

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
RECORD TYPE	Indicates a field that governs the record layout for this segment depending on the value in the field. This is the Detail (D) record segment.	Record 103	1-1	1	AN PIC X(1)		
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is record 103 - FFR, owners 4 through 6	Record 103	2-4	3	AN PIC X(3)		
FIRM	Firm Number to identify as Fidelity Investments.	Record 103	5-8	4	AN PIC X(4)	NOT DISPLAYED	0101 Default
BRANCH	Unique three-character alphanumeric code used to identify branches for the purposes of reporting and grouping of accounts. A particular branch may be designated as a Super Branch for reporting and calculation purposes. This code is commonly displayed together with the six-digit account number is used to identify a unique brokerage account.	Record 103	9-11	3	AN PIC X(3)	BNAM DISP CUST BR/ACCT:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
ACCOUNT NUMBER	Non-unique six-digit code used to identify a unique customer account. This code is commonly displayed together with the three-character branch code and is used to identify a unique brokerage account. The same account number can be used with different branches but never with the same branch.	Record 103	12-17	6	AN PIC X(6)	BNAM DISP CUST BR/ACCT	
FFR NAME COUNT	The number of associated names that will appear in the following record segment(s). Segment 103 only	Record 103	18-21	4	N PIC 9(4)	NOT DISPLAYED	
FIXED FORMAT NAME TYPE	Identifies whether the name on the account is that of a person or business. If field value is B (Business), record positions 24-73 contain FFR BUSINESS/TRUST NAME and record positions 74-106 are empty. If field value is P (Person), record positions 24-106 contain the FFR FIRST NAME, FFR MIDDLE NAME, FFR LAST NAME, FFR NAME TITLE PREFIX, FFR NAME TITLE SUFFIX, FIXED FORMAT DATE OF BIRTH and FFR DATE OF DEATH.	Record 103	22-22	1	AN PIC X(1)	NOT DISPLAYED	B Business P Person

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
FIXED FORMAT PRIMARY NAME CODE	Account holder's entity and associated SSN/TIN to be used for tax reporting purposes.	Record 103	23-23	1	AN PIC X(1)	BNAM CHG CUST *	P Primary
FIXED FORMAT FIRST NAME	The first name of the person associated with the account. This field is populated when FIXED FORMAT NAME TYPE (record position 22-22) contains a P (Person) value.	Record 103	24-35	12	AN PIC X(12)	BNAM CHG CUST/ADDL FIRST:	
FIXED FORMAT BUSINESS/TRUST NAME	Account holder's business or trust name. This field is populated when FIXED FORMAT NAME TYPE (record position 22-22) contains a B (Business) value.	Record 103	24-73	50	AN PIC X(50)	BNAM CHG CUST/ADDL UNAME:	
FIXED FORMAT MIDDLE NAME	The middle name of the person associated with the account. This field is populated when FIXED FORMAT NAME TYPE (record position 22-22) contains a P (Person) value.	Record 103	36-45	10	AN PIC X(10)	BNAM CHG CUST/ADDL M:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FIXED FORMAT LAST NAME	<p>The last name of the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 22-22) contains a P (Person) value.</p>	Record 103	46-70	25	AN PIC X(25)	BNAM CHG CUST/ADDL LAST:	
FIXED FORMAT NAME TITLE PREFIX	<p>The prefix for the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 22-22) contains a P (Person) value.</p>	Record 103	71-80	10	AN PIC X(10)	BNAM CHG CUST/ADDL PREFIX:	
FILLER	<p>FILLER - Internal use only.</p> <p>This field is FILLER when FIXED FORMAT NAME TYPE (record position 22-22) contains a B (Business) value.</p>	Record 103	74-106	33	AN PIC X(33)		
FIXED FORMAT NAME TITLE SUFFIX	<p>The suffix for the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 22-22) contains a P (Person) value.</p>	Record 103	81-90	10	AN PIC X(10)	BNAM CHG CUST/ADDL SUF:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FIXED FORMAT DATE OF BIRTH (with century)	<p>The birth date of the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 22-22) contains a P (Person) value. Customer birth date with full century.</p>	Record 103	91-98	8	YYYYMMDD PIC X(8)	BNAM CHG CUST/ADDL DOB:	
