# Satisfiability Checking 07 First-order logic

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## First-order logic

- We have seen that natural languages are not well-suited for correct reasoning.
- Propositional logic is useful but sometimes not expressive enough for modeling.
- First-order (FO) logic is a framework with the syntactical ingredients:
  - 1 Theory symbols: constants, variables, function symbols
  - 2 Lifting from theory to the logical level: predicate symbols
  - 3 Logical symbols: Logical connectives and quantifiers
  - 3 is fixed

- 一阶逻辑和命题逻辑的不同之处在于,
- 一阶逻辑包含量词。
- Fixing 1 and 2 gives different FO instances
- 一阶逻辑不同于单纯的"命题逻辑"(Proposition Logic),因为,一阶逻辑里面使用了大量quantifier. **∃**"和"▼"就是一阶逻辑的"限量词"(Quantifier)

# Constants, variables, function symbols, terms

#### Theory symbols constants, variables, function symbols

#### Example:

- Constants: 0, 1
- Variables: x, y, z, ...Function symbol binary +
- Terms (theory expressions) are inductively defined by the following rules:
  - 1 All constants and variables are terms.
  - 2 If  $t_1, \ldots, t_n$  (n > 0) are terms and f an n-ary function symbol then  $f(t_1, \ldots, t_n)$  is a term. constant和variable是terms, 由他们构成的函数f(c/v)还是terms
- Only strings obtained by finitely many applications of these rules are terms.

Example terms: 0, 
$$x$$
,  $+(0,1)$ ,  $+(x,1)$ ,  $+(x,+(y,1))$   $(0+1)$ ,  $(x+1)$ ,  $(x+(y+1))$ 

### Predicates, constraints

Predicates lift terms from the theory to the logical level.

Example predicate symbols: binary  $\geq$ , >, =, <,  $\leq$  comparable

(Theory) constraints are inductively defined by the following rule:

If P is an n-ary predicate symbol and  $t_1, \ldots, t_n$  are terms then  $P(t_1,\ldots,t_n)$  is a constraint.

Only strings obtained by finitely many applications of this rule are constraints.

Example constraints: x < (x+1), ((x+1)+y) = ((x+y)+1)

## Logical connectives and quantifiers, formulas

first order logic:对于第一支重,不是变量的set

- Logical connectives: unary  $\neg$ , binary  $\land$ ,  $\lor$ ,  $\rightarrow$ ,  $\leftrightarrow$ , ...
- Universal quantifier ("for all"), existential quantifier ("exists")

(Well-formed) formulas are inductively defined by the following rules:

- If c is a constraint then c is a formula (called atomic formula).
- 2 If  $\varphi$  is a formula then  $(\neg \varphi)$  is a formula.
- If  $\varphi$  and  $\psi$  are formulas then  $(\varphi \wedge \psi)$  is a formula.
- 4 Similar rules apply to other binary logical connectives.
- 5 If  $\varphi$  is a formula and x is a variable, then  $(\forall x. \varphi)$  and  $(\exists x. \varphi)$  are formulas.

Only expressions which can be obtained by finitely many applications of these rules are formulas.

#### Example formulas:

- x < (x+1) (atomic formula)
- $(\neg x < 0)$
- $(x < (x+1) \land ((x+1)+y) = ((x+y)+1))$

Assume the argumentation:

- All men are mortal.
- Socrates is a man.
- 3 Therefore. Socrates is mortal.

We can formalize it by defining

Socrates Constants:

Variables:

Predicate symbols: unary isMen, isMortal

#### Formalization:

- 1  $\forall x. isMen(x) \rightarrow isMortal(x)$
- 2 isMen(Socrates)
- 3 isMortal(Socrates)

#### Some remarks and notation

- Constants can also be seen as function symbols of arity 0.
- Sometimes equality (=) is included as a logical symbol.
- Note: the logical connectives negation  $(\neg)$  and conjunction  $(\land)$  and the existential quantifier  $(\exists)$  would be sufficient, the remaining syntax  $(\lor, \rightarrow, \leftrightarrow, \dots, \forall)$  are syntactic sugar.

