

Parametric Surfaces

Sept 11, 2023

Defn. General form of a parametric surface.

The vector form of a parametric surface is
 $\vec{X} = \vec{g}(u, v)$, where $\vec{g}: \mathbb{R}^2 \rightarrow \mathbb{R}^3$ is given by

$$\vec{g}(u, v) = (x(u, v), y(u, v), z(u, v)), \text{ and } (u, v) \in D_{uv}$$

$\hookrightarrow \star$ $(D_{uv} \subseteq \mathbb{R}^2)$

Grid curves

For each fixed v , the parametrization \star defines a curve (in general). Thus, as we vary v , we produce a family of such curves which, in general, will generate a surface.

Defn. The grid curves of a parametric surface $\vec{X} = \vec{g}(u, v)$ are the curves:

$\vec{g}(u_0, v)$, where u_0 runs through the domain of u , and $\vec{g}(u, v_0)$, where v_0 runs through the domain of v .

These are the curves on which u is constant (or) v is constant.

Example 1 Let $x = a \sin v \cos u$

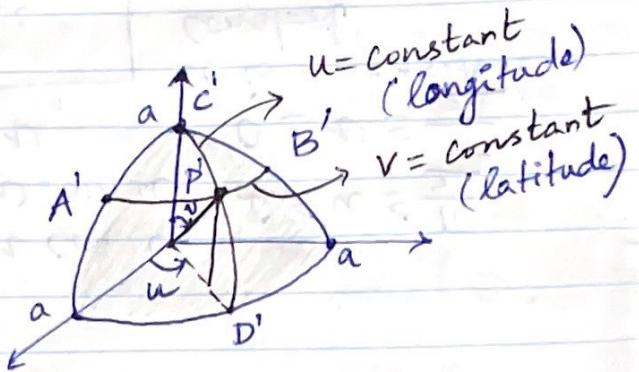
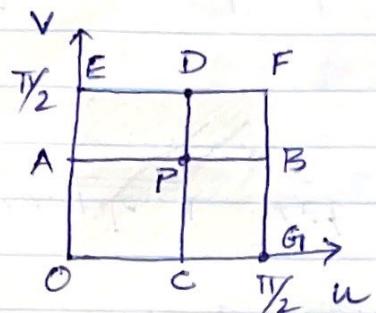
$$y = a \sin v \sin u \quad 0 \leq u \leq \frac{\pi}{2}$$

$$z = a \cos v \quad 0 \leq v \leq \frac{\pi}{2}$$

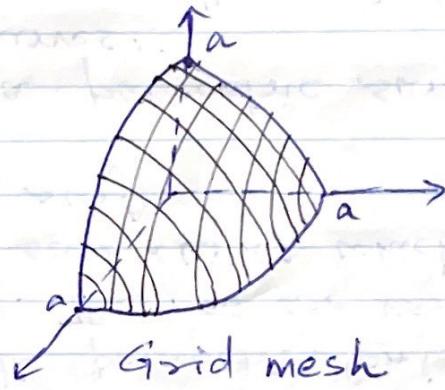
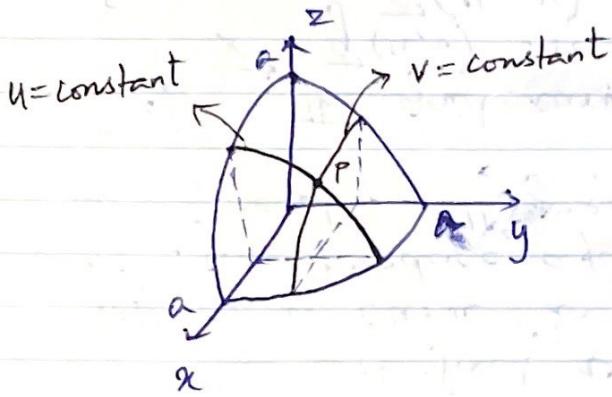
a is a positive constant.

Squaring and adding these three equations gives $x^2 + y^2 + z^2 = a^2$, which represents a spherical surface of radius a , centered at origin.

More precisely, it is one-eighth of a sphere as below.



