

# CSC242: Introduction to Artificial Intelligence

## Lecture 2.5

Please put away all electronic devices

# Announcements

- Unit 2 Exam next class
  - Bring your envelope if using one
- Unit 2 Project due that night 1159PM
  - Understand Academic Honesty policy!

Pickup old exams before  
the new one is returned!





- Rooms adjacent to pits are breezy
- Socrates is a person  
All people are mortal
- Anybody's grandmother is either their mother's or their father's mother



# First-Order Predicate Logic

Ontology:

- Objects
- Relations
- Functions

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- Objects
- Relations
- Functions

## Language:

- Constant symbols
- Predicate symbols
- Function symbols



# First-Order Predicate Logic

## Ontology:

- Objects
- Relations
- Functions

## Language:

- Constant symbols
- Predicate symbols
- Function symbols
- Terms
- Atomic Sentences
- Complex Sentences

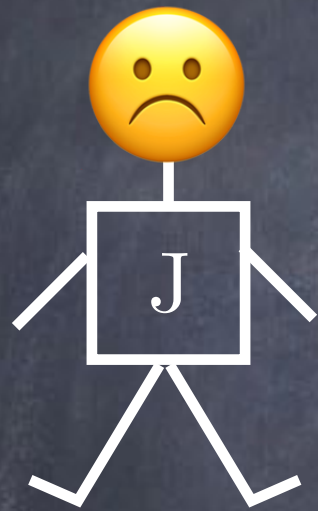




# Conceptualization

## Domain of Discourse

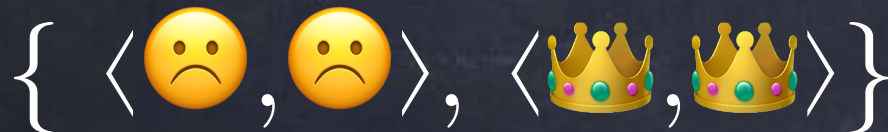
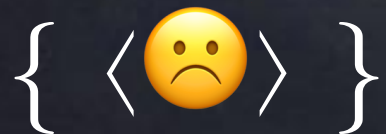
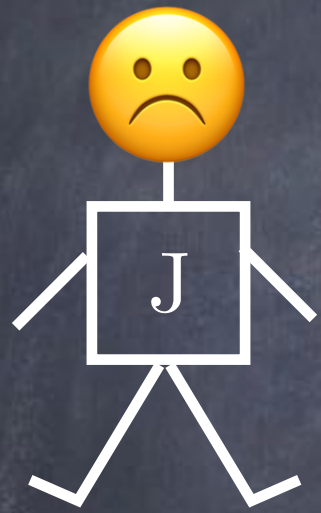
### Ontology:



# Conceptualization

## Domain of Discourse

### Ontology:

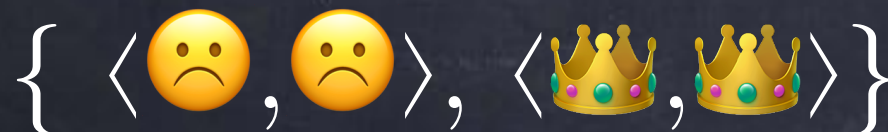
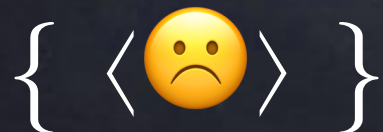
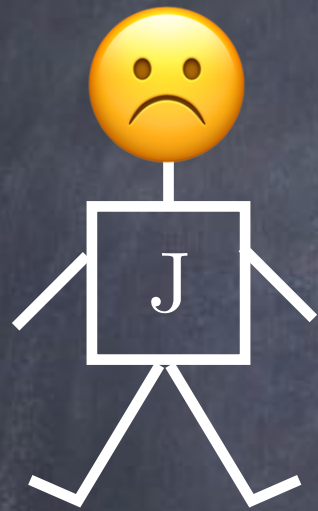




# Conceptualization

## Domain of Discourse

Ontology:



Language:

*John*

*Crown(·)*

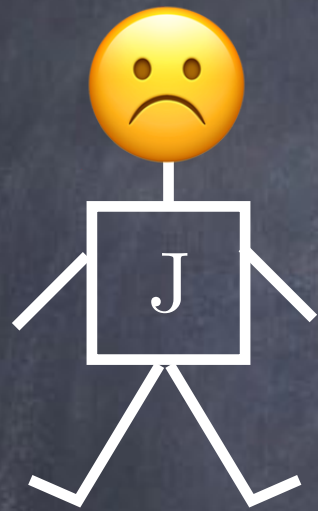
*King(·)*

*OnHead(·,·)*

# Conceptualization

## Domain of Discourse

Ontology:



{  $\langle \text{crown} \rangle$  }

{  $\langle \text{crown}, \text{sad face} \rangle$  }

{  $\langle \text{sad face} \rangle$  }

{  $\langle \text{sad face}, \text{sad face} \rangle, \langle \text{crown}, \text{crown} \rangle$  }

Language:

*John*

*Crown(.)*

*King(.)*

*OnHead(.,.)*

*King(John)*



# Conceptualization

## Domain of Discourse

Ontology:

Language:

Interpretation

*John*

$I(\text{John}) \in \Omega_I$

*Crown(.)*

*King(.)*

*OnHead(.,.)*

*King(John)*



$I(\text{Crown}) \subseteq \Omega_I^1$

$\{ \langle \text{crown} \rangle \}$

$I(\text{King}) \subseteq \Omega_I^1 \{ \langle \text{crown}, \text{sad face} \rangle \}$

$\{ \langle \text{sad face} \rangle \}$

$\{ \langle \text{sad face}, \text{sad face} \rangle, \langle \text{crown}, \text{crown} \rangle \}$

# Conceptualization

## Domain of Discourse

Ontology:

Interpretation

Language:

*John*

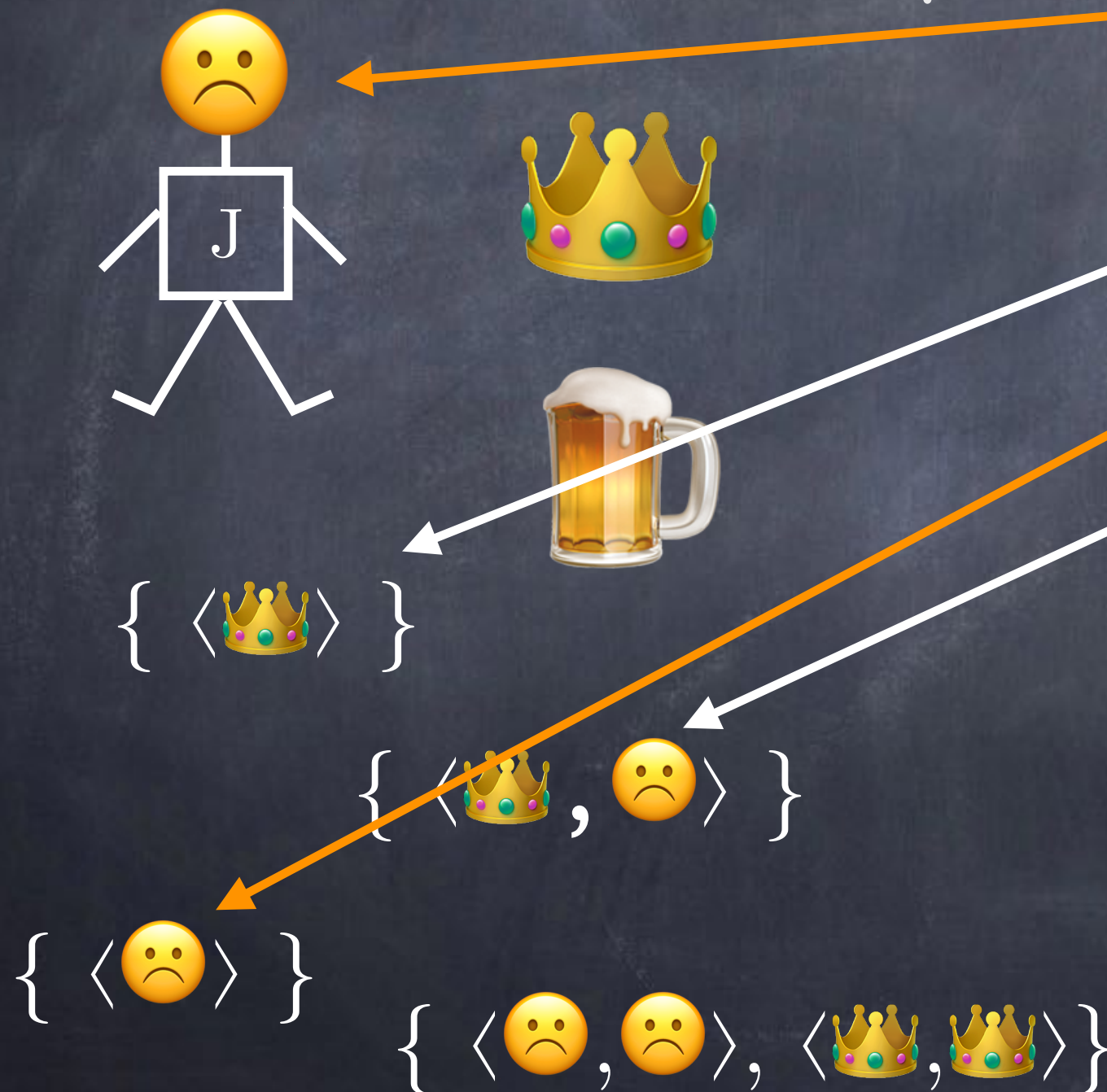
*Crown(·)*

*King(·)*

*OnHead(·,·)*

*King(John)*

$\langle I(John) \rangle \in I(King)$





# Conceptualization

## Domain of Discourse

Ontology:

