

5M  
Q. Basic func<sup>n</sup> of OS

5M or 10M  
Q. System calls, Types of System calls

10M  
Q. What is OS? Goals & functionalities of OS?

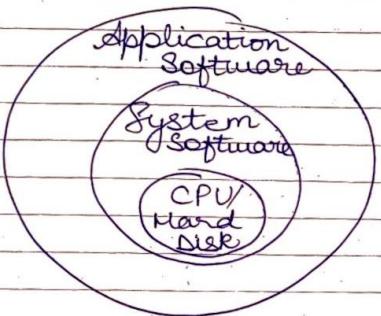
- Mod 1 - 20-25M
  - Draw diagrams given in the book.
  - If you can't complete the paper, adjust your time by decreasing the amt of explanation, & try to complete all questions.
- Mod 2 - 2.1) Processes
  - imp topic
  - Transition diagram
  - PCB diagram
  - Start by preparing the difference User level v/S Kernel level threads with explanation.
- Differentiation w.r.t respect to certain factors / points.
- You can write theory from the differentiation points & draw diagram if you can.
- Mod 5 - 5.2 - Disk Scheduling  
Revise one minute before exam the pointers.

- \* OS - System software

- \* Interaction of OS & hardware

Scene 1: Recognizing input, sending output

Scene 2: Interaction of the application & hardware



- \* Computer System can be divided into 4 components -

- Hardware

- OS

- App software & System <sup>programs</sup> ~~Software~~

- The users.

- \* OS controls & coordinates the use of hardware among the various users & the application programs.

- \* OS is a resource allocator.

- Computer system - has many resources

- Uses priority Queue.

- Resources = CPU time, memory space, file storage space, I/O devices, etc.

- OS acts as a manager of all these resources & allocates them to the specific programs & users as needed by their tasks.

- Proper allocation of resources.

- OS can also be viewed as a control program

- ~~It~~ controls various I/O devices of the users.

## \* main goals of OS

→ make computer sys convenient to use

→

## \* OS objectives (Stallings)

- 1) • Convenience
- 2) • Efficiency
- 3) • Ability to evolve

1) make computer more efficient

## \* OS functions

- 1) App
- 2) I/O Management
- 3) Device Drivers
- 4) Memory Management
- 5) CPU Management
- 6) Hardware

\* OS by the late 1950s → mostly  
1960s won't come.  
1970s ↑

## \* Early Evolutions Goals f Objectives -Same:

1945: ENIAC

19

## \* Terms

- Multicore Processor
  - integrated circuit to which 2 or more processors have been attached for enhanced performance, reduced power consumption & more efficient simultaneous processing of multiple tasks.

- it is a single computing component with 2 or more independent actual processing units (called cores) which read the instructions & execute them.

## \* OS Services. [Services f functionalities - same]

Range & extent of services provided by an OS depends on a no. of factors.

- The target env that the OS is intended to support.
- It is largely determined by user-visible functions of an OS.

- OS provides services to both users & programs
- Providing env to the programs for their execution
- Users can execute their programs in an efficient way

[To be Revisited]

## \* Types of OS [Write about only these]

→ Batch Operating System (user can't directly interact with OS)

→ Time-Sharing OS

- enables many people, located at various terminals, to use a particular computer system at the same time.

- adv

- 1) Quick response
- 2) Avoids duplication of software
- 3) Reduces CPU idle time

- disadv

- 1) Problem of reliability
- 2) Problem of data communication
- 3) System reliability, integrity

→ Distributed OS

- uses multiple central processors to serve multiple real-time processes & applications.

- adv

- 1) Resource sharing facility
- 2) Speedup Exchange.

→ Network OS

- runs on a server

- allowed shared file & printer access typically among LAN.

→ Real Time OS

Real time system  
Real time OS

data processing system

response time is very less as compared to online

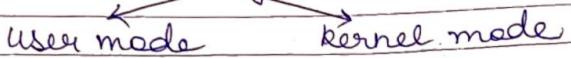
- 2 types

- hard real-time systems
- soft real-time systems

→ Parallel OS  
any OS working parallelly  
[Geeks for Geeks]

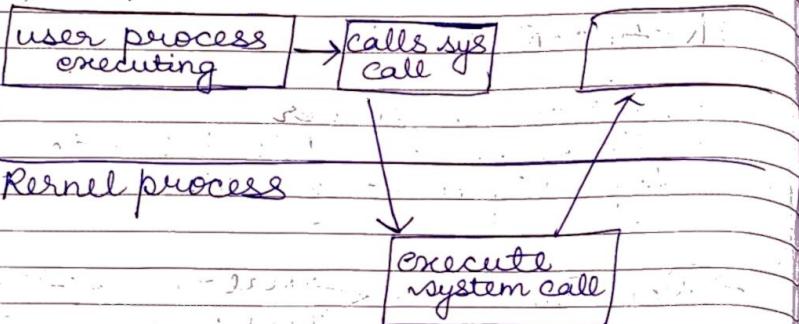
\* 10 M Ques - all types with adv & disadv

