

# Satisfiability Checking

## 07 First-order logic

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- We have seen that natural languages are not well-suited for correct reasoning.
- Propositional logic is useful but sometimes not expressive enough for modeling.

First-order (FO) logic is a framework with the syntactical ingredients:

- 1 Theory symbols: constants, variables, function symbols
- 2 Lifting from theory to the logical level: predicate symbols
- 3 Logical symbols: Logical connectives and quantifiers

- 3 is fixed

一阶逻辑和命题逻辑的不同之处在于，  
一阶逻辑包含量词。

- Fixing 1 and 2 gives different FO instances

一阶逻辑不同于单纯的“命题逻辑” (Proposition Logic)，因为，一阶逻辑里面使用了大量 quantifier.  $\exists$  “和”  $\forall$  “就是一阶逻辑的” 限量词“ (Quantifier)

# Constants, variables, function symbols, terms

Theory symbols: constants, variables, function symbols

Example:

Constants:  $0, 1$

Variables:  $x, y, z, \dots$

Function symbol: binary  $+$

**Terms** (theory expressions) are inductively defined by the following rules:

- 1 All **constants** and **variables** are **terms**.
- 2 If  $t_1, \dots, t_n$  ( $n > 0$ ) are **terms** and  $f$  an  $n$ -ary **function** symbol then  $f(t_1, \dots, t_n)$  is a **term**.  
constant和variable是terms, 由他们构成的函数 $f(c/v)$ 还是terms

Only strings obtained by finitely many applications of these rules are **terms**.

Example terms:  $0, x, +(0, 1), +(x, 1), +(x, +(y, 1)) (0 + 1),$   
 $(x + 1), (x + (y + 1))$

# Predicates, constraints

**Predicates** lift **terms** from the **theory** to the **logical** level.

Example predicate symbols: **binary**  $\geq, >, =, <, \leq$  *comparable*

(**Theory**) **constraints** are inductively defined by the following rule:

- 1 If  **$P$**  is an  $n$ -ary **predicate symbol** and  $t_1, \dots, t_n$  are **terms** then  **$P(t_1, \dots, t_n)$**  is a **constraint**.

Only **strings** obtained by **finitely** many applications of this rule are **constraints**.

Example constraints:  $x < (x + 1)$ ,  $((x + 1) + y) = ((x + y) + 1)$

# Logical connectives and quantifiers, formulas

*first order logic: 对于单一变量, 不是变量的 set*

- Logical connectives: unary  $\neg$ , binary  $\wedge, \vee, \rightarrow, \leftrightarrow, \dots$
- Universal quantifier  $\forall$  ("for all"), existential quantifier  $\exists$  ("exists")

(Well-formed) formulas are inductively defined by the following rules:

- 1 If  $c$  is a constraint then  $c$  is a formula (called atomic formula).
- 2 If  $\varphi$  is a formula then  $(\neg\varphi)$  is a formula.
- 3 If  $\varphi$  and  $\psi$  are formulas then  $(\varphi \wedge \psi)$  is a formula.
- 4 Similar rules apply to other binary logical connectives.
- 5 If  $\varphi$  is a formula and  $x$  is a variable, then  $(\forall x. \varphi)$  and  $(\exists x. \varphi)$  are formulas.

Only expressions which can be obtained by finitely many applications of these rules are formulas.

Example formulas:

- $x < (x + 1)$  (atomic formula)
- $(\neg x < 0)$
- $(x < (x + 1) \wedge ((x + 1) + y) = ((x + y) + 1))$
- $\forall x. \exists y. y = (x + 1)$

# Example

Assume the argumentation:

- 1 All men are mortal.
- 2 Socrates is a man.
- 3 Therefore, Socrates is mortal.

