Fidelity Standard Transmissions

Tax Accounting System (TAS) Open Lot Transmission File Manual

Version: 4.2.6

Fidelity
INVESTMENTS

Available Frequencies: **Daily**

                        Weekly

File Description:

The Tax Accounting System (TAS) Open Lot Transmission provides cost basis and unrealized gain/loss information for unsettled and settled positions. The file provides lot level details for open lots only, such as price, cost basis, market value, cost basis source and status.

Supports Clearing Clients, Custody Clients or in certain cases, a client's approved Fintech providers.

Please Note: Information or functionality that may be provided is based on a firm's authorization and may be subject to restrictions.

File Processing Guidelines:

The Tax Accounting System (TAS) Open Lot Transmission file is a 1000-byte file with a Header and Trailer record.

The file provides cost basis and gain/loss information of unsettled and settled open lot level securities positions. It contains information such as lot price, cost basis, unrealized gain/loss and market value. It also contains the source and status of cost basis information. Other information is included such as holding period, fractured lot, wash sale and short sale. This information is found in Wealthscape℠.

The Tax Accounting System (TAS) Open Lot Transmission has the following availability:

1. Weekly Full file provides a full refresh of open lot data for all accounts as of the completion of the Friday batch cycle.

2. Daily Delta file provides open lot data for all added, changed or deleted lots in the current batch cycle.

For the Weekly Full file, the closing price for the Friday batch cycle is used for the calculation of unrealized gain/loss fields. The TAS DELTA INDICATOR is empty in this version.

For the Daily Delta file, the TAS DELTA INDICATOR is used to identify added, changed or deleted records. The lot data for the current cycle is compared to the previous cycle.

Added open lot(s) to existing accounts: TAS DELTA INDICATOR = A
Added account(s): TAS DELTA INDICATOR = A
Change to an existing lot: TAS DELTA INDICATOR = C
Closed lot: TAS DELTA INDICATOR = D (All amounts set to zero)
Open lot event cancelled (trade cancel): TAS DELTA INDICATOR = D (All amounts set to zero)
Inactive account: No record
Deleted account: No record
Intraday trades (no closing position): No record

A change to any one of the following fields triggers a change record (TAS DELTA INDICATOR = C)

ACCOUNT TYPE (Delete/Add pair; Type 6 journals excepted)
CUSIP (Name change)
LOT QUANTITY
LOT QUANTITY SIGN
TAS COST BASIS AMOUNT/PROCEEDS
COST BASIS EVENT SOURCE CODE
TAS LOT ACQUIRED DATE
LOT COST BASIS METHOD CODE
HOLDING PERIOD/FRACTURED LOT INDICATOR
WASH SALE INDICATOR
LONG SHORT CODE
MARK TO MARKET INDICATOR
RETIREMENT INDICATOR
CBL COVERED LOT INDICATOR *
CBL GIFTED/INHERITED LOT INDICATOR *
GIFTED LOT DATE *
GIFTED LOT FAIR MARKET VALUE
CBL COVERED REASON CODE
WASH SALE HOLDING PERIOD DATE
NIGO OUT OF BALANCE EXCEPTION INDICATOR
NIGO TECH SHORT EXCEPTION INDICATOR
NIGO COST EXCEPTIONS INDICATOR
POSITION COST BASIS METHOD CODE

* except when both LOT COST BASIS METHOD CODE and POSITION COST BASIS METHOD CODE = A

For the Daily Delta file, the closing price for the current batch cycle is used for the calculation of unrealized gain/loss fields.

Average cost lots are aggregated (rolled up) to a summary level. A lot is considered an average cost lot if the LOT COST BASIS METHOD CODE = A and the POSITION COST BASIS METHOD CODE = A. The aggregation key fields are:

BRANCH
ACCOUNT NUMBER
ACCOUNT TYPE
CUSIP
CBL COVERED LOT INDICATOR
GIFTED LOT DATE
HOLDING PERIOD/FRACTURED LOT INDICATOR

There are no Tax Accounting System records for these positions:
• Free cash credit interest reportable on IRS Form 1099-INT and money market fund dividends reportable on IRS Form 1099-DIV.
• Alternative Investments marked as Suppressed in TASView.
• ACCOUNT CLASSIFICATION '40' or '80' proprietary accounts.