- ★ OS operations —
  - ★ → 2 modes of OS



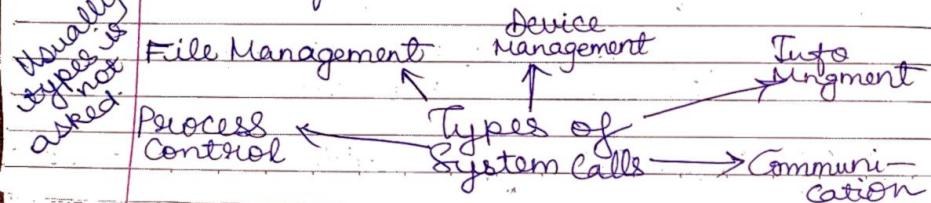
Dual mode off operation allows OS to protect itself & other system components.

## User process



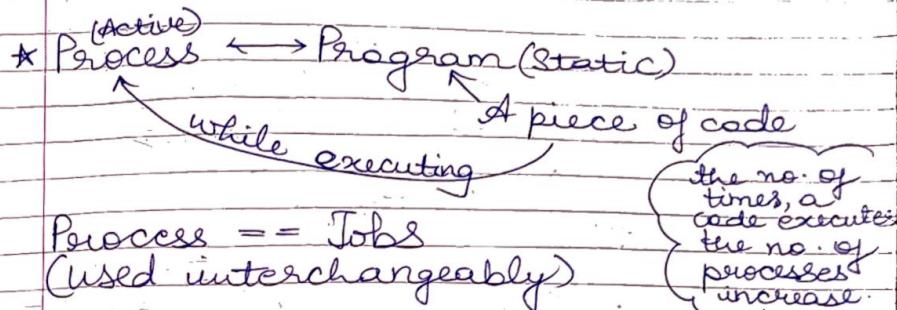
## ★ System Calls

- interface b/w user programs & OS
  - Varies from OS to OS



31/7/23

## Module 2



- \* A process includes
    - text section
    - stack → containing temporary data - Func. parameter, return addresses, local variables
    - data section
    - containing global variables
  - heap → containing memory dynamically allocated during run time.
  - Current activity including program counter,

~~SMQ~~ Explain process state & diagram Always draw diagram

~~★~~ As a process executes, it changes state

In Grabon Book given following states are given:

- new: process is being created
- running: instructions are being executed
- waiting: process is waiting for some event to occur
- ready: process waiting to be assigned to a processor
- terminated

~~Very Imp~~

## Process State diagram



~~Imp~~

## Process Control Block (PCB)

[Descriptive for the process]

Info associated with each process

- Process state
- Process counter
- CPU registers

[Diagram]

M	T	W	T	F	S	S
Page No:						
Date:						

M	T	W	T	F	S	S
Page No:						
Date:						

→ Process state: state may be new, ready, running, waiting, halted & so on.

→ Process Counter.

→ CPU-Scheduling information

→ Accounting Information

→ I/O Status Information

\* CPU Switch from Process to Process

[Diagram]

Maximize CPU use

Process Scheduler

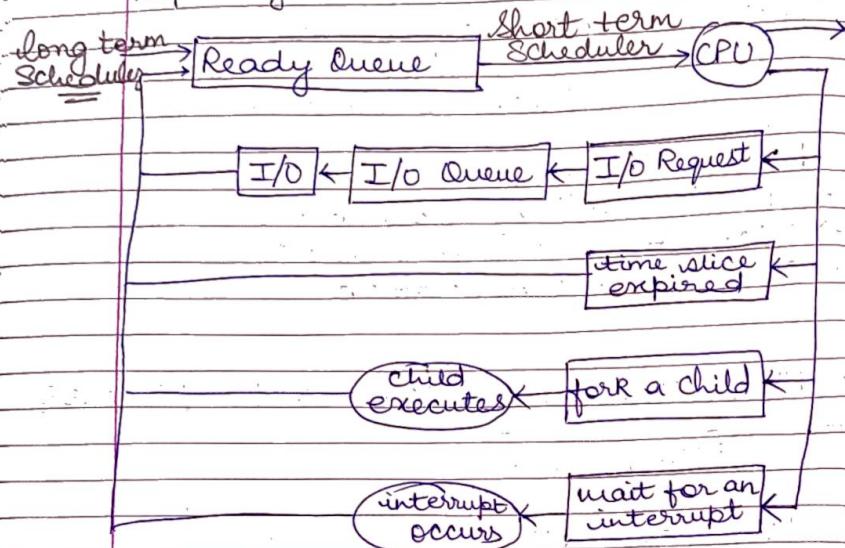
Scheduling queues

- Job queue (Set of all) {
- Ready queue (CPU) } Processes migrate
- Device queue (I/O) } among various ~~process~~ queues.

