

### Université Libre de Bruxelles

#### Summary

## Turbomachinery MECA-H-402

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## Appel à contribution

#### Synthèse Open Source



Ce document est grandement inspiré de l'excellent cours donné par Patrick HENDRICK à l'EPB (École Polytechnique de Bruxelles), faculté de l'ULB (Université Libre de Bruxelles). Il est écrit par les auteurs susnommés avec l'aide de tous les autres étudiants et votre aide est la bienvenue! En effet, il y a toujours moyen de

l'améliorer surtout que si le cours change, la synthèse doit être changée en conséquence. On peut retrouver le code source à l'adresse suivante

https://github.com/nenglebert/Syntheses

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Pour de plus longues modifications, il est intéressant de disposer des fichiers : il vous faudra pour cela installer LATEX, mais aussi *git*. Si cela pose problème, nous sommes évidemment ouverts à des contributeurs envoyant leur changement par mail ou n'importe quel autre moyen.

Le lien donné ci-dessus contient aussi un README contenant de plus amples informations, vous êtes invités à le lire si vous voulez faire avancer ce projet!

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## Chapter 1

# Fundamental equations of turbomachinery

#### 1.1 Basics and principles

#### 1.1.1 Introduction

About the exam, he likes the drawings and likes to give a sentence and asks if it is the reality or not. The questions are about the **lessons** and not the notes. This summary is thus mainly based on the lessons.

The course is organized as:

- 1. **Turbopumps** and the system involved: a pump is something applying pressure to a fluid  $\Delta p > 0$ , which will first be a liquid  $\rho = cst$ .
- 2. **Turbines:** in this case the fluid involves a pressure loss  $\Delta p < 0$ , so expansion (a valve, simple releaser). We will see the gas turbines and the hydraulic turbines. Some machines can be used in the two ways, both roles, same mechanical component is acting as a pump and as a turbine in the other direction (reversible).
- 3. **Volumetric compressors:** as in other courses we have polytropic or isentropic efficiency, we can define volumetric compressors.
- 4. Compressors  $\rho \neq cst$ : we need to consider the axial and centrifugal systems separately because they are very different and complicated.

#### 1.1.2 Classification of turbomachines

If the role of the role of the machine is to extract energy from the fluid to the shaft we speak about **turboproducers** or **turbomotors** (ex: hydraulic turbines), and in the other case **turboabsorbers** or **turbogenerators**.

#### 1.1.3 General organization of turbomachines

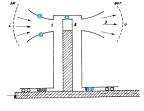


Figure 1.1

We always have a **shaft**, and on the shaft we have a **disk** or a **rotor** where the energy transformation takes place, and on this we put a **blade** (white rectangle on Figure 1.1), an element with a given geometry. The blade is situated at a distance  $r_H$  from the shaft and implies high tangential velocities. The device is closed by a **carter** and we have to be careful at the top of the blade since there can be

leakage, this is why the clearance is very small ( $\mu m$ ). We can also have active clearance control by blowing fluid on the carter. Remark that there is an **external carter** (blue 1) and an **internal carter** (blue 2) that can constitute a convergent distributor and a divergent diffuser. These can contain non rotating parts called **vane**, we speak of **vaned convergent**, **vaned divergent** or **vaneless** nozzles. The internal carter plays the role of support and is connected to the shaft via **bearings** and **seals** (to avoid air preferring this way to reach atmospheric pressure).

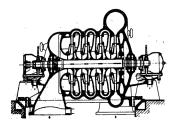


Figure 1.2

The major types of machine have an axial configuration so that the particles flows parallel to the shaft, radial configuration where flows enters horizontal and leaves vertical also exists. Most turbines or compressors are axial turbines. Turbopumps are most of the time radial, centrifugal. Several disks can be mounted on a same shaft (or several shafts), with fixed components changing the direction of the flow (multistage pump on Figure 1.2).

