

Lecture 5: A Modern SAT Solver

Inspired by CSE507 from Emina Torlak and CS389L from Isil Dillig

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Fall 2019

Summary of previous lecture

- 2nd paper review was out
- 1st homework will be due on Wednesday
- Review of propositional logic
- Normal forms (NNF, DNF, CNF)
- A basic SAT solver (DPLL algorithm)

Outline of this lecture

- The CDCL algorithm
- Three important extensions of DPLL
 - Decision
 - Backtrack
 - Learning

A basic SAT solver (DPLL)

```
// Returns true if the CNF formula F is  
// satisfiable; otherwise returns false.
```

DPLL(F)

$G \leftarrow \text{BCP}(F)$

if $G = \top$ **then return** *true*

if $G = \perp$ **then return** *false*

$p \leftarrow \text{choose}(\text{vars}(G))$

return DPLL($G\{p \mapsto \top\}$) **||**

DPLL($G\{p \mapsto \perp\}$)

Unit resolution rule

$$\frac{\beta \quad b \mid \vee \dots \vee b_m \vee \neg \beta}{b \mid \vee \dots \vee b_m}$$

Davis-Putnam-Logemann-Loveland (1962)

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Boolean constraint propagation applies unit resolution until fixed point.

If BCP cannot reduce F to a constant, we choose an unassigned variable and recurse assuming that the variable is either true or false.

If the formula is satisfiable under either assumption, then we know that it has a satisfying assignment (expressed in the assumptions). Otherwise, the formula is unsatisfiable.

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  if G =  $\top$  then return true
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    if G =  $\perp$  then return false
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  p  $\leftarrow$  choose(vars(G))
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  return DPLL(G{p  $\mapsto$   $\top$ }) ||
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No learning: throw away all the work to conclude the current partial assignment is bad. May get to conflict due to the same cause.

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Naive decision: The variable to branch on will significantly affect the performance.

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No learning: throw away all the work to conclude the current partial assignment is bad. May get to conflict due to the same cause.

Naive decision: The variable to branch on will significantly affect the performance.

Chronological backtracking: backtrack on one level at a time, even if the root cause is at an earlier decision level.

A basic SAT solver (DPLL)

CDCL(F)

$A \leftarrow \{\}$

if $\text{BCP}(F,A) = \text{conflict}$ then return \perp

level $\leftarrow 0$

while hasUnassignedVars(F)

level $\leftarrow \text{level} + 1$

$A \leftarrow A \cup \{ \text{DECIDE}(F,A) \}$

while $\text{BCP}(F,A) = \text{conflict}$

$\langle b, c \rangle \leftarrow \text{ANALYZECONFLICT}()$

$F \leftarrow F \cup \{c\}$

if $b < 0$ **then return** \perp

else $\text{BACKTRACK}(F,A, b)$

level $\leftarrow b$

return \top

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Decision heuristics: choose the next literal to add to the current partial assignment based on the state of the search.

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Learning from mistakes: F augmented with a conflict clause that summarizes the root cause of the conflict

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Decision heuristics: choose the next literal to add to the current partial assignment based on the state of the search.

Learning from mistakes: F augmented with a conflict clause that summarizes the root cause of the conflict

Non-chronological backtracking: backtrack b levels, based on the cause of the conflict

CDCL in a nutshell

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if $b < 0$ **then return** \perp

else $\text{BACKTRACK}(F,A, b)$

level $\leftarrow b$

return \top

$F = \{ c_1, c_2, c_3, c_4, \dots, c_9 \}$

$c_1: \neg x_1 \vee x_2 \vee \neg x_4$

$c_2: \neg x_1 \vee \neg x_2 \vee x_3$

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$c_4: x_4 \vee x_5 \vee x_6$

$c_5: x_7 \vee \neg x_5$

$c_6: \neg x_6 \vee x_7 \vee \neg x_8$

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while BCP(F,A) = *conflict*

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$x_1@1$

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$x_8@2$

$x_1@1$

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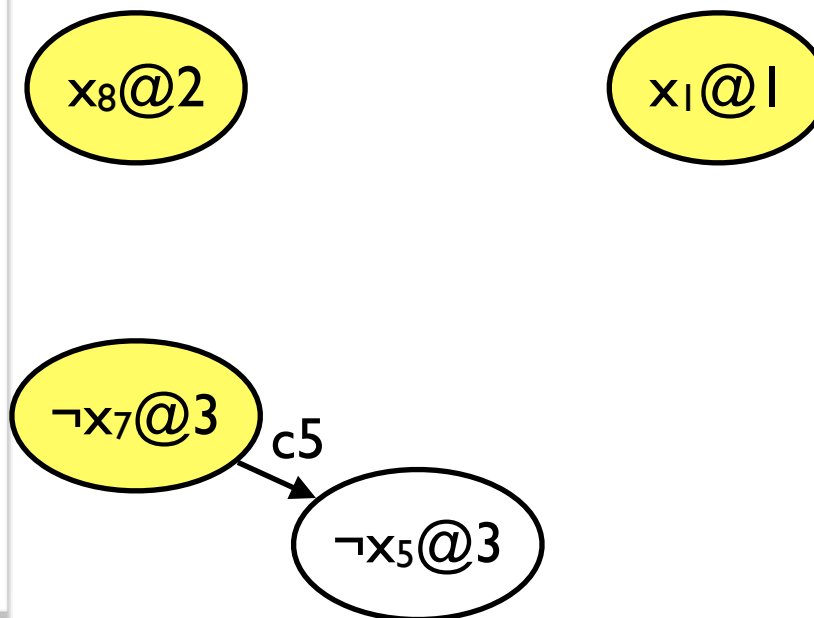
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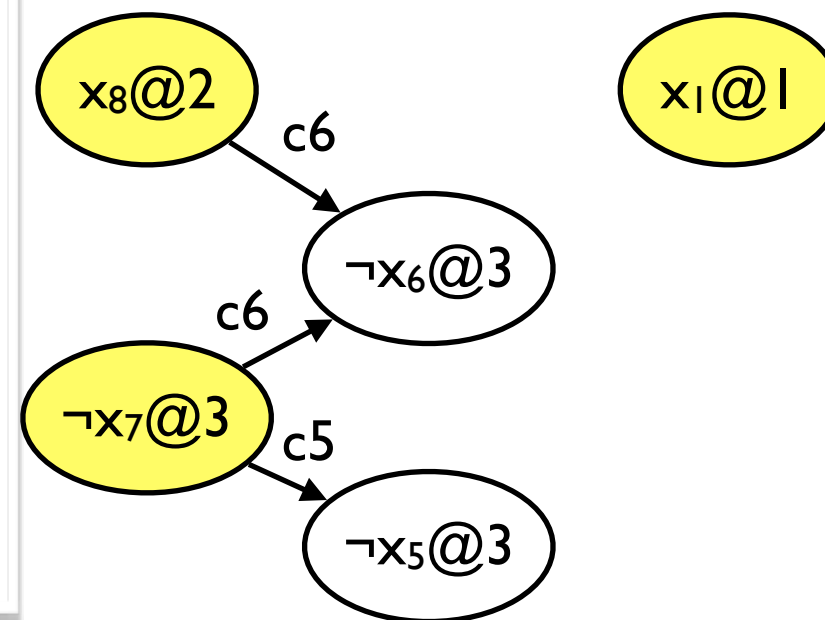
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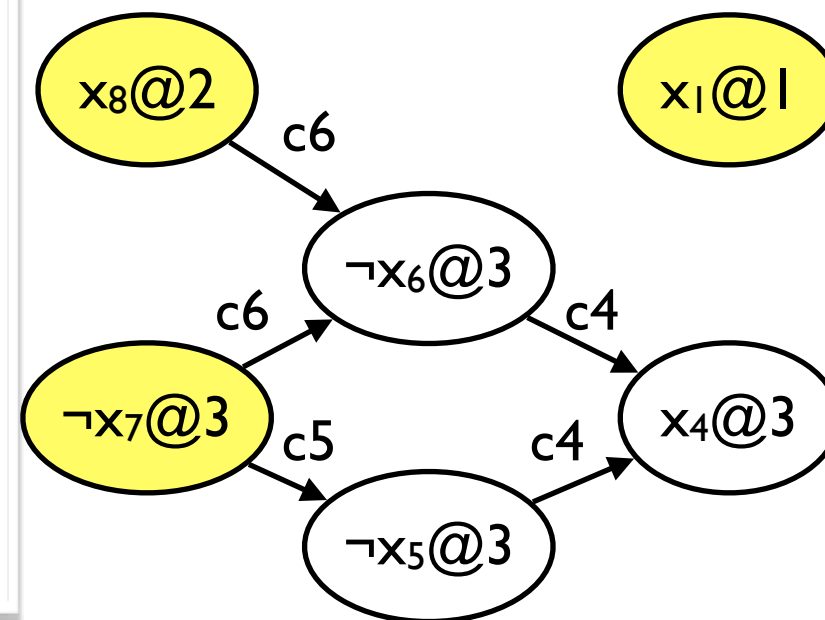
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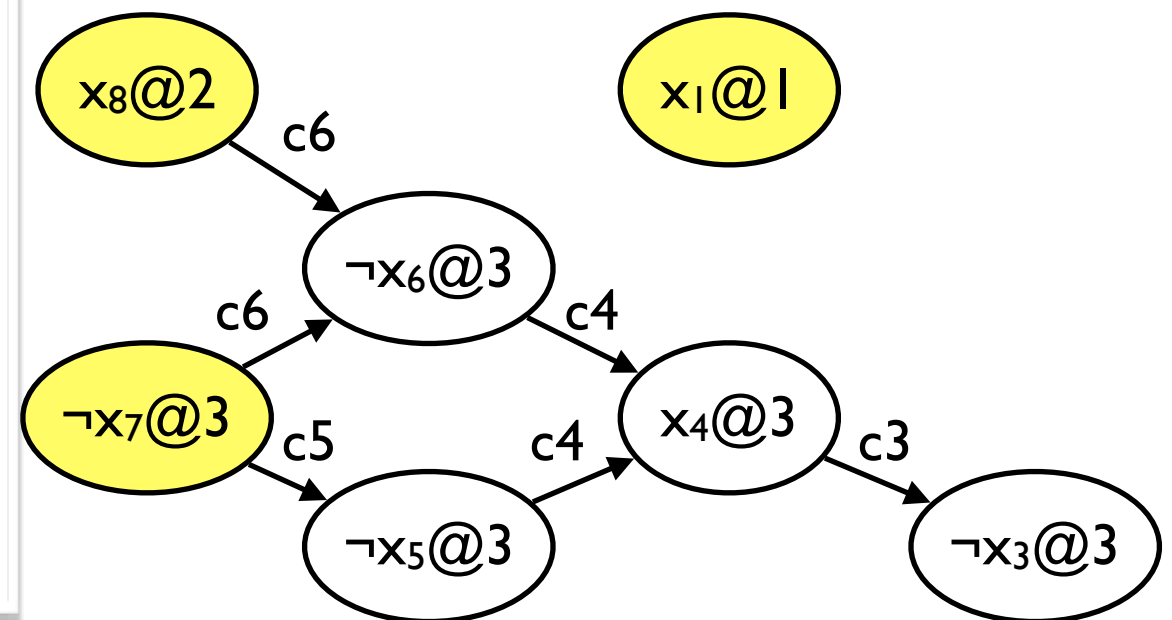
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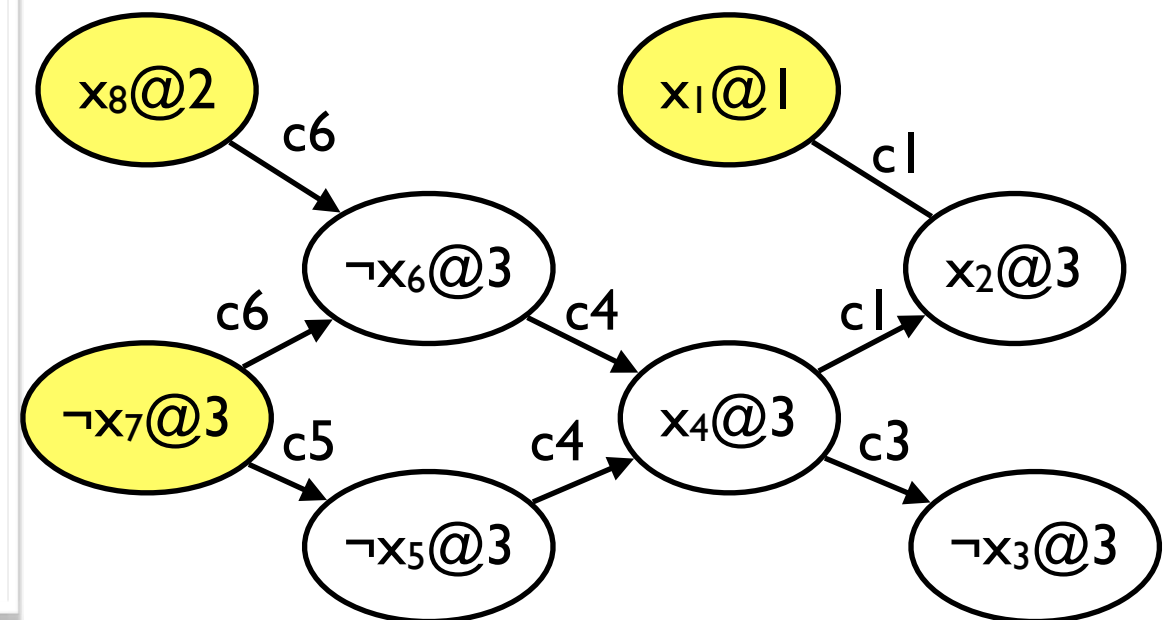
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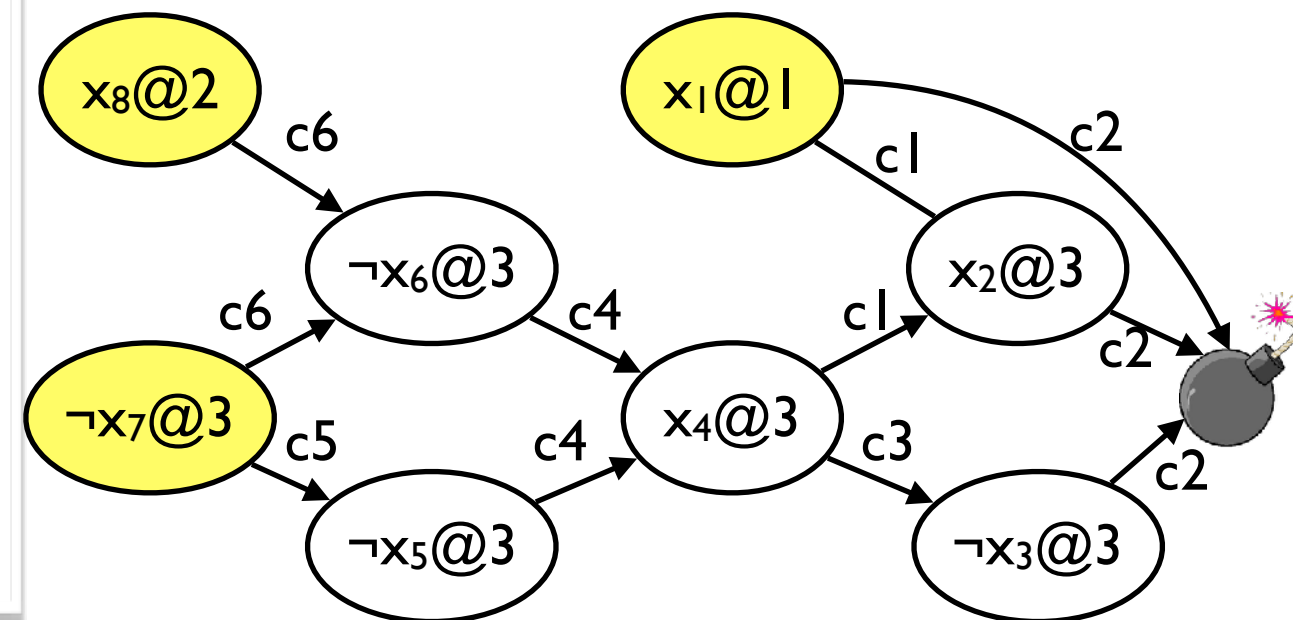
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Conflict clause
 $\neg x_1 \vee \neg x_4$

