$ collection of all polynomials in $x_1 \dots x_n$ with coefficients k.

polynomials in $k[x_1...x_n]$ can be added and multiplied as usual, so $k[x_1...x_n]$ has structure of commutative ring (with identity)

however, only nonzero constant polynomials have multiplicative inverses in $k[x_1 \dots x_n]$, so $k[x_1 \dots x_n]$ not a field however set of rational functions $\{f/g|f,g\in k[x_1\dots x_n],g\neq 0\}$ is a field, denoted $k(x_1\dots x_n)$

so

$$f = \sum_{\alpha} c_{\alpha} x^{\alpha}$$

where $c_{\alpha} \in k$

$$f \in k[x_1 \dots x_n] = \{ f | f = \sum_{\alpha} c_{\alpha} x^{\alpha}, x^{\alpha} = x_1^{\alpha_1} \dots x_n^{\alpha_n}, c_{\alpha} \in k \}$$

f homogeneous if all monomials have same total degrees

polynomial f is homogeneous if all monomials have the same total degree

Given a collection of polynomials $f_1 \dots f_s \in k[x_1 \dots x_n]$, we can consider all polynomials which can be built up from these by multiplication by arbitrary polynomials and by taking sums

Definition 11 (1.3). Let
$$f_1 ... f_s \in k[x_1 ... x_n]$$

Let $\langle f_1 ... f_s \rangle = \{p_1 f_1 + \cdots + p_s f_s | p_i \in k[x_1 ... x_n] \text{ for } i = 1 ... s\}$

Exercise 1.

(a)
$$x^2 = x \cdot (x - y^2) + y \cdot (xy)$$

(b)

$$p \cdot (x - y^2) = px - py^2$$

and for pxy = (py)x(c)

$$p(y)(x - y^2) = p(y)x - p(y)y^2 \notin \langle x^2, xy \rangle$$

Exercise 2.

$$\sum_{i=1}^{s} p_i f_i + \sum_{j=1}^{s} q_j f_j = \sum_{i=1}^{s} (p_i + q_i) f_i, \quad p_i + q_i \in k[x_1 \dots x_n]$$

 $\langle f_1 \dots f_s \rangle$ closed under sums in $k[x_1 \dots x_n]$

If
$$f \in \langle f_1 \dots f_s \rangle$$
, $p \in k[x_1 \dots x_n]$

$$p \cdot f = p \sum_{i=1}^{s} q_j f_j = \sum_{i=1}^{s} p q_j f_j, \quad p q_j \in k[x_1 \dots x_n] \text{ so}$$

 $p \cdot f \in \langle f_1 \dots f_s \rangle$

Done.

The 2 properties in Ex. 2 are defining properties of ideals in the ring $k[x_1 \dots x_n]$

Definition 12 (1.5). Let $I \subset k[x_1 \dots x_n], I \neq \emptyset$ I ideal if

- (a) $f + g \in I$, $\forall f, g \in I$
- (b) $pf \in I$, $\forall f \in I$, arbitrary $p \in k[x_1 \dots x_n]$

Thus $\langle f_1 \dots f_s \rangle$ is an ideal by Ex. 2.

we call it the ideal generated by $f_1 \dots f_s$.

Exercise 3. Suppose \exists ideal $J, f_1 \dots f_s \in J$ s.t. $J \subset \langle f_1 \dots f_s \rangle$ if $f \in \langle f_1 \dots f_s \rangle$, $f = \sum_{i=1}^s p_i f_i$, $p_i \in k[x_1 \dots x_n]$

 $\forall i = 1 \dots s, p_i f_i \in J$ and so $\sum_{i=1}^s p_i f_i \in J$, by def. of J as an ideal

$$\langle f_1 \dots f_s \rangle \subseteq J \implies J = \langle f_1 \dots f_s \rangle$$

 $\Longrightarrow \langle f_1 \dots f_s \rangle$ is smallest ideal in $k[x_1 \dots x_n]$ containing $f_1 \dots f_s$

Exercise 4. For $I = \langle f_1 \dots f_s \rangle$ $J = \langle q_1 \dots q_t \rangle$

 $I = J \text{ iff } s = t \text{ and } \forall f \in I, \ f = \sum_{i=1}^{t} q_i g_i \text{ and if } 0 = \sum_{i=1}^{t} q_i g_i, \ q_i = 0, \ \forall i = 1 \dots t, \text{ and if } 0 = \sum_{i=1}^{s} p_i f_i, \ p_i = 0,$

Definition 13 (1.6).

$$\sqrt{I} = \{g \in k[x_1 \dots x_n] | g^m \in I \text{ for some } m \ge 1\}$$

e.g. $x + y \in \sqrt{\langle x^2 + 3xy, 3xy + y^2 \rangle}$ in $\mathbb{Q}[x,y]$ since

$$(x+y)^3 = x(x^2 + 3xy) + y(3xy + y^2) \in \langle x^2 + 3xy, 3xy + y^2 \rangle$$

• (Radical Ideal Property) \forall ideal $I \subset k[x_1 \dots x_n], \sqrt{I}$ ideal, $\sqrt{I} \supset I$

• (Hilbert basis Thm.) \forall ideal $I \subset k[x_1 \dots x_n]$ \exists finite generating set,

i.e. $\exists \{f_1 \dots f_2\} \subset k[x_1 \dots x_n] \text{ s.t. } I = \langle f_1 \dots f_s \rangle$

• (Division Algorithm in k[x]) $\forall f, g \in k[x]$ (EY: in 1 variable) $\forall f, g \in k[x]$ (in 1 variable) f = qq + r, \exists ! quotient q, \exists remainder r

11.2.

11.3. Gröbner Bases.

Definition 14 (3.1). Gröbner basis for $I \equiv G = \{g_1 \dots g_k\} \subset I$ s.t. $\forall f \in I$, LT(f) divisible by $LT(g_i)$ for some i

• (Uniqueness of Remainders) let ideal $I \subset k[x_1 \dots x_n]$ division of $f \in k[x_1 \dots x_n]$ by Grö bner basis for I, produces f = g + r, $g \in I$, and no term in r divisible by any element of LT(I)

11.4. **Affine Varieties.** affine
$$n$$
-dim. space over k $k^n = \{(a_1 \dots a_n) | a_1 \dots a_n \in k\}$ \forall polynomial $f \in k[x_1 \dots x_n], (a_1 \dots a_n) \in k^n$ $f: k^n \to k$ $f(a_1 \dots a_n)$ s.t. $x_i = a_i$ i.e.

if
$$f = \sum_{\alpha} c_{\alpha} x^{\alpha}$$
 for $c_{\alpha} \in k$, then $f(a_1 \dots a_n) = \sum_{\alpha} c_{\alpha} a^{\alpha} \in k$, where $a^{\alpha} = a_1^{\alpha_1} \dots a_n^{\alpha_n}$

Definition 15 (4.1). affine variety $\mathbf{V}(f_1 \dots f_s) = \{(a_1 \dots a_n) | (a_1 \dots a_n) \in k^n, f_1(x_1 \dots x_n) = \dots = f_s(x_1 \dots x_n) = 0\}$ subset $V \subset k^n$ is affine variety if $V = V(f_1 \dots f_s)$ for some $\{f_i\}$, polynomial $f_i \in k[x_1 \dots x_n]$

• (Equal Ideals Have Equal Varieties) If $\langle f_1 \dots f_s \rangle = \langle g_1 \dots g_t \rangle$ in $k[x_1 \dots x_n]$, then $\mathbf{V}(f_1 \dots f_s) = \mathbf{V}(g_1 \dots g_t)$

so, recap
if
$$\langle f_1 \dots f_s \rangle = \langle g_1 \dots g_t \rangle$$
 in $k[x_1 \dots x_n]$,
then $V(f_1 \dots f_s) = V(g_1 \dots g_t)$

Recall Hilbert basis Thm. \forall ideal $I \subset k[x_1 \dots x_n]$

$$I = \langle f_1 \dots f_s \rangle$$

$$\implies$$
 if $I = J$, then $V(I) = V(J)$

think of V defined by I, rather than $f_1 = \cdots = f_s = 0$

Exercise 3.

Recall Def. 1.5 Let $I \subset k[x_1 \dots x_n]$

$$I \text{ ideal if } f + g \in I \quad \forall f, g \in I$$

$$pf \in I$$
, $\forall f \in I$ arbitrary $p \in k[x_1 \dots x_n]$
Let $f, g \in I(V)$

$$(f+g)(a_1 \dots a_n) = f(a_1 \dots a_n) + g(a_1 \dots a_n) = 0 + 0 = 0$$
 $f+g \in I(V)$
 $pf(a_1 \dots a_n) = p(a_1 \dots a_n)f(a_1 \dots a_n) = 0$ $pf \in I(V)$

Then I(V) an ideal.

$$V = V(x^2)$$
 in \mathbb{R}^2

$$I = \langle x^2 \rangle$$
 in $\mathbb{R}[x, y]$, $I = \{px^2 | p \in k[x, y]\}$

 $I \subset I(V)$, since $px^2 = 0$ for $x^2 = 0$, (0, b), $b \in \mathbb{R}$ But $p(x, y) = x \in I(V)$, as

$$I(V) = \{ f \in k[x_1 \dots x_n] | f(a_1 \dots a_n) = 0, \forall (a_1 \dots a_n) \in V \}$$

$$p(0,b) = x = 0$$

But $x \notin I$

Exercise 4. $I \subset \sqrt{I}$

Recall Def. 1.6 $\sqrt{I} = \{g \in k[x_1 \dots x_n] | g^m \in I \text{ for some } m \geq 1\}$

$$\forall f \in I, f = f^1, m = 1, \text{ so } f \in \sqrt{I}, \quad I \subset \sqrt{I}$$

Hilbert basis thm., \forall ideal $I \subset k[x_1 \dots x_n]$ s.t. $I = \langle f_1 \dots f_s \rangle$ $\{V(I) = \{(a_1 \dots a_n) | (a_1 \dots a_n) \in k^n, f_1(a_1 \dots a_n) = \dots = f_s(a_1 \dots a_n) = 0\}$

$$\mathbf{I}(\mathbf{V}(I)) = \{ f \in k[x_1 \dots x_n] | f(a_1 \dots a_n) = 0 \quad \forall (a_1 \dots a_n) \in V(I) \}$$

Let $g \in \sqrt{I}$, $g^m \in I$, $g^m = g^{m-1}g$

 $g^m(a_1 \dots a_n) = 0 = g^{m-1}(a_1 \dots a_n)g(a_1 \dots a_n) = 0$. Then $g(a_1 \dots a_n) = 0$ or $g^{m-1}(a_1 \dots a_m) = 0$ as $g^m \in I$, and V(I) is s.t. $f_1(a_1 \dots a_n) = \dots = f_s(a_1 \dots a_n) = 0$ for $I = \langle f_1 \dots f_s \rangle$

• (Strong Nullstellensatz) if k algebraically closed (e.g. \mathbb{C}), I ideal in $k[x_1 \dots x_n]$, then

$$\mathbf{I}(\mathbf{V}(I) = \sqrt{I}$$

• (Ideal-variety correspondence) Let k arbitrary field

$$I \subset I(V(I))$$

$$V(I(V)) = V \quad \forall V$$

Additional Exercises for Sec.4. Exercise 6.

12. Solving Polynomial Equations

12.1.