\* Explain Short term, medium, long term

[Reprn of Process Scheduling diagram]

## Repr<sup>n</sup> of Process Scheduling



fork - a process which generates  
unidentical child.

Q. Diff b/w Short term & long  
term scheduler.  
(Job scheduler)

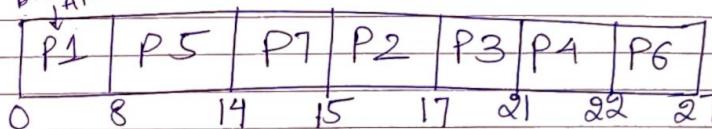
Short

Q. Context Switching  
- Write how a scheduler works

## \* Priority Scheduling

Process	Priority	AT	BT
P1	3	0	8
P2	4	1	2
P3	4	3	4
P4	5	4	1
P5	2	5	6
P6	6	6	5
P7	1	10	1

because  
 $AT = 0$



After 8,  
In ready queue, P2, P3, P4, P5, P6  
(according to AT)

highest priority

Now, ready queue;  $\rightarrow$  P2, P3, P4, P5, P7  
highest priority

Find CT, TAT, WT, RT

\* Primitive Scheduling - Execute processes in intervals

For the last ques.

Grant Order	P1	P5	P7	P5	P1	P2	P3	P4	P6
	5	10	11	12	15	17	21	22	27

P1 - 1      P1 - 2      ...      P1 - 5  
 P1, P2      P1, P2, P3      P1, P2, P3, P4, P5  
 Priority 3, 4      3      3 4 4 5 2

↑  
 P1 will continue  
 PS and execute

PS - 6      ...      PS - 10      - P7 - 11  
 P1, P2, P3, P4, P5, P6, P7      P1, P2, P3,  
 3 4 5 2 6 1      P4, P5, P6

	AT	BT	CT	TAT	WT	RT
P1	3	0	83	15	15	0
P2	4	1	2	17	16	14
P3	4	3	4	21	18	14
P4	5	4	1	22	18	17
P5	2	5	61	12	7	21
P6	6	6	5	27	21	15
P7	1	10	X	11	0	0

4 5 2 . C.A.D

A ② B ① quant approved for processes  
 q = 2

\* Round - Robin  
 (By default - primitive)

Processes	AT	BT	CT
1	0	5	12
2	1	4	11
3	2	2	6
4	4	1	7

Ready Queue [P1 | P2 | P3 | P1 | P1 | P2 | P1]

Chart [P1 | P2 | P3 | P4 | P1 | P2 | P1] X wrong  
 0 2 4 6 7 9 11 12

[P1 | P2 | P3 | P1 | P4 | P2 | P1]  
 0 2 4 6 8 9 11 12

Q. Processes AT BT

1	0	53
2	1	31
3	2	X
4	3	2
5	4	31

P1	P2	P3	P1	P1	P5	P2	P1	P8
----	----	----	----	----	----	----	----	----

P1	P2	P3	P1	P4	P5	P2	P1	P5
0	2	4	5	7	9	11	12	13 M

Q. Processes AT BT

1	0	42
2	1	53
3	2	X
4	3	X
5	4	64
6	6	31

Ready Queue P1, P2, P3, P1, P4, P5, P2, P6, P2, P6

Grant Chart

P1	P2	P3	P1	P4	P5	P2	P6
0	2	4	6	8	9	11	13 15

## ★ Process Creation

Parent processes create children processes, which in turn create other processes, forming a tree of processes.

