# Facial Recognition

Using Eigenfaces and Eigen Vector



START



## Process

**PreProcessing** 

**Extraction** 



the SVM

**Evaluation** 

**Evaluation** 

## SVM Clasification Model

Support Vector Machines (SVM) is a powerful supervised machine learning algorithm used for classification tasks.

SVM aims to find a hyperplane that best separates data points of different classes.

In this project, SVM is employed as a classifier to recognize and classify faces based on the extracted eigenfaces.

#### Dimension reduction

## PCA?

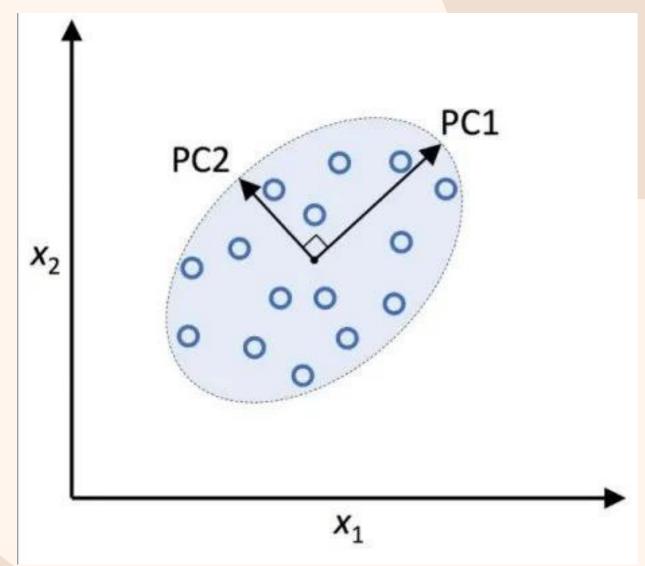
- Feature Extraction
- In the figure, x1 and x2 are the original feature axes, and PC1 and PC2 are the principal components
- Why PCA in face recognition?

### **Algorithm:**

$$x = [x_1, x_2, ..., x_d], \qquad x \in \mathbb{R}^d$$

$$\downarrow xW, W \in \mathbb{R}^{d*k}$$

$$z = [z_1, z_2, ..., z_k], \qquad z \in \mathbb{R}^k$$



#### Continuing with algorithm

2. Construct the covariance matrix:

$$\Sigma = \begin{bmatrix} \sigma_1^2 & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_2^2 & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_3^2 \end{bmatrix}$$

