

# Maximally Recoverable Codes with Hierarchical Locality

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# Distributed Storage Systems

- ▶ Any large enough storage system needs to be distributed and requires some form of redundancy to ensure reliability.
- ▶ Naively, one could store multiple copies of the same data. A common approach is to store two extra copies.
- ▶ This approach puts a huge cost at scale. With **two extra copies of all the data**, you can only recover from 2 failures.

# Distributed Storage Systems: Erasure Coding

- ▶ Alternatively, one could use *erasure coding* to ensure reliability.
- ▶ In this approach we divide the data into  $k$  data symbols and add  $h$  redundancy symbols that are distinct linear combinations of the data symbols.
- ▶ To ensure reliability against two failures, one has to **only add 2 redundancy symbols**.
- ▶ This leads to huge savings in storage.

# Distributed Storage Systems: Codes with Locality

- ▶ Typically since the redundancy symbols depend on all  $k$  data symbols, repairing every erasure requires the system to **access all  $k$  symbols**.
- ▶ Since single erasures are a lot more common than multiple erasures, we can optimise for that scenario.
- ▶ We say a code symbol has locality  $r$ , if that symbol can be repaired by contacting  $r$  other symbols.
- ▶ To have faster repairs, we usually have  $r \ll k$ .

## Codes with Locality

A code  $C$  has an  $(r, \epsilon)$  locality if for every symbol  $c_i \in C$ , there is a punctured code  $C_i$ , such that,

- ▶  $c_i \in \text{Supp}(C_i)$ .
- ▶  $d_{\min}(C_i) \geq \epsilon$
- ▶  $|\text{Supp}(C_i)| \leq r + \epsilon - 1$

For an  $[n, k, d]$  code with  $(r, \epsilon)$  locality,

$$d \leq n - k + 1 - \left( \left\lceil \frac{k}{r} \right\rceil - 1 \right) (\epsilon - 1)$$

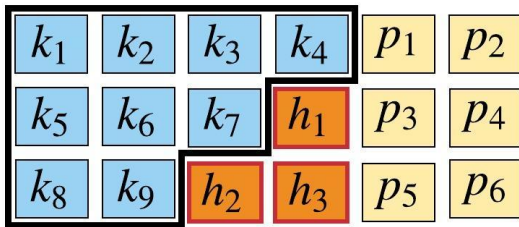
## Codes with Locality (alt. definition)

Let  $C$  be a systematic  $[n, k, d_{\min}]$  code. We say that  $C$  is an  $[k, r, h, \delta]$  local code if the following conditions are satisfied,

- ▶  $r|(k + h)$  and  $n = k + \frac{k+h}{r}\delta + h$
- ▶ There are  $k$  data symbols and  $h$  global parity symbols where each global parity may depend on all data symbols.
- ▶ These  $k + h$  symbols are partitioned into  $\frac{k+h}{r}$  **local groups** of size  $r$ . For each such group, there are  $\delta$  local parity symbols.

## Example

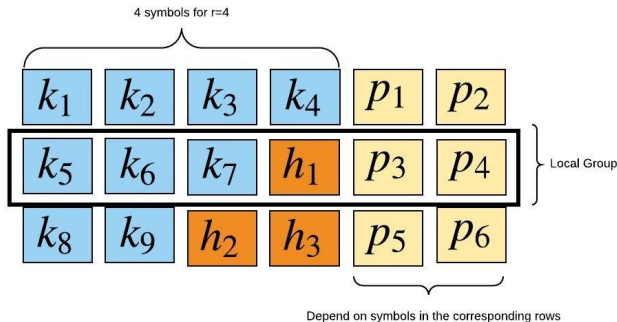
$[k, r, h, \delta]$  code with  $k = 9$ ,  $r = 4$ ,  $h = 3$  and  $\delta = 2$   
where  $h$  and  $n$  are related as  $n = (\frac{k+h}{r})(r + \delta)$



$h_1$ ,  $h_2$  and  $h_3$  depend on all  $k$  symbols

## Example

$[k, r, h, \delta]$  code with  $k = 9$ ,  $r = 4$ ,  $h = 3$  and  $\delta = 2$



- ▶  $k$  data symbols and  $h$  global parities are partitioned into  $\frac{k+h}{r} = 3$  groups
- ▶ There are  $\delta$  parity symbols for each local group.



# Maximal Recoverable Code with Locality

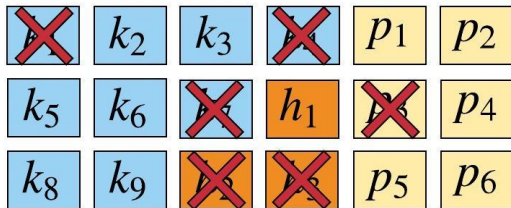
## Definition (Maximal Recoverability)

A code is said to be maximally recoverable if it can recover from all the information theoretically recoverable erasure patterns given the locality constraints of the code.

$[k, r, h, \delta]$  local MRC with  $k = 9$ ,  $r = 4$ ,  $h = 3$  and  $\delta = 2$

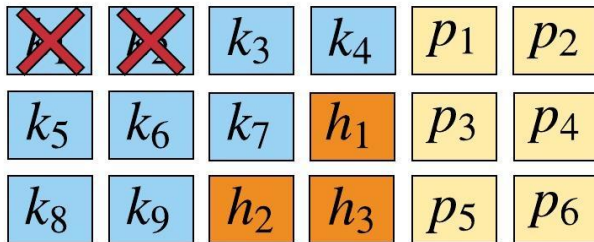
Puncture  $\delta$  symbols per local group.

The resultant is an  $[k + h, k]$  MDS code



## The problem with LRCs

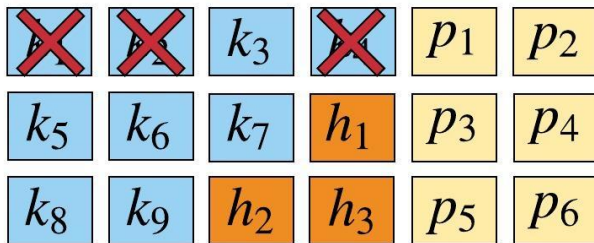
There is an abrupt jump in locality after  $\delta$  erasures



Can be corrected by contacting  $r$  symbols

# The problem with LRCs

There is an abrupt jump in locality after  $\delta$  erasures.