Interpretation

Language:

*John*

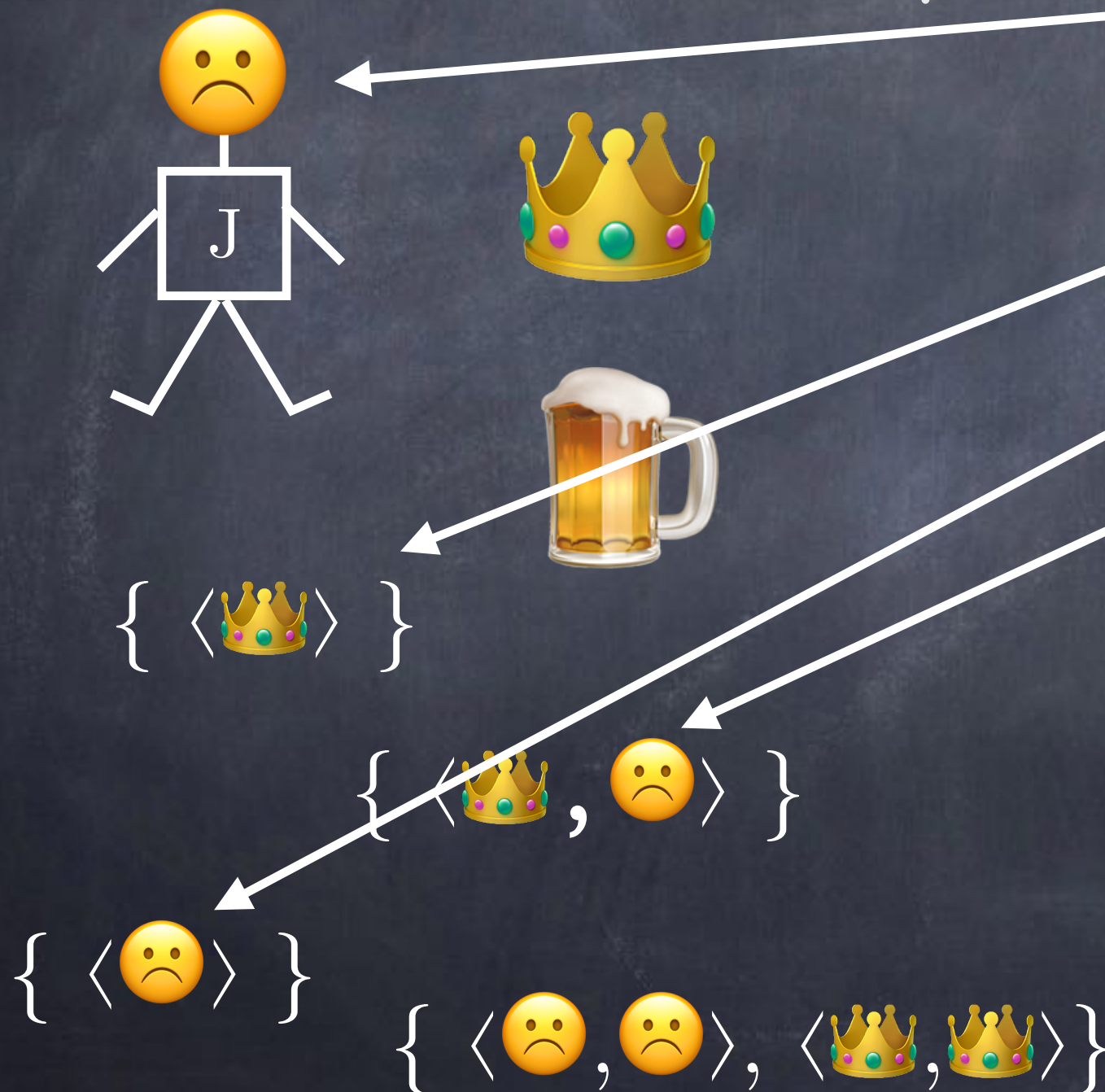
*Crown(·)*

*King(·)*

*OnHead(·,·)*

*King(John)*

$\langle I(John) \rangle \in I(King)$



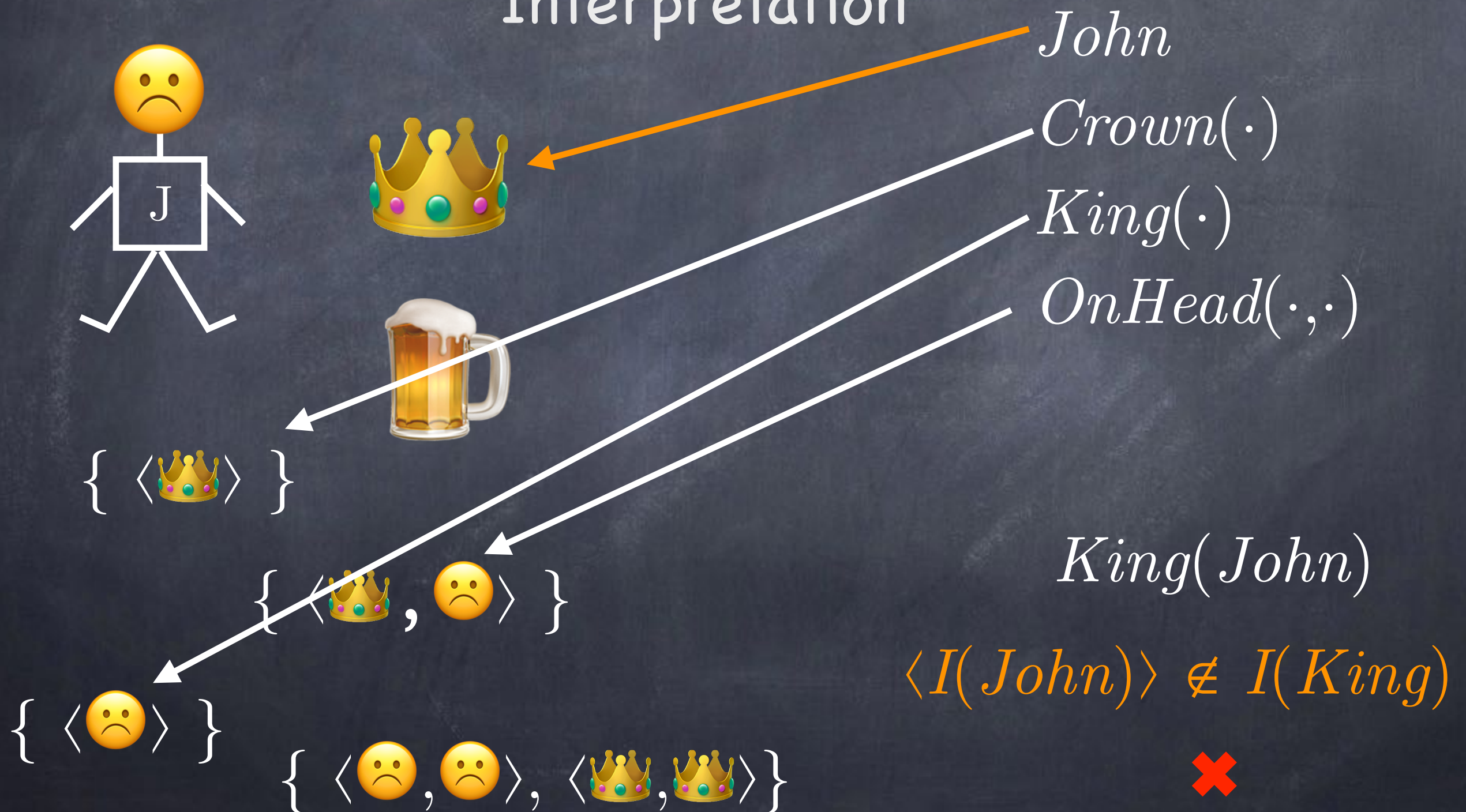
# Conceptualization

## Domain of Discourse

Ontology:

Interpretation

Language:





# Conceptualization

## Domain of Discourse

Ontology:

Interpretation

Language:

