

**SOLUTION NOTES – Semantics of Programming Languages 2005 –
Paper 5 Question 11 (PMS)**

Part (a) is bookwork, from Chapter 4 of the notes but with the minor difference that this is an implicitly-typed syntax.

Parts (b) and (c) are inductive proofs, as in Chapter 3 of the notes. The first is most easily proved by a structural induction; the second by a rule induction using a substitution lemma.

a) Typing:

$$\Gamma, x:T \vdash x:T \quad \frac{\Gamma \vdash e_1:T_1 \rightarrow T_2 \quad \Gamma \vdash e_2:T_1}{\Gamma \vdash e_1 e_2:T_2}$$

$$\frac{\Gamma, x:T_1 \vdash e:T_2}{\Gamma \vdash \text{fn } x \Rightarrow e : T_1 \rightarrow T_2}$$

 $T ::= \dots$ $\mid T \rightarrow T$

b) Operational Semantics:

$$\frac{e_1 \rightarrow e_1'}{e_1 e_2 \rightarrow e_1' e_2} \quad (\text{fn } x \Rightarrow e_1) e_2 \rightarrow \{e_2/x\} e_1$$

b) Determinacy: If $e \rightarrow e_1$ and $e \rightarrow e_2$ then $e_1 = e_2$.Proof: Let $\Phi(e) = \forall e_1, e_2. e \rightarrow e_1 \ \& \ e \rightarrow e_2 \Rightarrow e_1 = e_2$ Prove $\forall e. \Phi(e)$ by structural induction.Case x . There is no e_1 such that $x \rightarrow e_1$, so $\Phi(x)$ holds trivially.

case $fn\ x \Rightarrow e$. There is no e' such that $(fn\ x \Rightarrow e) \rightarrow e'$ (as no rule has a conclusion of that form) so $\bar{\Phi}(fn\ x \Rightarrow e)$ holds trivially.

case $e_1\ e_2$. Suppose $e_1\ e_2 \rightarrow \hat{e}_1$ (*)
& $e_1\ e_2 \rightarrow \hat{e}_2$ (**)

Consider the last rule used in the derivation of (*). There are two cases:

(a) e_1 is of the form $(fn\ x \Rightarrow e)$ and $\hat{e}_1 = \{e_2/x\}e$.

(b) for some e_1' we have $e_1 \rightarrow e_1'$ and $\hat{e}_1 = e_1'\ e_2$

These are disjoint, as $\neg \exists e'' (fn\ x \Rightarrow e) \rightarrow e''$, so the last deriv rule used in the derivation of (**) must correspond.

If the ^{cases} ~~rules~~ were (a), (a) then $\hat{e}_1 = \{e_2/x\}e = \hat{e}_2$ ✓

If the ^{cases} ~~rules~~ were (b), (b) then we have e_1' and e_1'' such

that $e_1 \rightarrow e_1'$ $\hat{e}_1 = e_1'\ e_2$

$e_1 \rightarrow e_1''$ $\hat{e}_2 = e_1''\ e_2$

then by $\bar{\Phi}(e_1)$ we know $e_1' = e_1''$, so $\hat{e}_1 = \hat{e}_2$ ✓

c) Type Preservation If $\Gamma \vdash e : T$ and $e \rightarrow e'$ then $\Gamma \vdash e' : T$.

Let $\Phi(e, e') : \forall \Gamma, T. \Gamma \vdash e : T \Rightarrow \Gamma \vdash e' : T$

Prove $\forall e, e'. e \rightarrow e' \Rightarrow \Phi(e, e')$ by rule induction.

Case $e_1 e_2 \rightarrow e'_1 e_2$ and $e_1 \rightarrow e'_1$.

Assume $\Gamma \vdash e_1, e_2 : T$. The derivation must be of the form
~~inventing the definition~~

$$\frac{\Gamma \vdash e_1 : T_2 \rightarrow T \quad \Gamma \vdash e_2 : T_2}{\Gamma \vdash e_1 e_2 : T}$$

By the induction hypothesis $\Phi(e_1, e'_1)$ we have $\Gamma \vdash e'_1 : T_2 \rightarrow T$.
Hence using the type rule again $\Gamma \vdash e'_1 e_2 : T$.

Case $(\text{fn } x \Rightarrow e_1) e_2 \rightarrow \{e_2/x\} e_1$.

Assume $\Gamma \vdash (\text{fn } x \Rightarrow e_1) e_2 : T$. The derivation must be of the form ∇

$$\frac{\frac{\Gamma, x : T_2 \vdash e_1 : T}{\Gamma \vdash (\text{fn } x \Rightarrow e_1) : T_2 \rightarrow T} \quad \Gamma \vdash e_2 : T_2}{\Gamma \vdash (\text{fn } x \Rightarrow e_1) e_2 : T}$$

Use Lemma [Substitution] If $\Gamma \vdash e_2 : T_2$ & $\Gamma, x : T_2 \vdash e_1 : T$
then $\Gamma \vdash \{e_2/x\} e_1 : T$

to conclude $\Gamma \vdash \{e_2/x\} e_1 : T$.