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# An LSTM Approach for SAG Mill Operational Relative-Hardness Prediction

Sebastian Avalos <sup>1,\*</sup>, Willy Kracht <sup>2,3</sup> and Julian M. Ortiz <sup>1</sup>

- The Robert M. Buchan Department of Mining, Queen's University, Kingston, ON K7L 3N6, Canada; julian.ortiz@queensu.ca
- <sup>2</sup> Department of Mining Engineering, Universidad de Chile, Santiago 8370448, Chile; wkracht@uchile.cl
- <sup>3</sup> Advanced Mining Technology Center, AMTC, Universidad de Chile, Santiago 8370451, Chile
- \* Correspondence: sebastian.avalos@queensu.ca

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**Abstract:** Ore hardness plays a critical role in comminution circuits. Ore hardness is usually characterized at sample support in order to populate geometallurgical block models. However, the required attributes are not always available and suffer for lack of temporal resolution. We propose an operational relative-hardness definition and the use of real-time operational data to train a Long Short-Term Memory, a deep neural network architecture, to forecast the upcoming operational relative-hardness. We applied the proposed methodology on two SAG mill datasets, of one year period each. Results show accuracies above 80% on both SAG mills at a short upcoming period of times and around 1% of misclassifications between soft and hard characterization. The proposed application can be extended to any crushing and grinding equipment to forecast categorical attributes that are relevant to downstream processes.

**Keywords:** semi-autogenous grinding mill; operational hardness; energy consumption; mining; deep learning; long short-term memory

## 1. Introduction

In mining operations, the primary energy consumer is the comminution system, responsible for more than half of the entire mine consumption [1]. From all pieces of equipment that integrate the comminution circuit, the semi-autogenous grinding mill (SAG) is perhaps the most important in the system. With an aspect ratio of 2:1 (diameter to length), these mills combine impact, attrition and abrasion to reduce the ore size. SAG mills are located at the beginning of the comminution circuits, after a primary crushing stage. Although there are small SAG mills, their size usually ranges from  $9.8 \times 4.3$  to  $12.8 \times 7.6$  m, with a nominal energy demand of 8.2 and 26 MW, respectively [2], which make SAG mills the most relevant energy consumer within the concentrator. Modelling their consumption behaviour supports the operational control and energy demand-side management [3].

Most theoretical and empirical models [4–6] demand input feed characteristics, such as hardness, size distribution and inflow rate, SAG characteristics, such as sizing and product size distribution, and operational variables such as bearing pressure, water addition and grinding charge level. Although they are suitable to provide adequate design guidelines, they lack accurate in-situ inference since most assume steady-state and isolation from up and downstream processes. In response, model predictive control, SAG MPC [7], combines those methods with real-time operational information. However, expert knowledge is required to model the SAG mill dynamics properly.

From a geometallurgical perspective, the integration of new predictive methods that account for space and time relationships over real-time attributes has been defined as a fundamental challenge [8,9] in mining operations, particularly in an integrated system such as comminution.

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In response, data-driven approaches have been proposed ranging from support vector machines [10] and gene expression programming [11] to hybrid models that combine genetic algorithms and neural networks [12] and recurrent neural networks [13]. As data-driven methods are sensitive to the context (available information) and representation (information workflow), the authors have studied the use of several machine learning and deep learning methods in modelling the SAG energy consumption behaviour based only on operational variables [14].

The energy consumed by a SAG mill is related to several factors such as expert operator decisions, charge volume, charge specific gravity and the hardness of the feed material. Knowing the output hardness material becomes relevant for the downstream stage in the primary grinding circuit. Ore hardness can be characterized at sample support by combining the logged geological properties and the result of standardized comminution tests. They can be used to predict the hardness of each block sent to the process. However, these attributes are not always available. In response, a qualitative characterization of the ore hardness processed at time t, relative to the operational hardness of the ore processed at time t 1 can be done using only operational variables rather than a set of mineralogical characterizations. This qualitative characterization is referred and here used as operational relative-hardness (ORH).

We take advantage of previous works [14] by knowing that the Long Short-Term Memory (LSTM) [15] outperforms other machine learning and deep learning techniques on inferring the SAG mill energy consumption. Therefore, Section 2 presents the ORH and LSTM models, Section 3 establishes the SAG mill experimental framework, the results of which are presented in Section 4, and conclusions are drawn in Section 5.