12.2. **Finite-Dimensional Algebras.** Gröbner basis $G = \{g_1 \dots g_t\}$ of ideal $I \subset k[x_1 \dots x_n]$, recall def.: Gröbner basis $G = \{g_1 \dots g_t\} \subset I$ of ideal $I, \ \forall f \in I, \mathrm{LT}(f)$ divisible by $\mathrm{LT}(g_i)$ for some i $f \in k[x_1 \dots x_n]$ divide by G produces $f = g + r, g \in I, r$ not divisible by any $\mathrm{LT}(I)$ uniqueness of r $f \in k[x_1 \dots x_n]$ divide by G,

Recall from Ch. 1, divide $f \in k[x_1 \dots x_n]$ by G, the division algorithm yields

$$(2.1) f = h_1 g_1 + \dots + h_t g_t + \overline{f}^G$$

where remainder \overline{f}^G is a linear combination of monomials $x^{\alpha} \notin \langle \operatorname{LT}(I) \rangle$

since Gröbner basis, $f \in I$ iff $\overline{f}^G = 0$

 $\forall f \in k[x_1 \dots x_n], \text{ we have coset } [f] = f + I = \{f + h | h \in I\} \text{ s.t. } [f] = [g] \text{ iff } f - g \in I$

We have a 1-to-1 correspondence

remainders \leftrightarrow cosets

$$\overline{f}^G \leftrightarrow [f]$$

algebraic

$$\overline{f}^G + \overline{g}^G \leftrightarrow [f] + [g]$$
$$\overline{f}^G \cdot \overline{g}^G \leftrightarrow [f] \cdot [g]$$

 $B=\{x^\alpha|x^\alpha\notin\langle\mathrm{LT}(I)\rangle\}$ is a basis of A, basis monomials, standard monomials 20141023 EY's take

$$\forall [f] \in A = k[x_1 \dots x_n]/I, \quad [f] = p_i b_i; \quad b_i \in B = \{x^\alpha | x^\alpha \notin \langle LT(I) \rangle \}$$

For $I = \langle G \rangle$

e.g.
$$G = \{x^2 + \frac{3}{2}xy + \frac{1}{2}y^2 - \frac{3}{2}x - \frac{3}{2}y, xy^2 - x, y^3 - y\}$$

 $\langle \text{LT}(I) \rangle = \langle x^2, xy^2, y^3 \rangle$
e.g. $B = \{1, x, y, xy, y^2\}$
 $[f] \cdot [g] = [fg]$
e.g. $f = x, g = xy, [fg] = [x^2y]$

now $f = h_1 g_1 + \dots + h_t g_t + \overline{f}^C$

12.3.

12.4. Solving Equations via Eigenvalues and Eigenvectors.

13. Resultants

14. Computation in Local Rings

14.1. Local Rings.

Definition 16 (1.1).

$$k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle} \equiv \{\frac{f}{g} | \text{ rational functions } \frac{f}{g} \text{ of } x_1 \dots x_n \text{ with } g(p) \neq 0 \text{ at } p \}$$

main properties of $k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$

Proposition 4 (1.2). Let $R = k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$. Then

- (a) R subring of field of rational functions $k(x_1 ... x_n) \supset k[x_1 ... x_n]$
- (b) Let $M = \langle x_1 \dots x_n \rangle \subset R$ (ideal generated by $x_1 \dots X_n$ in R)

 Then $\forall \frac{f}{g} \in R \backslash M$, $\frac{f}{g}$ unit in R (i.e. multiplicative inverse in R)
- (c) M maximal ideal in R

Exercise 1. if
$$p = (a_1 \dots a_n) \in k^n$$
, $R = \{ \frac{f}{g} | f, g \in k[x_1 \dots x_n], g(p) \neq 0 \}$

- (a) R subring of field of rational functions $k(x_1 \dots x_n)$
- (b) Let M ideal generated by $x_1 a_1 \dots x_n a_n$ in RThen $\forall \frac{f}{g} \in R \setminus M$, $\frac{f}{g}$ unit in R (i.e. multiplicative inverse in R)
- (c) M maximal ideal in R

Proof. let
$$p = (a_1 \dots a_n) \in k^n$$

let $g_1(p) \neq 0, g_2(p) \neq 0$

$$\frac{f_1}{g_1} + \frac{f_2}{g_2} = \frac{f_1 g_2 + f_2 g_1}{g_1 g_2} \qquad g_1(p) g_2(p) \neq 0 \text{ so } \frac{f_1}{g_1} + \frac{f_2}{g_2} \in R$$

$$\frac{f_1}{g_1} \cdot \frac{f_2}{g_2} = \frac{f_1 f_2}{g_1 g_2} \qquad g_1(p) g_2(p) \neq 0 \text{ so } \frac{f_1}{g_1} \frac{f_2}{g_2} \in R$$

 $f = \frac{f}{I} \in R$, $\forall f \in k[x_1 \dots x_n]$, so $k[x_1 \dots x_n] \subset R$

EY : 20141027, to recap,

Let
$$V = k^n$$

Let
$$p = (a_1 \dots a_n)$$

single pt. $\{p\}$ is (an example of) a variety

$$I(\{p\}) = \{x_1 - a_1 \dots x_n - a_n\} \subset k[x_1 \dots x_n]$$

$$R \equiv k[x_1 \dots x_n]_{\langle x_1 - a_1 \dots x_n - a_n \rangle}$$

$$R = \{\frac{f}{g} | \text{ rational function } \frac{f}{g} \text{ of } x_1 \dots x_n, g(p) \neq 0, p = (a_1 \dots a_n) \}$$

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Prop. 1.2. properties

- (a) R subring of field of rational functions $k(x_1 \dots x_n) = k(x_1 \dots x_n) \subset R$
- (b) $M = \langle x_1 \dots a_1 \dots x_n a_n \rangle \subset R$. ideal generated by $x_1 a_1 \dots x_n a_n$ Then $\forall \frac{f}{g} \in R \backslash M$, $\frac{f}{g}$ unit in R (\exists multiplicative inverse in R)
- (c) M maximal ideal in R. in R we allow denominators that are not elements of this ideal $I(\{p\})$

Definition 17 (1.3). local ring is a ring that has exactly 1 maximal ideal

Proposition 5 (1.4). ring R with proper ideal $M \subset R$ is local ring if $\forall \frac{f}{g} \in R \setminus M$ is unit in R

localization Ex. 8, Ex. 9 parametrization

Exercise 2.

$$x = x(t) = \frac{-2t^2}{1+t^2}$$
$$y = y(t) = \frac{2t}{1+t^2}$$

 $k[t]_{\langle t \rangle}$ $\frac{-2t^2}{1+t^2}$ rational function of t. $1+t^2 \neq 0$ if $k = \mathbb{C}$ or \mathbb{R}

Consider set of convergent power series in n variables

(11)
$$k\{x_1 \dots x_n\} = \{ \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha x^\alpha | c_\alpha \in k, \text{ series converges in some open } U \ni 0 \in k^n \}$$

Consider set $k[[x_1 \dots x_n]]$ of formal power series

(12)
$$k[[x_1 \dots x_n]] = \{ \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_{\alpha} x^{\alpha} | c_{\alpha} \in k \} \text{ series need not converge}$$

variety V

$$k[x_1 \dots x_n]/\mathbf{I}(V)$$
 variety V

- 14.2. **Multiplicities and Milnor Numbers.** if I ideal in $k[x_1 \dots x_n]$, then denote $Ik[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$ ideal generated by I in larger ring $k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$
- Definition 18 (2.1). Let I 0-dim. ideal in $k[x_1 \dots x_n]$, so V(I) consists of finitely many pts. in k^n . Assume $(0 \dots 0) \in V(I)$ multiplicity of $(0 \dots 0) \in V(I)$ is

$$dim_k k[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle} / Ik[x_1 \dots x_n]_{\langle x_1 \dots x_n \rangle}$$

generally, if $p = (a_1 \dots a_n) \in V(I)$ multiplicity of p, $m(p) = \dim k[x_1 \dots x_n]_M / Ik[x_1 \dots x_n]_M$

$$\dim k[x_1 \dots x_n]_M / Ik[x_1 \dots x_n]_M$$

localizing $k[x_1 \dots x_n]$ at maximal ideal $M = I(\{p\}) = \langle x_1 - a_1 \dots x_n - a_n \rangle$

15.

16.

- 17. Polytopes, Resultants, and Equations
- 18. Polyhedral Regions and Polynomials

18.1. Integer Programming. Prop. 1.12.

Suppose 2 customers A, B ship to same location

A: ship 400 kg pallet taking up $2 m^3$ volume

B: ship 500 kg pallet taking up $3 m^3$ volume

shipping firm trucks carry up to 3700 kg, up to $20 m^3$

B's product more perishable, paying \$ 15 per pallet

A pays \$ 11 per pallet

How many pallets from A, B each in truck to maximize revenues?

(13)
$$4A + 5B \le 37$$
$$2A + 3B \le 20$$
$$A, B \in \mathbb{Z}_{>0}^*$$

maximize 11A + 15B

integer programming.

max. or min. value of some linear function

$$l(A_1 \dots A_n) = \sum_{i=1}^n c_i A_i$$

on set $(A_1 \dots A_n) \in \mathbb{Z}_{>0}^n$ s.t.

3. Finally, by introducing additional variables; rewrite linear constraint inequalities as equalities. The new variables are called "slack variables"

$$(14) a_{ij}A_j = b_i, A_j \in \mathbb{Z}_{\geq 0}$$

introduce indeterminate z_i , \forall equation in (1.4)

$$z_{:}^{a_{ij}A_{j}} = z_{:}^{b_{i}}$$

m constraints

$$\prod_{i=1}^{m} z_i^{a_{ij}A_j} = \prod_{i=1}^{m} z_i^{b_i} = \left(\prod_{i=1}^{m} z_i^{a_{ij}}\right)^{A_j}$$

Proposition 6 (1.6). Let k field, define $\varphi : k[w_1 \dots w_n] \to k[z_1 \dots z_m]$ by

$$\varphi(w_j) = \prod_{i=1}^m z_i^{a_{ij}} \qquad \forall j = 1 \dots n$$

and

$$\varphi(g(w_1 \dots w_n)) = g(\varphi(w_1) \dots \varphi(w_n))$$

 $\forall \ general \ polynomial \ g \in k[w_1 \dots w_n]$

Then $(A_1
ldots A_n)$ integer pt. in feasible region iff $\varphi : w_1^{A_1}
ldots w_n^{A_n} \mapsto z_1^{b_1}
ldots z_m^{b_m}$

Exercise 3.

Now

$$\varphi(w_j) = \prod_{i=1}^m z_i^{a_{ij}}$$
$$z_i^{a_{ij}A_j} = z_i^{b_i}$$

If $(A_1 ... A_n)$ an integer pt. in feasible region, $a_{ij}A_j = b_i$

$$z_i^{a_{ij}A_j} = z_i^{b_i} = \prod_{j=1}^n z_i^{a_{ij}A_j} \Longrightarrow \prod_{j=1}^n \prod_{i=1}^m (z_i^{a_{ij}})^{A_j} = \prod_{i=1}^m z_i^{b_i} = \prod_{j=1}^n \varphi(w_j)^{A_j} = \prod_{j=1}^n \varphi(w_j)^{A_j} = \varphi\left(\prod_{j=1}^n w_j^{A_j}\right) = \prod_{i=1}^m z_i^{b_i}$$

since $\varphi(g(w_1 \dots w_n)) = g(\varphi(w_1) \dots \varphi(w_n))$

If
$$\varphi: \prod_{j=1}^n w_j^{A_j} \mapsto \prod_{i=1}^m z_i^{b_i}$$

$$\varphi\left(\prod_{j=1}^{n} w_{j}^{A_{j}}\right) = \prod_{j=1}^{n} (\varphi(w_{j}))^{A_{j}} = \prod_{i=1}^{m} z_{i}^{b_{i}} = \prod_{j=1}^{n} \left(\prod_{i=1}^{m} z_{i}^{a_{ij}}\right)^{A_{j}} \Longrightarrow \prod_{j=1}^{n} z_{i}^{a_{ij}A_{j}} = z_{i}^{b_{i}}$$

or $a_{ij}A_j = b_i$. So $(A_1 \dots A_n)$ integer pt.

