

# Graphical Analysis of Reversible Radioligand Binding from Time–Activity Measurements Applied to [N-<sup>11</sup>C-methyl]-(–)-Cocaine PET Studies in Human Subjects

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**Summary:** A graphical method of analysis applicable to ligands that bind reversibly to receptors or enzymes requiring the simultaneous measurement of plasma and tissue radioactivities for multiple times after the injection of a radiolabeled tracer is presented. It is shown that there is a time  $t^*$  after which a plot of  $\int_0^t \text{ROI}(t') dt' / \text{ROI}(t)$  versus  $\int_0^t C_p(t') dt' / \text{ROI}(t)$  (where ROI and  $C_p$  are functions of time describing the variation of tissue radioactivity and plasma radioactivity, respectively) is linear with a slope that corresponds to the steady-state space of the ligand plus the plasma volume,  $V_p$ . For a two-compartment model, the slope is given by  $\lambda + V_p$ , where  $\lambda$  is the partition coefficient and the intercept is  $-1/[k_2(1 + V_p/\lambda)]$ . For a three-compartment model, the slope is  $\lambda(1 + B_{\max}/K_d) + V_p$  and the intercept is  $-\{(1 + B_{\max}/K_d)/k_2 + [k_{\text{off}}(1 + K_d/B_{\max})]^{-1}\} [1 + V_p/\lambda(1 + B_{\max}/K_d)]^{-1}$  (where  $B_{\max}$  represents the concentration of ligand binding sites and  $K_d$  the equilibrium dissociation constant of the ligand–binding site complex,  $k_{\text{off}}$  ( $k_4$ ) the ligand–

binding site dissociation constant, and  $k_2$  is the transfer constant from tissue to plasma). This graphical method provides the ratio  $B_{\max}/K_d$  from the slope for comparison with in vitro measures of the same parameter. It also provides an easy, rapid method for comparison of the reproducibility of repeated measures in a single subject, for longitudinal or drug intervention protocols, or for comparing experimental results between subjects. Although the linearity of this plot holds when ROI/ $C_p$  is constant, it can be shown that, for many systems, linearity is effectively reached some time before this. This analysis has been applied to data from [N-methyl-<sup>11</sup>C]-(–)-cocaine ([<sup>11</sup>C]cocaine) studies in normal human volunteers and the results are compared to the standard nonlinear least-squares analysis. The calculated value of  $B_{\max}/K_d$  for the high-affinity binding site for cocaine is  $0.62 \pm 0.20$ , in agreement with literature values. **Key Words:** Cocaine—PET—Graphical analysis—Transfer constants—Compartmental modeling—Receptors.

Positron emission tomography (PET) is an imaging method that allows sequential measurements of radioactivity in a region of interest (ROI) in vivo after an intravenous injection of a radiolabeled ligand. Several methods of analyzing PET data have been developed allowing comparisons to be made among experiments. One method is to assume a

particular model in which a measured plasma input function governs the uptake of the labeled ligand. Model parameters can then be determined by a nonlinear least-squares (NLSQ) fit to the experimental data (see, for example, Carson, 1986). Graphical methods of analysis applicable to ligands that are trapped in tissue for the duration of the experiment have been developed and applied by several investigators (Gjedde, 1981; Patlak et al., 1983; Wong et al., 1984; Patlak and Blasberg, 1985; Gjedde et al., 1986). These methods are model independent and because of the simplicity of the calculation greatly facilitate the intercomparison of experimental data. Gjedde (1981) used a plot of  $\text{ROI}(t)/C_p(t)$  versus

Received October 6, 1989; revised February 14, 1990; accepted February 16, 1990.

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Abbreviations used: NLSQ, nonlinear least squares; PET, positron emission tomography; ROI, region of interest.

$\int_0^t C_p(t') dt'/C_p(t)$ , which becomes linear for times in which the transport of radiotracer from plasma to tissue is unidirectional. This method was developed more formally by Patlak et al. (1983), who showed that when the reversible part of a system that contains at least one trapping mechanism approaches a steady state (at time  $t^\dagger$ ), such a plot becomes linear for times  $t > t^\dagger$ . The slope and intercept can be related to the transport and binding constants of the ligand if a particular model is assumed. A variation of this presented by Patlak and Blasberg (1985) is a plot of  $\text{ROI}(t)/\text{ROI}_{rv}(t)$  versus  $\int_0^t \text{ROI}_{rv}(t') dt'/\text{ROI}_{rv}(t)$ , where  $\text{ROI}_{rv}$  is the radioactivity from an ROI that does not contain the receptor or enzyme responsible for the irreversible trapping of the molecule. Wong (1984) introduced the plot of  $\text{ROI}(t)/\text{ROI}_{rv}(t)$  versus time, which can also be shown to be linear in pertinent systems.

Many new radiotracers currently being introduced into PET are not trapped but have rapid dissociation constants and a rapid efflux from tissue. A graphical method of analysis applicable to such systems is presented here. This represents an extension to receptor systems of the linear analysis applied by Gjedde (1982) to a two-compartment system. As with the methods for irreversible trapping, no particular model structure is assumed. The method has been found to apply to PET data from [ $^{11}\text{C}$ ]cocaine studies in humans in which the maximum radioactivity is achieved 5 to 10 min after injection with subsequent rapid washout.

