

Maximally Recoverable Codes with Hierarchical Locality

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Distributed Storage Systems

- ▶ Any large enough storage system needs to be distributed and requires some form of redundancy to ensure reliability.
- ▶ Naively, one could store multiple copies of the same data. A common approach is to store two extra copies.
- ▶ This approach puts a huge cost at scale. With **two extra copies of all the data**, you can only recover from 2 failures.

Distributed Storage Systems: Erasure Coding

- ▶ Alternatively, one could use *erasure coding* to ensure reliability.
- ▶ In this approach we divide the data into k data symbols and add h redundancy symbols that are distinct linear combinations of the data symbols.
- ▶ To ensure reliability against two failures, one has to **only add 2 redundancy symbols**.
- ▶ This leads to huge savings in storage.

Distributed Storage Systems: Codes with Locality

- ▶ Typically since the redundancy symbols depend on all k data symbols, repairing every erasure requires the system to **access all k symbols**.
- ▶ Since single erasures are a lot more common than multiple erasures, we can optimise for that scenario.
- ▶ We say a code symbol has locality r , if that symbol can be repaired by contacting r other symbols.
- ▶ To have faster repairs, we usually have $r \ll k$.

Codes with Locality

A code C has an (r, ϵ) locality if for every symbol $c_i \in C$, there is a punctured code C_i , such that,

- ▶ $c_i \in \text{Supp}(C_i)$.
- ▶ $d_{\min}(C_i) \geq \epsilon$
- ▶ $|\text{Supp}(C_i)| \leq r + \epsilon - 1$

For an $[n, k, d]$ code with (r, ϵ) locality,

$$d \leq n - k + 1 - \left(\left\lceil \frac{k}{r} \right\rceil - 1 \right) (\epsilon - 1)$$

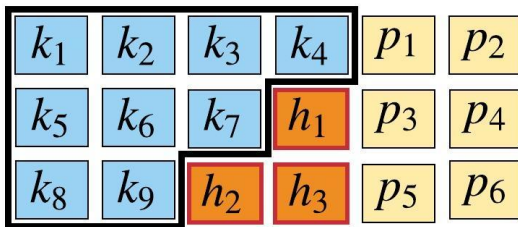
Codes with Locality (alt. definition)

Let C be a systematic $[n, k, d_{min}]$ code. We say that C is an $[k, r, h, \delta]$ local code if the following conditions are satisfied,

- ▶ $r|(k + h)$ and $n = k + \frac{k+h}{r}\delta + h$
- ▶ There are k data symbols and h global parity symbols where each global parity may depend on all data symbols.
- ▶ These $k + h$ symbols are partitioned into $\frac{k+h}{r}$ **local groups** of size r . For each such group, there are δ local parity symbols.

Example

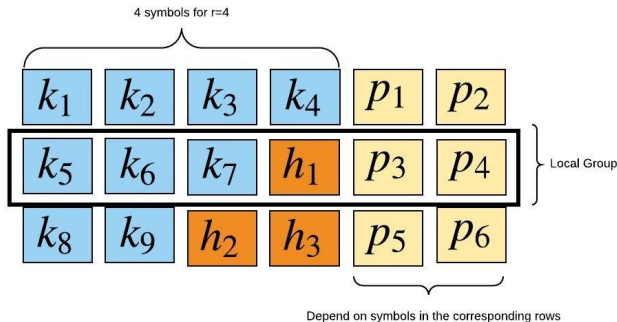
$[k, r, h, \delta]$ code with $k = 9$, $r = 4$, $h = 3$ and $\delta = 2$
where h and n are related as $n = (\frac{k+h}{r})(r + \delta)$



h_1 , h_2 and h_3 depend on all k symbols

Example

$[k, r, h, \delta]$ code with $k = 9$, $r = 4$, $h = 3$ and $\delta = 2$



- ▶ k data symbols and h global parities are partitioned into $\frac{k+h}{r} = 3$ groups
- ▶ There are δ parity symbols for each local group.

Maximal Recoverable Code with Locality

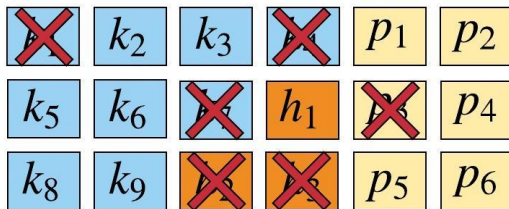
Definition (Maximal Recoverability)

A code is said to be maximally recoverable if it can recover from all the information theoretically recoverable erasure patterns given the locality constraints of the code.

$[k, r, h, \delta]$ local MRC with $k = 9$, $r = 4$, $h = 3$ and $\delta = 2$

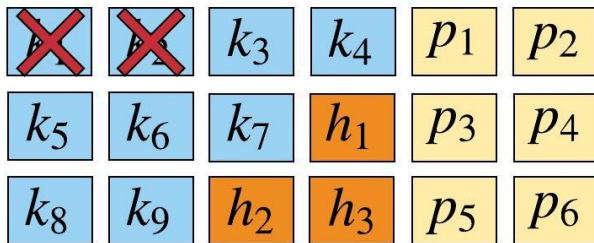
Puncture δ symbols per local group.