Exercise 4.

$$\prod_{i=1}^{m} z_i^{b_i} = \prod_{i=1}^{m} \prod_{j=1}^{n} z_i^{a_{ij} A_j} = \prod_{j=1}^{n} \left(\prod_{i=1}^{m} z_i^{a_{ij}} \right)^{A_j} = \prod_{j=1}^{n} \varphi(w_j)^{A_j} = \varphi\left(\prod_{j=1}^{n} w_j^{A_j} \right)$$

So if given $(b_1
ldots b_m) \in \mathbb{Z}^m$, and for a given a_{ij} , $a_{ij}A_j = b_i$

For $m \leq n$, then a_{ij} is surjective, so $\exists A_j$ s.t. $\prod_{i=1}^m z_i^{b_i} = \varphi\left(\prod_{j=1}^n w_j^{A_j}\right)$

Proposition 7 (1.8). Suppose $f_1 ... f_n \in k[z_1 ... z_m]$ given

Fix monomial order in $k[z_1 \ldots z_n, w_1 \ldots w_n]$ with elimination property:

 \forall monomial containing 1 of z_i greater than any monomial containing only w_i

Let G Gröbner basis for ideal

$$I = \langle f_1 - w_1 \dots f_n - w_n \rangle \subset k[z_1 \dots z_m, w_1 \dots w_n]$$

 $\forall f \in k[z_1 \dots z_m], \text{ let } \overline{f}^{\mathcal{G}} \text{ be remainder on division of } f \text{ by } \mathcal{G}$ Then

(a) polynomial f s.t. $f \in k[f_1 \dots f_n]$ iff $g = \overline{f}^{\mathcal{G}} \in k[w_1 \dots w_n]$

(b) if
$$f \in k[f_1 \dots f_n]$$
 as in part (a),
 $g = \overline{f}^{\mathcal{G}} \in k[w_1 \dots w_n]$

then $f = g(f_1 \dots f_n)$, giving an expression for f as polynomial in f_j

(c) if $\forall f_i, f \text{ monomials}, f \in k[f_1 \dots f_n],$ then a also a monomial.

18.2. Integer Programming and Combinatorics.

19. Algebraic Coding Theory

20. The Berlekamp-Massey-Sakata Decoding Algorithm

Gröbner Bases, Martin R. Albrecht of the DTU Crypto Group

Part 4. Conformal Field Theory: Virasoro Algebra

cf. Schottenloher (2008) [3]

Part 5. Algebraic Topology

cf. Bredon (1997) [4]

21. Simplicial Complexes

cf. pp. 245, from Sec. 21 Simplicial Complexes of Ch. 4 Homology Theory in Bredon (1997) [4] $\mathbf{v}_0, \dots \mathbf{v}_n \in \mathbb{R}^{\infty}$, "affinely independent" if they span an affine *n*-plane, i.e.

if
$$\left(\sum_{i=0}^{n} \lambda_i \mathbf{v}_i = 0, \sum_{i=0}^{n} \lambda_i = 0\right)$$
, then $\Longrightarrow \forall \lambda_i = 0$

If not, then, e.g. $\lambda_0 \neq 0$, assume $\lambda_0 = -1$, and solve the equations to get

$$\mathbf{v}_0 = \sum_{i=1}^n \lambda_i \mathbf{v}_i$$
$$\sum_{i=1}^n \lambda_i = 1$$

i.e. \mathbf{v}_0 is in affine space spanned by $\mathbf{v}_1 \dots \mathbf{v}_n$.

If $\mathbf{v}_0, \dots \mathbf{v}_n$ affinely independent, then

(15)
$$\sigma = (\mathbf{v}_0, \dots \mathbf{v}_n) = \{ \sum_{i=0}^n \lambda_i \mathbf{v}_i | \sum_{i=0}^n \lambda_i = 1, \ \lambda_i \ge 0 \}$$

is "affine simplex" spanned by \mathbf{v}_i ; also convex hull of \mathbf{v}_i .

 $\forall k \leq n, k$ -face of σ is any affine simplex of form $(\mathbf{v}_{i_1}, \dots \mathbf{v}_{i_k})$, where vertices all distinct, so are affinely independent.

Definition 19. (geometric) simplicial complex K := collection of affine simplices s.t.

- (1) $\sigma \in K \Longrightarrow any face of \sigma \in K$; and
- (2) $\sigma, \tau \in K \Longrightarrow \sigma \cap \tau$ is a face of both σ and τ , or $\sigma \cap \tau = \emptyset$

If K simplicial complex, $|K| = \bigcup \{\sigma | \sigma \in K\} \equiv \text{"polyhedron" of } K$

Definition 20 (Def. 21.2 of Bredon (1997) [4]). polyhedron := space X if \exists homeomorphism $h: |K| \xrightarrow{\approx} X$ for some simplicial complex K. h, K is triangulation of X; (map h, complex K)

Let K finite simplicial complex.

Choose ordering of vertices $\mathbf{v}_0, \mathbf{v}_1 \dots$ of K.

If $\sigma = (\mathbf{v}_{\sigma_0}, \dots \mathbf{v}_{\sigma_n})$ is simplex of K, where $\sigma_0 < \dots < \sigma_n$, then

let
$$f_{\sigma}: \Delta_n \to |K|$$
 be

$$f_{\sigma} = [\mathbf{v}_{\sigma_b}, \dots \mathbf{v}_{\sigma_n}]$$

in notation of Def. 1.2. Bredon (1997) [4].

Then this gives CW-complex structure on |K| with f_{σ} as characteristic maps.

Part 6. Graphs, Finite Graphs

22. Graphs, Finite Graphs, Trees

Serre (1980) [5]

cf. Chapter I. Trees and Amalgams, Section 1 Amalgams, Subsection 1.1 Direct limits of Serre (1980) [5] Let $(G_i)_{i \in I}$, family of groups.

 \forall pair (i,j), let F_{ij} = set of homomorphisms of G_i into G_j

Want: group $G = \lim_{i \to \infty} G_i$ and

$$\{f_i|f_i:G_i\to G\}$$
 s.t. $f_i\circ f=f_i \quad \forall f\in F_{ij}$

group G and family $\{f_i\}$ universal in that

(*) if
$$H$$
 group, if $\{h_i|h_i:G_i\to H;h_i\circ f=h_i \quad \forall f\in F_{ij}\},$

then $\exists ! h : G \to H \text{ s.t. } h_i = h \circ f_i$

i.e. $\operatorname{Hom}(G, H) \simeq \underline{\lim} \operatorname{Hom}(G_i, H)$, the inverse limit being taken relative to F_{ij} .

i.e. G direct limit of G_i relative to the F_{ij} .

Proposition 8. \exists ! pair G, family $(f_i)_{i \in I}$, i.e. (pair consisting of G, $(f_i)_{i \in I}$, unique up to unique isomorphism.

Proof. Define G by generators and relations.

Take generating family to be disjoint union of those for G_i .

relations - xyz^{-1} where $x, y, z \in G_i$, $z = xy \in G_i$

$$xy^{-1}$$
 where $x \in G_i$, $y \in G_i$, $y = f(x)$ for at least $f \in F_{ij}$.

Thus, existence of G, $\{f_i\}$.

G represents functor $H \mapsto \lim \operatorname{Hom}(G_i, H)$.

Thus, uniqueness (also from universal property)

e.g. groups A, G_1, G_2 , homomorphisms $f_1: A \to G_1$.

$$f_2:A\to G_2$$

G obtained by amalgamating A in G_1, G_2 by $f_1, f_2 \equiv G_1 *_A G_2$.

1 can have $G = \{1\}$, even though f_1, f_2 non-trivial.

Application: (Van Kampen Thm.)

Let topological space X be covered by open U_1, U_2 .

Suppose $U_1, U_2, U_{12} = U_1 \cap U_2$ arcwise connected.

Let basept. $x \in U_{12}$.

Then $\pi_1(X;x)$ obtained by taking 3 groups

$$\pi_1(U_1;x), \pi_1(U_2;x), \pi_1(U_{12};x)$$

and amalagamating them according to homomorphism

$$\pi_1(U_{12};x) \to \pi_1(U_1;x)$$

$$\pi_1(U_{12};x) \to \pi_1(U_2;x)$$

Exercise 1. Let homomorphisms $f_1: A \to G_1$ amalgam $G = G_1 *_A G_2$.

$$f_2:A\to G_2$$

Define subgroups A^n, G_1^n, G_2^n , of A, G_1, G_2 recursively by

$$A^1 = \{1\}$$

$$G_1^1 = \{1\}$$

$$G_2^1 = \{1\}$$

 $A^n=\mbox{subgroup}$ of A generated by $f_1^{-1}(G_1^{n-1})$ and $f_2^{-1}(G_2^{n-1})$

$$G_1^n = \text{subgroup of } G_i \text{ generated by } f_i(A^n)$$

Let A^{∞}, G_i^{∞} be unions of A^n, G_i^n resp.

Show that f_i defines injection $A/A^{\infty} \to G_i/G_i^{\infty}$

So the amalgamation is $G \simeq G_1/G_1^{\infty} *_{A/A^{\infty}} G_2/G_2^{\infty}$.

Take the first induction case (for intuition about the solution).

$$\begin{split} A^2 &= \langle f_1^{-1}(G_1^1), f_2^{-1}(G_2^1) \rangle = \langle f_1^{-1}(\{1\}), f_2^{-1}(\{1\}) \rangle \\ G_i^2 &= f_i(A^2) \end{split}$$

Let $f_i(a) = f_i(b) \in G_i/G_i^{\infty}$; $a, b \in A/A^{\infty}$

Then since $f_i(a), f_i(b) \in G_i/G_i^{\infty}, f_i(a), f_i(b) \in \{gG_i^{\infty}|g \in G_i\}$ (quotient is defined to be the set of all left cosets of G_i^{∞} , which has to be a normal subgroup for G_i/G_i^{∞} to be a quotient group).

Since $a, b \in A/A^{\infty}$, suppose we take $a, b \in A$.

And suppose we take

$$f_i(a) = f_i(a)G_i^{\infty} = f_i(a)f_i(A^{n_a}) = f_i(aA^{n_a})$$

$$f_i(b) = f_i(b)G_i^{\infty} = f_i(b)f_i(A^{n_b}) = f_i(bA^{n_b})$$

Taking f_i^{-1} (recall for group homomorphisms, they map inverse of element of 1st. group to inverse of image of this element). $aA^{n_a} = bA^{n_b} \in A/A^{\infty}$ (This is okay as we've "quotiented out A^{∞} ; so indeed, they're equal)

cf. Subsection 1.2 Structure of amalgams of Serre (1980) [5]

Suppose given group A, family of groups $(G_i)_{i \in I}$, and, $\forall i \in I$, injective homomorphism $A \to G_i$.

 $*_A G_i \equiv \text{direct limit (cf. no. 1.1) of family } (A, G_i) \text{ with respect to these homomorphisms, call it } sum \text{ (in category theory sense, i.e. product) of } G_i \text{ with } A \text{ amalgamated.}$

e.g.
$$A = \{1\},\$$

 $*G_i \equiv \text{free product of } G_i.$

22.0.1. reduced word. $\forall i \in I$, choose set S_i of right coset representations of G_i modulo A, assume $1 \in S_i$,

 $(a,s) \mapsto as$ is bijection of $A \times S_i$ onto G_i ,

$$A \times (S_i - \{1\}) \to G_i - A \text{ (onto)}$$

Let
$$\mathbf{i} = (i_1 \dots i_n), n \ge 0, i_j \in I, \text{ s.t.}$$

$$(16)$$

cf. (T) of Serre (1980) [5].

So reduced word m is defined as

$$m = (a; s_1 \dots s_n)$$

 $i_m \neq i_{m+1}$ for 1 < m < n-1

where $a \in A$, $s_1 \in S_{i_1} \dots s_n \in S_{i_n}$, and $s - j \neq 1 \,\forall j$.

 $f \equiv \text{canonical homomorphism of } A \text{ into group } G = *_A G_i$

 $f_i \equiv \text{canonical homomorphism of } G_i \text{ into group } G = *_A G_i$

EY: 20170611 (Further explanations, basic examples, from me):

Given $A, \{G_i\}_{i \in I}$, injective (group) homomorphisms $\{f_i : A \to G_i\}_i$.