## THEORY AND METHODS

The kinetics of tracer amounts of labeled compounds in physiological systems can be described in terms of first-order, constant-coefficient, linear differential equations. The input function is generally a bolus injection of the labeled compound. The arterial plasma radioactivity can be described as the driving function of the differential equations that govern the uptake and loss of the tracer. The distribution of the tracer in tissue is described in terms of compartments that can be either a physical volume or a chemical volume (i.e., tracer bound to a receptor or enzyme). The time variation of the activity in multi-compartment systems driven by an input function  $C_p(t)$  can be described by (Patlak and Blasberg, 1985) (definitions of terms can be found in Appendix A)

$$dA/dt = KA + QC_p(t) \quad (1)$$

where  $A$  is the column vector of concentrations (radioactivities) for each compartment at time  $t$ ,  $K$  is the matrix of transfer constants, and  $Q$  is the vector describing transfer of activity from plasma to tissue (for the models considered here, the only nonzero element of  $Q$  is  $k_1$ ). Patlak and Blasberg (1985) showed that Eq. (1) can be written as

$$\int_0^t A(t') dt' = -\text{Un}^T K^{-1} Q \int_0^t C_p(t') dt' + \text{Un}^T K^{-1} A \quad (2)$$

where  $\text{Un}^T$  is a row vector of 1s and  $A(t) = \text{Un}^T A$ . Following Patlak, the total activity in the region of interest contains a contribution from the blood volume so that  $\text{ROI}(t) = A(t) + V_p C_p(t)$  (where  $V_p$  is the plasma volume within the ROI) and Eq. (2) becomes

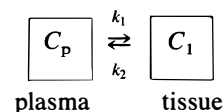
$$\int_0^t \text{ROI}(t') dt' = (-\text{Un}^T K^{-1} Q + V_p) \int_0^t C_p(t') dt' + \text{Un}^T K^{-1} A \quad (3)$$

Dividing Eq. (3) by  $(\text{Un}^T A + V_p C_p)$ , we have a linear equation applicable to reversible systems when  $\text{Un}^T K^{-1} A/[\text{Un}^T A + V_p C_p(t)]$  becomes constant:

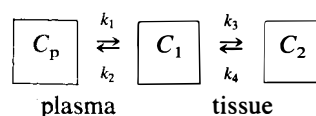
$$\frac{\int_0^t \text{ROI}(t') dt'}{\text{ROI}(t)} = (-\text{Un}^T K^{-1} Q + V_p) \frac{\int_0^t C_p(t') dt'}{\text{ROI}(t)} + \frac{\text{Un}^T K^{-1} A}{\text{Un}^T A + V_p C_p} \quad (4)$$

Patlak et al. (1983) has shown that for  $t > t^\dagger$ ,  $A = -K^{-1} Q C_p(t)$  (the steady-state condition). When this is valid, the last term in Eq. (4) has reached its constant value so that after some time a plot of  $\int_0^t \text{ROI}(t') dt'/\text{ROI}(t)$  versus  $\int_0^t C_p(t') dt'/\text{ROI}(t)$  is linear with slope  $(-\text{Un}^T K^{-1} Q + V_p)$  and intercept  $-\text{Un}^T K^{-2} Q/(-\text{Un}^T K^{-1} Q + V_p)$ . Because the PET method measures the total radioactivity within an ROI, only a limited number of parameters can be uniquely determined from any given study. As a consequence of this, the models most frequently applied are the two- and three-compartment models shown below with transfer constants  $k_1$ ,  $k_2$ ,  $k_3$ , and  $k_4$ . When the three-compartment model refers to an enzyme or receptor system, the transfer constants can be related to the receptor (enzyme) parameters  $B_{\max}$  (the concentration of radiotracer binding sites),  $K_d$  (the equilibrium dissociation constant for the radiotracer-binding site complex),  $k_{\text{off}}$  (the ligand-binding site dissociation constant), and  $k_{\text{on}}$  (the ligand-binding site association constant). In this case,  $k_3 = B_{\max} k_{\text{on}}$ ,  $k_4 = k_{\text{off}}$ ,  $k_3/k_4 = B_{\max}/K_d$ , and  $K_d = k_{\text{off}}/k_{\text{on}}$ . In terms of the two-compartment model (one tissue compartment with ligand concentration  $C_1$  plus the input function  $C_p$ ), the slope of Eq. (4) becomes  $\lambda + V_p$ , where  $\lambda$  is the partition coefficient ( $k_1/k_2$ ) and the intercept is  $-1/[k_2(1 + V_p/\lambda)]$ . For the three-compartment model (two tissue compartments, where  $C_2$  corresponds to a ligand bound to a receptor or enzyme), the slope is  $[\lambda(1 + B_{\max}/K_d) + V_p]$ , which can also be expressed in terms of transfer constants as  $[k_1/k_2(1 + k_3/k_4 + V_p)]$  (It is assumed that the specific activity is sufficiently high so that the number of free binding sites is approximately equal to  $B_{\max}$ ):

### two-compartment model



### three-compartment model



For comparison to the graphical method presented here, the model constants were also determined using a nonlinear fitting technique. The model equations were solved numerically using a modified divided difference form of the Adams–Pece formula (Shampine and Gordon, 1975). Optimum values of the model parameters were found by a simplex search method (Press et al., 1986) minimizing the sum of the squared differences between the measured PET value and the model prediction using a correction for the contribution of the blood volume to the total activity. The input function (plasma activity) was corrected for the presence of the metabolites of cocaine (Fowler et al., 1989). The sensitivity matrix was computed and standard errors of the model parameters were estimated from the diagonal elements of the covariance matrix (Carson, 1986). In all cases, the ratio  $k_1/k_2$  was fixed at the value found for the cerebellum.