*John*

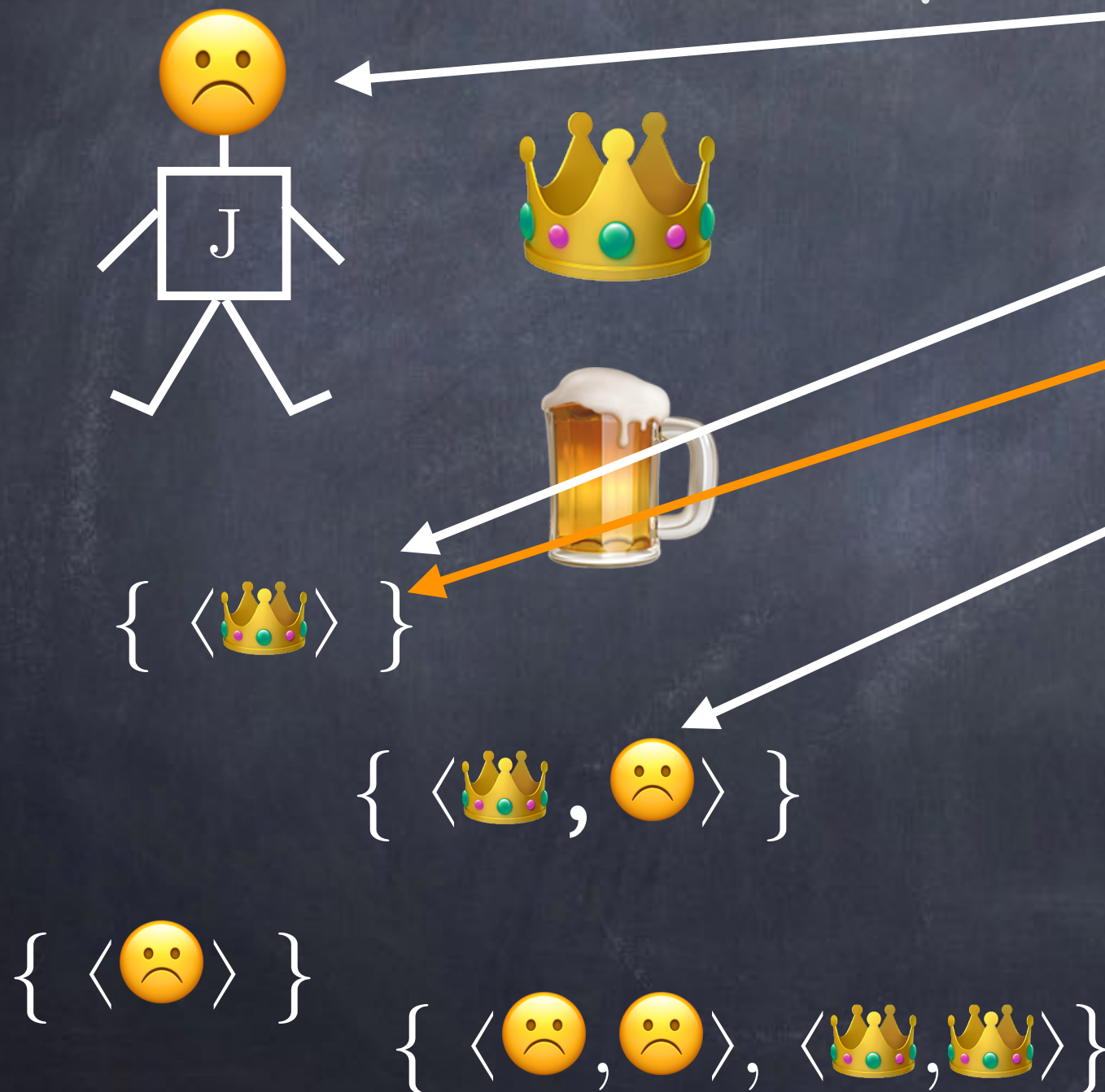
*Crown(·)*

*King(·)*

*OnHead(·,·)*

*King(John)*

$\langle I(John) \rangle \notin I(King)$



# Semantics of First-Order Logic

- For ontology (objects, relations, functions)
- Interpretation function  $I$ 
  - Constant symbols  $\rightarrow$  objects
  - Predicate symbols  $\rightarrow$  relations (tuples)
  - Function symbols  $\rightarrow$  functions (mappings)
- A model satisfies a sentence if it makes the sentence true



- Rooms adjacent to pits are breezy
- Socrates is a person  
All people are mortal
- Anybody's grandmother is either their mother's or their father's mother

*Person(Socrates)*

- Rooms adjacent to pits are breezy
- Socrates is a person  
All people are mortal
- Anybody's grandmother is either their mother's or their father's mother



# Universal Quantification

- Syntax:  $\forall x \varphi$
- Semantics:  $\varphi$  is true for every object  $x$ 
  - Extended interpretation maps every variable to an object in the domain
  - $\forall x \varphi$  is true if  $\varphi$  is true in every extended interpretation

$\forall x \text{ King}(x) \Rightarrow \text{Person}(x)$  True!

<sup>false</sup>  
Richard is a king  $\Rightarrow$  Richard is a person True

<sup>true</sup> John is a king  $\Rightarrow$  John is <sup>true</sup>a person True

<sup>false</sup>  
Richard's left leg is a king  $\Rightarrow$  Richard's left leg is  
a person True

<sup>false</sup>  
John's left leg is a king  $\Rightarrow$  John's left leg is a  
person True

<sup>false</sup>  
the crown is a king  $\Rightarrow$  the crown is a person True



All people are mortal.

$$\forall x \text{ Person}(x) \Rightarrow \text{Mortal}(x)$$

Rooms adjacent to pits are breezy.

$$\forall x \forall y \text{ Room}(x) \wedge \text{Pit}(y) \wedge \text{Adjacent}(x, y) \Rightarrow \text{Breezy}(x)$$

Anybody's grandmother is either their mother's or their father's mother

$$\forall x \forall y \text{ Grandmother}(x, y) \Rightarrow \\ x = \text{mother}(\text{mother}(y)) \vee x = \text{mother}(\text{father}(y))$$

# Existential Quantification

- Syntax:  $\exists x \varphi$
- Semantics:  $\varphi$  is true for some object  $x$ 
  - Extended interpretation maps every variable to an object in the domain
  - $\exists x \varphi$  is true if  $\varphi$  is true in some extended interpretation



John has a crown on his head.

$$\exists x \text{ Crown}(x) \wedge \text{OnHead}(x, \text{John})$$

John has a crown on his head.

$$\exists x \text{ Crown}(x) \wedge \text{OnHead}(x, \text{John})$$

$$x \rightarrow \text{Richard}$$

$$x \rightarrow \text{John}$$

$$x \rightarrow \text{Richard's left leg}$$

$$x \rightarrow \text{John's left leg}$$

$$x \rightarrow \text{the crown}$$



John has a crown on his head.

$\exists x \text{ Crown}(x) \wedge \text{OnHead}(x, \text{John})$

True!

$x \rightarrow \text{Richard}$

$x \rightarrow \text{John}$

$x \rightarrow \text{Richard's left leg}$

$x \rightarrow \text{John's left leg}$

$x \rightarrow \text{the crown}$

True

# Existential Quantification

- Syntax:  $\exists x \varphi$
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  - $\exists x \varphi$  is true if  $\varphi$  is true in some extended interpretation



# Nested Quantifiers

Brothers are siblings

$$\forall x \forall y \text{ Brother}(x,y) \Rightarrow \text{Sibling}(x,y)$$

Being a sibling is a symmetric relationship

$$\forall x \forall y \text{ Sibling}(x,y) \Rightarrow \text{Sibling}(y,x)$$

# Nested Quantifiers

Everyone (every person) loves someone

$$\forall x \text{ Person}(x) \Rightarrow \exists y \text{ Person}(y) \wedge \text{Loves}(x,y)$$



# Nested Quantifiers

Everyone (every person) loves someone

$$\forall x \text{ Person}(x) \Rightarrow \exists y \text{ Person}(y) \wedge \text{Loves}(x,y)$$

Someone is loved by everyone

$$\exists x \text{ Person}(x) \wedge \forall y \text{ Person}(y) \Rightarrow \text{Loves}(y,x)$$

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Everyone (every person) loves someone

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Someone loves everyone

$$\exists x \text{ Person}(x) \wedge \forall y \text{ Person}(y) \Rightarrow \text{Loves}(x,y)$$

$$\exists x \forall y \text{ Person}(x) \wedge \text{Person}(y) \Rightarrow \text{Loves}(x,y)$$



# First-Order Predicate Logic

- Syntax:
  - Constant, predicate, and function symbols
  - Terms, atomic sentences, connectives
  - Quantifiers and variables
- Semantics:
  - Domain of objects, relations, functions
  - First-order interpretation
  - Extended interpretation
  - Satisfaction (sentence true in a possible world)

# Entailment

- $\alpha$  entails  $\beta$  ( $\alpha \models \beta$ ) when:
  - $\beta$  is true in **every** world considered possible by  $\alpha$
  - Every model of  $\alpha$  is also a model of  $\beta$
  - $\text{Models}(\alpha) \subseteq \text{Models}(\beta)$



# All Possible Models

- # of objects in the world from 1 to  $\infty$
- Some constants refer to the same object
- Some objects are not referred to by any constant ("unnamed")
- Relations and functions defined over sets of subsets of objects
- Variables range over all possible objects in extended interpretations

Constant symbols:  $\{ R, J \}$

Relation symbol:  $P(\cdot, \cdot)$



Constant symbols:  $\{ R, J \}$

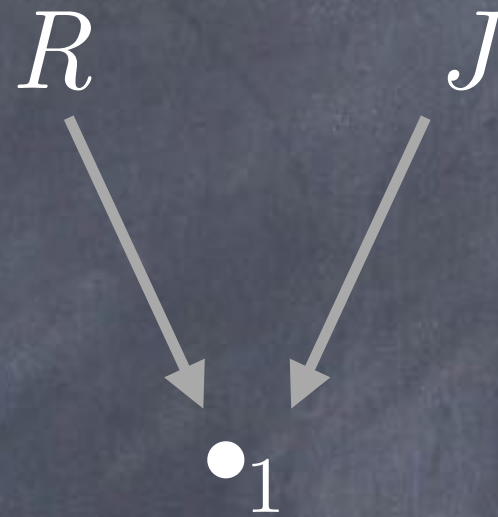
Relation symbol:  $P(\cdot, \cdot)$

$$\Omega_I = \{ \bullet_1 \}$$

$$I(R) = \bullet_1$$

$$I(J) = \bullet_1$$

$$I(P) = \{ \}$$



Constant symbols:  $\{ R, J \}$

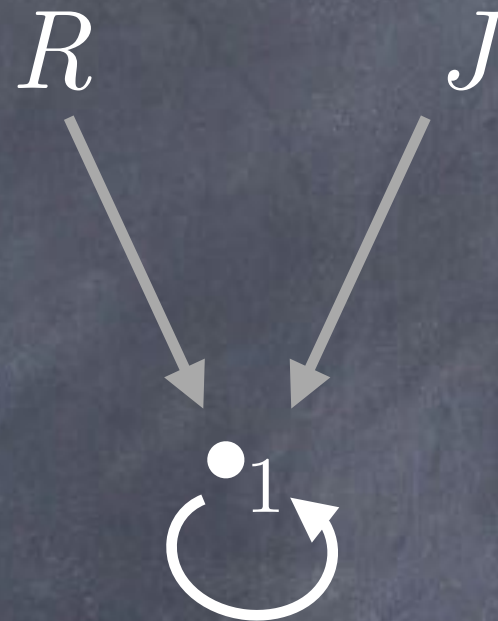
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$$I(P) = \{ \langle \bullet_1, \bullet_1 \rangle \}$$