## 2. Model

## 2.1. Operational Relative-Hardness Criteria

From the several operational parameters that can be captured and associated to SAG mill operations, we consider the energy consumption (EC) and feed tonnage (FT) to build our operational relative-hardness criteria.

Let us assume that data  $\{EC,FT\}_t$  is collected over a period of time T using a  $\Delta t$  discretization. By considering the one-step forward time difference of energy consumption  $(\Delta EC_t = EC_{t+1} - EC_t)$  and feed tonnage  $(\Delta FT_t = FT_{t+1} - FT_t)$ , a qualitative assessment of the operational relative-hardness can be done. For instance, if the energy consumption is increasing and the feed tonnage is constant, it can be interpreted as an increase in ore hardness relative to the previous period. Similarly, if the feed tonnage is constant and the energy decreases, a decrease in ore hardness relative to the previous period can be assumed. Particularly, when both  $\Delta EC_t$  and  $\Delta FT_t$  show the same behaviour, the SAG can be either processing ore with medium operational relative-hardness or being filled up or emptied. To avoid misclassification in this last case, the operational relative-hardness is labelled as undefined. Table 1 summarizes the nine combinations of states and the associated operational relative-hardness.

The qualitative labelling of  $\Delta EC_t$  and  $\Delta FT_t$  as increasing, constant or decreasing can be established based on their global distribution over the period T as:

$$\Delta EC_{t} = \begin{cases} \text{Increasing} & \text{if } \Delta EC_{t} > \lambda \cdot \sigma_{\Delta EC} \\ \text{Constant} & \text{if } |\Delta EC_{t}| \leq \lambda \cdot \sigma_{\Delta EC} \\ \text{Decreasing} & \text{if } \Delta EC_{t} < -\lambda \cdot \sigma_{\Delta EC} \end{cases} \quad \Delta FT_{t} = \begin{cases} \text{Increasing} & \text{if } \Delta FT_{t} > \lambda \cdot \sigma_{\Delta FT} \\ \text{Constant} & \text{if } |\Delta FT_{t}| \leq \lambda \cdot \sigma_{\Delta FT} \end{cases} \quad (1)$$

where  $\sigma_{\Delta EC}$  and  $\sigma_{\Delta FT}$  represent the standard deviations over the period T of EC and FT, respectively, and  $\lambda$  is a scalar value that modulates the labelling distribution. Note that (i) a  $\lambda$  value above 1.5 would make the entire definition meaningless since most values would remain as constant, and (ii) the  $\lambda$  value definition is an external model parameter and can be guided either subjectively or via statistical meaning.

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<b>Energy Consumption</b>	Feed Tonnage	Operational Relative-Hardness
Constant	Decreasing	Hard
Increasing	Constant	Hard
Increasing	Decreasing	Hard
Decreasing	Decreasing	Undefined
Increasing	Increasing	Undefined
Constant	Constant	Undefined
Constant	Increasing	Soft
Decreasing	Constant	Soft
Decreasing	Increasing	Soft

**Table 1.** Operational relative-hardness criteria based on one time-step difference of energy consumption and feed tonnage.

## 2.2. Long Short-Term Memory

The Long Short-Term Memory (LSTM) [15] neural network architecture belongs to the family of recurrent neural networks in Deep Learning [16]. They are suitable to capture short and long term relationships in temporal datasets. Internally, LSTM applies several combinations of affine transformations, element-wise multiplications and non-linear transfer functions, for which the building blocks are:

- $\mathbf{x}_t$ : input vector at time t. Dimension (m, 1).
- $W_f$ ,  $W_i$ ,  $W_c$ ,  $W_o$ : weight matrices for  $x_t$ . Dimensions  $(n_H, m)$ .
- $h_t$ : hidden state at time t. Dimension (m, 1).
- $\mathbf{U}_f, \mathbf{U}_i, \mathbf{U}_c, \mathbf{U}_o$ : weight matrices for  $h_{t-1}$ . Dimensions  $(n_H, m)$ .
- $\mathbf{b}_f$ ,  $\mathbf{b}_c$ ,  $\mathbf{b}_o$ : bias vectors. Dimensions ( $n_H$ , 1).
- **V**: weight matrix for  $h_t$  as output. Dimension (K, m).
- **c**: bias vector for output. Dimension (K, 1).