FFR DATE OF DEATH	<p>The date of death of the person associated with the account.</p>	Record 103	99-106	8	YYYYMMDD PIC X(8)	BNAM CHG CUST DD	
FIXED FORMAT RELATIONSHIP CODE	<p>Variable-character alpha code identifying the type of relationship the account holder has to the brokerage account. This value is used together with REGISTRATION TYPE to define Books and Records (B&R) characteristics. Some values are only used by Client Householding.</p> <p>Not populated for non-prototype plan name is when REGISTRATION TYPE = 'NP'.</p>	Record 103	107-110	4	AN PIC X(4)	BNAM CHG CUST/ADDL REL:	See Appendix 1: Possible Values
FIXED FORMAT SSN NUMBER	<p>Account holder's Social Security Number (SSN) or Tax Identification Number (TIN), depending on the contents of the Fixed Format SSN Code.</p>	Record 103	111-119	9	AN PIC X(9)	BNAM CHG CUST/ADDL IRS#:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FIXED FORMAT SSN CODE	The content of the SSN number as either a Social Security Number or a Tax Identification Number.	Record 103	120-120	1	AN PIC X(1)	BNAM CHG CUST/ADDL [NO TAG]	N None S Social Security Number T Tax Identification Number
FFR XREF	Nine-character alphanumeric code identifying a unique account holder. Associates the Book record with FFR record segments 102,103 or 113. Also known as the Customer ID.	Record 103	121-129	9	AN PIC X(9)	BNAM DISP BOOK CUST#:	
FFR CUSTOMER ESTABLISH DATE	The date the customer record (800 RECORD) was established.	Record 103	130-137	8	YYYYMMDD PIC X(8)	BNAM HIST 800 RECORD + CUST ID (FFR XREF)	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
FIXED FORMAT NAME TYPE	Identifies whether the name on the account is that of a person or business. If field value is B (Business), record positions 140-189 contain FFR BUSINESS/TRUST NAME and record positions 190-222 are empty. If field value is P (Person), record positions 140-222 contain the FFR FIRST NAME, FFR MIDDLE NAME, FFR LAST NAME, FFR NAME TITLE PREFIX, FFR NAME TITLE SUFFIX, FIXED FORMAT DATE OF BIRTH and FFR DATE OF DEATH.	Record 103	138-138	1	AN PIC X(1)	NOT DISPLAYED	B Business P Person
FIXED FORMAT PRIMARY NAME CODE	Account holder's entity and associated SSN/TIN to be used for tax reporting purposes.	Record 103	139-139	1	AN PIC X(1)	BNAM CHG CUST *	P Primary
FIXED FORMAT FIRST NAME	The first name of the person associated with the account. This field is populated when FIXED FORMAT NAME TYPE (record position 138-138) contains a P (Person) value.	Record 103	140-151	12	AN PIC X(12)	BNAM CHG CUST/ADDL FIRST:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
FIXED FORMAT BUSINESS/TRUST NAME	Account holder's business or trust name. This field is populated when FIXED FORMAT NAME TYPE (record position 138-138) contains a B (Business) value.	Record 103	140-189	50	AN PIC X(50)	BNAM CHG CUST/ADDL UNAME:	
FIXED FORMAT MIDDLE NAME	The middle name of the person associated with the account. This field is populated when FIXED FORMAT NAME TYPE (record position 138-138) contains a P (Person) value.	Record 103	152-161	10	AN PIC X(10)	BNAM CHG CUST/ADDL M:	
FIXED FORMAT LAST NAME	The last name of the person associated with the account. This field is populated when FIXED FORMAT NAME TYPE (record position 138-138) contains a P (Person) value.	Record 103	162-186	25	AN PIC X(25)	BNAM CHG CUST/ADDL LAST:	
FIXED FORMAT NAME TITLE PREFIX	The prefix for the person associated with the account. This field is populated when FIXED FORMAT NAME TYPE (record position 138-138) contains a P (Person) value.	Record 103	187-196	10	AN PIC X(10)	BNAM CHG CUST/ADDL PREFIX:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
FILLER	FILLER - Internal use only. This field is FILLER when FIXED FORMAT NAME TYPE (record position 138-138) contains a B (Business) value.	Record 103	190-222	33	AN PIC X(33)		