 $G_i \backslash f_i(A) = \{ f_i(A)g | g \in G_i \}.$

Right coset representation of $f_i(A)g \mapsto g$.

e.g.
$$A, G_1, G_2, f_1 : A \to G_1.$$

 $f_2 : A \to G_2$

$$G_1 \backslash f_1(A) = \{ f_1(A)g | g \in G_1 \}$$

$$G_2 \backslash f_2(A) = \{ f_2(A)g | g \in G_2 \}$$

 $\mathbf{i} = (i_1 \dots i_n), i_j \in I, i_m \neq i_{m+1} \text{ for } 1 \leq m \leq n-1.$

Consider (1212...12)

 $m = (a; f_1g_2f_3g_4 \dots f_{2n-1}, g_{2n})$ where $f's \in S_1 \subset G_1$, $g's \in S_2 \subset G_2$.

Definition 21 (reduced word). reduced word of type i, m,

$$(17) m = (a; s_1 \dots s_n)$$

where
$$a \in A, s_1 \in S_{i_1}, \dots s_n \in S_{i_n}, s_j \neq 1 \quad \forall j,$$

 $\mathbf{i} = (i_1 \dots i_n), i_j \in I, s.t. \ i_m \neq i_{m+1} \ for \ 1 \leq m \leq n-1,$
with $S_i = \{g | g \in f_i(A)g \in f_i(A)G_i\}$

Theorem 8 (1 of Serre (1980) [5]). $\forall g \in G, \exists sequence i s.t. i_m \neq i_{m+1} for 1 \leq m \leq n-1 and reduced word$

$$m = (a; s_1 \dots s_n)$$

of type i s.t.

$$g = f(a)f_{i_1}(s_1)\dots f_{i_n}(s_n)$$

Furthermore, \mathbf{i} and m unique.

Remark. Thm. 1 implies f; f_i injective.

Then identify A and G_i with images f(A), $f_i(G_i)$ in G, and reduced decomposition (*) of $g \in G$

$$g = as_1 \dots s_n, \quad a \in A, s_1 \in S_{i_1} - \{1\} \dots s_n \in S_{i_n} - \{1\}$$

Likewise, $G_i \cap G_i = A$ if $i \neq j$.

In particular, $S_i - \{1\}$ pairwise disjoint in G.

Proof. Let $X_i \equiv \text{set}$ of reduced words of type \mathbf{i} , $X = \coprod X_i$.

Make G act on X.

In view of universal property of G, sufficient to make $\forall i, G_i$ act

check action induced on A doesn't depend on i

Suppose then that $i \in I$, and let $Y_i = \text{set of reduced words of form } (1; s_1 \dots s_n)$, with $i_1 \neq i$.

EY: 20170611

Recall that

$$S_i = \{g | g \in f_i(A)g \in f_i(A)G_i\}$$

 $A \times S_i \to G_i \text{ onto}$
 $A \times (S_i - \{1\}) \to G_i - A \text{ onto}$
 $(a, s) \mapsto as \text{ bijection}$

Let $Y_i = \text{set of reduced words of form } (1; s_1 \dots s_n) = \{(1; s_1 \dots s_n) | 1 \in A; s_1 \in S_{i_1} \dots s_n \in S_{i_n}; \mathbf{i} = (i_1 \dots i_n), i_j \in I \text{ s.t. } i_m \neq i_{m+1} \text{ for } 1 \leq m \leq n-1\}.$

$$A \times Y_i \to X = \coprod_i X_i$$

$$(a, (1; s_1 \dots s_n)) \mapsto (a; s_1 \dots s_n)$$

$$A \times \{S_i - \{1\}) \times Y_i \to X$$

$$((a, s), (1; s_1 \dots s_n)) \mapsto (a; s, s_1 \dots s_n)$$

and remember that $X_i = \text{set of reduced words of type } \mathbf{i}$.

It's clear that this yields a bijection $A \times Y_i \bigcup A \times (S_i - \{1\}) \times Y_i \to X$.

Let $x \in X$. Then $x \in X_i$ for some **i**. So x is a reduced word of type **i**: $x = (a; s_1 \dots s_n)$. Then clearly $x = (a; s_1 \dots s_n) \mapsto (a, (1; s_1 \dots s_n)) \in A \times Y_i$.

1.2

cf. pp. 13, Sec. 2. Trees, 2.1 Graphs of Serre (1980) [5]

Definition 22 (1. of Serre (1980) [5]). $\operatorname{graph} \Gamma = (X, Y, Y \to X \times X, Y \to Y), \text{ where } \operatorname{set} X = \operatorname{vert} \Gamma \operatorname{set} Y = \operatorname{edge} \Gamma$

$$Y \to X \times X$$

$$y \mapsto (o(y), t(y))$$

$$Y \to Y$$

$$y \mapsto \overline{y}$$

s.t. $\forall y \in Y$, $\overline{\overline{y}} = y$, $\overline{y} \neq y$, $o(y) = t(\overline{y})$. vertex $P \in X$ of Γ .

(oriented) edge $y \in Y$, $\overline{y} \equiv inverse$ edge.

origin of $y := vertex \ o(y) = t(\overline{y})$.

terminus of $y := vertex \ t(y) = o(\overline{y})$

extremities of $y := \{o(y), t(y)\}$

If 2 vertices adjacent, they're extremities of some edge.

orientation of graph $\Gamma = Y_+ \subset Y = edge \Gamma$ s.t. $Y = Y_+ \coprod \overline{Y}_+$. It always exists.

oriented graph defined, up to isomorphism, by giving 2 sets X, Y_+ and $Y_+ \to X \times X$.

corresponding set of edges is $Y = Y_{+} \prod \overline{Y}_{+}$ where $\overline{Y}_{+} \equiv copy$ of Y_{+}

22.0.2. Realization of a Graph. cf. Realization of a Graph in Serre (1980) [5].

Let graph Γ , $X = \text{vert}\Gamma$, $Y = \text{edge}\Gamma$.

topological space $T = X [Y \times [0, 1]]$, where X, Y provided with discrete topology.

Let R be finest equivalence relation on T for which

$$(y,t) \equiv (\overline{y}, 1-t)$$

$$(y,0) \equiv o(y) \qquad \forall y \in Y, \forall t \in [0,1]$$

$$(y,1) \equiv t(y)$$

quotient space real(Γ) = T/R is realization of graph Γ . (realization is a functor which commutes with direct limits). Let $n \in \mathbb{Z}^+$. Consider oriented graph of n+1 vertices $0,1,\ldots n$,

Definition 23. path (of length n) in graph Γ is morphism c of Path_n into Γ

orientation given by n edges [i, i+1], $0 \le i < n$, o([i, i+1]) = it([i, i+1]) = i+1

For n > 1,

 $(y_1 \dots y_n)$ sequence of edges $y_i = c([i-1,i])$ s.t.

$$t(y_i) = o(y_{i+1}), \qquad 1 \le i < n \text{ determine } c$$

If $P_i = c(i)$,

c is a path from P_0 to P_n , and P_0 and P_n are extremities of the path c.

pair of form $(y_i, y_{i+1}) = (y_i, \overline{y}_i)$ in path is **backtracking**.

path (of length n-2), from P_0 to P_n given (for n>2) by $(y_1 \dots y_{i-1}, y_{i+2} \dots y_n)$

If \exists path from P to Q in Γ , \exists one without backtracking (by induction)

direct limit $Path_{\infty} = \lim_{n \to \infty} Path_n$ provides notion of infinite path.

Path_{\infty} \(\neq \) infinite sequence (y_1, y_2, \dots) of edges s.t. $t(y_i) = o(y_{i+1}) \quad \forall i > 1$.

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Definition 24 (connected graph; Def. 3 of Serre (1980) [5]). graph connected if \forall 2 vertices, 2 vertices are extremities of at least 1 path.

maximal connected subgraphs (under relation of inclusion) are connected components of graph.

22.0.3. Circuits. Let $n \in \mathbb{Z}^+$, $n \ge 1$.

Consider

set of vertices $\mathbb{Z}/n\mathbb{Z}$, orientation given by n edges [i, i+1], $(i \in \mathbb{Z}/n\mathbb{Z})$ with o([i, i+1]) = it([i, i+1]) = i+1

Definition 25 (circuit; Def. 4 of Serre (1980) [5]). circuit (length n) in graph is subgraph isormorphic to Circ_n.

i.e. subgraph = path $(y_1 \dots y_n)$, without backtracking, s.t. $P_i = t(y_i)$, $(1 \le i \le n)$ distinct, s.t. $P_n = o(y_1)$

$$n = 1$$
 case: Circ₁, $\mathbb{Z}/\mathbb{Z} = \{0\}$, 1 edge, $[0, 1]$, $0 \in \mathbb{Z}/1\mathbb{Z}$, $o([0, 1]) = 0$
 $t([0, 1]) = 1$

Note Circ₁ has automorphism of order 2, which changes its orientation, i.e.

 \exists automorphism $\sigma \in \text{Aut}(\text{Circ}_1) \text{ s.t. } |\sigma| = 2, \text{ i.e. } \sigma^2 = 1.$

loop := circuit of length 1; so loop $\in \overline{\text{Circ}}_1$.

path (y_1) , $P_1 = t(y_1) = o(y_1)$. n = 2 case: Circ₂, $\mathbb{Z}/2\mathbb{Z} = \{0, 1\}$, 2 edges [0, 1], [1, 2],

path
$$(y_1, y_2)$$
, $(1 \le i \le 2)$, $P_1 = t(y_1)$
 $P_2 = t(y_2) = o(y_1)$

22.1. Combinatorial graphs. Let $(X, S) \equiv \text{simplicial complex of dim.} \leq 1$, with

 $X \equiv \text{set}$

 $S \equiv$ set of subsets of X with 1 or 2 elements, containing all the 1-element subsets. associates with it a graph $\Gamma = (X, \{(P,Q)\})$.

X is its set of vertices.

A is its set of vertices. edges =
$$\{(P,Q) \in X \times X\}$$
 s.t. $P \neq Q$, $\{P,Q\} \in S$, with $\overline{(P,Q)} = (Q,P)$
$$o(P,Q) = P$$

$$t(P,Q) = Q$$

In this graph, 2 edges with same origin and same terminus are equal. This is equivalent to (see following Def.)

Definition 26 (combinatorial; Def. 5 of Serre (1980) [5]). graph is combinatorial if it has no circuit of length ≤ 2

Conversely, it's easy to see that

every combinatorial graph Γ derived (up to isomorphism) by construction above from simplicial complex (X, S), where $X = \text{vert}\Gamma$

 $S = \text{set of subset } \{P, Q\} \text{ of } X \text{ s.t. } P \text{ and } Q \text{ either adjacent or equal.}$

Part 7. Tensors, Tensor networks; Singular Value Decomposition, QR decomposition, Density Matrix Renormalization Group (DMRG), Matrix Product states (MPS)

23. Introductions to Tensor Networks

José Barbon (IFT-CSIC, Univ. Autonoma de Madrid) gave the https://youtu.be/nsxgAOAEgbg for the workshop "Black Holes, Quantum Information, Entanglement, and all that," (29 May-1 June, 2017, with the organizing committee of Thibault Damour (IHES), Vasily Pestun (IHES), Eliezer Rabinovici (IHES & Hebrew Univ. of Jerusalem).

In the talk,

cf. 43:13

The church of the doubled Hilbert space. Any thermal box can be obtained by tracing over a second identical copy, if 23.1. List of stuff I want to look at/do/study. I would like to compare/contrast the following: appropriately entangled into a global pure state.

$$\rho_R = \operatorname{Tr}_L \sum_n C_n \Psi_n^L \otimes \Psi_n^R$$

$$(C_n)_{\text{thermal}} = \left[\frac{e^{-\beta E_n}}{\sum_m e^{-\beta E_M}}\right]^{1/2}$$

But!!