Both the graphical and nonlinear least squares (NLSQ) analyses were applied to data from PET studies on four normal male volunteers (age range of 26–46 years) receiving tracer doses of  $^{11}\text{C}$ -labeled cocaine ( $[N-^{11}\text{C}](+)$ -cocaine) (6.5–10 mCi, 9–13  $\mu\text{g}$  per injection). A second study on each individual was repeated at 2–3 h intervals after the first injection to test reproducibility and to set the stage for drug intervention studies. Arterial plasma samples were taken throughout the experiment for both studies and selected samples were analyzed for unchanged  $[^{11}\text{C}]$ cocaine (for experimental details, see Fowler et al., 1989).

## RESULTS AND DISCUSSION

Table 1 contains the results for the graphical analysis technique. Figure 1A illustrates the model fit to time activity data for ROIs from striatum and cerebellum. Figure 1B is a typical example illustrating the graphical analysis applied to ROIs from the cer-

ebellum and the striatum (subject D1). The linear region begins at about 8 min after injection. The slope is greatest for the ROI from the striatum. Cocaine binds principally to the dopamine transporter complex, which is present on the presynaptic dopaminergic neuron in the striatum (Kennedy and Hanbauer, 1983). Assuming that the cerebellum corresponds to the two-compartment model shown above and the striatum to the three-compartment model in which  $C_2$  represents the receptor–ligand complex, the receptor parameter  $B_{\text{max}}/K_d$  ( $k_3/k_4$ ) can be determined from this analysis (see Table 2). Neglecting  $V_p$  (plasma volume), which is on the order of 3–4% of brain tissue (Phelps et al., 1979), and therefore represents a small contribution to the values given in Table 1,  $B_{\text{max}}/K_d$  can be determined from the ratio of slopes for the two ROIs (assuming  $\lambda$  is the same for both regions). These results are reported in Table 3. The variation in the slopes reported in Table 1 between studies 1 and 2 was remarkably small, the largest being 11%. A greater variability in  $B_{\text{max}}/K_d$  was observed (Table 2). This is not unexpected since  $B_{\text{max}}/K_d$  is obtained from the ratio of two values, each of which contains errors and suggests that a better comparison might be the slope itself as long as the transport parameters  $k_1$  and  $k_2$  have not been altered.

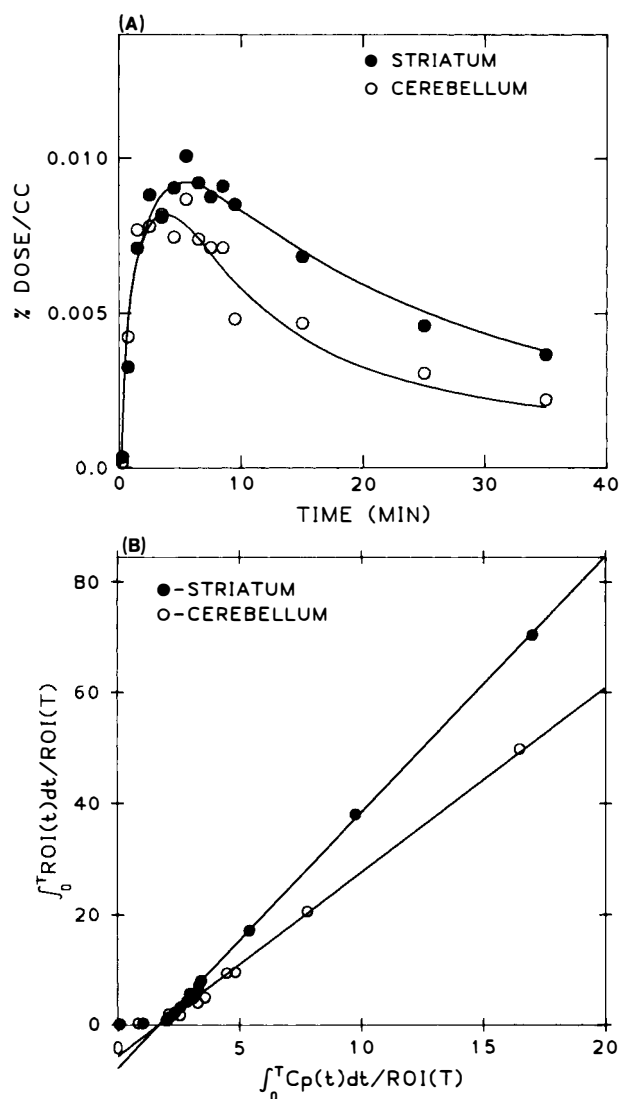
A comparison of the transport constants  $k_1$  and  $k_2$  and their ratio  $\lambda$  obtained from a nonlinear least-squares fit using the two-compartment model for the cerebellum with results from the graphical analysis are presented in Table 3. The results are in agreement, indicating that the graphical method

TABLE 1. Slopes and intercepts from the graphical analysis of data from repeated PET studies with  $[^{11}\text{C}]$ cocaine in human subjects

Subject	Study	ROI	Slope	% Change	Intercept
A	1	Striatum	3.49		–7.97
A	2	Striatum	3.14	11.1	–5.9
A	1	Cerebellum	2.01		–3.3
A	2	Cerebellum	2.04	–1.5	–3.6
B	1	Striatum	5.64		–11.0
B	2	Striatum	5.38	4.6	–10.0
B	1	Cerebellum	3.54		–6.5
B	2	Cerebellum	3.72	–5.1	–7.4
C	1	Striatum	6.62		–10.6
C	2	Striatum	6.12	7.6	–10.7
C	1	Cerebellum	3.45		–6.6
C	2	Cerebellum	3.25	5.8	–6.1
D	1	Striatum	4.60		–7.7
D	2	Striatum	4.40	4.3	–7.8
D	1	Cerebellum	3.30		–5.4
D	2	Cerebellum	3.09	6.3	–5.5

For each subject, there was a 2–3 h interval between study 1 and study 2. The % variation in the slope between studies is reported as (study 1 – study 2)/study 1.