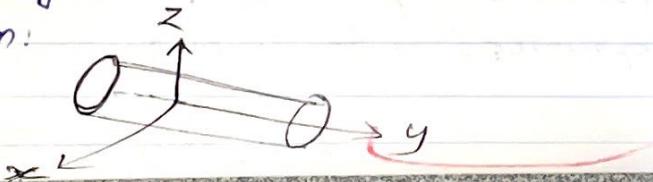
Remark: If the same surface is parametrized by $x = u, y = v, z = \sqrt{a^2 - u^2 - v^2}$, the grid curves through a given point P is as below.



Have a look at MOBIUS Demo.

Exercise: Find a parametric description of the cylinder $x^2 + z^2 = b^2$ with $-l \leq y \leq l$. Describe the grid curves

Solution:



Start with $x^2 + z^2 = b^2$

$$\Rightarrow x = b \cos u \quad u \in [0, 2\pi]$$

$$z = b \sin u$$

About y ? $\Rightarrow y = v$ (simplest option)

$$v \in [-l, l]$$

$$\therefore \vec{x} = \vec{g}(u, v) = (b \cos u, b \sin u, v), \quad u \in [0, 2\pi], \quad v \in [-l, l]$$

Grid curves:

① $u = u_0 \quad x = b \cos u_0 \rightarrow \text{constants}$
 $z = b \sin u_0$

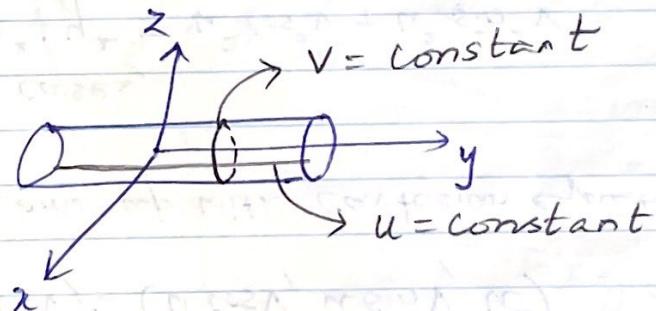
(Intersection of two planes)

So these are lines in the y -direction

② $v = v_0 \quad y = v_0 = \text{constant}$

$x^2 + z^2 = b^2$ Eliminating u from
parametric eqns to
get cartesian form

These are circles in $y = \text{constant}$ planes.



Exercise: Identify the surfaces:

$$1) \vec{x} = \vec{g}(u, v) = (u \cos v, u \sin v, u)$$

$$2) \vec{x} = \vec{g}(u, v) = (u \cos v, u \sin v, u^2)$$

Idea: Come up with cartesian equation,

Solution:

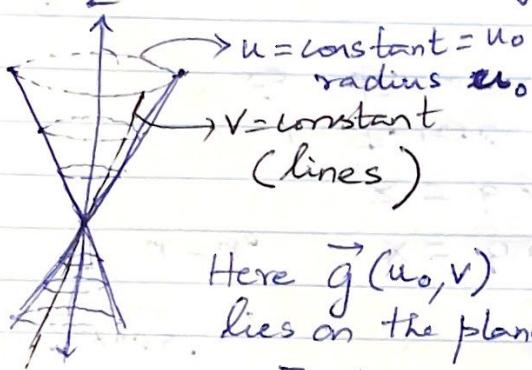
In both cases,

$$x^2 + y^2 = u^2 \cos^2 v + u^2 \sin^2 v \\ = u^2 \quad (\star)$$

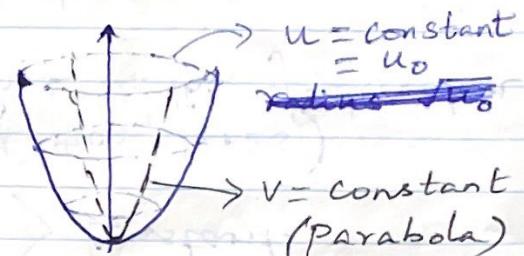
In 1) $z = u \quad (\star) \Rightarrow x^2 + y^2 = z^2$ determines the surface.