FIXED FORMAT NAME TITLE SUFFIX	The suffix for the person associated with the account. This field is populated when FIXED FORMAT NAME TYPE (record position 138-138) contains a P (Person) value.	Record 103	197-206	10	AN PIC X(10)	BNAM CHG CUST/ADDL SUF:	
FIXED FORMAT DATE OF BIRTH (with century)	The birth date of the person associated with the account. This field is populated when FIXED FORMAT NAME TYPE (record position 138-138) contains a P (Person) value. Customer birth date with full century.	Record 103	207-214	8	YYYYMMDD PIC X(8)	BNAM CHG CUST/ADDL DOB:	
FFR DATE OF DEATH	The date of death of the person associated with the account.	Record 103	215-222	8	YYYYMMDD PIC X(8)	BNAM CHG CUST DD	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FIXED FORMAT RELATIONSHIP CODE	Variable-character alpha code identifying the type of relationship the account holder has to the brokerage account. This value is used together with REGISTRATION TYPE to define Books and Records (B&R) characteristics. Some values are only used by Client Householding. Not populated for non-prototype plan name is when REGISTRATION TYPE = 'NP'.	Record 103	223-226	4	AN PIC X(4)	BNAM CHG CUST/ADDL REL:	See Appendix 1: Possible Values
FIXED FORMAT SSN NUMBER	Account holder's Social Security Number (SSN) or Tax Identification Number (TIN), depending on the contents of the Fixed Format SSN Code.	Record 103	227-235	9	AN PIC X(9)	BNAM CHG CUST/ADDL IRS#:	
FIXED FORMAT SSN CODE	The content of the SSN number as either a Social Security Number or a Tax Identification Number.	Record 103	236-236	1	AN PIC X(1)	BNAM CHG CUST/ADDL [NO TAG]	N None S Social Security Number T Tax Identification Number
FFR XREF	Nine-character alphanumeric code identifying a unique account holder. Associates the Book record with FFR record segments 102,103 or 113. Also known as the Customer ID.	Record 103	237-245	9	AN PIC X(9)	BNAM DISP BOOK CUST#:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
FFR CUSTOMER ESTABLISH DATE	The date the customer record (800 RECORD) was established.	Record 103	246-253	8	YYYYMMDD PIC X(8)	BNAM HIST 800 RECORD + CUST ID (FFR XREF)	
FIXED FORMAT NAME TYPE	Identifies whether the name on the account is that of a person or business. If field value is B (Business), record positions 256-305 contain FFR BUSINESS/TRUST NAME and record positions 306-338 are empty. If field value is P (Person), record positions 256- 338 contain the FFR FIRST NAME, FFR MIDDLE NAME, FFR LAST NAME, FFR NAME TITLE PREFIX, FFR NAME TITLE SUFFIX, FIXED FORMAT DATE OF BIRTH and FFR DATE OF DEATH.	Record 103	254-254	1	AN PIC X(1)	NOT DISPLAYED	B Business P Person
FIXED FORMAT PRIMARY NAME CODE	Account holder's entity and associated SSN/TIN to be used for tax reporting purposes.	Record 103	255-255	1	AN PIC X(1)	BNAM CHG CUST *	P Primary

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
FIXED FORMAT FIRST NAME	<p>The first name of the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 254-254) contains a P (Person) value.</p>	Record 103	256-267	12	AN PIC X(12)	BNAM CHG CUST/ADDL FIRST:	
FIXED FORMAT BUSINESS/TRUST NAME	<p>Account holder's business or trust name.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 254-254) contains a B (Business) value.</p>	Record 103	256-305	50	AN PIC X(50)	BNAM CHG CUST/ADDL UNAME:	
FIXED FORMAT MIDDLE NAME	<p>The middle name of the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 254-254) contains a P (Person) value.</p>	Record 103	268-277	10	AN PIC X(10)	BNAM CHG CUST/ADDL M:	
FIXED FORMAT LAST NAME	<p>The last name of the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 254-254) contains a P (Person) value.</p>	Record 103	278-302	25	AN PIC X(25)	BNAM CHG CUST/ADDL LAST:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FIXED FORMAT NAME TITLE PREFIX	<p>The prefix for the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 254-254) contains a P (Person) value.</p>	Record 103	303-312	10	AN PIC X(10)	BNAM CHG CUST/ADDL PREFIX:	
FILLER	<p>FILLER - Internal use only.</p> <p>This field is FILLER when FIXED FORMAT NAME TYPE (record position 254-254) contains a B (Business) value.</p>	Record 103	306-338	33	AN PIC X(33)		