The resultant is an $[k + h, k]$ MDS code



The problem with LRCs

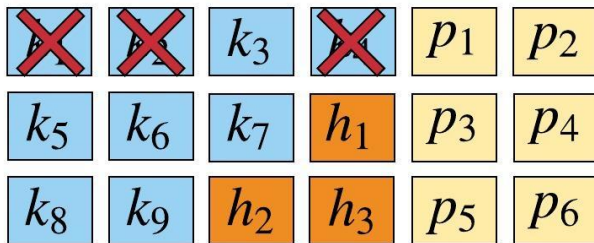
There is an abrupt jump in locality after δ erasures



Can be corrected by contacting r symbols

The problem with LRCs

There is an abrupt jump in locality after δ erasures.



Only corrected by contacting all k symbols

The Solution: Hierarchical Codes

Codes with Hierarchical Locality have multiple levels of locality. They allow for a more controlled increase in locality with the number of erasures.

Codes with Hierarchical Locality

A code C has an $[(r_1, \epsilon_1), (r_2, \epsilon_2)]$ hierarchical locality if for every symbol $c_i \in C$, there is a punctured code C_i , such that,

- ▶ $c_i \in \text{Supp}(C_i)$.
- ▶ $d_{\min}(C_i) \geq \epsilon_1$
- ▶ $|\text{Supp}(C_i)| \leq r_1 + \epsilon_1 - 1$
- ▶ C_i is a code with (r_2, ϵ_2) locality

For an $[n, k, d]$ code with $[(r_1, \epsilon_1), (r_2, \epsilon_2)]$ locality,

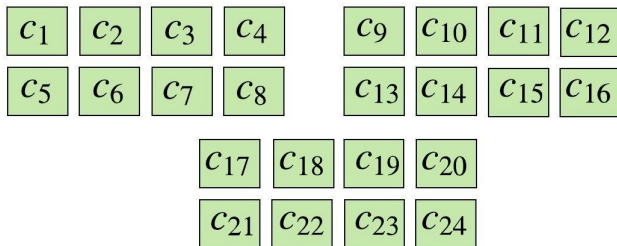
$$d \leq n - k + 1 - \left(\left\lceil \frac{k}{r_2} \right\rceil - 1\right)(\epsilon_2 - 1) - \left(\left\lceil \frac{k}{r_1} \right\rceil - 1\right)(\epsilon_1 - \epsilon_2)$$

Codes with Hierarchical Locality (alt. definition)

Easiest to show with an example.

$[k, r_1, r_2, h_1, h_2, \delta]$ code with $k = 9$, $r_1 = 4$, $r_2 = 3$, $h_1 = 3$, $h_2 = 2$ and $\delta = 1$

$$n = \left(\frac{k+h_1}{r_1}\right)\left(\frac{r_1+h_2}{r_2}\right)(r_2 + \delta) = 24$$



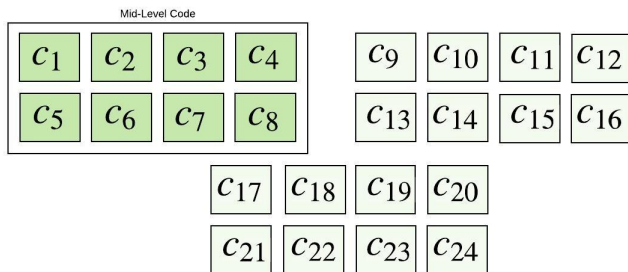
All code symbols satisfy $h_1 = 3$ global parities.

$$\sum_{j=1}^{24} u_j^{(\ell)} c_j = 0, \quad 1 \leq \ell \leq 3$$

Codes with Hierarchical Locality (alt. definition)

Easiest to show with an example

$[k, r_1, r_2, h_1, h_2, \delta]$ code with $k = 9$, $r_1 = 4$, $r_2 = 3$, $h_1 = 3$, $h_2 = 2$ and $\delta = 1$



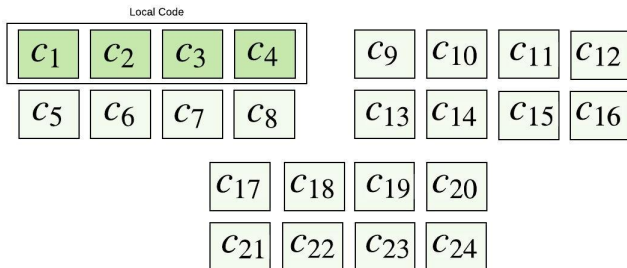
- ▶ All symbols are partitioned in $t_1 = \frac{k+h_1}{r_1} = 3$ groups of length $n_1 = \frac{r_1+h_2}{r_2}(r_2 + \delta) = 8$ called mid-level codes.
- ▶ Code symbols in a mid-level code satisfy h_2 mid-level parities.

$$\sum_{j=1}^8 v_j^{(\ell)} c_j = 0, \quad 1 \leq \ell \leq 2 \text{ (same for the rest of the groups)}$$

Codes with Hierarchical Locality (alt. definition)

Easiest to show with an example

$[k, r_1, r_2, h_1, h_2, \delta]$ code with $k = 9$, $r_1 = 4$, $r_2 = 3$, $h_1 = 3$, $h_2 = 2$ and $\delta = 1$



- ▶ n_1 code symbols from the previous step are partitioned into $t_2 = \frac{r_1 + h_2}{r_2} = 2$ groups of size $n_2 = r_2 + \delta = 4$.
- ▶ Each of these groups satisfy $\delta = 1$ parities.

