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HOW DID WE GET THERE AT INTEL?

Technology
Tools
Methodology
Major FV results

Timeline (1985 to 2020):

- 1985:** Ordered Binary Decision Diagrams (BDDs)
- 1990:** Symbolic Trajectory Evaluation (STE)
- 1995:** FDIV, Parametric Dynamic Weakening Inference Rules, COSMOS, Voss, HOL/Voss, VossProver, Ad hoc
- 2000:** SAT, ReFlect, ThmTac
- 2005:** Automatic Symbolic Indexing, Gooled
- 2010:** Para-eval, 5-stage methodology, rSTE, Ctrl+DP
- 2015:** DEC FMUL, Pentium Pro (P6), P4 (v1), FP ops
- 2020:** Complete μ -controller, Core I7, GPU, FP+EXE ops, Ctrl+Data

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SYMBOLIC TRAJECTORY EVALUATION (STE)

- **Basic idea:**
 - Model system state in an information ordering lattice (think, 0,1,X)
 - Encode large number of simple properties using Boolean expressions
 - Use an extended symbolic simulator to verify all the properties at once.
- **Pros:**
 - Very high-capacity model checking
 - Verification complexity depends more on property than circuit
 - Interface like traditional simulation
 - Works equally well with BDDs as with a SAT solver (or even an SMT solver).
- **Cons:**
 - Limited expressibility. Many useful properties cannot be stated/verified.
 - Over abstraction can be challenging ("X-chasing")

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Satisfiability Checking

11 Lazy SAT-Modulo-Theories (SMT) solving

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RWTH Aachen University
Informatik 2
LuFG Theory of Hybrid Systems

WS 22/23

The Xmas problem

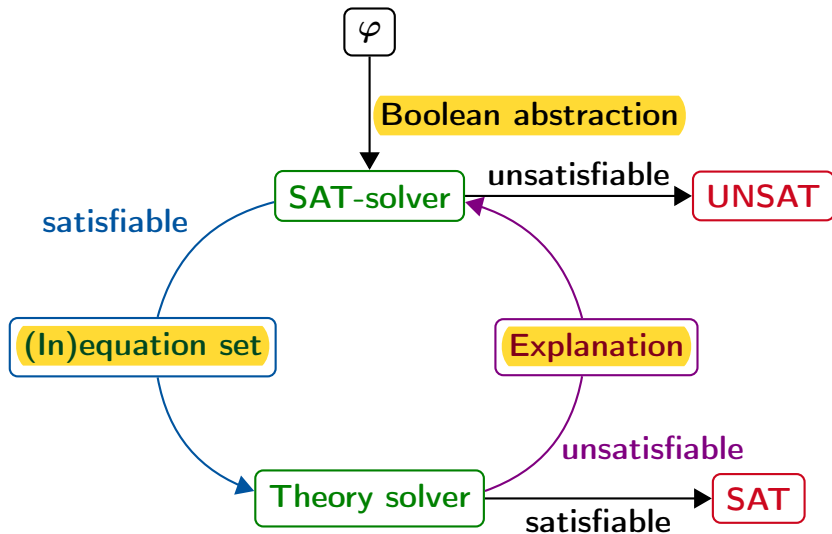
There are three types of Xmas presents Santa Claus can make.

- Santa Claus wants to reduce the overhead by making only two types.
- He needs at least 100 presents.
- He needs at least 5 of either type 1 or type 2.
- He needs at least 10 of the third type.
- Each present of type 1, 2, and 3 need 1, 2, resp. 5 minutes to make.
- Santa Claus is late, and he has only 3 hours left.
- Each present of type 1, 2, and 3 costs 3, 2, resp. 1 EUR.
- He has 300 EUR for presents in total.

$$\begin{aligned} & (p_1 = 0 \vee p_2 = 0 \vee p_3 = 0) \wedge p_1 + p_2 + p_3 \geq 100 \wedge \\ & (p_1 \geq 5 \vee p_2 \geq 5) \wedge p_3 \geq 10 \wedge p_1 + 2p_2 + 5p_3 \leq 180 \wedge \\ & 3p_1 + 2p_2 + p_3 \leq 300 \end{aligned}$$

Logic: First-order logic over the integers with addition.