3. Decompose the covariance matrix into its eigenvectors and eigenvalues.\

$$det(A-\lambda I)=0$$

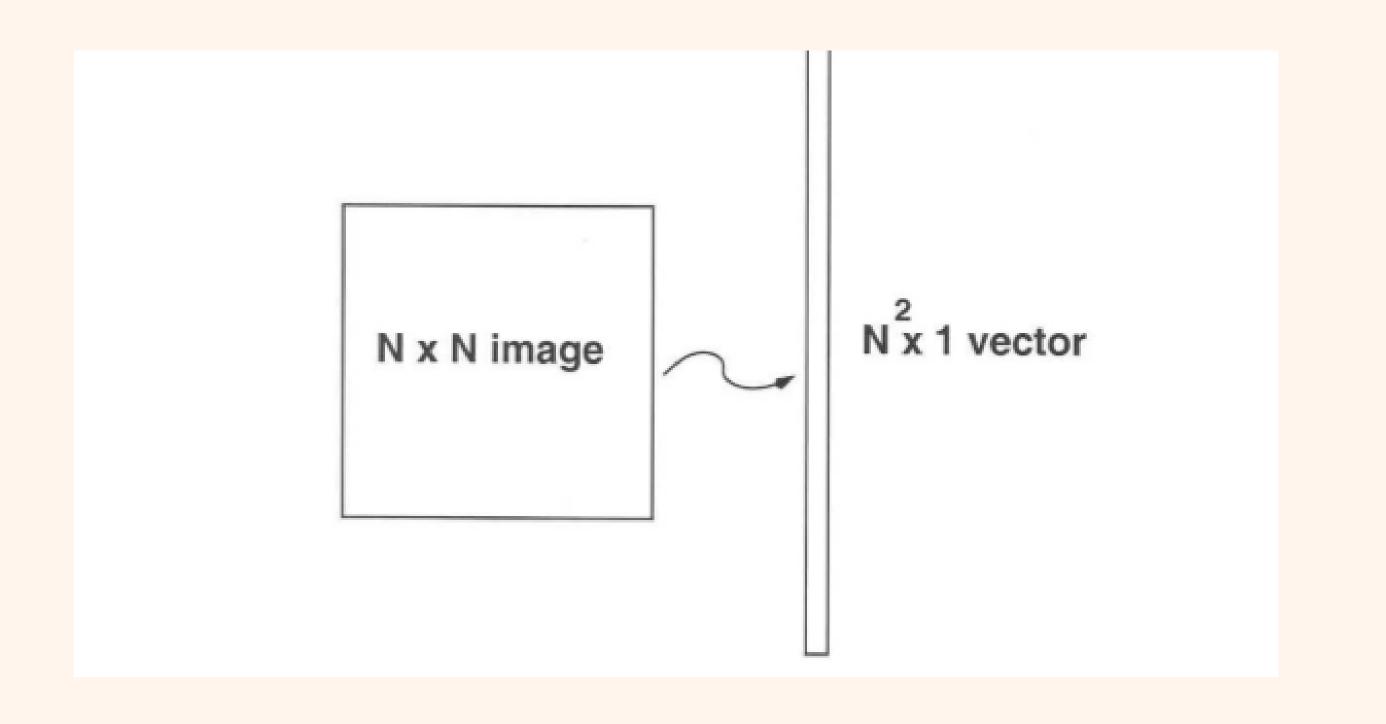
#### Continuing with algorithm

- 4. Eigen values in decreasing order
- 5.Select k eigenvectors which correspond to the k largest eigenvalues, where k is the dimensionality of the new feature subspace ( $k \le d$ ).
- 6.Transform the d-dimensional input dataset X using the projection matrix W to obtain the new k-dimensional feature subspace:
- In this step, we use the matrix W that we just computed to transform our samples onto the new subspace via the equation  $y = W' \times x$  where W' is the transpose of the matrix W.

#### PCA in python

In Python, you can perform Principal Component Analysis (PCA) using the scikit-learn library. The scikit-learn library provides a PCA class that implements the PCA algorithm and provides various methods and functionalities for performing PCA on datasets.

## Calculation





N\*N

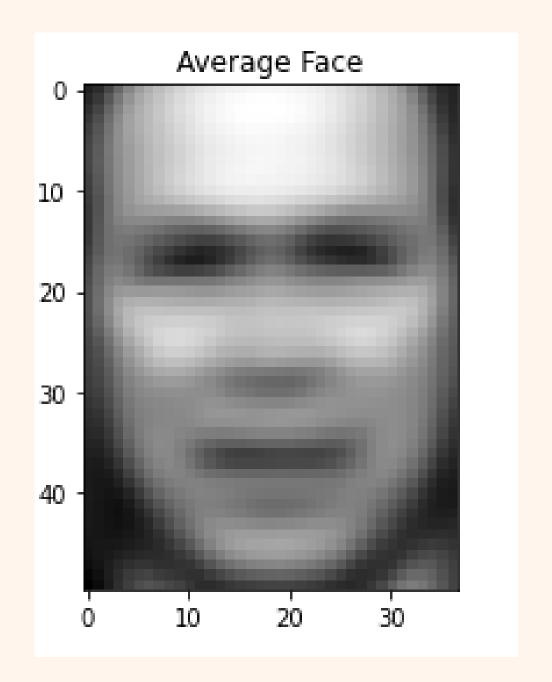


M number of images

### We calculate the average of all these face vectors and subtract it from each vector

$$\psi = \frac{1}{m} \sum_{i=1}^{m} x_i$$

$$\mathbf{a}_i = x_i - \psi$$



Then we take all face vectors so that we get a matrix of size of N2 \* M.

