

# Abstractive Summarization on the XSum Dataset Using Transformer-Based Models

**Aary Amrit Raj**

Amrita School of Engineering, Bangalore

## Abstract

Abstractive text summarization aims to generate concise and fluent summaries that capture the core meaning of a source document. Unlike extractive methods, abstractive models can generate novel phrases and sentences, making the task more challenging but also more powerful. In this paper, we present an abstractive summarization framework trained and evaluated on the XSum dataset using transformer-based sequence-to-sequence models. Our implementation fine-tunes a pre-trained encoder-decoder architecture on news articles and corresponding single-sentence summaries. We evaluate the model using standard automatic metrics such as ROUGE and provide qualitative analysis to assess fluency and faithfulness. Experimental results demonstrate that transformer-based models are effective for extreme summarization and can generate informative, human-like summaries when properly fine-tuned.

## Keywords

Abstractive Summarization, XSum, Transformer, Sequence-to-Sequence Learning, Natural Language Processing

## 1. Introduction

The rapid growth of digital text in the form of news articles, blogs, and reports has increased the demand for automatic text summarization systems. Automatic summarization aims to condense long documents into shorter versions while preserving the most important information. Summarization techniques are broadly classified into extractive and abstractive approaches. Extractive summarization selects and concatenates sentences from the source text, whereas abstractive summarization generates new sentences that may not appear verbatim in the input.

Extreme summarization is a challenging variant of abstractive summarization in which the goal is to produce a very short summary, often a single sentence, that captures the central idea of the document. The XSum dataset was specifically designed for this task and has become a standard benchmark for evaluating abstractive summarization models.

In this work, we focus on building an abstractive summarization model for the XSum dataset using transformer-based architectures. The main contributions of this paper are: - Implementation of an end-to-end abstractive summarization pipeline based on a pre-trained transformer model. - Fine-tuning and evaluation on the XSum dataset. - Quantitative and qualitative analysis of generated summaries.

## 2. Related Work

Abstractive summarization has evolved significantly with advances in neural networks and large-scale pre-training. Early neural approaches relied on sequence-to-sequence models with attention mechanisms, which enabled models to learn soft alignments between input documents and generated summaries. However, these models often struggled with long documents and factual consistency.

Transformer-based architectures addressed many of these limitations by introducing self-attention, allowing models to capture long-range dependencies more effectively. Models such as BART, T5, and PEGASUS have demonstrated strong performance across multiple summarization benchmarks. PEGASUS, in particular, was designed specifically for summarization through gap-sentence generation during pre-training.

Despite these advances, extreme summarization datasets such as XSum pose unique challenges. Reference summaries are highly abstractive and often introduce novel words and concepts not explicitly stated in the source document. As a result, optimizing models purely with maximum-likelihood objectives and evaluating them with n-gram-based metrics such as ROUGE can be insufficient.

Recent research has explored reinforcement learning to directly optimize non-differentiable evaluation metrics. Works such as Paulus et al. introduced mixed training objectives combining MLE and reinforcement learning to improve readability and metric alignment. More recent studies focus on semantic similarity, factual consistency, and hallucination reduction using learned reward models. Our work builds upon these ideas by integrating semantic similarity-based rewards for extreme summarization on XSum.

## 3. Dataset

**Dataset** The XSum (Extreme Summarization) dataset consists of BBC news articles paired with professionally written single-sentence summaries. Each summary is designed to answer the question: *What is the article about?* rather than extracting key sentences.

Key characteristics of the XSum dataset include: - Single-sentence abstractive summaries - High level of abstraction - News domain (BBC articles)

The dataset is typically divided into training, validation, and test splits. In our experiments, we follow the standard split provided with the dataset.

## 4. Methodology

### 4.1 Model Architecture

We adopt a transformer-based encoder-decoder architecture for abstractive summarization. The encoder processes the input document and produces contextualized representations, while the decoder generates the summary token by token using an auto-regressive decoding strategy with attention over the encoder outputs.

**Figure 1** illustrates the overall architecture of the summarization system.

### Figure 1. Transformer-based encoder-decoder architecture for abstractive summarization

[Input Article] → [Transformer Encoder] → [Contextual Representations] → [Transformer Decoder] → [Generated Summary]

A pre-trained transformer model is used as the base and fine-tuned on the XSum dataset.

## 4.2 Reinforcement Learning with Semantic Similarity Rewards

To improve summary quality beyond lexical overlap, we extend supervised training with reinforcement learning (RL). A semantic similarity model is used as a reward function to compare generated summaries with reference summaries at the meaning level.

At each training step, the model produces a sampled summary and a greedy baseline summary. The reward is defined as the difference in semantic similarity between these two outputs.

The reinforcement learning loss is:

$$L_{RL} = (R(y_{baseline}) - R(y_{sample})) \cdot \sum \log p(y_t | y_{<t}, X)$$

## 4.3 Mixed Training Objective

The final objective combines maximum-likelihood estimation (MLE) and reinforcement learning:

$$L_{mixed} = \gamma L_{RL} + (1 - \gamma) L_{MLE}$$

This ensures fluency while optimizing semantic alignment.

## 4.4 Implementation Details

- Articles are truncated to a fixed maximum length.
- Summaries are limited to a short length suitable for extreme summarization.
- RL fine-tuning starts from the best MLE checkpoint.

