Fidelity Standard Transmissions

Trade Revenue Transmission File Manual

Version: 5.4.2

Fidelity INVESTMENTS

Available Frequencies: **Daily**

File Description:

The Trade Revenue Transmission file contains purchase and sales information as well as information derived from the revenue system, such as commissions, concessions, loads, fees and applicable clearing charges.

Supports Clearing Clients, Custody Clients or in certain cases, a client's approved Fintech providers.

Please Note: Information or functionality that may be provided is based on a firm's authorization and may be subject to restrictions.

File Processing Guidelines:

The Trade Revenue Transmission file is a 1600-byte file with a Header and Trailer record.

The file contains all of the information from the Purchase and Sales Trade file and additional revenue specific information from the Revenue file. The file was created to simplify the process of obtaining corresponding revenue information for records passed on the Purchase and Sales Trade file. Since execution fees are calculated based on order execution, trade records in this file are not rolled up to the bunching or average-price execution level. This file is available on a trade or settlement data basis. It may also be reconciled to the settlement date or trade date reports provided in Operational Reports. This file does not contain trade detail for average price allocations executed through the Wealthscape Allocation Manager. International data contains trade executions related to foreign securities trading executed through the ShadowSuite® Multi-Currency System.

The Trade Revenue Transmission file is available in Trade Date or Settlement Date versions.

- The Settlement Date version should be used to reconcile to the NF Clearing Statement.
- International clearing and execution is available only in the Settlement date version.
- The Trade Date version can also be reconciled to the NF Clearing Statement (see Appendix 3, Reconciliation).
- The Trade Date version is best suited for broker compensation since there is no settlement day lag.

The International details beginning in position 1247 will only be included within logical records that have international data. The On-Line Reference for international details can be found under ShadowSuite > Operations > Interfaces > Gateway Activity Directory.

The values in fields QUANTITY, PLUS MINUS, PRINCIPAL and ACCRUED INTEREST are unsigned fields. Your transaction processing may require changing signs depending on the value appearing in BUY SELL CODE (Position 6-6). These fields may be changed again depending on the value appearing in CANCEL CODE (Position 25-25).

For trades executed through the Wealthscape Allocation Manager, customer records in this file skip the allocation account and show the original proprietary account as the OFFSET ACCOUNT. For records showing allocations into and out of Wealthscape Average Price accounts, please refer to the P&S Trade file.

Commissions and clearing charges for multiple allocations are pro-rated to each customer record.

Custody and Fintech versions of this transmission do not include Retail accounts. These accounts are identified in the Name and Address Transmission where LINE OF BUSINESS = 'PI' (Personal Investing).

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the "H" Header record segment.	Header	1-1	1	AN PIC X(01)		
HEADER RECORD CLIENT ID	Identifies the client data to follow. This field will typically be the SuperBranch for the given client. This field is Lngth 5 in the Custody and Fintech version. Pos 2-6.	Header	2-4	3	AN PIC X(03)		
FILLER	FILLER - Internal use only. This field is Lngth 15 in the Custody and Fintech version. Pos 7-21.	Header	5-21	17	AN PIC X(17)		
FILE ORIGIN	Variable-character alpha string identifying the sender.	Header	22-37	16	AN PIC X(16)		FIDELITY SYSTEMS Identifies Fidelity as the sender National Financial Services LLC Identifies National Financial Services LLC as the sender NFSC SYSTEMS Identifies Fidelity as the sender - (Bookkeeping 101-byte Only)

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FILLER	FILLER - Internal use only.	Header	38-41	4	AN PIC X(04)		
HEADER DATE	The date of the file.	Header	42-47	6	MMDDYY PIC X(06)		
FILLER	FILLER - Internal use only.	Header	48-51	4	AN PIC X(04)		
FILE TITLE	The title of the file. TRADE AND REVENUE	Header	52-68	17	AN PIC X(17)		
FILLER	FILLER - Internal use only.	Header	69-1600	1532	AN PIC X(1532)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the "D" Detail record segment.	Record	1-1	1	AN PIC X(01)		
FIRM	Firm Number to identify as Fidelity Investments.	Record	2-5	4	AN PIC X(04)		0101 Default
BUY SELL CODE	One-character code indicating the type of trade as buy or sell.	Record	6-6	1	AN PIC X(01)	BHIS ZM BUY / SELL	B Buy S Sell
TRADE DATE	The trade date associated with the underlying record.	Record	7-14	8	YYYYMMDD PIC X(08)	BHIS TD	
SETTLEMENT DATE	The date of settlement for the trade.	Record	15-22	8	YYYYMMDD PIC X(08)	BHIS SD	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
MARKET CODE	One-character code which records the place (exchange) of execution for the trade.	Record	23-23	1	AN PIC X(01)	BHIS ZM MARKET	? BATS
							0 Open Order (not gone to market)
							1 NYSE
							2 NYSE Alternext/ASE
							3 Philadelphia NQPX
							4 OTC Bulletin Board (Non-NSCC clearing)
							5 Other (Regional)
							6 Midwest
							7 CBOE
							8 OTC
							9 Pacific PCSE
							B AMEX
							C Midwest
							D DVT/RVT
							E MIAX Emerald, LLC
							F Members Stock Exchange (MEMX)
							G ISE Gemini Exch
							I International Securities Exchange
							J Direct Edge: EDGA
							K Direct Edge: EDGX
							L MIAX Pearl, LLC
							M Miami Intl Securities Exch
							N Cincinnati Stock Exchange
							O MIAX Sapphire, LLC
							P Prime Broker (commission charged to client but not passed on to correspondent)
							Q NASDAQ OMX BX (Boston)
							R Index Receipts

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
							S Boston Options Exch (BOX)
							T Prime
							U ISE Mercury Exch
							V Investors Exchange (IEX)
							W C2 Options CBSX
							X Long Term Stock Exchange (LTSE)
							Y BATS Equities BYX Exchange
							Z BATS
BLOTTER CODE	One-character field used to classify a trade i.e.. cross trades, average price, odd lot etc. The blotter code is used in conjunction with the market code to create an overall Market/Blotter code.	Record	24-24	1	AN PIC X(01)	BHIS ZM BLOTTER	0 Assigned Options Average Price
							1 Round Lot/Odd Lot-Agency
							2 Options
							3 Principal X-Clearing
							4 Confirm Only (auto dupe confirm trailer)
							5 Principal
							6 Syndicate
							7 When Issued
							8 Give Up (Net money - Street Side) Correspondent
							9 Cross
							T 24 hour

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CANCEL CODE	The trade record is a canceled trade. If this field = 1, then CORRECTION CODE = 5. This field is empty for International trades amended by OSG directly in Shado.	Record	25-25	1	AN PIC X(01)	BHIS ZM CANCEL IND	[space] Not a Canceled Trade 1 Canceled Trade
FILLER	FILLER - Internal use only.	Record	26-26	1	AN PIC X(01)		
CORRECTION CODE	One-character numeric code indicating the type of trade correction. Values of 1 and 2 indicate whether "CORRECTED CONFIRM" appears in one of the SECURITY DESCRIPTION fields and on the confirm. A value of 5 is associated with cancelled trades where CANCEL CODE = 1.	Record	27-27	1	AN PIC X(01)	BHIS ZM CORRECT IND	1 Correction - "CORRECTED CONFIRM" 2 Correction - No "CORRECTED CONFIRM" 5 Post trade date cancel

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
BRANCH	Unique three-character alphanumeric code used to identify branches for the purposes of reporting and grouping of accounts. A particular branch may be designated as a Super Branch for reporting and calculation purposes. This code is commonly displayed together with the six-digit account number is used to identify a unique brokerage account.	Record	28-30	3	AN PIC X(03)	BHIS BRANCH	
ACCOUNT NUMBER	Non-unique six-digit code used to identify a unique customer account. This code is commonly displayed together with the three-character branch code and is used to identify a unique brokerage account. The same account number can be used with different branches but never with the same branch.	Record	31-36	6	AN PIC X(06)	BHIS ACCT	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ACCOUNT TYPE	Sub-account of a brokerage account used to identify separate money and security accounting types.	Record	37-37	1	AN PIC X(01)	BHIST	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
CUSIP	Unique nine-character security identification code (Committee On Uniform Securities Identification Procedures).	Record	38-46	9	AN PIC X(09)	BHIS CUSIP	
BASIS PRICE CODE	One-character code indicating the type of basis price.	Record	47-47	1	AN PIC X(01)		D Discount P Price Y Yield
RUN DATE	The date processed in Fidelity's nightly cycle.	Record	48-55	8	YYYYMMDD PIC X(08)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TRADE REFERENCE NUMBER	11-character unique identifier assigned by the P & S trade batch cycle.	Record	56-66	11	YYDDD + PICX(06) PIC X(11)	PMNU REF#	
	The TRADE REFERENCE NUMBER is split into two segments. The first five characters are numeric consisting of the last two characters of the year and the Julian date of the trade (YYDDD). The next six characters can be alphanumeric and are derived from the Order transmission file or from subsequent P&S reassignment of the ORDER REFERENCE NUMBER. This field is only six-characters wide in the P&S Trade Transmission file.						
	Post-trade date canceled trades will carry the original trade's TRADE REFERENCE NUMBER. In the Trade Revenue Transmission file only, if a post-trade trade is corrected (rebilled), it will carry a new TRADE REFERENCE NUMBER with the original TRADE REFERENCE NUMBER appearing in CANCELED COMBINED REFERENCE. Same-day cancels and corrections will appear only as corrections without an original trade record.						
	If CORRECTION CODE = 5 (Cancel), this field represents Reference Number from the original trade.If the						

