

DANIEL WILLIAMS CFA, CA

999 Wallstreet Way
Winnipeg, MB M8S 3V1
danielwilliams@shaw.ca

Home: 555.555.5555
Cell: 555.666.6666

Senior Finance Officer offers over 15 years of perceptive, results-orientated leadership experience in the Insurance and Banking industries

HIGHLIGHTS OF QUALIFICATIONS

- Broad knowledge of Canadian taxation with emphasis on Mutual Fund and Trust tax rules
- Professional knowledge of Investment Fund Canadian Generally Accepted Accounting Principles (GAAP)
- Thorough understanding of the range of securities (domestic and foreign equities, convertible securities, fixed income instruments, cash and short-term instruments and derivative instruments such as options, futures and forward contracts) and industry products and processes (fund allocation services, securities lending & retirement/education savings plans)
- Thorough understanding of investment products such as equities, bonds, derivatives, hedge funds and other alternative investment vehicles
- Expert understanding of the risk and regulatory issues related to the area of responsibility
- Significant legal/ accounting knowledge including in-depth understanding of OSC's regulations regarding mutual funds as well as CICA and IFIC pronouncements on mutual fund accounting treatments/ disclosures
- Knowledge of J.D. Edwards Enterprise One (PeopleSoft) Financials

PROFESSIONAL BACKGROUND

Senior Finance Manager – Investment Funds

January 2005 – present

Capital Financial Services, Winnipeg, MB

- Provide financial and accounting expertise and overall financial governance and regulatory risk management support to the Director, Investment Funds Group / Chief Financial Officer Mutual Funds in ensuring the integrity of financial reporting and governance for the various Fund businesses
- Integrate risk management and control activities for the Investment Funds Group (Fund Accounting, Client Contact and Admin and Product Implementation)
- Ensure appropriate policies and controls are in place to mitigate risk across Investment Funds Group
- Give overall strategic direction to the various Canadian mutual fund business management teams
- Ensure financial statements and other required disclosures and documents are in compliance with applicable regulatory requirements