We omit parentheses whenever we may restore them through operator precedence (with left-to-right binding for several occurrences of the same operator):

binds stronger 
$$\overset{\mbox{\scriptsize $4$}}{\leftarrow}$$
  $\overset{\mbox{\scriptsize $4$}}{\sim}$   $\wedge$   $\vee$   $\rightarrow$   $\leftrightarrow$   $\exists$   $\forall$ 

Thus, we write:

$$\neg \neg a$$
 for  $(\neg (\neg a))$ ,  $\exists a. \exists b. (a \land b \rightarrow P(a, b))$  for  $\exists a. \exists b. ((a \land b) \rightarrow P(a, b))$ 

#### Free and bound variable occurrences

A variable occurrence in a formula  $\varphi$  is an occurrence of a variable in an atomic sub-formula (constraint) of  $\varphi$ .

Each variable occurence in a formula is either bound or free, defined inductively by the following rules:

- Any occurrence of any variable in any atomic formula is free.
- A variable occurrence in  $\varphi$  is free in  $(\neg \varphi)$  iff it is free in  $\varphi$ .
- A variable occurrence in  $\varphi$  is free in  $(\varphi \wedge \psi)$  iff it is free in  $\varphi$ , and analogously for the symmetric case and all other binary Boolean connectives.
- An occurrence of a variable x in  $\varphi$  is free in  $(\exists y. \varphi)$  iff x is free in  $\varphi$  and x is a symbol different from y.
- An analogous rule holds with  $\forall$  in place of  $\exists$ .
- A variable occurrence is bound iff it is not free.

#### Free and bound variable occurrences

#### Examples:

In

$$P(z) \lor \forall x. (P(x) \rightarrow Q(z))$$

z is occurs free in P(z) and in Q(z), whereas x occurs bound in P(x).

In

$$Q(z) \vee \forall z.P(z)$$

the first occurrence of z is free whereas its second occurrence is bound.

## Signature $\Sigma$ , $\Sigma$ -formula, $\Sigma$ -sentence

The non-logical symbols 包含predicates and individual constants. These include symbols that, in an interpretation, may stand for individual constants, variables, functions, or predicates.

- A signature fixes the set of non-logical symbols (up to variables).
- $\blacksquare$  A Σ-formula is a formula with non-logical symbols from Σ.
- $\blacksquare$  A  $\Sigma$ -sentence is a  $\Sigma$ -formula without free variable occurrences.

In the previous example:  $\Sigma = (Socrates, isMen(\cdot), isMortal(\cdot))$  with

- Socrates a constant and
- *isMen* and *isMortal* unary predicate symbols.

类似的 logical symbols包含truth-functional connectives (such as "and", "or", "not", "implies", and logical equivalence) and the symbols for the quantifiers "for all" and "there exists".

- 1  $\forall x$ .  $isMen(x) \rightarrow isMortal(x)$
- 2 isMen(Socrates)
- 3 isMortal(Socrates)

are  $\Sigma$ -sentences (all occurrences of the only variable x are bound).

#### Exercise A

Assume the following signature  $\Sigma$ :

- 0 and 2 are constants:
- x, y, z are variables;
- \* is a binary function;
- > and = are binary predicates.

How many occurrences of x are free in the following  $\Sigma$ -formula?

$$\mathbf{x} = \boxed{0} \lor \boxed{\forall y. \ (\mathbf{x} > (2 * \mathbf{x}))} \lor \boxed{\forall x. \ \neg((x = y) \rightarrow (\forall x. \ x > z)))}$$

- **0**
- **2**
- **3**
- **4**
- **5**

# Further examples

- $\Sigma = \{0, 1, +, >\}$ 
  - 0,1 are constant symbols
  - + is a binary function symbol
  - > is a binary predicate symbol
- Examples of  $\Sigma$ -sentences:

$$\exists x. \ \forall y. \ x > y$$

$$\forall x. \exists y. x > y$$

$$\forall x. \ x+1>x$$

$$\forall x. \ \neg(x+0>x\lor x>x+0)$$

## Further examples

- $\Sigma = \{0, 1, +, *, <, isPrime\}$ 
  - 0,1 constant symbols
  - +, \* binary function symbols