$$\Rightarrow z = \pm \sqrt{x^2 + y^2} \quad (\text{Cone})$$

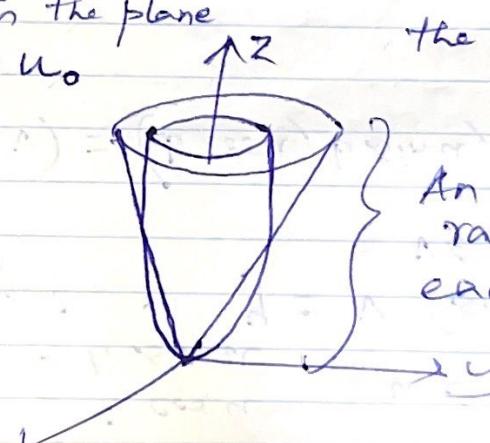
In 2) $z = u^2 \quad (\star) \Rightarrow x^2 + y^2 = z$ paraboloid.



Here $\vec{g}(u_0, v)$ lies on the plane
 $z = u_0$



Here $\vec{g}(u_0, v)$ lies on the plane
 $z = u_0^2$



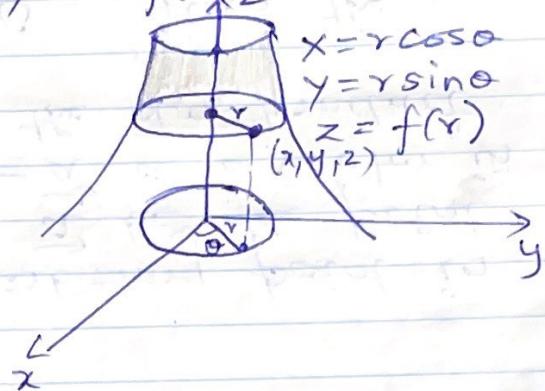
An idea how
radii vary at
each z-level.

Remark:

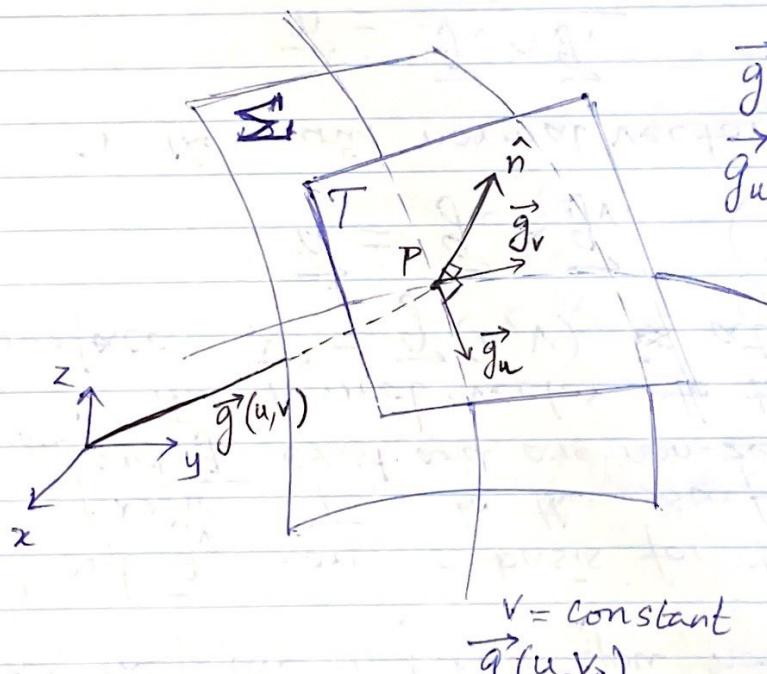
Every surface of revolution $z = f(\sqrt{x^2+y^2})$ can be parametrized as $x = x, y = y, z = f(\sqrt{x^2+y^2})$. However, if a projection of a part, one may consider on the xy -plane produces a disc (or) some part of it, polar co-ordinates are much suitable.