We can formalize it by defining

Constants: *Socrates*

Variables: *x*

Predicate symbols: unary *isMen*, *isMortal*

Formalization:

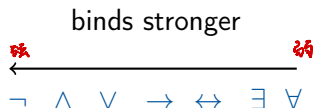
- 1  $\forall x. \text{isMen}(x) \rightarrow \text{isMortal}(x)$
- 2  $\text{isMen}(\text{Socrates})$
- 3  $\text{isMortal}(\text{Socrates})$

# Some remarks and notation

元数, e.g. 一元函数, 二元函数

- Constants can also be seen as function symbols of **arity 0**.
- Sometimes equality ( $=$ ) is included as a logical symbol.
- Note: the logical connectives **negation ( $\neg$ )** and **conjunction ( $\wedge$ )** and the existential **quantifier ( $\exists$ )** would be **sufficient**, the remaining syntax ( $\vee, \rightarrow, \leftrightarrow, \dots, \forall$ ) are syntactic sugar.

We **omit parentheses whenever we may restore them through operator precedence** (with left-to-right binding for several occurrences of the same operator):  
可以通过优先级忽略括号



Thus, we write:

$$\neg\neg a \quad \text{for } (\neg(\neg a)),$$
$$\exists a. \exists b. (a \wedge b \rightarrow P(a, b)) \quad \text{for } \exists a. \exists b. ((a \wedge b) \rightarrow P(a, b))$$

# Free and bound variable occurrences

A **variable occurrence** in a formula  $\varphi$  is an **occurrence of a variable in an atomic sub-formula (constraint) of  $\varphi$** .

Each **variable occurrence in a formula** is either **bound** or **free**, defined inductively by the following rules:

- Any occurrence of **any variable** in any **atomic formula** is **free**.
- A variable occurrence in  $\varphi$  is **free in  $(\neg\varphi)$**  iff it is **free in  $\varphi$** .
- A variable occurrence in  $\varphi$  is **free in  $(\varphi \wedge \psi)$**  iff it is **free in  $\varphi$** , and analogously for the symmetric case and all other binary Boolean connectives.
- An **occurrence of a variable  $x$  in  $\varphi$**  is **free in  $(\exists y. \varphi)$**  iff  $x$  is **free in  $\varphi$**  and  $x$  is a symbol different from  $y$ .
- An analogous rule **holds with  $\forall$  in place of  $\exists$** .
- A variable occurrence is **bound** iff it is **not free**.



# Free and bound variable occurrences

Examples:

■ In

$$P(z) \vee \forall x. (P(x) \rightarrow Q(z))$$

$z$  occurs free in  $P(z)$  and in  $Q(z)$ , whereas  $x$  occurs bound in  $P(x)$ .

■ In

$$Q(z) \vee \forall z. P(z)$$

the first occurrence of  $z$  is free whereas its second occurrence is bound.

# Signature $\Sigma$ , $\Sigma$ -formula, $\Sigma$ -sentence

The non-logical symbols 包含 predicates and individual constants. These include symbols that, in an interpretation, may stand for individual constants, variables, functions, or predicates.

- A **signature**  $\Sigma$  fixes the set of **non-logical symbols** (up to variables).
- A  **$\Sigma$ -formula** is a **formula** with **non-logical symbols from  $\Sigma$** .
- A  **$\Sigma$ -sentence** is a  **$\Sigma$ -formula** **without free variable occurrences**.

In the previous example:  $\Sigma = (\text{Socrates}, \text{isMen}(\cdot), \text{isMortal}(\cdot))$  with

- **Socrates** a **constant** and
- **isMen** and **isMortal** **unary predicate symbols**.

类似的 logical symbols 包含 truth-functional connectives (such as "and", "or", "not", "implies", and logical equivalence) and the symbols for the quantifiers "for all" and "there exists".

- The formulas
- 1  $\forall x. \text{isMen}(x) \rightarrow \text{isMortal}(x)$
  - 2  $\text{isMen}(\text{Socrates})$
  - 3  $\text{isMortal}(\text{Socrates})$

are  **$\Sigma$ -sentences** (all occurrences of the **only variable  $x$**  are **bound**).