  - *isPrime* unary predicate symbol
- An example Σ-sentence:

$$\forall n. \ (1 < n \rightarrow (\exists p. \ \textit{isPrime}(p) \land n < p \land p < 2 * n))$$

## Further examples

- Let  $\Sigma = \{0, 1, +, =\}$  where 0, 1 are constants, + is a binary function symbol and = a binary predicate symbol.
- Let  $\varphi = \exists x. \ x + 0 = 1$  a  $\Sigma$ -formula.
- $\mathbb{Q}$ : Is  $\varphi$  true?
- A: So far these are only symbols, strings. No meaning yet.
- Q: What do we need to fix for the semantics?
- A: We need a domain for the variables. Let's say  $\mathbb{N}_0$ .
- **Q**: Is  $\varphi$  true in  $\mathbb{N}_0$ ?
- A: Depends on the interpretation of '+' and '='!

Assume a signature  $\Sigma$  consisting of theory constants a and b, unary Assume a signature 2 consisting or theory constants a and p, the signature of the signature Which of the following are (well-formed) \(\Sigma\)-formulas? (Multiple choice: please select all correct cases.) (\forall x. \(\beta x. \(p(a)\)) binary 海军z4 arg

(一(∀x.p(x))))

(一(∀x.p(x)))) f(p(y(q)), p(y(q))) $\blacksquare (\forall x. (\exists x. p(a)))$ q(y, f(y))

#### Structures

- A Σ-structure is given by:
  - a domain D,
  - $\blacksquare$  an interpretation I of the non-logical symbols in  $\Sigma$  that maps
    - each constant symbol to a domain element,
    - **each function symbol** of arity n to a function of type  $D^n \to D$ , and
    - lacksquare each predicate symbol of arity n to a predicate of type  $D^n o \{0,1\}.$
- To give meaning to formulas with free variable occurrences, we also need an assignment  $\alpha$  that maps each variable (with a free occurrence) to a domain element.

#### Semantics

To give semantics to a logical system means to define a notion of truth for the formulas.

Semantics of terms and formulas under a structure S = (D, I) and an Interpretation = I(c)assignment  $\alpha$ :

constants: 
$$[c]_{S,\alpha} = I(c)$$

variables: 
$$[x]_{S,\alpha} = \alpha(x)$$

functions: 
$$\llbracket f(t_1,\ldots,t_n) \rrbracket_{S,\alpha} = I(f)(\llbracket t_1 \rrbracket_{S,\alpha},\ldots,\llbracket t_n \rrbracket_{S,\alpha})$$

predicates: 
$$S, \alpha \models p(t_1, \dots, t_n)$$
 iff  $I(p)(\llbracket t_1 \rrbracket_{S,\alpha}, \dots, \llbracket t_n \rrbracket_{S,\alpha})$ 

logical structure:

$$S, \alpha \models \neg \varphi$$
 iff  $S, \alpha \not\models \varphi$ 

$$S, \alpha \models \varphi \land \psi$$
 iff  $S, \alpha \models \varphi$  and  $S, \alpha \models \psi$ 

$$S, \alpha \models \exists x. \ \varphi$$
 iff there exists  $v \in D$  such that  $S, \alpha[x \mapsto v] \models \varphi$ 

# Satisfiability, validity

 $\blacksquare$  A  $\Sigma$ -formula  $\varphi$  is satisfiable if there exist a  $\Sigma$ -structure S and an assignment  $\alpha$  that satisfy it.

Notation:  $S, \alpha \models \varphi$ . For  $\Sigma$ -sentences we also write  $S \models \varphi$ .

 $\blacksquare$  A  $\Sigma$ -formula  $\varphi$  is valid if it is satisfied by all  $\Sigma$ -structures and all assignments.

Notation:  $\varphi$ .