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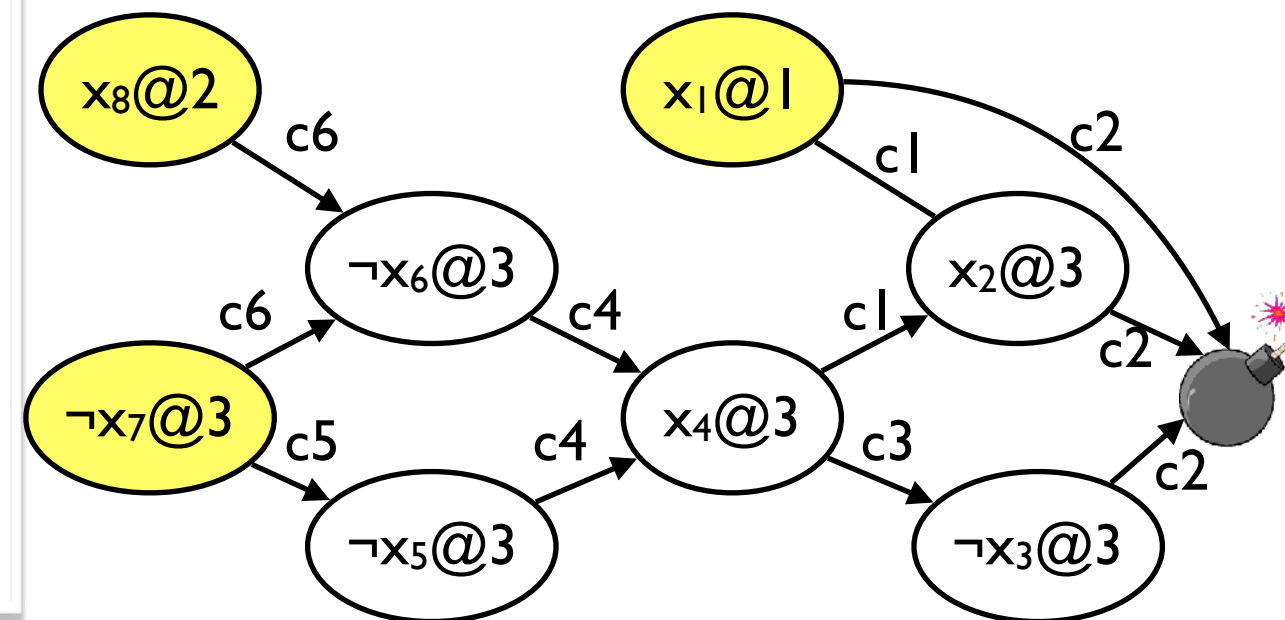
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Backtrack to
 $x_1@1$

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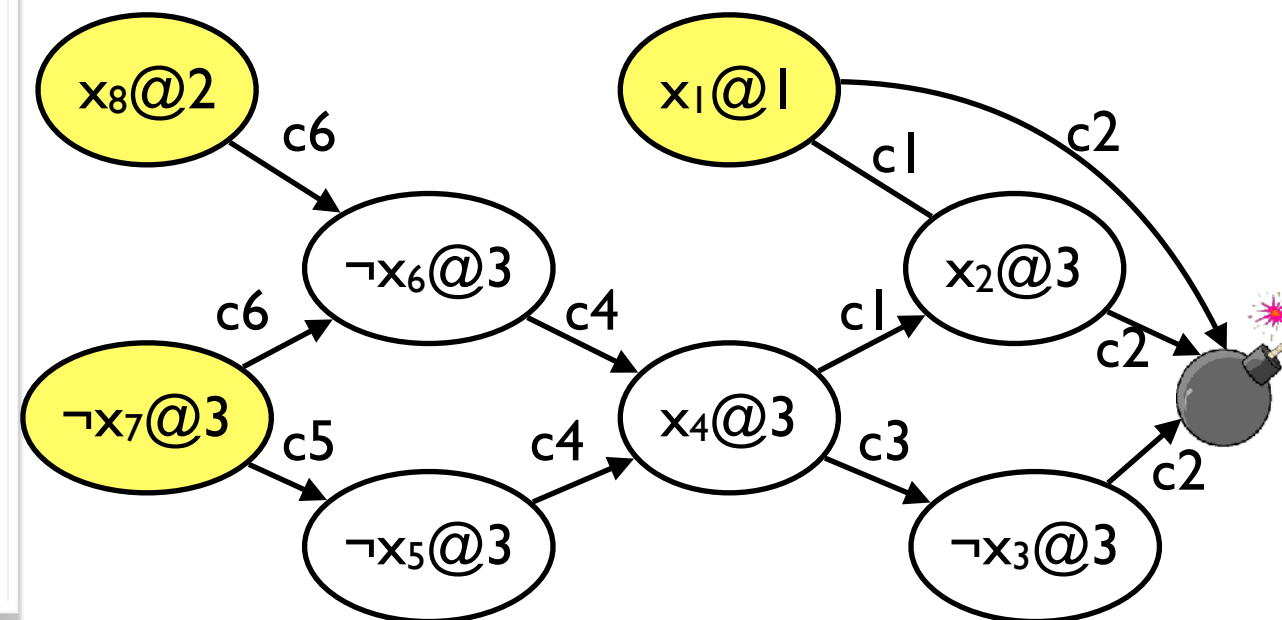
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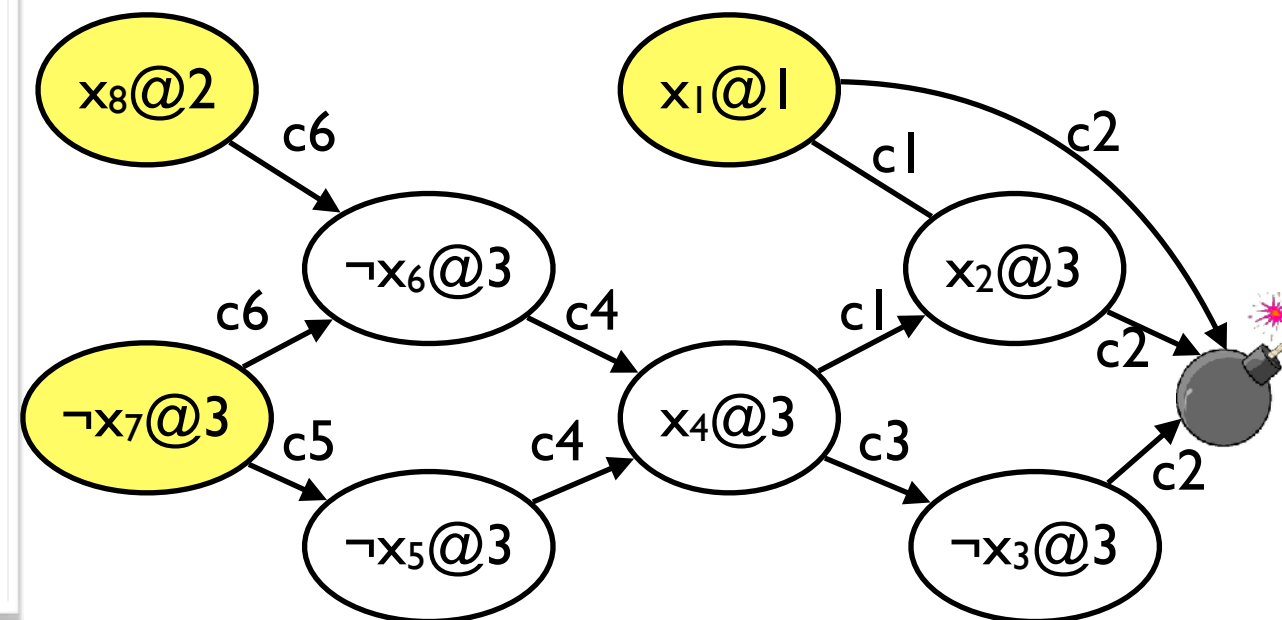
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CDCL in action

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- Definition
- Analyze conflict
- Decide heuristics
- Engineering tricks

Basic concepts in CDCL

Under a given partial assignment (PA), a variable may be

- **assigned** (true/false literal)
- **unassigned**.