Constant symbols:  $\{ R, J \}$

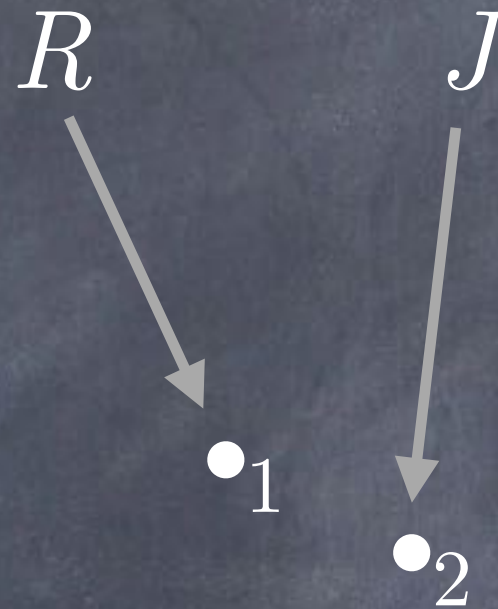
Relation symbol:  $P(\cdot, \cdot)$

$$\Omega_I = \{ \bullet_1, \bullet_2 \}$$

$$I(R) = \bullet_1$$

$$I(J) = \bullet_2$$

$$I(P) = \dots$$



Constant symbols:  $\{ R, J \}$

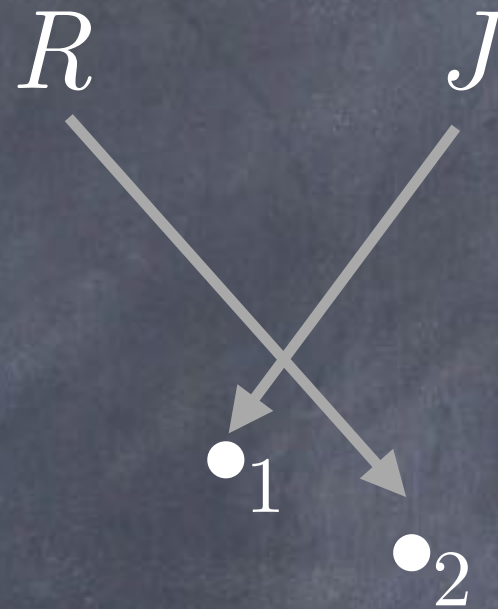
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$$I(P) = \dots$$





Constant symbols:  $\{ R, J \}$

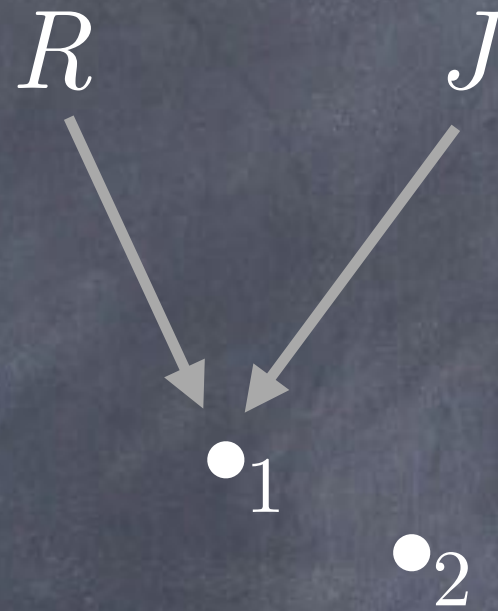
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$$I(R) = \bullet_1$$

$$I(J) = \bullet_1$$

$$I(P) = \dots$$



Constant symbols:  $\{ R, J \}$

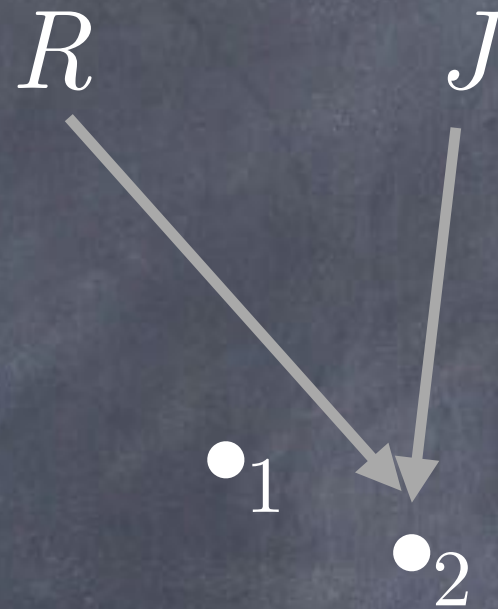
Relation symbol:  $P(\cdot, \cdot)$

$$\Omega_I = \{ \bullet_1, \bullet_2 \}$$

$$I(R) = \bullet_2$$

$$I(J) = \bullet_2$$

$$I(P) = \dots$$





Constant symbols:  $\{ R, J \}$

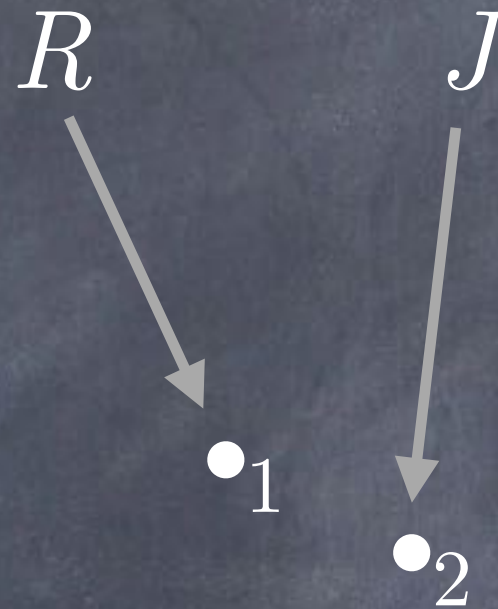
Relation symbol:  $P(\cdot, \cdot)$

$$\Omega_I = \{ \bullet_1, \bullet_2 \}$$

$$I(R) = \bullet_1$$

$$I(J) = \bullet_2$$

$$I(P) = \dots$$



$\langle \bullet_1, \bullet_1 \rangle, \langle \bullet_1, \bullet_2 \rangle, \langle \bullet_2, \bullet_1 \rangle, \langle \bullet_2, \bullet_2 \rangle$ :  $2^2=4$  binary tuples

$2^{2^2}=16$  interpretations of  $P$

64 possible interpretations

Constant symbols:  $\{ R, J \}$

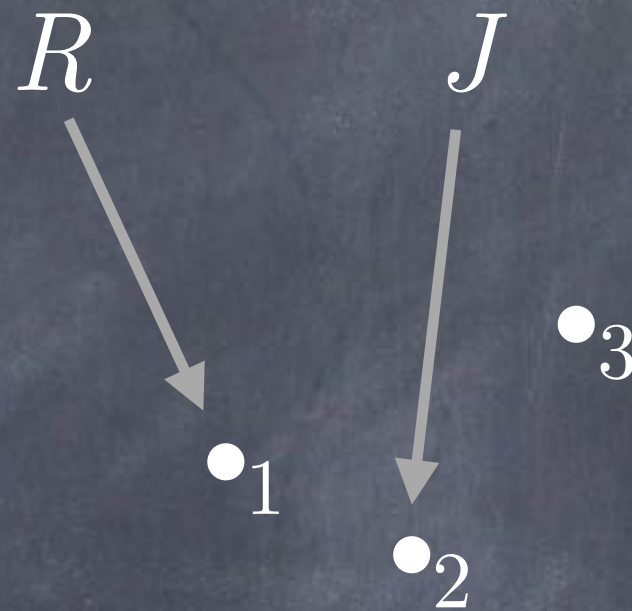
Relation symbol:  $P(\cdot, \cdot)$

$$\Omega_I = \{ \bullet_1, \bullet_2, \bullet_3 \}$$

$$I(R) = \bullet_1$$

$$I(J) = \bullet_2$$

$$I(P) = \dots$$



$2^3=8$  interpretations of  $R$  and  $J$

$2^{2^3}=2^8=256$  interpretations of  $P$

2048 possible interpretations



Constant symbols:  $\{ R, J \}$

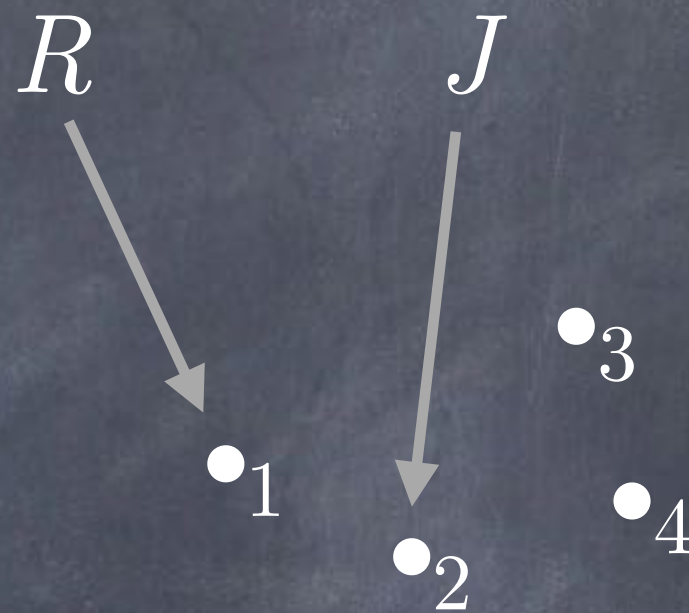
Relation symbol:  $P(\cdot, \cdot)$

$$\Omega_I = \{ \bullet_1, \bullet_2, \bullet_3, \bullet_4 \}$$

$$I(R) = \bullet_1$$

$$I(J) = \bullet_2$$

$$I(P) = \dots$$



1,048,576 possible interpretations

# Computing Entailment

- Number of models HUGE (possibly unbounded: infinite # of objects)
- Can't do model checking