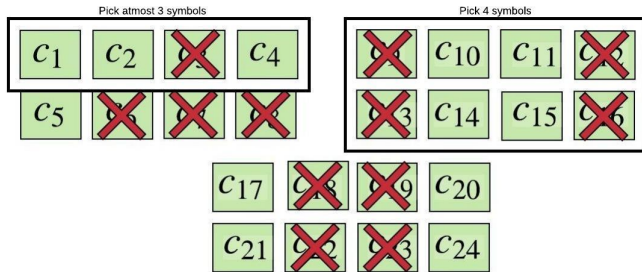
$$\sum_{j=1}^4 w_j^{(\ell)} c_j = 0, \quad 1 \leq \ell \leq 1$$

Our Contributions

- ▶ Construction of data local hierarchical MRCs from local hierarchical MRCs.
- ▶ Definition and constructions for hierarchical local MRCs for all parameters.
- ▶ Using Tensor Product Codes to perform the above construction in a smaller field.
- ▶ Even smaller field size constructions for the following special cases.
 1. 1 global parity and any number of mid-level parities.
 2. 1 global parity and 1 mid-level parity.
 3. 2 global parities and 1 mid-level parity.

MRCs with Hierarchical Locality

$[k, r_1, r_2, h_1, h_2, \delta]$ code with $k = 9$, $r_1 = 4$, $r_2 = 3$, $h_1 = 3$, $h_2 = 2$ and $\delta = 1$



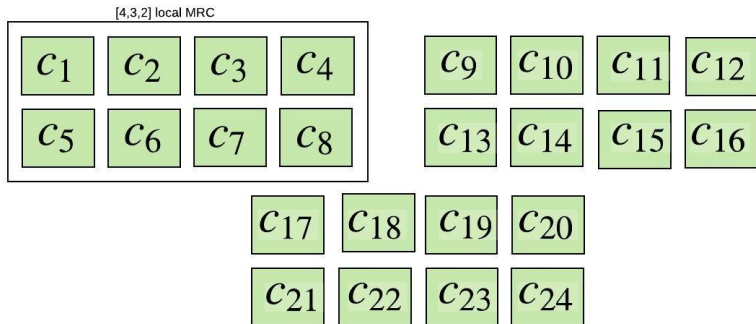
- Pick $k + h_1$ symbols from the code such that,
 - it contains r_1 symbols from each mid-level code
 - it contains at-most r_2 symbols from each local code
- Those $k + h_1$ symbols should form an $[k + h_1, k]$ MDS code.

MRCs with Hierarchical Locality

Lemma

In a $[k, r_1, r_2, h_1, h_2, \delta]$ hierarchical local MRC, the mid-level codes itself are an $[r_1, r_2, h_2, \delta]$ local MRC.

$[k, r_1, r_2, h_1, h_2, \delta]$ code with $k = 9$, $r_1 = 4$, $r_2 = 3$, $h_1 = 3$, $h_2 = 2$ and $\delta = 1$



Parity Check Matrix

For example code, $[k = 2, r_1 = 2, r_2 = 2, h_1 = 2, h_2 = 2, \delta = 2]$

[illegible]

- ▶ \mathbb{F}_{q^m} is an extension field of $\mathbb{F}_{q^{m_1}}$ which itself is an extension of \mathbb{F}_q
- ▶ $\mathbb{F}_q = \langle \beta \rangle$, $\alpha_{i,j} \in \mathbb{F}_{q^{m_1}}$ and $\lambda_{i,j,k} \in \mathbb{F}_{q^m}$

Parity Check Matrix

For example code, $[k = 2, r_1 = 2, r_2 = 2, h_1 = 2, h_2 = 2, \delta = 2]$

1							
0	β	β^2	β^3				
				1	1	1	1
				0	β	β^2	β^3
$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$
$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$
1							
0	β	β^2	β^3				
				1	1	1	1
				0	β	β^2	β^3
$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$
$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$
$\lambda_{1,1,1}$	$\lambda_{1,1,2}$	$\lambda_{1,1,3}$	$\lambda_{1,1,4}$	$\lambda_{1,2,1}$	$\lambda_{1,2,2}$	$\lambda_{1,2,3}$	$\lambda_{1,2,4}$
$\lambda_{1,1,1}^{q^{m_1}}$	$\lambda_{1,1,2}^{q^{m_1}}$	$\lambda_{1,1,3}^{q^{m_1}}$	$\lambda_{1,1,4}^{q^{m_1}}$	$\lambda_{1,2,1}^{q^{m_1}}$	$\lambda_{1,2,2}^{q^{m_1}}$	$\lambda_{1,2,3}^{q^{m_1}}$	$\lambda_{1,2,4}^{q^{m_1}}$

Global parity check conditions, mid-level code, and local parities are highlighted.

Conditions for MRC (mid-level parities)

We consider one such sub-matrix.

We assume that columns 1 and 2 are punctured.

$$\left[\begin{array}{cc|cc} 1 & 1 & 1 & 1 \\ 0 & \beta & \beta^2 & \beta^3 \\ \hline \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{1,4} \\ \hline \alpha_{1,1}^q & \alpha_{1,2}^q & \alpha_{1,3}^q & \alpha_{1,4}^q \end{array} \right] \Rightarrow \begin{bmatrix} M_s & M_{\bar{s}} \\ \alpha_{1,s} & \alpha_{1,\bar{s}} \\ \alpha_{1,s}^q & \alpha_{1,\bar{s}}^q \end{bmatrix}$$

$$\begin{bmatrix} M_s & M_{\bar{s}} \\ \alpha_{1,s} & \alpha_{1,\bar{s}} \\ \alpha_{1,s}^q & \alpha_{1,\bar{s}}^q \end{bmatrix} \Rightarrow \begin{bmatrix} M_s & M_{\bar{s}} \\ 0 & \alpha_{1,\bar{s}} + \alpha_{1,s}L \\ 0 & (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q \end{bmatrix}$$

$$L = M_s^{-1}M_{\bar{s}} \text{ (} 2 \times 2 \text{ matrix)}$$

Since all elements of L are from \mathbb{F}_q , $L = L^q$

Conditions for MRC (mid-level parities)

