

Fidelity Standard Transmissions

Position Supplemental Transmission File Manual

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Available Frequencies: **Daily**

File Description:

The Position Supplemental Transmission file contains security details supporting positions held in the Position Transmission. The file includes data such as CUSIP, security description, and details specific to each security type.

Supports Clearing Clients, Custody Clients or in certain cases, a client's approved Fintech providers.

Please Note: Information or functionality that may be provided is based on a firm's authorization and may be subject to restrictions.

File Processing Guidelines:

The Position Supplemental Transmission file is a 1300-byte file with a Header and Trailer record. The file contains two record types.

There are two record lines for each CUSIP.

- RECORD NUMBER 1 contains fields common to all SECURITY TYPEs.
- The layout for RECORD NUMBER 2 varies according to SECURITY TYPE.

The security details in this file support the Position Transmission. The link to the Position Transmission is by joining on CUSIP. The file is not a master file of all possible securities, but rather a subset of the securities held in position by each correspondent firm. The securities transmitted in this file are dependent on their inclusion in your firm's Position file.

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the "H" Header record segment	Header	1-1	1	AN PIC X(01)		
HEADER RECORD CLIENT ID	Identifies the client data to follow. This field will typically be the SuperBranch for the given client.	Header	2-6	5	AN PIC X(05)		
FILLER	FILLER - Internal use only.	Header	7-21	15	AN PIC X(15)		
FILE ORIGIN	Variable-character alpha string identifying the sender.	Header	22-37	16	AN PIC X(16)		FIDELITY SYSTEMS Identifies Fidelity as the sender National Financial Services LLC Identifies National Financial Services LLC as the sender NFSC SYSTEMS Identifies Fidelity as the sender - (Bookkeeping 101-byte Only)
FILLER	FILLER - Internal use only.	Header	38-41	4	AN PIC X(04)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FILE TITLE	The title of the file. POSITION SUPPLEMENTL	Header	42-61	20	AN PIC X(20)		
FILLER	FILLER - Internal use only.	Header	62-62	1	AN PIC X(01)		
HEADER DATE	The date of the file.	Header	63-68	6	MMDDYY PIC 9(06)		
FILLER	FILLER - Internal use only.	Header	69-1300	1232	AN PIC X(1232)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
RECORD TYPE	Indicates a field that governs the record layout for this segment depending on the value in the field. This is the "D" Detail record segment	Record 1	1-1	1	AN PIC X(01)		
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is Record 1	Record 1	2-2	1	AN PIC X(01)		
RECORD STATUS CODE	Indicates the status of the record.	Record 1	3-3	1	AN PIC X(01)		A Added record C Changed record
CUSIP	Unique nine-character security identification code (Committee On Uniform Securities Identification Procedures). For foreign currency (FX) positions, the local CURRENCY CODE appears here.	Record 1	4-12	9	AN PIC X(09)	BSEC CUSIP	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
SYMBOL	The Billing Symbol found on BSEC to uniquely identify a security. This field is internally maintained and may contain internally defined codes for proprietary and reporting uses.	Record 1	13-32	20	AN PIC X(20)	BSEC SYMBOL	
FLOOR TRADING SYMBOL	Unique identifier associating the security to the market where it is traded. Usually the same as SYMBOL.	Record 1	33-52	20	AN PIC X(20)	BSEC FTS	
SECURITY TYPE	One-character code that is used to identify the type of security. This field is used with SECURITY TYPE MODIFIER and/or the SECURITY TYPE CALCULATION codes to provide identification at a more detailed level.	Record 1	53-53	1	AN PIC X(01)		See Appendix 1: Possible Values