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
	CORRECTION CODE = 1 or 2 (Correction), this field represents a new reference number for the correction record.DDD (format) is day of the year (1-365).						
USER REFERENCE NUMBER	Reference number created by the P&S engine used to identify and associate trade records. This field may be populated depending on the how the trade was entered. If populated, this field will contain the reference number received from the originating source. If the trade is a cancel or correction from P & S Engine online cancel/correction function, this field is populated and will contain the reference number from the original trade. If cancel/correction occurs from other sources, this field will contain the reference number received from the originating source. For International trades, this field is populated with the SHADO PARENT NUMBER and the last character of the SHADO CHILD NUMBER.	Record	67-77	11	AN PIC X(11)	PMNU USER REF#	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CANCELED COMBINED REFERENCE	Reference number created by the P&S engine used to identify and associate trade records. If the CORRECTION CODE = 1 or 2, it may contain the reference number for the original trade depending on how the trade was entered.If CORRECTION CODE = 5 this field will be spaces.	Record	78-88	11	AN PIC X(11)		
BATCH	Identifies the source of the transaction from an internal processing perspective.	Record	89-93	5	AN PIC X(05)		
COUNT	Used for internal processing only - Assigned within batch.	Record	94-99	6	N PIC 9(06)		
SYMBOL	The Billing Symbol found on BSEC to uniquely identify a security. This field is internally maintained and may contain internally defined codes for proprietary and reporting uses.	Record	100-115	16	AN PIC X(16)	BHIS ZM SYMBOL	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SECURITY TYPE	One-character code that is used to identify the type of security. This field is used with SECURITY TYPE MODIFIER and/or the SECURITY TYPE CALCULATION codes to provide identification at a more detailed level.	Record	116-116	1	AN PIC X(01)	BHIS ZM SECURITY TYPE	See Appendix 1: Possible Values
SECURITY TYPE MODIFIER	One-character security qualifier code used to further identify the type of security. This field is used with SECURITY TYPE MODIFIER and/or the SECURITY TYPE CALCULATION codes to provide identification at a more detailed level. Requires the SECURITY TYPE to be unique, the only exception is when a CUSIP name-change takes place in which case the field value is N. Possible values listed in the Appendix include the SECURITY TYPE as the first character of the two-character code.	Record	117-117	1	AN PIC X(01)	BHIS ZM SECURITY MOD	See Appendix 1: Possible Values

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SECURITY TYPE CALCULATION	One-character security qualifier code used to further identify the type of security. This field is used with SECURITY TYPE and SECURITY TYPE MODIFIER codes to provide identification at a more detailed level. Requires SECURITY TYPE and SECURITY TYPE MODIFIER to be unique. Possible values listed in the Appendix include the SECURITY TYPE and SECURITY TYPE MODIFIER as the first two characters of the three-character code.	Record	118-118	1	AN PIC X(01)	BHIS ZM CALC CODE	See Appendix 1: Possible Values
CNS CODE	One-character numeric code indicating if the security is eligible for Cumulative Net Settlement processing (Prime Broker)	Record	119-119	1	AN PIC X(01)		[space] Not applicable 1 Eligible 2 Eligible 3 Ineligible 4 Ineligible 5 Suspended 6 Suspended

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
PRIMARY EXCHANGE	The organization that acts as the primary location through which the security is traded.	Record	120-121	2	AN PIC X(02)	BSEC DISP EXCH/SOURCE	1 NYSE (New York Stock Exchange)
							2 NYSE Alternext/ASE (American Stock Exchange)
							3 PBW (Philadelphia Stock Exchange)
							4 OTC (Non-NSCC)
							5 Other (Regional)
							6 CHX (Chicago Stock Exchange)
							7 CBOE (Chicago Board Options Exchange)
							8 NASDAQ (National Association of Securities Dealers Automated Quotations)
							9 ARCA (Pacific)
							O MIAX Sapphire, LLC
							V IEX (Investors Exchange)
							Z BATS (Better Alternative Trading System)
DTC ELIGIBILITY CODE	One-character status code used to identify the current level of DTC (Depository Trust Co) eligibility for a security.	Record	122-122	1	AN PIC X(01)	BHIS ZM DTC IND	0 Ineligible for DTC
							1 Eligible for DTC
							2 Process of delete (Freeze coming, continuing to process)
							3 Frozen (Eligible, do not process)
							4 Frozen / Delete (Frozen, to be ineligible)
							5 Frozen for deposit, process withdrawals
							6 Frozen for withdrawals, process deposits
							7 Frozen for deposits and withdrawals

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FOREIGN CODE	Identifies the security as a foreign security.	Record	123-123	1	AN PIC X(01)	BHIS ZM FOREIGN IND	[space] Not Foreign A American Depository Receipt C Canadian security F Foreign
REGISTERED REP ENTER REP	Non-unique three-character alphanumeric code of registered representative entered by same-day corrections through FBSI (USERID field in PMNU) or as "Accepting Rep" in Wealthscape. If no code is entered, this field contains the same value as REGISTERED REP OWNING REP. Can contain electronic (##) codes (see Appendix below). F## - Advisor ChannelY## - My WealthscapeZ## - Wealthscape	Record	124-126	3	AN PIC X(03)	BHIS ZM REGISTER REP Wealthscape Order Manager>Accepting Rep	
STATE/COUNTRY CODE	Standard USPS recognized two letter state codes, right justified or 3 character country code. If the field contains a state code the contents of the field will be right justified.	Record	127-129	3	AN PIC X(03)		See Appendix 1: Possible Values

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SECURITIES INSTRUCTIONS	One-character code used to control method in which securities will be held in and/or delivered out of the account.	Record	130-130	1	AN PIC X(01)	BNAM DISP CUST SEC:	1 Transfer & Ship, Client 2 Transfer to Client Name, Hold in Account 3 DVP 4 Hold in Street Name 5 Transfer Blank Name, Ship to Client 6 Transfer Alt Name, Ship to Client 7 Transfer & Ship, Alternate Name 8 Transfer Client, Ship to Alt Address 9 Special Securities Instructions
PARENT ACCOUNT	Used with the Parent Branch field to identify the previous account number when the account has been moved from a previous branch to the current branch.	Record	131-139	9	AN PIC X(09)	BNAM DISP CUST PARENT ACCT:	
AGENCY CODE	Eight-character, correspondent-defined, free-form field used for reporting, grouping and account identification purposes.	Record	140-147	8	AN PIC X(08)	BNAM DISP CUST AGENCY:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
PROCEEDS INSTRUCTIONS	One-character code designating the default instructions for account proceeds payouts. These instructions generally refer to how or where the credits are to be dispersed. A value of 4 is an error and appears on FBSI BNAM as "INVALID."	Record	148-148	1	AN PIC X(01)	BNAM DISP CUST PROCEEDS:	1 Remit Proceeds 2 Hold Proceeds 3 DVP Account 8 DBSX/Autosweep Account (Bank-affiliated Correspondents only) 9 Special Proceeds (Electronic Funds Transfer) (Decommissioned April 2024)
CASH DIVIDEND INSTRUCTIONS	One-character code which designates the default dividends and income payout instructions for securities held in the account. Delivery instructions can be overridden on an individual position basis. These instructions generally refer to how or where the dividend is to be paid.	Record	149-149	1	AN PIC X(01)	BNAM DISP CUST DIVINC:	1 Pay weekly (Friday) 2 Pay monthly (last Friday of the month) 3 Credit account, Reinvest eligible MF dividends. Also used for DVP accounts 4 Hold dividend 5 Pay semi-monthly (second and last Friday of the month) 6 Pay quarterly (last Friday of March, June, September, and December) 7 Pay daily (not currently used) 9 Hold dividend D Re-invest eligible dividends, MF and stocks P Custom payout (instructions found in FSWP) S Credit account, Re-invest stock dividends