# Exercise A

Assume the following signature  $\Sigma$ :

- 0 and 2 are constants;
- $x, y, z$  are variables;
- $*$  is a binary function;
- $>$  and  $=$  are binary predicates.

How many **occurrences of  $x$**  are **free** in the following  $\Sigma$ -formula?

$$x = 0 \vee \forall y. (x > (2 * x)) \vee \forall x. \neg((x = y) \rightarrow (\forall x. x > z))$$

- 0
- 1
- 2
- 3
- 4
- 5

# Further examples

- $\Sigma = \{0, 1, +, >\}$ 
  - $0, 1$  are constant symbols
  - $+$  is a binary function symbol
  - $>$  is a binary predicate symbol

- Examples of  $\Sigma$ -sentences:

$$\exists x. \forall y. x > y$$

$$\forall x. \exists y. x > y$$

$$\forall x. x + 1 > x$$

$$\forall x. \neg(x + 0 > x \vee x > x + 0)$$

# Further examples

- $\Sigma = \{0, 1, +, *, <, \textit{isPrime}\}$ 
  - $0, 1$  constant symbols
  - $+, *$  binary function symbols
  - $<$  binary predicate symbol
  - $\textit{isPrime}$  unary predicate symbol
- An example  $\Sigma$ -sentence:
$$\forall n. (1 < n \rightarrow (\exists p. \textit{isPrime}(p) \wedge n < p \wedge p < 2 * n))$$

# Further examples

- Let  $\Sigma = \{0, 1, +, =\}$  where  $0, 1$  are constants,  $+$  is a binary function symbol and  $=$  a binary predicate symbol.
- Let  $\varphi = \exists x. x + 0 = 1$  a  $\Sigma$ -formula.
- Q: Is  $\varphi$  true?
- A: So far these are only symbols, strings. **No meaning** yet.
- Q: What do we need to fix for the semantics?
- A: We need a **domain** for the variables. Let's say  $\mathbb{N}_0$ .
- Q: Is  $\varphi$  true in  $\mathbb{N}_0$ ?
- A: Depends on the **interpretation** of '+' and '='!

## Bonus exercise 9

Assume a signature  $\Sigma$  consisting of theory constants  $a$  and  $b$ , unary function  $f$ , binary function  $g$ , unary predicate  $p$ , binary predicate  $q$  (and allowing variables names  $x$  and  $y$ ).

Which of the following are (well-formed)  $\Sigma$ -formulas?  
(Multiple choice: please select all correct cases.)

- ☒  $x(a)$  ↗ unary 1 argument
- ☒  $(\forall x. (\exists x. p(a)))$
- ☒  $q(f)$  ↗ binary . 需要 2 个 arg
- ☒  $(\neg(\forall x. p(x)))$  ↗ unary . 需要 arg
- ☒  $f(p(y(q)), p(y(q)))$
- ☒  $(\forall x. (\exists x. p(a)))$
- ☒  $q(y, f(y))$

- A  $\Sigma$ -structure is given by:
  - a domain  $D$ ,
  - an interpretation  $I$  of the non-logical symbols in  $\Sigma$  that maps
    - each constant symbol to a domain element,
    - each function symbol of arity  $n$  to a function of type  $D^n \rightarrow D$ , and
    - each predicate symbol of arity  $n$  to a predicate of type  $D^n \rightarrow \{0, 1\}$ .
- To give meaning to formulas with free variable occurrences, we also need an assignment  $\alpha$  that maps each variable (with a free occurrence) to a domain element.



# Semantics

To give semantics to a logical system means to define a notion of truth for the formulas.