Only corrected by contacting all  $k$  symbols

# The Solution: Hierarchical Codes

Codes with Hierarchical Locality have multiple levels of locality. They allow for a more controlled increase in locality with the number of erasures.

# Codes with Hierarchical Locality

A code  $C$  has an  $[(r_1, \epsilon_1), (r_2, \epsilon_2)]$  hierarchical locality if for every symbol  $c_i \in C$ , there is a punctured code  $C_i$ , such that,

- ▶  $c_i \in \text{Supp}(C_i)$ .
- ▶  $d_{\min}(C_i) \geq \epsilon_1$
- ▶  $|\text{Supp}(C_i)| \leq r_1 + \epsilon_1 - 1$
- ▶  $C_i$  is a code with  $(r_2, \epsilon_2)$  locality

For an  $[n, k, d]$  code with  $[(r_1, \epsilon_1), (r_2, \epsilon_2)]$  locality,

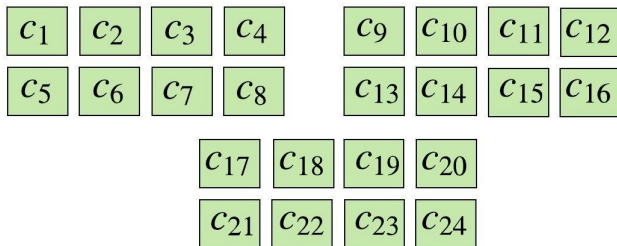
$$d \leq n - k + 1 - \left(\left\lceil \frac{k}{r_2} \right\rceil - 1\right)(\epsilon_2 - 1) - \left(\left\lceil \frac{k}{r_1} \right\rceil - 1\right)(\epsilon_1 - \epsilon_2)$$

## Codes with Hierarchical Locality (alt. definition)

*Easiest to show with an example.*

$[k, r_1, r_2, h_1, h_2, \delta]$  code with  $k = 9$ ,  $r_1 = 4$ ,  $r_2 = 3$ ,  $h_1 = 3$ ,  $h_2 = 2$  and  $\delta = 1$

$$n = \left(\frac{k+h_1}{r_1}\right)\left(\frac{r_1+h_2}{r_2}\right)(r_2 + \delta) = 24$$



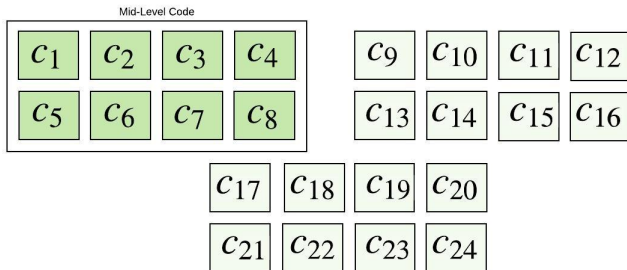
All code symbols satisfy  $h_1 = 3$  global parities.

$$\sum_{j=1}^{24} u_j^{(\ell)} c_j = 0, \quad 1 \leq \ell \leq 3$$

# Codes with Hierarchical Locality (alt. definition)

*Easiest to show with an example*

$[k, r_1, r_2, h_1, h_2, \delta]$  code with  $k = 9$ ,  $r_1 = 4$ ,  $r_2 = 3$ ,  $h_1 = 3$ ,  $h_2 = 2$  and  $\delta = 1$



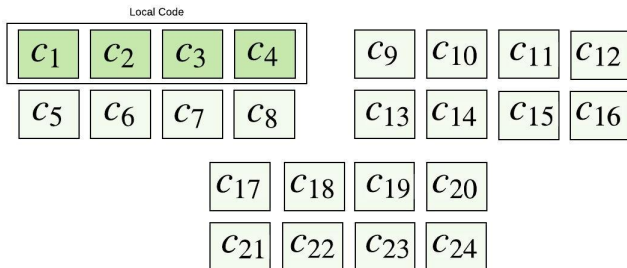
- ▶ All symbols are partitioned in  $t_1 = \frac{k+h_1}{r_1} = 3$  groups of length  $n_1 = \frac{r_1+h_2}{r_2}(r_2 + \delta) = 8$  called mid-level codes.
- ▶ Code symbols in a mid-level code satisfy  $h_2$  mid-level parities.

$$\sum_{j=1}^8 v_j^{(\ell)} c_j = 0, \quad 1 \leq \ell \leq 2 \text{ (same for the rest of the groups)}$$

# Codes with Hierarchical Locality (alt. definition)

*Easiest to show with an example*

$[k, r_1, r_2, h_1, h_2, \delta]$  code with  $k = 9$ ,  $r_1 = 4$ ,  $r_2 = 3$ ,  $h_1 = 3$ ,  $h_2 = 2$  and  $\delta = 1$



- ▶  $n_1$  code symbols from the previous step are partitioned into  $t_2 = \frac{r_1 + h_2}{r_2} = 2$  groups of size  $n_2 = r_2 + \delta = 4$ .
- ▶ Each of these groups satisfy  $\delta = 1$  parities.

$$\sum_{j=1}^4 w_j^{(\ell)} c_j = 0, \quad 1 \leq \ell \leq 1$$

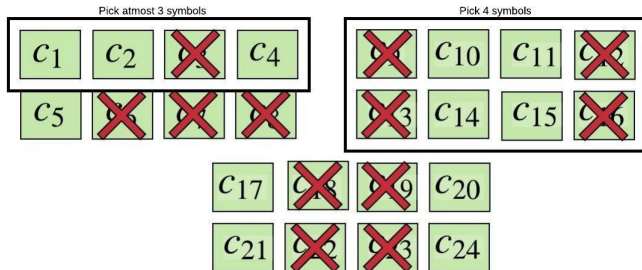


# Our Contributions

- ▶ Definition and constructions for hierarchical local MRCs for all parameters.
- ▶ Using Tensor Product Codes to perform the above construction in a smaller field.
- ▶ Even smaller field size constructions for the following special cases.
  1. 1 global parity and any number of mid-level parities.
  2. 1 global parity and 1 mid-level parity.
  3. 2 global parities and 1 mid-level parity.