#### 1.1.4 Notations

On Figure 1.1, 0 is the inlet of the distributor, 1 the inlet of the rotor, 2 outlet of the rotor, 3 outlet of the diffuser and o/s the upstream/downstream plenum. There are 3 velocity components: the absolute velocity  $\bar{v}$ , the frame tangential velocity  $\bar{u}$  and the relative velocity  $\bar{w}$  due to the rotation of fluid particles.

#### 1.1.5 Velocity triangle

The absolute velocity respects:

$$\bar{v} = \bar{u} + \bar{w}. \tag{1.1}$$

By defining  $\alpha$  and  $\beta$  the angle between  $\bar{u}$  and respectively  $\bar{v}$  and  $\bar{w}$ , we can write:

Figure 1.3

$$v\cos\alpha = u + \cos\beta \qquad w^2 = u^2 + v^2 + 2uv\cos\alpha \tag{1.2}$$

The velocity triangle is the keypoint of a turbomachine, we have to play with the velocities by means of the mass flow rate  $\dot{m}$  and the machine rpm N. It is important to see that these parameters are linked to the velocity triangle, for the mass flow for example  $\dot{m} = \rho u A$ .

#### 1.2 Fundamental equations of the flow

#### 1.2.1 Equations of the flow in a fixed frame

For all developments, only **steady state** regime is considered, so that  $\omega = cst$  and the flow properties are not time dependent. Moreover, boundary layers are neglected and the flow propagates in parallel slices.

#### **Energy equation**

Let's consider sections  $A_0$  and  $A_1$  denoting the input and output of a non interrupted flow section, for instance 0 to 1 in previous figure. The total energy equation is:

$$q = h_1 - h_0 + \frac{v_1^2 - v_0^2}{2} + g(z_1 - z_0)$$
(1.3)

where q is the heat exchanged with the outside [J/kg], v is the absolute velocity [m/s] and h is the enthalpy [J/kg]. In adiabatic systems q = 0. We see that if there is thermal, kinetic or potential energy loss, it will create heat. Be careful that this equation is not applicable around the blade.

#### Equation of kinetic energy

We know that kinetic and potential energy can be transformed into work in a machine. We have:

$$\frac{v_1^2 - v_0^2}{2} + g(z_1 - z_0) = -\int_{p_0}^{p_1} \nu dp - w_f'$$
(1.4)

where  $\nu = 1/\rho$  is the specific volume  $[m^3/\text{kg}]$  and  $w_f'$  the work resulting from all friction effects [J/kg]. We see that if velocity increases/decreases, pressure decreases/increases and the friction is a loss, so "-" signs.

#### Global thermodynamic equation

Consider by considering (1.4) in (1.3):

$$q + w_f' = h_1 - h_0 - \int_{p_0}^{p_1} \nu dp \tag{1.5}$$

The physical content is different, we see that in fact the losses corresponds to pressure and temperature losses.

#### Mass flow rate equation

The mass flow is constant over a tube:

$$\dot{m} = \rho v A = \rho_0 v_0 A_0 = \rho_1 v_1 A_1 = \frac{v_1 A_1}{\nu_1} \tag{1.6}$$

where  $\dot{m}$  [kg/s].

#### 1.2.2 Equations of the flow in a moving frame

Now we can consider the indices 1 and 2 referring to the blade space.

#### Equation of kinetic energy in a relative space

He skipped the long demo page 8. The equations is the same as before, the only difference is that we replace the absolute velocity by the relative one and an additional kinetic energy term due to the rotation of the frame:

$$\frac{w_2^2 - w_1^2}{2} + g(z_2 - z_1) = -\int_{p_1}^{p_2} \nu dp - w_f^* + \frac{u_2 - u_1}{2}$$
(1.7)

Remark that this term can play a huge role because in a centrifugal system the term is non zero while zero in axial system.