We show one such mid-level code after that operation.

$$\begin{bmatrix} M_s & M_{\bar{s}} & & \\ & & M_s & M_{\bar{s}} \\ 0 & \alpha_{1,\bar{s}} + \alpha_{1,s}L & 0 & \alpha_{2,\bar{s}} + \alpha_{2,s}L \\ 0 & (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q & 0 & (\alpha_{2,\bar{s}} + \alpha_{2,s}L)^q \end{bmatrix}$$

The punctured sub-matrix,

$$\begin{bmatrix} \alpha_{1,\bar{s}} + \alpha_{1,s}L & \alpha_{2,\bar{s}} + \alpha_{2,s}L \\ (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q & (\alpha_{2,\bar{s}} + \alpha_{2,s}L)^q \end{bmatrix}$$

should be the PCM for a $[r_1 + h_2 = 4, r_1 = 2]$ MDS code.

Possible because L is a 2×2 matrix.

Conditions for MRC: Some definitions

Definition (k -wise Independence)

A multi-set $S \subseteq \mathbb{F}$ is k -wise independent over \mathbb{F} if for every set $T \subseteq S$ such that $|T| \leq k$, T is linearly independent over \mathbb{F} .

Lemma

Let \mathbb{F}_{q^t} be an extension of \mathbb{F}_q . Let a_1, a_2, \dots, a_n be elements of \mathbb{F}_{q^t} . The following matrix

$$\begin{bmatrix} a_1 & a_2 & a_3 & \dots & a_n \\ a_1^q & a_2^q & a_3^q & \dots & a_n^q \\ \vdots & \vdots & \vdots & \dots & \vdots \\ a_1^{q^{k-1}} & a_2^{q^{k-1}} & a_3^{q^{k-1}} & \dots & a_n^{q^{k-1}} \end{bmatrix}$$

is the generator matrix of a $[n, k]$ MDS code if and only if a_1, a_2, \dots, a_n are k -wise linearly independent over \mathbb{F}_q .

Conditions for MRC (mid-level parities)

Using the above lemma, the matrix,

$$\begin{bmatrix} \alpha_{1,\bar{s}} + \alpha_{1,s}L & \alpha_{2,\bar{s}} + \alpha_{2,s}L \\ (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q & (\alpha_{2,\bar{s}} + \alpha_{2,s}L)^q \end{bmatrix}$$

is the parity check matrix for a $[r_1 + h_2 = 4, r_1 = 2]$ MDS code if the set

$$\Psi = \{\alpha_{1,\bar{s}} + \alpha_{1,s}L, \alpha_{2,\bar{s}} + \alpha_{2,s}L\}$$

is $h_2 = 2$ wise independent over \mathbb{F}_q .

Condition on $\alpha_{i,j}$

Since,

$$\left[\begin{array}{cc|cc} 1 & 1 & 1 & 1 \\ 0 & \beta & \beta^2 & \beta^3 \\ \hline \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{1,4} \\ \hline \alpha_{1,1}^q & \alpha_{1,2}^q & \alpha_{1,3}^q & \alpha_{1,4}^q \end{array} \right] \Rightarrow \begin{bmatrix} M_s & M_{\bar{s}} \\ \alpha_{1,s} & \alpha_{1,\bar{s}} \\ \alpha_{1,s}^q & \alpha_{1,\bar{s}}^q \end{bmatrix}$$

$$\Psi = \{\alpha_{1,\bar{s}} + \alpha_{1,s}L, \alpha_{2,\bar{s}} + \alpha_{2,s}L\}$$

- ▶ Any \mathbb{F}_q -linear combination of k elements in Ψ will have at-most $3k$ distinct elements.
- ▶ Hence if the set $\{\alpha_{i,j}\}$ is at-least $3h_2 = 6$ wise independent over \mathbb{F}_q , then the set Ψ will be $h_2 = 2$ wise independent over \mathbb{F}_q .

Conditions for MRC (global parities)

We now consider global parities along with the mid-level codes. After puncturing δ coordinates per local group, puncturing $h_2 = 2$ coordinates per mid-level code results in an MDS code.

1	1	1	1				
0	β	β^2	β^3				
				1	1	1	1
				0	β	β^2	β^3
$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$
$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$
$\lambda_{1,1,1}$	$\lambda_{1,1,2}$	$\lambda_{1,1,3}$	$\lambda_{1,1,4}$	$\lambda_{1,2,1}$	$\lambda_{1,2,2}$	$\lambda_{1,2,3}$	$\lambda_{1,2,4}$
$\lambda_{1,1,1}^{q^{m_1}}$	$\lambda_{1,1,2}^{q^{m_1}}$	$\lambda_{1,1,3}^{q^{m_1}}$	$\lambda_{1,1,4}^{q^{m_1}}$	$\lambda_{1,2,1}^{q^{m_1}}$	$\lambda_{1,2,2}^{q^{m_1}}$	$\lambda_{1,2,3}^{q^{m_1}}$	$\lambda_{1,2,4}^{q^{m_1}}$