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TRADE UNIT	The number of share units in which an issue is normally traded.	Record	150-150	1	AN PIC X(01)		{space} 1 share unit 1 10 share unit 2 20 share unit 3 50 share unit
SHORT NAME	Ten-character field usually starting with the account holder's last name used to quickly identify an account. Used for look-up purposes (BNAM FIND). An '&' in the first position indicates a closed account.	Record	151-160	10	AN PIC X(10)		
ACCOUNT CLASSIFICATION	Two-character numeric code with leading zeros identifying classification of account for tax reporting purposes.	Record	161-162	2	AN PIC X(02)	BNAM CLASS:	See Appendix 1: Possible Values

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CITIZEN CODE	One-character alphanumeric code identifying residency for tax reporting requirements.	Record	163-163	1	AN PIC X(01)	BNAM DISP CUST [ABOVE] CTR:	2 Exempt Account (i.e. Retirement Plans, Charitable and Tax Exempt organizations) 3 US Citizen, Not Certified (withhold) 4 Resident Alien, Not Certified (withhold) 5 US Citizen, Govt. Mandated Withholding 6 Resident Alien, Govt. Mandated Withholding 7 US Citizen, W9 Certified (no withholding) 8 Resident Alien, W9 Certified (no withholding) A Non-Resident Alien, Certified (no withholding) B Non-Resident Alien, Not Certified (withhold) C First B-Notice D Second B-Notice
COUNTRY OF TAX RESIDENCY	Three-character numeric code with leading zeros identifying country of tax residence for the primary owner of the account.	Record	164-166	3	AN PIC X(03)		See Appendix 1: Possible Values
TRANSFER LEGEND CODE	One-character numeric code identifying the legend associated with registration of securities certificates. This is administered by the BNAM ADD TRR screen.	Record	167-167	1	AN PIC X(01)		[space] No Legend 1 Joint With Rights Of Survivorship 2 Tenants In Common 3 Custodian 4 Tenants In Entirety 5 Community Property

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
MARKET MAKER CODE	Identifies NFSC as making a market in this security or acting as specialist.	Record	168-168	1	AN PIC X(01)		1 NFSC make a market in this security S NFSC acts as a specialist in trading this security
MINOR EXECUTING BROKER	Four-character NSCC symbol of the broker executing the contra side of the trade. Can also be correspondent's trading account (-INV).	Record	169-172	4	AN PIC X(04)	BFIG I EXEC BKR MIN:	
MINOR CLEARING BROKER	Four-character NSCC symbol of the broker clearing the contra side of the trade.	Record	173-176	4	AN PIC X(04)	BFIG I CLEARING BKR MIN	
OFFSET ACCOUNT	Trades executed against trading accounts or customers. Can also be executed against an internal order routing number.	Record	177-186	10	AN PIC X(10)	BFIG I OFFSET ACCOUNT	
OFFSET SHORTNAME	Ten-character field, asssociated with the offset account, usually starting with the account holder's last name used to quickly identify an account. Used for look-up purposes (BNAM FIND). An '&' in the first position indicates a closed account.	Record	187-196	10	AN PIC X(10)	BFIG I SHRT NAME	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
OFFSET RR	Registered Rep associated with offset account. Can contain electronic (##) codes. F## - Advisor ChannelY## - My WealthscapeZ## - Wealthscape	Record	197-199	3	AN PIC X(03)		
OFFSET COMMISSION	Commission associated with the offset account.	Record	200-209	10	N PIC 9(08)V9(02)		
SOURCE	One-character code indicating location of securities held at the time a sell order is entered.	Record	210-210	1	AN PIC X(01)	TORD SRC	1 Sell to open or close same-day trade (options only) 1 Securities not long in account and to be delivered or short sale locate exempt or security purchased same day (equities, fixed income only) 2 Short sale (equities, fixed income only) A Sell to close (options only) A Securities in account (equities, fixed income only)
TYPE OF ORDER	One-character code used to determine an order type such as market, limit and good-until-canceled orders.	Record	211-211	1	AN PIC X(01)		[space] Not a market, limit, GTC or fund order 1 Market (PS card 3) 2 Limit 3 GTC (Includes Limit orders that are not day orders) N Non-Fidelity Core Fund Y Fidelity Core Fund

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CONFIRMATION PRINT	One-character numeric code indicating the type of confirmation suppression associated with the trade.	Record	212-212	1	AN PIC X(01)		[space] No suppression 2 No confirm 4 Printed comparison, no confirm M Multiple transactions per page (default) S Single transaction per page
COMMISSION ACCUMULATION	Indicates grouped trades for the purpose of commission calculation.	Record	213-213	1	AN PIC X(01)		[space] Not part of a grouped trade 1 Part of a grouped trade
COMMISSION SCHEDULE	Two-character code passed to the commission schedule calculator to enable account level commission processing. This code varies by correspondent firm and purpose.	Record	214-215	2	AN PIC X(02)		[space] No Commission Schedule