The equation of analysis is  $\int_0^t \text{ROI}(t') dt' / \text{ROI}(t) = \text{slope} \int_0^t C_p(t') dt' / \text{ROI}(t) + \text{intercept}$  for experimental scan times  $t$  such that  $t > t^*$ . For a two-compartment model, the slope is  $\lambda + V_p$  and the intercept is  $-1/[k_2(1 + \lambda V_p)]$  ( $\lambda = k_1/k_2$ ). For a three-compartment model, the slope becomes  $[\lambda(1 + B_{\text{max}}/K_d) + V_p]$ , where  $B_{\text{max}}/K_d = k_3/k_4$ .



**FIG. 1.** (A) Time-activity data from striatum and cerebellum ROIs from a PET study with [ $^{11}\text{C}$ ]cocaine in a human volunteer. The solid lines are the two- and three-compartment model fits for the cerebellum and striatum using the arterial plasma activity (corrected for the presence of labeled cocaine metabolites) as the input function. The points on the graph are calculated for the midpoint of the scan times represented by  $T$ . ROI refers to radioactivity in the regions of interest. (B) Graphical analysis of the time activity data from striatum and cerebellum ROIs. ROI( $T$ ) refers to radioactivity in the region of interest at time  $T$ .  $C_p(t)$  is the plasma radioactivity corrected for metabolites. Data are from subject D1.

provides essentially the same information as the model fit. The ratios  $k_3/k_4$  ( $B_{\max}/K_d$ ) are also compared for the two methods. The model parameters  $k_3$  and  $k_4$  were determined individually using the NLSQ method; however, the standard errors were very large even though the ratios  $k_3/k_4$  were found to be stable. This dependence upon the ratio but not the individual values of the binding parameters is illustrated in Fig. 2. The ratio was fixed at 0.77 while the value of  $k_4$  was varied from 0.4 (lower

**TABLE 2.** Receptor parameters determined from the ratio of striatum slope to cerebellum slope.

Subject	Study	Ratio of slopes	$B_{\max}/K_d^a$
A	1	1.74	0.74
A	2	1.54	0.54
B	1	1.59	0.59
B	2	1.45	0.45
C	1	1.92	0.92
C	2	1.88	0.88
D	1	1.39	0.39
D	2	1.42	0.42
Mean		$1.62 \pm 0.21$	$0.62 \pm 0.21$

It is assumed that the cerebellum corresponds to a two-compartment model and the striatum corresponds to a three-compartment model so that ratio of slopes is  $(1 + B_{\max}/K_d)$  or  $(1 + k_3/k_4)$ , neglecting  $V_p$ , which is generally on the order of 0.04 and therefore small compared to the slopes reported here.

Assuming a 4% blood volume in both cerebellum and striatum, the average value of  $B_{\max}/K_d$  was calculated to be 0.624.

<sup>a</sup> Neglecting  $V_p$ ;  $B_{\max}/K_d = k_3/k_4$ .

curve) to  $1.6 \text{ min}^{-1}$  (upper curve), with little change in the resulting curves. This insensitivity to changes in  $k_4$  can occur when  $k_4$  is much greater than  $k_2$ . The total tissue activity can be written in terms of the eigenvalues ( $\alpha_{\pm}$ ) and the coefficients ( $\gamma_{1,2}$ ) (see Table 4 and the appendices) as  $k_1(\gamma_1 e^{\alpha_+ t} + \gamma_2 e^{\alpha_- t}) \otimes C_p(t)$ , where  $\otimes$  denotes convolution. When  $k_4 \gg k_2$ ,

$$\alpha_+ \rightarrow -\frac{k_2}{2} \left( 1 - \frac{k_3/k_4 - 1}{k_3/k_4 + 1} \right)$$

and

$$\alpha_- \rightarrow -(k_3 + k_4) - \frac{k_2}{2} \left( 1 + \frac{k_3/k_4 - 1}{k_3/k_4 + 1} \right)$$

In this case,  $\alpha_+$  depends only on  $k_2$  and the ratio  $k_3/k_4$ . Table 4 gives the variation in the eigenvalues and the coefficients for a range of values of  $k_4$  with  $k_3/k_4 = 0.77$  using fixed values of  $k_1$  and  $k_2$ . When  $k_4 = 0.8$  with  $k_2 = 0.23$ , there is little further change in  $\alpha_+$  or  $\gamma_1$ . The  $\gamma_2$  term makes a much smaller contribution to the total radioactivity. (Note that without prior knowledge of  $k_1$  and  $k_2$  or their ratio from the cerebellum, the activity in the striatum would appear to be a two-compartment model. The ratio  $k_3/k_4$  can only be determined if  $k_1/k_2$  is known.)