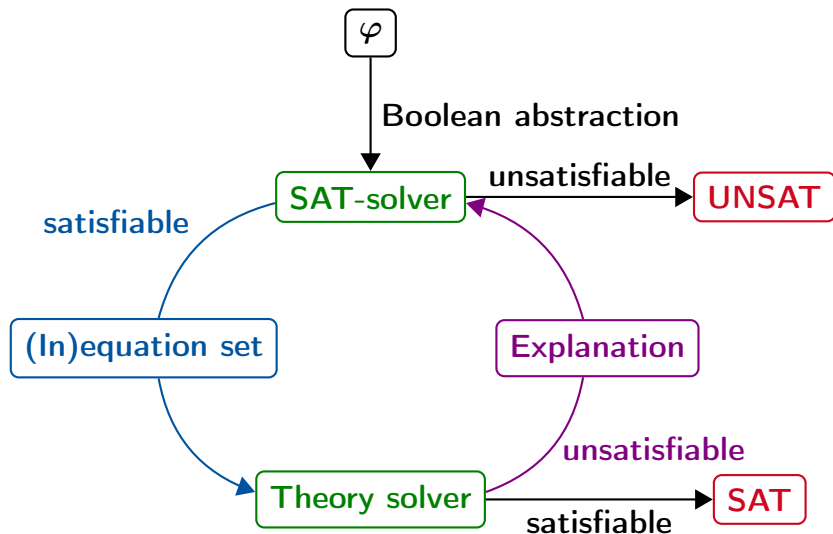
Full lazy SMT solving



$$\begin{aligned} & \underbrace{(p_1 = 0)}_{a_1} \vee \underbrace{(p_2 = 0)}_{a_2} \vee \underbrace{(p_3 = 0)}_{a_3} \wedge \underbrace{(p_1 + p_2 + p_3 \geq 100)}_{a_4} \wedge \\ & \underbrace{(p_1 \geq 5)}_{a_5} \vee \underbrace{(p_2 \geq 5)}_{a_6} \wedge \underbrace{(p_3 \geq 10)}_{a_7} \wedge \underbrace{(p_1 + 2p_2 + 5p_3 \leq 180)}_{a_8} \wedge \\ & \underbrace{(3p_1 + 2p_2 + p_3 \leq 300)}_{a_9} \end{aligned}$$

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9$$

Full lazy SMT solving



$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9$$

Assume a fixed variable order: a_1, \dots, a_9

Assignment to decision variables: false

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$ 所有的 unit clause 都在 $DL0$ 赋值

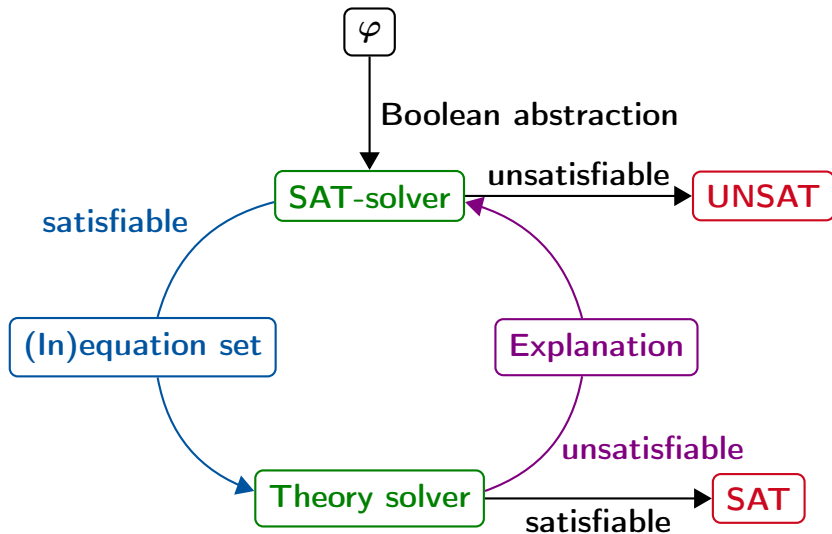
$DL1 : a_1 : 0$

$DL2 : a_2 : 0, a_3 : 1$

$DL3 : a_5 : 0, a_6 : 1$

Solution found for the Boolean abstraction.