# Computing Entailment

- Number of models HUGE (possibly unbounded: infinite # of objects)
- Can't do model checking
- Look for inference rules, do theorem proving

# First-Order Inference



$$\forall x \textit{King}(x) \Rightarrow \textit{Evil}(x)$$

$$\textit{King}(\textit{John})$$

$\forall x \text{ King}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

Conclude:  $\text{Evil}(\text{John})$

"Modus Ponens"





$\forall x \text{ King}(x) \Rightarrow \text{Evil}(x)$  Applies to any object  $x$

$\text{King}(\text{John})$

$\forall x \text{ King}(x) \Rightarrow \text{Evil}(x)$  Applies to any object  $x$

$\text{King}(\text{John})$   $\text{John}$  denotes an object



$\forall x \text{ King}(x) \Rightarrow \text{Evil}(x)$  Applies to any object  $x$

$\text{King}(\text{John})$  John denotes an object

$\text{King}(\text{John}) \Rightarrow \text{Evil}(\text{John})$

So the rule applies to *John*

$\forall x \text{ King}(x) \Rightarrow \text{Evil}(x)$  Applies to any object  $x$

$\text{King}(\text{John})$  John denotes an object

$\text{King}(\text{John}) \Rightarrow \text{Evil}(\text{John})$

So the rule applies to *John*

Conclude:  $\text{Evil}(\text{John})$  By Modus Ponens



# Universal Instantiation

$$\frac{\forall v \alpha}{\text{SUBST}(\{v/g\}, \alpha)}$$

for any variable  $v$  and ground term  $g$

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$$\frac{\forall v \alpha}{\text{SUBST}(\{v/g\}, \alpha)}$$

for any variable  $v$  and ground term  $g$

Sound?      If  $\alpha \vdash \beta$  then  $\alpha \models \beta$  ✓

Complete?    If  $\alpha \models \beta$  then  $\alpha \vdash \beta$  ✗



# Existential Instantiation

$$\frac{\exists v \alpha}{\text{SUBST}(\{v/k\}, \alpha)}$$

for any variable  $v$  and new constant  $k$

# Existential Instantiation

$$\frac{\exists v \alpha}{\text{SUBST}(\{v/k\}, \alpha)}$$

for any variable  $v$  and new constant  $k$

Sound?



Complete?





# Propositionalization

- Use UI to eliminate  $\forall$
- Use EI to eliminate  $\exists$
- Result is a set of sentences with no variables (ground sentences)
  - Atomic sentences with no variables that can be true or false
  - Propositions!

$$\forall x \textit{King}(x) \wedge \textit{Greedy}(x) \Rightarrow \textit{Evil}(x)$$

*King(John)*

*Greedy(John)*

*Brother(Richard, John)*



$King(John) \wedge Greedy(John) \Rightarrow Evil(John)$

$King(Richard) \wedge Greedy(Richard) \Rightarrow Evil(Richard)$

$King(John)$

$Greedy(John)$

$Brother(Richard, John)$

*King(John)  $\wedge$  Greedy(John)  $\Rightarrow$  Evil(John)*

*King(Richard)  $\wedge$  Greedy(Richard)  $\Rightarrow$  Evil(Richard)*

*King(John)*

*Greedy(John)*

*Brother(Richard, John)*

**Conclude:** *Evil(John)*



# Propositionalization

- Convert FOL sentences to PL sentences and do PL inference on them

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- Convert FOL sentences to PL sentences and do PL inference on them
- Function  $f$ :  $f(a), f(f(a)), f(f(f(a))), \dots$ 
  - Infinitely many ground terms for UI



# Propositionalization

- Convert FOL sentences to PL sentences and do PL inference on them
- Function  $f$ :  $f(a), f(f(a)), f(f(f(a))), \dots$ 
  - Infinitely many ground terms for UI
- Herbrand's Theorem (see book)

# Propositionalization

- Convert FOL sentences to PL sentences and do PL inference on them
- Function  $f$ :  $f(a), f(f(a)), f(f(f(a))), \dots$ 
  - Infinitely many ground terms for UI
- Herbrand's Theorem (see book)
- May never stop generating ground sentences if query not entailed by KB



# First-Order Logic is Semi-Decidable

- Algorithms exist that say yes to every entailed sentence, but no algorithm exists that also says no to every nonentailed sentence

# Propositionalization

- Convert FOL sentences to PL sentences and do PL inference on them
- Universal Instantiation (UI) for  $\forall$
- Existential Instantiation (EI) for  $\exists$





$$\forall x \textit{King}(x) \Rightarrow \textit{Evil}(x)$$

*King(John)*





*King(John)  $\Rightarrow$  Evil(John)*

*King(John)*

*King(John)  $\Rightarrow$  Evil(John)*

*King(John)*

**Conclude:** *Evil(John)*



$$\forall x \textit{King}(x) \wedge \textit{Greedy}(x) \Rightarrow \textit{Evil}(x)$$

*King(John)*

*Greedy(John)*

*King(Richard)*

$$\forall x \textit{King}(x) \wedge \textit{Greedy}(x) \Rightarrow \textit{Evil}(x)$$

 *King(John)*

*Greedy(John)*

*King(Richard)*



$King(John) \wedge Greedy(John) \Rightarrow Evil(John)$

  $King(John)$

$Greedy(John)$

$King(Richard)$

$King(John) \wedge Greedy(John) \Rightarrow Evil(John)$

$King(John)$

$Greedy(John)$

$King(Richard)$



$King(John) \wedge Greedy(John) \Rightarrow Evil(John)$

$King(John)$

$Greedy(John)$

$King(Richard)$

**Conclude:**  $Evil(John)$

$$\forall x \textit{King}(x) \wedge \textit{Greedy}(x) \Rightarrow \textit{Evil}(x)$$

*King(John)*

*Greedy(John)*

*King(Richard)*



$King(Richard) \wedge Greedy(Richard) \Rightarrow Evil(Richard)$

$King(John)$

$Greedy(John)$

$King(Richard)$

?

Can't conclude:  $Evil(Richard)$

# Substitution

Replacement of variables by terms (a.k.a. binding)

$$\{ x / John \}$$
$$\{ y / father(x), x / Richard \}$$



# Substitution

Replacement of variables by terms (a.k.a. binding)

$$\{ x/John \}$$

$$\{ y/father(x), x/Richard \}$$

$$\text{SUBST}(\Theta, \alpha)$$

$$\text{SUBST}(\{x/John\}, King(x) \Rightarrow Evil(x)) = \\ King(John) \Rightarrow Evil(John)$$

$$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$$

*King(John)*

*Greedy(John)*

*King(Richard)*

$$\Theta = \{ x/\text{John} \}$$

If there is some substitution that makes  
the premises true, then the conclusion  
with the same substitution is also true



# Generalized Modus Ponens

$$\frac{p'_1, p'_2, \dots, p'_n, (p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q)}{Subst(\Theta, q)}$$

$p_i, p'_i, q$  are atomic sentences

$\Theta$  is a substitution such that:

$$Subst(\Theta, p'_i) = Subst(\Theta, p_i)$$

# Generalized Modus Ponens

$$\frac{p'_1, p'_2, \dots, p'_n, (p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q)}{Subst(\Theta, q)}$$

$p_i, p'_i, q$  are atomic sentences

$\Theta$  is a substitution such that:

$$Subst(\Theta, p'_i) = Subst(\Theta, p_i)$$

Sound?



Complete?





# Lifted Inference Rule

- Inference rule lifted from ground (variable-free) propositional logic to first-order logic
- “The key advantage of lifted inference rules over propositionalization is that they make only those substitutions that are required to allow particular inferences to succeed”

# Lifted Inference Rules

$$\frac{\alpha \wedge \beta}{\alpha}$$

And-elimination

$$\frac{\neg\neg\alpha}{\alpha}$$

Double  
negation

$$\frac{\neg(\alpha \wedge \beta)}{\neg\alpha \vee \neg\beta} \quad \frac{\neg(\alpha \vee \beta)}{\neg\alpha \wedge \neg\beta}$$

DeMorgan's  
Laws

$$\frac{\alpha \Rightarrow \beta, \alpha}{\beta}$$

Modus  
Ponens

$$\frac{\alpha \Leftrightarrow \beta}{(\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)}$$

Definition of biconditional

$$\frac{(\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)}{\alpha \Leftrightarrow \beta}$$



# Unit Resolution (PL)

$$\frac{l_1 \vee \dots \vee l_i \vee \dots \vee l_k, \quad m}{l_1 \vee \dots \vee l_{i-1} \vee l_{i+1} \dots \vee l_k}$$

$l_1, \dots, l_k$  and  $m$  are literals

$l_i$  and  $m$  are complementary

# Resolution (PL)

$$\frac{l_1 \vee \dots \vee l_i \vee \dots \vee l_k, \quad m_1 \vee \dots \vee m_j \vee \dots \vee m_n}{l_1 \vee \dots \vee l_{i-1} \vee l_{i+1} \dots \vee l_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \dots \vee m_n}$$

$l_1, \dots, l_k, m_1, \dots, m_n$  are literals

$l_i$  and  $m_j$  are complementary

Technical note: Resulting clause must be factored to contain only one copy of each literal.