In theory,  $k_4$  ( $k_{\text{off}}$ ) can also be determined from the intercept of the graphical method given by

$$-\left( \frac{1 + B_{\max}/K_d}{k_2} + \frac{1}{k_{\text{off}}(1 + K_d/B_{\max})} \right)$$

neglecting the correction for  $V_p$ . However, for the

TABLE 3. Comparison of parameters determined by graphical analysis with those determined from a nonlinear least-squares model fit

Subject	Study	$\lambda^a$	$\lambda^b$	$k_1^a$	$k_2^a$	$k_1^b$	$k_2^b$	$B_{\max}/K_d^a$	$B_{\max}/K_d^b$
A	1	2.01	2.02	0.61	0.30	0.46	0.23	0.74	0.78
A	2	2.04	1.97	0.57	0.28	0.47	0.24	0.54	0.52
B	1	3.54	3.18	0.54	0.15	0.52	0.16	0.59	0.75 <sup>c</sup>
B	2	3.70	3.37	0.50	0.14	0.56	0.17	0.45	0.60 <sup>c</sup>
C	1	3.45	3.42	0.52	0.15	0.49	0.14	0.92	0.93
C	2	3.25	3.10	0.53	0.16	0.52	0.17	0.88	0.95
D	1	3.30	3.44	0.61	0.19	0.51	0.15	0.39	0.43
D	2	3.09	3.0	0.56	0.18	0.54	0.18	0.42	0.40
Mean								0.62 ± 0.21	0.66 ± 0.21

The kinetic constants  $k_1$  and  $k_2$  are in units of  $\text{min}^{-1}$  and  $\lambda = k_1/k_2$ ,  $B_{\max}/K_d = k_3/k_4$ .

<sup>a</sup> Determined with the graphical method from the cerebellum.

<sup>b</sup> Determined using a nonlinear least-squares fit to the cerebellum.

<sup>c</sup> The large difference in  $B_{\max}/K_d$  is due to the difference in  $\lambda$ .

reasons discussed above,  $k_4$  could not be accurately determined for cocaine.

Schoemaker et al. (1985) determined a high-affinity component to cocaine binding in postmortem human putamen with  $K_d$  of 0.21  $\mu\text{mol/L}$  and a  $B_{\max}$  of 1.47 pmol/mg of protein. Assuming 10% protein per cc of tissue, this translates into  $\approx 0.7$  for  $B_{\max}/K_d$ , which is in agreement with the values reported here ( $0.62 \pm 0.20$ ). Shoemaker et al. (1985) also report a low-affinity component to the binding. However, it was not possible to separate another binding component from the PET data using the nonlinear least-squares method or the graphical method.

Frost et al. (1989) report a multicompartment analysis of [ $^{11}\text{C}$ ]carfentanil binding in PET studies of human subjects. Carfentanil is a reversible ligand

that binds to  $\mu$  opiate receptors. They were able to determine individual values for the receptor constants  $k_3$  and  $k_4$  but the values found for  $k_4$  were not significantly different from the values for  $k_2$  as opposed to  $k_4 \gg k_2$  as seems to be the case for cocaine. They also found that a three-compartment model was required to describe binding in the occipital cortex, which has a negligible concentration of opiate receptors. They suggest that the third compartment represents nonspecific binding that does not rapidly equilibrate with the free ligand, as is the usual assumption (Mintun et al., 1984; Logan et al., 1987). The cocaine data reported here for humans did not require the extra compartment for nonreceptor regions although the cocaine data from studies in baboons did require such a model (Logan et al., 1989). One major difference between the baboon and human data is that the disappearance of labeled cocaine from baboon plasma was significantly faster than from human plasma and baboon plasma contained significant amounts of labeled carbon dioxide whereas human plasma did not. A

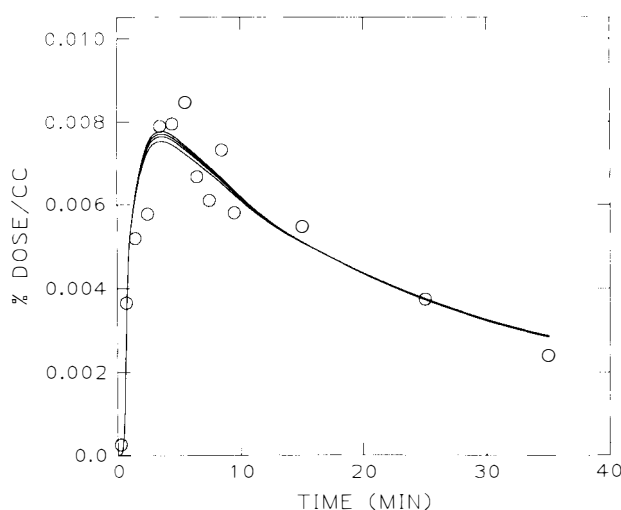


FIG. 2. Variation in the three-compartment model fit to tissue activity using a fixed value of  $k_3/k_4$  while varying  $k_4$  from 0.399  $\text{min}^{-1}$  (lower curve) to 1.60  $\text{min}^{-1}$  (upper curve). The ratio was fixed at 0.77. The parameter values are given in Table 4. Data are from subject A1.

TABLE 4. Variation in the eigenvalues and coefficients of the analytical solution for the three-compartment model for a fixed ratio of  $k_3/k_4$  with increasing values of  $k_4$  ( $\lambda = 2.0$ ,  $k_2 = 0.23$ ).

$k_3$	$k_4$	$\alpha_+$	$\alpha_-$	$\gamma_1$	$\gamma_2$
0.307	0.399	-0.111	-0.825	0.425	0.119
0.614	0.797	-0.121	-1.520	0.922	0.078
0.921	1.196	-0.124	-2.223	0.949	0.051
1.228	1.595	-0.125	-2.928	0.963	0.037

The solution for the tissue activity of the three-compartment model considered is  $C_1 + C_2 = k_1(\gamma_1 e^{\alpha_+ t} + \gamma_2 e^{\alpha_- t}) \otimes C_p(t)$ , where  $\gamma_1 = (k_3 + k_4 + \alpha_+)/(\alpha_+ - \alpha_-)$  and  $\gamma_2 = -(k_3 + k_4 + \alpha_-)/(\alpha_+ - \alpha_-)$ . Since  $k_4$  becomes much greater than  $k_2$ , there is little variation in either  $\gamma_1$  or  $\alpha_+$  while the contribution from the  $\gamma_2$  term becomes increasingly small. For this reason, the curves in Fig. 2 (data are from subject A1) do not change very much since  $k_4$  is increased by a factor of 4. The ratio of  $k_3/k_4$  was fixed at 0.77.

second difference is that the baboon studies were done with anesthetic (Fowler et al., 1989).