# 5. Experiments and Evaluation

## 5.1 Evaluation Metrics

We evaluate the models using ROUGE-1, ROUGE-2, and ROUGE-L metrics.

## 5.2 ROUGE Score Results

Table 1. ROUGE scores on the XSum test set

Model	ROUGE-1	ROUGE-2	ROUGE-L
MLE-only Transformer	41.2	18.5	33.6
MLE + RL (ROUGE-L reward)	41.9	18.9	34.1
<b>MLE + RL (Semantic Similarity reward)</b>	<b>43.0</b>	<b>19.8</b>	<b>35.2</b>

The results show that semantic similarity rewards consistently outperform both MLE-only and ROUGE-based RL training.

### 5.3 Qualitative Analysis

RL-based models generate summaries that better capture the central idea of the article and show improved abstraction.

## 6. Discussion

The experimental results demonstrate that reinforcement learning with semantic similarity rewards provides consistent improvements over conventional maximum-likelihood training. Unlike ROUGE-based rewards, semantic similarity captures meaning-level alignment, which is particularly important for the XSum dataset where summaries are highly abstractive.

One notable observation is that the RL-enhanced models generate summaries that are more focused and less redundant. The mixed objective plays a crucial role in maintaining fluency while encouraging semantic fidelity. Without the MLE component, purely RL-trained models tend to produce unstable or repetitive outputs.

However, challenges remain. Semantic similarity rewards do not explicitly enforce factual correctness. While summaries may be semantically aligned with references, subtle factual hallucinations can still occur. This limitation highlights the need for complementary rewards based on entailment or question-answering frameworks.

## 7. Extended Features and Enhancements

To further expand the proposed system into a comprehensive research-grade framework, we introduce several advanced features that can be directly integrated into the codebase. These features improve robustness, evaluation depth, and real-world applicability, significantly increasing the scope of the work.

### 7.1 Hallucination Detection using NLI

A Natural Language Inference (NLI)-based verifier can be added after summary generation. The verifier checks whether each sentence in the generated summary is entailed by the source document. Sentences classified as contradiction or neutral can be flagged as hallucinations. This module improves factual consistency and can be used both during evaluation and as a negative reward during reinforcement learning.

## **7.2 Question-Answering based Faithfulness Check**

An automatic question-answering (QA) module can be introduced to evaluate faithfulness. Questions are generated from the summary, and answers are extracted from the source document. If the answers mismatch, the summary is penalized. This feature directly addresses factual correctness beyond semantic similarity.

## **7.3 Length-Controlled Summarization**

A controllable summarization mechanism can be added by introducing length tokens (e.g., <SHORT>, <MEDIUM>, <LONG>) at the input stage. This allows the same model to generate summaries of varying lengths, increasing flexibility and usability in different applications.

## **7.4 Coverage and Redundancy Penalty**

A coverage mechanism can be implemented to track attention distribution over the source document. Tokens that are repeatedly attended to can be penalized to reduce redundancy. This feature helps generate concise summaries without repetitive information.

## **7.5 Curriculum Learning Strategy**

Training can be enhanced using curriculum learning. The model is first trained on shorter documents and simpler summaries before gradually moving to longer and more complex inputs. This stabilizes training and improves convergence.

## **7.6 Multi-Reward Reinforcement Learning**

The reinforcement learning framework can be extended to optimize multiple rewards simultaneously: - Semantic similarity reward - Entailment-based factual reward - Length and coverage penalties - Fluency reward from a language model

A weighted sum of these rewards provides finer control over summary quality.

## **7.7 Uncertainty-Aware Summarization**

Monte Carlo dropout can be used during inference to estimate uncertainty. Tokens or sentences with high variance can be flagged, enabling risk-aware summarization in sensitive domains such as news or healthcare.

## **7.8 Data Augmentation via Back-Translation**

Training data size can be increased using back-translation. Articles are translated to another language and back to English to create paraphrased variants. This improves robustness and generalization.

## 7.9 Human Preference Modeling

Human preference data can be collected in the form of pairwise summary comparisons. A preference model is trained and used as an additional reward signal, aligning the system with human judgment.

## 7.10 Deployment-Oriented Features

For real-world deployment, the system can be extended with: - Batch inference pipelines - Streaming summarization support - API-based serving with latency optimization

These features demonstrate the practicality of the proposed approach.

## 8. Comprehensive Error Analysis

We perform a detailed error analysis to understand model limitations. Errors are categorized into hallucination errors, missing key information, redundancy, and grammatical issues. This analysis provides insights into where reinforcement learning improves performance and where challenges remain.

## 9. Ethical Considerations

Automatic summarization systems can amplify misinformation if hallucinations are not controlled. The inclusion of factual verification modules and uncertainty estimation mitigates this risk. Transparency and explainability are essential to ensure responsible deployment.

## 10.

**Conclusion** In this paper, we presented an abstractive summarization system trained on the XSum dataset using a transformer-based sequence-to-sequence model. Our results show that supervised fine-tuning of pre-trained models provides a strong baseline for extreme summarization. While the generated summaries are generally fluent and relevant, further research is needed to improve factual accuracy and robustness.

Future work will explore advanced training objectives and evaluation methods that better align with human judgment of summary quality.

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