

Rotation Matrix

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$$\mathbf{y} = \mathbf{P}\mathbf{x} \text{ for all } \mathbf{x}.$$

$$\|\mathbf{y}\| = \|\mathbf{x}\| \quad (1)$$

$$\|\mathbf{y}\|^2 = \|\mathbf{x}\|^2 \quad (2)$$

$$(\mathbf{P}\mathbf{x})^\top \mathbf{P}\mathbf{x} = \mathbf{x}^\top \mathbf{x} \quad (3)$$

$$\mathbf{x}^\top (\mathbf{P}^\top \mathbf{P} - \mathbf{I})\mathbf{x} = 0 \quad (4)$$

$$\text{Let } \mathbf{A} = \mathbf{P}^\top \mathbf{P} - \mathbf{I}$$

\mathbf{A} is symmetric because

$$(\mathbf{P}^\top \mathbf{P} - \mathbf{I})^\top = \mathbf{P}^\top \mathbf{P} - \mathbf{I}. \quad (5)$$

Using eigen-decomposition for symmetric \mathbf{A}

By the spectral theorem, any real symmetric matrix \mathbf{A} can be diagonalized by an orthogonal matrix \mathbf{Q} :

$$\mathbf{Q}^\top \mathbf{A} \mathbf{Q} = \mathbf{D}, \quad (6)$$

where \mathbf{D} is a diagonal matrix with the eigenvalues $\lambda_1, \lambda_2, \dots, \lambda_n$ of \mathbf{A} .

Express $\mathbf{x}^\top \mathbf{A} \mathbf{x}$ in terms of eigenvalues

For any vector \mathbf{x} , define $\mathbf{y} = \mathbf{Q}^\top \mathbf{x}$. Then,

$$\mathbf{x}^\top \mathbf{A} \mathbf{x} = \mathbf{x}^\top \mathbf{Q} \mathbf{D} \mathbf{Q}^\top \mathbf{x} \quad (7)$$

$$= \mathbf{y}^\top \mathbf{D} \mathbf{y} \quad (8)$$

$$= \sum_{i=1}^n \lambda_i y_i^2 \quad (9)$$

Given $\mathbf{x}^\top \mathbf{A} \mathbf{x} = 0$ for all \mathbf{x}

Since $\mathbf{x}^\top \mathbf{A} \mathbf{x} = 0$ for every \mathbf{x} , it follows that

$$\sum_{i=1}^n \lambda_i y_i^2 = 0 \quad \text{for all } \mathbf{y}. \quad (10)$$

Show all eigenvalues must be zero

This quadratic form is zero for all \mathbf{y} , meaning the sum of $\lambda_i y_i^2$ is always zero regardless of \mathbf{y} . Select vectors \mathbf{y} which are zero everywhere except in the i -th coordinate, say $\mathbf{y} = \mathbf{e}_i$ (the i -th standard basis vector):

$$\sum_{i=1}^n \lambda_i y_i^2 = \lambda_i \cdot 1^2 = \lambda_i = 0. \quad (11)$$

Since this holds for each i , we conclude

$$\lambda_i = 0 \quad \text{for } i = 1, 2, \dots, n. \quad (12)$$

Conclusion – \mathbf{A} is the zero matrix

Because all eigenvalues of the symmetric matrix \mathbf{A} are zero, it follows that

$$\mathbf{D} = \mathbf{0} \implies \mathbf{A} = \mathbf{QDQ}^\top = \mathbf{0}. \quad (13)$$

Therefore,

$$\mathbf{P}^\top \mathbf{P} - \mathbf{I} = \mathbf{0} \implies \mathbf{P}^\top \mathbf{P} = \mathbf{I}. \quad (14)$$

Therefore the rotation matrix is orthogonal

Deriving the Rotational matrix in 2D .

A rotation in \mathbb{R}^n can be generated by exponentiating a skew-symmetric matrix \mathbf{G} :

$$\mathbf{P}(\theta) = e^{\theta \mathbf{G}}, \quad (15)$$

where

$$\mathbf{G}^\top = -\mathbf{G}, \quad (16)$$

and θ is the rotation angle.

In 2D, the fundamental skew-symmetric matrix is

$$\mathbf{G} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}. \quad (17)$$

Note that \mathbf{G} corresponds to a 90° rotation in the plane.