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the Header (H) record segment.	Header	1-1	1	AN PIC X(01)		
SUPER BRANCH	Unique three-character alphanumeric code used to identify a correspondent firm or to aggregate several branches for reporting and calculation purposes.	Header	2-4	3	AN PIC X(03)		
FILLER	FILLER - Internal use only.	Header	5-21	17	AN PIC X(17)		
FILE ORIGIN	Variable-character alpha string identifying the sender.	Header	22-37	16	AN PIC X(16)		FIDELITY SYSTEMS Identifies Fidelity as the sender National Financial Services LLC Identifies National Financial Services LLC as the sender NFSC SYSTEMS Identifies Fidelity as the sender - (Bookkeeping 101-byte Only)
FILLER	FILLER - Internal use only.	Header	38-41	4	AN PIC X(04)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FILE TITLE	The title of the file. TASOPEN	Header	42-48	7	AN PIC X(07)		
FILLER	FILLER - Internal use only.	Header	49-62	14	AN PIC X(14)		
HEADER DATE	The date of the file.	Header	63-70	8	MMDDYYYY PIC X(08)		
FILLER	FILLER - Internal use only.	Header	71-1000	930	AN PIC X(930)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the Detail (D) record segment.	Record	1-1	1	AN PIC X(01)		
TAS DELTA INDICATOR	One-character alpha code indicating whether the TAS Delta record is an added, changed or deleted record. Empty in Full File version.	Record	2-2	1	AN PIC X(01)		A Add C Change D Delete
BRANCH	Unique three-character alphanumeric code used to identify branches for the purposes of reporting and grouping of accounts. A particular branch may be designated as a Super Branch for reporting and calculation purposes. This code is commonly displayed together with the six-digit account number is used to identify a unique brokerage account.	Record	3-5	3	AN PIC X(03)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ACCOUNT NUMBER	Non-unique six-digit code used to identify a unique customer account. This code is commonly displayed together with the three-character branch code and is used to identify a unique brokerage account. The same account number can be used with different branches but never with the same branch.	Record	6-11	6	AN PIC X(06)		
ACCOUNT TYPE	Sub-account of a brokerage account used to identify separate money and security accounting types. Type 0 records will not appear in this transmission. Positions in ACCOUNT TYPE 6 (Finance) are not updated and retain the ACCOUNT TYPE value they were journaled from.	Record	12-12	1	AN PIC X(01)	Wealthscape Positions>Type	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CUSIP	Unique nine-character security identification code (Committee On Uniform Securities Identification Procedures). For foreign currency (FX) positions, the local CURRENCY CODE appears here as CUR[CURRENCY CODE][STATE/COUNTRY CODE].	Record	13-21	9	AN PIC X(09)		
SECURITY DESCRIPTION LINES 1-6	Variable-character alphanumeric Security Description lines from Product Master (BSEC). The fixed format lines are compressed by selectively removing spaces and certain words.	Record	22-141	120	AN PIC X(120)	Wealthscape Positions>Security Description	
PRODUCT CODE	12-character variable character code used to identify the type of security. The code uses a hierarchy that allows you to identify the securities at varying levels of detail.	Record	142-153	12	AN PIC X(12)		See Appendix 1: Possible Values
CLOSING MARKET PRICE	The quoted closing market price of the security derived from the NFS pricing system.	Record	154-171	18	N PIC 9(09)V9(09)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CLOSING MARKET PRICE SIGN	Indicates the sign for the numeric value in the previous field CLOSING MARKET PRICE.	Record	172-172	1	AN PIC X(01)		[space] Positive (TAS Lot Level) + Positive (TAS Open & Closed)
LOT QUANTITY	The total number of shares associated with this event.	Record	173-190	18	N PIC 9(13)V9(05)	Wealthscape Position Detail>Closing Quantity	
LOT QUANTITY SIGN	Indicates the sign for the numeric value in the previous field LOT QUANTITY. Use LONG SHORT CODE value instead of this field.	Record	191-191	1	AN PIC X(01)		- Negative [space] Positive (TAS Lot Level) + Positive (TAS Open & Closed Lot)
LOT MARKET VALUE	The total monetary value of the shares in the lot at the close of the business day. Calculated as: LOT QUANTITY * CLOSING MARKET PRICE	Record	192-208	17	N PIC 9(15)V9(02)	Wealthscape Position Detail>Closing Market Value	
LOT MARKET VALUE SIGN	Indicates the sign for the numeric value in the previous field LOT MARKET VALUE.	Record	209-209	1	AN PIC X(01)		- Negative [space] Positive (TAS Lot Level) + Positive (TAS Open & Closed Lot)