1	1	1	1				
0	β	β^2	β^3				
				1	1	1	1
				0	β	β^2	β^3
$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$
$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$
$\lambda_{2,1,1}$	$\lambda_{2,1,2}$	$\lambda_{2,1,3}$	$\lambda_{2,1,4}$	$\lambda_{2,2,1}$	$\lambda_{2,2,2}$	$\lambda_{2,2,3}$	$\lambda_{2,2,4}$
$\lambda_{2,1,1}^{q^{m_1}}$	$\lambda_{2,1,2}^{q^{m_1}}$	$\lambda_{2,1,3}^{q^{m_1}}$	$\lambda_{2,1,4}^{q^{m_1}}$	$\lambda_{2,2,1}^{q^{m_1}}$	$\lambda_{2,2,2}^{q^{m_1}}$	$\lambda_{2,2,3}^{q^{m_1}}$	$\lambda_{2,2,4}^{q^{m_1}}$

Conditions for MRC (global parities)

This time we apply the shortening to global parities as well. We collect the shortened code from the entire mid-level code as in previous steps.

$$\begin{bmatrix} \alpha_{1,\bar{s}} + \alpha_{1,s}L & \alpha_{2,\bar{s}} + \alpha_{2,s}L \\ (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q & (\alpha_{2,\bar{s}} + \alpha_{2,s}L)^q \\ \lambda_{1,1,\bar{s}} + \lambda_{1,1,s}L & \lambda_{1,2,\bar{s}} + \lambda_{1,2,s}L \\ (\lambda_{1,1,\bar{s}} + \lambda_{1,1,s}L)^{q^{m_1}} & (\lambda_{1,2,\bar{s}} + \lambda_{1,2,s}L)^{q^{m_1}} \end{bmatrix}$$

We perform similar steps as we did for $\alpha_{i,j}$ and arrive at a similar result.

- The set $\{\lambda_{i,j,k}\}$ needs to be at-least $h_1(h_2 + 1)(\delta + 1)$ wise independent over $\mathbb{F}_{q^{m_1}}$

Picking $\alpha_{i,j}$ and $\lambda_{i,j,k}$ (from PCM of codes)

- ▶ We pick $\alpha_{i,j}$ and $\lambda_{i,j,k}$ as columns of the PCM of an appropriate code.
- ▶ For an $[n, k, d]$ code over \mathbb{F}_q , the columns of a PCM are elements in $\mathbb{F}_{q^{n-k}}$ which are $(d-1)$ -wise independent over \mathbb{F}_q
- ▶ Since, $\alpha_{i,j} \in \mathbb{F}_{q^{m_1}}/\mathbb{F}_q$, the value of $n-k$ is m_1 .
- ▶ Now since $\{\alpha_{i,j}\}$ needs to be 6-wise independent in \mathbb{F}_q , the value of d should be 7.
- ▶ We need 8 values for $\alpha_{i,j} = \{\alpha_{1,1}, \dots, \alpha_{1,4}, \alpha_{2,1}, \dots, \alpha_{2,4}\}$.

Picking $\alpha_{i,j}$ and $\lambda_{i,j,k}$ (BCH codes)

Lemma

There exists $[n = q^t - 1, k, d]$ BCH codes over \mathbb{F}_q , where the parameters are related as

$$n - k = 1 + \left\lceil \frac{q-1}{q}(d-2) \right\rceil \lceil \log_2(n) \rceil.$$

- ▶ We set $t = \lceil \log_q(8) \rceil$ to get a PCM with smallest number of columns.
- ▶ We already have a value for d and can now calculate the value of k from the above relation.

Similar procedure is followed to get $\{\lambda_{i,j,k}\}$.

More optimisations

- ▶ Using Tensor Product Codes to perform the above construction in a smaller field.
- ▶ Even smaller field size constructions for the following special cases.
 1. 1 global parity any number of mid-level parities
 2. 1 global parity and 1 mid-level parity
 3. 2 global parities and 1 mid-level parity

Tensor Product Codes

Let C_1 be an $[n, n - \rho]$ linear code in \mathbb{F}_q which can correct e_1 erasures. Also, C_2 is an $[m, m - s]$ code in \mathbb{F}_{q^ρ} that can correct e_2 erasures. An $[nm, nm - s\rho]$ code C in \mathbb{F}_q is called the tensor product code of C_1 and C_2 if

$$\forall x \in C_1 \text{ and } y \in C_2, \quad y \otimes x \in C$$

where $y \otimes x$ is the tensor product of x and y in \mathbb{F}_q .

Example

Let C_1 be a $(3, 1)$ -code in \mathbb{F}_q . The PCM,

$$H_1 = \begin{bmatrix} u_{1,1} & u_{2,1} & u_{3,1} \\ u_{1,2} & u_{2,2} & u_{3,2} \end{bmatrix} = \begin{bmatrix} u_1 & u_2 & u_3 \end{bmatrix} \quad u_i \in \mathbb{F}_{q^2}$$

Let C_2 be a $(4, 1)$ -code in \mathbb{F}_{q^2} . The PCM here,

$$H_2 = \begin{bmatrix} v_{1,1} & v_{2,1} & v_{3,1} & v_{4,1} \\ v_{1,2} & v_{2,2} & v_{3,2} & v_{4,2} \\ v_{1,3} & v_{2,3} & v_{3,3} & v_{4,3} \end{bmatrix} \quad v_{i,j} \in \mathbb{F}_{q^2}$$