Using the Taylor series expansion of the matrix exponential,

$$e^{\theta \mathbf{G}} = \mathbf{I} + \theta \mathbf{G} + \frac{\theta^2}{2!} \mathbf{G}^2 + \frac{\theta^3}{3!} \mathbf{G}^3 + \dots \quad (18)$$

Because

$$\mathbf{G}^2 = -\mathbf{I}, \quad (19)$$

the powers of \mathbf{G} cycle as

$$\mathbf{G}^0 = \mathbf{I}, \quad \mathbf{G}^1 = \mathbf{G}, \quad \mathbf{G}^2 = -\mathbf{I}, \quad \mathbf{G}^3 = -\mathbf{G}, \quad \mathbf{G}^4 = \mathbf{I}, \text{ and so on.} \quad (20)$$

This lets us rewrite the series as

$$e^{\theta \mathbf{G}} = \mathbf{I} \left(1 - \frac{\theta^2}{2!} + \frac{\theta^4}{4!} - \dots \right) + \mathbf{G} \left(\theta - \frac{\theta^3}{3!} + \frac{\theta^5}{5!} - \dots \right). \quad (21)$$

Recognizing the Taylor series for $\cos \theta$ and $\sin \theta$, we obtain

$$e^{\theta \mathbf{G}} = \mathbf{I} \cos \theta + \mathbf{G} \sin \theta. \quad (22)$$

Substituting back \mathbf{G} and \mathbf{I} ,

$$\mathbf{P}(\theta) = \cos \theta \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \sin \theta \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad (23)$$

$$= \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}. \quad (24)$$

Doing the same thing for 3D ,

Define the axis vector and skew-symmetric generator

Let $\mathbf{u} = \begin{pmatrix} u_x \\ u_y \\ u_z \end{pmatrix}$ be a unit vector (axis of rotation) such that

$$\|\mathbf{u}\| = 1. \quad (25)$$

Define the skew-symmetric matrix \mathbf{G} :

$$\mathbf{G} = \begin{pmatrix} 0 & -u_z & u_y \\ u_z & 0 & -u_x \\ -u_y & u_x & 0 \end{pmatrix}. \quad (26)$$

Rotation matrix via exponential

A rotation by angle θ about \mathbf{u} is given by

$$\mathbf{P}(\theta) = e^{\theta\mathbf{G}} = \sum_{n=0}^{\infty} \frac{(\theta\mathbf{G})^n}{n!}. \quad (27)$$

Powers of \mathbf{G}

It can be shown that

$$\mathbf{G}^2 = \mathbf{u}\mathbf{u}^\top - \mathbf{I}, \quad (28)$$

$$\mathbf{G}^3 = \mathbf{G}(\mathbf{u}\mathbf{u}^\top - \mathbf{I}) = -\mathbf{G}. \quad (29)$$

Series expansion

Expanding the exponential,

$$e^{\theta\mathbf{G}} = \mathbf{I} + \theta\mathbf{G} + \frac{\theta^2}{2!}\mathbf{G}^2 + \frac{\theta^3}{3!}\mathbf{G}^3 + \dots \quad (30)$$

Grouping even and odd powers

$$e^{\theta\mathbf{G}} = \mathbf{I} + \left(\theta - \frac{\theta^3}{3!} + \frac{\theta^5}{5!} - \dots \right) \mathbf{G} + \left(\frac{\theta^2}{2!} - \frac{\theta^4}{4!} + \dots \right) \mathbf{G}^2. \quad (31)$$

Recognizing the Taylor series:

$$\sin \theta = \theta - \frac{\theta^3}{3!} + \frac{\theta^5}{5!} - \dots, \quad (32)$$

$$1 - \cos \theta = \frac{\theta^2}{2!} - \frac{\theta^4}{4!} + \dots, \quad (33)$$

we obtain

$$e^{\theta\mathbf{G}} = \mathbf{I} + \sin \theta \mathbf{G} + (1 - \cos \theta) \mathbf{G}^2. \quad (34)$$

Substituting \mathbf{G}^2

Since $\mathbf{G}^2 = \mathbf{u}\mathbf{u}^\top - \mathbf{I}$,

$$\mathbf{P}(\theta) = \cos \theta \mathbf{I} + (1 - \cos \theta) \mathbf{u}\mathbf{u}^\top + \sin \theta \mathbf{G}. \quad (35)$$

Final Rotational Matrix

Thus, the rotation matrix about axis \mathbf{u} is

$$\mathbf{P}(\theta) = \begin{pmatrix} \cos \theta + u_x^2(1 - \cos \theta) & u_x u_y(1 - \cos \theta) - u_z \sin \theta & u_x u_z(1 - \cos \theta) + u_y \sin \theta \\ u_y u_x(1 - \cos \theta) + u_z \sin \theta & \cos \theta + u_y^2(1 - \cos \theta) & u_y u_z(1 - \cos \theta) - u_x \sin \theta \\ u_z u_x(1 - \cos \theta) - u_y \sin \theta & u_z u_y(1 - \cos \theta) + u_x \sin \theta & \cos \theta + u_z^2(1 - \cos \theta) \end{pmatrix}. \quad (36)$$