#### Global thermodynamic equation

The equation is exactly the same as before, except  $w'_f$  that becomes  $w''_f$ .

$$q + w_f'' = h_2 - h_1 - \int_{p_1}^{p_2} \nu dp \tag{1.8}$$

#### **Energy equation**

If we combine the two previous equation we get:

$$q + \frac{u_2 - u_1}{2} = h_2 - h_1 + \frac{w_2^2 - w_1^2}{2} + g(z_2 - z_1)$$
(1.9)

#### Mass flow equation rate equation

For a section normal to the relative velocity:

$$\dot{m} = \rho w A = \rho_1 w_1 A_1 = \rho_2 w_2 A_2 \tag{1.10}$$

#### 1.3 Fundamental equations of turbomachinery

#### 1.3.1 Compressors

#### Internal losses

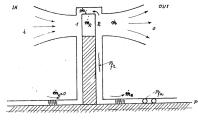


Figure 1.4

Several kind of losses are present in a compressor. First of all, friction in the distributor gives birth to losses noted  $w_f'$ . Then, the fluid go through the interblade channel where its energy increases, but a loss due to friction is present  $w_f^*$ . In addition, the clearance between the blade tip and the carter is non zero and leads to back-flow leakage  $\dot{m}_i$  as the pressure upstream is lower. If we denote the mass flow rate through the blades  $\dot{m}_R$ , we have:

$$\dot{m}_R = \dot{m} + \dot{m}_i \tag{1.11}$$

Big part of the flow goes then through the diffuser, but a small part escapes from the seal to the outside  $\dot{m}_e$ . The small gap between the rotor and the internal carter is filled with part of the fluid. Even is this fluid does not contribute to the energy exchanges (stagnation), part of the power supplied to the shaft will dissipate due to fluid friction  $P_{fr}$ .

#### External losses

As discussed, part of the flow escapes through the seals. The upstream one is not a problem since the pressure is close to the atmospheric one, but for the downstream  $\dot{m}_e \neq 0$  since the pressure is higher. This will be neglected in the study. The last losses are due to bearings and other mechanical components such as the fuel pump that we all denote in a single absorbed power  $P_{fa}$ .

#### **Energy equation**

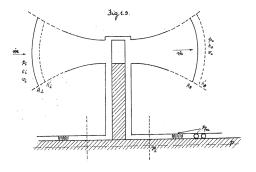


Figure 1.5

Before going through all the losses appearing in the engine, let's have a global view limiting the study region as on the figure. The total energy equation between input and output is:

$$q + W_{e \to s} = u_o - u_i + \frac{v_o^2 - v_i^2}{2} + g(z_o - z_i)$$
 (1.12)

where we have the heat exchanged with the outside, the work from external forces to the system due to

fluid pressure  $p_i\nu_i - p_o\nu_o$  and work applied on the shaft  $\frac{P-P_{fa}}{\dot{m}} = \frac{P_i}{\dot{m}}$  equal to the variation of internal energy, kinetic energy and potential energy. Heat exchanges and the height difference in such engine is negligible, by introducing the enthalpy instead of internal energy and fluid pressure:

$$P_i = P - P_{fa} = \dot{m} \left( h_o - h_i + \frac{v_o^2 - v_i^2}{2} \right) = \dot{m} (h_{t_o} - h_{t_i}) = \dot{m} c_p (T_{t_o} - T_{t_i})$$
 (1.13)

where the index t denotes the total or stagnation quantities. We conclude that the work transferred through the shaft increases the total energy of the fluid.

#### Equation of kinetic energy

This adapted to the work  $\frac{P_i}{m}$  gives:

$$P_{i} = \dot{m} \underbrace{\left(\frac{v_{o}^{2} - v_{i}^{2}}{2} + \int_{i}^{o} \nu dp + g(z_{o} - z_{i})\right)}_{e} + P_{f} = \dot{m}e + P_{f}$$
(1.14)

 $P_f$  is the internal friction loss with the active as well as the non-active fluid and e is the energy transferred to the fluid.

#### A first distribution of the power - efficiencies

The figure summarizes all the above equations, for the efficiency we have  $\dot{m}e$  at the end and we inject P so:

$$\eta_g = \frac{\dot{m}e}{P} = \frac{\dot{m}e}{P_i} \frac{P_i}{P} = \eta_i \eta_m \tag{1.15}$$

that we rewrite as internal efficiency and external mechanical efficiency.