Assume both codes are MDS, therefore $e_1 = 2$ and $e_2 = 3$

Therefore the PCM (H) for the tensor product of C_1 and C_2

$$H = \begin{bmatrix} v_{1,1}u_1 & v_{1,1}u_2 & v_{1,1}u_3 & v_{2,1}u_1 & v_{2,1}u_2 & v_{2,1}u_3 & v_{3,1}u_1 & v_{3,1}u_2 & v_{3,1}u_3 & v_{4,1}u_1 & v_{4,1}u_2 & v_{4,1}u_3 \\ v_{1,2}u_1 & v_{1,2}u_2 & v_{1,2}u_3 & v_{2,2}u_1 & v_{2,2}u_2 & v_{2,2}u_3 & v_{3,2}u_1 & v_{3,2}u_2 & v_{3,2}u_3 & v_{4,2}u_1 & v_{4,2}u_2 & v_{4,2}u_3 \\ v_{1,3}u_1 & v_{1,3}u_2 & v_{1,3}u_3 & v_{2,3}u_1 & v_{2,3}u_2 & v_{2,3}u_3 & v_{3,3}u_1 & v_{3,3}u_2 & v_{3,3}u_3 & v_{4,3}u_1 & v_{4,3}u_2 & v_{4,3}u_3 \end{bmatrix}$$

Tensor Product Codes: Erasure Correction

Theorem

C_1 , C_2 and C are as defined above. If the code-words in C are considered to be consisting of m sub-blocks with each sub-block containing n symbols, C will correct all erasure patterns where,

- ▶ At-most e_2 sub-blocks are affected.
- ▶ At-most e_1 erasures in each affected sub-block.

$$H = \begin{bmatrix} \begin{array}{ccc} v_{1,1} u_1 & v_{1,1} u_2 & v_{1,1} u_3 \\ v_{1,2} u_1 & v_{1,2} u_2 & v_{1,2} u_3 \\ v_{1,3} u_1 & v_{1,3} u_2 & v_{1,3} u_3 \end{array} & \begin{array}{ccc} v_{2,1} u_1 & v_{2,1} u_2 & v_{2,1} u_3 \\ v_{2,2} u_1 & v_{2,2} u_2 & v_{2,2} u_3 \\ v_{2,3} u_1 & v_{2,3} u_2 & v_{2,3} u_3 \end{array} & \begin{array}{ccc} v_{3,1} u_1 & v_{3,1} u_2 & v_{3,1} u_3 \\ v_{3,2} u_1 & v_{3,2} u_2 & v_{3,2} u_3 \\ v_{3,3} u_1 & v_{3,3} u_2 & v_{3,3} u_3 \end{array} & \begin{array}{ccc} v_{4,1} u_1 & v_{4,1} u_2 & v_{4,1} u_3 \\ v_{4,2} u_1 & v_{4,2} u_2 & v_{4,2} u_3 \\ v_{4,3} u_1 & v_{4,3} u_2 & v_{4,3} u_3 \end{array} \end{bmatrix}$$

Here, there are $m = 4$ sub-blocks with $n = 3$ symbols each. This code can recover all erasures where at-most 3 sub-blocks are affected and upto 2 erasures per sub-block

Convention

We say that an $[nm, nm - s\rho]$ tensor product code $C \subseteq \mathbb{F}_q^{m \times n}$ is an $[m, n; e_2, e_1]$ erasure correcting code if it can correct any erasure pattern of the form $\mathbf{E} = (E_1, \dots, E_m)$ where for $i \in [m]$ and $E_i \subseteq [n]$ and

- ▶ $|\{i : E_i \neq \emptyset\}| \leq e_2$.
- ▶ for $i \in [m], |E_i| \leq e_1$.

$$H = \begin{bmatrix} \begin{array}{|c|c|c|} \hline v_{1,1}u_1 & v_{1,1}u_2 & v_{1,1}u_3 \\ \hline v_{1,2}u_1 & v_{1,2}u_2 & v_{1,2}u_3 \\ \hline v_{1,3}u_1 & v_{1,3}u_2 & v_{1,3}u_3 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline v_{2,1}u_1 & v_{2,1}u_2 & v_{2,1}u_3 \\ \hline v_{2,2}u_1 & v_{2,2}u_2 & v_{2,2}u_3 \\ \hline v_{2,3}u_1 & v_{2,3}u_2 & v_{2,3}u_3 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline v_{3,1}u_1 & v_{3,1}u_2 & v_{3,1}u_3 \\ \hline v_{3,2}u_1 & v_{3,2}u_2 & v_{3,2}u_3 \\ \hline v_{3,3}u_1 & v_{3,3}u_2 & v_{3,3}u_3 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline v_{4,1}u_1 & v_{4,1}u_2 & v_{4,1}u_3 \\ \hline v_{4,2}u_1 & v_{4,2}u_2 & v_{4,2}u_3 \\ \hline v_{4,3}u_1 & v_{4,3}u_2 & v_{4,3}u_3 \\ \hline \end{array} \end{bmatrix}$$

$$= \begin{bmatrix} \begin{array}{|c|c|c|} \hline \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline \alpha_{3,1} & \alpha_{3,2} & \alpha_{3,3} \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline \alpha_{4,1} & \alpha_{4,2} & \alpha_{4,3} \\ \hline \end{array} \end{bmatrix}$$

This code is then a $[4, 3; 3, 2]$ code.