17 / 37

$$\Sigma = \{0, 1, +, =\}$$

$$\varphi = \exists x. \ x + 0 = 1 \ a \ \Sigma$$
-formula

- **Q**: Is  $\varphi$  satisfiable?
- A: Yes. Consider the structure *S*:
  - Domain:  $\mathbb{N}_0$
  - Interpretation:
    - lacksquare 0 and 1 are mapped to 0 and 1 in  $\mathbb{N}_0$
    - + means addition
    - means equality

S satisfies  $\varphi$ . S is said to be a model of  $\varphi$ .

$$\Sigma = \{0, 1, +, =\}$$

$$\varphi = \exists x. \ x + 0 = 1 \ a \ \Sigma$$
-formula

- Q: Is φ valid?
- A: No. Consider the structure S':
  - Domain:  $\mathbb{N}_0$
  - Interpretation:
    - lacksquare 0 and 1 are mapped to 0 and 1 in  $\mathbb{N}_0$
    - + means multiplication
    - means equality

S' does not satisfy  $\varphi$ .

# Theories T, T-safisfiability and T-validity

- A  $\Sigma$ -theory T is defined by a set of  $\Sigma$ -sentences.
- A Σ-formula  $\varphi$  is T-satisfiable if there exists a structure that satisfies both the sentences of T and  $\varphi$ .
- A Σ-formula  $\varphi$  is T-valid if all structures that satisfy the sentences defining T also satisfy  $\varphi$ .
- The number of sentences that are necessary for defining a theory may be large or infinite.
- Instead, it is common to define a theory through a set of axioms.
- The theory is defined by these axioms and everything that can be inferred from them by a sound inference system.

- $\Sigma = \{0, 1, +, =\}$
- $\varphi = \exists x. \ x + 0 = 1 \ \text{a} \ \Sigma$ -formula.
- We now define the  $\Sigma$ -theory T by the following axioms:
  - 1  $\forall x. \ x = x$  //= must be reflexive
  - 2  $\forall x. \ \forall y. \ x + y = y + x$  //+ must be commutative
- **Q**: Is  $\varphi$  *T*-satisfiable?
- A: Yes, S is a model.
- Q: Is φ T-valid?
- A: No. S' satisfies the sentences in T but not  $\varphi$ .

- $\Sigma = \{0, 1, +, =\}$
- $\varphi = \exists x. \ x + 0 = 1 \text{ a } \Sigma\text{-formula}.$
- We now define the  $\Sigma$ -theory T by the following axioms:
  - 1  $\forall x. \ x = x$  (= is reflexive)
  - 2  $\forall x, y, z$ .  $((x = y \land y = z) \rightarrow x = z)$  = is transitive)
  - 3  $\forall x. \ \forall y. \ x + y = y + x$  (+ is commutative)
  - $\forall x. \ 0 + x = x \quad (0 \text{ is neutral element for } +)$
- **Q**: Is  $\varphi$  *T*-satisfiable?
- $\blacksquare$  A: Yes, S is a model.
- Q: Is φ T-valid?
- A: Yes. (S' does not satisfy the fourth axiom. 3, 4  $\rightarrow \varphi$ .)

- $\Sigma = \{=\}$
- $\varphi = (x = y \land y \neq z) \rightarrow x \neq z$  a  $\Sigma$ -formula
- We now define the  $\Sigma$ -theory T by the following axioms:
  - 1  $\forall x. \ x = x \ (reflexivity)$
  - 2  $\forall x. \ \forall y. \ x = y \rightarrow y = x \ (symmetry)$
  - 3  $\forall x. \ \forall y. \ \forall z. \ x = y \land y = z \rightarrow x = z$  (transitivity)
- $\blacksquare$  Q: Is  $\varphi$  T-satisfiable?
- A: Yes.
- Q: Is φ T-valid?
- **A**: Yes. Every structure that satisfies T also satisfies  $\varphi$ .

- $\Sigma = \{<\}$
- $\blacksquare \varphi : \forall x. \; \exists y. \; y < x \; a \; \Sigma$ -formula
- Consider the  $\Sigma$ -theory T defined by the axioms:
  - 1  $\forall x. \ \forall y. \ \forall z. \ (x < y \land y < z) \rightarrow x < z \ (transitivity)$
  - 2  $\forall x. \ \forall y. \ x < y \rightarrow \neg (y < x)$  (anti-symmetry)
- $\blacksquare$  Q: Is  $\varphi$  T-satisfiable?
- A: Yes. We construct a model for it:
  - Domain: ℤ
  - < means "less than"</p>
- Q: Is φ T-valid?
- A: No. We construct a structure to the contrary:
  - Domain: No 空集
  - < means "less than"</p>

Bonus exercise 10

Signature  $\Sigma$  with variables x and y and a binary predicate  $\sim$ .