**Semantics** of terms and formulas under a structure  $S = (D, I)$  and an assignment  $\alpha$ :

constants:  $\llbracket c \rrbracket_{S,\alpha} = I(c)$

variables:  $\llbracket x \rrbracket_{S,\alpha} = \alpha(x)$

functions:  $\llbracket f(t_1, \dots, t_n) \rrbracket_{S,\alpha} = I(f)(\llbracket t_1 \rrbracket_{S,\alpha}, \dots, \llbracket t_n \rrbracket_{S,\alpha})$

predicates:  $S, \alpha \models p(t_1, \dots, t_n)$  iff  $I(p)(\llbracket t_1 \rrbracket_{S,\alpha}, \dots, \llbracket t_n \rrbracket_{S,\alpha})$

logical structure:

$S, \alpha \models \neg \varphi$  iff  $S, \alpha \not\models \varphi$

$S, \alpha \models \varphi \wedge \psi$  iff  $S, \alpha \models \varphi$  and  $S, \alpha \models \psi$

$S, \alpha \models \exists x. \varphi$  iff there exists  $v \in D$  such that  $S, \alpha[x \mapsto v] \models \varphi$

*Interpretation*

- A  $\Sigma$ -formula  $\varphi$  is **satisfiable** if there exist a  $\Sigma$ -structure  $S$  and an assignment  $\alpha$  that satisfy it.

Notation:  $S, \alpha \models \varphi$ . For  $\Sigma$ -sentences we also write  $S \models \varphi$ .

- A  $\Sigma$ -formula  $\varphi$  is **valid** if it is satisfied by all  $\Sigma$ -structures and all assignments.

Notation:  $\models \varphi$ .

- $\Sigma = \{0, 1, +, =\}$
  - $\varphi = \exists x. x + 0 = 1$  a  $\Sigma$ -formula
  - Q: Is  $\varphi$  satisfiable?
  - A: Yes. Consider the structure  $S$ :
    - Domain:  $\mathbb{N}_0$
    - Interpretation:
      - 0 and 1 are mapped to 0 and 1 in  $\mathbb{N}_0$
      - + means addition
      - = means equality
- $S$  satisfies  $\varphi$ .  $S$  is said to be a **model** of  $\varphi$ .

- $\Sigma = \{0, 1, +, =\}$
- $\varphi = \exists x. x + 0 = 1$  a  $\Sigma$ -formula
- Q: Is  $\varphi$  valid?
- A: No. Consider the structure  $S'$ :
  - Domain:  $\mathbb{N}_0$
  - Interpretation:
    - 0 and 1 are mapped to 0 and 1 in  $\mathbb{N}_0$
    - + means multiplication
    - = means equality

$S'$  does not satisfy  $\varphi$ .

# Theories $T$ , $T$ -satisfiability and $T$ -validity

- A  $\Sigma$ -theory  $T$  is defined by a set of  $\Sigma$ -sentences.
- A  $\Sigma$ -formula  $\varphi$  is  $T$ -satisfiable if there exists a structure that satisfies both the sentences of  $T$  and  $\varphi$ .
- A  $\Sigma$ -formula  $\varphi$  is  $T$ -valid if all structures that satisfy the sentences defining  $T$  also satisfy  $\varphi$ .
- The number of sentences that are necessary for defining a theory may be large or infinite.
- Instead, it is common to define a theory through a set of axioms.
- The theory is defined by these axioms and everything that can be inferred from them by a sound inference system.

- $\Sigma = \{0, 1, +, =\}$
- $\varphi = \exists x. x + 0 = 1$  a  $\Sigma$ -formula.
- We now define the  $\Sigma$ -theory  $T$  by the following axioms:
  - 1  $\forall x. x = x$   $// =$  must be reflexive
  - 2  $\forall x. \forall y. x + y = y + x$   $// +$  must be commutative
- Q: Is  $\varphi$   $T$ -satisfiable?
- A: Yes,  $S$  is a model.
- Q: Is  $\varphi$   $T$ -valid?
- A: No.  $S'$  satisfies the sentences in  $T$  but not  $\varphi$ .