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TAS COST BASIS AMOUNT/PROCEEDS	The total adjusted dollar cost of the shares of the lot. This amount is adjusted for wash sales, corporate actions and fixed income amortized securities. The fixed income adjusted cost may be based on Original Issue Discount (OID) phantom interest and market premium adjustments. When FIXED INCOME COST BASIS INDICATOR = Y, this amount is adjusted for fixed income cost. When FIXED INCOME COST BASIS INDICATOR = N, this amount is not subject to fixed income cost. If the lot has been adjusted for fixed income amortization, the unadjusted cost for fixed income securities appears in FIXED INCOME UNADJUSTED COST BASIS AMOUNT.	Record	210-226	17	N PIC 9(15)V9(02)	Wealthscape Position Detail>Cost (superscript a if adjusted)	
TAS COST BASIS AMOUNT/PROCEEDS SIGN	Indicates the sign for the numeric value in the previous field TAS COST BASIS AMOUNT/PROCEEDS.	Record	227-227	1	AN PIC X(01)		- Negative + Positive
UNREALIZED GAIN/LOSS AMOUNT	The dollar value of the gain or loss that would result if the shares remaining in the lot were sold or covered at this point in time.	Record	228-244	17	N PIC 9(15)V9(02)	Wealthscape Position Detail>Unrealized Gain/Loss	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
UNREALIZED GAIN/LOSS AMOUNT SIGN	Indicates the sign for the numeric value in the previous field UNREALIZED GAIN/LOSS AMOUNT.	Record	245-245	1	AN PIC X(01)		- Negative [space] Positive (TAS Lot Level) + Positive (TAS Open & Closed)
COST BASIS EVENT SOURCE CODE	One-character alpha code identifying the source of the open event providing the cost basis.	Record	246-246	1	AN PIC X(01)	Wealthscape Position Detail>Cost Source	B Back Office Provided C Customer Provided F Fidelity Provided M Mark-to-Market T Third Party Provided U Unknown
TAS LOT ACQUIRED DATE	The date on which the shares were originally acquired. For example, the trade date of a buy transaction. For ACSC lots that are rolled-up, this date will contain the earliest Holding Period Date for the rolled-up lots.	Record	247-254	8	YYYYMMDD PIC X(08)	Wealthscape Position Detail>Date Acquired	
LOT COST BASIS METHOD CODE	One-character alpha code indicating the calculation method used to determine the cost basis dollar value for the lot.	Record	255-255	1	AN PIC X(01)	Wealthscape Position Detail>Cost Method	A ACSC (Average Cost Single Category) I IDLOT (Specific Lot Identification)

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
HOLDING PERIOD/FRACTURED LOT INDICATOR	One-character numeric code indicating the IRS holding period for the lot. For lots that are Inherited (I) or Inherited then Gifted (B), this field will be set to 4 (Long Term), regardless of holding period.	Record	256-256	1	AN PIC X(01)	Wealthscape Position Detail>Holding Period	2 Short Term 4 Long Term 6 Acquisition Date Unknown 7 Partially Long-term and partially Short-term due to wash sale adjustments (Fractured Lots) 8 Retirement Sale 9 Retirement FMV distribution
WASH SALE INDICATOR	One-character alpha code indicating the lot was adjusted for wash sale. A wash sale is the purchase and sale of a security either simultaneously or within a short period of time. Wash sales taking place within 30 days of the underlying purchase do not qualify as tax losses under Internal Revenue Service rules.	Record	257-257	1	AN PIC X(01)	Wealthscape Position Detail>Lot Detail>Transaction Type	N No Y Yes
LONG SHORT CODE	One-character alpha code indicating whether the lot is held long or short (type 3) in the account.	Record	258-258	1	AN PIC X(01)	Wealthscape Positions>Type	L Long S Short