# MRCs with Hierarchical Locality

$[k, r_1, r_2, h_1, h_2, \delta]$  code with  $k = 9$ ,  $r_1 = 4$ ,  $r_2 = 3$ ,  $h_1 = 3$ ,  $h_2 = 2$  and  $\delta = 1$



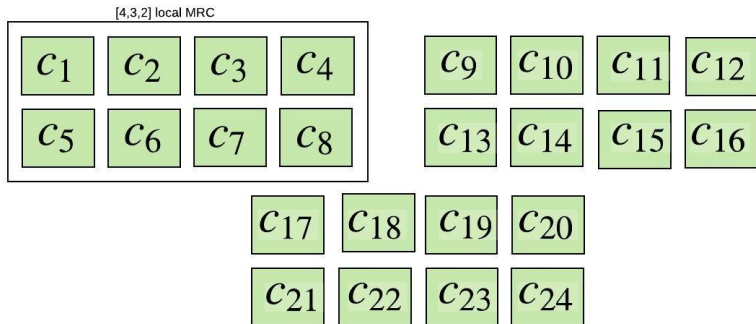
- Pick  $k + h_1$  symbols from the code such that,
  - it contains  $r_1$  symbols from each mid-level code
  - it contains at-most  $r_2$  symbols from each local code
- Those  $k + h_1$  symbols should form an  $[k + h_1, k]$  MDS code.

# MRCs with Hierarchical Locality

## Lemma

*In a  $[k, r_1, r_2, h_1, h_2, \delta]$  hierarchical local MRC, the mid-level codes itself are an  $[r_1, r_2, h_2, \delta]$  local MRC.*

$[k, r_1, r_2, h_1, h_2, \delta]$  code with  $k = 9$ ,  $r_1 = 4$ ,  $r_2 = 3$ ,  $h_1 = 3$ ,  $h_2 = 2$  and  $\delta = 1$



## Parity Check Matrix

For example code,  $[k = 2, r_1 = 2, r_2 = 2, h_1 = 2, h_2 = 2, \delta = 2]$

	1	1	1	1												
	0	$\beta$	$\beta^2$	$\beta^3$	1	1	1	1								
					0	$\beta$	$\beta^2$	$\beta^3$								
$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$									
$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$									
									1	1	1	1				
									0	$\beta$	$\beta^2$	$\beta^3$				
													1	1	1	1
													0	$\beta$	$\beta^2$	$\beta^3$
									$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$
									$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$
$\lambda_{1,1,1}$	$\lambda_{1,1,2}$	$\lambda_{1,1,3}$	$\lambda_{1,1,4}$	$\lambda_{1,2,1}$	$\lambda_{1,2,2}$	$\lambda_{1,2,3}$	$\lambda_{1,2,4}$	$\lambda_{2,1,1}$	$\lambda_{2,1,2}$	$\lambda_{2,1,3}$	$\lambda_{2,1,4}$	$\lambda_{2,2,1}$	$\lambda_{2,2,2}$	$\lambda_{2,2,3}$	$\lambda_{2,2,4}$	
$\lambda_{1,1,1}^{q^{m_1}}$	$\lambda_{1,1,2}^{q^{m_1}}$	$\lambda_{1,1,3}^{q^{m_1}}$	$\lambda_{1,1,4}^{q^{m_1}}$	$\lambda_{1,2,1}^{q^{m_1}}$	$\lambda_{1,2,2}^{q^{m_1}}$	$\lambda_{1,2,3}^{q^{m_1}}$	$\lambda_{1,2,4}^{q^{m_1}}$	$\lambda_{2,1,1}^{q^{m_1}}$	$\lambda_{2,1,2}^{q^{m_1}}$	$\lambda_{2,1,3}^{q^{m_1}}$	$\lambda_{2,1,4}^{q^{m_1}}$	$\lambda_{2,2,1}^{q^{m_1}}$	$\lambda_{2,2,2}^{q^{m_1}}$	$\lambda_{2,2,3}^{q^{m_1}}$	$\lambda_{2,2,4}^{q^{m_1}}$	

- ▶  $\mathbb{F}_{q^m}$  is an extension field of  $\mathbb{F}_{q^{m_1}}$  which itself is an extension of  $\mathbb{F}_q$
- ▶  $\mathbb{F}_q = \langle \beta \rangle$ ,  $\alpha_{i,j} \in \mathbb{F}_{q^{m_1}}$  and  $\lambda_{i,j,k} \in \mathbb{F}_{q^m}$

## Parity Check Matrix

For example code,  $[k = 2, r_1 = 2, r_2 = 2, h_1 = 2, h_2 = 2, \delta = 2]$

1															
0	$\beta$	$\beta^2$	$\beta^3$												
				1	1	1	1								
				0	$\beta$	$\beta^2$	$\beta^3$								
$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$								
$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$								
				1	1	1	1								
				0	$\beta$	$\beta^2$	$\beta^3$								
								1	1	1	1				
								0	$\beta$	$\beta^2$	$\beta^3$				
				$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$				
				$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$				
$\lambda_{1,1,1}$	$\lambda_{1,1,2}$	$\lambda_{1,1,3}$	$\lambda_{1,1,4}$	$\lambda_{1,2,1}$	$\lambda_{1,2,2}$	$\lambda_{1,2,3}$	$\lambda_{1,2,4}$	$\lambda_{2,1,1}$	$\lambda_{2,1,2}$	$\lambda_{2,1,3}$	$\lambda_{2,1,4}$	$\lambda_{2,2,1}$	$\lambda_{2,2,2}$	$\lambda_{2,2,3}$	$\lambda_{2,2,4}$
$\lambda_{1,1,1}^{q^{m_1}}$	$\lambda_{1,1,2}^{q^{m_1}}$	$\lambda_{1,1,3}^{q^{m_1}}$	$\lambda_{1,1,4}^{q^{m_1}}$	$\lambda_{1,2,1}^{q^{m_1}}$	$\lambda_{1,2,2}^{q^{m_1}}$	$\lambda_{1,2,3}^{q^{m_1}}$	$\lambda_{1,2,4}^{q^{m_1}}$	$\lambda_{2,1,1}^{q^{m_1}}$	$\lambda_{2,1,2}^{q^{m_1}}$	$\lambda_{2,1,3}^{q^{m_1}}$	$\lambda_{2,1,4}^{q^{m_1}}$	$\lambda_{2,2,1}^{q^{m_1}}$	$\lambda_{2,2,2}^{q^{m_1}}$	$\lambda_{2,2,3}^{q^{m_1}}$	$\lambda_{2,2,4}^{q^{m_1}}$

Global parity check conditions, mid-level code, and local parities are highlighted.