where m is the number of variables as input, K is the number of output variables, and  $n_H$  is the number of hidden units. Let  $\tau \in \mathbb{N}$  be a temporal window. At each time  $t \in \{1, ..., \tau\}$ , the LSTM receives the input  $\mathbf{x}_t$ , the previous hidden state  $h_{t-1}$  and previous memory cell  $c_{t-1}$ . The forget gate  $f_t = \sigma(\mathbf{W}_f \mathbf{x}_t + \mathbf{U}_f h_{t-1} + \mathbf{b}_f)$  is the permissive barrier of the information carried by  $\mathbf{x}_t$ . The input gate  $i_t = \sigma(\mathbf{W}_i \mathbf{x}_t + \mathbf{U}_i h_{t-1} + \mathbf{b}_i)$  decides the relevance of the information carried by  $\mathbf{x}_t$ . Note that both  $f_t$  and  $i_t$  use sigmoid  $\sigma(x) = (1 + e^{-x})^{-1}$  as the activation function over a linear combination of  $\mathbf{x}_t$  and  $h_{t-1}$ .

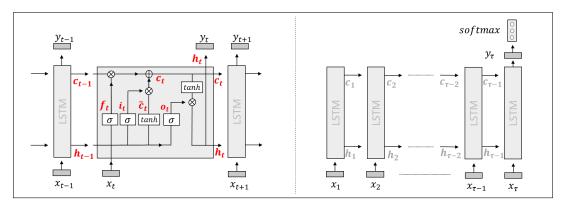
By passing the combination of  $\mathbf{x}_t$  and  $h_{t-1}$  through a Tanh function, a candidate memory cell  $\tilde{c}_t = Tanh(\mathbf{W}_c\mathbf{x}_t + \mathbf{U}_ch_{t-1} + \mathbf{b}_c)$  is computed. The final memory cell  $c_t = f_t \odot c_{t-1} + i_t \odot \tilde{c}_t$  is computed as a sum of (i) what to forget from the past memory cell as an element-wise multiplication ( $\odot$ ) between  $f_t$  and  $f_t$  and  $f_t$  and  $f_t$ .

Similar to  $i_t$  and  $f_t$  the output gate  $o_t = \sigma(\mathbf{W}_o \mathbf{x}_t + \mathbf{U}_o h_{t-1} + \mathbf{b}_o)$  passes through a sigmoid function a linear combination between  $\mathbf{x}_t$  and  $h_{t-1}$ . It controls the information passing from the current memory cell  $c_t$  to the final hidden state  $h_t = Tanh(c_t) \odot o_t$  as an element-wise multiplication between  $o_t$  and  $Tanh(c_t)$ . At the final step  $\tau$ , the output is computed as  $y_\tau = (\mathbf{V}h_\tau + \mathbf{c})$ . When dealing with more than one categorical prediction (K > 1), as in the present work for ORH forecasting, a softmax function is applied over  $\mathbf{y}_\tau$  to obtain the normalized probability distribution, and the category k has a probability of  $\hat{v}(k) = \frac{\exp(y_{\tau,k})}{\exp(y_{\tau,k})}$ 

of 
$$\hat{p}(k) = \frac{\exp(y_{\tau,k})}{\sum_{c=1}^{K} \exp(y_{\tau,c})}$$
.

An illustrative scheme of the internal connection at time step t inside an LSTM is shown in Figure 1 (left). The ORH prediction has three categories (hard, soft and undefined) and the probability is computed at the last unit, at time step  $\tau$ , as shown in the unrolled LSTM in Figure 1 (right).

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**Figure 1.** Schemes. Information flow inside Long Short-Term Memory (LSTM) (**left**) and unrolled LSTM where the output is computed at the last recurrence (**right**).