If the entanglement basis is taken to be the high-energy band of two "entangled" CFTs ...

$$|TFD\rangle \sim \sum_{E_n} e^{-\beta E_n/2} |E_n\rangle_L \otimes |E_n\rangle_R$$

neglecting the tiny e^{-S} spacings. we can approximate by continuous spectrum of fields in the background of an AdS black hole, to get ...

$$\int_{E} e^{-\beta E/2} |E\rangle_{L} \otimes |E\rangle_{R}$$

The HH state of the bulk fields!

cf. 46:16

SLOGAN: EPR = ER Maldacena-Susskind

Accumulating a density of entanglement of $S \gg 1$ well-separated Bell pairs within a transversal size of order $(GS)^{1/2}$ seems to generate a geometrical bridge of area GS

cf. 49:26

Parametrizing complexity of entanglement. Pick a tensor decomposition of Hilbert space of dimension $\exp(S)$ into S factors of O(1) dimension.

$$\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2 \otimes \cdots \otimes \mathcal{H}_S$$

A tensor of S indices gives a generic state.

cf. 50:27

The decomposition of the big tensor in small building blocks gives a notion of "complexity of entanglement" rather simple entanglement pattern

somewhat more complex entanglement pattern

picture from M von Raamsdonk

cf. 55:10

A list of open questions & problems.

- Need exactly calculable toy models of AdS/CFT along the lines of SYK model
- Give a "renormalized" definition of quantum complexity for continuum CFTs
- Can tensor networks describe bulk gravitons?
- What is the space-time meaning of quantum complexity saturation?
- Can we define approximate local observables for black hole inferiors?
- Are there obstructions related to firewalls and/or fuzzballs?

Workshop introductory overview by José Barbon for the Institut des Hautes Études Scientifiques (IHÉS) gave me the first impetus to understand tensor networks as I sought to also understand the condensates of entanglement pairs within the black hole.

A Google search for introductions to tensor networks that are on arxiv ("Introduction Tensor Network arxiv") vielded Bridgeman and Chubb's course notes (bf. Bridgeman and Chubb (2017) [9]).

- - Rotman (2010) [6], Ch. 8, but starting from 8.4 Tensor Products, pp. 574
 - Jeffrey Lee (2009) [8], Ch. 7 Tensors

Maldacena and Susskind (2013) [13]

Lectures on Gravity and Entanglement. Mark Van Raamsdonk [16]

- Consider as physical system AdS-Schwarzchild black hole
- - PFL Lectures on Conformal Field Theory in D > 3 Dimensions, Rychkov (2016) [14].

Evenbly and Vidal (2011) [15], Tensor network states and geometry

Loose ends (might not be useful links)

- https://arxiv.org/pdf/1506.06958.pdf
- https://arxiv.org/pdf/1512.02532.pdf One-point Functions in AdS/dCFT from Matrix Product States
- 23.2. Tensor operations: Tensor properties.
- 23.2.1. rank. $r = \text{rank tensor of dim. } d_1 \times \cdots \times d_r \text{ is element of } \mathbb{C}^{d_1 \times \cdots \times d_r}$

Tensor product

(19)
$$[A \otimes B]_{i_1...i_r,j_1...j_s} := A_{i_1...i_r} \cdot B_{j_1...j_s}$$

23.2.2. Trace. Given tensor A, xth, yth indices have identical dims. $(d_x = d_y)$, partial trace over these 2 dims. is simply joint summation over that index

(20)
$$[\operatorname{Tr}_{x,y} A]_{i_1 \dots i_{x-1} i_{x+1} \dots i_{y-1} i_{y+1} \dots i_r} = \sum_{\alpha=1}^{d_x} A_{i_1 \dots i_{x-1} \alpha i_{x+1} \dots i_{y-1} \alpha i_{y+1} \dots i_r}$$

23.2.3. Contraction.

23.2.4. Group and splitting, Bridgeman and Chubb (2017) [9]. "Rank is a rather fluid concept in the study of tensor networks." Bridgeman and Chubb (2017) [9].

 $\mathbb{C}^{a_1 \times \cdots \times a_n} \simeq \mathbb{C}^{b_1 \times \cdots \times b_m}$ isomorphic as vector spaces if $\prod_i a_i = \prod_i b_i$.

We can "group" or "split" indices to lower or raise rank of given tensor, resp.

Consider contracting 2 arbitrary tensors.

If we group together indices which are and are not involved in contraction,

"It should be noted that not only is this reduction to matrix multiplication pedagogically handy, but this is precisely the manner in which numerical tensor packages perform contraction, allowing them to leverage highly optimised matrix multiplication code.' (cf. Bridgeman and Chubb (2017) [9]; check this)

"Owing to freedom in choice of basis, precise details of grouping and splitting aren't unique." (cf. Bridgeman and Chubb (2017) [9]).

1 specific choice of convention:

tensor product basis, defining basis on product space by product of respective bases.

"The canonical use of tensor product bases in quantum information allows for grouping and splitting described above to be - dealt with implicitly."

$$|0\rangle \otimes |1\rangle \equiv |0\rangle$$

and precisely this grouping,

(22)
$$|0\rangle \otimes |1\rangle \in \operatorname{Mat}_{\mathbb{C}}(2,2), \text{ whilst} \\ |01\rangle \in \mathbb{C}^4$$

Suppose rank n+m tensor T, group its first n indices, last m indices together.

$$T_{I,J} := T_{i_1 \dots i_n, j_1 \dots j_m}$$

where

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$$I := i_1 + d_1^{(i)} i_2 + d_1^{(i)} d_2^{(i)} i_3 + \dots + d_1^{(i)} \dots d_{n-1}^{(i)} i_n$$

$$J := j_1 + d_1^{(j)} j_2 + d_1^{(j)} d_2^{(j)} j_3 + \dots + d_1^{(j)} \dots d_{m-1}^{(j)} j_m$$

EY: 20170627 to elaborate, consider a functor flatten that does what's described above, in the context of category theory (and so this is the generalization):

$$\mathbb{K}^{d_{1}^{(i)}} \times \mathbb{K}^{d_{2}^{(i)}} \times \cdots \times \mathbb{K}^{d_{n}^{(i)}} \times \mathbb{K}^{d_{1}^{(j)}} \times \mathbb{K}^{d_{2}^{(j)}} \times \cdots \times \mathbb{K}^{d_{m}^{(j)}} \xrightarrow{\text{flatten}} \mathbb{K}^{\prod_{p=1}^{n} d_{p}^{(i)}} \times \mathbb{K}^{\prod_{q=1}^{m} d_{q}^{(j)}}$$

$$T_{i_{1}...i_{n},j_{1}...j_{m}} \xrightarrow{\text{flatten}} T_{I,J}$$

$$\{0,1,...d_{1}^{(i)}\} \times \{0,1,...d_{2}^{(i)}\} \times \cdots \times \{0,1,...d_{n}^{(i)}\} \times \{0,1,...d_{1}^{(j)}\} \times \{0,1,...d_{2}^{(j)}\} \times \cdots \times \{0,1,...d_{m}^{(j)}\} \xrightarrow{\text{flatten}}$$

$$\xrightarrow{\text{flatten}} \{0,1,\cdots \prod_{p=1}^{n} d_{p}^{(i)} - 1\} \times \{0,1,\cdots \prod_{q=1}^{m} d_{q}^{(j)} - 1\}$$

$$(i_{1},i_{2},...i_{n},j_{1},j_{2}...j_{m}) \xrightarrow{\text{flatten}} (I,J) := (i_{1}+d_{1}^{(i)}i_{2}+\cdots+d_{1}^{(i)}...d_{n-1}^{(i)}i_{n},j_{1}+d_{1}^{(j)}j_{2}+\cdots+d_{1}^{(j)}...d_{m-1}^{(j)}j_{m})$$

It doesn't make sense to call this "row-major" or "column-major" ordering generalization, because we are not dealing with only 2 indices where we can definitely say the first index indexes the "row" and the second index indexes the "column." At most, possibly, you can alternatively have this:

$$(i_1 \dots i_n, j_1 \dots j_m) \xrightarrow{\text{flatten}} (I, J) := (d_2^{(i)} \dots d_n^{(i)} i_1 + d_3^{(i)} \dots d_n^{(i)} i_2 + \dots + i_n, d_2^{(j)} \dots d_m^{(j)} j_1 + \dots + j_m)$$

Note that this is all 0-based counting (i.e. we start counting from 0 just like in C,C++,Python, etc.). If you really wanted 1-based counting, you'd have to complicate the above formulas as such:

$$(I,J) := (i_1 + d_1^{(i)}(i_2 - 1) + \dots + d_1^{(i)} \dots d_{n-1}^{(i)}(i_n - 1), j_1 + d_1^{(j)}(j_2 - 1) + \dots + d_1^{(j)} \dots d_{m-1}^{(j)}(j_m - 1))$$

Note that formulas are easily checked by pluggin in the minimum and maximum values for the indices and seeing if they make sense (e.g. plug in $(0,0,\ldots,0)$ for all indices for 0-based counting and make sure you get back I=0 or J=0).

23.3. Singular Value Decomposition.

$$T_{I,J} = \sum_{\alpha} U_{I,\alpha} S_{\alpha,\alpha} \overline{V}_{J,\alpha}$$

$$\operatorname{Mat}_{\mathbb{K}}(N, M) \xrightarrow{\operatorname{SVD}} \operatorname{Mat}_{\mathbb{K}}(N, P) \times \operatorname{Mat}_{\mathbb{K}}(P, P) \times \operatorname{Mat}_{\mathbb{K}}(M, P)$$

$$T_{I,J} \xrightarrow{\operatorname{SVD}} U_{I,\alpha}, S_{\alpha,\alpha}, \overline{V}_{I,\alpha} \text{ s.t.}$$

$$T_{I,J} = \sum_{\alpha} U_{I,\alpha} S_{\alpha,\alpha} \overline{V}_{J,\alpha}$$

$$T = USV^{\dagger}$$

For the higher-dimensional version of SVD.

$$\mathbb{K}^{d_1^{(i)}} \otimes \cdots \otimes \mathbb{K}^{d_N^{(i)}} \otimes \mathbb{K}^{d_1^{(j)}} \otimes \cdots \otimes \mathbb{K}^{d_M^{(j)}} \xrightarrow{\text{flatten}} \operatorname{Mat}_{\mathbb{K}}(N, M) \xrightarrow{\text{SVD}} \operatorname{Mat}_{\mathbb{K}}(N, P) \times \operatorname{Mat}_{\mathbb{K}}(P, P) \times \operatorname{Mat}_{\mathbb{K}}(M, P) \xrightarrow{\text{splitting}}$$

$$\mathbb{K}^{d_1^{(i)}} \otimes \cdots \otimes \mathbb{K}^{d_N^{(i)}} \otimes \mathbb{K}^P \times \operatorname{Mat}_{\mathbb{K}}(P, P) \times \mathbb{K}^{d_1^{(j)}} \otimes \cdots \otimes \mathbb{K}^{d_M^{(j)}} \otimes \mathbb{K}^P$$

$$T_{i_1...i_N, j_1...j_M} = \sum_{\mathbf{I}} U_{i_1...i_N, \alpha} S_{\alpha, \alpha} \overline{V}_{j_1...j_M, \alpha}$$

24. Density Matrix Renormalization Group; Matrix Product States (MPS)

cf. Sec. 4, Matrix Product States (MPS) of Schollwöck [11].

Necessarily, given matrix $M \in \operatorname{Mat}_{\mathbb{K}}(M, N)$ (notation in Bridgeman and Chubb (2017) [9] and CUDA Toolkit Documentation; I will follow the notation in Schollwöck [11] since his A, B denote specific physical meaning).

For

$$U \in \operatorname{Mat}_{\mathbb{K}}(N_A, \min(N_A, N_B)) \text{ s.t. } UU^{\dagger} = 1$$

 $S \in \operatorname{Mat}_{\mathbb{K}}(\min(N_A, N_B), \min(N_A, N_B))$

s.t. S diagonal with nonnegative $S_{aa}=s_a$, i.e. $S_{ij}=\delta_{ij}s_i$ s.t. $s_i\geq 0 \quad \forall i=1,2,\ldots \min{(N_A,N_B)}$

 $r \equiv (Schmidt)$ rank of M := number of nonzero singular values.

Assume $s_1 \geq s_2 \geq \cdots \geq s_r \geq 0$.

