## $\overline{CSC006P}1M$ : Design and Analysis of Algorithms Lecture 11 (Primality Testing)

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Given a positive integer n > 1, check whether n is a prime or not?

### Divisors of a Prime

A prime has only two divisors - 1 and itself.

Check the divisibility of n from 2 to n-1.

```
IsPrimeV1(n)
Input: n (a positive integer greater than 1)
Output: B (bool: True if prime else False)
begin
    B := True
    for i := 2 to n - 1 do
       if i divides n then
          B := \mathsf{False}:
          break;
end
T(n) = ?.
T_b(n) = 1.
T_w(n) = n - 2.
T(n) = O(n).
```

Can we do better?

### Yes

We do not need to check till n-2. It is enough to check till  $\lfloor n/2 \rfloor$ .

```
IsPrimeV2(n)
Input: n (a positive integer greater than 1)
Output: B (bool: True if prime else False)
begin
    B := \mathsf{True}
    for i := 2 to |n/2| do
       if i divides n then
           B := \mathsf{False}:
           break:
end
T(n) = O(n).
```

Can we do better?

### Yes

We do not need to check till  $\lfloor n/2 \rfloor$ . It is enough to check till  $\lfloor \sqrt{n} \rfloor$ .

#### Reason:

- Let n = ab where  $1 < a \le b < n$ .
- If  $a > \sqrt{n}$ ,  $b > \sqrt{n}$ , then  $n = ab > \sqrt{n}\sqrt{n} = n$ , a contradiction.



```
IsPrimeV3(n)
Input: n (a positive integer greater than 1)
Output: B (bool: True if prime else False)
begin
    B := \mathsf{True}
    for i := 2 to |\sqrt{n}| do
        if i divides n then
           B := \mathsf{False}:
           break:
end
T(n) = O(\sqrt{n}).
```

Can we do better?

### Yes

AKS Algorithm.

**Agrawal**, Manindra; **Kayal**, Neeraj; **Saxena**, Nitin (2004). "PRIMES is in P". Annals of Mathematics. 160(2): 781–793. doi:10.4007/annals.2004.160.781. JSTOR 3597229

$$T_{AKS}(n) = \tilde{O}(\lg^{15/2} n)$$
, where  $g(n) = \tilde{O}(f(n))$  if  $g(n) = O(f(n) \lg^k f(n))$  for some  $k \ge 0$ .

AKS algorithm is a remarkable achievement. However, in practice, we do not use this one for primality testing. Instead, we choose probabilistic (randomized) algorithms like Solovay-Strassen or Miller-Rabin.

In practice, probabilistic algorithms like Solovay-Strassen or Miller-Rabin perform better than the deterministic AKS algorithm.

But, there is a chance of error with the probabilistic algorithms.

### Fermat's Little Theorem

Let p be a prime number. Suppose gcd(a, p) = 1. Then,  $a^{p-1} \equiv 1 \mod p$ .

```
\begin{array}{l} \underline{\mathsf{IsPrimeV4}(n)} \\ \hline \mathsf{Input:} \ n \ (\mathsf{a} \ \mathsf{positive} \ \mathsf{integer} \ \mathsf{greater} \ \mathsf{than} \ 1) \\ \mathsf{Output:} \ B \ (\mathsf{bool:} \ \mathsf{True} \ \mathsf{if} \ \mathsf{prime} \ \mathsf{else} \ \mathsf{False}) \\ \mathsf{begin} \\ B := \mathsf{False} \\ \mathsf{Choose} \ \mathsf{a} \ \mathsf{random} \ \mathsf{integer} \ a, \ 1 \leq a \leq n-1; \\ b := a^{n-1} \ \mathsf{mod} \ n; \\ \mathsf{if} \ b \equiv 1 \ \mathsf{mod} \ n \ \mathsf{then} \ B := \mathsf{True}; \\ \mathsf{end} \end{array}
```

### Carmichael Numbers

Let n be an odd composite number. If  $a^{n-1} \equiv 1 \mod n$  for all a such that gcd(a, n) = 1, then n is called Carmichael numbers.

- The smallest Carmichael number is  $561 = 3 \cdot 11 \cdot 17$ .
- Carmichael numbers are extremely rare, but it is known that there are infinitely many of them.

### Carmichael Numbers

### Theorem

A Carmichael number n is of the form  $n = p_1 \cdots p_r$ , where the  $p_i$  are distinct primes,  $r \ge 3$ , and  $(p_i - 1) \mid (n - 1)$  for  $i = 1, \dots, r$ .

Let

$$L_n = \{ \alpha \mid 1 \le \alpha \le n - 1 \text{ and } \alpha^{n-1} = 1 \}.$$

### Theorem

If n is prime, then  $L_n = \mathbb{Z}_n^*$ . If n is composite and  $L_n \subsetneq \mathbb{Z}_n^*$ , then  $|L_n| \leq (n-1)/2$ .

$$\mathbb{Z}_n^* = \{ a \mid 1 \le a \le n-1 \text{ and } \gcd(a, n) = 1 \}.$$

### Carmichael Numbers

If *n* is a Carmichael number,  $L_n = \mathbb{Z}_n^*$ .

#### Theorem

If n is prime, then  $L_n = \mathbb{Z}_n^*$ . If n is composite and  $L_n \subsetneq \mathbb{Z}_n^*$ , then  $|L_n| \leq (n-1)/2$ .

### Proof:

- If n is prime, then  $L_n = \mathbb{Z}_n^*$  (from Fermat's Little Theorem).
- $L_n$  is a subgroup of  $\mathbb{Z}_n^*$ .
- So,  $|L_n|$  divides  $|\mathbb{Z}_n^*|$  and hence  $|\mathbb{Z}_n^*| = m|L_n|$  for some  $m \ge 1$ .
- If  $L_n \subsetneq \mathbb{Z}_n^*$ , then  $m \geq 2$ .
- Thus,  $|L_n| \leq (n-1)/2$ .



### Theorem

If n is prime, then  $L_n = \mathbb{Z}_n^*$ . If n is composite and  $L_n \subsetneq \mathbb{Z}_n^*$ , then  $|L_n| \leq (n-1)/2$ .

### Error Probability

If n is not a Carmichael number, then the error probability of the algorithm IsPrimeV4 is  $\leq 1/2$ .

Can we get rid off Carmichael numbers?



```
MillerRabin(n)
Input: n (a positive integer greater than 1)
Output: B (bool: True if prime else False)
begin
    B := \mathsf{False}
    Write n-1=2^k m, where m is odd and k>0:
    Choose a random integer a, 1 \le a \le n-1;
    b := a^m \mod n:
    if b \equiv 1 \mod n then B := \text{True};
    else
       for i := 0 to k - 1 do
          if b \equiv -1 \mod n then B := \text{True}:
          else b := b^2 \mod n:
end
```

### The Miller-Rabin Algorithm

The Miller-Rabin algorithm for **composites** is a **yes**-biased algorithm.

### The Error Probability

The error probability can be shown to be at most 1/4.

 $T(n) = O(\lg n)$  (if we consider the cost of multiplication is c (a constant)), otherwise  $T(n) = O(\lg^3 n)$ .



# Thank You