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
MARK TO MARKET INDICATOR	One-character alpha code indicating whether the basis was derived from year-end market value in a qualifying trading account or the market value at the time of the distribution if the lot was produced by a share-in-kind distribution from a retirement account.	Record	259-259	1	AN PIC X(01)	Wealthscape Position Detail>Lot Detail>Transaction Type	[space] Not a mark-to-market account M Mark-to-market account
RETIREMENT INDICATOR	One-character alpha code indicating whether account is retirement account. This is not the Alt Retirement Calc field vale used to determine whether reinvested dividends and return of capital events are included in retirement accounts.	Record	260-260	1	AN PIC X(01)		N Account is not retirement account Y Account is retirement account
FIXED INCOME UNADJUSTED COST BASIS AMOUNT	The total unadjusted dollar cost of the shares of the lot subject to Original Issue Discount (OID) phantom interest or market premium adjustments. When FIXED INCOME ADJUSTED COST BASIS INDICATOR = Y, this amount is unadjusted for fixed income cost. When FIXED INCOME ADJUSTED COST BASIS INDICATOR = N, this amount contains zeroes.	Record	261-277	17	N PIC 9(15)V9(02)	Wealthscape Position Detail>Lot Detail>Lot Cost	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FIXED INCOME UNADJUSTED COST BASIS AMOUNT SIGN	Indicates the sign for the numeric value in the previous field FIXED INCOME UNADJUSTED COST BASIS AMOUNT.	Record	278-278	1	AN PIC X(01)		+ Positive
FIXED INCOME ADJUSTED COST BASIS INDICATOR	One character alpha code indicating whether cost basis has been adjusted Original Issue Discount (OID) phantom interest or market premium adjustments.	Record	279-279	1	AN PIC X(01)	Wealthscape Position Detail>Cost (superscript a if adjusted)	N Not adjusted Y Adjusted
YTD ACQUISITION PREMIUM	The total year-to-date acquisition premium applicable to fixed income securities issued with an original-issue-discount (OID). Valid values when ADJUSTED COST BASIS INDICATOR = Y.	Record	280-296	17	N PIC 9(15)V9(02)		
YTD ACQUISITION PREMIUM SIGN	Indicates the sign for the numeric value in the previous field YTD ACQUISITION PREMIUM.	Record	297-297	1	AN PIC X(01)		[space] Positive (TAS Lot Level) + Positive (TAS Open & Closed)

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
YTD AMORTIZED PREMIUM	The total year-to-date amortized premium applicable to fixed income securities issued with an original-issue-discount (OID). Valid values when ADJUSTED COST BASIS INDICATOR = Y.	Record	298-314	17	N PIC 9(15)V9(02)		
YTD AMORTIZED PREMIUM SIGN	Indicates the sign for the numeric value in the previous field YTD AMORTIZED PREMIUM.	Record	315-315	1	AN PIC X(01)		[space] Positive (TAS Lot Level) + Positive (TAS Open & Closed)
YTD MARKET DISCOUNT INCOME	The market discount income applicable to fixed income securities issued with an original-issue-discount (OID). Valid values when ADJUSTED COST BASIS INDICATOR = Y.	Record	316-332	17	N PIC 9(15)V9(02)		
YTD MARKET DISCOUNT INCOME SIGN	Indicates the sign for the numeric value in the previous field YTD MARKET DISCOUNT INCOME.	Record	333-333	1	AN PIC X(01)		[space] Positive (TAS Lot Level) + Positive (TAS Open & Closed)
FILLER	FILLER - Internal use only.	Record	334-339	6	AN PIC X(06)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
OPTION EXPIRATION DATE	The date on which an option contract and the right to exercise it cease to exist.	Record	340-345	6	YYMMDD PIC X(06)	BSEC DISP OPTN ACTL EXP DT	