$$A = \begin{bmatrix} a_1 & a_2 & a_3 & \dots & a_m \end{bmatrix}$$

### Covariance matrix $Cov = A^T A$

To calculate eigen values and eigenvectors of above covariance matrix use the formula:

where, 
$$C' = AA^T$$
 and  $u_i = A\nu_i$ 

$$A^T A \nu_i = \lambda_i \nu_i$$

$$AA^TA\nu_i = \lambda_i A\nu_i$$

$$C'u_i = \lambda_i u_i$$

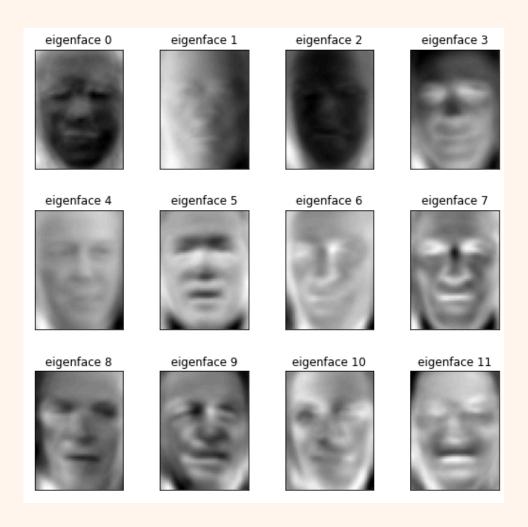
Select the K eigenvectors of C' corresponding to the K largest eigenvalues (where K < M). These eigenvectors has size N  $^2$  .

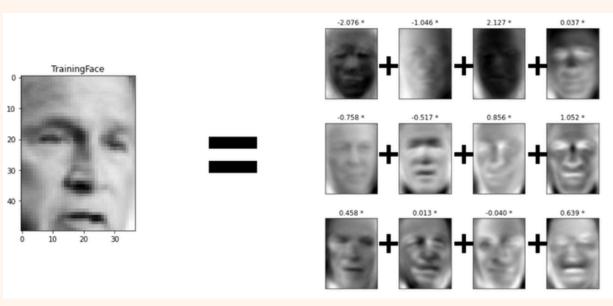
After that we used the eigenvectors that we got in previous step. We take the normalized training faces (face – average face) x and represent each face vectors in the linear of combination of the best K eigenvectors (as shown in the diagram).

$$\mathbf{x}_i - \psi = \sum_{j=1}^K w_j u_j$$

After that we take the coefficient of eigenfaces and represent the training faces in the form of a vector of those coefficients.

$$x_i = egin{bmatrix} w_1^i \ w_2^i \ w_3^i \ \vdots \ \vdots \ w_k^i \end{bmatrix}$$





# Testing

- Given an unknown face y, we need to first preprocess the face to make it centered in the image and have the same dimensions as the training face
- ullet Now, we subtract the face from the average face  $\psi$

$$\phi = y - \psi$$

- Now, we project the normalized vector into eigenspace to obtain the linear combination of eigenfaces.  $\phi = \sum_{i=1}^k w_i u_i$
- From the above projection, we generate the vector of the coefficient such that

$$\Omega = \begin{bmatrix} w_1 \\ w_2 \\ w_3 \\ \vdots \\ w_k \end{bmatrix}$$

 We take the vector generated in the above step and subtract it from the training image to get the minimum distance between the training vectors and testing vectors

$$e_r = \min_l \|\Omega - \Omega_l\|$$

• If this  $e_r$  is below tolerance level Tr, then it is recognised with I face from training image else the face is not matched from any faces in training set.