# Resolution (PL)

$$\frac{l_1 \vee \dots \vee l_i \vee \dots \vee l_k, \quad m_1 \vee \dots \vee m_j \vee \dots \vee m_n}{l_1 \vee \dots \vee l_{i-1} \vee l_{i+1} \dots \vee l_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \dots \vee m_n}$$

$l_1, \dots, l_k, m_1, \dots, m_n$  are literals

$l_i$  and  $m_j$  are complementary

Technical note: Resulting clause must be factored to contain only one copy of each literal.

Sound? ✓

Complete? ✓

# Resolution

- Propositional resolution is sound and complete
- Can it be lifted to first-order logic?



# First-Order CNF

- Every sentence of first-order logic can be converted into an inferentially equivalent sentence in conjunctive normal form (CNF)

# First-Order CNF

- Eliminate implications
- Move negation inwards
- Standardize variables apart
- Skolemize existential variables
- Drop universal variables
- Distribute  $\vee$  over  $\wedge$



# First-Order CNF

- Every sentence of first-order logic can be converted into an inferentially equivalent sentence in conjunctive normal form (CNF)
- Not logically equivalent
- Inferentially equivalent: (un)satisfiable iff original sentence is (un)satisfiable

# Resolution (PL)

$$\frac{l_1 \vee \dots \vee l_i \vee \dots \vee l_k, \quad m_1 \vee \dots \vee m_j \vee \dots \vee m_n}{l_1 \vee \dots \vee l_{i-1} \vee l_{i+1} \dots \vee l_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \dots \vee m_n}$$

$l_1, \dots, l_k, m_1, \dots, m_n$  are literals

$l_i$  and  $m_j$  are complementary

Technical note: Resulting clause must be factored to contain only one copy of each literal.



$$\forall x \textit{King}(x) \wedge \textit{Greedy}(x) \Rightarrow \textit{Evil}(x)$$

*King(John)*

*Greedy(John)*

*King(Richard)*

$$\Theta = \{ x / \textit{John} \}$$

# Unification

$$\textit{unify}(\alpha, \beta) = \Theta \quad \text{where} \quad \textit{subst}(\Theta, \alpha) = \textit{subst}(\Theta, \beta)$$



*Knows(John,x), Knows(John,Jane)*

*{ x/Jane }*

*Knows(John,x), Knows(y, Bill)*

*{ x/Bill, y/John }*

*Knows(John,x), Knows(y, mother(y))*

*{ y/John, x/mother(John) }*

*Knows(John,x), Knows(x,Elizabeth)*

**No unifier**

# Unification

$unify(\alpha, \beta) = \Theta$  where  $subst(\Theta, \alpha) = subst(\Theta, \beta)$

- Variables need to be standardized apart
- Occurs check
- Most general unifier: places the fewest restrictions on the variables
  - Is unique
  - Algorithm: AIMA Fig 9.1



# First-Order Resolution

$$l_1 \vee \cdots \vee l_i \vee \cdots \vee l_k, \quad m_1 \vee \cdots \vee m_j \vee \cdots \vee m_n$$

---

$$\textit{Subst}(\Theta, l_1 \vee \cdots \vee l_{i-1} \vee l_{i+1} \cdots \vee l_k \vee m_1 \vee \cdots \vee m_{j-1} \vee m_{j+1} \cdots \vee m_n)$$

$$\Theta = \textit{Unify}(l_i, \neg m_j)$$

# First-Order Resolution

$$l_1 \vee \cdots \vee l_i \vee \cdots \vee l_k, \quad m_1 \vee \cdots \vee m_j \vee \cdots \vee m_n$$

---

$$\text{Subst}(\Theta, l_1 \vee \cdots \vee l_{i-1} \vee l_{i+1} \cdots \vee l_k \vee m_1 \vee \cdots \vee m_{j-1} \vee m_{j+1} \cdots \vee m_n)$$

$$\Theta = \text{Unify}(l_i, \neg m_j)$$

Sound? ✓

Complete? ✓ (Refutation-complete,  
with factoring or  
non-binary resolution;  
see AIMA)



# Proof by Resolution

- Convert  $KB$  to CNF
- Convert  $\neg\beta$  to CNF and add to  $KB$
- Apply resolution rule to complementary clauses (with unification)
- Until ...

# Resolution Refutation

- Convert  $KB$  to CNF
- Convert  $\neg\beta$  to CNF and add to  $KB$
- Apply resolution rule to complementary clauses (with unification)
- Until you derive the empty clause



# Resolution Refutation

- If by applying the resolution inference rule to a set of clauses you can derive the empty clause...
- Then the set of clauses is unsatisfiable

The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all its missiles were sold to it by Colonel West, who is American.

To prove: West is a criminal



The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all its missiles were sold to it by Colonel West, who is American.

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To prove: West is a criminal



The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all its missiles were sold to it by Colonel West, who is American.

To prove: West is a criminal

- Objects:
  - *Nono, America, West*
- Relations:
  - *Criminal(·), American(·), Weapon(·), Hostile(·), Missile(·)*
  - *Enemy(·,·)*
  - *Sells(·,·,·)*



It is a crime for an American to sell weapons to hostile nations.

$\forall x, y, z \text{ American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x, y, z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$

Nono ... has some missiles.

$\exists x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$

All Nono's missiles were sold to it by Colonel West.

$\forall x \text{ Missile}(x) \wedge \text{Owns}(\text{Nono}, x) \Rightarrow \text{Sells}(\text{West}, x, \text{Nono})$

Missiles are weapons.

$\forall x \text{ Missile}(x) \Rightarrow \text{Weapon}(x)$

An enemy of America counts as "hostile."

$\forall x \text{ Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$

West is an American.

$\text{American}(\text{West})$

Nono is an enemy of America.

$\text{Enemy}(\text{Nono}, \text{America})$

$\forall x, y, z \text{ American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x, y, z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$

$\exists x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$

$\forall x \text{ Missile}(x) \wedge \text{Owns}(\text{Nono}, x) \Rightarrow \text{Sells}(\text{West}, x, \text{Nono})$

$\forall x \text{ Missile}(x) \Rightarrow \text{Weapon}(x)$

$\forall x \text{ Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$

$\text{American}(\text{West})$

$\text{Enemy}(\text{Nono}, \text{America})$



# Proof by Resolution

- Convert  $KB$  to CNF
- Convert  $\neg\beta$  to CNF and add to  $KB$
- Apply resolution rule to complementary clauses (with unification)
- Until you derive the empty clause

# Convert to CNF

- Eliminate implications
- Move negation inwards
- Standardize variables apart
- Skolemize existential variables
- Drop universal variables
- Distribute  $\vee$  over  $\wedge$



$$\forall x, y, z \text{ American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x, y, z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$$

Eliminate implications:

$$\forall x, y, z \neg[\text{American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x, y, z) \wedge \text{Hostile}(z)] \vee \text{Criminal}(x)$$

Move negation inwards (DeMorgan's Laws)

$$\forall x, y, z [\neg\text{American}(x) \vee \neg\text{Weapon}(y) \vee \neg\text{Sells}(x, y, z) \vee \neg\text{Hostile}(z)] \vee \text{Criminal}(x)$$

Standardize variables apart

Skolemize existential variables

Drop universal quantifiers

$$\neg\text{American}(x) \vee \neg\text{Weapon}(y) \vee \neg\text{Sells}(x, y, z) \vee \neg\text{Hostile}(z) \vee \text{Criminal}(x)$$

Distribute  $\vee$  over  $\wedge$

$$\forall x, y, z \text{ American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x, y, z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$$
$$\neg \text{American}(x) \vee \neg \text{Weapon}(y) \vee \neg \text{Sells}(x, y, z) \vee \neg \text{Hostile}(z) \vee \text{Criminal}(x)$$



Clause  
Horn clause  
Definite clause



$\forall x, y, z \text{ American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x, y, z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$   
 $\neg \text{American}(x) \vee \neg \text{Weapon}(y) \vee \neg \text{Sells}(x, y, z) \vee \neg \text{Hostile}(z) \vee \text{Criminal}(x)$

$\exists x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$

$\text{Owns}(\text{Nono}, M_1), \text{Missile}(M_1)$

$\forall x, y, z \text{ American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x, y, z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$   
 $\neg \text{American}(x) \vee \neg \text{Weapon}(y) \vee \neg \text{Sells}(x, y, z) \vee \neg \text{Hostile}(z) \vee \text{Criminal}(x)$

$\exists x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$

$\text{Owns}(\text{Nono}, M_1), \text{Missile}(M_1)$

$\forall x \text{ Missile}(x) \wedge \text{Owns}(\text{Nono}, x) \Rightarrow \text{Sells}(\text{West}, x, \text{Nono})$

$\neg \text{Missile}(x) \vee \neg \text{Owns}(\text{Nono}, x) \vee \text{Sells}(\text{West}, x, \text{Nono})$