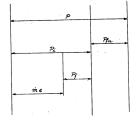
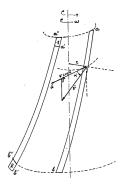


Figure 1.6

#### Energy transfer to the rotor

The only new equation we have is the **Euler-Rateau equation**. The energy given to the flow in a pump is linked to the energy provided to the shaft. We have to consider the kinetic moment (moment of the quantity of movement) related to the shaft:

$$\frac{d}{dt}\sum M_{axe}(m\bar{v}) = \sum M_{axe}\bar{F}_e \tag{1.16}$$



The variation of the kinetic moment of the rotor is zero since the rotation speed is constant. For a fluid element with mass m and at a distance r of the shaft, the velocity  $\bar{v}$  is composed of a component // to the shaft, radial component and tangential component on the rotor. Only the tangential component delivers a moment:

$$M_{axe}(m\bar{v}) = mrv\cos\alpha \tag{1.17}$$

and since the flow is permanent, after a time dt very small, if the mass flow is constant:

Figure 1.7

$$\sum_{b'b''} mrv \cos \alpha - \sum_{a'a''} mrv \cos \alpha = m'(r_2v_2 \cos \alpha_2 - r_1v_1 \cos \alpha_1) = m'(r_2v_{2u} - r_1v_{1u})$$
 (1.18)

Accepting  $r_2v_{2u} - r_1v_{1u} = cst$  for all channels we get:

$$\frac{d}{dt} \sum M_{axe}(m\bar{v}) = \frac{d}{dt} \left[ (r_2 v_{2u} - r_1 v_{1u}) \sum m' \right] = \dot{m}_R (r_2 v_{2u} - r_1 v_{1u})$$
 (1.19)

For the right hand side of (1.16), the weight of the wheel, the weight of the fluid, the pressure at inlet, at outlet and at side walls are null due to symmetry. Only the **driving torque** applied on the shaft  $\mathbf{M_i}$  and the **resistive torque**  $-\mathbf{M_{fr}}$  due to friction between the wheel and the non-active fluid are present, so that we finally get:

$$\dot{m}_R(r_2v_{2u} - r_1v_{1u}) = M_i - M_{fr} \tag{1.20}$$

And if we multiply by  $\omega$ :

#### Equation of Euler-Rateau

$$P_i - P_{fr} = P - P_{fa} - P_{fr} = P_R = \dot{m}_R (u_2 v_{2u} - u_1 v_{1u}) = \dot{m}_R \Delta(u v_u)$$
(1.21)

This equation tells that in order to be transferred to the mass flow rate  $\dot{m}_R$ , the power at the rotor shaft  $P_R$  must show an increase of the quantity  $uv_u$  which is directly related to the velocity triangle.

As  $w^2 = v^2 + u^2 - 2uv_u$ , one can also write:

$$P_R = \dot{m}_R \left( \frac{v_2^2 - v_1^2}{2} + \frac{u_2^2 - u_1^2}{2} - \frac{w_2^2 - w_1^2}{2} \right)$$
 (1.22)

#### Energy generated by the rotor

Remember (1.7) and replace this in (1.22), we get:

$$P_R = \dot{m}_R \underbrace{\left(\frac{v_2^2 - v_1^2}{2} + \int_{p_1}^{p_2} \nu dp + g(z_2 - z_1)\right)}_{e_R} + \dot{m}_R w_f^"$$
 (1.23)

where  $e_R$  is the energy transferred to the fluid through the channels on the rotor between section 1 and 2 on the common figure.  $\dot{m}_R w_f^{"} = P_f^{"}$  being the power absorbed by friction effects, we get:

$$P_R = \dot{m}_R e_R + P_f^{"}$$
 (and  $P_R = \dot{m}(h_{t_2} - h_{t_1})$ ) (1.24)

And we get thus 4 different expression for  $P_R$ , where the last expression was given in lecture.