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
SECURITY TYPE MODIFIER	One-character security qualifier code used to further identify the type of security. This field is used with SECURITY TYPE MODIFIER and/or the SECURITY TYPE CALCULATION codes to provide identification at a more detailed level. Requires the SECURITY TYPE to be unique, the only exception is when a CUSIP name-change takes place in which case the field value is N.	Record 1	54-54	1	AN PIC X(01)		See Appendix 1: Possible Values
SECURITY TYPE CALCULATION	One-character security qualifier code used to further identify the type of security. This field is used with SECURITY TYPE and SECURITY TYPE MODIFIER codes to provide identification at a more detailed level. Requires SECURITY TYPE and SECURITY TYPE MODIFIER to be unique.	Record 1	55-55	1	AN PIC X(01)		See Appendix 1: Possible Values
SECURITY DESCRIPTION LINE 1	Description line 1 of 6 from Security Master.	Record 1	56-75	20	AN PIC X(20)	BSEC DISP DESC EXTENDED DESCRIPTION	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
SECURITY DESCRIPTION LINE 2	Description line 2 of 6 from Security Master.	Record 1	76-95	20	AN PIC X(20)	BSEC DISP DESC EXTENDED DESCRIPTION	
SECURITY DESCRIPTION LINE 3	Description line 3 of 6 from Security Master.	Record 1	96-115	20	AN PIC X(20)	BSEC DISP DESC EXTENDED DESCRIPTION	
SECURITY DESCRIPTION LINE 4	Description line 4 of 6 from Security Master.	Record 1	116-135	20	AN PIC X(20)	BSEC DISP DESC EXTENDED DESCRIPTION	
SECURITY DESCRIPTION LINE 5	Description line 5 of 6 from Security Master.	Record 1	136-155	20	AN PIC X(20)	BSEC DISP DESC EXTENDED DESCRIPTION	
SECURITY DESCRIPTION LINE 6	Description line 6 of 6 from Security Master.	Record 1	156-175	20	AN PIC X(20)	BSEC DISP DESC EXTENDED DESCRIPTION	
FILLER	FILLER - Internal use only.	Record 1	176-186	11	AN PIC X(11)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
PRIMARY EXCHANGE	The organization that acts as the primary location through which the security is traded.	Record 1	187-187	1	AN PIC X(01)	BSEC PRIME EXCHANGE	1 NYSE (New York Stock Exchange) 2 NYSE Alternext/ASE (American Stock Exchange) 3 PBW (Philadelphia Stock Exchange) 4 OTC (Non-NSCC) 5 Other (Regional) 6 CHX (Chicago Stock Exchange) 7 CBOE (Chicago Board Options Exchange) 8 NASDAQ (National Association of Securities Dealers Automated Quotations) 9 ARCA (Pacific) V IEX (Investors Exchange) Z BATS (Better Alternative Trading System)
FILLER	FILLER - Internal use only.	Record 1	188-188	1	AN PIC X(01)		
OPTION/RIGHTS/WTS EXPIRE DATE	The date on which an option, right or warrant expires.	Record 1	189-196	8	YYYYMMDD PIC X(08)	BSEC INITIAL EXPIRE	
FILLER	FILLER - Internal use only.	Record 1	197-197	1	AN PIC X(01)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
SECURITY SHORT NAME	Ten-character field starting with the security's name.	Record 1	198-207	10	AN PIC X(10)		
FILLER	FILLER - Internal use only.	Record 1	208-213	6	AN PIC X(06)		
ISIN	<p>Unique twelve-character alphanumeric security identification number (International Securities Identifying Number) as defined by ISO 6166. Active ISIN numbers are preceded by the “#” symbol in one of the SECURITY DESCRIPTION fields.</p> <p>Can be empty when foreign security set to inactive by Product Master.</p>	Record 1	214-228	15	AN PIC X(15)	BSEC DISP XREF ISIN:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
SEDOL	<p>Unique seven-character alphanumeric security identification number (Stock Exchange Daily Official List) as issued by the London Stock Exchange. Active SEDOL numbers are preceded by the “#” symbol in one of the SECURITY DESCRIPTION fields.</p> <p>Can be empty when foreign security set to inactive by Product Master.</p>	Record 1	229-243	15	AN PIC X(15)	BSEC DISP XREF SEDOL:	
FILLER	FILLER - Internal use only.	Record 1	244-246	3	AN PIC X(03)		
ISSUER COUNTRY	<p>The foreign country that issued the security.</p> <p>These are the same three-character codes as in STATE/COUNTRY CODE. See Possible Values Appendix.</p>	Record 1	247-249	3	AN PIC X(03)	BSEC DISP XREF DOM CTRY:	
FILLER	FILLER - Internal use only.	Record 1	250-264	15	AN PIC X(15)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
UNDERLYING CUSIP CODE	One-character code indicating the type of security for the underlying CUSIP.	Record 1	265-265	1	AN PIC X(01)		[space] No underlying CUSIP code C Convertible O Option R Rename U Unit X Warrant
UNDERLYING CUSIP	<p>The CUSIP number of the underlying security.</p> <p>In options, the security that needs to be delivered when call options or put options are exercised. When stock index options and stock index futures are exercised they are settled in cash because it is impossible to deliver stock indexes.</p> <p>In securities, common stock that other securities issued by the same corporation are based upon. This stock has to be delivered when convertible bonds or preferred stocks are converted into common shares, incentive stock options are exercised and when warrants or rights are exercised.</p> <p>In certain cases, UNDERLYING CUSIP may be unpopulated and the CUSIP number appears in one of the SECURITY DESCRIPTION LINES.</p>	Record 1	266-274	9	AN PIC X(09)	BSEC DISP CONV or BSEC DISP OPTN INTO CUSIP or UNDERLYING SECINTO CUSIP	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
USER CUSIP INDICATOR	One-character alpha code indicating that issuer's CUSIP is unique to NFS and not recognized by the CUSIP Bureau. Not always reliable.	Record 1	275-275	1	AN PIC X(01)	BSEC DISP USER CUSIP	N CUSIP is standard, industry-wide CUSIF Y CUSIP is unique to NFS
FILLER	FILLER - Internal use only.	Record 1	276-302	27	AN PIC X(27)		
BOND CLASS CODE	Indicates the class type of a bond.	Record 1	303-303	1	AN PIC X(01)	BSEC BOND CLASS	1 Registered only 2 Book entry only 3 Coupon entry only 4 Registered as to principal only 5 Fully interchangeable 6 Coupon or registered as to principal 7 Coupon or registered 8 Registered or registered as to principal
FILLER	FILLER - Internal use only.	Record 1	304-333	30	AN PIC X(30)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
TYPE OF FUND	One-character code indicating the type of mutual fund.	Record 1	334-334	1	AN PIC X(01)	BSEC FUND TYPE	B Bond E Equity M Money
FILLER	FILLER - Internal use only.	Record 1	335-390	56	AN PIC X(56)		
CURRENT FACTOR AMOUNT	The percent of the original face of a collateralized securities pool that is currently outstanding.	Record 1	391-400	10	N PIC 9(02)V9(08)	BSEC DISP FACT CURRENT FACTOR>AMOUNT	
FILLER	FILLER - Internal use only.	Record 1	401-472	72	AN PIC X(72)		
CLOSING MARKET PRICE	The quoted closing market price of the security derived from the NFS pricing system.	Record 1	473-490	18	N PIC 9(09)V9(09)	BSEC DISP PRCE CLOSE PRICE:	
PRICE DATE	The date of the price(s) derived from the NFS pricing system. Can contain zeros when BSEC DISP PRCE > LAST UPDATE: is empty	Record 1	491-498	8	YYYYMMDD PIC X(08)	BSEC DISP PRCE LAST UPDATE:	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FILLER	FILLER - Internal use only.	Record 1	499-904	406	AN PIC X(406)		
OPTION CONTRACT ID	Variable-character alphanumeric identifying the option contract symbol as published by the Options Clearing Corp.	Record 1	905-910	6	AN PIC X(06)	BSEC DISP OPTN CONTRACT SYMBOL	
OPTION SYMBOL ID	Variable-character alphanumeric identifying the option contract and its characteristics as defined by the Options Clearing Corp. This field joins the component fields OPTION CONTRACT ID, OPTION EXPIRATION DATE, OPTION CALL PUT INDICATOR and OPTION STRIKE PRICE. This field can be empty for expired options (BSEC DISP OPTN EXPANDED SYMBOL is empty) and the component fields may still contain data. Fraction zeros are removed.	Record 1	911-940	30	AN PIC X(30)	BSEC DISP OPTN EXPANDED SYMBOL	
OPTION CALL PUT INDICATOR	One-character alpha code indicating whether the option contract is a call or put option.	Record 1	941-941	1	AN PIC X(01)	BSEC DISP OPTN CALL /PUT (C,P)	C Call P Put

