

# Rachel Tim

INVESTMENT BANKER



## CONTACT

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## SKILLS

Corporate Action

Fixed Income

Transfer Agency

SWIFT

Fund Compliance

Bank and Agent Lending

TLM

BLOOMBERG

## LANGUAGES

English

French

Arabic

German

## AWARDS

Value Award

State Street Syntel  
Services Pvt Ltd

Client Choice Award

BNY Mellon India Pvt Ltd

## SUMMARY

Total 13 years of rich experience in Investment Banking.I have good exposure of Custodian Banking as well as Bank and Agent Lending,Transfer Agency and Fund Compliance.Keen learner and further seeking to explore ,work with reputed organisations that offers professional growth where i can utilize the best of my knowledge and skills with an opportunity to grow and develop diversified fields.

## EXPERIENCE

### Portfolio Analyst

BNY Mellon India Pvt Ltd Jun 2014 - May 2020

- **Corporate Action-** End to End Corporate Actions events Voluntary and Mandatory APAC,UK ,Netherland and Global markets
- **Custody-** Reconciliation, payment of Custodiaan positions
- **Lending-** Bank and Agent lending positions reconciliation,payment,Worked with brokers
- **Fixed Income and Equity-** Coupon claims,Equity claims
- Quality Checking of events with four eye principle.
- Callbacks,SSI setup

### Senior Associate

State Street Syntel Services Pvt Ltd Feb 2007 - Oct 2013

#### 1. Compliance Team :-

- **Fund Compliance:** Analysing Clients portfolio while Compliance testing
- **Maintainance of Statutory rules-**of all Statutory requirements related to clients holdings.
- **Analysing and reporting breaches-** reporting breaches while performing Compliance of clients portfolio

#### 2.Transfer Agency:-

- **Dealing:**Process deals of Mutual Funds i.e. ISAPP,UTAPP,Transfer in,etc
- **Processing of Non Dealing:** Process Non dealing worktypes like Third party transfer,Stock Transfer
- **Anti Money Laundering:** Work on AML Stages and related rules and regulations
- **Registration:** Client Account opening and Maintainance of accounts
- **Reconciliation:** Reconciliation of Trades and payments

## EDUCATION

### Bachelor of Commerce

San Jose State University Mar 2001 - Apr 2005

Completed Government Diploma in Co operation and Accountancy year June 2006