#### 1.3.2 Detailed power distribution

The different losses can be depicted thanks to the previous equation:

$$P_i = P - P_{fa}$$
  $P_R = P_i - P_{fr}$   $\dot{m}_R e_R = P_R - P_f^{"}$   $\dot{m}_R = \dot{m} + \dot{m}_i$  (1.25)

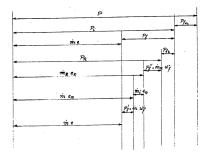


Figure 1.8

where we clearly see the mechanical losses, the loss due to stationary fluid, the loss at the rotor and the back flow. To this we have to add the loss at the diffuser and distributor which we wrote as:

$$P_f' = \dot{m}w_f' \tag{1.26}$$

On the figure, we first see the loss due to mechanical components giving  $P_i$ , then the fluid losses composed of stationary fluid losses giving  $P_R$ , then rotor channels loss giving  $\dot{m}e_R$ , but we have the back flow loss  $\dot{m}_i e_R$  giving  $\dot{m}e_R$  and the last venturi

losses that gives the entrance power  $\dot{m}e$  plus all the losses.

#### 1.4 Turbines

#### Description

The equations are in fact the same except that we have to adapt the signs and indexes to the working principle since the energy is flowing from the fluid to the shaft. As before, there is a clearance flow  $\dot{m}_i$  such that:

$$\dot{m} = \dot{m}_R + \dot{m}_i \tag{1.27}$$

The losses due to mechanical components  $P_{fa}$  and the leakage flows  $\dot{m}_e$  (negligible), the energy losses in non rotating  $(w'_f)$  and rotating  $(w'_f)$  frame and the loss due to non-active fluid  $P_{fr}$  are present. The useful power  $P_u$  replaces the P we had before.

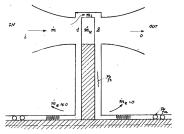


Figure 1.9

#### Energy equation

It is the same as before except that the indexes are inverted and the useful power is  $P_u = P_i - P_{fa}$ :

$$P_i = P_u + P_{fa} = \dot{m}(h_{t_i} - h_{t_o}) \tag{1.28}$$

#### Equation of kinetic energy

$$P_{i} = \dot{m} \underbrace{\left(\frac{v_{i}^{2} - v_{o}^{2}}{2} + \int_{p_{o}}^{p_{i}} \nu dp + g(z_{i} - z_{o})\right)}_{e} - P_{f} = \dot{m}e - P_{f}$$
(1.29)

#### First distribution of the power

The efficiencies are inverted:

$$\eta_g = \frac{P_u}{\dot{m}e} = \frac{P_u}{P_i} \frac{P_i}{\dot{m}e} = \eta_m \eta_i \tag{1.30}$$

On Figure 1.10 is represented a first plot of the different powers, remark that it is similar to what we had, only the fluid losses comes before the mechanical losses. The fluid losses are the same as before.

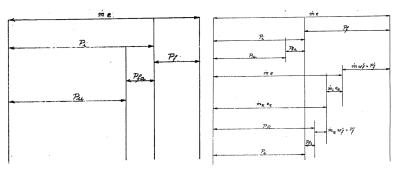


Figure 1.10

Figure 1.11

#### Equation of Euler-Rateau

We can rewrite  $P_R$  as the power transferred to the rotor from the fluid  $\dot{m}_R$ :

$$P_R = P_i + P_{fr} = \dot{m}_R(u_1 v_1 \cos \alpha_1 - u_2 v_2 \cos \alpha_2)$$
(1.31)

#### Energy absorbed by the rotor

As before, combing the above equation and the equation for rotating frame we get:

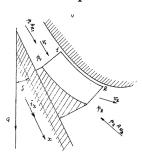
$$P_R = \dot{m}_R e_R - P_f^{"} \tag{1.32}$$

#### 1.5 Axial thrust and disc friction

#### 1.5.1 Definition

Since the turbomachinery is used to increase the energy of the fluid or extract energy, the pressure at the two sides of the rotor is different. This leads to an axial force and we need thrust bearings to avoid the shaft to slip.