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
BLOTTER OVERRIDE CODE	Blotter code override entered by trader for cross or principal trades.	Record	216-216	1	AN PIC X(01)		[space] No blotter override
							1 Acted as agent
							2 Acted as agent
							3 Acted as agent
							4 Acted as agent
							5 Acted as principal
							8 Acted as agent
							A Acted as agent
							B Acted as prime broker
							C Acted as agent
							D Acted as agent (cross trade)
							E Acted as principal
							M Acted in a mixed capacity
							P Acted as principal
							R Acted as agent
							X Acted as agent
							Z Acted as principal
NSCC CODE	City indicator assigned by NSCC.	Record	217-217	1	AN PIC X(01)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
COMMISSION CONCESSION CODE	Code used to specify how commission or concession is to be applied. The values in this field indicate how the commission is applied at the P&S Trade file level.	Record	218-218	1	AN PIC X(01)	BFIG I COMM/CD	[space] Regular schedule 1 Concession calculated (markup) 2 Percent discount 3 Cents per share (markup) 4 Flat dollar amount commission 5 No commission 6 Flat dollar amount concession 7 Street-side commission (dollar) 8 Commission schedule as concession (dollar) T Cents per share (BTAM allocations - P&S level only)
QUANTITY	The quantity in shares, bonds lots or option contracts of the transaction.	Record	219-235	17	N PIC 9(12)V9(05)	BFIG I QTY	
PRICE	The dollar price per share, bond lot or option contract for the trade at trade date. For factored securities, this is the unfactored or "clean" price.	Record	236-253	18	N PIC 9(09)V9(09)	BFIG I PRC	
ALPHAPRICE DOLLAR	The whole dollar price associated with the trade. This field is right-justified. For factored securities, this is the unfactored or "clean" price.	Record	254-262	9	AN PIC X(09)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ALPHAPRICE SPACE	Used to indicate the format of the price fraction field that follows.	Record	263-263	1	AN PIC X(01)		. (decimal point) Decimal [space] Fractional
ALPHAPRICE FRACTION	The non-whole dollar price associated with the trade. This field will be left justified regardless of its contents.	Record	264-272	9	AN PIC X(09)		[space] 00
PLUS MINUS	The amount of the markup or markdown associated with a fixed income transaction.	Record	273-290	18	N PIC 9(09)V9(09)		
PRINCIPAL	The total dollar amount of the trade excluding commission but including fees.	Record	291-305	15	N PIC 9(13)V9(02)	BFIG I PRIN	
ACCRUED INTEREST	The accrued interest associated with the trade. Applies to bond and other fixed income trades.	Record	306-318	13	N PIC 9(11)V9(02)	BFIG I INT	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TRADE COMMISSION	The commission printed on the customer confirmation. This is typically the agency commission from the default commission schedule associated with the account. It can also include the mutual fund contingent sales charge not credited to the broker. The source of this field is the P&S Trade file and reflects pre-P&S processing. Commissions from trades "done away" from NFS (correspondent proprietary inventory "sales credits") and mutual fund buy sales charge concession will not populate this field. These commissions can be found in the TRADE CONCESSION field. Post-P&S processed commission amounts are in the REVENUE COMMISSION AMOUNT field. "As-of" commissions from a prior billing period will not populate the REVENUE COMMISSION AMOUNT field. Also, the field will contain contingent mutual fund sales charges (CDSC) that appear in ADDITIONAL FEE AMOUNT (X) but are not credited to the broker as REVENUE COMMISSION AMOUNT. The values in this field are not signed. Apply REVENUE COMMISSION SIGN to this value.	Record	319-328	10	N PIC 9(08)V9(02)	BFIG I COMM	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
STATE TAX	Tax charged by state jurisdiction, if applicable.	Record	329-336	8	N PIC 9(06)V9(02)	BFIG I TAX	
SEC FEE	SEC Section 31 fee applied to a securities sale transaction. This is "Activity Assessment Fee" on Confirmation Notice (Confirm). Due to rounding, the sum of multiple average-price records may not equal the rolled-up record.	Record	337-344	8	N PIC 9(06)V9(02)	BFIG I SEC	
OPTIONS REGULATORY FEE	Exchange fee collected by NF on behalf of the U.S. option exchanges. Formerly CERTIFICATE FEE	Record	345-352	8	N PIC 9(06)V9(02)	BFIG I ORF	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SERVICE CHARGE MISC FEE	Postage and handling fee applied to customer trades as set by the correspondent firm. This fee appears as FEE INCOME on the NFS Clearing Statement (FBCS). This field also contains the Mutual Fund Surcharge Fee for Non-Paying Fund Families (NPFF). This is FEE INCOME in the NFS Clearing Statement. This is "POST/SERV FEE" on Confirmation Notice (Confirm).	Record	353-362	10	N PIC 9(08)V9(02)	BFIG I SERV	
NET	Amount due to or from customer on trade. NET = PRINCIPAL - OPTIONS REGULATORY FEE + If(BUY SELL CODE = B then SERVICE CHARGE MISC FEE else -SERVICE CHARGE MISC FEE) + ACCRUED INTEREST + If(BUY SELL CODE = B then TRADE COMMISSION else – TRADE COMMISSION) - SEC FEE (Subject to SEC FEE rounding). When ADDITIONAL FEE CODE = 42, ADDITIONAL FEE AMOUNT must also be subtracted from NET.	Record	363-377	15	N PIC 9(13)V9(02)	BFIG I NET	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TRADE CONCESSION	The concession earnings credited to the broker. This is typically mutual fund buy sales charge concession and commissions from trades "done away" from NFS (correspondent proprietary inventory "sales credits"). The source of this field is the P&S Trade file and reflects pre-P&S processing. Post-P&S processed mutual fund buy sales charge concession amounts are in the REVENUE CONCESSION AMOUNT field. However, commissions from trades "done away" from NFS (correspondent proprietary inventory "sales credits") are not populated in the REVENUE CONCESSION AMOUNT field as they are retained by the correspondent in their proprietary inventory accounts. The values in this field are not signed. When REVENUE CONCESSION AMOUNT is zero and CANCEL CODE = 1 apply a negative sign. Otherwise apply REVENUE CONCESSION SIGN to this value.	Record	378-387	10	N PIC 9(08)V9(02)	BFIG I COMM	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
STANDARD COMMISSION	The pre-discount commission computed in accordance with the commission schedule loaded into the National Financial host. Does not apply to trade executions where commissions were overridden at any point of the order cycle.	Record	388-397	10	N PIC 9(08)V9(02)		
NUMBER OF SECURITY DESCRIPTION LINES	Indicates the number of description lines to follow that contain data from the underlying Security Master. Does not include lines added either manually or automatically during the order entry process.	Record	398-398	1	N PIC 9(01)		
SECURITY DESCRIPTION LINE 1	Description line 1 of 6 from Security Master.	Record	399-418	20	AN PIC X(20)	BFIG DESC1	
SECURITY DESCRIPTION LINE 2	Description line 2 of 6 from Security Master. Can contain confirm legend, if applicable to the trade.	Record	419-438	20	AN PIC X(20)	BFIG DESC2	
SECURITY DESCRIPTION LINE 3	Description line 3 of 6 from Security Master. Can contain confirm legend, if applicable to the trade.	Record	439-458	20	AN PIC X(20)	BFIG DESC3	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SECURITY DESCRIPTION LINE 4	Description line 4 of 6 from Security Master. Can contain confirm legend, if applicable to the trade.	Record	459-478	20	AN PIC X(20)	BFIG DESC4	
SECURITY DESCRIPTION LINE 5	Description line 5 of 6 from Security Master. Can contain confirm legend, if applicable to the trade.	Record	479-498	20	AN PIC X(20)		
SECURITY DESCRIPTION LINE 6	Description line 6 of 6 from Security Master. Can contain confirm legend, if applicable to the trade.	Record	499-518	20	AN PIC X(20)		
SECURITY DESCRIPTION LINE 7	Description line 7 of 9 from confirm legend, if applicable to the trade.	Record	519-538	20	AN PIC X(20)		
SECURITY DESCRIPTION LINE 8	Description line 8 of 9 from confirm legend, if applicable to the trade.	Record	539-558	20	AN PIC X(20)		
SECURITY DESCRIPTION LINE 9	Description line 9 of 9 from confirm legend, if applicable to the trade.	Record	559-578	20	AN PIC X(20)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CONFIRM LEGEND CODE	Two-character alpha numeric code identifying additional message(s) printed on confirmations. There can be several different CONFIRM LEGEND CODEs to a record, often to be used in combination. 1 of 8 fields containing CONFIRM LEGEND CODE	Record	579-580	2	AN PIC X(02)	BTRT CFM LEGEND	See Appendix 1: Possible Values
CONFIRM LEGEND CODE	Two-character alpha numeric code identifying additional message(s) printed on confirmations. There can be several different CONFIRM LEGEND CODEs to a record, often to be used in combination. 2 of 8 fields containing CONFIRM LEGEND CODE	Record	581-582	2	AN PIC X(02)	BTRT CFM LEGEND	See Appendix 1: Possible Values
REGISTERED REP EXEC REP (RR2)	Non-unique three-character alphanumeric code of registered representative who receives compensation for customer accounts that may have a different REGISTERED REP OWNING REP. For most accounts, this code is the same as the REGISTERED REP OWNING REP. Will not contain electronic (##) codes. BNAM=RR2. Can be empty (NULL).	Record	583-585	3	AN PIC X(03)	BNAM RR2	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
COMMISSION DISCOUNT PERCENT	Commission discount percentage from BNAM CDE or marked with the order.	Record	586-595	10	N PIC 9(06)V9(04)	BNAM CDE	
STRIKE PRICE	The predetermined exercise price of a convertible, put or call option or warrant.	Record	596-604	9	N PIC 9(06)V9(03)		
COMMISSION CONCESSION CODE	Code used to specify how commission or concession is to be applied. The values in this field indicate how the commission is applied at the Revenue file level.	Record	605-605	1	AN PIC X(01)	BFIG I COMM/CD	[space] Regular schedule 1 Concession calculated (markup) 2 Percent discount 3 Cents per share (markup) 4 Flat dollar amount commission 5 No commission 6 Flat dollar amount concession 7 Street-side commission (dollar) 8 Commission schedule as concession (dollar) T Cents per share (BTAM allocations - P&S level only)

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FUND LOAD OVERRIDE	The load percent of the trade if a breakpoint or further discounted load is applied to the trade. If no override is applied, this field contains zeros or is empty. A 0% override can be identified when this field contains zeros and FUND LOAD PERCENT is non-zero.	Record	606-609	4	N PIC 9(02)V9(02)	BFIG I OVRLOAD	
QUANTITY TYPE	One-character code entered on Advisor Channel specifying the type of quantity.	Record	610-610	1	AN PIC X(01)		D Dollars E Even dollars O Round dollars over S Shares U Round dollars under
CONFIRM LINE NUMBER	The SECURITY DESCRIPTION line number in which the confirm code appears.	Record	611-611	1	N PIC X(01)		
EXCHANGE LINE NUMBER	The SECURITY DESCRIPTION line number in which the word EXHANGE appears.	Record	612-612	1	N PIC X(01)		
YIELD	The return, in percent, from dividends or interest of the underlying security.	Record	613-617	5	N PIC 9(02)V9(03)	PMNU YLD	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
YIELD TYPE	The type of yield used in the YIELD field.	Record	618-618	1	AN PIC X(01)	PMNU YLD TYP	A Yield to average life B Bond equivalent yield C Yield to non-par call F Yield to pre-refunded call M Yield to maturity P Yield to par call U Yield to mandatory put X Yield override
FILLER	FILLER - Internal use only.	Record	619-620	2	AN PIC X(02)		
YIELD DATE	The date used in the YIELD field.	Record	621-626	6	MMDDYY PIC X(06)	PMNU DT	
YIELD PRICE	The price used in the YIELD field.	Record	627-632	6	N PIC 9(03)V9(03)	PMNU PR	
TRADING AWAY CODE	One-character code indicating whether the trade was executed through NFS or just cleared through NFS (away).	Record	633-633	1	AN PIC X(01)	PMNU AWY	[space] No, trade executed through NFS N No, trade executed through NFS Y Yes, trade executed away from NFS