OPTION CALL PUT INDICATOR	One-character alpha code indicating whether the option contract is a call or put option.	Record	346-346	1	AN PIC X(01)	BSEC DISP OPTN CALL /PUT (C,P)	C Call P Put
OPTION STRIKE PRICE	The price at which the owner of an option contract can purchase (call) or sell (put) the underlying stock.	Record	347-354	8	N PIC 9(05)V9(03)	BSEC DISP OPTN EXERCISE PRICE	
OPTION SYMBOL ID	Variable-character alphanumeric identifying the option contract and its characteristics as defined by the Options Clearing Corp. This field joins the component fields OPTION CONTRACT ID, OPTION EXPIRATION DATE, OPTION CALL PUT INDICATOR and OPTION STRIKE PRICE. This field can be empty for expired options (BSEC DISP OPTN EXPANDED SYMBOL is empty) and the component fields may still contain data. Fraction zeros are removed. Also contains non-options symbols.	Record	355-384	30	AN PIC X(30)	BSEC DISP OPTN EXPANDED SYMBOL	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CBL COVERED LOT INDICATOR	One-character alpha code indicating whether the tax lot is subject to Internal Revenue Service (IRS) cost basis reporting requirements.	Record	385-385	1	AN PIC X(01)	Wealthscape Position Detail>Covered/Non-Covered	C Covered U Uncovered
CBL GIFTED/INHERITED LOT INDICATOR	One-character alpha code indicating whether the tax lot was gifted, inherited or inherited and subsequently gifted.	Record	386-386	1	AN PIC X(01)	Wealthscape Position Detail>Gifted/Inherited	[space] Security is not subject to IRS cost basis reporting requirements. B Inherited and subsequently gifted G Gifted I Inherited N Not gifted or inherited
GIFTED LOT DATE	For gifted lots, the date the tax lot was gifted. Defaults to 19000101	Record	387-394	8	YYYYMMDD PIC X(08)	Wealthscape Position Detail>Date of Gift	
GIFTED LOT FAIR MARKET VALUE	The fair market value in dollars of the tax lot on the date of the gift.	Record	395-411	17	N PIC 9(15)V9(02)	Wealthscape Position Detail>Fair Market Value	
GIFTED LOT FAIR MARKET VALUE SIGN	Indicates the sign for the numeric value in the previous field GIFTED LOT FAIR MARKET VALUE.	Record	412-412	1	AN PIC X(01)		+ Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CBL COVERED REASON CODE	One-character alphanumeric code identifying why the tax lot is covered or uncovered.	Record	413-413	1	AN PIC X(01)	Wealthscape Position Detail>Reason Code	[space] Security is not subject to IRS cost basis reporting requirements.
							0 TPB eligible, no transfer statement provided but within 15 pending period
							1 Transfer statement specifies pending (03)
							A Non-covered Account
							B Non-covered cusip (pre-effective date)
							C TPB received specifying orig acq date before cusip
							D Covered cusip, but date of lot is pre-effective date. Not customer provided
							E OSG override to not covered
							F Customer Provided
							G TPB eligible, but no transfer statement provided
							H Transfer statement specifies account not covered (02)
							I Transfer statement specifies lot not covered (01)
							J Not stepped up, acquisition date > DOD
							K Not stepped up, FMV unavailable
							L Not stepped up, non-gift
							R OSG override to covered
							S Transfer statement specifies covered (00)
							T Covered cusip, post effective date. Not customer or third party provided
							U After DOD Step-up, with covered CUSIP, post effective date