 $V^{\dagger} \in \operatorname{Mat}_{\mathbb{K}}(\min(N_A, N_B), N_B) \text{ s.t. } V^{\dagger}V = 1.$

 $\operatorname{Mat}_{\mathbb{K}}(N_{A}, N_{B}) \xrightarrow{\quad \operatorname{SVD} \quad} U_{\mathbb{K}}(N_{A}, \min{(N_{A}, N_{B})}) \times \operatorname{diag}_{\mathbb{K}}(\min{(N_{A}, N_{B})}) \times U_{\mathbb{K}}(\min{(N_{A}, N_{B})}, N_{B})$

$$M \vdash \longrightarrow USV^{\dagger}$$

Optimal approximation of M (rank r by matrix M' (rank r' < r) property. In Frobenius norm $||M||_F^2 := \sum_{i,j} |M_{ij}|^2$, induced by inner product $\langle M|N\rangle = \operatorname{tr} M^{\dagger} N$. Indeed,

$$\operatorname{tr} M^{\dagger} N = (M^{\dagger})_{ik} N_{ki} = \overline{M}_{ki} N_{ki}$$

and so for

$$(26) M' = US'V^{\dagger}, S' = \operatorname{diag}(s_1, s_2 \dots s_{r'}, 0 \dots)$$

cf. Eq. (19) of Schollwöck [11], i.e. 1 sets all but 1st r' singual values to 0.

Use singular value decomposition (SVD) to derive Schmidt decomposition of general quantum state. \forall pure state $|\psi\rangle$ on AB,

$$|\psi\rangle = \sum_{i,j} \Psi_{ij} |i\rangle_A |j\rangle_B$$

where $\{|i\rangle_A\}, \{|j\rangle_B\}$ orthonormal bases of A, B ((complex) Hilbert spaces), with dim. N_A, N_B , respectively. Let $\Psi_{i,j} \in \operatorname{Mat}_{\mathbb{K}}(N_A, N_B)$.

Then reduced density operators $\hat{\rho}_A$, $\hat{\rho}_B$ are such that

$$\widehat{\rho}_A = \operatorname{tr}_B |\psi\rangle\langle\psi|$$

$$\widehat{\rho}_B = \operatorname{tr}_A |\psi\rangle\langle\psi|$$

In matrix form.

$$\rho_A = \Psi \Psi^{\dagger}$$

$$\rho_B = \Psi^{\dagger} \Psi$$

Indeed,

$$(\rho_A)_{ij} = \Psi_{ik}\overline{\Psi}_{jk}$$

$$(\rho_B)_{ij} = \overline{\Psi}_{ki}\Psi_{kj}$$

$$|\psi\rangle\langle\psi| = \sum_{i,j} \Psi_{ij}|i\rangle_A|j\rangle_B \sum_{l,m} \overline{\Psi}_{lm}\langle l|_A\langle m|_B$$

$$\operatorname{tr}_B|\psi\rangle\langle\psi| = \sum_{i,j} \Psi_{ik}\overline{\Psi}_{jk}|i\rangle_A\rangle j|_A$$

In matrix form,

$$\rho_A = \Psi \Psi^{\dagger}$$

$$\rho_B = \Psi^{\dagger} \Psi$$

Carry out SVD on Ψ in Eq. (20) of Schollwöck [11],

$$|\psi\rangle = \sum_{i,j} \Psi_{ij} |i\rangle_A |j\rangle_B$$

$$|\psi\rangle = \sum_{ij} \Psi_{ij} |i\rangle_A |j\rangle_B = \sum_{ij} \sum_{a=1}^{\min{(N_A, N_B)}} U_{ia} S_{aa} \overline{V}_{ja} |i\rangle_A |j\rangle_B = \sum_{a=1}^{\min{(N_A, N_B)}} \sum_{i} U_{ia} |i\rangle_A s_a \sum_{j} \overline{V}_{ja} |j\rangle_B = \sum_{a=1}^{\min{(N_A, N_B)}} s_a |a\rangle_A |a\rangle_B$$

Due to orthogonality of $U, V^{\dagger}, \{|a\rangle_A\}, \{|a\rangle_B\}$ orthonormal, and can be extended to be orthonormal bases of A, B.

If we restrict the sum to run only over the $r \leq \min(N_A, N_B)$ positive nonzero singular values (i.e., for $\sum_{a=1}^{\min(N_A, N_B)}$, a > 0 $\forall a \leq r$, and so

$$|\psi\rangle = \sum_{a=1}^{r} s_a |a\rangle_A |a\rangle_B$$

r=1 (classical) product states. $|\psi\rangle = s_1|1\rangle_A|1\rangle_B$.

r > 1 entangled (quantum) states.

Schmidt decomposition on reduced density operators for A and B:

$$\widehat{\rho}_A = \sum_{a=1}^r s_a^2 |a\rangle_A \langle a|_A$$

$$\widehat{\rho}_B = \sum_{a=1}^r s_a^2 |a\rangle_B \langle a|_B$$

Respective eigenvectors are left and right singular vectors.

Von Neumann entropy can be read off:

$$S_{A|B}(|\psi\rangle) = -\operatorname{tr}\widehat{\rho}_A \log_2 \widehat{\rho}_A = -\sum_{a=1}^r s_a^2 \log_2 s_a^2$$

In view of large size of Hilbert spaces, approximate $|\psi\rangle$ by some $|\widetilde{\psi}\rangle$ spanned over state spaces A,B that have dims. r' only. Since 2-norm of $|\psi\rangle$,

$$\||\psi\rangle\|_2^2 = \sum_{ij} |\Psi_{ij}|^2 = \|\Psi\|_F^2$$

since

$$\|\psi\|_{2}^{2} = \sum_{a=1}^{r} s_{a}^{2} = \sum_{ij} |\Psi_{ij}|^{2}$$

iff $\{|i\rangle\}, \{|j\rangle\}$ orthonormal. Optimal approx. of 2-norm given by optimal approx. of Ψ by $\overline{\Psi}$ in Frobenius norm, where $\overline{\Psi}$ is matrix of rank r'.

 $\overline{\Psi} = US'V^{\dagger}, S' = \operatorname{diag}(s_1, \dots, s_{r'}, 0 \dots)$ from above.

 \Longrightarrow Schmidt decomposition of approximate state

(27)
$$|\overline{\Psi}\rangle = \sum_{a=1}^{r'} s_a |a\rangle_A |a\rangle_B$$

cf. Eq. (27) of Schollwöck [11], where s_a must be rescaled if normalization desired

24.1. **QR decomposition.** cf. 4.1.2. of Schollwöck [11].

If actual value of singular values not used explicitly, then use QR decomposition.

QR decomposition: $\forall M \in \operatorname{Mat}_{\mathbb{K}}(N_A, N_B)$,

(28) M = QR, $Q \in U_{\mathbb{K}}(N_A)$, i.e. $Q^{\dagger}Q = 1 = QQ^{\dagger}$, $R \in \operatorname{Mat}_{\mathbb{K}}(N_A, N_B)$ s.t. upper triangular, i.e. $R_{ij} = 0$ if i > j thin QR decomposition: assume $N_A > N_B$. Then bottom $N_A - N_B$ rows of R are 0, so

$$M = Q \begin{bmatrix} R_1 \\ 0 \end{bmatrix} = \begin{bmatrix} Q_1 & Q_2 \end{bmatrix} \begin{bmatrix} R_1 \\ 0 \end{bmatrix} = Q_1 R_1$$
$$Q_1 \in \operatorname{Mat}_{\mathbb{K}}(N_A, N_B)$$
$$R_1 \in \operatorname{Mat}_{\mathbb{K}}(N_B, N_B)$$

While $Q_1^{\dagger}Q_1=1$ in general $Q_1Q_1^{\dagger}\neq 1$

25. Matrix Product States (MPS)

cf. Section 4.13 Decomposition of arbitrary quantum states into MPS of Schollwöck [11]. Consider lattice of L sites, d-dim. local state spaces $\{\sigma_i\}_{i=1,...L}$. Most general pure quantum state on lattice (assume normalized)

(29)
$$|\psi\rangle = \sum_{\sigma_1...\sigma_L} c_{\sigma_1...\sigma_L} |\sigma_1...\sigma_L\rangle$$

cf. Eq. (30) of Schollwöck [11],

25.1. Left-canonical matrix product state. cf. Schollwöck [11],

Consider the process of refactoring or "flattening", which I claim to be a functor flatten:

$$|\psi\rangle \in \mathcal{H} \text{ s.t. } \dim \mathcal{H} = d^L \mapsto \Psi \in \operatorname{Mat}_{\mathbb{K}}(d, d^{L-1})$$

$$\Psi_{\sigma_1, (\sigma_2, \dots \sigma_L)} = c_{\sigma_1, \dots \sigma_L}$$

(30)
$$\xrightarrow{\text{SVD}} c_{\sigma_1...\sigma_L} = \Psi_{\sigma_1,(\sigma_2...\sigma_L)} = \sum_{r=1}^{r_1} U_{\sigma_1,a_1} S_{a_1,a_1} (V^{\dagger})_{a_1,(\sigma_2...\sigma_L)} \equiv \sum_{r=1}^{r_1} U_{\sigma_1,a_1} c_{a_1,\sigma_2...\sigma_L}$$

$$(\mathbb{K}^d)^L \to \operatorname{Mat}_{\mathbb{K}}(1,r) \times \operatorname{Mat}_{\mathbb{K}}(r_1 d, d^{L-2})$$
$$c_{\sigma_1 \dots \sigma_L} \mapsto A_{a_1}^{\sigma_1}, \Psi_{(a_1 \sigma_2), (\sigma_3 \dots \sigma_L)}$$

 $c_{\sigma_1...\sigma_L} = \sum_{n=1}^{r_1} A_{a_1}^{\sigma_1} \Psi_{(a_1\sigma_2),(\sigma_3...\sigma_L)}$

where rank $r_1 \leq d$.

i.e.

s.t.

$$U \in \operatorname{Mat}_{\mathbb{K}}(d, \min(d, r)) = \operatorname{Mat}_{\mathbb{K}}(d, r)$$

Consider d row vectors A^{σ_1} , $A_{a_1}^{\sigma_1} = U_{\sigma_1,a_1}$.

$$c_{a_1\sigma_2...\sigma_L} = \sum_{a_1}^{r_1} A_{a_1}^{\sigma_1} \Psi_{(a_1,\sigma_2),(\sigma_3...\sigma_L)} \text{ with}$$

$$\Psi_{(a_1\sigma_2),(\sigma_3...\sigma_L)} \in \text{Mat}_{\mathbb{K}}(r_1 d, d^{L-2})$$

So from Eq. (34) of Schollwöck [11],

(31)
$$c_{\sigma_1...\sigma_L} = \sum_{a_1}^{r_1} \sum_{a_2}^{r_2} A_{a_1}^{\sigma_1} U_{(a_1\sigma_2),a_2} S_{a_2,a_2}(V^{\dagger})_{a_2,(\sigma_3...\sigma_L)} = \sum_{a_1}^{r_1} \sum_{a_2}^{r_2} A_{a_1}^{\sigma_1} A_{a_1,a_2}^{\sigma_2} \Psi_{(a_2\sigma_3),(\sigma_4...\sigma_L)}$$

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So for

$$U \in \operatorname{Mat}_{\mathbb{K}}(d, r_1 \times r_2) \mapsto \{A^{\sigma_2}\}_{\sigma_2}, \qquad |\{A^{\sigma_2}\}_{\sigma_2}| = d, \qquad A^{\sigma_2} \in \operatorname{Mat}_{\mathbb{K}}(r_1, r_2)$$

 $A_{a_1,a_2}^{\sigma_2} = U_{(a_1,\sigma_2),a_2}$ and multiplied S and V^{\dagger} ,

$$SV^{\dagger} \mapsto \Psi \in \operatorname{Mat}_{\mathbb{K}}(r_2d, d^{L-3}); \qquad r_2 < r_1d < d^2$$

and so continuing the application of SVD and refactoring (what I call applying the flatten functor)

$$\xrightarrow{\text{SVD}} c_{\sigma_1 \dots \sigma_L} = \sum_{a_1 \dots a_{L-1}} A_{a_1}^{\sigma_1} A_{a_1 a_2}^{\sigma_2} \dots A_{a_{L-2}, a_{L-1}}^{\sigma_{L-1}} A_{a_L - 1}^{\sigma_L} \equiv A^{\sigma_1} A^{\sigma_2} \dots A^{\sigma_{L-1}} A^{\sigma_L}$$

25.1.1. Matrix Product State (definition).

Definition 27 (Matrix Product State).