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
MAJOR CLEARING BROKER	Four-character NSCC symbol of the broker clearing the entry side of the trade, typically NFS (0226).	Record	634-637	4	AN PIC X(04)	BFIG I CLEARING BKR MAJ:	
MAJOR EXECUTING BROKER	Four-character NSCC symbol of the correspondent or NFS (NFSC) entering the trade.	Record	638-641	4	AN PIC X(04)	BFIG I EXEC BKR MAJ:	
EXECUTION TIME	The execution time reported as the time the transaction is entered on the system. Expressed as military time (24h00m) with no colon, Eastern time.	Record	642-645	4	HHMM PIC 9(04)	BFIG I EX TIME	
BRANCH	Unique three-character alphanumeric code used to identify branches for the purposes of reporting and grouping of accounts. A particular branch may be designated as a Super Branch for reporting and calculation purposes. This code is commonly displayed together with the six-digit account number is used to identify a unique brokerage account.	Record	646-648	3	AN PIC X(03)	BHIS BRANCH	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
COMPLEX ORDER INDICATOR	One-character alpha numeric code indicating the trade was part of a complex order.	Record	649-649	1	AN PIC X(01)		2 Contingent Multiple 3 Trailing Stop Contingent Multiple A Trailing Stop B Contingent Single C Trailing Stop Contingent Single D Trailing Stop One Triggers Other Primary E Trailing Stop One Triggers Other Secondary F One Triggers Other Secondary G Trailing Stop One Cancels Other H One Triggers Other Primary I One Cancels Other
MARKET PLACE	Two-character alphanumeric code identifying the market place where the trade was routed. Sourced from FBSI BMNU AA MARKET PLACE DEFINITION FUNCTION screen.	Record	650-654	5	AN PIC X(05)	BFIG I MKT PL	
MARKET SEQUENCE	Six-character auto-generated number with leading zeros assigned by BTRT/PTRTat order entry.	Record	655-660	6	N PIC 9(06)	BFIG I MKT SEQ	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TIME IN FORCE CODE	One-character alpha code indicating time requirement placed on the order.	Record	661-661	1	AN PIC X(01)		D Day order F Fill or kill G Good 'til canceled I Immediate or cancel O Market opening X GTX limit order Y After hours market order
AUTO EXEC CODE	Indicates whether the trade was executed automatically. This refers to the type of system used to execute the trade. Automatic systems such as the NYSE DOT system automatically match orders in the specialist's book.	Record	662-662	1	AN PIC X(01)		A Automatic M Manual S Semi-Automatic
ISSUER	A code to determine if the client is or is not an issuer for the security being traded.	Record	663-668	6	AN PIC X(06)		
ISSUER TYPE	An indicator which briefly describes the type of securities held in the proprietary fund established by the issuer. The valid values are the same as in Fund Type (equities, bonds, money market instruments and certificates of deposit).	Record	669-670	2	AN PIC X(02)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
BOND TRADER	Identifies the bond trader.	Record	671-674	4	AN PIC X(04)		
BOND CLASS CODE	Indicates the class type of a bond.	Record	675-675	1	AN PIC X(01)		1 Registered only 2 Book entry only 3 Coupon entry only 4 Registered as to principal only 5 Fully interchangeable 6 Coupon or registered as to principal 7 Coupon or registered 8 Registered or registered as to principal
ADDITIONAL MARKUP	Additional fee for the correspondent is charging to the client. This will be a pass through for NFS. Markup is expressed as the difference between the dealer price paid and the retail sale price.	Record	676-685	10	N PIC 9(01)V9(09)		
TERMINAL ID	Terminal ID of electronic device used to enter transaction or record.	Record	686-689	4	AN PIC X(04)	BFIG I TERM ID	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FILLER	FILLER - Internal use only.	Record	690-694	5	AN PIC X(05)		
REGISTERED REP SIGNON REP	Initials of the person that signed on to FBSI or electronic product. It comes from the profile for the user sign-on. For Wealthscape trades the sign-on RR contains the Rep entered on the order at time of entry.	Record	695-697	3	AN PIC X(03)		
REGISTERED REP OWNING REP (RR)	Non-unique three-character alphanumeric code of registered representative whose name appears on customer statements and is the "owning" rep for customer contact and compliance purposes. Will not contain electronic (##) codes. BNAM=RR. Can be empty (NULL).	Record	698-700	3	AN PIC X(03)		
FUND LOAD PERCENT	The default load percent for the underlying security. The actual load applied to the trade may be overridden by the value appearing in FUND LOAD OVERRIDE field. Not populated for corrected trades.	Record	701-704	4	N PIC 9(02)V9(02)	BFIG I FND LOAD	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
PRODUCT CODE	12-character variable character code used to identify the type of security. The code uses a hierarchy that allows you to identify the securities at varying levels of detail.	Record	705-716	12	AN PIC X(12)		See Appendix 1: Possible Values
TRADING FLAT CODE	One-character code which classifies the interest calculations for a security (usually a debt instrument).	Record	717-717	1	AN PIC X(01)		F Non-interest bearing I Interest bearing N Interest bearing - trades flat
12B1 CODE	Indicates whether fund assesses 12b-1 servicing fee.	Record	718-718	1	AN PIC X(01)		N No, fund does not assess 12b-1 fee. Y Yes, fund assesses 12b-1 fee.
ADDITIONAL FEE CODE	Additional fees imposed on the transaction that is not part of the normal commission and concession billing profile. 1 of 6 fields	Record	719-720	2	AN PIC X(02)	BFIG I M1	See Appendix 1: Possible Values

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ADDITIONAL FEE AMOUNT	Dollar amount of fee imposed on the transaction that is not part of the normal commission and concession billing profile. One-character code indicating the type of fee is located in the ADDITIONAL FEE CODE preceding this field. 1 of 6 fields. Use CANCEL CODE = 1 to create negative values.	Record	721-732	12	N PIC 9(10)V9(02)	BFIG I M1	
ADDITIONAL FEE CODE	Additional fees imposed on the transaction that is not part of the normal commission and concession billing profile. 2 of 6 fields	Record	733-734	2	AN PIC X(02)	BFIG I M2	See Appendix 1: Possible Values
ADDITIONAL FEE AMOUNT	Dollar amount of fee imposed on the transaction that is not part of the normal commission and concession billing profile. One-character code indicating the type of fee is located in the ADDITIONAL FEE CODE preceding this field. 2 of 6 fields. Use CANCEL CODE = 1 to create negative values.	Record	735-746	12	N PIC 9(10)V9(02)	BFIG I M2	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ADDITIONAL FEE CODE	Additional fees imposed on the transaction that is not part of the normal commission and concession billing profile. 3 of 6 fields	Record	747-748	2	AN PIC X(02)	BFIG I M3	See Appendix 1: Possible Values
ADDITIONAL FEE AMOUNT	Dollar amount of fee imposed on the transaction that is not part of the normal commission and concession billing profile. One-character code indicating the type of fee is located in the ADDITIONAL FEE CODE preceding this field. 3 of 6 fields. Use CANCEL CODE = 1 to create negative values.	Record	749-760	12	N PIC 9(10)V9(02)	BFIG I M3	
ADDITIONAL FEE CODE	Additional fees imposed on the transaction that is not part of the normal commission and concession billing profile. 4 of 6 fields	Record	761-762	2	AN PIC X(02)	BFIG I M4	See Appendix 1: Possible Values

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ADDITIONAL FEE AMOUNT	Dollar amount of fee imposed on the transaction that is not part of the normal commission and concession billing profile. One-character code indicating the type of fee is located in the ADDITIONAL FEE CODE preceding this field. 4 of 6 fields. Use CANCEL CODE = 1 to create negative values.	Record	763-774	12	N PIC 9(10)V9(02)	BFIG I M4	
ADDITIONAL FEE CODE	Additional fees imposed on the transaction that is not part of the normal commission and concession billing profile. 5 of 6 fields	Record	775-776	2	AN PIC X(02)		See Appendix 1: Possible Values
ADDITIONAL FEE AMOUNT	Dollar amount of fee imposed on the transaction that is not part of the normal commission and concession billing profile. One-character code indicating the type of fee is located in the ADDITIONAL FEE CODE preceding this field. 5 of 6 fields. Use CANCEL CODE = 1 to create negative values.	Record	777-788	12	N PIC 9(10)V9(02)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ADDITIONAL FEE CODE	Additional fees imposed on the transaction that is not part of the normal commission and concession billing profile.

6 of 6 fields | Record | 789-790 | 2 | AN PIC X(02) | | See Appendix 1: Possible Values |
| **ADDITIONAL FEE AMOUNT** | Dollar amount of fee imposed on the transaction that is not part of the normal commission and concession billing profile.

One-character code indicating the type of fee is located in the ADDITIONAL FEE CODE preceding this field.