Generally, process identified & managed via a process identifier (pid)

## Resource Sharing

- Parent & children share all processes
- Children share subset of parent's resources
- Parent & child share no resources

## Execution

- Parent & children

## Address Space

### UNIX examples

- fork system call creates new pro.
- exec

fork()

## ★ Process Termination

[Diff b/w threads & processes]

## ★ Threads & Processes

/  
defines a  
sequential  
execution  
stream  
within  
a process

defines the address  
space & general  
purpose attributes

A thread is bound to a single process  
Threads are the unit of Scheduling

- Q Diff b/w User-level thread & Kernel-level thread

- Q Thread models

## \* Thread Overview

Single-threaded process → heavy weight process

Multi-threaded process → light weight process

Threads share

- Global memory
- Process id & parent process id
- Controlling terminal
- Process credentials (User)
- Open file info
- Timers

Thread specific attributes

- Thread id
- Thread specific data
- CPU affinity

Benefits

- Responsiveness
- Resource Sharing
- Economy

## \* MultiCore Programming

concurrent execution on a single-core system

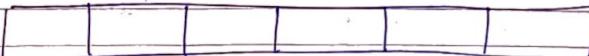
Single core

T <sub>1</sub>	T <sub>2</sub>	T <sub>3</sub>	T <sub>4</sub>	T <sub>1</sub>	T <sub>2</sub>	..
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time →

Parallel Execution on a Multicore System

Core 1

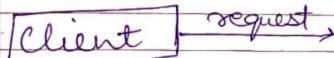


Core 2



Challenges

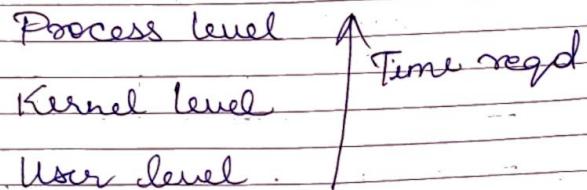
- Dividing activities
- Balance
- Data Splitting
- Data dependency
- Testing & Debugging is harder



## \* Multithreading Models

- User-level → User-threads  
supported above the Kernel & managed by the kernel support.
- Kernel-level → Kernel threads  
supported & managed by the OS

## \* Context Switching



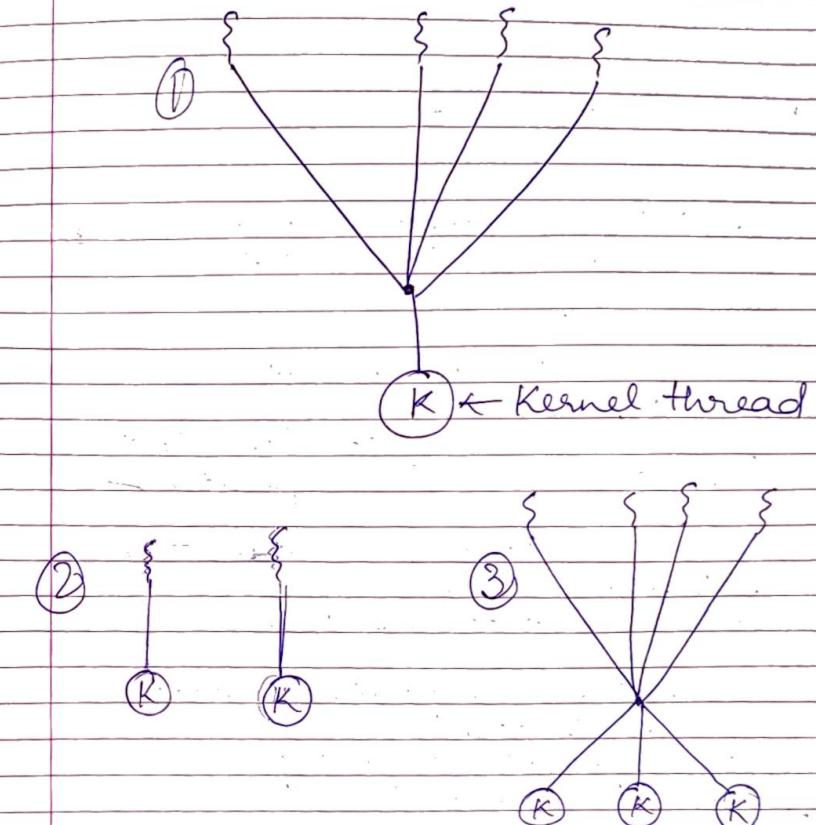
## \* User Threads

## \* Kernel Thread

all OS  
→ Windows  
→ Mac OS

## \* Multithreading Models

- user level to Kernel level
- ① → Many-to-One
  - ② → one-to-one
  - ③ → many-to Many



## \* POSIX Compilation on Linux

POSIX Thread Creation

" Thread ()

" Thread Termination

Thread Cancellation

## \* fork(), exec(), exit()

exec()

All threads, except the one calling exec(), vanish immediately

## \* Interprocess Communication

Process within a system

→ independent  
→ cooperating

Cooperating process can affect or be affected by other processes, including sharing data.

