

Closed PCF terms  $M, M' : \tau$  are contextually equivalent,  $M_1 \cong_{ctx} M_2 : \tau$ , if:

for all contexts  $C[-]$  satisfying

$C[M_1], C[M_2] : \gamma$  for  $\gamma = \text{bool}$  or  $\gamma = \text{nat}$

and for all values  $V : \gamma$ , it is the case that

$$C[M_1] \Downarrow_\gamma V \Leftrightarrow C[M_2] \Downarrow_\gamma V.$$

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Failure of full abstraction: for all PCF types  $\tau$ , and closed terms  $M_1, M_2 : \tau$ , whilst one does have

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \in \llbracket \tau \rrbracket \Rightarrow M_1 \cong_{ctx} M_2 : \tau$$

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the converse implication does not necessarily hold.

For example, define

$$M_i \stackrel{\text{def}}{=} \text{fn } f : \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool}).$$

if  $f \text{ true } \Omega$  then

if  $f \Omega \text{ true}$  then

if  $f \text{ false false}$  then  $\Omega$  else  $B_i$

else  $\Omega$

else  $\Omega$

2 Where  $B_1 \stackrel{\text{def}}{=} \text{true}$ ,  $B_2 \stackrel{\text{def}}{=} \text{false}$  &  $\Omega \stackrel{\text{def}}{=} \text{fix } x : \text{bool}. x$ .

Then claim:

$$(1) \llbracket M_1 \rrbracket \neq \llbracket M_2 \rrbracket \in (B_1 \rightarrow (B_1 \rightarrow B_1)) \rightarrow B_1.$$

$$(2) M_1 \cong_{ctx} M_2 : (\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})) \rightarrow \text{bool}.$$

### Proof of (1)

We use the parallel-or function

$\text{por} \in B_1 \rightarrow (B_1 \rightarrow B_1)$ , the unique continuous (indeed, unique monotone) function satisfying

$$\text{por } \text{true } \perp = \text{true}$$

$$\text{por } \perp \text{ true} = \text{true}$$

$$\text{por } \text{false } \text{false} = \text{false}$$

(all the other values of  $\text{por } b \ b'$  can be deduced from these by monotonicity).

By definition of  $M_1, M_2$  we can calculate that

$$\begin{cases} \llbracket M_1 \rrbracket(\text{por}) = \llbracket B_1 \rrbracket = \text{true} \\ \llbracket M_2 \rrbracket(\text{por}) = \llbracket B_2 \rrbracket = \text{false} \end{cases}$$

and hence  $\llbracket M_1 \rrbracket \neq \llbracket M_2 \rrbracket$ .

### Proof of (2)

We use the following extensionality properties of  $\cong_{\text{ctx}}$ :

(3) For all  $F_1, F_2 : \tau \rightarrow \tau'$

$$(\forall A : \tau. F_1 A \cong_{\text{ctx}} F_2 A : \tau') \Rightarrow F_1 \cong F_2 : \tau \rightarrow \tau'$$

(4) For all  $B_1, B_2 : \text{bool}$

$$(\forall V \in \{\text{true}, \text{false}\}. B_1 \Downarrow_{\text{bool}} V \Leftrightarrow B_2 \Downarrow_{\text{bool}} V)$$

$$\Rightarrow B_1 \cong_{\text{ctx}} B_2 : \text{bool}.$$

So to prove (2), by (3)&(4) it suffices to show

that for all  $A: \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})$  and for  $V \in \{\text{true}, \text{false}\}$  that

$$(5) \quad M_1 A \Downarrow_{\text{bool}} V \Leftrightarrow M_2 A \Downarrow_{\text{bool}} V$$

This holds because in fact for all such  $A$   $M_i A$  diverges ( $i=1,2$ ).

For, by definition of  $M_i$  and of evaluation  $M_i A \Downarrow_{\text{bool}} V$  holds only if

$$(6) \quad \begin{cases} M_i \text{true} \Omega \Downarrow_{\text{bool}} \text{true} \\ M_i \Omega \text{true} \Downarrow_{\text{bool}} \text{true} \\ M_i \text{false} \text{false} \Downarrow_{\text{bool}} \text{false} \end{cases}$$

Apply the soundness property of  $\llbracket \cdot \rrbracket$  w.r.t  $\Downarrow$ :

$$M \Downarrow_{\tau} V \Rightarrow \llbracket M \rrbracket = \llbracket V \rrbracket \in \llbracket \tau \rrbracket$$

to (6) to deduce

$$\begin{cases} \llbracket M_i \rrbracket \text{true} \perp = \text{true} \\ \llbracket M_i \rrbracket \perp \text{true} = \text{true} \\ \llbracket M_i \rrbracket \text{false} \text{false} = \text{false} \end{cases}$$

(using fact that  $\llbracket \Omega \rrbracket = \perp \in B_{\perp}$ )

So by the property uniquely defining  $\text{por}$ , we have  $\llbracket M_i \rrbracket = \text{por}$ . But

Fact:  $\nexists M: \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})$  with  $\llbracket M \rrbracket = \text{por}$ .

Hence can't have  $M_i A \Downarrow_{\text{bool}} V$ ; so (5) does indeed hold.