# 3. Experiment

## 3.1. Dataset

We used two datasets containing operational data for two independent SAG mills every half hour over a total time of 340 and 331 days, respectively. Each one of the SAG mills receives fresh feed and is connected in an open circuit configuration (SABC-B) where the pebble crusher product is sent to ball mills. At each time t, the dataset contains Feed tonnage (FT) (ton/h), Energy consumption (EC) (kWh), Bearing pressure (BPr) (psi) and Spindle speed (SSp) (rpm). They are split into two main subsets (a validation dataset is not considered since the optimum LSTM architecture to train is drawn from previous work [14]): training and testing (Table 2). This is an arbitrary division, and we seek to have a proportion of  $\sim 50/50$ , respectively.

Table 2. Summary statistics over training testing dataset on semi-autogenous grinding mill (SAG) mills.

SAG Mill 1	Training   Testing Dataset									
Variable	Min		Min Mean Max		St Dev		Count			
Feed Tonnage (ton/h)	0	0	911	884	2111	1953	497	480	8170	8170
Energy Consumption (kWh)	0	0	9927	8920	12,248	10,809	1245	959	8170	8170
Bearing Pressure (psi)	0	0	12.7	11.9	13.7	13.7	2.2	2.2	8170	8170
Spindle Speed (rpm)	0	0	9.2	9.1	10.3	10.7	0.7	0.7	8170	8170
SAG Mill 2	Training   Testing Dataset									
Variable	Variable Min		Me	Mean Max		ax	St Dev		Count	
Feed Tonnage (ton/h)	0	0	2077	2073	3477	3452	1136	1134	7953	7952
Energy Consumption (kWh)	0	0	16,709	17,445	19,688	19,533	1504	1462	7953	7952
Bearing Pressure (psi)	0	0	13.8	14.8	18.3	18.3	3.5	3.8	7953	7952
Spindle Speed (rpm)	0	0	9.1	8.9	10.0	9.9	0.6	0.6	7953	7952

As it can be seen in Table 2, the predictive methods are trained with the first 50% and tested with the upcoming 50%, without being fed with the previous 50% of historical data.

Note that the comminution properties of the ore, such as  $a \times b$  or BWi, are not included in the datasets; therefore, the relationship between forecasted ORH and comminution properties is not explored in this work. The results herein presented, however, serve as a basis to examine such a relationship if those properties were known.

## 3.2. Assumptions

SAG mills are fundamental pieces in comminution circuits. As no information regarding downstream/upstream processes is available, recognizing bottlenecks in the dataset becomes subjective. We assume that SAG mills will potentially show changes from steady-state to under capacity and vice versa along with the dataset. Thus, stationarity of all operational variable distributions is

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assumed throughout this work, including the ore grindability. It means that the entire dataset belongs to a known and planned combination of ore characteristics (geometallurgical units). By doing so, we limit the applicability of the present models beyond the temporal dataset without a proper training process.

As explained in the problem statement section, we make use of the temporal average over energy consumption and feed tonnage as input for operational hardness prediction. Thus, we assume an additivity property over those variables as their units are kWh and ton/h, respectively, over constant temporal discretization so averaging adjacent data points is mathematically consistent.

In the operation from which the datasets were obtained, the SAG mill liners are replaced every 5–7 months. Since the datasets cover almost a year, we can ensure that the liners were replaced in each SAG mill at least once during the tested period, which may alter the relationship between energy consumption and other operational variables, inducing a discontinuity in the temporal plots. However, since in this work the temporal window for ORH evaluation is eight hours, the local discontinuity associated with liners replacement is not expected to affect the forecast at that time frame. The ORH is related to what was happening in the corresponding mill within the last few hours, and not to the mill behaviour prior to the last replacement of liners.

#### 3.3. Problem Statement

The aim is to forecast the operational relative-hardness. To do so, we need to label the datasets with the associated ORH category at data point. We know from Equation (1) that the ORH labelling process requires as input (i) the one-step forward differences on energy consumption ( $\Delta EC_t$ ) and feed tonnage ( $\Delta FT_t$ ), and (ii) a lambda ( $\lambda$ ) value. In addition, we are interested in forecasting the ORH at different time supports.