Reasons for cooperating process

→ info sharing

→ computation speedup

→ Modularity

→ Convenience

Cooperating processes need interprocess communication

Two models of IPC

→ Shared memory (b)

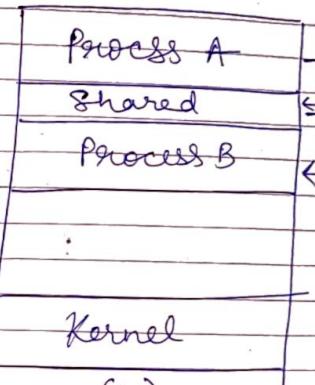
→ Message passing (a)

## \* Communication Models

M = Messages



(a)



(b)

## \* Producer-Consumer Problem

## \* Multiprocessor Systems

### Classifications

- 1) Loosely coupled or distributed multiprocessor, or cluster
- 2) Functionally specialized processors
- 3) Tightly coupled multiprocessor

### Synchronization Granularity of Processes

Grain size	Description	Sync interval Constructs
Fine		
Medium		

### \* Independent Parallelism

No explicit synchronization among processes.  
each represents a separate, independent app or job.

Typical use in a time sharing system.

### \* Coarse & Very-Coarse Grained Parallelism

Sync. among processes, but at a very gross level.

Good for concurrent processes.

### \* Medium-Grained Parallelism

Single app can be effectively implemented as a collection of threads within a single process.

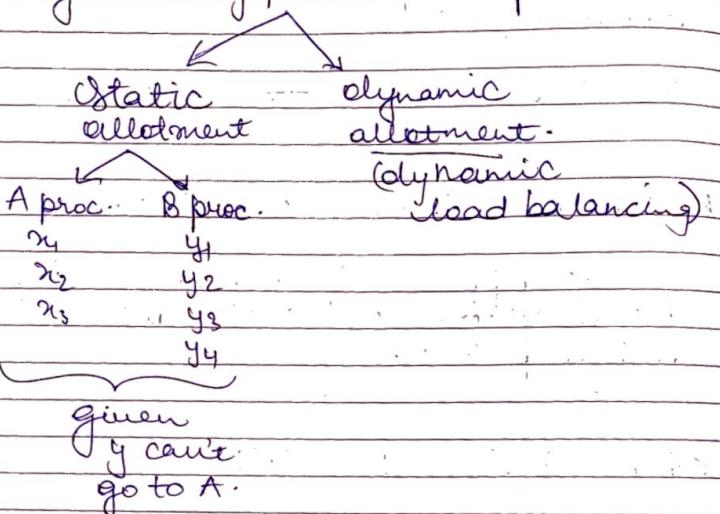
### \* Fine-Grained Parallelism

## \* Design Issues.

Approach - depends on degree of granularity of no. of processes available.

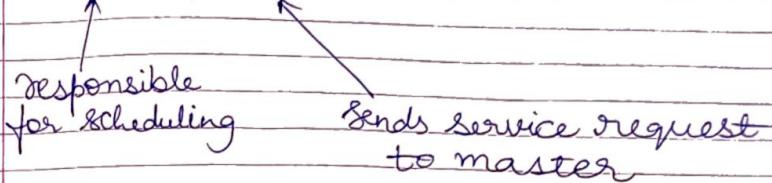
Imp point  
actual dispatching  
→ scheduling of a process

- use of multiprogramming on individual processor.
- assignment of processes to processor



→ Master/Slave  
→ Peer.

## → Master/Slave Architecture



## → Peer Architecture

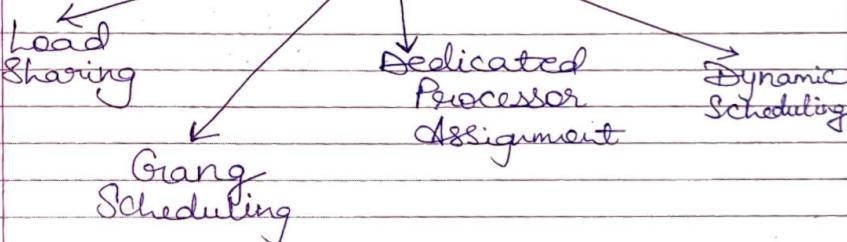
Each processor - self scheduling from pool of available processors.