# Examples

- $\Sigma = \{0, 1, +, =\}$
- $\varphi = \exists x. x + 0 = 1$  a  $\Sigma$ -formula.
- We now define the  $\Sigma$ -theory  $T$  by the following axioms:
  - 1  $\forall x. x = x$  (= is reflexive)
  - 2  $\forall x, y, z. ((x = y \wedge y = z) \rightarrow x = z)$  (= is transitive)
  - 3  $\forall x. \forall y. x + y = y + x$  (+ is commutative)
  - 4  $\forall x. 0 + x = x$  (0 is neutral element for +)
- Q: Is  $\varphi$   $T$ -satisfiable?
- A: Yes,  $S$  is a model.
- Q: Is  $\varphi$   $T$ -valid?
- A: Yes. ( $S'$  does not satisfy the fourth axiom. 3, 4  $\rightarrow \varphi$ .)

# Examples

- $\Sigma = \{=\}$
- $\varphi = (x = y \wedge y \neq z) \rightarrow x \neq z$  a  $\Sigma$ -formula
- We now define the  $\Sigma$ -theory  $T$  by the following axioms:
  - 1  $\forall x. x = x$  (reflexivity)
  - 2  $\forall x. \forall y. x = y \rightarrow y = x$  (symmetry)
  - 3  $\forall x. \forall y. \forall z. x = y \wedge y = z \rightarrow x = z$  (transitivity)
- Q: Is  $\varphi$   $T$ -satisfiable?
- A: Yes.
- Q: Is  $\varphi$   $T$ -valid?
- A: Yes. Every structure that satisfies  $T$  also satisfies  $\varphi$ .



# Examples

- $\Sigma = \{<\}$
- $\varphi : \forall x. \exists y. y < x$  a  $\Sigma$ -formula
- Consider the  $\Sigma$ -theory  $T$  defined by the axioms:
  - 1  $\forall x. \forall y. \forall z. (x < y \wedge y < z) \rightarrow x < z$  (transitivity)
  - 2  $\forall x. \forall y. x < y \rightarrow \neg(y < x)$  (anti-symmetry)
- Q: Is  $\varphi$   $T$ -satisfiable?
- A: Yes. We construct a model for it:
  - Domain:  $\mathbb{Z}$
  - $<$  means “less than”
- Q: Is  $\varphi$   $T$ -valid?
- A: No. We construct a structure to the contrary:
  - Domain:  $\mathbb{N}_0$  空集
  - $<$  means “less than”

## Bonus exercise 10

Consider:

- Signature  $\Sigma$  with variables  $x$  and  $y$  and a binary predicate  $\sim$ .
- $\Sigma$ -formula  $\varphi$ :  $\exists x. \exists y. x \sim y \wedge y \sim x$ .

Which of the following structures satisfy  $\varphi$ ?  
(Multiple choice: please select all models.)

- Domain is  $\mathbb{Z}$ ,  $\sim$  means equal
- Domain is  $\mathbb{Z}$ ,  $\sim$  means not equal
- Domain is  $\mathbb{Z}$ ,  $\sim$  means less than
- Domain is  $\mathbb{R}$ ,  $\sim$  means less than
- Domain is  $\mathbb{Q}$ ,  $\sim$  means less than or equal

$N$ : 非负整数

$Z$ : 整数

$Q$ : 有理数

$R$ : 实数

Let  $\Sigma$  be a signature with variables  $a$  and  $b$  and a binary predicate  $\sim$ .

Assume the  $\Sigma$ -formula  $\varphi: \forall a. \exists b. (a \sim b \rightarrow b \sim a)$ .

Which of the following structures satisfy  $\varphi$ ? (Multiple choice: please select all models.)

Select one or more:

- ☒ Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than
- ☒ Domain is  $\mathbb{N}$ ,  $\sim$  means greater than or equal
- ☒ Domain is  $\mathbb{Z}$ ,  $\sim$  means less than or equal
- ☐ None of the above.

如果题目变成:  $\exists a, \forall b. (a \sim b \rightarrow b \sim a)$

则错误

$\exists \dots \forall \dots$  和  $\forall \dots \exists \dots$

不一样!!!