A clause may be

- **satisfied** (≥ 1 true literal)
- **unsatisfied** (all false literals)
unit (one unassigned literal, rest false)
- **unresolved** (otherwise)

$$F = \{ c_1, c_2, c_3, c_4, \dots, c_9 \}$$

$$c_1: \neg x_1 \vee x_2 \vee \neg x_4$$

$$c_2: \neg x_1 \vee \neg x_2 \vee x_3$$

...

$$c_8: x_9 \vee \neg x_2$$

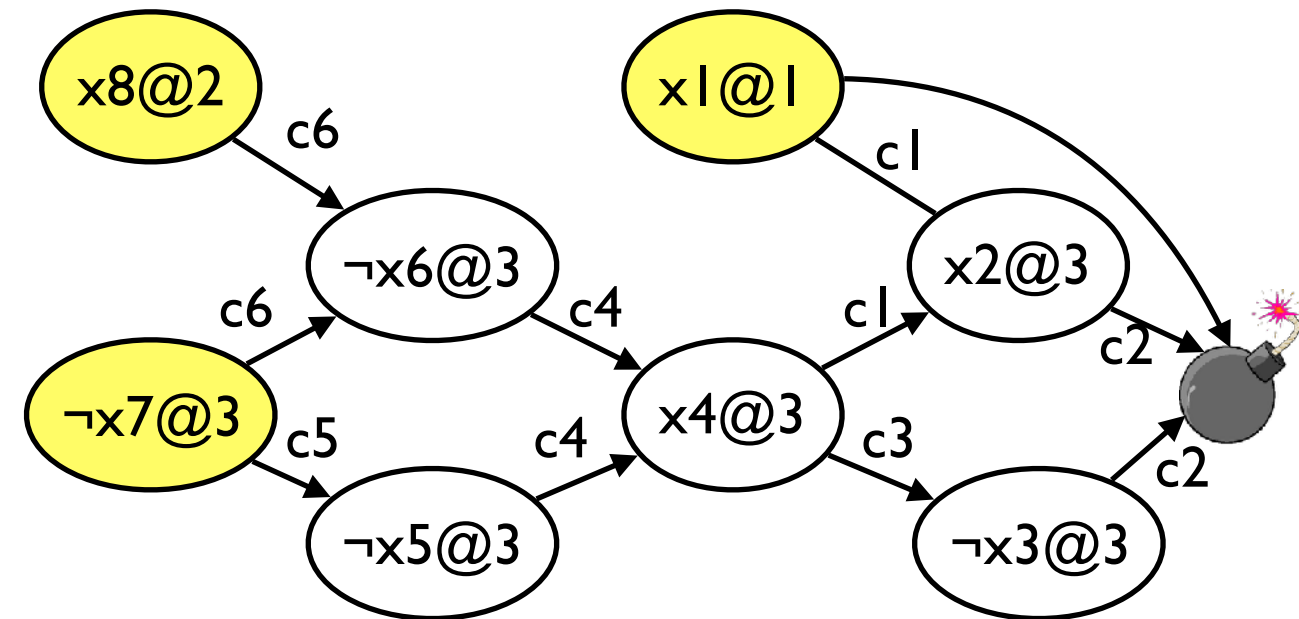
$$c_9: x_9 \vee x_{10} \vee x_3$$

Implication graph

An **implication graph** $G = (V, E)$ is a DAG that records the history of decisions and the resulting deductions derived with BCP.

- $v \in V$ is a literal (or κ) and the decision level at which it entered the current PA.
- $\langle v, w \rangle \in E$ iff $v \neq w$, $\neg v \in \text{antecedent}(w)$, and $\langle v, w \rangle$ is labeled with $\text{antecedent}(w)$

A unit clause c is the antecedent of its sole unassigned literal.

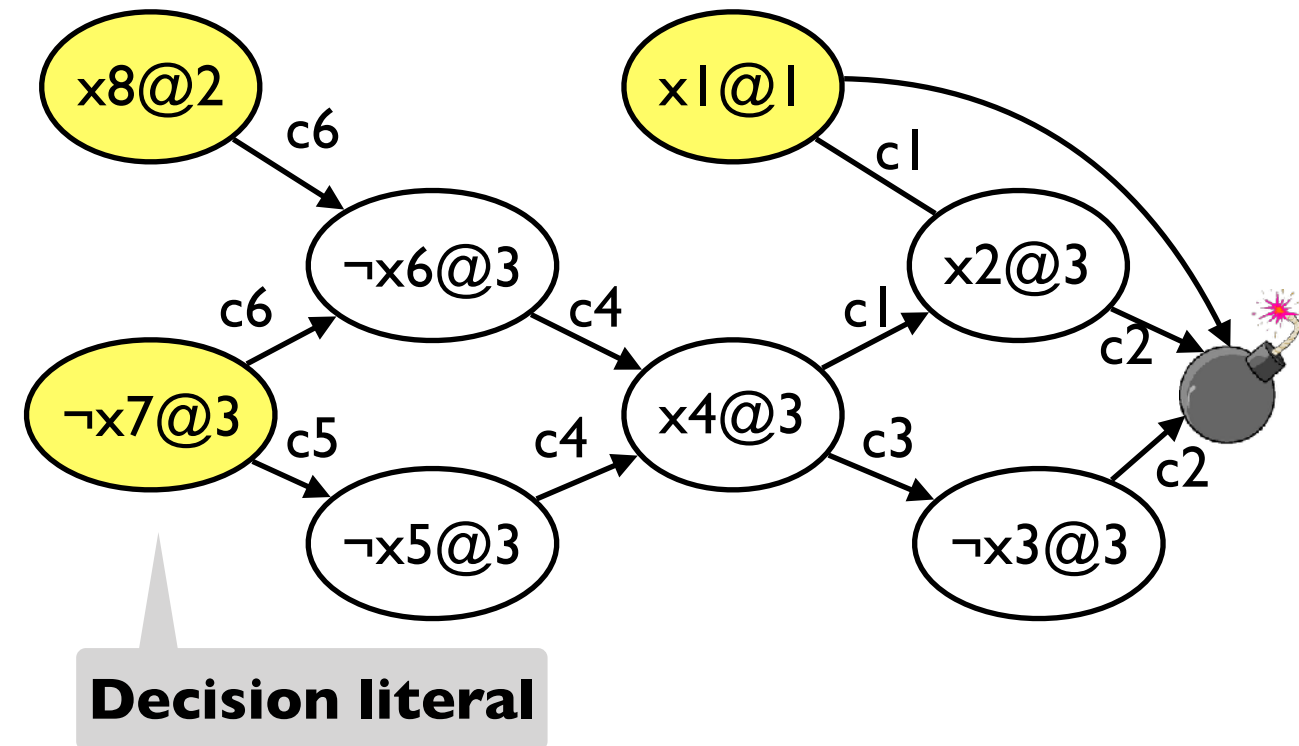


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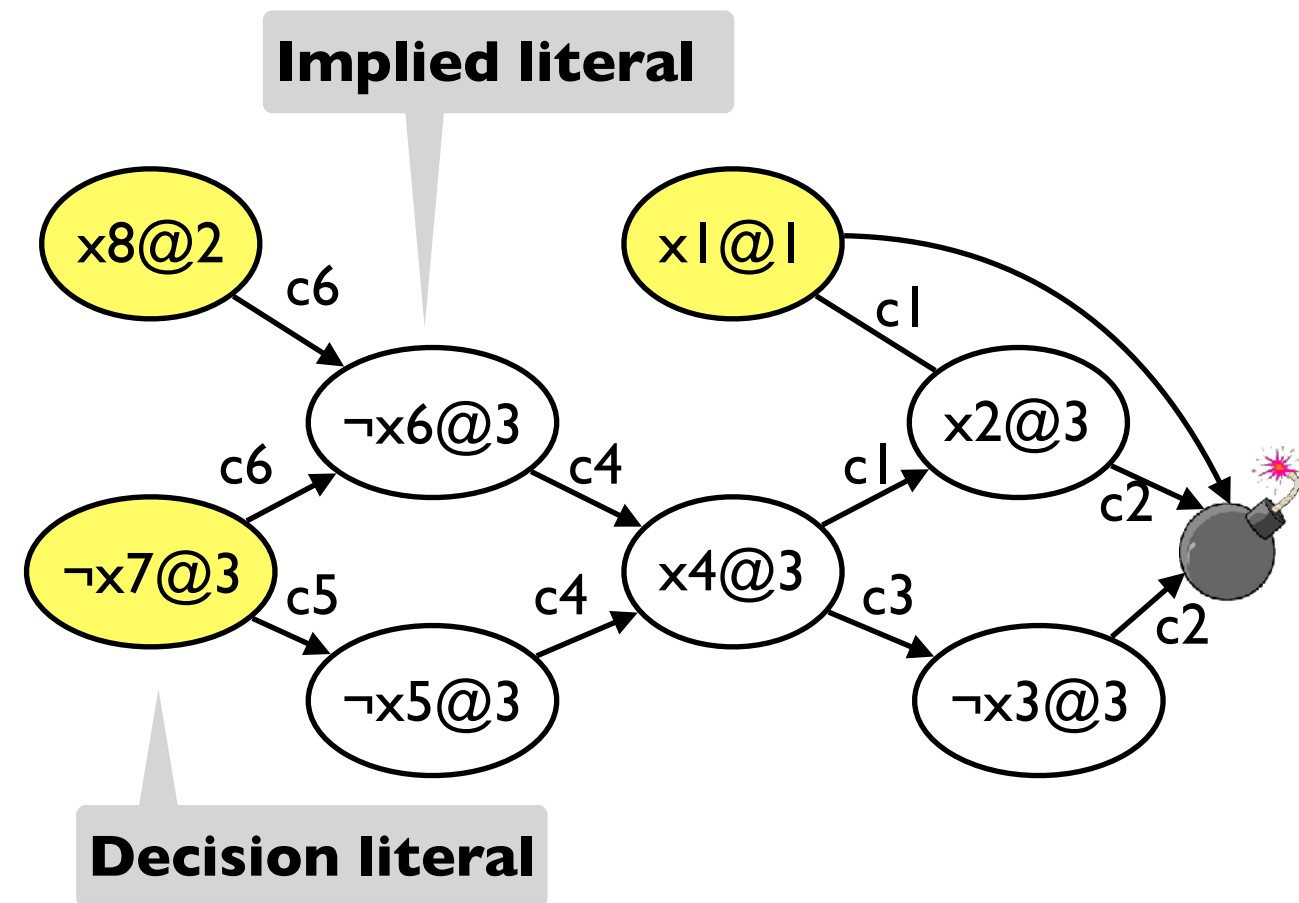