## Conditions for MRC (mid-level parities)

We consider one such sub-matrix.

We assume that columns 1 and 2 are punctured.

$$\left[ \begin{array}{cc|cc} 1 & 1 & 1 & 1 \\ 0 & \beta & \beta^2 & \beta^3 \\ \hline \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{1,4} \\ \hline \alpha_{1,1}^q & \alpha_{1,2}^q & \alpha_{1,3}^q & \alpha_{1,4}^q \end{array} \right] \Rightarrow \begin{bmatrix} M_s & M_{\bar{s}} \\ \alpha_{1,s} & \alpha_{1,\bar{s}} \\ \alpha_{1,s}^q & \alpha_{1,\bar{s}}^q \end{bmatrix}$$

$$\begin{bmatrix} M_s & M_{\bar{s}} \\ \alpha_{1,s} & \alpha_{1,\bar{s}} \\ \alpha_{1,s}^q & \alpha_{1,\bar{s}}^q \end{bmatrix} \Rightarrow \begin{bmatrix} M_s & M_{\bar{s}} \\ 0 & \alpha_{1,\bar{s}} + \alpha_{1,s}L \\ 0 & (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q \end{bmatrix}$$

$$L = M_s^{-1}M_{\bar{s}} \text{ (} 2 \times 2 \text{ matrix)}$$

Since all elements of  $L$  are from  $\mathbb{F}_q$ ,  $L = L^q$

## Conditions for MRC (mid-level parities)

We show one such mid-level code after that operation.

$$\begin{bmatrix} M_s & M_{\bar{s}} & & \\ & & M_s & M_{\bar{s}} \\ 0 & \alpha_{1,\bar{s}} + \alpha_{1,s}L & 0 & \alpha_{2,\bar{s}} + \alpha_{2,s}L \\ 0 & (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q & 0 & (\alpha_{2,\bar{s}} + \alpha_{2,s}L)^q \end{bmatrix}$$

The punctured sub-matrix,

$$\begin{bmatrix} \alpha_{1,\bar{s}} + \alpha_{1,s}L & \alpha_{2,\bar{s}} + \alpha_{2,s}L \\ (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q & (\alpha_{2,\bar{s}} + \alpha_{2,s}L)^q \end{bmatrix}$$

should be the PCM for a  $[r_1 + h_2 = 4, r_1 = 2]$  MDS code.

Possible because  $L$  is a  $2 \times 2$  matrix.



## Conditions for MRC: Some definitions

### Definition ( $k$ -wise Independence)

A multi-set  $S \subseteq \mathbb{F}$  is  $k$ -wise independent over  $\mathbb{F}$  if for every set  $T \subseteq S$  such that  $|T| \leq k$ ,  $T$  is linearly independent over  $\mathbb{F}$ .

### Lemma

Let  $\mathbb{F}_{q^t}$  be an extension of  $\mathbb{F}_q$ . Let  $a_1, a_2, \dots, a_n$  be elements of  $\mathbb{F}_{q^t}$ . The following matrix

$$\begin{bmatrix} a_1 & a_2 & a_3 & \dots & a_n \\ a_1^q & a_2^q & a_3^q & \dots & a_n^q \\ \vdots & \vdots & \vdots & \dots & \vdots \\ a_1^{q^{k-1}} & a_2^{q^{k-1}} & a_3^{q^{k-1}} & \dots & a_n^{q^{k-1}} \end{bmatrix}$$

is the generator matrix of a  $[n, k]$  MDS code if and only if  $a_1, a_2, \dots, a_n$  are  $k$ -wise linearly independent over  $\mathbb{F}_q$ .

## Conditions for MRC (mid-level parities)

Using the above lemma, the matrix,

$$\begin{bmatrix} \alpha_{1,\bar{s}} + \alpha_{1,s}L & \alpha_{2,\bar{s}} + \alpha_{2,s}L \\ (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q & (\alpha_{2,\bar{s}} + \alpha_{2,s}L)^q \end{bmatrix}$$

is the parity check matrix for a  $[r_1 + h_2 = 4, r_1 = 2]$  MDS code if the set

$$\Psi = \{\alpha_{1,\bar{s}} + \alpha_{1,s}L, \alpha_{2,\bar{s}} + \alpha_{2,s}L\}$$

is  $h_2 = 2$  wise independent over  $\mathbb{F}_q$ .

## Condition on $\alpha_{i,j}$

Since,

$$\left[ \begin{array}{cc|cc} 1 & 1 & 1 & 1 \\ 0 & \beta & \beta^2 & \beta^3 \\ \hline \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{1,4} \\ \hline \alpha_{1,1}^q & \alpha_{1,2}^q & \alpha_{1,3}^q & \alpha_{1,4}^q \end{array} \right] \Rightarrow \begin{bmatrix} M_s & M_{\bar{s}} \\ \alpha_{1,s} & \alpha_{1,\bar{s}} \\ \alpha_{1,s}^q & \alpha_{1,\bar{s}}^q \end{bmatrix}$$

$$\Psi = \{\alpha_{1,\bar{s}} + \alpha_{1,s}L, \alpha_{2,\bar{s}} + \alpha_{2,s}L\}$$

- ▶ Any  $\mathbb{F}_q$ -linear combination of  $k$  elements in  $\Psi$  will have at-most  $3k$  distinct elements.
- ▶ Hence if the set  $\{\alpha_{i,j}\}$  is at-least  $3h_2 = 6$  wise independent over  $\mathbb{F}_q$ , then the set  $\Psi$  will be  $h_2 = 2$  wise independent over  $\mathbb{F}_q$ .