The correct answers are: Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than, Domain is  $\mathbb{N}$ ,  $\sim$  means greater than or equal, Domain is  $\mathbb{Z}$ ,  $\sim$  means less than or equal

Let  $\Sigma$  be a signature with variables  $a$  and  $b$  and a binary predicate  $\sim$ .

Assume the  $\Sigma$ -formula  $\varphi: \exists a. \forall b. (a \sim b \vee b \sim a)$ .

Which of the following structures satisfy  $\varphi$ ? (Multiple choice: please select all models.)

Wählen Sie eine oder mehrere Antworten:

- ☐ Domain is  $\mathbb{Z}$ ,  $\sim$  means less than or equal
- ☐ Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than or equal
- ☐ Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than or equal
- ☒ None of the above. ✖

Die richtigen Antworten sind: Domain is  $\mathbb{Z}$ ,  $\sim$  means less than or equal, Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than or equal, Domain is  $\mathbb{Q}$ ,  $\sim$  means greater than or equal

Let  $\Sigma$  be a signature with variables  $u$  and  $v$  and a binary predicate  $\sim$ .

Assume the  $\Sigma$ -formula  $\varphi$ :

$$\exists u. \forall v. (u \sim v \rightarrow v \sim u).$$

Which of the following structures satisfy  $\varphi$ ?

(Multiple choice: please select all models.)

Select one or more:

- ☐ Domain is  $\mathbb{N}$ ,  $\sim$  means greater than or equal
- ☐ Domain is  $\mathbb{N}$ ,  $\sim$  means less than or equal
- ☒ Domain is  $\mathbb{Z}$ ,  $\sim$  means equal ✓
- ☐ None of the above.

The correct answers are: Domain is  $\mathbb{N}$ ,  $\sim$  means greater than or equal, Domain is  $\mathbb{Z}$ ,  $\sim$  means equal

Let  $\Sigma$  be a signature with variables  $a$  and  $b$  and a binary predicate  $\sim$ .

Assume the  $\Sigma$ -formula  $\varphi$ :

$$\forall a. \forall b. (a \sim b \rightarrow b \sim a).$$

Which of the following structures satisfy  $\varphi$ ?

(Multiple choice: please select all models.)

Wählen Sie eine oder mehrere Antworten:

- ☒ Domain is  $\mathbb{Q}$ ,  $\sim$  means equal ✓
- ☐ Domain is  $\mathbb{N}$ ,  $\sim$  means less than
- ☒ Domain is  $\mathbb{N}$ ,  $\sim$  means equal ✓
- ☐ None of the above.

Die richtigen Antworten sind: Domain is  $\mathbb{Q}$ ,  $\sim$  means equal, Domain is  $\mathbb{N}$ ,  $\sim$  means equal

## Bonus test 10

Started on	Friday, 3 November 2023, 9:48 AM
State	Finished
Completed on	Friday, 3 November 2023, 9:54 AM
Time taken	5 mins 2 secs
Grade	0.33 out of 0.33 (100%)

### Question 1

Correct

Mark 0.33 out of 0.33


 [Flag question](#)

Let  $\Sigma$  be a signature with variables  $u$  and  $v$  and a binary predicate  $\sim$ .

Assume the  $\Sigma$ -formula  $\varphi: \forall u. \forall v. (u \sim v \rightarrow v \sim u)$ .

Which of the following structures satisfy  $\varphi$ ? (Multiple choice: please select all models.)

Select one or more:

- ☐ Domain is  $\mathbb{R}$ ,  $\sim$  means less than
- ☐ Domain is  $\mathbb{Q}$ ,  $\sim$  means less than or equal
- ☐ Domain is  $\mathbb{Q}$ ,  $\sim$  means less than or equal
- ☒ None of the above. 

The correct answer is: None of the above.

# Some famous theories

We assume in the following that the **interpretation** of symbols is **fixed** to their common use.

- Thus  $+$  is plus, ...