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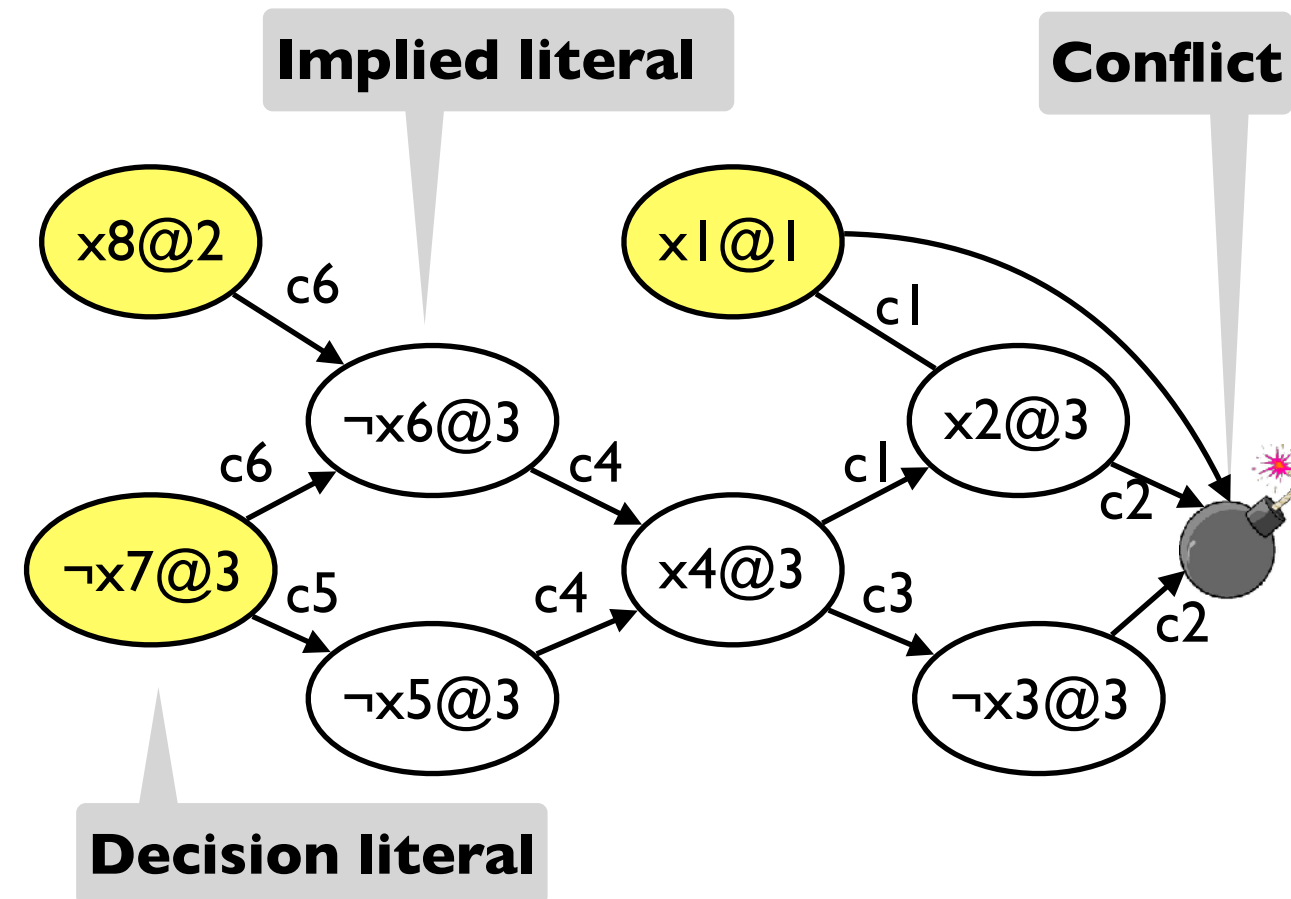


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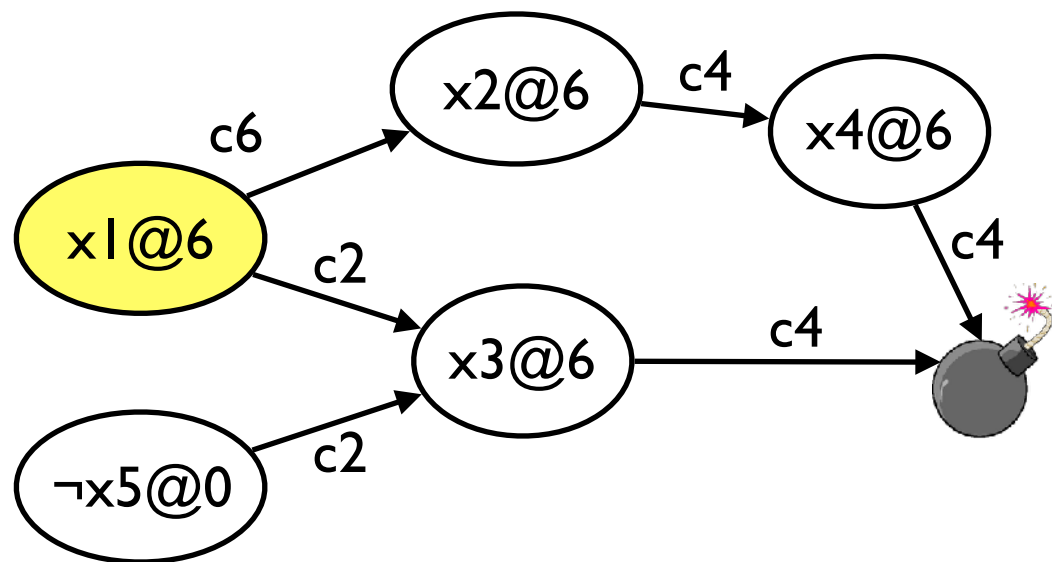
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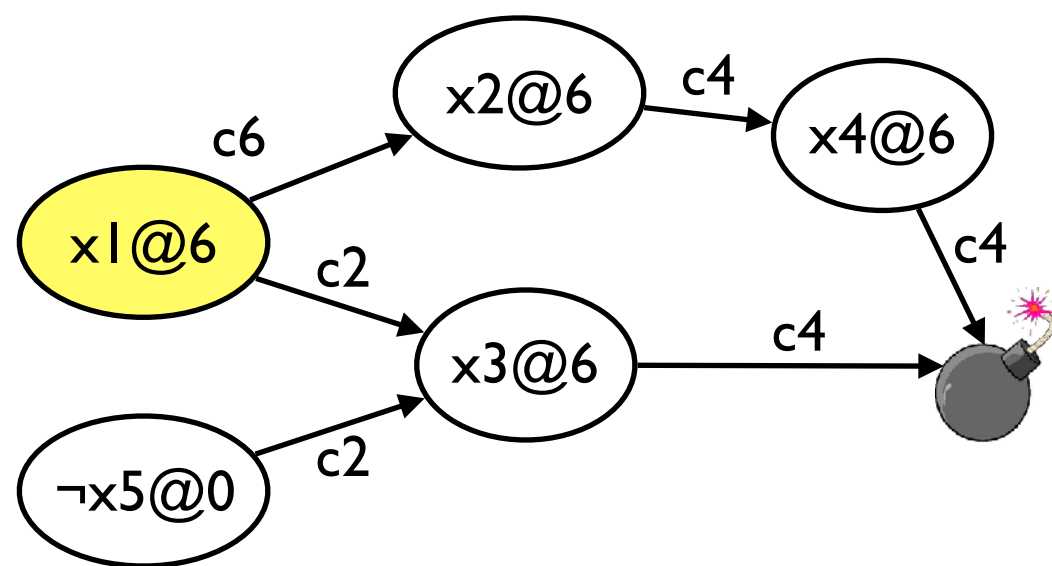
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Implication graph by example



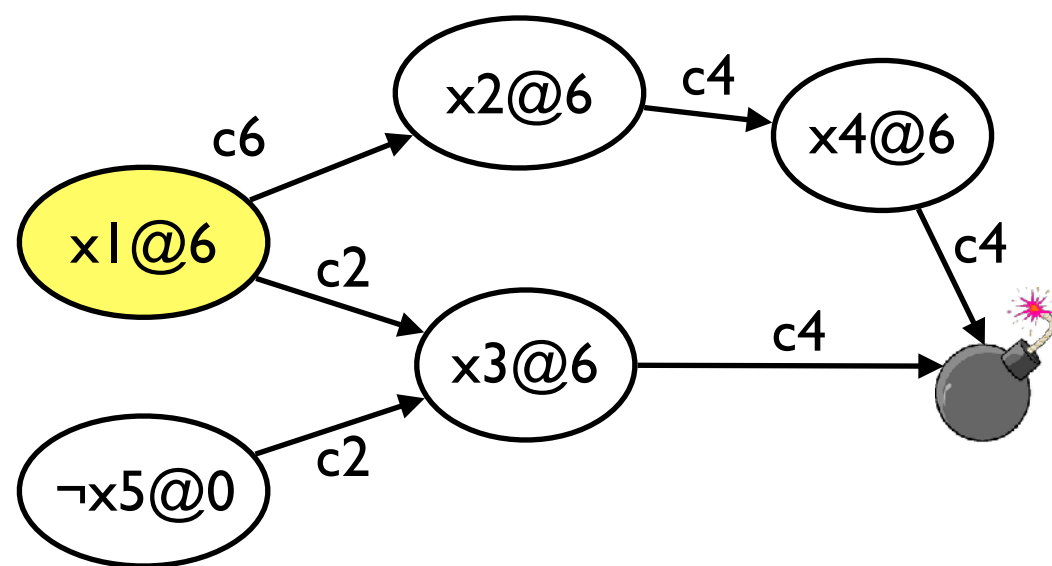
Implication graph by example



What clauses gave rise to this implication graph?

- $c_1 : \neg x_1 \vee x_2$
- $c_2 : \neg x_1 \vee x_3 \vee x_5$
- $c_3 : \neg x_2 \vee x_4$
- $c_4 : \neg x_3 \vee \neg x_4$
- $c_k : \neg x_5$

Implication graph by example



Implied by unary clauses

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Implication graph for conflict analysis

CDCL(F)

$A \leftarrow \{\}$

if $\text{BCP}(F,A) = \text{conflict}$ then return \perp

level $\leftarrow 0$

while hasUnassignedVars(F)

level $\leftarrow \text{level} + 1$

$A \leftarrow A \cup \{ \text{DECIDE}(F,A) \}$

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$\langle b, c \rangle \leftarrow \text{ANALYZECONFLICT}()$