# Conditions for MRC (global parities)

We now consider global parities along with the mid-level codes. After puncturing  $\delta$  coordinates per local group, puncturing  $h_2 = 2$  coordinates per mid-level code results in an MDS code.

1	1	1	1				
0	$\beta$	$\beta^2$	$\beta^3$				
				1	1	1	1
				0	$\beta$	$\beta^2$	$\beta^3$
$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$
$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$
$\lambda_{1,1,1}$	$\lambda_{1,1,2}$	$\lambda_{1,1,3}$	$\lambda_{1,1,4}$	$\lambda_{1,2,1}$	$\lambda_{1,2,2}$	$\lambda_{1,2,3}$	$\lambda_{1,2,4}$
$\lambda_{1,1,1}^{q^{m_1}}$	$\lambda_{1,1,2}^{q^{m_1}}$	$\lambda_{1,1,3}^{q^{m_1}}$	$\lambda_{1,1,4}^{q^{m_1}}$	$\lambda_{1,2,1}^{q^{m_1}}$	$\lambda_{1,2,2}^{q^{m_1}}$	$\lambda_{1,2,3}^{q^{m_1}}$	$\lambda_{1,2,4}^{q^{m_1}}$

1	1	1	1				
0	$\beta$	$\beta^2$	$\beta^3$				
				1	1	1	1
				0	$\beta$	$\beta^2$	$\beta^3$
$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$	$\alpha_{1,4}$	$\alpha_{2,1}$	$\alpha_{2,2}$	$\alpha_{2,3}$	$\alpha_{2,4}$
$\alpha_{1,1}^q$	$\alpha_{1,2}^q$	$\alpha_{1,3}^q$	$\alpha_{1,4}^q$	$\alpha_{2,1}^q$	$\alpha_{2,2}^q$	$\alpha_{2,3}^q$	$\alpha_{2,4}^q$
$\lambda_{2,1,1}$	$\lambda_{2,1,2}$	$\lambda_{2,1,3}$	$\lambda_{2,1,4}$	$\lambda_{2,2,1}$	$\lambda_{2,2,2}$	$\lambda_{2,2,3}$	$\lambda_{2,2,4}$
$\lambda_{2,1,1}^{q^{m_1}}$	$\lambda_{2,1,2}^{q^{m_1}}$	$\lambda_{2,1,3}^{q^{m_1}}$	$\lambda_{2,1,4}^{q^{m_1}}$	$\lambda_{2,2,1}^{q^{m_1}}$	$\lambda_{2,2,2}^{q^{m_1}}$	$\lambda_{2,2,3}^{q^{m_1}}$	$\lambda_{2,2,4}^{q^{m_1}}$

## Conditions for MRC (global parities)

This time we apply the shortening to global parities as well. We collect the shortened code from the entire mid-level code as in previous steps.

$$\begin{bmatrix} \alpha_{1,\bar{s}} + \alpha_{1,s}L & \alpha_{2,\bar{s}} + \alpha_{2,s}L \\ (\alpha_{1,\bar{s}} + \alpha_{1,s}L)^q & (\alpha_{2,\bar{s}} + \alpha_{2,s}L)^q \\ \lambda_{1,1,\bar{s}} + \lambda_{1,1,s}L & \lambda_{1,2,\bar{s}} + \lambda_{1,2,s}L \\ (\lambda_{1,1,\bar{s}} + \lambda_{1,1,s}L)^{q^{m_1}} & (\lambda_{1,2,\bar{s}} + \lambda_{1,2,s}L)^{q^{m_1}} \end{bmatrix}$$

We perform similar steps as we did for  $\alpha_{i,j}$  and arrive at a similar result.

- The set  $\{\lambda_{i,j,k}\}$  needs to be at-least  $h_1(h_2 + 1)(\delta + 1)$  wise independent over  $\mathbb{F}_{q^{m_1}}$

## Picking $\alpha_{i,j}$ and $\lambda_{i,j,k}$ (from PCM of codes)

- ▶ We pick  $\alpha_{i,j}$  and  $\lambda_{i,j,k}$  as columns of the PCM of an appropriate code.
- ▶ For an  $[n, k, d]$  code over  $\mathbb{F}_q$ , the columns of a PCM are elements in  $\mathbb{F}_{q^{n-k}}$  which are  $(d-1)$ -wise independent over  $\mathbb{F}_q$
- ▶ Since,  $\alpha_{i,j} \in \mathbb{F}_{q^{m_1}}/\mathbb{F}_q$ , the value of  $n-k$  is  $m_1$ .
- ▶ Now since  $\{\alpha_{i,j}\}$  needs to be 6-wise independent in  $\mathbb{F}_q$ , the value of  $d$  should be 7.
- ▶ We need 8 values for  $\alpha_{i,j} = \{\alpha_{1,1}, \dots, \alpha_{1,4}, \alpha_{2,1}, \dots, \alpha_{2,4}\}$ .

## Picking $\alpha_{i,j}$ and $\lambda_{i,j,k}$ (BCH codes)

### Lemma

*There exists  $[n = q^t - 1, k, d]$  BCH codes over  $\mathbb{F}_q$ , where the parameters are related as*

$$n - k = 1 + \left\lceil \frac{q-1}{q}(d-2) \right\rceil \lceil \log_2(n) \rceil.$$

- ▶ We set  $t = \lceil \log_q(8) \rceil$  to get a PCM with smallest number of columns.
- ▶ We already have a value for  $d$  and can now calculate the value of  $k$  from the above relation.

Similar procedure is followed to get  $\{\lambda_{i,j,k}\}$ .