#### 1.5.2 Equation of the axial thrust



We apply the equation of quantity of movements to the wheel and fluid without the shaft between section 1 and 2:

$$\sum d(m\bar{v}) = \sum (\bar{F}_e dt) \tag{1.33}$$

We will make a projection along x-axis. The external forces in presence are: the pressure forces  $p_1A_{R1}$  and  $p_2A_{R2}$ , the weight of the rotor and the fluid projected is  $G\cos\delta$  and the reaction force of the shaft on the

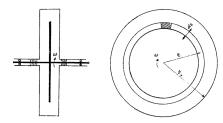
Figure 1.12

rotor with projection  $F_{ax}$  so  $-F_{ax}$  as seen by the rotor (projection of moments is null). The equation of quantity of movement becomes:

$$\dot{m}_R dt(v_{2ax} - v_{1ax}) = (P_1 A_{R1} - P_2 A_{R2} + F_{ax} + G\cos\delta)dt$$

$$\Leftrightarrow F_{ax} = \dot{m}_R dt(v_{2ax} - v_{1ax}) + P_2 A_{R2} - P_1 A_{R1} - G\cos\delta$$
(1.34)

#### 1.5.3 Friction of the disc



As mentioned before, there is friction between the disc and the non-active fluid. It is obvious that the friction torque will increase with the radius, the rpm (u) and the volumetric mass of the fluid. Considering an elementary surface  $2\pi r dr$ , we find experimentally for the elementary friction force and torque:

Figure 1.13

$$dF_{fr} = K\rho u^2 2\pi r dr$$
  $dC_{fr} = 2K\rho 2\pi r^2 dr u^2$  (1.35)

the 2 appears by considering the 2 sides of the disc. We integrate from internal radius r to the external one  $r_1$  and multiply by  $\omega$  o have powers (neglect  $r^5$  compared to  $r_1^5$ ):

$$C_{fr} = K\rho\omega^2 r_1^5 \qquad \Rightarrow P_{fr} = K\rho\omega^3 r_1^5 \tag{1.36}$$

Remark that one could think that we will have enormous values for  $\omega^3$ , but be aware that if  $\omega$  increases, the mechanical stresses on the device increases and force to reduce the  $r_1$ . This is why the friction is finite. If the side walls are very smooth, the coefficient K is related to the Reynolds number:

$$Re = \frac{\rho \omega r_1^2}{\mu} \tag{1.37}$$

## Chapter 2

# Centrifugal pumps

#### 2.1 Generalities

#### 2.1.1 Description - Type of turbopump

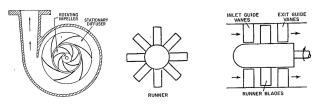


Figure 2.1

Figure 2.2

The task of the turbopump is to transfer energy to a liquid. Above we can see a centrifugal and an axial turbopump. Between these two extremes, we can have a variety of of types depending on the requirements. Each turbopump is composed of one or several wheels that can be mounted in parallel (increase mass flow rate) or in series (higher energy transfer), see Figure 1.2.

#### Installation of a turbopump

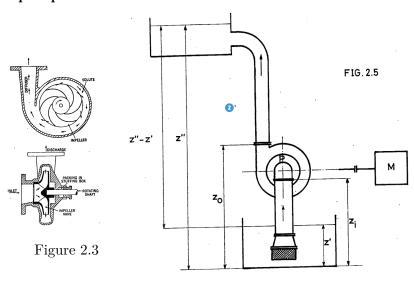


Figure 2.4

The general scheme is shown here, observe that the flow enters at the middle in the rotating blade and is projected into the volute. This last has a growing section from the beginning to

the end as the mass flow increases. The turbopump is commonly used to transfer liquid from a downstream reservoir to an upstream reservoir situated higher. We have to be careful to avoid cavitation (absence of liquid in the inlet pipe) and thus we have a control valve at the suction section.