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
WASH SALE HOLDING PERIOD DATE	The adjusted date of the wash sale lot. For fractured lots, this is the most recent adjusted wash sale date. This date can be different from the TAS LOT ACQUIRED DATE due to a wash sale, average cost (ACSC), gifted or customer or third party provided.	Record	414-421	8	YYYYMMDD PIC X(08)	Wealthscape Sub Lot Detail>Adjusted Date Acquired	
FILLER	FILLER - Internal use only.	Record	422-439	18	N PIC X(18)		
OPEN LOT IDENTIFIER	Identifier of the open lot. When TAS DELTA INDICATOR is 'C' or 'D' this lot can be applied to the pre-existing lot with this ID.	Record	440-473	34	AN PIC X(34)		
NIGO OUT OF BALANCE EXCEPTION INDICATOR	One-character alpha code indicating whether the quantity of the position is Out of Balance (OOB) with FBSI quantity. NIGO records can be remediated by opening a Service Center Cost Basis inquiry.	Record	474-474	1	AN PIC X(01)		[space] Position in balance Y Position out of balance

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
NIGO TECH SHORT EXCEPTION INDICATOR	One-character alpha code indicating the position is a Tech Short. NIGO records can be remediated by opening a Service Center Cost Basis inquiry.	Record	475-475	1	AN PIC X(01)		[space] Not a Tech Short Y Tech Short
NIGO COST EXCEPTION INDICATOR	One-character alpha code indicating the cost is less than or equal to zero and the basis is not "unknown." NIGO records can be remediated by opening a Service Center Cost Basis inquiry.	Record	476-476	1	AN PIC X(01)		[space] Not a cost exception Y Cost exception
POSITION COST BASIS METHOD CODE	One-character alpha code indicating the calculation method used to determine the cost basis dollar value for the position.	Record	477-477	1	AN PIC X(01)		A ACSC (Average Cost Single Category) I IDLOT (Specific Lot Identification)
OPEN LOT SETTLEMENT DATE	The settlement date of the trade that opened the lot. For lots held long, this is the buy settlement date. For lots held short, this is the sell-to-open settlement date.	Record	478-485	8	YYYYMMDD PIC X(08)	Wealthscape Position Detail>Settlement Date	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ORIGINAL LOT QUANTITY	Original quantity on the open event. Contains zeros for ACSC lots (LOT COST BASIS METHOD CODE = A).	Record	486-503	18	N PIC 9(13)V9(05)		
ORIGINAL LOT QUANTITY SIGN	The sign associated with the ORIGINAL LOT QUANTITY. Use LONG SHORT CODE value instead of this field.	Record	504-504	1	AN PIC X(01)		
ORIGINAL LOT COST	Original cost on the open event. Contains zeros for ACSC lots (LOT COST BASIS METHOD CODE = A).	Record	505-521	17	N PIC 9(15)V9(02)		
ORIGINAL LOT COST SIGN	The sign associated with the ORIGINAL LOT COST.	Record	522-522	1	AN PIC X(01)		
CURRENT COST UNADJUSTED WASH	Cost on the open event minus wash. Contains zeros for ACSC lots (LOT COST BASIS METHOD CODE = A).	Record	523-539	17	N PIC 9(15)V9(02)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CURRENT COST UNADJUSTED WASH SIGN	The sign associated with the current cost unadjusted wash	Record	540-540	1	AN PIC X(01)		
OPEN RUN DATE	The run date when the open lot was processed in Fidelity's nightly cycle. Contains zeros for ACSC lots (LOT COST BASIS METHOD CODE = A).	Record	541-548	8	YYYYMMDD PIC 9(08)		
SEDOL	Unique seven-character alphanumeric security identification number (Stock Exchange Daily Official List) as issued by the London Stock Exchange. Active SEDOL numbers are preceded by the "#" symbol in one of the SECURITY DESCRIPTION fields.	Record	549-555	7	AN PIC X(07)	Positions>Lot Summary Lot Details	
YTD ORIGINAL ISSUE DISCOUNT AMOUNT	The total year-to-date original-issue-discount (OID) applicable to fixed income securities issued with an original-issue-discount (OID).	Record	556-572	17	N PIC 9(15)V9(02)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
YTD ORIGINAL ISSUE DISCOUNT AMOUNT SIGN	Indicates the sign for the numeric value in the previous field YTD ORIGINAL ISSUE DISCOUNT AMOUNT.	Record	573-573	1	AN PIC X(01)		- Negative + Positive
THIRD PARTY FIXED INCOME ADJUSTMENT DATE	The date of the fixed income lot adjustment by a prior broker/custodian or other third party. When COST BASIS EVENT SOURCE CODE = T, this is the fixed income lot adjustment date.	Record	574-581	8	YYYYMMDD PIC 9(08)		
THIRD PARTY FIXED INCOME ADJUSTMENT AMOUNT	The amount of the fixed income lot adjustment by a prior broker/custodian or other third party. When COST BASIS EVENT SOURCE CODE = T, this is the fixed income lot adjustment amount.	Record	582-598	17	N PIC 9(15)V9(02)		
THIRD PARTY FIXED INCOME ADJUSTMENT AMOUNT SIGN	Indicates the sign for the numeric value in the previous field THIRD PARTY FIXED INCOME ADJUSTMENT AMOUNT.	Record	599-599	1	AN PIC X(01)		- Negative + Positive
LOT RECEIVED DATE	The date the lot received by third party transfer or Fidelity account journal.	Record	600-607	8	YYYYMMDD PIC 9(08)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
YTD NON-QUALIFIED STATED INTEREST AMOUNT	The total year-to-date non-qualified stated interest (NQSI) amount.	Record	608-624	17	N PIC 9(15)V9(02)		
YTD NON-QUALIFIED STATED INTEREST AMOUNT SIGN	Indicates the sign for the numeric value in the previous field YTD NON-QUALIFIED STATED INTEREST AMOUNT.	Record	625-625	1	AN PIC X(01)		- Negative + Positive
FILLER	FILLER - Internal use only.	Record	626-1000	375	AN PIC X(375)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the Trailer (T) record segment.	Trailer	1-1	1	AN PIC X(01)		
FILLER	FILLER - Internal use only.	Trailer	2-21	20	AN PIC X(20)		
TOTAL LOGICAL RECORDS - W/ HEADER & TRAILER	Indicates the total logical records contained in the file. Includes the header and trailer records.	Trailer	22-36	15	N PIC X(15)		
FILLER	FILLER - Internal use only.	Trailer	37-40	4	AN PIC X(04)		
TOTAL LOGICAL RECORDS	Indicates the total logical records contained in the file. Excludes the header and trailer records.	Trailer	41-55	15	N PIC X(15)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FILLER	FILLER - Internal use only.	Trailer	56-1000	945	AN PIC X(945)		