(32)
$$|\psi\rangle = \sum_{\sigma_1 \dots \sigma_L} A^{\sigma_1} A^{\sigma_2} \dots A^{\sigma_{L-1}} A^{\sigma_L} |\sigma_1 \dots \sigma_L\rangle$$

Maximally, the dims. are

$$(1 \times d), (d \times d^2) \dots (d^{L/2-1} \times d^{L/2}), (d^{L/2} \times d^{L/2-1}) \dots (d^2 \times d), (d \times 1)$$

Since \forall SVD, $U^{\dagger}U = 1$,

$$\delta_{a_l,a_l'} = \sum_{a_{l-1}a_l} (U^{\dagger})_{a_l,(a_{l-1}\sigma_l)} U_{(a_{l-1}\sigma_l),a_l'} = \sum_{a_{l-1}\sigma_l} (A^{\sigma_l})_{a_l,a_{l-1}}^{\dagger} A^{\sigma_l}_{a_{l-1},a_l'} = \sum_{\sigma_l} ((A^{\sigma_2})^{\dagger} A^{\sigma_l})_{a_l,a_l'}$$

or

$$\sum_{\sigma_l} (A^{\sigma_l})^{\dagger} A^{\sigma_l} = 1$$

cf. Eq. (38) of Schollwöck [11],

If for $\{A^{\sigma_l}\}_{\sigma_l}$, $\sum_{\sigma_l} (A^{\sigma_l})^{\dagger} A = 1$, $\{A^{\sigma_l}\}_{\sigma_l}$ are **left-normalized**; matrix product states that consist of only left-normalized matrices are **left-canonical**.

View Density Matrix Renormalization Group (DMRG) decomposition of universe into blocks A and B, split lattice into parts A,B, where A comprises sites 1 through l and B sites l+1 through L.

$$|a_l\rangle_A = \sum_{\sigma_1...\sigma_l} (A^{\sigma_1}A^{\sigma_2}...A^{\sigma_l})_{a_l,1} |\sigma_1...\sigma_l\rangle$$

$$|a_l\rangle_B = \sum_{\sigma_{l+1}...\sigma_L} (A^{\sigma_{l+1}}A^{\sigma_{l+2}}...A^{\sigma_L})_{a_l,1} |\sigma_{l+1}...\sigma_L\rangle$$

s.t. matrix product state (MPS) is

$$|\psi\rangle = \sum_{a_l} |a_l\rangle_A |a_l\rangle_B$$

25.1.2. Summarize this procedure of constructing, from a pure state, the matrix product state (version) by successive application Singular Value Decomposition (SVD) from the Category Theory point of view. Consider all applications of SVD to get to a matrix

$$(\mathbb{K}^d)^L \xrightarrow{\text{SVD}} (\text{Mat}_{\mathbb{K}}(1, r_1))^d \times (\text{Mat}_{\mathbb{K}}(r_1, r_2))^d \times \cdots \times (\text{Mat}_{\mathbb{K}}(r_{L-2}, r_{L-1}))^d \times (\text{Mat}_{\mathbb{K}}(r_{L-1}, 1))^d$$

$$c_{\sigma_1...\sigma_L} \longmapsto c_{\sigma_1...\sigma_L} = \sum_{a_1...a_{L-1}} A_{a_1}^{\sigma_1} A_{a_1 a_2}^{\sigma_2} \dots A_{a_{L-2}, a_{L-1}}^{\sigma_{L-1}} A_{a_{L-1}}^{\sigma_L}$$

product state (MPS):

and remember the maximal values that the r_i 's can take:

$$r_1 \le d$$
 $r_{L/2} \le d^{L/2}$ $r_{L-2} \le d^2$ $r_{L/2+1} \le d^{L/2-1}$ $r_{L-1} \le d$

Let us explicitly note the functors (that were applied) flatten (and its inverse), and the application of SVD, explicitly:

$$(\mathbb{K}^d)^L \xrightarrow{\text{flatten}^{-1}} \operatorname{Mat}_{\mathbb{K}}(d, d^{L-1}) \xrightarrow{\qquad \text{SVD} \qquad} U_{\mathbb{K}}(d, r_1) \times \operatorname{diag}_{\mathbb{K}}(r_1) \times U_{\mathbb{K}}(r_1, d^{L-1}) \xrightarrow{\qquad \cong \qquad} (\operatorname{Mat}_{\mathbb{K}}(1, r_1))^d \times \operatorname{Mat}_{\mathbb{K}}(r_1, d^{L-2}) \xrightarrow{\text{flatten}} (\operatorname{Mat}_{\mathbb{K}}(1, r_1))^d \times (\mathbb{K}^{r_1}) \times (\mathbb{K}^d)^{L-1}$$

$$c_{\sigma_1...\sigma_L} \varinjlim^{\text{flatten}^{-1}} c_{\sigma_1...\sigma_L} = \Psi_{\sigma_1,(\sigma_2...\sigma_L)} \varinjlim^{\text{SVD}} \Psi_{\sigma_1,(\sigma_2...\sigma_L)} = \sum_{a_1}^{r_1} U_{\sigma_1a_1} S_{a_1,a_1} (V^\dagger)_{a_1,(\sigma_2...\sigma_L)} \biguplus^{\cong} c_{a_1\sigma_2...\sigma_L} = \sum_{a_1}^{r_1} A_{a_1}^{\sigma_1} \Psi_{(a_1,a_2),(\sigma_3...\sigma_L)} \biguplus^{\text{flatten}} c_{a_1\sigma_2...\sigma_L} = \sum_{a_1}^{r_1} A_{a_1}^{\sigma_1} c_{a_1\sigma_2...\sigma_L}$$

with \cong in this case denoting an isomorphism (clearly).

In considering some kind of recursive algorithm, so to repeat some series of steps until a matrix product state is obtained, consider this:

$$(\mathbb{K}^d)^L \longrightarrow (\mathrm{Mat}_{\mathbb{K}}(1, r_1))^d \times \mathbb{K}^{r_1} \times (\mathbb{K}^d)^{L-1}$$

$$c_{\sigma_1...\sigma_L} \longmapsto c_{\sigma_1...\sigma_L} = \sum_{a_1}^{r_1} A_{a_1}^{\sigma_1} c_{a_1\sigma_2...\sigma_L}$$

So in summary, to obtain matrix product states, starting from a matrix,

$$\operatorname{Mat}_{\mathbb{K}}(d,d^{L-1}) \longrightarrow (\operatorname{Mat}_{\mathbb{K}}(1,r_{1}))^{d} \times \operatorname{Mat}_{\mathbb{K}}(r_{1}d,d^{L-2}) \longrightarrow \cdots \longrightarrow (\operatorname{Mat}_{\mathbb{K}}(1,r_{1}))^{d} \times (\operatorname{Mat}_{\mathbb{K}}(r_{1},r_{2}))^{d} \times \cdots \times (\operatorname{Mat}_{\mathbb{K}}(r_{n-1},r_{n}))^{d} \times (\operatorname{Mat}_{\mathbb{K}}(r_{n}d,d^{L-(n+1)}))^{d}$$

$$\Psi_{\sigma_{1},(\sigma_{2}...\sigma_{L})} \longmapsto \sum_{a_{1}}^{r_{1}} A_{a_{1}}^{\sigma_{1}} \Psi_{(a_{1},\sigma_{2}),(\sigma_{3}...\sigma_{L})} \longmapsto \cdots \longmapsto \sum_{a_{1},a_{2},...a_{n}}^{r_{1},r_{2},...r_{n}} A_{a_{1}}^{\sigma_{1}} A_{a_{1}a_{2}}^{\sigma_{2}} \dots A_{a_{n-1}a_{n}}^{\sigma_{n}} \Psi_{(a_{n}\sigma_{n+1}),(\sigma_{n+2}...\sigma_{L})}$$

25.2. Right-canonical matrix product state. cf. Schollwöck [11],

We can start from right in order to obtain

$$c_{\sigma_{1}...\sigma_{L}} = \Psi_{(\sigma_{1}...\sigma_{L-1}),\sigma_{L}} = \sum_{a_{L-1}} U_{(\sigma_{1}...\sigma_{L-1}),a_{L-1}} S_{a_{L-1},a_{L-1}} (V^{\dagger})_{a_{L-1},\sigma_{L}} = \sum_{a_{L-1}} \Psi_{(\sigma_{1}...\sigma_{L-2}),(\sigma_{L-1}a_{L-1})} B_{a_{L-1}}^{\sigma_{L}} = \sum_{a_{L-1},a_{L-2}} U_{(\sigma_{1}...\sigma_{L-2}),a_{L-2}} S_{a_{L-2},a_{L-2}} (V^{\dagger})_{a_{L-2},(\sigma_{L-1}a_{L-1})} B_{a_{L-1}}^{\sigma_{L}} = \sum_{a_{L-2},a_{L-1}} \Psi_{(\sigma_{1}...\sigma_{L-3}),(\sigma_{L-2}a_{L-2})} B_{a_{L-2},a_{L-1}}^{\sigma_{L-1}} B_{a_{L-1}}^{\sigma_{L}} = \dots$$

or consider

(34)

$$(\mathbb{K}^d)^L \xrightarrow{\text{flatten}^{-1}} \operatorname{Mat}_{\mathbb{K}}(d^{L-1}, d) \xrightarrow{\text{SVD}} U_{\mathbb{K}}(d^{L-1}, r_{L-1}) \times \operatorname{diag}_{\mathbb{K}}(r_{L-1}, d) \xrightarrow{\cong} \operatorname{Mat}_{\mathbb{K}}(d^{L-2}, dr_{L-1}) \times (\operatorname{Mat}_{\mathbb{K}}(r_{L-1}, 1))^d \xrightarrow{\text{SVD}}$$

$$c_{\sigma_{1}...\sigma_{L}} \vdash \underbrace{\text{flatten}^{-1}} \qquad c_{\sigma_{1}...\sigma_{L}} = \Psi_{(\sigma_{1}...\sigma_{L-1}),\sigma_{L}} \vdash \underbrace{\text{SVD}} \qquad \underbrace{\text{SVD}}$$

$$\underbrace{\text{SVD}}_{\text{U}_{\mathbb{K}}}(d^{L-2}, r_{L-2}) \times \operatorname{diag}_{\mathbb{K}}(r_{L-2}) \times U_{\mathbb{K}}(r_{L-2}, dr_{L-1}) \times (\operatorname{Mat}_{\mathbb{K}}(r_{L-1}, 1))^{d} \xrightarrow{\cong} \operatorname{Mat}_{\mathbb{K}}(d^{L-3}, dr_{L-2}) \times (\operatorname{Mat}_{\mathbb{K}}(r_{L-2}, r_{L-1}))^{d} \times (\operatorname{Mat}_{\mathbb{K}}(r_{L-1}, 1))^{d}$$

$$C_{\sigma_{1}...\sigma_{L}} = \sum_{a_{L-1},a_{L-2}} U_{(\sigma_{1}...\sigma_{L-2}),a_{L-2}} S_{a_{L-2},a_{L-2}} = \Psi_{(\sigma_{1}...\sigma_{L-3}),(\sigma_{L-2}a_{L-2})}$$

$$C_{\sigma_{1}...\sigma_{L}} = \sum_{a_{L-1},a_{L-2}} U_{(\sigma_{1}...\sigma_{L-2}),a_{L-2}} S_{a_{L-2},a_{L-2}} (V^{\dagger})_{a_{L-2},(\sigma_{L-1}a_{L-1})} B_{a_{L-1}}^{\sigma_{L}}$$

$$C_{\sigma_{1}...\sigma_{L}} = \sum_{a_{L-1},a_{L-2}} \Psi_{(\sigma_{1}...\sigma_{L-3}),(\sigma_{L-2},a_{L-2})} B_{a_{L-2},a_{L-1}}^{\sigma_{L-1}} B_{a_{L-1}}^{\sigma_{L}}$$

with \cong in this case denoting an isomorphism (clearly).