Some famous theories:

- Presburger arithmetic:  $\Sigma = \{0, 1, +, >\}$  over integers
- Peano arithmetic:  $\Sigma = \{0, 1, +, *, >\}$  over integers
- Linear real arithmetic:  $\Sigma = \{0, 1, +, >\}$  over reals
- Real arithmetic:  $\Sigma = \{0, 1, +, *, >\}$  over reals
- Theory of arrays
- Theory of pointers
- ...



- So far we only restricted the **non-logical** symbols by signatures and their interpretation by theories.
- Sometimes we want to restrict the **grammar** and the **logical symbols** that we can use as well.
- These are called **logic fragments**.
- Examples:
  - The **quantifier-free fragment** over  $\Sigma = \{0, 1, +, =\}$
  - The **conjunctive fragment** over  $\Sigma = \{0, 1, +, =\}$

- **Q:** Which FO theory is propositional logic?
- **A:** The quantifier-free fragment of the FO theory with signature  $\Sigma = \{x_1, x_2, \dots, \textit{identity}\}$  with variables  $x_1, x_2, \dots$ , the unary *identity* predicate (which we skip in the syntax), and without axioms.

Example:  $x_1 \rightarrow (x_2 \vee x_3)$

Thus, propositional logic is also a first-order theory.  
(A very degenerate one.)

- **Q:** What if we allow quantifiers?
- **A:** We get the theory of quantified boolean formulas (QBF).

Example:

- $\forall x_1. \exists x_2. \forall x_3. x_1 \rightarrow (x_2 \vee x_3)$

It is common to present logic fragments via an **abstract grammar** rather than restrictions on the generic first-order grammar.

Example: **Equality logic**

■ **Grammar:**

$$\textit{formula} ::= \textit{atom} \mid \textit{formula} \wedge \textit{formula} \mid \neg \textit{formula}$$
$$\begin{aligned} \textit{atom} ::= & \textit{Boolean-variable} \mid \\ & \textit{variable} = \textit{variable} \mid \\ & \textit{variable} = \textit{constant} \mid \\ & \textit{constant} = \textit{constant} \end{aligned}$$

■ **Interpretation:**  $=$  is equality.

Example: 2-CNF

- Grammar:

$$\begin{aligned} \textit{formula} &::= (\textit{literal} \vee \textit{literal}) \mid \textit{formula} \wedge \textit{formula} \\ \textit{literal} &::= \textit{Boolean-variable} \mid \neg \textit{Boolean-variable} \end{aligned}$$

- Example formula:

$$(x_1 \vee \neg x_2) \wedge (\neg x_3 \vee x_2)$$

- Consider the propositional logic formula  $\varphi = (x_1 \vee x_2 \vee x_3)$ .
- Q: Can we express this in 2-CNF, i.e., can we define a 2-CNF formula that is satisfied by the same assignments?
- A: No.
- Proof:
  - The language accepted by  $\varphi$  has 7 words: all assignments other than  $x_1 = x_2 = x_3 = 0$  (*false*).
  - A 2-CNF clause removes 2 assignments, which leaves us with 6 accepted words.  
E.g.,  $(x_1 \vee x_2)$  removes the assignments  $x_1 = x_2 = x_3 = 0$  and  $x_1 = x_2 = 0, x_3 = 1$ .
  - Additional clauses only remove more assignments.
- We say that propositional logic is **more expressive** than 2-CNF.
- Notation:  $\mathcal{L}_1 \prec \mathcal{L}_2$  means that  $\mathcal{L}_2$  is more expressive than  $\mathcal{L}_1$ .
- Generally there is only a **partial order** between theories.

- So we see that theories can have different **expressive power**.
- The more expressive the logic the harder it might be to decide the satisfiability/validity of formulas; thus sometimes we aim at less expressiveness that is decidable at lower costs.
- Perhaps it is a bit counterintuitive, but adding restrictions to a theory in form of further axioms may make the theory harder to decide or even turn the satisfiability problem to be undecidable.