## More optimisations

- ▶ Using Tensor Product Codes to perform the above construction in a smaller field.
- ▶ Even smaller field size constructions for the following special cases.
  1. 1 global parity any number of mid-level parities
  2. 1 global parity and 1 mid-level parity
  3. 2 global parities and 1 mid-level parity



# Tensor Product Codes

Let  $C_1$  be an  $[n, n - \rho]$  linear code in  $\mathbb{F}_q$  which can correct  $e_1$  erasures. Also,  $C_2$  is an  $[m, m - s]$  code in  $\mathbb{F}_{q^\rho}$  that can correct  $e_2$  erasures. An  $[nm, nm - s\rho]$  code  $C$  in  $\mathbb{F}_q$  is called the tensor product code of  $C_1$  and  $C_2$  if

$$\forall x \in C_1 \text{ and } y \in C_2, \quad y \otimes x \in C$$

where  $y \otimes x$  is the tensor product of  $x$  and  $y$  in  $\mathbb{F}_q$ .

## Example

Let  $C_1$  be a  $(3, 1)$ -code in  $\mathbb{F}_q$ . The PCM,

$$H_1 = \begin{bmatrix} u_{1,1} & u_{2,1} & u_{3,1} \\ u_{1,2} & u_{2,2} & u_{3,2} \end{bmatrix} = \begin{bmatrix} u_1 & u_2 & u_3 \end{bmatrix} \quad u_i \in \mathbb{F}_{q^2}$$

Let  $C_2$  be a  $(4, 1)$ -code in  $\mathbb{F}_{q^2}$ . The PCM here,

$$H_2 = \begin{bmatrix} v_{1,1} & v_{2,1} & v_{3,1} & v_{4,1} \\ v_{1,2} & v_{2,2} & v_{3,2} & v_{4,2} \\ v_{1,3} & v_{2,3} & v_{3,3} & v_{4,3} \end{bmatrix} \quad v_{i,j} \in \mathbb{F}_{q^2}$$

Assume both codes are MDS, therefore  $e_1 = 2$  and  $e_2 = 3$

Therefore the PCM ( $H$ ) for the tensor product of  $C_1$  and  $C_2$

$$H = \begin{bmatrix} v_{1,1}u_1 & v_{1,1}u_2 & v_{1,1}u_3 & v_{2,1}u_1 & v_{2,1}u_2 & v_{2,1}u_3 & v_{3,1}u_1 & v_{3,1}u_2 & v_{3,1}u_3 & v_{4,1}u_1 & v_{4,1}u_2 & v_{4,1}u_3 \\ v_{1,2}u_1 & v_{1,2}u_2 & v_{1,2}u_3 & v_{2,2}u_1 & v_{2,2}u_2 & v_{2,2}u_3 & v_{3,2}u_1 & v_{3,2}u_2 & v_{3,2}u_3 & v_{4,2}u_1 & v_{4,2}u_2 & v_{4,2}u_3 \\ v_{1,3}u_1 & v_{1,3}u_2 & v_{1,3}u_3 & v_{2,3}u_1 & v_{2,3}u_2 & v_{2,3}u_3 & v_{3,3}u_1 & v_{3,3}u_2 & v_{3,3}u_3 & v_{4,3}u_1 & v_{4,3}u_2 & v_{4,3}u_3 \end{bmatrix}$$

# Tensor Product Codes: Erasure Correction

## Theorem

$C_1$ ,  $C_2$  and  $C$  are as defined above. If the code-words in  $C$  are considered to be consisting of  $m$  sub-blocks with each sub-block containing  $n$  symbols,  $C$  will correct all erasure patterns where,

- ▶ At-most  $e_2$  sub-blocks are affected.
- ▶ At-most  $e_1$  erasures in each affected sub-block.

$$H = \begin{bmatrix} \begin{matrix} v_{1,1}u_1 & v_{1,1}u_2 & v_{1,1}u_3 \\ v_{1,2}u_1 & v_{1,2}u_2 & v_{1,2}u_3 \\ v_{1,3}u_1 & v_{1,3}u_2 & v_{1,3}u_3 \end{matrix} & \begin{matrix} v_{2,1}u_1 & v_{2,1}u_2 & v_{2,1}u_3 \\ v_{2,2}u_1 & v_{2,2}u_2 & v_{2,2}u_3 \\ v_{2,3}u_1 & v_{2,3}u_2 & v_{2,3}u_3 \end{matrix} & \begin{matrix} v_{3,1}u_1 & v_{3,1}u_2 & v_{3,1}u_3 \\ v_{3,2}u_1 & v_{3,2}u_2 & v_{3,2}u_3 \\ v_{3,3}u_1 & v_{3,3}u_2 & v_{3,3}u_3 \end{matrix} & \begin{matrix} v_{4,1}u_1 & v_{4,1}u_2 & v_{4,1}u_3 \\ v_{4,2}u_1 & v_{4,2}u_2 & v_{4,2}u_3 \\ v_{4,3}u_1 & v_{4,3}u_2 & v_{4,3}u_3 \end{matrix} \end{bmatrix}$$

Here, there are  $m = 4$  sub-blocks with  $n = 3$  symbols each. This code can recover all erasures where at-most 3 sub-blocks are affected and upto 2 erasures per sub-block

# Convention

We say that an  $[nm, nm - s\rho]$  tensor product code  $C \subseteq \mathbb{F}_q^{m \times n}$  is an  $[m, n; e_2, e_1]$  erasure correcting code if it can correct any erasure pattern of the form  $\mathbf{E} = (E_1, \dots, E_m)$  where for  $i \in [m]$  and  $E_i \subseteq [n]$  and

- ▶  $|\{i : E_i \neq \emptyset\}| \leq e_2$ .
- ▶ for  $i \in [m], |E_i| \leq e_1$ .

$$H = \begin{bmatrix} \begin{array}{|c|c|c|} \hline v_{1,1}u_1 & v_{1,1}u_2 & v_{1,1}u_3 \\ \hline v_{1,2}u_1 & v_{1,2}u_2 & v_{1,2}u_3 \\ \hline v_{1,3}u_1 & v_{1,3}u_2 & v_{1,3}u_3 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline v_{2,1}u_1 & v_{2,1}u_2 & v_{2,1}u_3 \\ \hline v_{2,2}u_1 & v_{2,2}u_2 & v_{2,2}u_3 \\ \hline v_{2,3}u_1 & v_{2,3}u_2 & v_{2,3}u_3 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline v_{3,1}u_1 & v_{3,1}u_2 & v_{3,1}u_3 \\ \hline v_{3,2}u_1 & v_{3,2}u_2 & v_{3,2}u_3 \\ \hline v_{3,3}u_1 & v_{3,3}u_2 & v_{3,3}u_3 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline v_{4,1}u_1 & v_{4,1}u_2 & v_{4,1}u_3 \\ \hline v_{4,2}u_1 & v_{4,2}u_2 & v_{4,2}u_3 \\ \hline v_{4,3}u_1 & v_{4,3}u_2 & v_{4,3}u_3 \\ \hline \end{array} \end{bmatrix}$$

$$= \begin{bmatrix} \begin{array}{|c|c|c|} \hline \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline \alpha_{3,1} & \alpha_{3,2} & \alpha_{3,3} \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline \alpha_{4,1} & \alpha_{4,2} & \alpha_{4,3} \\ \hline \end{array} \end{bmatrix}$$

This code is then a  $[4, 3; 3, 2]$  code.