Since $\sqrt{x^2+y^2}$ is r in polar co-ordinates, the surface can be parametrized as follows

$$x = r\cos\theta, y = r\sin\theta, z = f(r)$$



Tangent plane and normal



\vec{g}_v - partial derivative w.r.t v
 \vec{g}_u - partial derivative w.r.t u

$u = \text{constant}$
 $\vec{g}(u_0, v)$

$P = \vec{g}(u_0, v_0)$

$v = \text{constant}$
 $\vec{g}(u, v_0)$

Now, the figure may help you notice that

\vec{g}_u and \vec{g}_v form a basis for the tangent plane T to the surface Σ at (u_0, v_0)
(if \vec{g}_u and \vec{g}_v exist and are non-zero)

∴ The normal vector to the parametric surface $\vec{x} = \vec{g}(u, v)$ is at (u_0, v_0)

$$\vec{n} = \vec{g}_u \times \vec{g}_v \quad (\vec{n}(u_0, v_0) = \vec{g}_u^{(u_0, v_0)} \times \vec{g}_v^{(u_0, v_0)})$$

or the unit normal vector is

$$\vec{n} = \frac{\vec{g}_u \times \vec{g}_v}{\|\vec{g}_u \times \vec{g}_v\|}$$

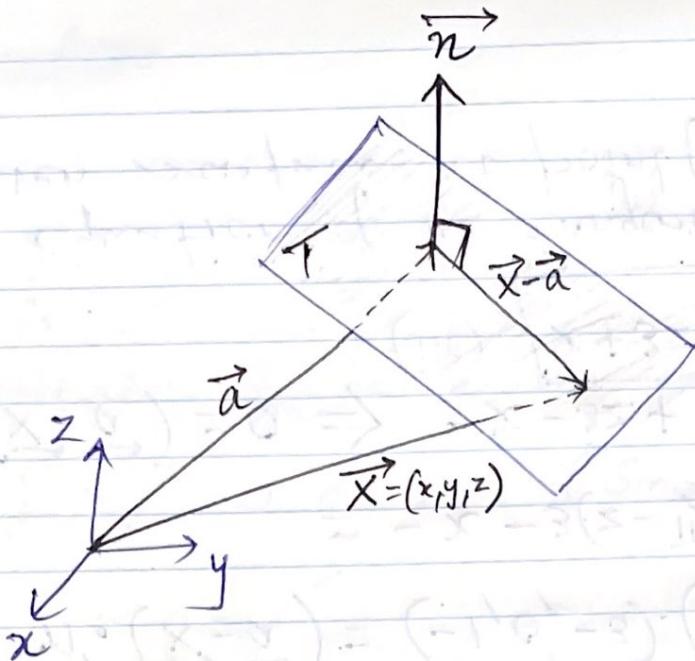
Tangent Plane

Let \vec{x} be an arbitrary point in the tangent plane T , and \vec{a} be the point of tangency, so that $\vec{x} - \vec{a}$ is a vector in the tangent plane. Then the dot product

$(\vec{x} - \vec{a}) \cdot \vec{n} = 0$ gives the equation of the tangent plane at \vec{a} .

Notes

• \vec{a} is the Cartesian point corresponding to (u_0, v_0) , i.e. $\vec{a} = \vec{g}(u_0, v_0)$. Often times you will be given \vec{a} , e.g. find the equation of the tangent plane at $(1, 2, 3)$ and you will need to determine the values of u, v corresponding to $(1, 2, 3)$.



Exercise: Find the normal vector \vec{n} and the equation of the tangent plane for the surface $\vec{g}(u, v) = (v \cos u, v \sin u, u)$ at the point $(0, 3, \pi/2)$ (This is a helicoid)

Soln.

Key step: Find u, v corresponding to $\vec{a} = (0, 3, \pi/2)$

$$\text{So } v \cos u = 0$$

$$v \sin u = 3$$

$$u = \pi/2 \Rightarrow v \sin \pi/2 = 3$$

$$\Rightarrow \boxed{v = 3}$$

$$\text{So } (u, v) = (\pi/2, 3).$$

Recall that $\vec{n}(u, v) = \vec{g}_u \times \vec{g}_v$

Since, $\vec{g}(u, v) = (v \cos u, v \sin u, u)$

$$\vec{g}_u = (-v \sin u, v \cos u, 1)$$

$$\vec{g}_v = (\cos u, \sin u, 0)$$

$$\begin{aligned}\vec{g}_u \times \vec{g}_v &= \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ -v \sin u & v \cos u & 1 \\ \cos u & \sin u & 0 \end{vmatrix} \\ &= -\sin u \vec{i} + \vec{j} \cos u + \vec{k} (-v \sin^2 u - v \cos^2 u) \\ &= (-\sin u, \cos u, -v)\end{aligned}$$