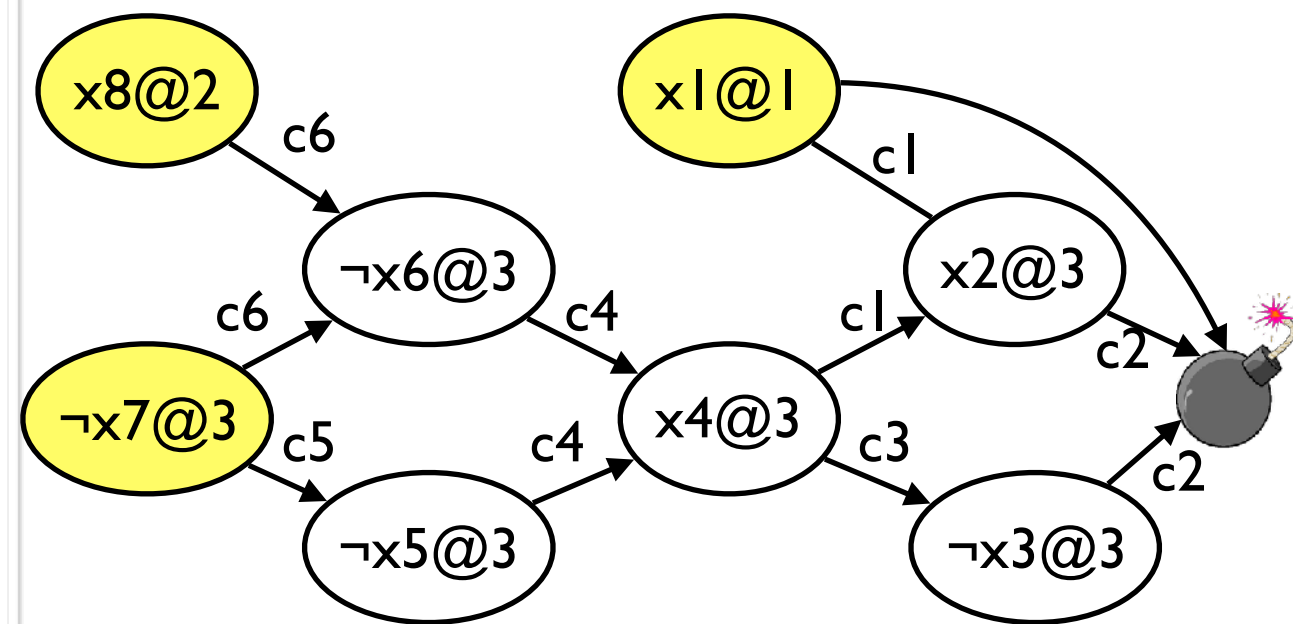
$F \leftarrow F \cup \{c\}$

if $b < 0$ **then return** \perp

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level $\leftarrow b$

return \top



A **conflict clause** is implied by F and it blocks partial assignments (PAs) that lead to the current conflict.

Every cut that separates sources from the sink defines a valid conflict clause .