Kernel can execute on any processor

## \* Process Scheduling.

## \* Thread Scheduling.

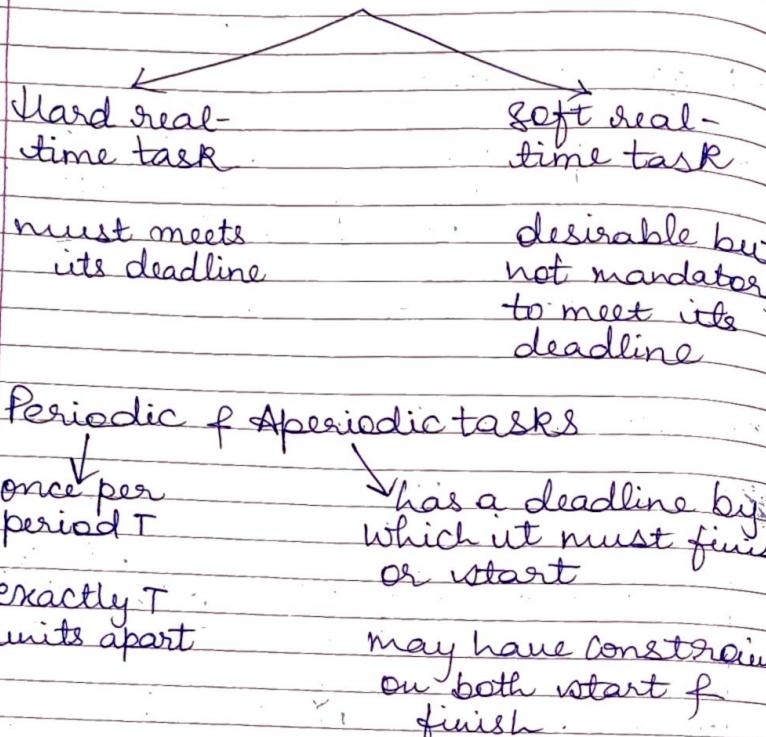
Approaches to Thread Scheduling for multiprocessor thread scheduling processor assignment.



# \* Real-Time Systems

Ex: Robotics

air traffic control  
telecommunication



\* Determinism → only can be provided to a certain extent.

Concerned with how long an OS delay before acknowledging an interrupt

Operations are performed at fixed, predetermined times or within predetermined time intervals.

## \* Responsiveness

Concerned with how long, after acknowledgement, it takes an OS to service the interrupt.

ISR : Interrupt Service Routine  
→ predetermined  
→ Ex: exceptions → a specific ISR executed.

## \* User Control

essential to allow the user fine-grained control over task priority

Allows user to specify -  
- paging or process swapping  
- what processes must always be in main memory

## \* Reliability

Real-time systems respond to control events in real time ~~so~~ no loss or degradation of performance

## \* Fail-Safe Operation

ability of a system to fail in such a way as to preserve as much capability of data as possible

imp aspect - Stability

Stable if it will meet the deadlines

## \* Scheduling of Real-time process

depends on 3 factors

→ whether a system performs schedulability analysis

## \* Classes of Real-time scheduling

- ① Static table-driven approach  
static analysis
- ② Static priority-driven preemptive approaches  
static analysis - priority decided
- ③ Dynamic planning based approaches  
run time par analysis no reha nai
- ④ Dynamic best effort approaches  
feasibility not checked  
jo aavaha-wah execute

## \* Deadline Scheduling

Real-time OS are designed with the objective of starting real-time tasks as rapidly as possible & emphasize rapid interrupt

Info used -

- Ready time
- Start deadline
- Completion deadline
- Processing time
- Resource requirement
- Priority
- Subtask Scheduler

Rate Monotonic Scheduling  
[Graph]

Not asked  
much

## \* Priority Inversion

occurs in many priority-based preemptive scheduling schemes

Occurs when system forces a higher priority task to wait for lower " "

Unbounded priority inversion.

## \* ~~Linear~~

\* Linear Scheduling [mostly not asked]  
upper user use  
dekhna]

I Classes -

- 1) FIFO
- 2)
- 3)

Book Mapping. [Follow Stallings  
might be easier]

1. I → Both galvin & stallings work

\* Focus on keywords  
use pointers  
try to stick to the book

Computer Hardware & Software Structure - Galvin

OS Services - Stallings

Key Interfaces -

- ① App Binary In.
- ② App Programming In.

## Mod 1<sup>1,3</sup>: Monolithic Operating System.

Any module can call any module w/o any reservation.

Kernel mode - has privileged access of privileged mode

User mode - only privileged mode

does not enforce data hiding.

Ex - MS-DOS  
- CP/M

## \* Layered Operating System.

Each layer is defined & communicates via its defined

layer one on top of the other.

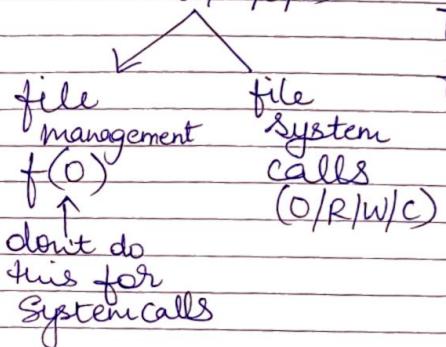
Each layer of code is given access to only the lower-level interfaces.