The graphical technique presented here depends upon the constancy of the last term of Eq. (4), i.e., the intercept. The expression for the intercept when it has reached its constant (steady-state) value is  $-\mathbf{Un}^T\mathbf{K}^{-2}\mathbf{Q}/(-\mathbf{Un}^T\mathbf{K}^{-1}\mathbf{Q} + V_p)$ , which is obtained by substituting for  $\mathbf{A}$  its steady-state value,  $\mathbf{A} = -\mathbf{K}^{-1}\mathbf{Q} C_p(t) [A(t)/C_p = \text{constant}]$ . Surprisingly, in this case, the slope shows little variation even when very early times are included in the calculation, while the variation in  $A(t)/C_p$  (see Table 5) is much greater. This suggests that under certain conditions, the ratio of terms in the intercept effectively reaches the steady-state value before  $A(t)/C_p$ . We have investigated the variation of the intercept as the system approaches the steady state using the specific example of the three-compartment model. In general, the condition for which  $\mathbf{A} = -\mathbf{K}^{-1}\mathbf{Q} C_p(t)$  depends upon the eigenvalues of the system such that  $e^{\alpha_{\pm}t} \rightarrow 0$  and  $|\alpha_{\pm}| \gg$  the smallest exponent,  $\beta_q$  describing plasma activity. It is assumed that  $C_p$  can be represented as a sum of exponentials  $C_p = \sum_k B_k e^{-\beta_k t}$ . Immediately following a bolus injection, plasma levels decline rapidly, characterized by large values for the exponent  $\beta_k$ . However, after 2 to 3 min, the plasma levels decline much more slowly and can be represented by a small exponent,  $C_p \rightarrow B_q e^{-\beta_q t}$ . (See Patlak et al., 1983, p. 4 for a discussion concerning steady-state conditions.) We will consider here the situation some time before the steady-state limit is reached. The exact expres-

sion for the intercept of the three-compartment model is given by

$$\frac{\mathbf{Un}^T\mathbf{K}^{-1}\mathbf{A}}{C_1 + C_2 + V_p C_p} = - \left[ \frac{1}{k_2} \left( 1 + \frac{B_{\max}}{K_d} \right) + \frac{C_2}{k_4(C_1 + C_2)} \right] \left[ \frac{1}{1 + V_p C_p / (C_1 + C_2)} \right] \quad (5)$$

Neglecting  $V_p C_p / (C_1 + C_2)$  since it is much less than 1 (the plasma volume could also be approximated from literature values so that the plasma contribution to the total activity can be subtracted from the data prior to analysis), the only time variation appears in  $C_2 / (C_1 + C_2)$ , which goes to  $1 / (1 + K_d / B_{\max})$  in the steady state. From simulation studies presented in Table 5, the theoretical value of  $C_2 / (C_1 + C_2)$  calculated from model parameters is within 2% of its steady-state value at 7 min after injection, while the value calculated for  $(C_1 + C_2) / C_p$  at 8 min postinjection differs significantly from its steady-state value (see Table 5). A comparison of the analytical expressions for  $C_2 / (C_1 + C_2)$  and  $(C_1 + C_2) / C_p$  provides some insight into why the former appears to reach its steady-state value before the latter. Equations (B3), (B4), and (B6) derived in Appendix B indicate how these terms approach their steady-state value. (See Appendix A for definitions of  $\alpha_{\pm}$ .) Neglecting  $\beta_q$  in Eq. (B3) since  $\beta_q \ll 1$ , the correction terms in Eqs. (B3), (B4), and (B6) contain the parameter  $\omega$  [defined in Eq. (B5)], which determines how fast these terms reach their steady-state value. Since  $\alpha_+ < 0$ ,  $\omega \rightarrow 0$  for  $t$  sufficiently large, the limiting value for  $(C_1 + C_2) / C_p$  from Eq. (B3) is  $k_1 k_4 (1 + B_{\max} / K_d) / (\alpha_+ + \beta_q)(\alpha_- + \beta_q)$ . This goes to the true steady state limit  $\lambda(1 + B_{\max} / K_d)$  only when  $\alpha_+ + \beta_q \approx \alpha_+$  and  $\alpha_- + \beta_q \approx \alpha_-$ , i.e., when plasma activity is constant or the exponent describing the plasma activity is small compared to the eigenvalues of the system. However, for  $C_2 / (C_1 + C_2)$  since there are no terms involving  $(\alpha_{\pm} + \beta_q)$ , the limiting value is  $1 / (1 + K_d / B_{\max})$ . Furthermore from the form of the correction terms, the terms containing  $\omega$  in Eqs. (B3), (B4), and (B6),  $C_2 / (C_1 + C_2)$  will be closer to its steady-state value than will  $(C_1 + C_2) / C_p$  when  $|\alpha_+ / (k_3 + k_4)|$  is small ( $\approx 0.25$  or less) even though  $\omega$  differs significantly from 0. The model parameters found for cocaine predict that the absolute value of  $|\alpha_+ / (k_3 + k_4)|$  is less than 0.1. Consider for example that it has the value  $-0.1$  with  $\omega = 2$ . The correction terms for Eqs. (B3) and (B6) are 1.8 and 0.07, respectively, so that  $C_2 / (C_1 + C_2)$  differs from its steady-state value by 7% while  $(C_1 + C_2) / C_p$  is 2.8 times greater than its steady-state value. For such systems, one expects that this