6 of 6 fields. Use CANCEL CODE = 1 to create negative values. | Record | 791-802 | 12 | N PIC 9(10)V9(02) | | |
| **INSTITUTIONAL THIRD PARTY** | Trading authorization granted to an institutional third party. | Record | 803-806 | 4 | AN PIC X(04) | BNAM DISP FBSI TRADING AUTHORIZATION INFO | |

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
BORD / TORD CODE	One-character code indicating the trading platform used for order entry.	Record	807-807	1	AN PIC X(01)		A BALC B BORD C BCTI E WEALTHLB-BASKET F FITS H SPP I PORD K BASKET L LADDER N NORD P PTRT R RSA S SOP T TORD W SORD Z ISOP
MUTUAL FUND DTC NUMBER	DTC (Depository Trust Co) clearing number for mutual fund family.	Record	808-811	4	AN PIC X(04)		
TRADE ENTRY	The time the order was entered. Expressed as military time (24h00m) with no colon, Eastern time.	Record	812-817	6	HHMMSS PIC 9(06)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ENTRY SEQUENCE NUMBER	Last five alpha characters of the ORDER REFERENCE NUMBER.	Record	818-822	5	AN PIC X(05)		
SOLICITED CODE	One-character code indicating whether transaction was solicited or unsolicited.	Record	823-823	1	AN PIC X(01)		[space] Not solicited B Transaction marked as both solicited and unsolicited S Transaction marked as solicited U Transaction marked as unsolicited
ELECTRONIC TRADE ID	Electronic trading platform used to input the trade or data. The field captures only the Wealthscape (Z##) code from the ELECTRONIC TRADE ID field located in the Order Transmission File (Position 355-357).	Record	824-826	3	AN PIC X(03)	FBSI BDOS	[space] Order not entered through an electronic trading platform A## IWS FACW Cancels C## MyStreetscape-Tel NF F## NF Channel NF L## Active Trader Pro P## MyStreetscape-W W## Fidelity.com Classic Y## Wealthscape Investor Z## Wealthscape B/D

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ROLLUP COUNT	Three-character number with leading zeros indicating the number of orders rolled up into a trade. Rolled up trades are orders executed on the same day, in the same account, for the same CUSIP having the same price. Rolled up trades can be traced to the Order Transmission file using the ORDER REFERENCE NUMBER.	Record	827-829	3	N PIC 9(03)		
CONFIRM LEGEND CODE	Two-character alpha numeric code identifying additional message(s) printed on confirmations. There can be several different CONFIRM LEGEND CODEs to a record, often to be used in combination. 5 of 8 fields containing CONFIRM LEGEND CODE	Record	830-831	2	AN PIC X(02)	BTRT CFM LEGEND	See Appendix 1: Possible Values
CONFIRM LEGEND CODE	Two-character alpha numeric code identifying additional message(s) printed on confirmations. There can be several different CONFIRM LEGEND CODEs to a record, often to be used in combination. 6 of 8 fields containing CONFIRM LEGEND CODE	Record	832-833	2	AN PIC X(02)	BTRT CFM LEGEND	See Appendix 1: Possible Values

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
RELATIONSHIP ID	Variable-character free-form text identifying the institutional relationship. The first four characters of this field will begin with "INST" or "RLID" followed by a space and the specific institution ID characters.	Record	834-845	12	AN PIC X(12)		
CAPACITY CODE	One-character alpha code indicating whether the order was executed as agency or principal.	Record	846-846	1	AN PIC X(01)	BFIG CAPACITY	[space] Single-sided (no offset account)
							A Acted as agent
							B Prime Broker
							D Acted as dual agent
							M Acted in mixed capacity
							P Acted as principal
							R Acted as agent
CONFIRM LEGEND CODE	Two-character alpha numeric code identifying additional message(s) printed on confirmations. There can be several different CONFIRM LEGEND CODEs to a record, often to be used in combination. 7 of 8 fields containing CONFIRM LEGEND CODE	Record	847-848	2	AN PIC X(02)	BTRT CFM LEGEND	See Appendix 1: Possible Values

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
CONFIRM LEGEND CODE	Two-character alpha numeric code identifying additional message(s) printed on confirmations. There can be several different CONFIRM LEGEND CODEs to a record, often to be used in combination. 8 of 8 fields containing CONFIRM LEGEND CODE	Record	849-850	2	AN PIC X(02)	BTRT CFM LEGEND	See Appendix 1: Possible Values
ALTERNATIVE INVESTMENT CODE	One-character alpha code indicating the type of billing associated with the Alternative Investment Security.	Record	851-851	1	AN PIC X(01)		A Alternative Investment Network NTF; No custody or transaction fees charged E Exempt from billing N Not an Alternative Investment Security S Alternative Investment Network TF; custody fee charged, transaction fees waived T TF program; custody fee and transaction fees charged to end investor
EXPANDED YIELD	The return, in percent, from dividends or interest of the underlying security reported in accordance with FINRA TRACE and MSRB requirements.	Record	852-860	9	N PIC 9(03)V9(06)	PMNU YLD	
EXPANDED YIELD SIGN	Indicates the sign for the numeric value in the previous field EXPANDED YIELD	Record	861-861	1	AN PIC X(01)		- Negative + Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
OPTION CONTRACT ID	Variable-character alphanumeric identifying the option contract symbol as published by the Options Clearing Corp.	Record	862-867	6	AN PIC X(06)	BSEC DISP OPTN CONTRACT SYMBOL	
OPTION EXPIRATION DATE	The date on which an option contract and the right to exercise it cease to exist.	Record	868-873	6	YYMMDD PIC X(06)	BSEC DISP OPTN ACTL EXP DT	
OPTION CALL PUT INDICATOR	One-character alpha code indicating whether the option contract is a call or put option.	Record	874-874	1	AN PIC X(01)	BSEC DISP OPTN CALL /PUT (C,P)	C Call P Put
OPTION STRIKE PRICE	The price at which the owner of an option contract can purchase (call) or sell (put) the underlying stock.	Record	875-882	8	N PIC 9(05)V9(03)	BSEC DISP OPTN EXERCISE PRICE	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
OPTION SYMBOL ID	Variable-character alphanumeric identifying the option contract and its characteristics as defined by the Options Clearing Corp. This field joins the component fields OPTION CONTRACT ID, OPTION EXPIRATION DATE, OPTION CALL PUT INDICATOR and OPTION STRIKE PRICE. This field can be empty for expired options (BSEC DISP OPTN EXPANDED SYMBOL is empty) and the component fields may still contain data. Fraction zeros are removed.	Record	883-912	30	AN PIC X(30)	BSEC DISP OPTN EXPANDED SYMBOL	
COST BASIS DISPOSAL METHOD CODE	Four-character alpha code identifying the default disposal method applied to closing lots pursuant to Cost Basis Legislation enacted by the Emergency Economic Stabilization Act of 2008. This is the default account-level Cost Basis Disposal Method unless overridden for this trade record. Applies only to closing (sell to close or buy to cover) transactions.	Record	913-916	4	AN PIC X(04)	BFIG I ADM:	FIFO First in, First out HICL High cost in, First out, Long term HICO High cost in, First out HICS High cost in, First out, Short term INFI Intraday first in, First out LIFO Last in, First out LOCL Low cost, Long term LOCO Low cost in, First out LOCS Low cost, Short term STTS Short-Term Tax Sensitive TXSN Tax sensitive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
REVENUE CLEARING CHARGE SIGN	Indicates the sign for the numeric value in the next field REVENUE CLEARING CHARGE AMOUNT. Not populated.	Record	917-917	1	AN PIC X(01)		- Negative + Positive
REVENUE CLEARING CHARGE AMOUNT	The amount charged by NFS to the correspondent for clearing and executing the trade. The amount in this field is broken out in the CLEARING CHARGE, EXECUTION FEE and FOREIGN SURCHARGE fields. Sign value from preceding field not populated. Use CANCEL CODE = 1 to create negative values.	Record	918-924	7	N PIC 9(05)V9(02)		
REVENUE MISCELLANEOUS FEE SIGN	Indicates the sign for the numeric value in the next field REVENUE MSCELLANEOUS FEE AMOUNT. Not populated.	Record	925-925	1	AN PIC X(01)		+ Positive
REVENUE MISCELLANEOUS FEE AMOUNT	The Miscellaneous Fee from BBIF. This fee can also be overridden at the order entry point. Sign value from preceding field not populated. Use CANCEL CODE = 1 to create negative values.	Record	926-932	7	N PIC 9(05)V9(02)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
PRODUCT LEVEL	Two-character code used to identify accounts that participate in various product offerings.	Record	933-934	2	AN PIC X(02)		See Appendix 1: Possible Values
CONCESSION CODE	One-character alpha code indicating whether CONCESSION is concession or concession-away. For details on concessions/concessions away, please contact your NF Customer Service Manager (CSM).	Record	935-935	1	AN PIC X(01)		A Concession away C Concession L Load
PURCHASE TYPE CODE	Two-character code further identifying buy or sell trades as being part of a mutual fund exchange, periodic investment plan or systematic withdrawal plan.	Record	936-937	2	AN PIC X(02)		BU Purchase EX Exchange PI PIP - Periodic Investment Plan SL Sale SW SWP - Systematic Withdrawal Plan