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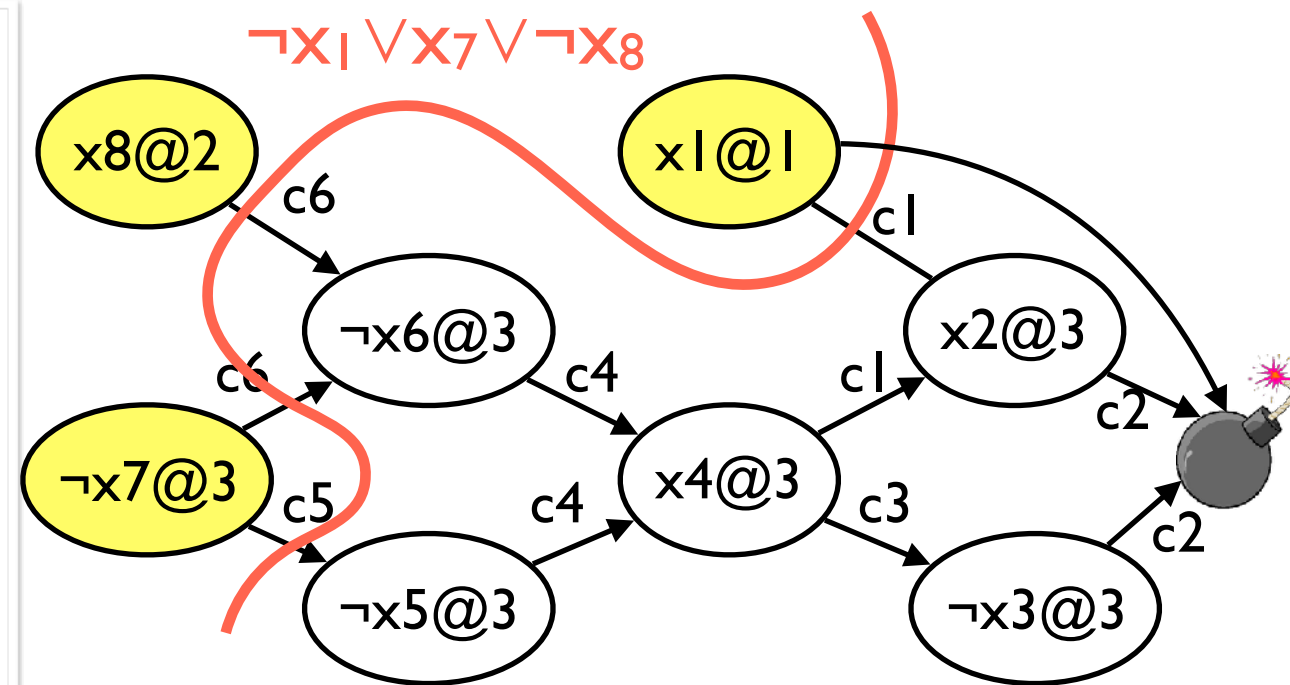
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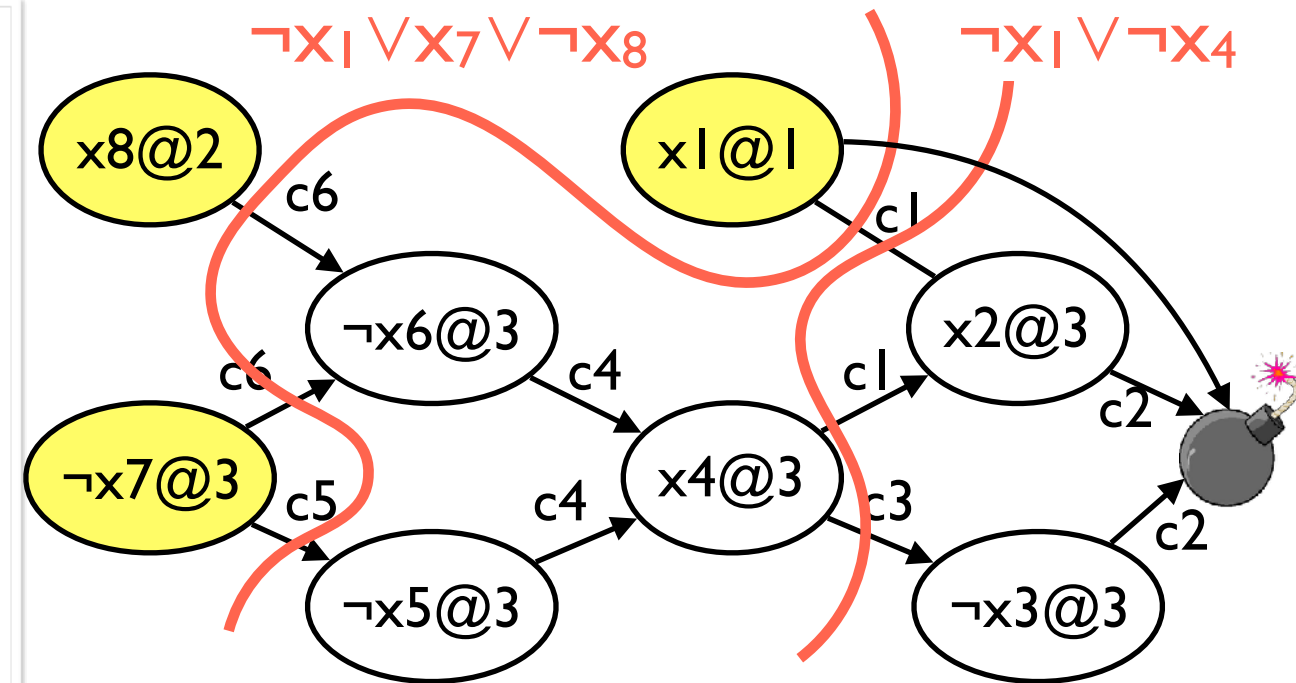
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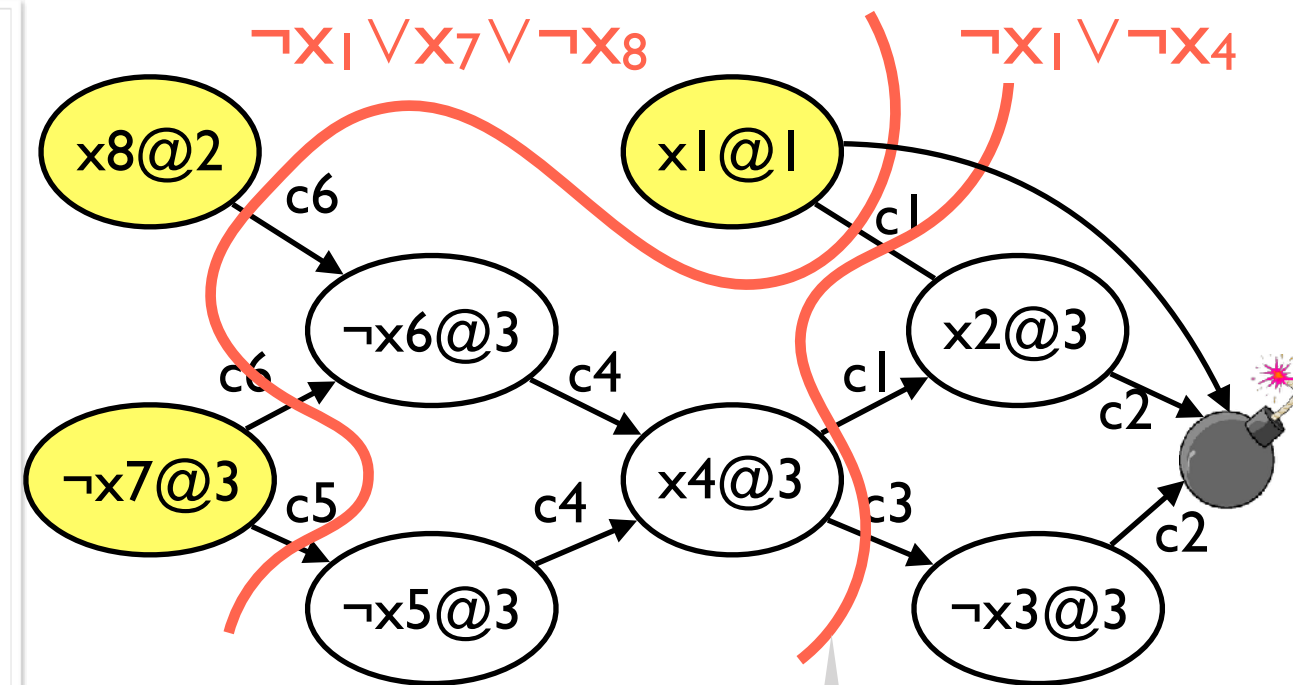
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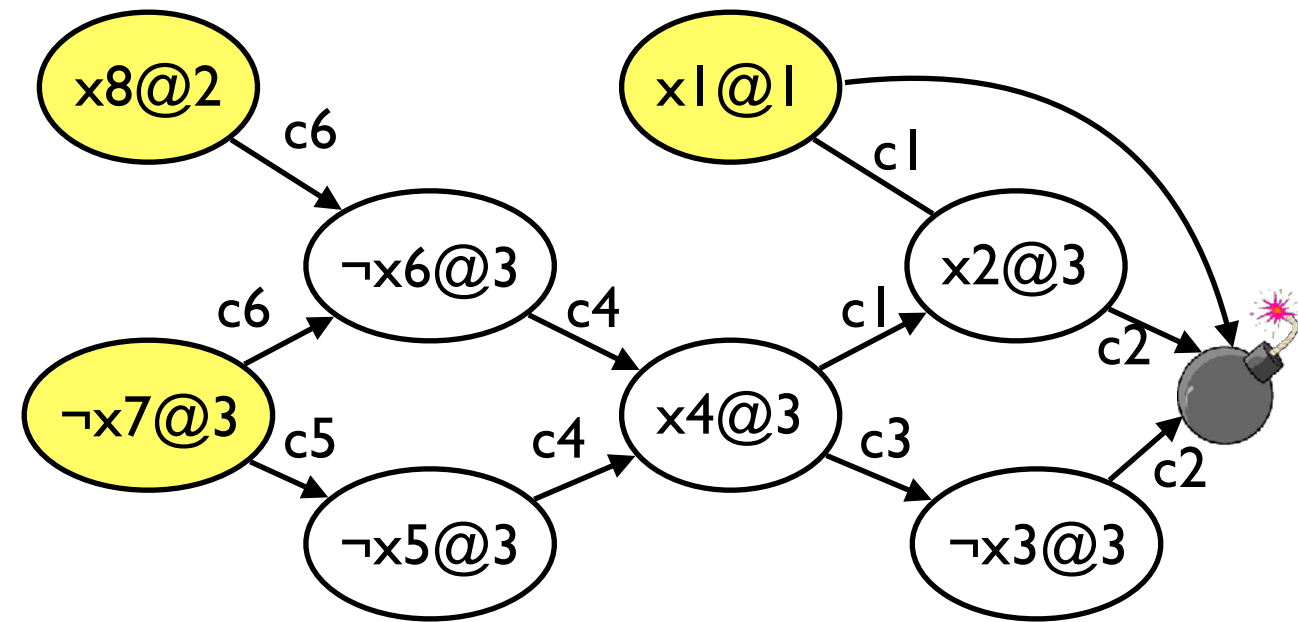