# Columns of the Parity Check Matrix

$$\begin{bmatrix} \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \alpha_{3,1} & \alpha_{3,2} & \alpha_{3,3} & \alpha_{4,1} & \alpha_{4,2} & \alpha_{4,3} \end{bmatrix}$$

This code can correct erasures in upto 3 sub-blocks and at-most 2 erasures per sub-block. Therefore for

$$S = \{\alpha_{1,1}, \alpha_{1,2}, \alpha_{1,3}, \alpha_{2,1}, \alpha_{2,2}, \alpha_{2,3}, \alpha_{3,1}, \alpha_{3,2}, \alpha_{3,3}, \alpha_{4,1}, \alpha_{4,2}, \alpha_{4,3}, \}$$

Any set  $T \subseteq S$  such that

- ▶ There are upto 3 distinct values of  $i$  of  $\alpha_{i,j}$
- ▶ There are upto 2 distinct values of  $j$  per  $i$  of  $\alpha_{i,j}$

Any such subset will be linearly independent in  $\mathbb{F}_{q^2}$

# Product Construction

Assume the following code,  $[k = 8, r_1 = 7, r_2 = 3, h_1 = 6, h_2 = 2, \delta = 2]$

Based on the MRC conditions proved previously,

$$\Psi = \{\alpha_{1,\bar{s}} + \alpha_{1,s}L, \alpha_{2,\bar{s}} + \alpha_{2,s}L, \alpha_{3,\bar{s}} + \alpha_{3,s}L\}$$

where,  $\alpha_{i,s} = \{\alpha_{i,1}, \alpha_{i,2}\}$  and  $\alpha_{i,\bar{s}} = \{\alpha_{i,3}, \alpha_{i,4}, \alpha_{i,5}\}$   $\alpha_{i,j} \in \mathbb{F}_{q^{m_1}}$   
and  $L$  is a  $2 \times 3$  matrix with elements in  $\mathbb{F}_q$ .

$\Psi$  is required to be 2-wise independent over  $\mathbb{F}_q$ .

# Product Construction

Assuming  $L = \begin{bmatrix} a & c & e \\ b & d & f \end{bmatrix}$  Expand  $\Psi$  into individual components,

$$\Psi = \{\alpha_{1,3} + a\alpha_{1,1} + b\alpha_{1,2}, \alpha_{1,4} + c\alpha_{1,1} + d\alpha_{1,2}, \alpha_{1,5} + e\alpha_{1,1} + f\alpha_{1,2}, \\ \alpha_{2,3} + a\alpha_{2,1} + b\alpha_{2,2}, \alpha_{2,4} + c\alpha_{2,1} + d\alpha_{2,2}, \alpha_{2,5} + e\alpha_{2,1} + f\alpha_{2,2}, \\ \alpha_{3,3} + a\alpha_{3,1} + b\alpha_{3,2}, \alpha_{3,4} + c\alpha_{3,1} + d\alpha_{3,2}, \alpha_{3,5} + e\alpha_{3,1} + f\alpha_{3,2}\}$$

We can pick  $h_2 = 2$  elements in two different ways.

- ▶ Here, the first index of  $\alpha_{i,j}$  remains the same. There are 4 distinct  $\alpha_{i,j}$  in that linear combination
- ▶ The first index of  $\alpha_{i,j}$  are different. In this case, there are 3 distinct  $\alpha_{i,j}$  per  $i$ .

# Product Construction

If we relate this to previous example of the tensor code,

$$\begin{bmatrix} \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \alpha_{3,1} & \alpha_{3,2} & \alpha_{3,3} & \alpha_{4,1} & \alpha_{4,2} & \alpha_{4,3} \end{bmatrix}$$

(Illustrative example)

If we pick  $\alpha_{i,j}$  as these columns we have to ensure that,

- ▶ The code can recover from erasures in 2 sub-blocks ( $e_2 = 2$ ).
- ▶ The code can recover from 4 erasures per sub-block ( $e_1 = 4$ ).
- ▶ There are at-least 3 distinct groups because there are 3 distinct values of  $i$  in  $\alpha_{i,j}$  ( $m = 3$ )
- ▶ There are 5 columns per group because there are 5 different values of  $j$  for every  $i$  in  $\alpha_{i,j}$ . ( $n = 5$ )

Hence,  $\Psi$  is 2-wise independent if  $\alpha_{i,j}$  is picked from a  $[3, 5; 2, 4]$  code.