All Σ-formula  $\varphi$ :  $\exists x. \exists y. x \sim y \land y \sim x$ 

Which of the following structures satisfy  $\varphi$ ? (Multiple choice: please select all models.)

lacksquare Domain is  $\mathbb{Z}_{ au}\sim$  means equal

lacksquare Domain is  $\mathbb{Z}$ ,  $\sim$  means not equal

lacksquare Domain is  $\mathbb{Z}, \sim$  means less than

lacksquare Domain is  $\mathbb{R}_{\sim}$  means less than lacksquare Domain is  $\mathbb{Q}, \sim$  means less than or equal

N:非色整数

Z: 整数

Q酒理數

R: 巫数

Let  $\Sigma$  be a signature with variables a and b and a binary predicate  $\sim$ .

Assume the  $\Sigma$ -formula  $\varphi$ :  $\forall a$ .  $\exists b$ .  $(a \sim b \rightarrow b \sim a)$ .

Which of the following structures satisfy  $\varphi ?$  (Multiple choice: please select all models.)

#### Select one or more:

V Domain is Q, ~ means greater than か平旋目充成; ∃a, Vb. (a~b→b~a)

 $\checkmark$  Domain is  $\mathbb{N}$ ,  $\sim$  means greater than or equal

 $\checkmark$  Domain is  $\mathbb{Z}$ ,  $\sim$  means less than or equal  $\checkmark$ 

ヨ…V… 和V… ヨ... 不-样![(

None of the above.

The correct answers are: Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than, Domain is  $\mathbb{N}$ ,  $\sim$  means greater than or equal, Domain is  $\mathbb{Z}$ ,  $\sim$  means less than or equal

Let  $\Sigma$  be a signature with variables a and b and a binary predicate  $\sim$  .

Assume the  $\Sigma$ -formula  $\varphi$ :  $\exists a. \ \forall b. \ (a \sim b \lor b \sim a)$ .

Which of the following structures satisfy  $\varphi$ ? (Multiple choice: please select all models.)

Wählen Sie eine oder mehrere Antworten:

- $\square$  Domain is  $\mathbb{Z}$ ,  $\sim$  means less than or equal
- $\square$  Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than or equal
- $\square$  Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than or equal
- 🛮 None of the above. 🗶

Die richtigen Antworten sind: Domain is  $\mathbb{Z}$ ,  $\sim$  means less than or equal, Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than or equal, Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than or equal

Let  $\Sigma$  be a signature with variables u and v and a binary predicate  $\sim$ .

Assume the  $\Sigma$ -formula  $\varphi$ :

$$\exists u. \ \forall v. \ (u \sim v \rightarrow v \sim u).$$

Which of the following structures satisfy  $\varphi$ ? (Multiple choice: please select all models.)

# Select one or more:

- $\square$  Domain is  $\mathbb{N}, \sim$  means greater than or equal
- $\square$  Domain is  $\mathbb{N}$ ,  $\sim$  means less than or equal
- Domain is  $\mathbb{Z}$ ,  $\sim$  means equal  $\checkmark$
- None of the above.

The correct answers are: Domain is  $\mathbb{N}$ ,  $\sim$  means greater than or equal, Domain is  $\mathbb{Z}$ ,  $\sim$  means equal

Let  $\Sigma$  be a signature with variables a and b and a binary predicate  $\sim$ .

Assume the  $\Sigma$ -formula  $\varphi$ :

$$\forall a. \ \forall b. \ (a \sim b \rightarrow b \sim a).$$

Which of the following structures satisfy  $\varphi$ ? (Multiple choice: please select all models.)

Wählen Sie eine oder mehrere Antworten:

- lacksquare Domain is  $\mathbb Q$ ,  $\sim$  means equal lacksquare
- $\square$  Domain is  $\mathbb{N}$ ,  $\sim$  means less than
- lacksquare Domain is  $\mathbb{N}$ ,  $\sim$  means equal  $\checkmark$
- None of the above.