Ex: VAX/VMS  
UNIX

## Module 1

\* 1.1

Types of System Calls - 2 in detail  
 → Process & files  
 (R/W/O/C)



functions associated for only 2

1.2 → Stallin / notes  
(Adv & Disadv & Examples).

\* Draw diagrams for all \*

1.3 → What is Kernel, uses  
types of kernel  
what's is sharing Shell  
Types of sharing shell  
(Read up & up use)

→ 3 shell names.

not in syllabus  
or notes  
or can be  
asked.

\* ~~Process~~ Scheduling of Real time Scheduling numericals.

M T W T F J  
Page No:  
Date: YOUVA

→ Client-Server or Microkernel Operating System.

load on Kernel is distributed

Expand the scope of main memory.

\* Characteristics of OS

Ex - C-DAC.

Modularity is less complex if expansion is easy because the core functionalities of an OS are considered as a subsystem on the user side.

\* Visualization / Virtual Machines

↑  
use of software to allow a piece of hardware to run multiple OS images at the same time.

→ installing multiple OS on top of an existing one.

Guest OS | Guest OS | Guest OS  
Host OS  
Hardware

## \* Virtual Machines

takes layered approach  
to its logical conclusion

- Hardware → Simulated in software
- all resources → virtualized
- Individual OS runs on virtualized resources

OS creates the illusion of multiple processes

System Models  
& all this → Galvin

most is the underlying  
~~Hardware is the~~

VMM → Virtual Machine Manager (hypervisor)

## Implementations of VMMs

Type 0 - firmware

Type 1 - os-like Software

Type 2

## Benefits & Features of VMMs

- Cloud computing

Mod 1.4 : Model UNIX System

2nd unit → Pg - 110 / 111

First, see what is UNIX System.

InterProcess Communication.

COF → Common Object File

Draw Diagram, Meaning of the Common Functions (the subpoints)  
& Examples for Modern UNIX System.

## Schedules (do difference)

1) long-term schedule (Job schedule)

2) short-term schedule (CPU Schedules)

Process

I/O bound process

CPU bound process

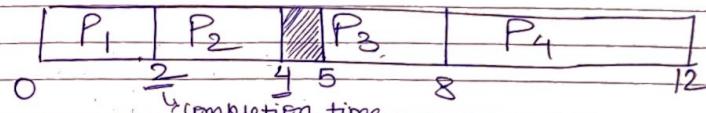
\* Addition of medium term scheduling difference

Long-term      Short-term      Medium-term

PN (Process number)	Arrival time	Burst time	Completion time			TAT	WT	RT
			2	4	8			
1	0	2				2	0	0
2	1	2				3	1	1
3	5	3				8		
4	6	4				12		

Show all calculations in exam

Gantt Chart



for PN = 1,  
 $TAT = \text{completion time} - \text{arrival time}$   
 $= 2 - 0 = 2$

$WT = TAT - \text{burst time}$   
 $= 2 - 2 = 0$

$RT = 0 - 0 = 0$

for PN = 2,  
 $TAT = \text{Comp.} - \text{Arrival}$   
 $= 4 - 1 = 3$

$WT = TAT - \text{burst}$   
 $= 3 - 2 = 1$

$RT = [ ] - \text{Arrival}$   
 $= 2 - 1 = 1$

for PN = 3,  
 $TAT = \text{Comp.} - \text{Arr.} = 8 - 5 = 3$   
 $WT = TAT - \text{burst} = 3 - 3 = 0$   
 $RT = 3$

## \* Rate Monotonic Scheduling Algo

Real Time OS

before applying

Check given processes can be scheduled or not

capacity/execution time

$$\sum_{i=1}^n \frac{C_i}{T_i} \leq U = n(2^{vn}-1)$$

also known as

Processes	Execution time (C)	Time Period (T)
P <sub>1</sub>	3	20
P <sub>2</sub>	2	5
P <sub>3</sub>	2	10

$$n(2^{vn}-1) = 3(2^{v3}-1) = 0.7977$$

$$\text{Value of } U = \frac{3}{20} + \frac{2}{5} + \frac{2}{10} = 0.75$$

feasibility check

$$n(2^{vn}-1) > U$$

∴ Real time OS scheduling can be done

Scheduling time =  
LCM (of Time Period)