Columns of the Parity Check Matrix

$$\begin{bmatrix} \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \alpha_{3,1} & \alpha_{3,2} & \alpha_{3,3} & \alpha_{4,1} & \alpha_{4,2} & \alpha_{4,3} \end{bmatrix}$$

This code can correct erasures in upto 3 sub-blocks and at-most 2 erasures per sub-block. Therefore for

$$S = \{\alpha_{1,1}, \alpha_{1,2}, \alpha_{1,3}, \alpha_{2,1}, \alpha_{2,2}, \alpha_{2,3}, \alpha_{3,1}, \alpha_{3,2}, \alpha_{3,3}, \alpha_{4,1}, \alpha_{4,2}, \alpha_{4,3}, \}$$

Any set $T \subseteq S$ such that

- ▶ There are upto 3 distinct values of i of $\alpha_{i,j}$
- ▶ There are upto 2 distinct values of j per i of $\alpha_{i,j}$

Any such subset will be linearly independent in \mathbb{F}_{q^2}

Product Construction

Assume the following code, $[k = 8, r_1 = 7, r_2 = 3, h_1 = 6, h_2 = 2, \delta = 2]$

Based on the MRC conditions proved previously,

$$\Psi = \{\alpha_{1,\bar{s}} + \alpha_{1,s}L, \alpha_{2,\bar{s}} + \alpha_{2,s}L, \alpha_{3,\bar{s}} + \alpha_{3,s}L\}$$

where, $\alpha_{i,s} = \{\alpha_{i,1}, \alpha_{i,2}\}$ and $\alpha_{i,\bar{s}} = \{\alpha_{i,3}, \alpha_{i,4}, \alpha_{i,5}\}$ $\alpha_{i,j} \in \mathbb{F}_{q^{m_1}}$
and L is a 2×3 matrix with elements in \mathbb{F}_q .

Ψ is required to be 2-wise independent over \mathbb{F}_q .

Product Construction

Assuming $L = \begin{bmatrix} a & c & e \\ b & d & f \end{bmatrix}$ Expand Ψ into individual components,

$$\Psi = \{\alpha_{1,3} + a\alpha_{1,1} + b\alpha_{1,2}, \alpha_{1,4} + c\alpha_{1,1} + d\alpha_{1,2}, \alpha_{1,5} + e\alpha_{1,1} + f\alpha_{1,2}, \\ \alpha_{2,3} + a\alpha_{2,1} + b\alpha_{2,2}, \alpha_{2,4} + c\alpha_{2,1} + d\alpha_{2,2}, \alpha_{2,5} + e\alpha_{2,1} + f\alpha_{2,2}, \\ \alpha_{3,3} + a\alpha_{3,1} + b\alpha_{3,2}, \alpha_{3,4} + c\alpha_{3,1} + d\alpha_{3,2}, \alpha_{3,5} + e\alpha_{3,1} + f\alpha_{3,2}\}$$

We can pick $h_2 = 2$ elements in two different ways.

- ▶ Here, the first index of $\alpha_{i,j}$ remains the same. There are 4 distinct $\alpha_{i,j}$ in that linear combination
- ▶ The first index of $\alpha_{i,j}$ are different. In this case, there are 3 distinct $\alpha_{i,j}$ per i .

Product Construction

If we relate this to previous example of the tensor code,

$$\begin{bmatrix} \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \alpha_{3,1} & \alpha_{3,2} & \alpha_{3,3} & \alpha_{4,1} & \alpha_{4,2} & \alpha_{4,3} \end{bmatrix}$$

(Illustrative example)

If we pick $\alpha_{i,j}$ as these columns we have to ensure that,

- ▶ The code can recover from erasures in 2 sub-blocks ($e_2 = 2$).
- ▶ The code can recover from 4 erasures per sub-block ($e_1 = 4$).
- ▶ There are at-least 3 distinct groups because there are 3 distinct values of i in $\alpha_{i,j}$ ($m = 3$)
- ▶ There are 5 columns per group because there are 5 different values of j for every i in $\alpha_{i,j}$. ($n = 5$)

Hence, Ψ is 2-wise independent if $\alpha_{i,j}$ is picked from a $[3, 5; 2, 4]$ code.

Product Construction

More generally,

Theorem

Let C_{TP} be an $[t_2, n_2; h_2, h_2 + \delta]$ erasure correcting code with $t_2 > h_2$ and $n_2 > h_2 + \delta$ over \mathbb{F}_q with redundancy m_1 and the parity check matrix $H_{TP} = (\alpha_{1,1}, \dots, \alpha_{t_2, n_2}) \in (\mathbb{F}_{q^{m_1}})^{t_2 n_2}$. Then the set $\{\alpha_{i,j}\}$ chosen as columns of H_{TP} guarantees that the mid-level code is MRC.

Similarly for $\lambda_{i,k,j}$,

Theorem

Let C_{TP} be an $[t_1, t_2 n_2; h_1, (h_1 + h_2)(\delta + 1)]$ erasure correcting code with $t_1 > h_1$ and $t_2 n_2 > (h_1 + h_2)(\delta + 1)$ over $\mathbb{F}_{q^{m_1}}$ with redundancy m and the parity check matrix $H_{TP} = (\lambda_{1,1,1}, \dots, \lambda_{t_1, t_2, n_2}) \in (\mathbb{F}_{q^m})^{t_1 t_2 n_2}$. Then the set $\{\lambda_{i,j,k}\}$ chosen as columns of H_{TP} ensures that global code is MRC.