And so we can explicitly state the recursion step, for the purpose of writing numerical implementations/algorithms: $\forall l = 1, 2 \dots L$,

$$\operatorname{Mat}_{\mathbb{K}}(d^{L-l}, dr_{L-(l-1)}) \longrightarrow \operatorname{Mat}_{\mathbb{K}}(d^{L-(l+1)}, dr_{L-l}) \times (\operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}))^{d}$$

$$\Psi_{(\sigma_1...\sigma_{L-l}),(\sigma_{L-(l-1)}a_{L-(l-1)})} \longmapsto \Psi_{(\sigma_1...\sigma_{L-l}),(\sigma_{L-(l-1)}a_{L-(l-1)})} = \sum_{a_{L-l}} \Psi_{(\sigma_1...\sigma_{L-(l+1)}),(\sigma_{L-l}a_{L-l})} B_{a_{L-l},a_{L-(l-1)}}^{\sigma_{L-(l-1)}a_{L-(l-1)}} B_{a_{L-l},a_{L-(l-1)}}^{\sigma_{L-(l-1)}a_{L-(l-1)}}$$

and we finally obtained, after successive applications SVD, the matrix product state:

$$(\mathbb{K}^d)^L \longrightarrow \operatorname{Mat}_{\mathbb{K}}(d^{L-1}, d) \longrightarrow (\operatorname{Mat}_{\mathbb{K}}(1, r_1))^d \times (\operatorname{Mat}_{\mathbb{K}}(r_1, r_2))^d \times \cdots \times (\operatorname{Mat}_{\mathbb{K}}(r_{L-2}, r_{L-1}))^d \times (\operatorname{Mat}_{\mathbb{K}}(r_{L-1}, 1))^d$$

$$c_{\sigma_1...\sigma_L} \longmapsto \Psi_{(\sigma_1...\sigma_{L-l}),\sigma_L} \longmapsto c_{\sigma_1...\sigma_L} = \sum_{a_1...a_{L-1}} B_{a_1}^{\sigma_1} B_{a_1 a_2}^{\sigma_2} \dots B_{a_{L-2} a_{L-1}}^{\sigma_{L-1}} B_{a_{L-1}}^{\sigma_L}$$

Since

$$(35) V^{\dagger}V = 1$$

, then

(36)
$$\delta_{a_l a'_l} = \sum_{\sigma_m a_m} (V^{\dagger})_{a_l (\sigma_m a_m)} V_{(\sigma_m a_m) a'_l} = \sum_{\sigma_m a_m} B^{\sigma_m}_{a_l a_m} \overline{B}^{\sigma_m}_{a'_l a_m} \Longrightarrow \sum_{\sigma_m} \left[B^{\sigma_m} (B^{\sigma_m})^{\dagger} = 1 \right]$$

The *B*-matrices that obey this condition are referred to as **right-normalized** matrices. A matrix product state (MPS) entirely consisting of a product of these right-normalized matrices is called **right-canonical**.

25.2.1. Numerical implementation; both in BLAS and cuBLAS. As stated in the CUDA Toolkit Documentation v8.0 for cu-SOLVER, under section 5.3.6. cusolverDn<t>gesvd() and Remark 1, gesvd "only supports" m>=n, for matrix you want to decompose $A \in \operatorname{Mat}_{\mathbb{K}}(m,n)$. So number of rows must be greater than or equal to number of columns. And so we can only consider right-normalized matrices in a practical implementation.

I suspect it's the same in BLAS.

Consider the very first step, l=1, in a procedure to calculate the matrix product state.

$$\operatorname{Mat}_{\mathbb{K}}(d^{L-1},d) \xrightarrow{\operatorname{SVD}} U_{\mathbb{K}}(d^{L-1},r_{L-1}) \times \operatorname{diag}_{\mathbb{K}}(r_{L-1}) \times U_{\mathbb{K}}(r_{L-1},d) \xrightarrow{\cong} \operatorname{Mat}_{\mathbb{K}}(d^{L-2},dr_{L-1}) \times (\operatorname{Mat}_{\mathbb{K}}(r_{L-1},1))^{d}$$

$$\Psi_{(\sigma_{1}...\sigma_{L-1}),\sigma_{L}} \xrightarrow{\text{SVD}} = \sum_{a_{L-1}}^{r_{L-1}} U_{(\sigma_{1}...\sigma_{L-1}),a_{L-1}} S_{a_{L-1},a_{L-1}} (V^{\dagger})_{a_{L-1},\sigma_{L}} \xrightarrow{\cong} U_{(\sigma_{1}...\sigma_{L-1}),a_{L-1}} S_{a_{L-1},a_{L-1}} = \Psi_{(\sigma_{1}...\sigma_{L-2}),(\sigma_{L-1}a_{L-1})} (V^{\dagger})_{a_{L-1},\sigma_{L}} \xrightarrow{\cong} (V^{\dagger})_{a_{L-1},\sigma_{L}} = B_{a_{L-1}}^{\sigma_{L}}$$

with \cong in this case denoting an isomorphism, the *reshaping* of a matrix into different matrix size dimensions, which should be the inverse of a "flatten" functor, which I'll denote as flatten as well (and this is this same isomorphism we're talking about).

Let's deal with the specific procedure of flatten⁻¹, how it reshapes indices in accordance with different matrix size dimensions, and with the so-called "stride" when going from, say, 2-dimensional indices to a "flattened" 1-dimensional index.

Note also as a practical numerical implementation design point, LAPACK's linear algebra BLAS library package and CUBLAS assumes *column*-major ordering.

Consider i = 1, 2, ..., L - 1 (for site i) (or for 0-based counting, starting to count from 0, i = 0, 1, ..., L - 2; be aware of this difference as in practical numerical implementation, in C, C++, Python, it assumes 0-based counting).

For a state space of dimension d, we can consider the specific example of d=2, representing say a spin-1/2 system. Then index σ_i can be 0 or 1: $\sigma_i \in \{0,1\}$. In general, $\sigma_i \in \{0,1,\ldots d-1\}$. I may use d or 2 in the context of the number of states (basis vectors) of the spin system (state vector space).

Consider site i. Suppose the spin system there interacts most with sites i-1, i+1, and then next sites i-2, i+2, etc. So the values at $\sigma_{i-1}, \sigma_{i+1}$, etc. are most important in calculating interactions with spin system at site i.

Then we seek this reshaping of the matrix index - assuming 0-based counting/ordering, for l = 1:

$$\{0,1\}^{L-1} \xrightarrow{\text{(flatten)}^{-1}} \{0,1,\dots 2^{L-1}-1\}$$

$$(\sigma_0, \sigma_1, \dots \sigma_{L-2}) \xrightarrow{\text{(flatten)}^{-1}} I_{L-1} := \sigma_0 + 2\sigma_1 + \dots + 2^i \sigma_i + \dots + 2^{L-2} \sigma_{L-2} = \sum_{i=0}^{L-2} 2^i \sigma_i$$

In this way, states of a site i are closest in memory addresses in the allocation of a 1-dim. array, on CPU or GPU memory, so that memory access operations should be efficient.

Assuming SVD doesn't change the striding, and defining the result of matrix multiplication:

$$U_{(\sigma_0,\sigma_1...\sigma_{L-2}),a_{L-1}}S_{a_{L-1},a_{L-1}} =: (US)_{(\sigma_0...\sigma_{L-2}),a_{L-1}} \in \operatorname{Mat}_{\mathbb{K}}(d^{L-1},r_{L-1})$$

We can reshape (i.e. $(flatten)^{-1}$) in such a manner:

$$\operatorname{Mat}_{\mathbb{K}}(d^{L-1}, r_{L-1}) \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(d^{L-2}, dr_{L-1})$$

$$(US)_{(\sigma_{0} \dots \sigma_{L-2}), a_{L-1}} \xrightarrow{\qquad \qquad } \Psi_{(\sigma_{0}, \sigma_{1}, \dots \sigma_{L-3}), (\sigma_{L-2} a_{L-1})} \xrightarrow{\qquad \qquad } \{0, 1, \dots 2^{L-1} - 1\} \times \{0, 1, \dots r_{L-1} - 1\} \xrightarrow{\qquad \qquad } \{0, 1, \dots 2^{L-2} - 1\} \times \{0, 1, \dots dr_{L-1} - 1\}$$

$$I_{L-1}, a_{L-1} \xrightarrow{\qquad \qquad } I_{L-1} \xrightarrow{\qquad \qquad } I_{L-1} \xrightarrow{\qquad \qquad } d^{L-2}, \frac{I_{L-1}}{2^{L-2}} + da_{L-1}$$

Reshaping V^{\dagger} at iteration l=1 can be done as follows:

$$U_{\mathbb{K}}(r_{L-1}, d) \xrightarrow{\qquad \qquad \qquad } (\operatorname{flatten})^{-1} \longrightarrow (\operatorname{Mat}_{\mathbb{K}}(r_{L-1}, 1))^{d}$$

$$(V^{\dagger})_{a_{L-1}, \sigma_{L-1}} \longmapsto (\operatorname{flatten})^{-1} \longrightarrow (V^{\dagger})_{a_{L-1}, \sigma_{L-1}} = B_{a_{L-1}}^{\sigma_{L-1}}$$

$$\{0, 1, \dots, r_{L-1} - 1\} \times \{0, 1, \dots, d-1\} \xrightarrow{\text{(flatten})^{-1}} (\{0, 1, \dots, r_{L-1} - 1\})^{d}$$

$$a_{L-1}, \sigma_{L-1} \longmapsto (\operatorname{flatten})^{-1} \longrightarrow a_{L-1}$$

Let's do this same procedure, reshaping or (flatten) $^{-1}$, for a general l iteration.

$$\begin{split} \operatorname{Mat}_{\mathbb{K}}(d^{L-l}, r_{L-l}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(d^{L-(l+1)}, dr_{L-l}) \\ & (US)_{(\sigma_{0} \dots \sigma_{L-(l+1)}), a_{L-l}} & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(d^{L-(l+1)}, dr_{L-l}) \\ & (US)_{(\sigma_{0} \dots \sigma_{L-(l+1)}), a_{L-l}} & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(d^{L-(l+1)}, dr_{L-l}) \\ & \{0, 1, \dots d^{L-l} - 1\} \times \{0, 1, \dots r_{L-l} - 1\} & \xrightarrow{\qquad \qquad } \{0, 1, \dots d^{L-(l+1)} - 1\} \times \{0, 1, \dots dr_{L-l} - 1\} \\ & I_{L-l}, a_{L-l} & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, dr_{L-l}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l+1)}) + da_{L-l} \\ & U_{\mathbb{K}}(r_{L-l}, dr_{L-(l-1)}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}))^{d} \\ & (V^{\dagger})_{a_{L-l}, (\sigma_{L-l}a_{L-(l-1)})} & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}))^{d} \\ & \{0, 1, \dots r_{L-l} - 1\} \times \{0, 1, \dots dr_{L-(l-1)} - 1\} & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}))^{d} \\ & \{0, 1, \dots r_{L-l} - 1\} \times \{0, 1, \dots dr_{L-(l-1)} - 1\} & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}))^{d} \\ & \{0, 1, \dots r_{L-l} - 1\} \times \{0, 1, \dots dr_{L-(l-1)} - 1\} & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}))^{d} \\ & \{0, 1, \dots r_{L-l} - 1\} \times \{0, 1, \dots dr_{L-(l-1)} - 1\} & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}, r_{L-(l-1)}) & \xrightarrow{\qquad \qquad } \operatorname{Mat}_{\mathbb{K}}(r_{L-l}$$

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