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TRADE DEFINITION TYPE	One-character code indicating the execution status of a trade.	Record	938-938	1	AN PIC X(01)		1 Grandfathered. Same side, same day, same CUSIP and same account.
							2 Execution. Same side, same day, same CUSIP, same account, same marketplace and same sequence number.
							3 Order/Order. Through at order level, away at order level.
							4 New Principal Execution. Uncompressed execution level for ACCOUNT CLASSIFICATION code "40" and "80" accounts only.
							5 Order/Execution. Through at order level, away at execution level.
							7 Principal Grandfathered. Same side, same day, same CUSIP, same account for ACCOUNT CLASSIFICATION code "80" accounts only
							8 Principal Execution. Execution level for ACCOUNT CLASSIFICATION code "80" accounts only.
							9 Same side, same day, same CUSIP, same account, same street price, same contra broker
TRADE DEFINITION TRADE ID	Nine-character numeric field used to identify a group of records to be combined as one trade for billing purposes. This field is to be used in conjunction with the SETTLEMENT DATE to identify a unique group. For unique groups, the REVENUE CLEARING CHARGE AMOUNT should be summed. This field should not be used for confirm-level bunching.	Record	939-947	9	AN PIC X(09)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
REVENUE COMMISSION SIGN	Indicates the sign for the numeric value in the next field REVENUE COMMISSION AMOUNT.	Record	948-948	1	AN PIC X(01)		- Negative [space or +] Positive
REVENUE COMMISSION AMOUNT	The dollar amount of the commission paid by National Financial to the correspondent firm as part of clearing settlement. This is typically the agency commission from the default commission schedule associated with the account. It does not contain mutual fund contingent sales charges that may be populated in TRADE COMMISSION. The source of this field is from the Revenue file and reflects post-P&S processing. The REVENUE COMMISSION amount is preceded by REVENUE COMMISSION SIGN.	Record	949-958	10	N PIC 9(08)V9(02)		
REVENUE CONCESSION SIGN	Indicates the sign for the numeric value in the next field REVENUE CONCESSION AMOUNT.	Record	959-959	1	AN PIC X(01)		- Negative [space or +] Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
REVENUE CONCESSION AMOUNT	The dollar amount of the concession earnings credited to the broker. This is typically mutual fund buy sales charge concession and commissions from trades "done away" from NFS (correspondent proprietary inventory "sales credits"). The source of this field is the P&S Trade file and reflects pre-P&S processing. The CONCESSION CODE is used to determine whether this value is a mutual fund buy sales charge concession and commissions from trades "done away" from NFS (correspondent proprietary inventory "sales credits"). Commissions from trades "done away" from NFS (correspondent proprietary inventory "sales credits") are not populated in this field in the Trade Revenue file. These values appear in TRADE CONCESSION.	Record	960-969	10	N PIC 9(08)V9(02)		
REVENUE LOAD SIGN	Indicates the sign for the numeric value in the next field REVENUE LOAD AMOUNT.	Record	970-970	1	AN PIC X(01)		- Negative [space] Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
REVENUE LOAD AMOUNT	The dollar amount of the load credited to the broker.	Record	971-980	10	N PIC 9(08)V9(02)		
ORDER REFERENCE NUMBER	Unique trade identifier assigned at order entry time. For NFS orders, the last six characters are a combination of alphanumeric characters. Rolled up trades can be traced to the Order Transmission file using this number. For orders "traded away," this field contains the trade identifier entered through the trading system used by the correspondent. Depending on the trading system used, the last character can be an alpha such as "U" or "B" and may not appear in the Order Transmission file.	Record	981-991	11	YYDDD + PICX(06) PIC X(11)	BREV ZM BREV ZM	
INPUT COMMISSION SIGN	Indicates the sign for the numeric value in the next field INPUT COMMISSION AMOUNT.	Record	992-992	1	AN PIC X(01)		[space] Negative + Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
INPUT COMMISSION AMOUNT	The commission manually entered as part of the order entry process. Depending on the type of trade, this amount is the same as TRADE COMMISSION or TRADE CONCESSION. The decimal place for this field is variable and is determined by the code in COMMISSION CONCESSION CODE.	Record	993-1002	10	N PIC 9(10)		
CONFIRM LEGEND CODE	Two-character alpha numeric code identifying additional message(s) printed on confirmations. There can be several different CONFIRM LEGEND CODEs to a record, often to be used in combination. 3 of 8 fields containing CONFIRM LEGEND CODE	Record	1003-1004	2	AN PIC X(02)	BTRT CFM LEGEND	See Appendix 1: Possible Values
CONFIRM LEGEND CODE	Two-character alpha numeric code identifying additional message(s) printed on confirmations. There can be several different CONFIRM LEGEND CODEs to a record, often to be used in combination. 4 of 8 fields containing CONFIRM LEGEND CODE	Record	1005-1006	2	AN PIC X(02)	BTRT CFM LEGEND	See Appendix 1: Possible Values

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ORIGINAL DESCRIPTION 1	User-entered free-form description. This is first 20 characters from MISCELLANEOUS DATA LINE 1 of the Order Transmission file.	Record	1007-1026	20	AN PIC X(20)	Wealthscape Misc. Description	
ORIGINAL DESCRIPTION 2	User-entered free-form description. This is last seven characters from MISCELLANEOUS DATA LINE 1 and the first 13 characters from MISCELLANEOUS DATA LINE 2 of the Order Transmission file.	Record	1027-1046	20	AN PIC X(20)	Wealthscape Misc. Description	
EXECUTION TIME	The execution time reported as the time the transaction is entered on the system. Expressed as military time (24h00m00s) with no colon, Eastern time.	Record	1047-1052	6	HHMMSS PIC X(06)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
REGISTERED REP PAY TO REP	The Wealthscape, FBSI or other user access ID of the person being credited the commission for the record. The default is RR or RR2 as assigned in BBIF DISP MISC for the SuperBranch. Applies only to firms using the Pay to Rep option. This is the Pay to Rep in Wealthscape Order Manager.	Record	1053-1055	3	AN PIC X(03)	BOOK PAY TO REP: Wealthscape Order Manager>Pay to Rep	
CLEARING CHARGE SIGN	Indicates the sign for the numeric value in the next field CLEARING CHARGE.	Record	1056-1056	1	AN PIC X(01)		- Negative [space or +] Positive
CLEARING CHARGE	The amount charged by NFS to the correspondent for clearing the trade.	Record	1057-1063	7	N PIC 9(05)V9(02)		
EXECUTION FEE SIGN	Indicates the sign for the numeric value in the next field EXECUTION FEE.	Record	1064-1064	1	AN PIC X(01)		- Negative [space or +] Positive
EXECUTION FEE	The amount charged by NFS to the correspondent for executing the trade.	Record	1065-1071	7	N PIC 9(05)V9(02)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FOREIGN SURCHARGE SIGN	Indicates the sign for the numeric value in the next field FOREIGN SURCHARGE.	Record	1072-1072	1	AN PIC X(01)		- Negative [space or +] Positive
FOREIGN SURCHARGE	The amount charged by NFS to the correspondent for executing certain foreign securities trades.	Record	1073-1078	6	N PIC 9(04)V9(02)		
SPAWNING SYSTEM CODE	Code to specify the system that originated the activity.	Record	1079-1082	4	AN PIC X(04)		
CLEARING PRODUCT CODE	Variable-character alpha code identifying the product category of the security. Can be empty for trade records not processed through CLEX. All clearing and execution fees will be zero.	Record	1083-1094	12	AN PIC X(12)		See Appendix 1: Possible Values
CLEARING PRODUCT TYPE	Two-character alpha code used to further identify the product category of the security. Can be empty for trade records not processed through CLEX. All clearing and execution fees will be zero.	Record	1095-1096	2	AN PIC X(02)		Blank Not specified LD Load LS Listed NL No Load OT OTC

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
MANAGED ACCOUNT SOLUTIONS (MAS) CUSTODY INDICATOR	One-character alphanumeric code indicating Managed Account Solutions (MAS) is billing custody for the account.	Record	1097-1097	1	AN PIC X(01)		[space] Not applicable N A Managed Account Solutions (MAS) custody schedule is not present Y A Managed Account Solutions (MAS) custody schedule is present
TRADE EXECUTION NUMBER	Three-character sequential number used to sort trade execution records. This value can be sequenced with the ORDER REPORT SORT SEQUENCE value in the Order Transmission.	Record	1098-1100	3	N PIC 9(03)		
COMMISSION-FREE ETF INDICATOR	One-character code indicating the trade record is part of the Fidelity Commission-Free ETF program.	Record	1101-1101	1	AN PIC X(01)		[space] Trade is not part of the Fidelity Commission-Free ETF program. N Trade is not part of the Fidelity Commission-Free ETF program. Y Trade is part of the Fidelity Commission-Free ETF program.