TABLE 5. Graphical method of Eq. (4) applied to simulation data generated from a three-compartment model using an experimental input function (subject A2) with 4% blood volume

$T_i^a$ (min)	$T_f^a$ (min)	Slope	$T^b$ (min)	ROI/ $C_p$
4	35	3.51	4	4.63
6	35	3.51	6	5.18
8	35	3.51	8	5.61
10	35	3.51	10	5.79
15	35	3.51	15	4.83
			35	4.33
			55	4.05

The kinetic constants used in the simulation were similar to those found for the striatal ROI in the [ $^{11}\text{C}$ ]cocaine studies ( $k_1 = 0.48$ ,  $k_2 = 0.24$ ,  $k_3 = 0.5$ ,  $k_4 = 0.67$  in units of  $\text{min}^{-1}$ ) and predict a slope of 3.51. Including very early times in the calculation of the slope does not affect the outcome even though the variation in ROI/ $C_p$  is substantial over these times. ROI/ $C_p$  approaches the same value as the slope when  $C_p$  is constant. When  $C_p$  is not constant, ROI/ $C_p \rightarrow k_1(k_3 + k_4) / [(\alpha_+ + \beta_q)(\alpha_- + \beta_q)]$ , which is larger than the slope. The contribution from the term  $V_p C_p / (C_1 + C_2)$  varied from 0.01 to 0.03 and therefore should have no noticeable effect on the results.

<sup>a</sup> Data points falling between the initial time  $T_i$  and the final time  $T_f$  were used in computing the slope.

<sup>b</sup> Times for which ROI/ $C_p$  were computed.

method will yield reasonably accurate results even before  $A(t)/C_p$  has reached its steady-state value.

### CONCLUSIONS

We have presented a technique for the analysis of time-activity data from PET studies with ligands characterized by rapid transport and dissociation constants so that their uptake and loss by tissue is clearly observable during the course of the experiment. We have compared results from this technique with model parameter estimates based on a NLSQ method for the analysis of [ $^{11}\text{C}$ ]cocaine PET studies in human subjects. Results from both methods were in agreement and the values obtained for  $B_{\max}/K_d$  are consistent with in vitro work. It was not possible to determine separately the receptor parameters ( $k_3$  and  $k_4$ ) but this is most likely due to the fact that  $k_4 \gg k_2$ . The graphical technique is easier to apply than the standard nonlinear least-squares method of fitting an explicit model to experimental data particularly when unique values cannot be determined for the model parameters with an NLSQ method and is valid when  $\mathbf{U}^T \mathbf{K}^{-1} \mathbf{A} / [\mathbf{U}^T \mathbf{A} + V_p C_p(t)]$  becomes constant [certainly true when  $A(t)/C_p$  is constant but depending on the kinetic parameters of the system may be true well before that time]. Whether this method can be applied is best determined by plotting  $\int_0^t \text{ROI}(t') dt' / \text{ROI}(t)$  versus  $\int_0^t C_p(t') dt' / \text{ROI}(t)$  and observing whether or not the plot is linear after some time. For the two-compartment model it will be linear at all times. The slope corresponds to the plasma volume plus the steady-state space of the ligand, which for a two-compartment model is given by  $\lambda + V_p$  and for a three-compartment model is  $[\lambda(1 + B_{\max}/K_d) + V_p]$ . This is also given by the true steady-state limit of  $\text{ROI}/C_p$  that is attained when the smallest exponent describing the plasma levels is much less than the eigenvalues of the system and also corresponds to the intercept of the traditional Patlak plot, which has a 0 slope for reversible ligands and an intercept equal to  $\text{ROI}/C_p$ . The intercepts from the graphical method described here are  $-1/[k_2(1 + V_p/\lambda)]$  for the two-compartment model and

$$-\left( \frac{1 + B_{\max}/K_d}{k_2} + \frac{1}{k_{\text{off}}(1 + K_d/B_{\max})} \right) \frac{1}{1 + V_p/\lambda(1 + B_{\max}/K_d)}$$

for the three-compartment model. This technique provides a more reliable measure of the steady-state space and also allows two measurements, the slope and the intercept, so that in theory model parame-

ters can be explicitly determined although it may be necessary to correct for the plasma volume. The presence of a tissue component with a long half-life will result in an increasing slope so that this method is not valid. The presence of such a component can be determined from the traditional Patlak plot.

**Acknowledgments:** This work was carried out at Brookhaven National Laboratory under contract DE-AC02-76CH00016 with the U.S. Department of Energy and supported by its Office of Health and Environmental Research. We also acknowledge partial support from the National Institutes of Health (Grant NS-15638). We are grateful to the National Institute on Drug Abuse for samples of norcocaine and (–)-cocaine. We are particularly grateful to P. King, K. Karlstrom, E. Jellet, and C. Shea for their assistance in radiotracer preparation and analysis, to R. Moadel for PET operations, and to N. Netusil and T. Johnson for patient preparation and supervision. We also thank D. Warner, C. Barrett, and R. Carciello for cyclotron operations.