Since the information is collected every 30 min, the upcoming energy consumption  $EC_{t+1}$  and feed tonnage  $FT_{t+1}$  at 0.5 h support are denoted simply as  $EC_{t+1}$  and  $FT_{t+1}$  in reference to  $EC_{t+1}^{(0.5\,h)}$  and  $FT_{t+1}^{(0.5\,h)}$ , respectively. An upcoming EC and FT at 1 h support,  $EC_{t+1}^{(1\,h)}$  and  $FT_{t+1}^{(1\,h)}$ , are computed by averaging the next two energy consumption,  $EC_{t+1}$  and  $EC_{t+2}$ , and the two feed tonnage,  $FT_{t+1}$  and  $FT_{t+2}$ . Similarly, by averaging the upcoming ECs and FTs, different supports can be computed. Let  $EC_{t+1}$  be the time support in hours, which represents the average over a temporal interval of a given duration, then  $EC_{t+1}^{(sh)}$  and  $EC_{t+1}^{(sh)}$  are calculated as:

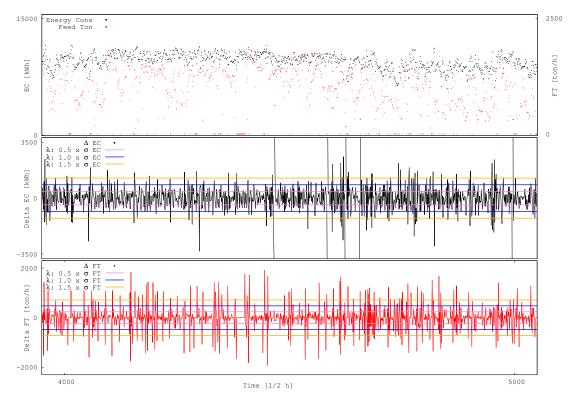
$$EC_{t+1}^{(\mathbf{s}h)} = \frac{EC_{t+1} + \dots + EC_{t+2\mathbf{s}}}{2\mathbf{s}} \qquad FT_{t+1}^{(\mathbf{s}h)} = \frac{FT_{t+1} + \dots + FT_{t+2\mathbf{s}}}{2\mathbf{s}}$$
(2)

In this experiment, three different supports (sh) are considered: 0.5, 2 and 8 h.

Figure 2 illustrates the ORH criteria using a half-hour time support on SAG mill 1 dataset. From the daily graph of  $EC_t^{(0.5\,h)}$  and  $FT_t^{(0.5\,h)}$  at the top, the graph of  $\Delta EC_t^{(0.5\,h)}$  and  $\Delta FT_t^{(0.5\,h)}$  are extracted and presented at the centre and bottom, respectively. Three different bands, corresponding to  $\lambda$ : 0.5, 1.0 and 1.5, are shown. The values that are above the band are considered as increasing, the ones below it are considered as decreasing and inside as undefined (relatively constant). The corresponding categories for EC and FT are used to define the operational relative-hardness (as in Table 1). It can be seen that, when  $\lambda$  increases, the proportions of hard and soft instances decrease. Since  $\lambda$  is an arbitrary parameter, a sensitivity analysis is performed in the range [0.5, 1.5] to capture its influence on the resulting LSTM accuracy to suitably learn to predict the ORH at the different time supports.

At each time t the input variables considered to predict  $ORH_{t+1}^{(sh)}$  are  $FT_t$ ,  $BPr_t$  and  $SSp_t$ . To account for trends, and since FT and SSp are operational decisions, the differences  $FT_{t+1} - FT_t$  and  $SSp_{t+1} - SSp_t$  are also considered as inputs. Therefore, the dataset of predictors and output  $\{\mathbf{X}, \mathbf{Y}\} \in \mathbb{R}^5 \times \mathbb{R}$ , at each time support  $\mathbf{s}h$ , has samples  $\{\mathbf{x}_t, \mathbf{y}_t\} \in \{\mathbf{X}, \mathbf{Y}\}$  made by  $\mathbf{x}_t = \{FT_t, BPr_t, SSp_t, FT_{t+1} - FT_t, SSp_{t+1} - SSp_t\}$  and  $\mathbf{y}_t = \{ORH_{t+1}^{(sh)}\}$ . We also tried several other combinations of input variables, but all led to results with lower quality. A temporal window of the previous four hours (previous eight consecutive data points) are used as input for training and testing the LSTM models.