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
ETF SURCHARGE INDICATOR	One character code indicating the trade is a non-paying CUSIP subject to a surcharge in addition to the standard commission/ticket schedule Depending on your firm's clearing agreement, the surcharge amount will appear either in the TRADE COMMISSION and REVENUE COMMISSION AMOUNT fields or the REVENUE CLEARING CHARGE AMOUNT and CLEARING CHARGE fields. Contact your Billing Analyst for additional information.	Record	1102-1102	1	AN PIC X(01)		N Trade is not subject to the ETF Surcharge Y Trade is subject to the ETF Surcharge
FILLER	FILLER - Internal use only. Filler to precede the international trade details.	Record	1103-1246	144	AN PIC X(144)		
ISIN	Unique twelve-character alphanumeric security identification number (International Securities Identifying Number) as defined by ISO 6166. Active ISIN numbers are preceded by the "#" symbol in one of the SECURITY DESCRIPTION fields.	Record	1247-1261	15	AN PIC X(15)	ShadowSuite Asset	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SEDOL	Unique seven-character alphanumeric security identification number (Stock Exchange Daily Official List) as issued by the London Stock Exchange. Active SEDOL numbers are preceded by the "#" symbol in one of the SECURITY DESCRIPTION fields.	Record	1262-1276	15	AN PIC X(15)	ShadowSuite Alias 2	
CURRENCY CODE	Three-character ISO 4217 alpha code indicating currency type. This is the CURRENCY CODE for local currency.	Record	1277-1279	3	AN PIC X(03)	ShadowSuite Alias 1	
CURRENCY CODE	Three-character ISO 4217 alpha code indicating currency type. This is the CURRENCY CODE for the base currency.	Record	1280-1282	3	AN PIC X(03)	ShadowSuite Cur	
LOCAL CURRENCY FEES SIGN	Indicates the sign for the numeric value in the field LOCAL CURRENCY FEES.	Record	1283-1283	1	AN PIC X(01)	ShadowSuite D/C	- Negative + Positive
LOCAL CURRENCY FEES	The total fees on the transaction in local currency.	Record	1284-1301	18	N PIC 9(14)V9(04)	ShadowSuite Commission 2	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
LOCAL CURRENCY COMMISSION SIGN	Indicates the sign for the numeric value in the field LOCAL CURRENCY COMMISSION.	Record	1302-1302	1	AN PIC X(01)		- Negative + Positive
LOCAL CURRENCY COMMISSION	The total commission on the transaction in local currency.	Record	1303-1320	18	N PIC 9(14)V9(04)	ShadowSuite Commission 3	
LOCAL CURRENCY PRICE	The price per share, bond lot or option contract in local currency.	Record	1321-1336	16	N PIC 9(08)V9(08)	ShadowSuite Price	
LOCAL CURRENCY PRINCIPAL SIGN	Indicates the sign for the numeric value in the field LOCAL CURRENCY PRINCIPAL.	Record	1337-1337	1	AN PIC X(01)		- Negative + Positive
LOCAL CURRENCY PRINCIPAL	The total amount of the trade in local currency.	Record	1338-1355	18	N PIC 9(14)V9(04)	ShadowSuite Principal	
LOCAL CURRENCY INTEREST SIGN	Indicates the sign for the numeric value in the field LOCAL CURRENCY INTEREST.	Record	1356-1356	1	AN PIC X(01)		- Negative + Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
LOCAL CURRENCY INTEREST	The accrued interest on the transaction in local currency.	Record	1357-1374	18	N PIC 9(14)V9(04)		
LOCAL CURRENCY CAPITALIZED INTEREST SIGN	Indicates the sign for the numeric value in the field LOCAL CURRENCY CAPITALIZED INTEREST.	Record	1375-1375	1	AN PIC X(01)		- Negative + Positive
LOCAL CURRENCY CAPITALIZED INTEREST	The capitalized interest on the transaction in local currency.	Record	1376-1393	18	N PIC 9(14)V9(04)		
REPORTING CURRENCY CONVERSION PRICE	The price to convert the local detail into USD using the prevailing conversion rate at the time of the transaction.	Record	1394-1409	16	N PIC 9(08)V9(08)		
FX TRADE INDICATOR	One-character alpha character indicating whether the trade record is linked to a foreign exchange trade with USD as one of the currencies in the transaction.	Record	1410-1410	1	AN PIC X(01)		[space] Non-trade (bookkeeping) record N Trade is not linked to USD FX trade N USD is not one of the currencies in the foreign exchange trade linked to the security trade Y Trade is linked to USD FX trade Y USD is one of the currencies in the foreign exchange trade linked to the security trade

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FX TRADE LINK	Variable character identifier for an equity trade that is linked to foreign exchange trade.	Record	1411-1440	30	AN PIC X(30)		
SHADO COUNTRY CODE	Two-character alpha code indicating country sourced from Shado.	Record	1441-1444	4	AN PIC X(04)	ShadowSuite Country	
LOCAL CURRENCY CONCESSION SIGN	Indicates the sign for the numeric value in the field LOCAL CURRENCY CONCESSION.	Record	1445-1445	1	AN PIC X(01)		
LOCAL CURRENCY CONCESSION	The total concession on the transaction in local currency.	Record	1446-1463	18	N PIC 9(14)V9(04)		
REPORTING CURRENCY CONVERSION RATE	The rate to convert the local detail into USD using the prevailing conversion rate at the time of the transaction.	Record	1464-1479	16	N PIC 9(08)V9(08)		
FILLER	FILLER - Internal use only.	Record	1480-1600	121	AN PIC X(121)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the "T" Trailer record segment.	Trailer	1-1	1	AN PIC X(01)		
TOTAL PRINCIPAL SIGN	Indicates the sign for the numeric value in the field TOTAL PRINCIPAL. This field does not appear in the Custody and Fintech version.	Trailer	2-2	1	AN PIC X(01)		- Negative + Positive
TOTAL PRINCIPAL	The total net dollar amount of the PRINCIPAL amounts contained in the file. Sum PRINCIPAL if BUY SELL CODE = B then positive else negative. This field does not appear in the Custody and Fintech version.	Trailer	3-16	14	N PIC 9(12)V9(02)		
FILLER	FILLER - Internal use only. This field does not appear in the Custody and Fintech version.	Trailer	17-21	5	AN PIC X(05)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TOTAL LOGICAL RECORDS - W/ HEADER & TRAILER	Indicates the total logical records contained in the file. Includes the header and trailer records.	Trailer	22-36	15	N PIC X(15)		
FILLER	FILLER - Internal use only. This field is Lngth 4 in the Custody and Fintech version. Pos 37-40.	Trailer	37-41	5	AN PIC X(05)		
TOTAL LOGICAL RECORDS	Indicates the total logical records contained in the file. Excludes the header and trailer records. Custody and Fintech version Pos 41-55.	Trailer	42-56	15	N PIC X(15)		
TOTAL REVENUE COMMISSION SIGN	Indicates the sign for the numeric value in the field TOTAL COMMISSION. This field does not appear in the Custody and Fintech version.	Trailer	57-57	1	AN PIC X(01)		- Negative + Positive
TOTAL REVENUE COMMISSION	The total dollar amount of the REVENUE COMMISSION amounts contained in the file. This field does not appear in the Custody and Fintech version.	Trailer	58-70	13	N PIC 9(11)V9(02)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TOTAL REVENUE CONCESSION SIGN	Indicates the sign for the numeric value in the field TOTAL CONCESSION. This field does not appear in the Custody and Fintech version.	Trailer	71-71	1	AN PIC X(01)		- Negative + Positive
TOTAL REVENUE CONCESSION	The total dollar amount of the REVENUE CONCESSION amounts contained in the file. Does not include CONC AWAY. This field does not appear in the Custody and Fintech version.	Trailer	72-84	13	N PIC 9(11)V9(02)		
TOTAL REVENUE CLEARING CHARGE SIGN	Indicates the sign for the numeric value in the field TOTAL REVENUE CLEARING CHARGE. This field does not appear in the Custody and Fintech version.	Trailer	85-85	1	AN PIC X(01)		- Negative + Positive
TOTAL REVENUE CLEARING CHARGE	The total dollar amount of the REVENUE CLEARING CHARGE amounts contained in the file. This field does not appear in the Custody and Fintech version.	Trailer	86-98	13	N PIC 9(11)V9(02)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
FILLER	FILLER - Internal use only. This field is Lngth 1545 in the Custody and Fintech version. Pos 56-1600.	Trailer	99-1600	1502	AN PIC X(1502)		