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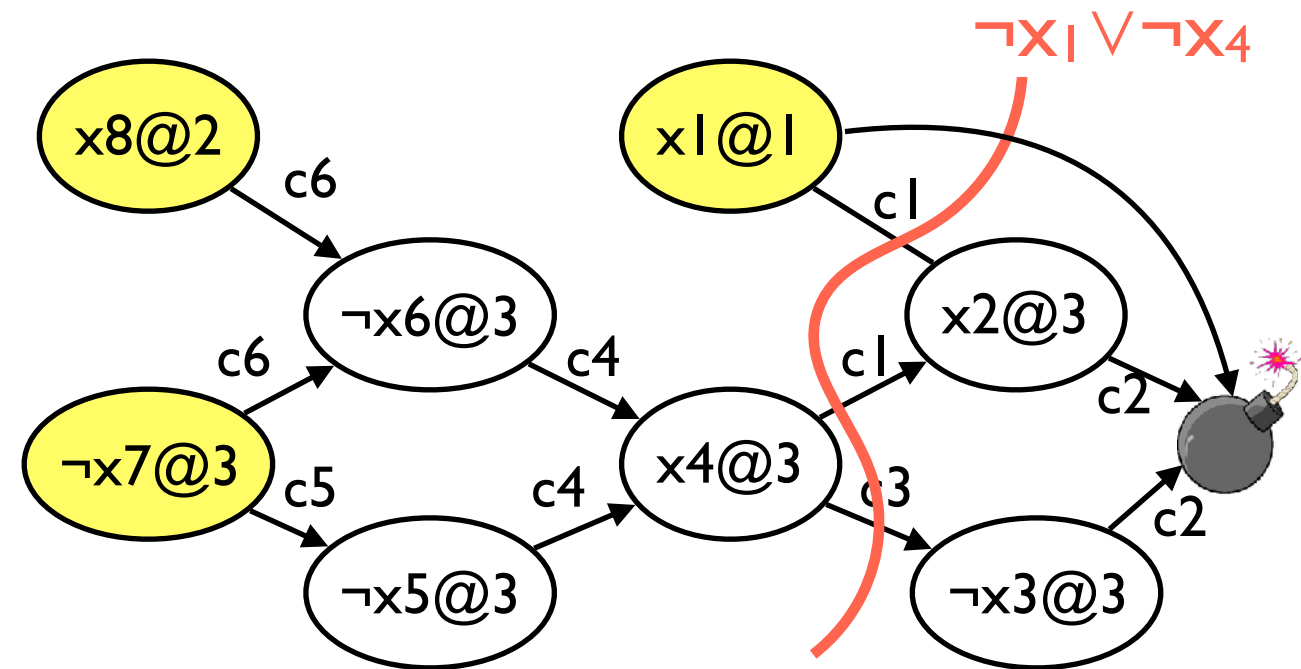
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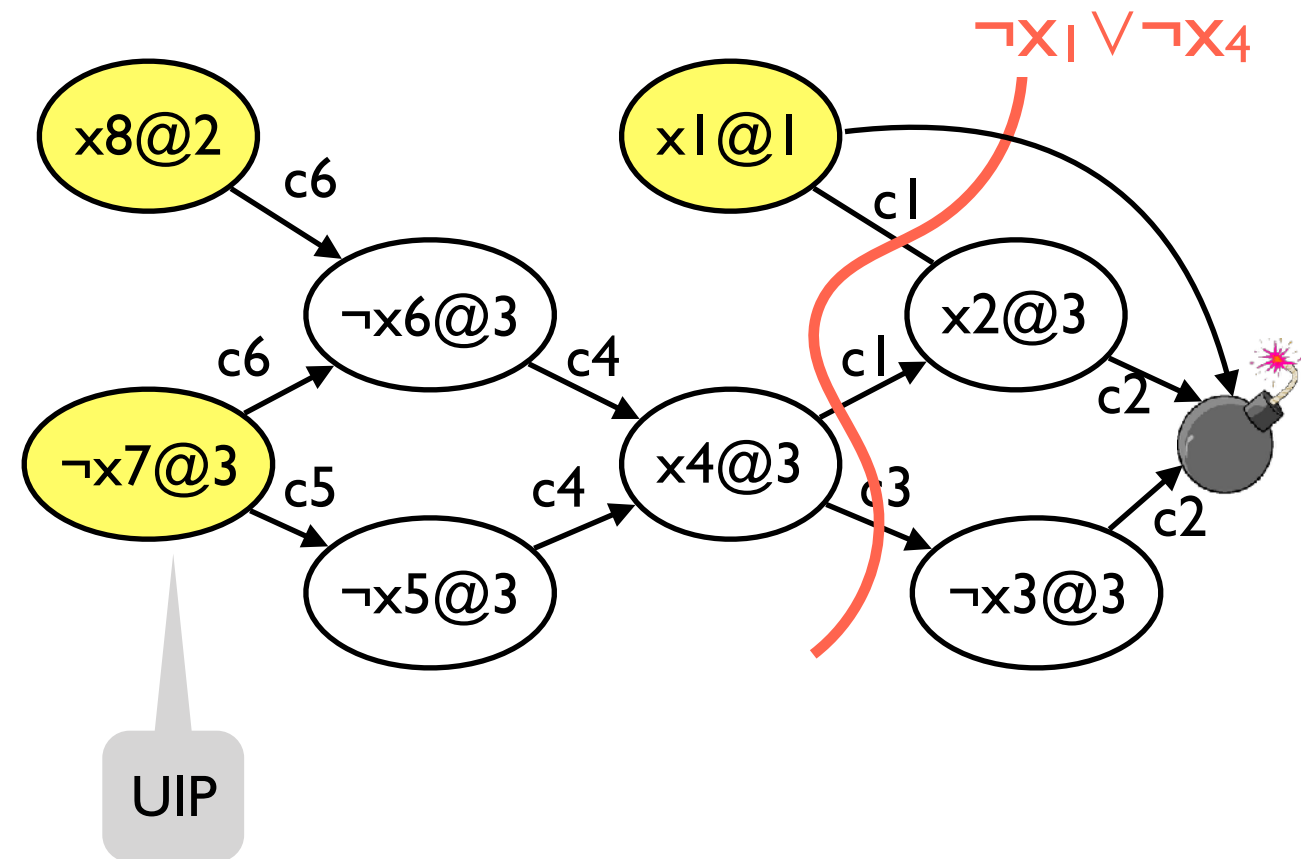
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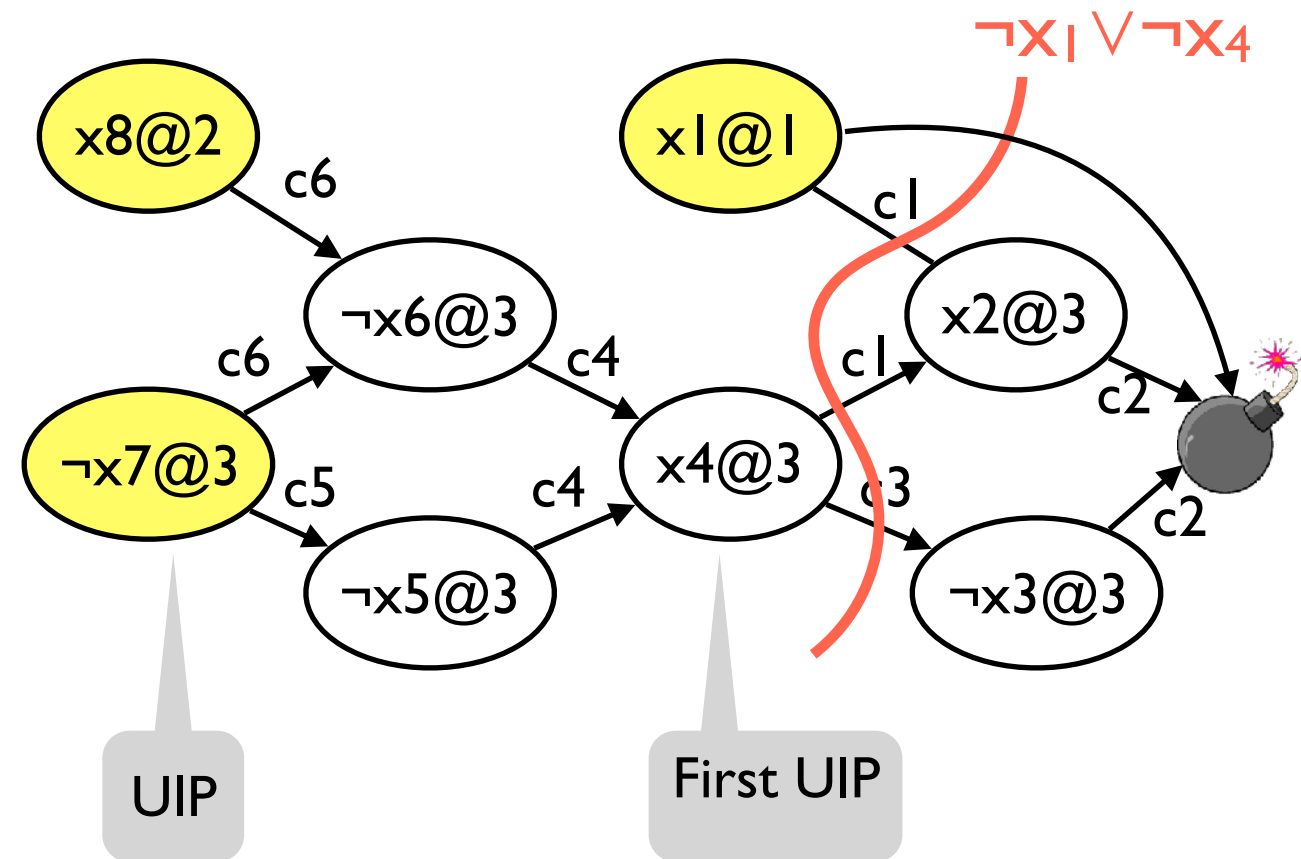
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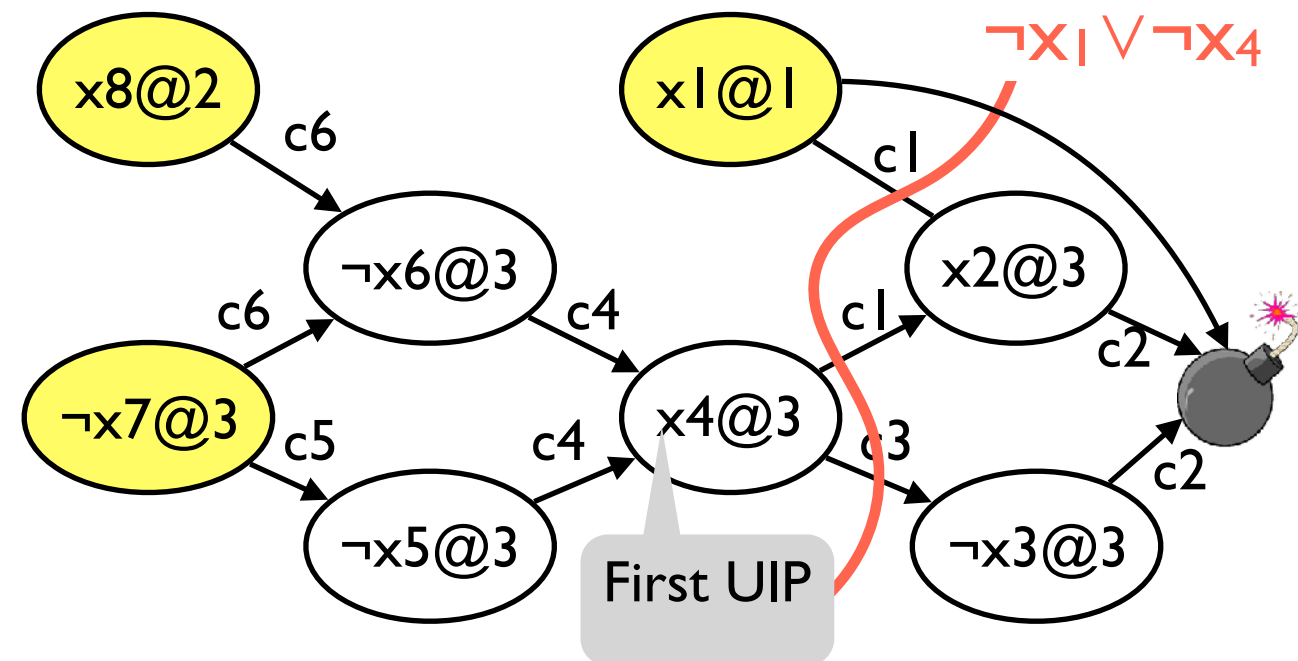
Conflict analysis via resolution