Full lazy SMT solving



Theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$ $DL1 : a_1 : 0$

$DL2 : a_2 : 0, a_3 : 1$

$DL3 : a_5 : 0, a_6 : 1$

True theory constraints: $a_4, a_7, a_8, a_9, a_3, a_6$

$$\underbrace{(p_1 = 0)}_{a_1} \vee \underbrace{(p_2 = 0)}_{a_2} \vee \underbrace{(p_3 = 0)}_{a_3} \wedge \underbrace{(p_1 + p_2 + p_3 \geq 100)}_{a_4} \wedge$$
$$\underbrace{(p_1 \geq 5)}_{a_5} \vee \underbrace{(p_2 \geq 5)}_{a_6} \wedge \underbrace{(p_3 \geq 10)}_{a_7} \wedge \underbrace{(p_1 + 2p_2 + 5p_3 \leq 180)}_{a_8} \wedge$$

标红的为取值为1的variable

$$\underbrace{(3p_1 + 2p_2 + p_3 \leq 300)}_{a_9}$$

Encoding:

$a_4 : p_1 + p_2 + p_3 \geq 100$ $a_7 : p_3 \geq 10$ $a_8 : p_1 + 2p_2 + 5p_3 \leq 180$

$a_9 : 3p_1 + 2p_2 + p_3 \leq 300$ $a_3 : p_3 = 0$ $a_6 : p_2 \geq 5$



Is the conjunction of the following constraints satisfiable?

$$a_4 : p_1 + p_2 + p_3 \geq 100$$

$$a_7 : p_3 \geq 10$$

$$a_8 : p_1 + 2p_2 + 5p_3 \leq 180$$

$$a_9 : 3p_1 + 2p_2 + p_3 \leq 300$$

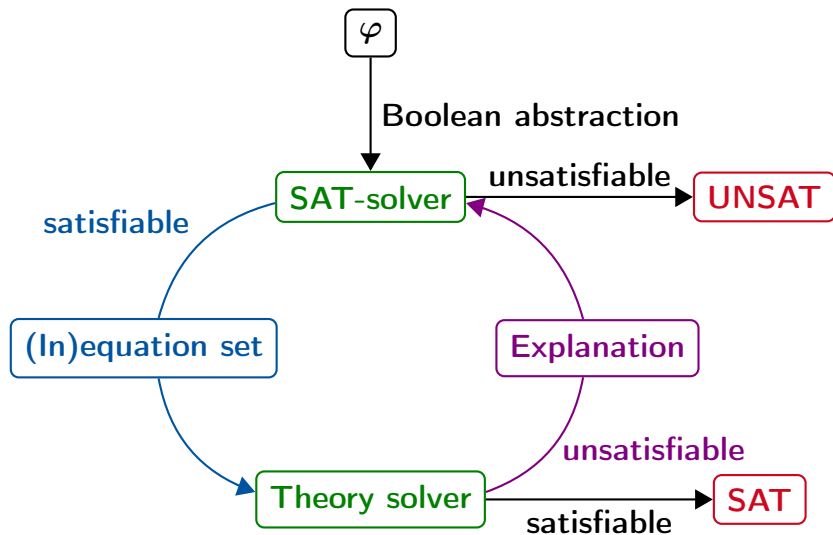
$$a_3 : p_3 = 0$$

$$a_6 : p_2 \geq 5$$

No.

Reason: $\underbrace{p_3 = 0}_{a_3} \wedge \underbrace{p_3 \geq 10}_{a_7}$ are conflicting.