Optimisation for $h_1 = 1$

Consider the code, $[k = 3, r_1 = 2, r_2 = 2, h_1 = 1, h_2 = 2, \delta = 2]$

$$\begin{bmatrix} 1 & 1 & 1 & 1 & & & & & & & & & & & & & & & & \\ 0 & \beta & \beta^2 & \beta^3 & & & & & & & & & & & & & & & & \\ & & & & 1 & 1 & 1 & 1 & & & & & & & & & & & & \\ & & & & 0 & \beta & \beta^2 & \beta^3 & & & & & & & & & & & \\ \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{1,4} & \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \alpha_{2,4} & & & & & & & & & & & & \\ \alpha_{1,1}^q & \alpha_{1,2}^q & \alpha_{1,3}^q & \alpha_{1,4}^q & \alpha_{2,1}^q & \alpha_{2,2}^q & \alpha_{2,3}^q & \alpha_{2,4}^q & & & & & & & & & & & & \\ & & & & & & & & 1 & 1 & 1 & 1 & & & & & & & & \\ & & & & & & & & 0 & \beta & \beta^2 & \beta^3 & & & & & & & & \\ & & & & & & & & & & & & 1 & 1 & 1 & 1 & & & & \\ & & & & & & & & & & & & 0 & \beta & \beta^2 & \beta^3 & & & & \\ & & & & & & & & & & & & \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{1,4} & \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \alpha_{2,4} \\ & & & & & & & & & & & & \alpha_{1,1}^q & \alpha_{1,2}^q & \alpha_{1,3}^q & \alpha_{1,4}^q & \alpha_{2,1}^q & \alpha_{2,2}^q & \alpha_{2,3}^q & \alpha_{2,4}^q \\ \lambda_{1,1,1} & \lambda_{1,1,2} & \lambda_{1,1,3} & \lambda_{1,1,4} & \lambda_{1,2,1} & \lambda_{1,2,2} & \lambda_{1,2,3} & \lambda_{1,2,4} & \lambda_{2,1,1} & \lambda_{2,1,2} & \lambda_{2,1,3} & \lambda_{2,1,4} & \lambda_{2,2,1} & \lambda_{2,2,2} & \lambda_{2,2,3} & \lambda_{2,2,4} \end{bmatrix}$$

standard construction. $\lambda_{i,j,k} \in \mathbb{F}_{q^m}$

Optimisation for $h_1 = 1$

Consider the code, $[k = 3, r_1 = 2, r_2 = 2, h_1 = 1, h_2 = 2, \delta = 2]$

[illegible]

Optimised construction. $\alpha_{i,j} \in \mathbb{F}_{q^{m_1}}$

All the MRC conditions can be proved for this construction as well.

Optimisation for $h_1 = 1$ and $h_2 = 1$

Consider the code, $[k = 5, r_1 = 3, r_2 = 2, h_1 = 1, h_2 = 1, \delta = 2]$

$$\begin{bmatrix} \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 & & & & & & & & & & \\ \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 & & & & & & & & & & \\ & & & & \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 & & & & & & \\ & & & & \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 & & & & & & \\ \lambda_1 & \lambda_1 & \lambda_1 & \lambda_1 & \lambda_2 & \lambda_2 & \lambda_2 & \lambda_2 & & & & & & \\ & & & & & & & & \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 & & \\ & & & & & & & & \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 & & \\ & & & & & & & & & & & & \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 \\ & & & & & & & & & & & & \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 \\ & & & & & & & & & & & & \lambda_1 & \lambda_1 & \lambda_1 & \lambda_1 & \lambda_2 & \lambda_2 & \lambda_2 & \lambda_2 \\ \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 & \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 & \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 & \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 & \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 \end{bmatrix}$$

- ▶ q is a prime power such that there exists a subgroup G of \mathbb{F}_q^* of size at-least 4 and with at-least 2 cosets.
- ▶ $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in G$ and $\alpha_i \neq \alpha_j$.
- ▶ $\lambda_1, \lambda_2 \in \mathbb{F}_q^*$ be elements from distinct cosets of G .

Optimisation for $h_1 = 2$ and $h_2 = 1$

Consider the code, $[k = 4, r_1 = 3, r_2 = 2, h_1 = 2, h_2 = 1, \delta = 2]$

[illegible]

Optimisation for $h_1 = 2$ and $h_2 = 1$: Conditions

- ▶ $q_0 \geq 15$ is a prime power.
- ▶ There exists a subgroup G of $\mathbb{F}_{q_0}^*$ of size at least 6 with at-least 4 cosets.
- ▶ \mathbb{F}_q is an extension field of \mathbb{F}_{q_0} .
- ▶ μ_1, \dots, μ_4 are picked from distinct cosets of G .
- ▶ Choose distinct $\beta_3, \beta_4, \beta_5 \in \mathbb{F}_{q_0}$.
- ▶ Pick $\alpha_1, \dots, \alpha_4 \in \mathbb{F}_{q_0}$ such that, $\frac{\alpha_i - \beta_4}{\alpha_i - \beta_5}, \frac{\alpha_i - \beta_3}{\alpha_i - \beta_5} \in G$.
- ▶ Pick distinct $\beta_1, \beta_2 \in \mathbb{F}_{q_0} \setminus \{\alpha_1, \dots, \alpha_4, \beta_3, \beta_4, \beta_5\}$.
- ▶ $\lambda_1, \lambda_2, \lambda_3, \lambda_4 \in \mathbb{F}_q$ are picked 4 wise-independent over \mathbb{F}_{q_0} .

Future Work

- ▶ We define only two levels of locality. The work can be extended to any y levels.
- ▶ We haven't figured out the bounds in which our codes work.
- ▶ Even though we have reduced the number of symbols required, we still haven't optimised the repair process itself.

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Thanks!

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