### APPENDIX A: DEFINITIONS

A	Vector of activities for a compartmental model
$A(t)$	Sum of activities
K	Matrix of transfer constants for a compartmental model for the three compartment model,
	$\mathbf{K} = \begin{pmatrix} -(k_2 + k_3) & k_4 \\ k_3 & -k_4 \end{pmatrix}$
Q	Vector of constants describing transport from plasma to tissue
ROI	Total activity in a region of interest including plasma activity
$V_p$	Plasma volume in 1 cc of tissue
$C_p$	Activity in plasma due to unchanged drug
$k_1$	Transfer constant from plasma to tissue ( $\text{min}^{-1}$ )
$k_2$	Transfer constant from tissue to plasma ( $\text{min}^{-1}$ )
$k_3$	$k_{\text{on}} B_{\max}$
$k_4$	Dissociation constant for ligand-binding site complex = $k_{\text{off}}$
$B_{\max}$	Receptor (binding site) concentration
$k_{\text{on}}$	Bimolecular association constant for radiotracer and binding site, in units of $\text{cc}/\text{pmol} \cdot \text{min}^{-1}$
$k_{\text{off}}$	Dissociation constant for ligand-binding site complex ( $\text{min}^{-1}$ ) = $k_4$
$K_d$	Equilibrium dissociation constant for ligand-binding-site complex ( $k_{\text{off}}/k_{\text{on}}$ )
$B_{\max}/K_d$	$= k_3/k_4 = k_{\text{on}} B_{\max}/k_{\text{off}}$
$C_1$	Tissue activity in the two- or three-compartment model that is not bound to receptor or enzyme
$C_2$	Tissue activity in the three-compartment model that is associated with receptor or enzyme
$\lambda$	Partition coefficient for the ligand $k_1/k_2$
$\alpha_{\pm}$	Eigenvalues for the three-compartment model: $\frac{1}{2}[-K \pm (K^2 - 4k_2k_4)^{1/2}]$ , $K = k_2 + k_3 + k_4$

$$\begin{aligned}\gamma_1 &= (k_3 + k_4 + \alpha_+)/(\alpha_+ - \alpha_-) \\ \gamma_2 &= -(k_3 + k_4 + \alpha_-)/(\alpha_+ - \alpha_-)\end{aligned}$$

## APPENDIX B

The solution to Eq. (1) for the three-compartment model is

$$C_1 + C_2 = k_1(\gamma_1 e^{\alpha_+ t} + \gamma_2 e^{\alpha_- t}) \otimes C_p(t) \quad (\text{B1})$$

where  $(\alpha_{\pm})$  are the eigenvalues of the system (see Appendix A for definitions of  $\alpha_{\pm}$  and  $\gamma_{1,2}$ ) and  $\otimes$  denotes convolution. As the steady state is approached, plasma levels from the bolus injection approach a slow monoexponential decline, i.e.,

$$C_p = \sum_k B_k e^{-\beta_k t} \rightarrow B_q e^{-\beta_q t}$$

where  $\beta_q$  is the smallest exponent (and therefore the most important one at later times). The  $B_k$  and  $\beta_k$  are constants. (In the true steady-state limit,  $e^{\alpha_{\pm} t} \rightarrow 0$  and  $\alpha_{\pm} \gg \beta_q$  so that  $A = -K^{-1}Q C_p$ .) Also, as the steady state is approached, terms involving  $e^{\alpha_{\pm} t} \rightarrow 0$  faster than terms containing  $e^{\alpha_+ t}$  since  $|\alpha_-| > |\alpha_+|$ . Under these conditions, the most important terms remaining after the convolutions in Eq. (B1) are

$$e^{\alpha_+ t} \otimes C_p(t) \rightarrow e^{\alpha_+ t} \rho_+ - \frac{C_p}{\alpha_+ + \beta_q} \quad (\text{B2})$$

$$e^{\alpha_- t} \otimes C_p(t) \rightarrow -\frac{C_p}{\alpha_- + \beta_q}$$

This leads to

$$\frac{(C_1 + C_2)}{C_p} = \frac{k_1 k_4 \left(1 + \frac{B_{\max}}{K_d}\right)}{(\alpha_+ + \beta_q)(\alpha_- + \beta_q)} \left[1 + \omega \left(\frac{\alpha_+}{k_3 + k_4} + 1\right) - \frac{\beta_q}{k_3 + k_4}\right] \quad (\text{B3})$$

$$\frac{C_2}{C_p} = \frac{k_1 k_3}{(\alpha_+ + \beta_q)(\alpha_- + \beta_q)} (1 + \omega) \quad (\text{B4})$$

where

$$\omega = \frac{e^{\alpha_+ t} \rho_+}{C_p} \left( \frac{(\alpha_+ + \beta_q)(\alpha_- + \beta_q)}{a_+ - \alpha_-} \right) \quad (\text{B5})$$

$$\rho_+ = \sum_k \frac{B_k}{\alpha_+ + \beta_k}$$

so that

$$\frac{C_2}{C_1 + C_2} \rightarrow \frac{1}{1 + K_d/B_{\max}} \left(1 - \frac{\omega \alpha_+ / (k_3 + k_4)}{1 + \omega [\alpha_+ / (k_3 + k_4) + 1]}\right) \quad (\text{B6})$$

The true steady-state limit (steady-state space) is

$$\begin{aligned}\frac{C_1 + C_2}{C_p} &= \frac{k_1(k_3 + k_4)}{k_2 k_4} = \lambda \left(1 + \frac{B_{\max}}{K_d}\right) \\ \frac{C_2}{C_p} &= \frac{k_1 k_3}{k_2 k_4} = \lambda \frac{B_{\max}}{K_d}\end{aligned}$$

which is true when  $\beta_q \ll \alpha_+, \alpha_-$ .

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