Full lazy SMT solving



Add clause $(\neg a_3 \vee \neg a_7)$.

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 $\neg(a_3 \wedge a_7) = (\neg a_3 \vee \neg a_7)$

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7)$$

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1$

$DL1 : a_1 : 0$

$DL2 : a_2 : 0, a_3 : 1$

$DL3 : a_5 : 0, a_6 : 1$

回到学习的子句的上一层决策层

Conflict resolution is simple, since the new clause is already an asserting one.

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7)$$

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, \underline{a_3 : 0}$

$DL1 : a_1 : 0, a_2 : 1$

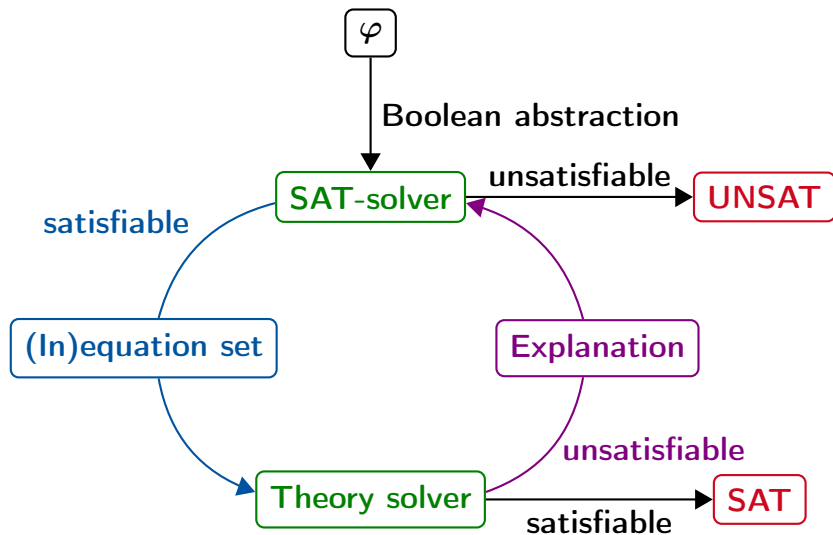
$DL2 : a_5 : 0, a_6 : 1$

Bcp



Solution found for the Boolean abstraction.

Full lazy SMT solving



Theory solving

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$ $DL1 : a_1 : 0, a_2 : 1$

$DL2 : a_5 : 0, a_6 : 1$

True theory constraints: $a_4, a_7, a_8, a_9, a_2, a_6$

$$\underbrace{(p_1 = 0 \vee p_2 = 0 \vee p_3 = 0)}_{a_1} \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge$$
$$\underbrace{(p_1 \geq 5 \vee p_2 \geq 5)}_{a_5} \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge$$
$$\underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9} \wedge (\neg a_3 \vee \neg a_7)$$

Encoding:

$$a_4 : p_1 + p_2 + p_3 \geq 100 \quad a_7 : p_3 \geq 10 \quad a_8 : p_1 + 2p_2 + 5p_3 \leq 180$$
$$a_9 : 3p_1 + 2p_2 + p_3 \leq 300 \quad a_2 : p_2 = 0 \quad a_6 : p_2 \geq 5$$

conflict

Is the conjunction of the following constraints satisfiable?