$$\therefore \vec{n}\left(\frac{\pi}{2}, 3\right) = (-1, 0, -3) \text{ Recall } \vec{a} = (0, 3, \frac{\pi}{2})$$

$$\begin{aligned}\text{Compute } \vec{n} \cdot (\vec{x} - \vec{a}) &= (-1, 0, -3) \cdot (x, y-3, z - \frac{\pi}{2}) \\ &= -x - 3(z - \frac{\pi}{2})\end{aligned}$$

$$\vec{n} \cdot (\vec{x} - \vec{a}) = 0 \Rightarrow -x - 3z + \frac{3\pi}{2} = 0$$

(i.e) $\boxed{x + 3z = \frac{3\pi}{2}}$ is

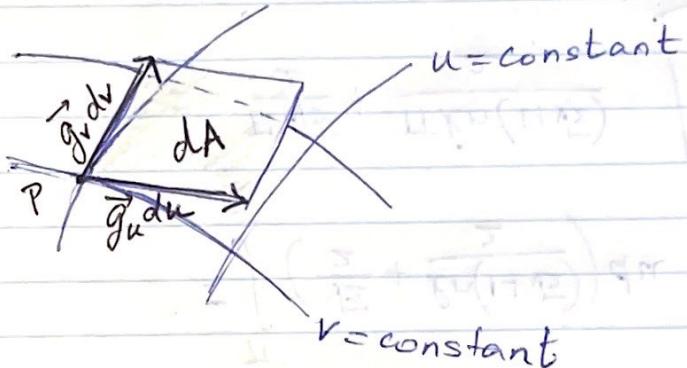
the equation of the tangent plane to the given surface at point $(0, 3, \frac{\pi}{2})$.

Surface Area

For a surface Σ given parametrically by $\vec{g}(u, v) = (x(u, v), y(u, v), z(u, v))$, assume

\vec{g} is C^1 (i.e. \vec{g} and its first-order partial derivatives \vec{g}_u and \vec{g}_v are continuous) and

$\vec{g}_u \times \vec{g}_v \neq 0$ on Σ . (Smooth surface)



The area element dA on Σ is approximately a parallelogram defined by the vectors $\vec{g}_u du$ and $\vec{g}_v dv$ lying in the tangent plane to Σ at P .

$$\begin{aligned} dA &= \|\vec{g}_u du \times \vec{g}_v dv\| \\ &= \|\vec{g}_u \times \vec{g}_v\| du dv \end{aligned}$$

As du and dv tend to zero, this plane area element lies closer and closer to Σ so that it seems reasonable to define the surface area of the curved surface Σ given by

$\vec{g}(u, v)$, $(u, v) \in D_{uv}$ as

$$S = \iint_{D_{uv}} dA = \iint_{D_{uv}} \|\vec{g}_u \times \vec{g}_v\| du dv$$

Example. Find the area of the surface of the helicoid given by

$$\vec{x} = \vec{g}(u, v) = (v \cos u, v \sin u, u)$$

for $0 \leq u \leq \pi$ and
 $0 \leq v \leq 1$

Solution:

Recall that $\vec{g}_u \times \vec{g}_v = (-\sin u, \cos u, -v)$

$$\therefore \|\vec{g}_u \times \vec{g}_v\| = \sqrt{\sin^2 u + \cos^2 u + v^2}$$
$$= \sqrt{1 + v^2} \text{ and the}$$

surface area

$$S = \int_0^{\pi} \int_0^1 \sqrt{1+v^2} dv du$$

$$= \int_0^{\pi} \left(\frac{\sqrt{2}}{2} + \frac{\ln(1+\sqrt{2})}{2} \right) du$$

$$= \frac{\pi \sqrt{2}}{2} + \frac{\pi \ln(1+\sqrt{2})}{2}$$

$$\begin{aligned} & \int \sqrt{1+x^2} dx \\ &= \frac{1}{2} x \sqrt{1+x^2} \\ &+ \frac{1}{2} \ln|x + \sqrt{1+x^2}| \\ &+ C \end{aligned}$$