$\forall x \text{ Missile}(x) \Rightarrow \text{Weapon}(x)$

$\neg \text{Missile}(x) \vee \text{Weapon}(x)$

$\forall x \text{ Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$

$\neg \text{Enemy}(x, \text{America}) \vee \text{Hostile}(x)$

$\text{American}(\text{West})$

$\text{American}(\text{West})$

$\text{Enemy}(\text{Nono}, \text{America})$

$\text{Enemy}(\text{Nono}, \text{America})$



$\neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x, y, z) \vee \neg Hostile(z) \vee Criminal(x)$

$Owns(Nono, M_1), Missile(M_1)$

$\neg Missile(x) \vee \neg Owns(Nono, x) \vee Sells(West, x, Nono)$

$\neg Missile(x) \vee Weapon(x)$

$\neg Enemy(x, America) \vee Hostile(x)$

$American(West)$

$Enemy(Nono, America)$

# Proof by Resolution

- Convert  $KB$  to CNF
- Convert  $\neg\beta$  to CNF and add to  $KB$
- Apply resolution rule to complementary clauses (with unification)
- Until you derive the empty clause



“West is a criminal”

*Criminal( West)*

Negate and convert to CNF:

$\neg$ *Criminal( West)*

$\neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x, y, z) \vee \neg Hostile(z) \vee Criminal(x)$

$Owns(Nono, M_1), Missile(M_1)$

$\neg Missile(x) \vee \neg Owns(Nono, x) \vee Sells(West, x, Nono)$

$\neg Missile(x) \vee Weapon(x)$

$\neg Enemy(x, America) \vee Hostile(x)$

$American(West)$

$Enemy(Nono, America)$

$\neg Criminal(West)$



# Proof by Resolution

- Convert  $KB$  to CNF
- Convert  $\neg\beta$  to CNF and add to  $KB$
- Apply resolution rule to complementary clauses (with unification)
- Until you derive the empty clause

$\neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x, y, z) \vee \neg Hostile(z) \vee Criminal(x)$

$Owns(Nono, M_1), Missile(M_1)$

$\neg Missile(x) \vee \neg Owns(Nono, x) \vee Sells(West, x, Nono)$

$\neg Missile(x) \vee Weapon(x)$

$\neg Enemy(x, America) \vee Hostile(x)$

$American(West)$

$Enemy(Nono, America)$

$\neg Criminal(West)$



$\neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x, y, z) \vee \neg Hostile(z) \vee \boxed{Criminal(x)}$

$Owns(Nono, M_1), Missile(M_1)$

$\neg Missile(x) \vee \neg Owns(Nono, x) \vee Sells(West, x, Nono)$

$\neg Missile(x) \vee Weapon(x)$

$\neg Enemy(x, America) \vee Hostile(x)$

$American(West)$

$\{ x / West \}$

$Enemy(Nono, America)$

$\boxed{\neg Criminal(West)}$

$\neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x, y, z) \vee \neg Hostile(z) \vee \underline{Criminal(x)}$   
 $\{x/ West\}$   $\neg \underline{Criminal(West)}$

$\neg American(West) \vee \neg Weapon(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z)$



$$\neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x, y, z) \vee \neg Hostile(z) \vee Criminal(x)$$
$$\frac{\neg \textit{American}(\textit{West}) \vee \neg \textit{Weapon}(y) \vee \neg \textit{Sells}(\textit{West}, y, z) \vee \neg \textit{Hostile}(z)}{\quad \quad \quad \{ \quad \quad \quad \textit{American}(\textit{West})}$$
$$\neg Weapon(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z)$$

$$\begin{array}{l}
 \neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x, y, z) \vee \neg Hostile(z) \vee Criminal(x) \\
 \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \neg Criminal(West) \\
 \neg American(West) \vee \neg Weapon(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z) \\
 \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad American(West) \\
 \neg \underline{Weapon(y)} \vee \neg Sells(West, y, z) \vee \neg Hostile(z) \quad \neg Missile(x) \vee \underline{Weapon(x)} \\
 \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \{x/y\} \\
 \neg Missile(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z)
 \end{array}$$



$$\begin{array}{l}
\neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x,y,z) \vee \neg Hostile(z) \vee Criminal(x) \\
| \qquad \qquad \qquad \searrow \neg Criminal(West) \\
\neg American(West) \vee \neg Weapon(y) \vee \neg Sells(West,y,z) \vee \neg Hostile(z) \\
| \qquad \qquad \qquad \searrow American(West) \\
\neg Weapon(y) \vee \neg Sells(West,y,z) \vee \neg Hostile(z) \qquad \neg Missile(x) \vee Weapon(x) \\
| \qquad \qquad \qquad \searrow \\
\underline{\neg Missile(y)} \vee \neg Sells(West,y,z) \vee \neg Hostile(z) \qquad \underline{Missile(M_1)} \\
| \qquad \qquad \qquad \searrow \{y/M_1\} \\
\neg Sells(West,M_1,z) \vee \neg Hostile(z)
\end{array}$$

$\neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x, y, z) \vee \neg Hostile(z) \vee Criminal(x)$   
 $\neg Criminal(West)$

$\neg American(West) \vee \neg Weapon(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z)$   
 $American(West)$

$\neg Weapon(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z)$   
 $\neg Missile(x) \vee Weapon(x)$

$\neg Missile(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z)$   
 $Missile(M_1)$

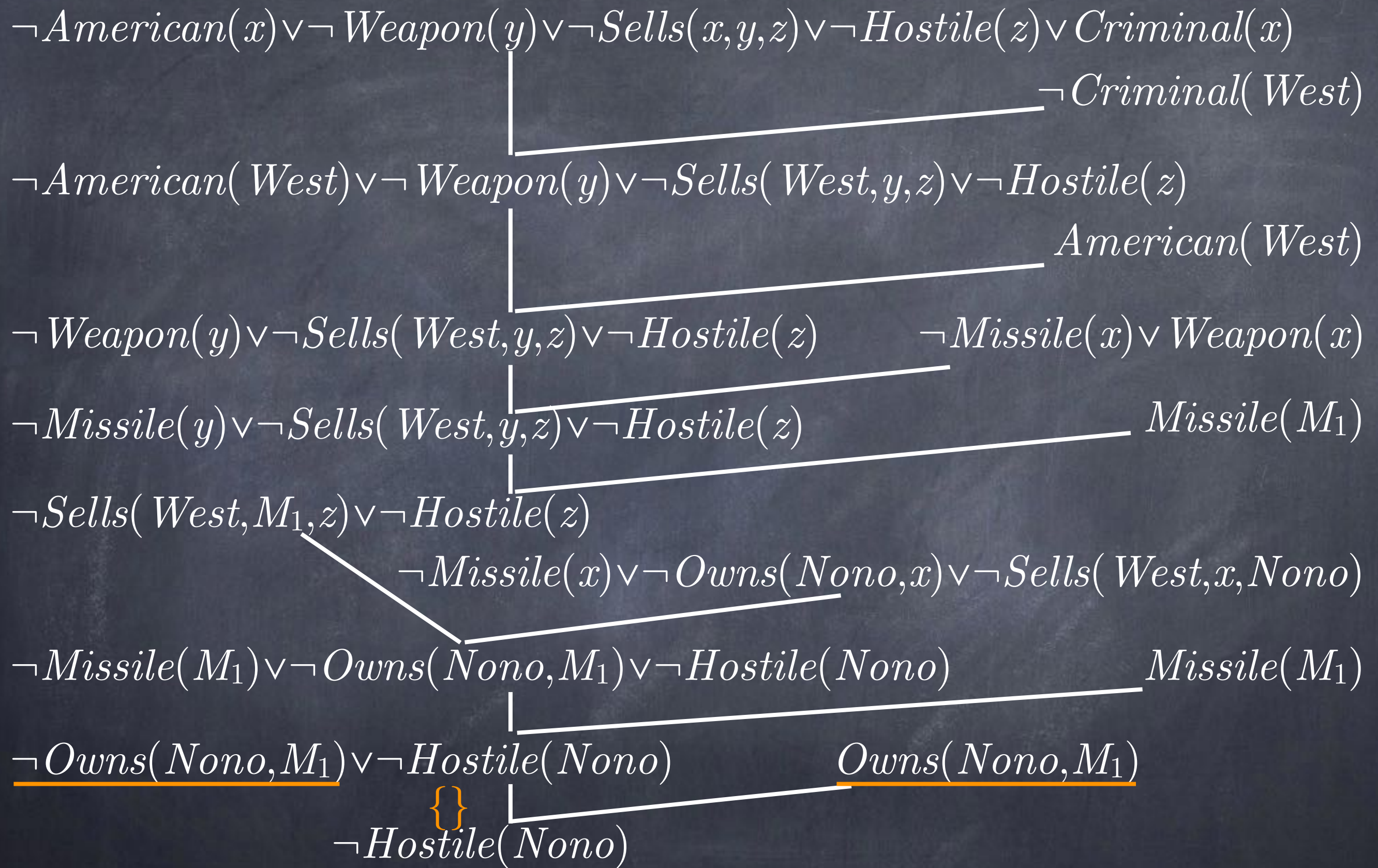
$\neg Sells(West, M_1, z) \vee \neg Hostile(z)$   
 $\neg Missile(x) \vee \neg Owns(Nono, x) \vee \neg Sells(West, x, Nono)$   
 $\{x/M_1, z/Nono\}$