$$a_4 : p_1 + p_2 + p_3 \geq 100$$

$$a_7 : p_3 \geq 10$$

$$a_8 : p_1 + 2p_2 + 5p_3 \leq 180$$

$$a_9 : 3p_1 + 2p_2 + p_3 \leq 300$$

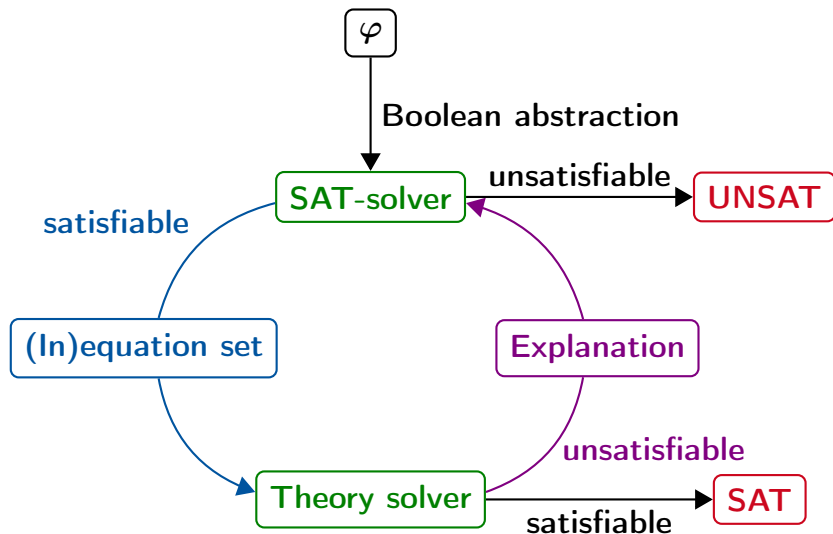
$$a_2 : p_2 = 0$$

$$a_6 : p_2 \geq 5$$

No.

Reason: $\underbrace{p_2 = 0}_{a_2} \wedge \underbrace{p_2 \geq 5}_{a_6}$ are conflicting.

Full lazy SMT solving



Add clause $(\neg a_2 \vee \neg a_6)$.

$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7) \wedge$$

$$(\neg a_2 \vee \neg a_6)$$

$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

$DL1 : a_1 : 0, a_2 : 1$

$DL2 : a_5 : 0, a_6 : 1$

第2高决策层

Conflict resolution is simple, since the new clause is already an asserting one.

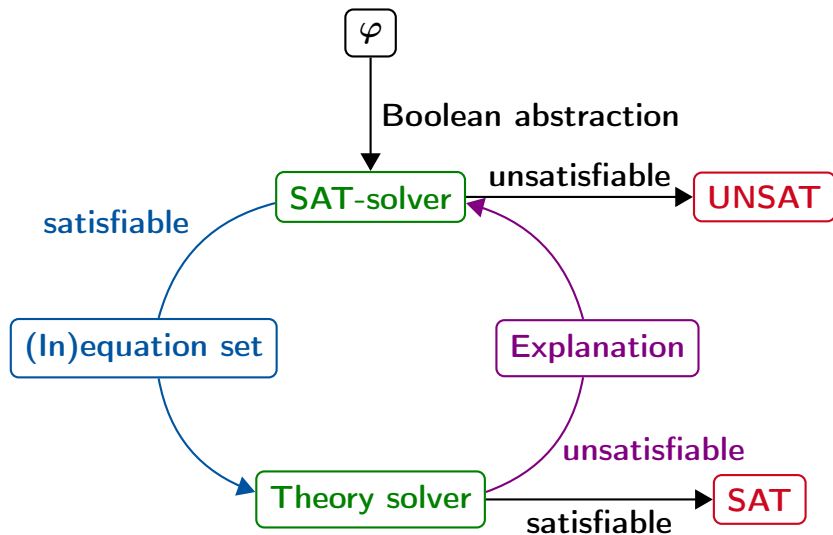
$$(a_1 \vee a_2 \vee a_3) \wedge a_4 \wedge (a_5 \vee a_6) \wedge a_7 \wedge a_8 \wedge a_9 \wedge (\neg a_3 \vee \neg a_7) \wedge (\neg a_2 \vee \neg a_6)$$

DL0 : $a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$

DL1 : $a_1 : 0, a_2 : 1, a_6 : 0, a_5 : 1$

Solution found for the Boolean abstraction.