Die richtigen Antworten sind: Domain is  $\mathbb{Q}$ ,  $\sim$  means equal, Domain is  $\mathbb{N}$ ,  $\sim$  means equal

#### Bonus test 10

Started on Friday, 3 November 2023, 9:48 AM

State Finished

Completed on Friday, 3 November 2023, 9:54 AM

Time taken 5 mins 2 secs

Grade 0.33 out of 0.33 (100%)

# Question 1

Mark 0.33 out

Flag question

Let  $\Sigma$  be a signature with variables u and v and a binary predicate  $\sim$  .

Assume the  $\Sigma$ -formula  $\varphi$ :  $\forall u$ .  $\forall v$ .  $(u \sim v \rightarrow v \sim u)$ .

Which of the following structures satisfy  $\varphi$ ? (Multiple choice: please select all models.)

Select one or more:

Domain is  $\mathbb{R}$ ,  $\sim$  means less than

 $\square$  Domain is  $\mathbb{Q}$ ,  $\sim$  means less than or equal

Domain is  $\mathbb{Q}$  ,  $\sim$  means less than or equal

✓ None of the above. ✓

The correct answer is: None of the above.

#### Some famous theories

We assume in the following that the interpretation of symbols is fixed to their common use.

■ Thus + is plus, . . .

#### Some famous theories:

- Presburger arithmetic:  $\Sigma = \{0, 1, +, >\}$  over integers
- Peano arithmetic:  $\Sigma = \{0, 1, +, *, >\}$  over integers
- Linear real arithmetic:  $\Sigma = \{0, 1, +, >\}$  over reals
- Real arithmetic:  $\Sigma = \{0, 1, +, *, >\}$  over reals
- Theory of arrays
- Theory of pointers
- . . .

- So far we only restricted the non-logical symbols by signatures and their interpretation by theories.
- Sometimes we want to restrict the grammar and the logical symbols that we can use as well.
- These are called logic fragments.
- Examples:
  - The quantifier-free fragment over  $\Sigma = \{0, 1, +, =\}$
  - $\blacksquare$  The conjunctive fragment over  $\Sigma = \{0,1,+,=\}$

- Q: Which FO theory is propositional logic?
- A: The quantifier-free fragment of the FO theory with signature  $\Sigma = \{x_1, x_2, \dots, identity\}$  with variables  $x_1, x_2, \dots$ , the unary *identity* predicate (which we skip in the syntax), and without axioms.

Example:  $x_1 \rightarrow (x_2 \lor x_3)$ Thus, propositional logic is also a first-order theory. (A very degenerate one.)

- Q: What if we allow quantifiers?
- A: We get the theory of quantified boolean formulas (QBF). Example:
  - $\blacksquare \forall x_1. \exists x_2. \forall x_3. x_1 \rightarrow (x_2 \lor x_3)$

It is common to present logic fragments via an abstract grammar rather than restrictions on the generic first-order grammar.

Example: Equality logic

■ Grammar:

```
formula ::= atom | formula \wedge formula | \neg formula
atom ::= Boolean-variable | variable = variable | variable = constant | constant = constant
```

■ Interpretation: = is equality.

#### Exampe: 2-CNF

Grammar:

```
formula ::= (literal ∨ literal) | formula ∧ formula
literal ::= Boolean-variable | ¬Boolean-variable
```

■ Example formula:

$$(x_1 \vee \neg x_2) \wedge (\neg x_3 \vee x_2)$$

## Expressivity

- Consider the propositional logic formula  $\varphi = (x_1 \lor x_2 \lor x_3)$ .
- Q: Can we express this in 2-CNF, i.e., can we define a 2-CNF formula that is satisfied by the same assignments?
- A: No.
- Proof:
  - The language accepted by  $\varphi$  has 7 words: all assignments other than  $x_1 = x_2 = x_3 = 0$  (false).
  - A 2-CNF clause removes 2 assignments, which leaves us with 6 accepted words.
    - E.g.,  $(x_1 \lor x_2)$  removes the assignments  $x_1 = x_2 = x_3 = 0$  and  $x_1 = x_2 = 0$ ,  $x_3 = 1$ .
  - Additional clauses only remove more assignments.
- We say that propositional logic is more expressive than 2-CNF.
- Notation:  $\mathcal{L}_1 \prec \mathcal{L}_2$  means that  $\mathcal{L}_2$  is more expressive than  $\mathcal{L}_1$ .
- Generally there is only a partial order between theories.