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
FILLER	FILLER - Internal use only.	Record 1	942-948	7	AN PIC X(07)		
PRIMARY EXCHANGE	<p>The organization that acts as the primary location through which the security is traded.</p> <p>This is the same code (with leading zero) as PRIMARY EXCHANGE located at Position 187-187.</p>	Record 1	949-950	2	AN PIC X(02)	BSEC PRIME EXCHANGE	<p>1 NYSE (New York Stock Exchange)</p> <p>2 NYSE Alternext/ASE (American Stock Exchange)</p> <p>3 PBW (Philadelphia Stock Exchange)</p> <p>4 OTC (Non-NSCC)</p> <p>5 Other (Regional)</p> <p>6 CHX (Chicago Stock Exchange)</p> <p>7 CBOE (Chicago Board Options Exchange)</p> <p>8 NASDAQ (National Association of Securities Dealers Automated Quotations)</p> <p>9 ARCA (Pacific)</p> <p>V IEX (Investors Exchange)</p> <p>Z BATS (Better Alternative Trading System)</p>
FILLER	FILLER - Internal use only.	Record 1	951-963	13	AN PIC X(13)		
MARGIN CODE	Identifies a security as being margin eligible.	Record 1	964-964	1	AN PIC X(01)	BSEC DISP MARGIN	<p>N Not margin-eligible</p> <p>Y Margin-eligible</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FILLER	FILLER - Internal use only.	Record 1	965-976	12	AN PIC X(12)		
STRIKE PRICE	The predetermined exercise price of a convertible, put or call option or warrant.	Record 1	977-987	11	N PIC 9(06)V9(05)	BSEC OPTN BSEC CONV	
FILLER	FILLER - Internal use only.	Record 1	988-1300	313	AN PIC X(313)		