Full lazy SMT solving



$DL0 : a_4 : 1, a_7 : 1, a_8 : 1, a_9 : 1, a_3 : 0$ $DL1 : a_1 : 0, a_2 : 1, a_6 : 0, a_5 : 1$

True theory constraints: $a_4, a_7, a_8, a_9, a_2, a_5$

$$\begin{aligned} & \underbrace{(p_1 = 0 \vee p_2 = 0 \vee p_3 = 0)}_{a_1} \wedge \underbrace{p_1 + p_2 + p_3 \geq 100}_{a_4} \wedge \\ & \underbrace{(p_1 \geq 5 \vee p_2 \geq 5)}_{a_5} \wedge \underbrace{p_3 \geq 10}_{a_7} \wedge \underbrace{p_1 + 2p_2 + 5p_3 \leq 180}_{a_8} \wedge \\ & \underbrace{3p_1 + 2p_2 + p_3 \leq 300}_{a_9} \wedge (\neg a_3 \vee \neg a_7) \wedge (\neg a_2 \vee \neg a_6) \end{aligned}$$

Encoding:

$$\begin{array}{lll} a_4 : p_1 + p_2 + p_3 \geq 100 & a_7 : p_3 \geq 10 & a_8 : p_1 + 2p_2 + 5p_3 \leq 180 \\ a_9 : 3p_1 + 2p_2 + p_3 \leq 300 & a_2 : p_2 = 0 & a_5 : p_1 \geq 5 \end{array}$$

Is the conjunction of the following constraints satisfiable?

$$a_4 : p_1 + p_2 + p_3 \geq 100$$

$$a_7 : p_3 \geq 10$$

$$a_8 : p_1 + 2p_2 + 5p_3 \leq 180$$

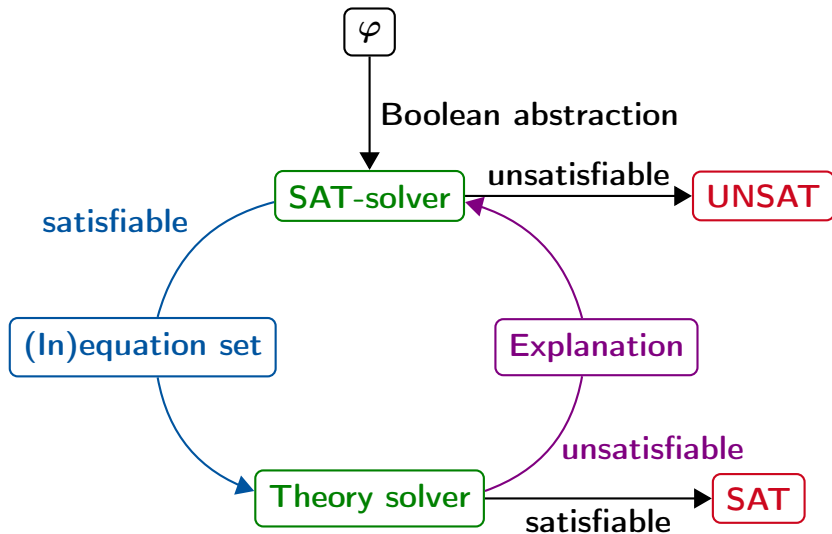
$$a_9 : 3p_1 + 2p_2 + p_3 \leq 300$$

$$a_2 : p_2 = 0$$

$$a_5 : p_1 \geq 5$$

Yes. E.g., $p_1 = 90$, $p_2 = 0$, $p_3 = 10$ is a solution.

Full lazy SMT solving



Full lazy SMT solving

Input: Quantifier-free FO logic formula φ over some theories in CNF

without any negation

Output: Satisfiability of the input formula

Some notations we use:

- Let C be the set of all theory constraints in φ .
- Let $P = \{p_c | c \in C\}$ be a set of fresh atomic propositions (fresh means not appearing in φ).
- Let $\mu: C \rightarrow P$ be the bijective function with $\mu(c) = p_c$ and $\mu^{-1}(p_c) = c$.
- We define the Boolean abstraction (or Boolean skeleton) $\mu(\varphi)$ of φ under μ to be the propositional logic formula we get by replacing each theory constraint c in φ by $\mu(c)$.