FIXED FORMAT NAME TITLE SUFFIX	<p>The suffix for the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 254-254) contains a P (Person) value.</p>	Record 103	313-322	10	AN PIC X(10)	BNAM CHG CUST/ADDL SUF:	
FIXED FORMAT DATE OF BIRTH (with century)	<p>The birth date of the person associated with the account.</p> <p>This field is populated when FIXED FORMAT NAME TYPE (record position 254-254) contains a P (Person) value. Customer birth date with full century.</p>	Record 103	323-330	8	YYYYMMDD PIC X(8)	BNAM CHG CUST/ADDL DOB:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
FFR DATE OF DEATH	The date of death of the person associated with the account.	Record 103	331-338	8	YYYYMMDD PIC X(8)	BNAM CHG CUST DD	
FIXED FORMAT RELATIONSHIP CODE	Variable-character alpha code identifying the type of relationship the account holder has to the brokerage account. This value is used together with REGISTRATION TYPE to define Books and Records (B&R) characteristics. Some values are only used by Client Householding. Not populated for non-prototype plan name is when REGISTRATION TYPE = 'NP'.	Record 103	339-342	4	AN PIC X(4)	BNAM CHG CUST/ADDL REL:	See Appendix 1: Possible Values
FIXED FORMAT SSN NUMBER	Account holder's Social Security Number (SSN) or Tax Identification Number (TIN), depending on the contents of the Fixed Format SSN Code.	Record 103	343-351	9	AN PIC X(9)	BNAM CHG CUST/ADDL IRS#:	
FIXED FORMAT SSN CODE	The content of the SSN number as either a Social Security Number or a Tax Identification Number.	Record 103	352-352	1	AN PIC X(1)	BNAM CHG CUST/ADDL [NO TAG]	N None S Social Security Number T Tax Identification Number

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
FFR XREF	Nine-character alphanumeric code identifying a unique account holder. Associates the Book record with FFR record segments 102,103 or 113. Also known as the Customer ID.	Record 103	353-361	9	AN PIC X(9)	BNAM DISP BOOK CUST#:	
FFR CUSTOMER ESTABLISH DATE	The date the customer record (800 RECORD) was established.	Record 103	362-369	8	YYYYMMDD PIC X(8)	BNAM HIST 800 RECORD + CUST ID (FFR XREF)	
FIXED FORMAT TRUSTED CONTACT STATUS CODE	One-character alpha code indicating the election status of the account owner and their trusted contact person. Account owner 4	Record 103	370-370	1	AN PIC X(01)	BNAM DISP TCPN OPT OUT:	[space] Account owner has elected to identify a trust contact person or has not specified their election. F Account owner formerly elected not to identify a trusted contact person but currently has identified a trusted contact person (formerly opt-out). Y Account owner has elected not to identify a trusted contact person (opt-out).
FIXED FORMAT TRUSTED CONTACT STATUS CODE	One-character alpha code indicating the election status of the account owner and their trusted contact person. Account owner 5	Record 103	371-371	1	AN PIC X(01)	BNAM DISP TCPN OPT OUT:	[space] Account owner has elected to identify a trust contact person or has not specified their election. F Account owner formerly elected not to identify a trusted contact person but currently has identified a trusted contact person (formerly opt-out). Y Account owner has elected not to identify a trusted contact person (opt-out).

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FIXED FORMAT TRUSTED CONTACT STATUS CODE	One-character alpha code indicating the election status of the account owner and their trusted contact person. Account owner 6	Record 103	372-372	1	AN PIC X(01)	BNAM DISP TCPN OPT OUT:	[space] Account owner has elected to identify a trust contact person or has not specified their election. F Account owner formerly elected not to identify a trusted contact person but currently has identified a trusted contact person (formerly opt-out). Y Account owner has elected not to identify a trusted contact person (opt-out).
FILLER	FILLER - Internal use only.	Record 103	373-700	328	AN PIC X(328)		