# Example: First-order Peano arithmetic

- $\Sigma = \{0, 1, +, *, =\}$
- Domain: Natural numbers
- Axioms (“semantics”):
  - 1  $\forall x. (x \neq x + 1)$
  - 2  $\forall x. \forall y. (x \neq y) \rightarrow (x + 1 \neq y + 1)$
  - 3 Induction
  - 4  $\forall x. x + 0 = x$
  - 5  $\forall x. \forall y : (x + y) + 1 = x + (y + 1)$
  - 6  $\forall x. x * 0 = 0$
  - 7  $\forall x. \forall y. x * (y + 1) = x * y + x$

UNDECIDABLE!

# Reduction: Peano arithmetic to Presburger arithmetic

- $\Sigma = \{0, 1, +, \cancel{*}, \cancel{=}\}$
- Domain: Natural numbers
- Axioms (“semantics”):
  - 1  $\forall x. (x \neq x + 1)$
  - 2  $\forall x. \forall y. (x \neq y) \rightarrow (x + 1 \neq y + 1)$
  - 3 Induction
  - 4  $\forall x. x + 0 = x$
  - 5  $\forall x. \forall y. (x + y) + 1 = x + (y + 1)$
  - 6  ~~$\forall x. x * 0 = 0$~~
  - 7  ~~$\forall x. \forall y. x * (y + 1) = x * y + x$~~

DECIDABLE!



- **Q1:** Let  $\mathcal{L}_1$  and  $\mathcal{L}_2$  be two theories whose satisfiability problem is **decidable** and in the **same complexity class**. Is the satisfiability problem of an  $\mathcal{L}_1$  formula **reducible** to a satisfiability problem of an  $\mathcal{L}_2$  formula?

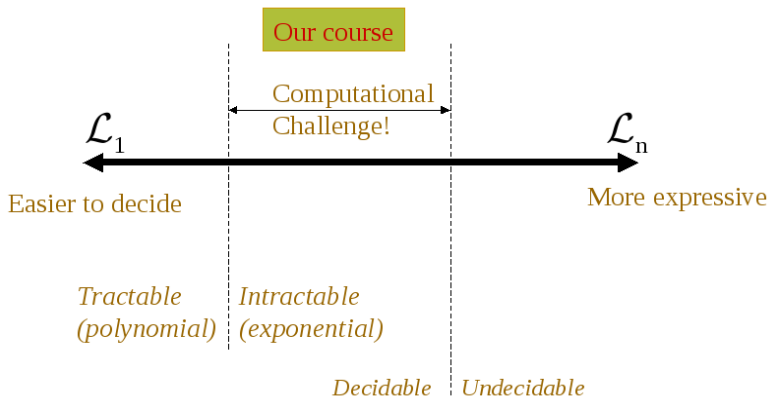
**A1:** Yes, reduction with the given complexity is possible.

- **Q2:** Let  $\mathcal{L}_1$  and  $\mathcal{L}_2$  be two theories whose satisfiability problems are **reducible** to each other. Are  $\mathcal{L}_1$  and  $\mathcal{L}_2$  in the **same complexity class**?

**A2:** It depends on the complexity of the reduction.

# Tradeoff: Expressivity vs. computational hardness

- **Expressible enough** to state something interesting.
- Decidable (or semi-decidable) and **more efficiently solvable** than richer theories.



In this lecture we assume  $P \neq NP$ .

Which of the following statements are true?

Multiple choice: Please select all true statements.

- Propositional logic is a fragment of 2-CNF.
- 2-CNF is less expressive than propositional logic.
- There exists a signature  $\Sigma$  and a  $\Sigma$ -theory  $T$  such that no  $\Sigma$ -formulas are  $T$ -satisfiable.
- There exist undecidable FO theories.

- What is first-order (FO) logic?
- How is the semantics of FO logic formulas defined by structures?
- When is a  $\Sigma$ -formula satisfiable resp. valid?
- What is a  $\Sigma$ -theory  $T$ ?  
When are  $\Sigma$ -formulas  $T$ -satisfiable resp.  $T$ -valid?
- What is a logic fragment?
- What does it mean that one theory or logic fragment is more expressive than another one?