$$\mu(\varphi_1 \vee \varphi_2) = \mu(\varphi_1) \vee \mu(\varphi_2)$$

$$\mu(\varphi_1 \wedge \varphi_2) = \mu(\varphi_1) \wedge \mu(\varphi_2)$$

Full lazy SMT solving

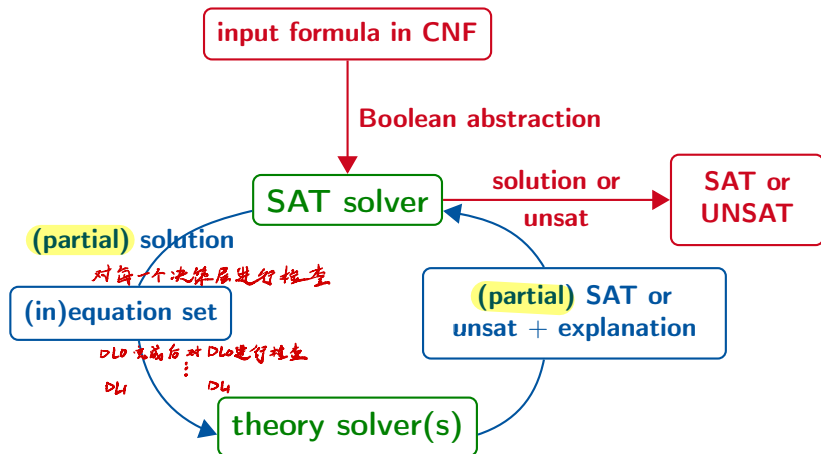
Input: Quantifier-free FO logic formula φ over some theories in CNF

without any negation

Output: Satisfiability of the input formula

- 1 Build the **Boolean skeleton** $\varphi_{abs} := \mu(\varphi)$ (see previous page).
- 2 Search for a solution for φ_{abs} (using SAT solving).
- 3 If there is no solution for φ_{abs} then the input formula φ is unsatisfiable.
- 4 Otherwise, given a solution $\alpha : P \rightarrow \{0, 1\}$ for φ_{abs} , check the **set of all true theory constraints** $C_\mu := \{c \in C \mid \alpha(\mu(c)) = 1\}$ for consistency.
- 5 If they are **consistent** then the input formula φ is **satisfiable**.
- 6 Otherwise, compute an **explanation** for the inconsistency in form of a CNF formula with constraints from C implying that the constraints in C_μ cannot be all satisfied by the same assignment.
- 7 **Learn** the **Boolean abstraction** E of the theory lemma by setting $\varphi_{abs} := \varphi_{abs} \wedge E$.
- 8 Apply **conflict resolution** if the learnt clause is not asserting.
- 9 Goto 2.

Less lazy SMT solving



Requirements on the theory solver

- 1 **Incrementality:** In **less lazy solving** we extend the set of constraints. The solver should **make use of the previous satisfiability check** for the check of the extended set.
- 2 **(Preferably minimal) infeasible subsets:** Compute a reason for **unsatisfaction**
- 3 **Backtracking:** The theory solver should be able to **remove constraints in inverse chronological order**.

Bonus exercise 16

Assume the following set of constraints over real-valued variables:

$$C = \{x - y \geq 0, x \cdot y > 0, x^2 + 1 = 0, x + y > 0, x \cdot y < 0\}$$

Which of the following are (not necessarily minimal) infeasible subsets of C ?

Multiple choice: please select all true cases!

- 1 $\{x \cdot y > 0\}$
- 2 $\{x \cdot y > 0, x + y > 0\}$
- 3 $\{x \cdot y > 0, x + y > 0, x \cdot y < 0\}$
- 4 $\{x^2 + 1 = 0\}$
- 5 $\{x \cdot y > 0, x^2 + 1 = 0\}$

More involved SMT structures

- This approach strictly divides between logical (Boolean) structure and theory constraints.
- There are other approaches, which do not divide Boolean and theory solving so strictly.
- One idea: Propagate in the SAT solver bounds on theory variables.

- How does lazy SMT solving work?
- What are incrementality, explanations and backtracking in the context of lazy SMT solving?