- Start with clause labeling incoming edge to conflict node, derive new clauses via resolution until we find literal in first UIP
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Unit resolution rule

$$a \mid \vee \dots \beta \quad b \mid \vee \dots \vee b_m \vee \neg \beta$$

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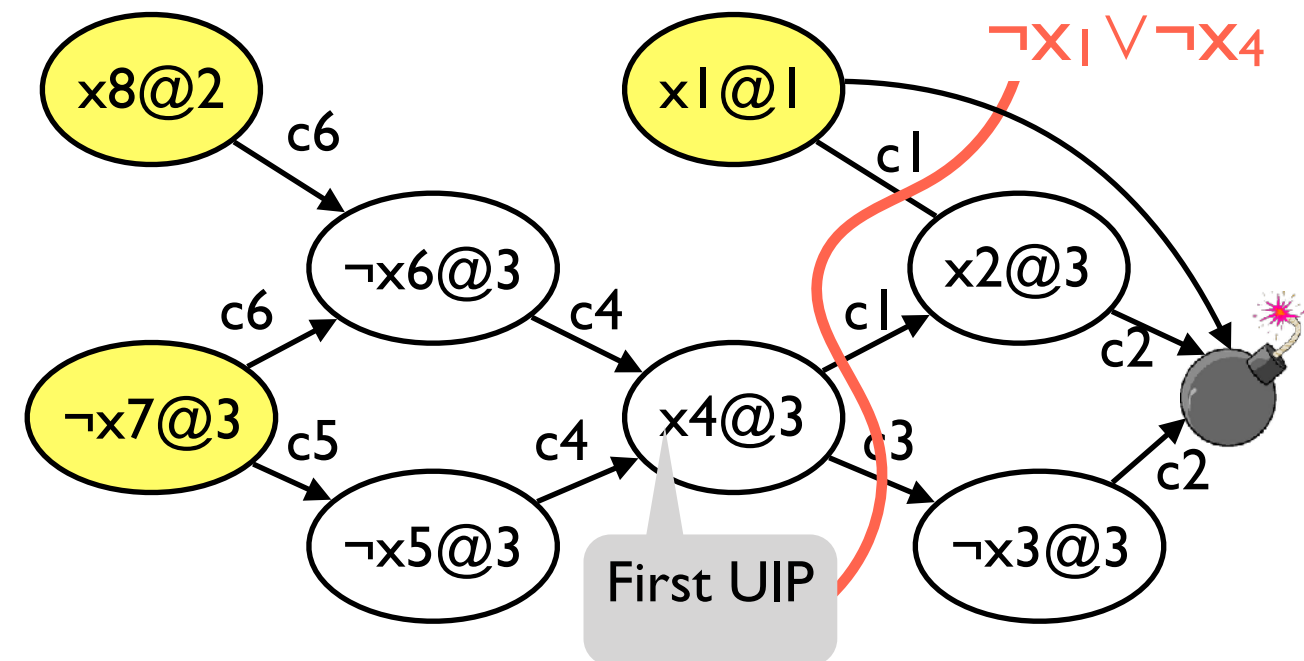
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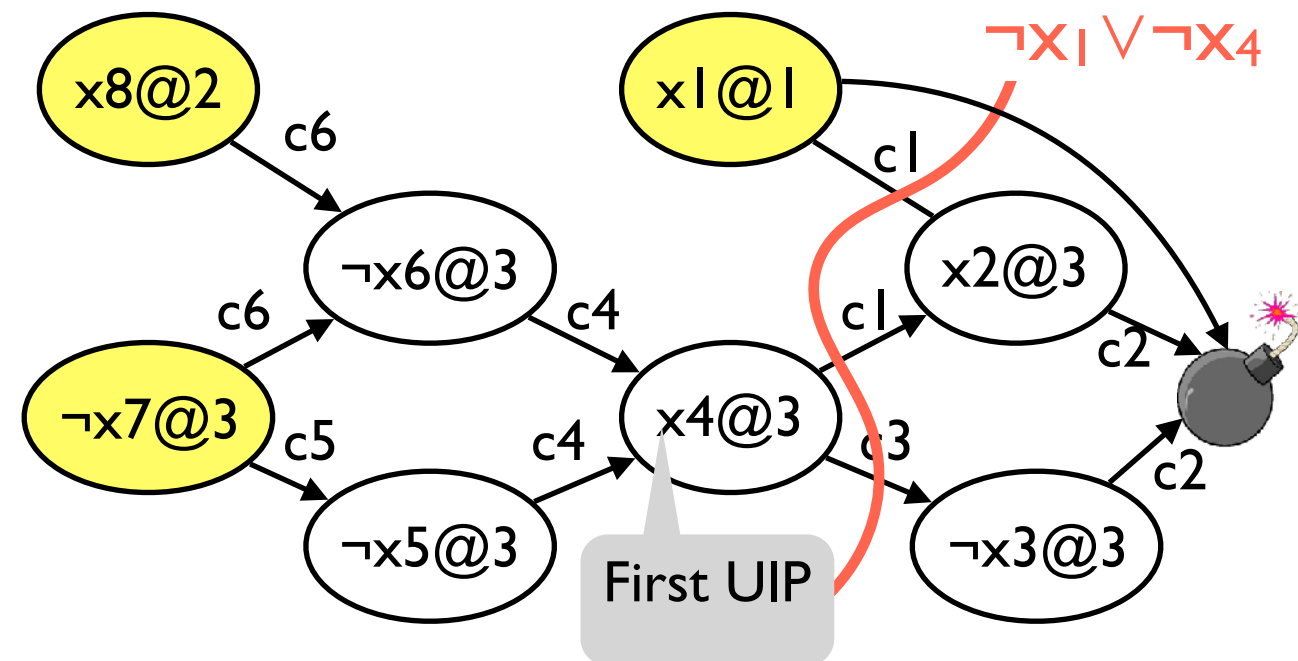
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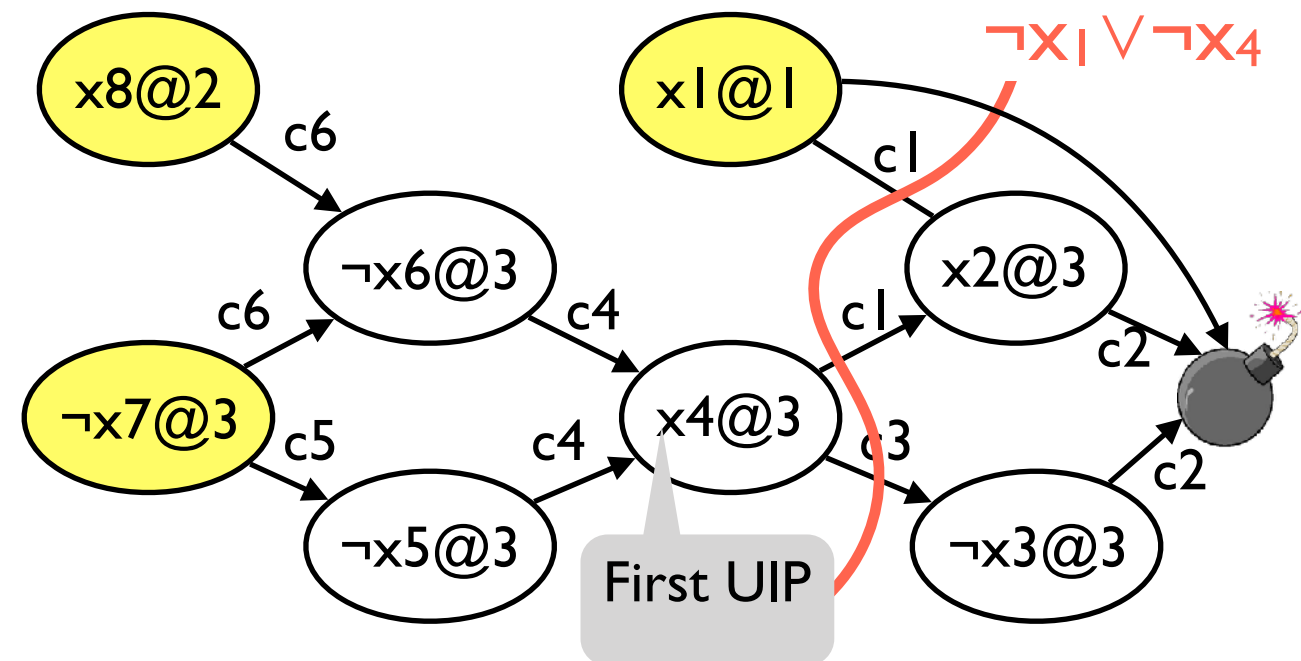
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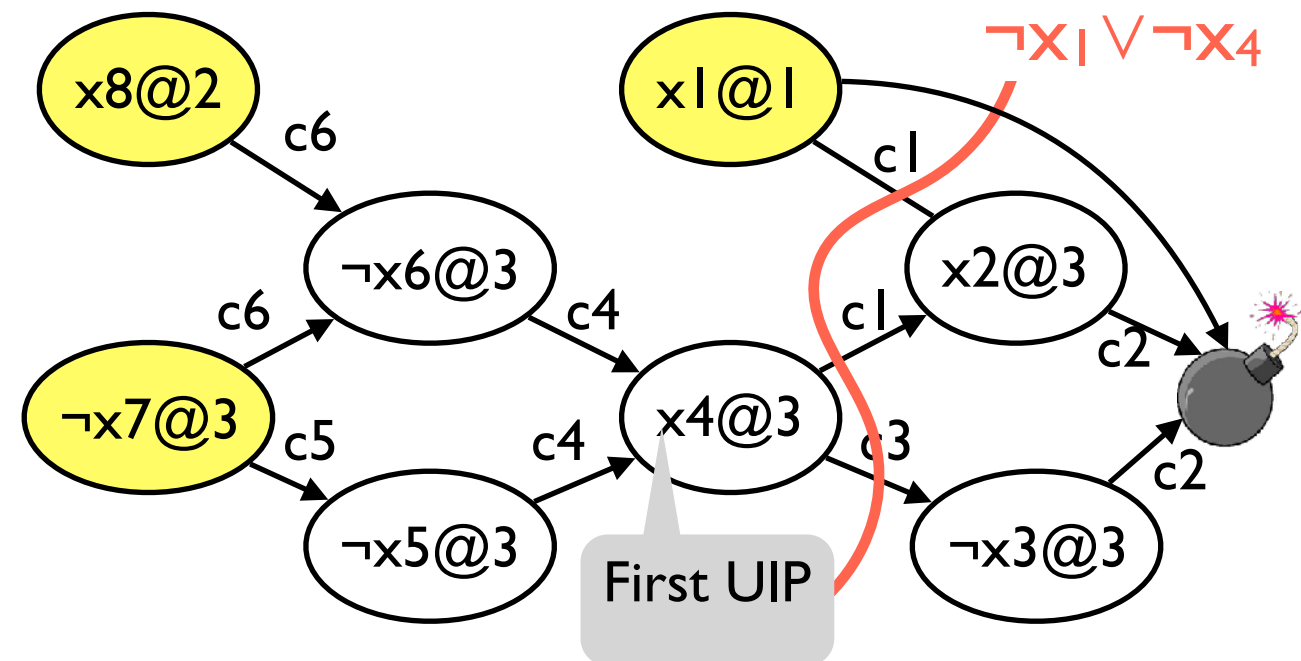
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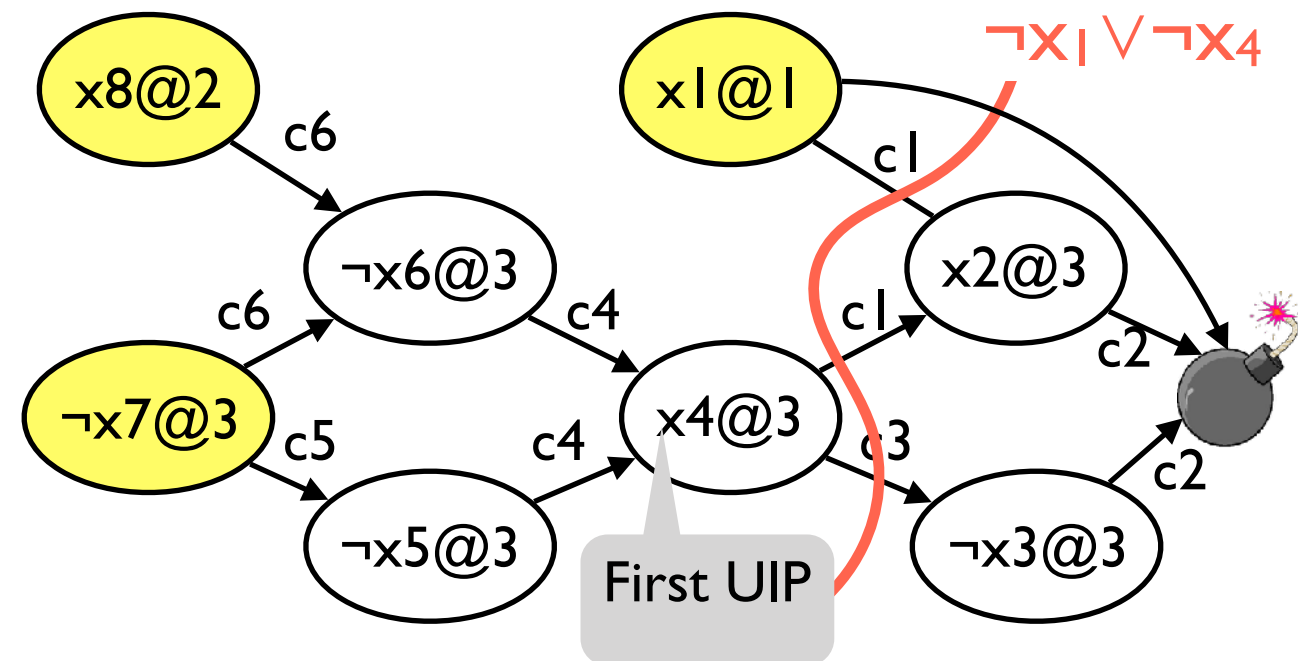
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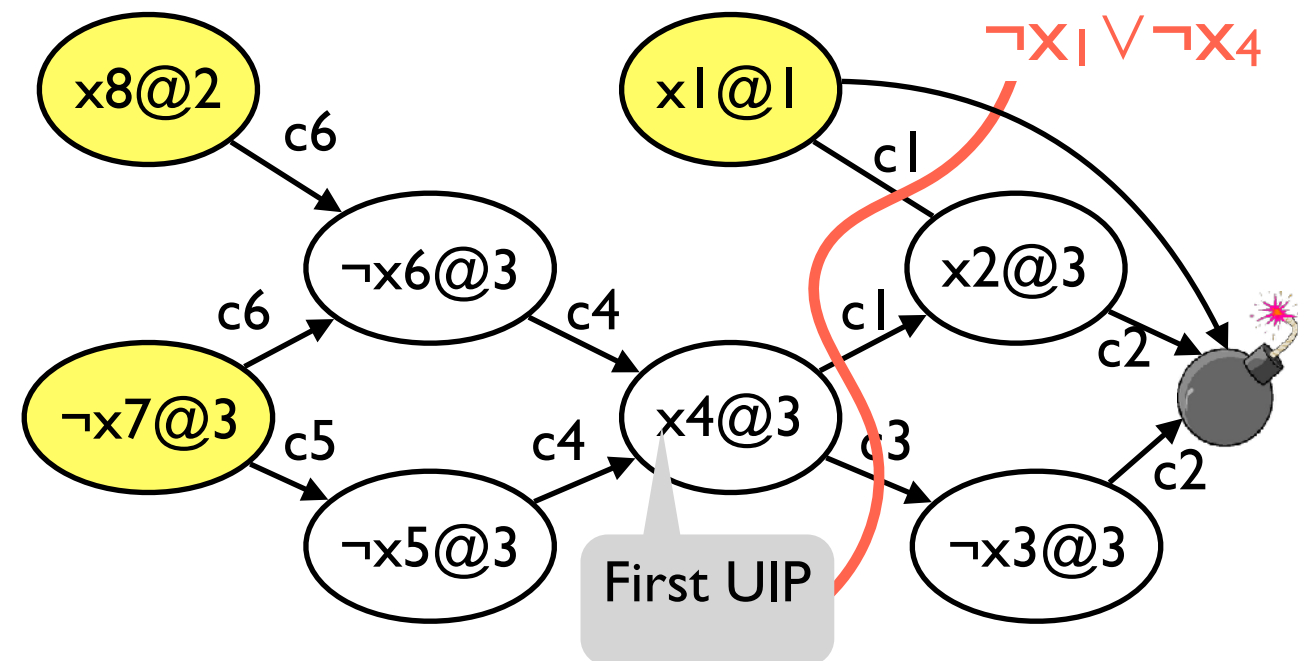
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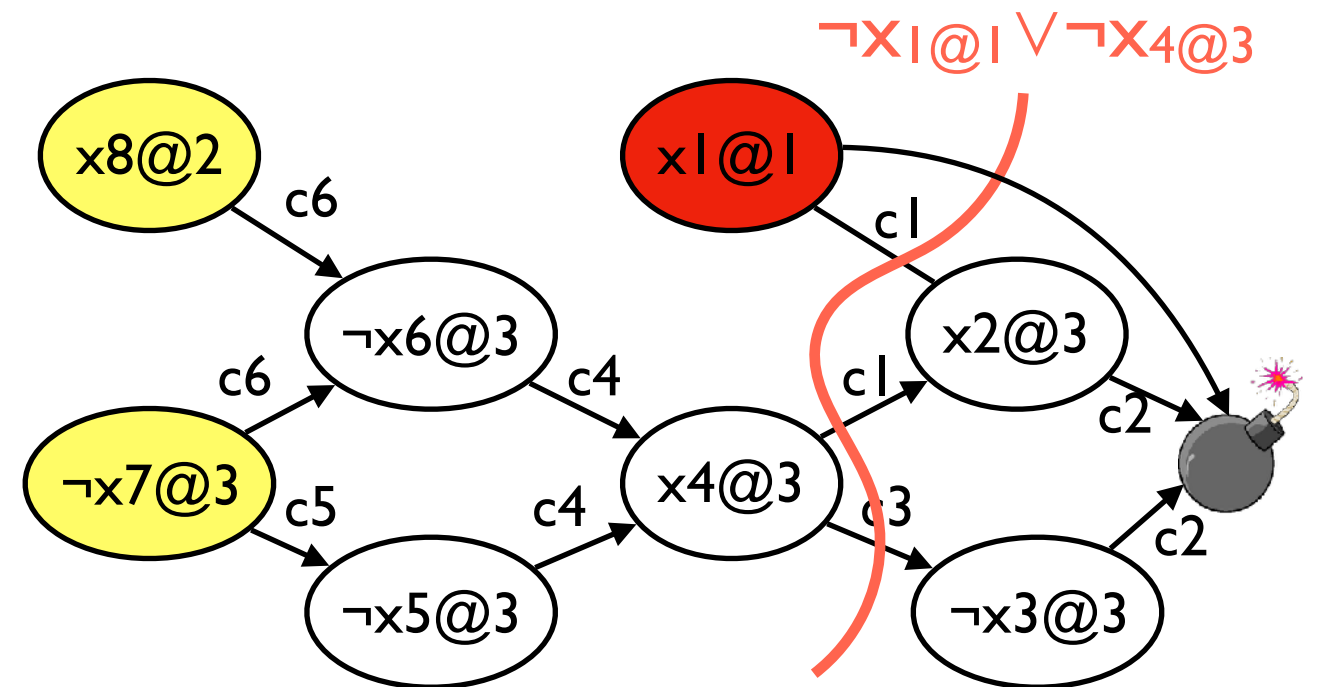
...

Conflict clause? **$\neg x_1 \vee \neg x_4$**

Conflict analysis: backtracking

Backtrack rule:

Second highest decision level
for any literal in c



Decision heuristics

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- Choose the literal that satisfies the most unresolved clauses
- expensive: complexity of making a decision proportional to the number of clauses

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Variable State Independent Decaying Sum (VSIDS):

- Count the number of *all* clauses in which a literal appears, and periodically divide all scores by a constant (e.g., 2)
- Variables involved in more recent conflicts get higher scores (zChaff)

BCP with watched literals (zChaff)

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- Based on the observation that a clause can't imply a new assignment if it has more than 2 unassigned literals left.
- So, pick two unassigned literals per clause to watch.
- If a watched literal is assigned, pick another unassigned literal to watch in its place.
- If there is only one unassigned literal, it is implied by BCP.

TODOs by next lecture

- Work on the 2nd reading assignment
- Submit the 1st homework
- Start working your proposal
- Discuss your final project during office hour!