# Product Construction

More generally,

## Theorem

*Let  $C_{TP}$  be an  $[t_2, n_2; h_2, h_2 + \delta]$  erasure correcting code with  $t_2 > h_2$  and  $n_2 > h_2 + \delta$  over  $\mathbb{F}_q$  with redundancy  $m_1$  and the parity check matrix  $H_{TP} = (\alpha_{1,1}, \dots, \alpha_{t_2, n_2}) \in (\mathbb{F}_{q^{m_1}})^{t_2 n_2}$ . Then the set  $\{\alpha_{i,j}\}$  chosen as columns of  $H_{TP}$  guarantees that the mid-level code is MRC.*

Similarly for  $\lambda_{i,k,j}$ ,

## Theorem

*Let  $C_{TP}$  be an  $[t_1, t_2 n_2; h_1, (h_1 + h_2)(\delta + 1)]$  erasure correcting code with  $t_1 > h_1$  and  $t_2 n_2 > (h_1 + h_2)(\delta + 1)$  over  $\mathbb{F}_{q^{m_1}}$  with redundancy  $m$  and the parity check matrix  $H_{TP} = (\lambda_{1,1,1}, \dots, \lambda_{t_1, t_2, n_2}) \in (\mathbb{F}_{q^m})^{t_1 t_2 n_2}$ . Then the set  $\{\lambda_{i,j,k}\}$  chosen as columns of  $H_{TP}$  ensures that global code is MRC.*

# Optimisation for $h_1 = 1$

Consider the code,  $[k = 3, r_1 = 2, r_2 = 2, h_1 = 1, h_2 = 2, \delta = 2]$

$$\begin{bmatrix} 1 & 1 & 1 & 1 & & & & & & & & & & & & & & & & \\ 0 & \beta & \beta^2 & \beta^3 & & & & & & & & & & & & & & & & \\ & & & & 1 & 1 & 1 & 1 & & & & & & & & & & & & \\ & & & & 0 & \beta & \beta^2 & \beta^3 & & & & & & & & & & & \\ \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{1,4} & \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \alpha_{2,4} & & & & & & & & & & & & \\ \alpha_{1,1}^q & \alpha_{1,2}^q & \alpha_{1,3}^q & \alpha_{1,4}^q & \alpha_{2,1}^q & \alpha_{2,2}^q & \alpha_{2,3}^q & \alpha_{2,4}^q & & & & & & & & & & & & \\ & & & & & & & & 1 & 1 & 1 & 1 & & & & & & & & \\ & & & & & & & & 0 & \beta & \beta^2 & \beta^3 & & & & & & & \\ & & & & & & & & & & & & 1 & 1 & 1 & 1 & & & & \\ & & & & & & & & & & & & 0 & \beta & \beta^2 & \beta^3 & & & & \\ & & & & & & & & & & & & \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{1,4} & \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \alpha_{2,4} \\ & & & & & & & & & & & & \alpha_{1,1}^q & \alpha_{1,2}^q & \alpha_{1,3}^q & \alpha_{1,4}^q & \alpha_{2,1}^q & \alpha_{2,2}^q & \alpha_{2,3}^q & \alpha_{2,4}^q \\ \lambda_{1,1,1} & \lambda_{1,1,2} & \lambda_{1,1,3} & \lambda_{1,1,4} & \lambda_{1,2,1} & \lambda_{1,2,2} & \lambda_{1,2,3} & \lambda_{1,2,4} & \lambda_{2,1,1} & \lambda_{2,1,2} & \lambda_{2,1,3} & \lambda_{2,1,4} & \lambda_{2,2,1} & \lambda_{2,2,2} & \lambda_{2,2,3} & \lambda_{2,2,4} \end{bmatrix}$$

standard construction.  $\lambda_{i,j,k} \in \mathbb{F}_{q^m}$



# Optimisation for $h_1 = 1$ and $h_2 = 1$

Consider the code,  $[k = 5, r_1 = 3, r_2 = 2, h_1 = 1, h_2 = 1, \delta = 2]$

$$\begin{bmatrix} \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 & & & & & & & & & & & \\ \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 & & & & & & & & & & & \\ & & & & \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 & & & & & & & \\ & & & & \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 & & & & & & & \\ \lambda_1 & \lambda_1 & \lambda_1 & \lambda_1 & \lambda_2 & \lambda_2 & \lambda_2 & \lambda_2 & & & & & & & \\ & & & & & & & & \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 & & & \\ & & & & & & & & \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 & & & \\ & & & & & & & & & & & & \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 \\ & & & & & & & & & & & & \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 \\ & & & & & & & & & & & & \lambda_1 & \lambda_1 & \lambda_1 & \lambda_1 \\ & & & & & & & & & & & & \lambda_2 & \lambda_2 & \lambda_2 & \lambda_2 \\ \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 & \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 & \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 & \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 \end{bmatrix}$$

- ▶  $q$  is a prime power such that there exists a subgroup  $G$  of  $\mathbb{F}_q^*$  of size at-least 4 and with at-least 2 cosets.
- ▶  $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in G$  and  $\alpha_i \neq \alpha_j$ .
- ▶  $\lambda_1, \lambda_2 \in \mathbb{F}_q^*$  be elements from distinct cosets of  $G$ .

## Optimisation for $h_1 = 2$ and $h_2 = 1$

Consider the code,  $[k = 4, r_1 = 3, r_2 = 2, h_1 = 2, h_2 = 1, \delta = 2]$

[illegible]

## Optimisation for $h_1 = 2$ and $h_2 = 1$ : Conditions

- ▶  $q_0 \geq 15$  is a prime power.
- ▶ There exists a subgroup  $G$  of  $\mathbb{F}_{q_0}^*$  of size at least 6 with at-least 4 cosets.
- ▶  $\mathbb{F}_q$  is an extension field of  $\mathbb{F}_{q_0}$ .
- ▶  $\mu_1, \dots, \mu_4$  are picked from distinct cosets of  $G$ .
- ▶ Choose distinct  $\beta_3, \beta_4, \beta_5 \in \mathbb{F}_{q_0}$ .
- ▶ Pick  $\alpha_1, \dots, \alpha_4 \in \mathbb{F}_{q_0}$  such that,  $\frac{\alpha_i - \beta_4}{\alpha_i - \beta_5}, \frac{\alpha_i - \beta_3}{\alpha_i - \beta_5} \in G$ .
- ▶ Pick distinct  $\beta_1, \beta_2 \in \mathbb{F}_{q_0} \setminus \{\alpha_1, \dots, \alpha_4, \beta_3, \beta_4, \beta_5\}$ .
- ▶  $\lambda_1, \lambda_2, \lambda_3, \lambda_4 \in \mathbb{F}_q$  are picked 4 wise-independent over  $\mathbb{F}_{q_0}$ .

## Future Work

- ▶ We define only two levels of locality. The work can be extended to any  $y$  levels.
- ▶ We haven't figured out the bounds in which our codes work.
- ▶ Even though we have reduced the number of symbols required, we still haven't optimised the repair process itself.

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# Thanks!

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