$$\text{LCM}(20, 10, 5) = 20 \text{ time units}$$

Rate Monotonic

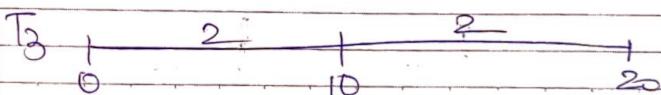
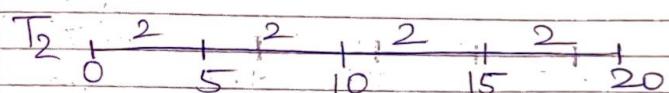
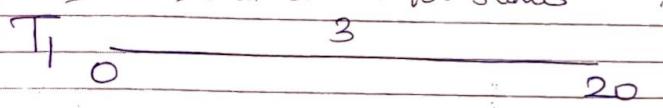
	C	P
T <sub>1</sub>	3	20
T <sub>2</sub>	2	5
T <sub>3</sub>	2	10

We have to consider priority which is based on P.

P ↑ = priority ↑

∴ Priority = T<sub>2</sub> > T<sub>3</sub> > T<sub>1</sub>

→ will execute for 3 units



directly based on C & P



Primitive

T <sub>2</sub>	T <sub>3</sub>	T <sub>1</sub>	T <sub>2</sub>	T <sub>1</sub>	T <sub>2</sub>	T <sub>3</sub>	T <sub>2</sub>
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20							

Scate not utilised fully

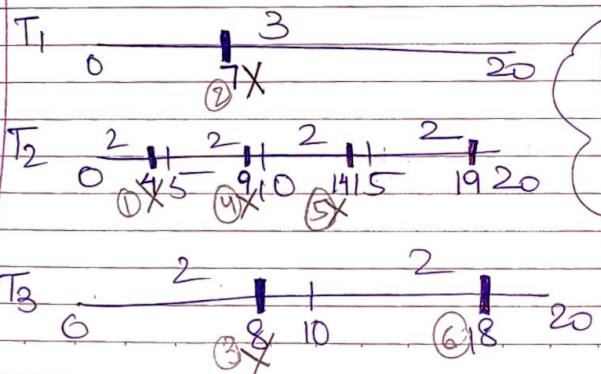
### \* Earliest Deadline First (EDF)

	C	Deadline	P
T <sub>1</sub>	3	7	20
T <sub>2</sub>	2	4	5
T <sub>3</sub>	2	8	10

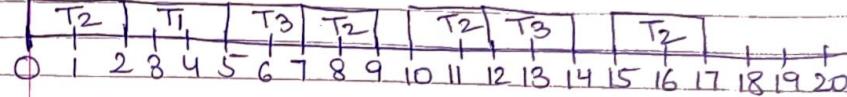
① Do it

Deadline will act as our priority

② Scheduling = LCM(20, 5, 10) = 20  
time



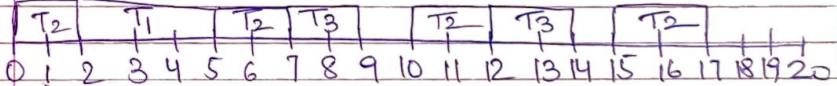
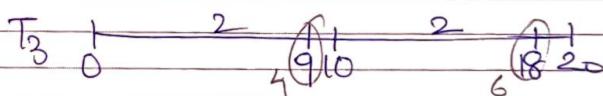
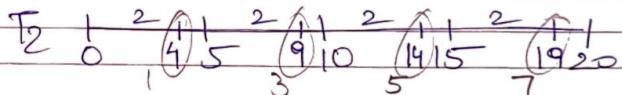
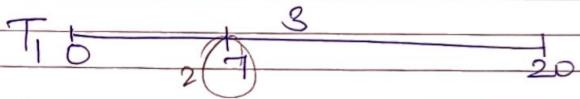
Priority =  
T<sub>2</sub> > T<sub>1</sub> > T<sub>3</sub>  
14 > 8 > 19  
T<sub>2</sub> > T<sub>3</sub> > T<sub>1</sub>



### \* Deadline Monotonic Scheduling

C	Monotonic Deadline	P
T <sub>1</sub>	3	7
T <sub>2</sub>	2	4
T <sub>3</sub>	2	9

Priority → 9 > 7 > 7  
T<sub>2</sub> > T<sub>1</sub> > T<sub>3</sub>  
high low



Process - Stalling & PPT

Suspension, creation, term - Stalling

PCB - galvin / PPT

2.2 - galvin

2.3 - mix (PPT - galvin) Adv - Disadv

SRTF = Primitive Edge F

SJF = Non Primitive (Jo chhota hai)  
Priority Inversion (brief) Priority → woh pali

2.4 - Galvin

2.5 - Malware (Stalling / PPT)

A div - Sems

JSE papers