#### The tradeoff

- So we see that theories can have different expressive power.
- The more expressive the logic the harder it might be to decide the satisfiability/validity of formulas; thus sometimes we aim at less expressiveness that is decidable at lower costs.
- Perhaps it is a bit counterintuitive, but adding restrictions to a theory in form of further axioms may make the theory harder to decide or even turn the satisfiability problem to be undecidable.

## Example: First-order Peano arithmetic

- $\Sigma = \{0, 1, +, *, =\}$
- Domain: Natural numbers
- Axioms ("semantics"):
  - 1  $\forall x. (x \neq x + 1)$
  - $\forall x. \ \forall y. \ (x \neq y) \rightarrow (x+1 \neq y+1)$
  - 3 Induction
  - 4  $\forall x. \ x + 0 = x$
  - 5  $\forall x. \ \forall y: (x+y)+1=x+(y+1)$
  - 6  $\forall x. \ x * 0 = 0$
  - 7  $\forall x. \ \forall y. \ x * (y+1) = x * y + x$

#### **UNDECIDABLE!**

# Reduction: Peano arithmetic to Presburger arithmetic

- $\Sigma = \{0, 1, +, */=\}$
- Domain: Natural numbers
- Axioms ("semantics"):
  - 1  $\forall x. (x \neq x + 1)$
  - 2  $\forall x. \ \forall y. \ (x \neq y) \rightarrow (x+1 \neq y+1)$
  - 3 Induction
  - 4  $\forall x. \ x + 0 = x$
  - 5  $\forall x. \ \forall y. \ (x+y)+1=x+(y+1)$
  - 6  $\forall x \cdot x * 0 = 0$
  - 7  $\forall x. \ \forall y. \ x*(y+1)=x*y+x$

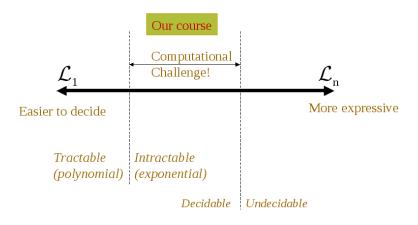
#### **DECIDABLE!**

# Expressivity and complexity

- Q1: Let \(\mathcal{L}\_1\) and \(\mathcal{L}\_2\) be two theories whose satisfiability problem is decidable and in the same complexity class. Is the satisfiability problem of an \(\mathcal{L}\_1\) formula reducible to a satisfiability problem of an \(\mathcal{L}\_2\) formula?
  A1: Yes, reduction with the given complexity is possible.
- Q2: Let L<sub>1</sub> and L<sub>2</sub> be two theories whose satisfiability problems are reducible to each other. Are L<sub>1</sub> and L<sub>2</sub> in the same complexity class?
   A2: It depends on the complexity of the reduction.

## Tradeoff: Expressivity vs. computational hardness

- Expressible enough to state something interesting.
- Decidable (or semi-decidable) and more efficiently solvable than richer theories.



In this lecture we assume  $P \neq NP$ .

35 / 37

#### Exercise B

Which of the following statements are true?

Multiple choice: Please select all true statements.

- Propositional logic is a fragment of 2-CNF.
- 2-CNF is less expressive than propositional logic.
- There exists a signature  $\Sigma$  and a  $\Sigma$ -theory T such that no  $\Sigma$ -formulas are T-satisfiable.
- There exist undecidable FO theories.

## Learning target

- What is first-order (FO) logic?
- How is the semantics of FO logic formulas defined by structures?
- When is a  $\Sigma$ -formula satisfiable resp. valid?
- What is a Σ-theory T?
  When are Σ-formulas T-satisfiable resp. T-valid?
- What is a logic fragment?
- What does it mean that one theory or logic fragment is more expressive than another one?