$\neg Missile(M_1) \vee \neg Owns(Nono, M_1) \vee \neg Hostile(Nono)$

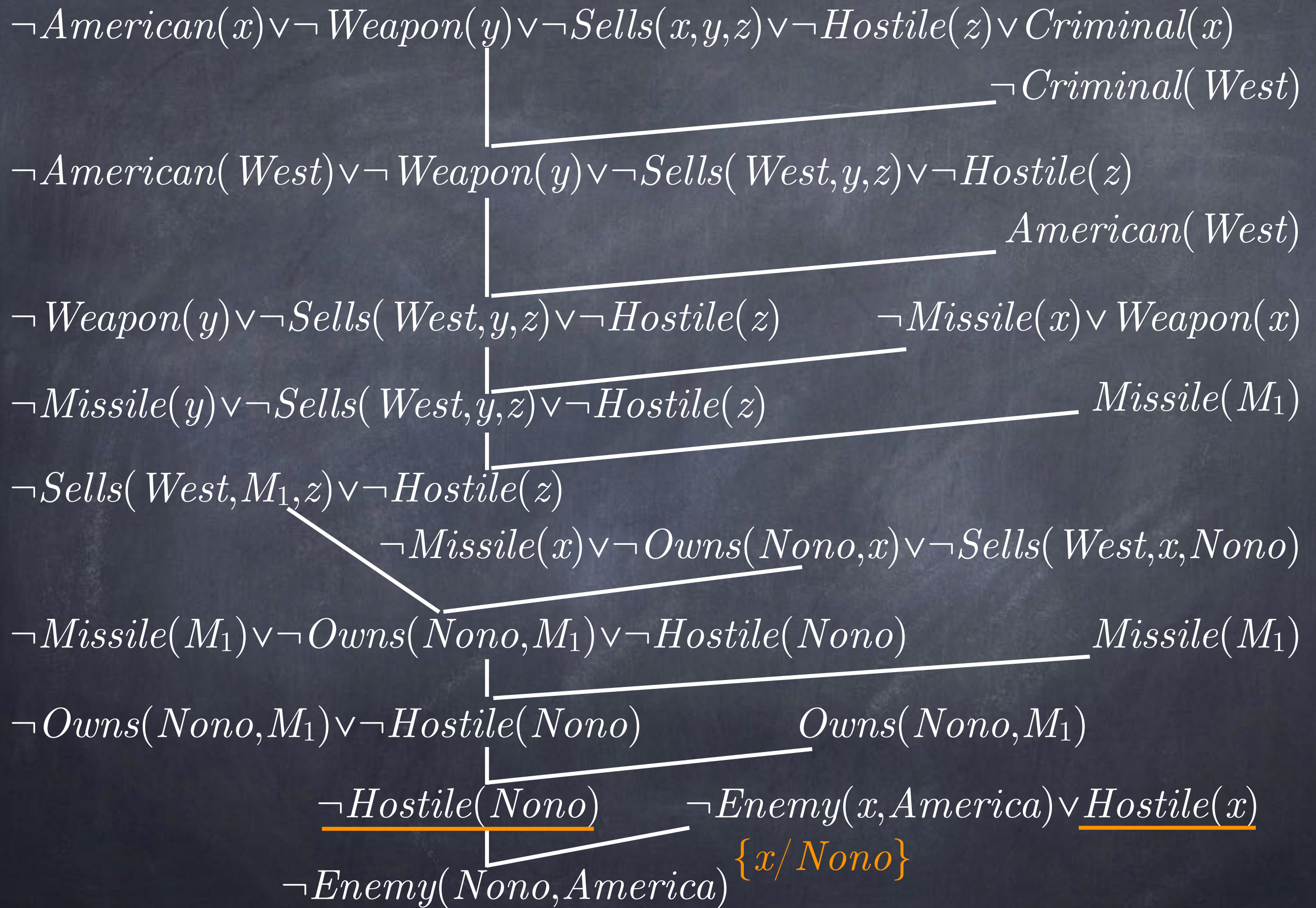


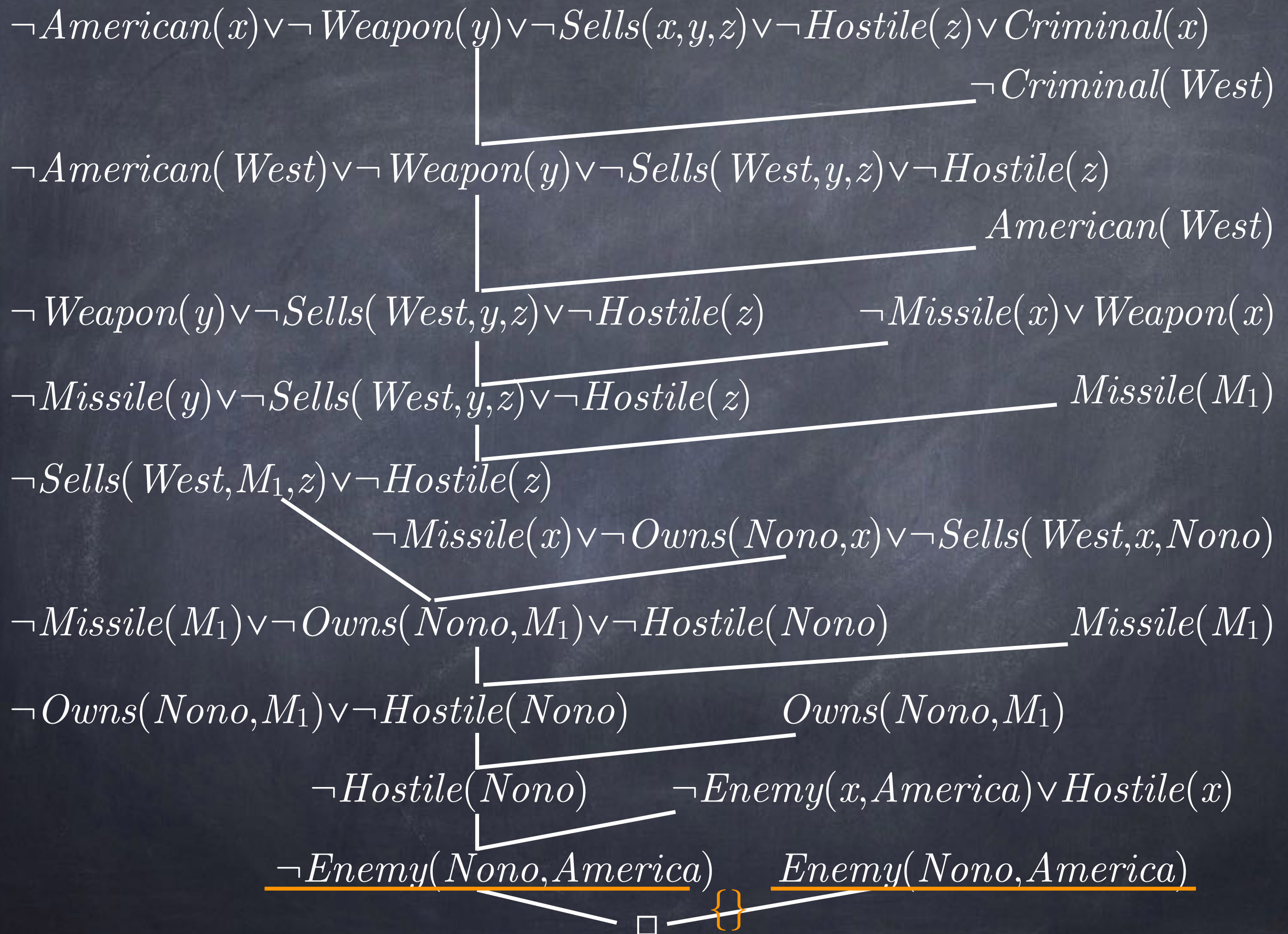
$$\neg American(x) \vee \neg Weapon(y) \vee \neg Sells(x, y, z) \vee \neg Hostile(z) \vee Criminal(x)$$
$$\neg American(West) \vee \neg Weapon(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z)$$
$$\neg Weapon(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z) \quad \neg Missile(x) \vee Weapon(x)$$
$$\neg Missile(y) \vee \neg Sells(West, y, z) \vee \neg Hostile(z) \quad \text{Missile}(M_1)$$
$$\neg Sells( West, M_1, z) \vee \neg Hostile(z)$$

$$\neg Missile(x) \vee \neg Owns(Nono, x) \vee \neg Sells( West, x, Nono)$$
$$\neg Missile(M_1) \vee \neg Owns(Nono, M_1) \vee \neg Hostile(Nono) \quad \text{Missile}(M_1)$$
$$\neg Owns(Nono, M_1) \vee \neg Hostile(Nono)$$











# Resolution Proof

Since  $KB \wedge \neg \textit{Criminal}(\textit{West})$  is unsatisfiable,  
 $KB \models \textit{Criminal}(\textit{West})$

# Forward Chaining

- Knowledge base of definite clauses
- Starting from known facts, trigger rules whose premises are satisfied
  - Using substitution to match
- Add their conclusions to the KB
- Until the query is answered or no new facts can be generated



# Backward Chaining

- Work backward from the goal, chaining through rules to find known facts that support the proof
- Allow substitutions when matching facts and rules
- DFS  $\Rightarrow$  incomplete

# Logic Programming (Prolog)

- Horn clause KB (facts & rules)
- Match using unification
- Backwards chaining from conclusions to premises (DFS)
- Special search strategy for selecting which clauses (rules) to match
- Includes non-logical statements also



# First-Order Inference

- Semantics of first-order sentences:  
Interpretations, extended interpretations
- Entailment
- Propositionalization
  - First order logic is semi-decidable (R.E.)
- Lifted inference rules
- Resolution (first-order CNF, unification)
  - Proof by contradiction

# Representation

- Factored representations of state
- Constraint Satisfaction Problems
- Propositional Logic and Inference
- First-Order Logic and Inference



For Next Time:

Unit Exam