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**Figure 2.** SAG mill 1. Graphic representation of the relative-hardness inference criteria at 0.5 h time support. Daily graphs of energy consumption and feed tonnage (**top**), delta of energy consumption (**centre**), and delta of feed tonnage (**bottom**).

## 3.4. Preprocessing Dataset

A preprocessing step is performed over the raw datasets to make them suitable for deep neural network training and inference processes. The aim is to make all input attributes fall into certain regions of the non-linear transfer functions via normalization and to be properly coded in categories via one-hot encoding. Thus, we normalize the entire raw dataset with the mean and standard deviation of the training dataset.

Let  $\mathbf{x}_t^{(var)} \in \mathbf{x}_t$  be one of the five input variables (var) at time t, its normalized expression is computed as  $\mathbf{x}_t^{(var)} = \frac{var_t - m_{var}}{s_{var}}$ , where  $m_{var}$  and  $s_{var}$  represent the mean and standard deviation of var in the training dataset. We normalize the first three attributes of  $\mathbf{x}_t$ ,  $FT_t$ ,  $BPr_t$  and  $SSp_t$  while for last two attributes, the differences between the original values  $FT_{t+1} - FT_t$  and  $SSp_{t+1} - SSp_t$ , are replaced by the differences between the normalized values of FT and SSp.

The known operational relative-hardness at time t ( $\mathbf{y}_t$ ) is one-hot encoding such that soft, undefined and hard are encoded as [1,0,0], [0,1,0] and [0,0,1], respectively.

# 3.5. Optimal LSTM Architecture

From the training dataset, sequence  $\{x_1, ..., x_\tau\}$  of length  $\tau$  are extracted to train the LSTM model in order to forecast the operational relative-hardness at next time step  $\tau + 1$ , at different time supports. The chosen length is four hours  $(\tau: 8)$ .

The external hyper-parameter to be optimized on any LSTM architecture is the number of hidden units,  $n_H$ . Based on a previous work [14], the optimum number of hidden units was found and here used. They are displayed in Table 3.

Adam Optimizer is used to train the LSTM with hyper-parameters  $\bar{e} = 1 \times e^{-8}$ ,  $\beta_1 = 0.9$  and  $\beta_2 = 0.999$  as recommended by [17].

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Table 3. Optima	l number of hidden	units in the LSTM	l architecture at o	different time suppo	orts [14].

LSTM		SAG Mill 1		SAG Mill 2				
Time support	$ORH^{(0.5\mathrm{h})}$	ORH <sup>(2h)</sup>	ORH <sup>(8h)</sup>	$ORH^{(0.5\mathrm{h})}$	ORH <sup>(2h)</sup>	ORH <sup>(8h)</sup>		
Model $(n_H)$	280	240	516	596	576	488		

## 4. Results

Directly from the datasets, the real operational relative-hardness  $ORH_R$  is calculated from Equation (1), varying  $\lambda$  in the set (0.5, 0.6, ..., 1.4, 1.5) at each time t and for each time support. On the other hand, a probability vector with soft, undefined and hard ORH states is predicted. By taking the highest probability, the predicted  $ORH_P$  is obtained. Then, a confusion matrix, filled with the number of instances of pairs ( $RH_R$ ,  $RH_P$ ), is built for each time support and each  $\lambda$  value. Table 4 summarizes and presents the cases of  $\lambda$ : 0.5, 1.0 and 1.5, and supports 0.5, 2 and 8 h over the SAG mill 1, while the Table 5 summarizes the same results over the SAG mill 2.

**Table 4.** SAG mill 1. Confusion matrices (number of instances) of operational relative-hardness (ORH) predictions using  $\lambda$ : 0.5, 1.0 and 1.5 at 0.5, 2 and 8 h time supports.

Total 777 6577 799 <b>7587</b>
777 6577 799
6577 799
799
799
/30/
Total
463
7521
169
6563
Total
598
7273
282
6505
_

**Table 5.** SAG mill 2. Confusion matrices (number of instances) of ORH predictions using  $\lambda$ : 0.5, 1.0 and 1.5 at 0.5, 2 and 8 h time supports.

0.5 h	Prediction		0.5 h	Prediction		0.5 h	Prediction	
$\lambda = 0.5$	Soft Und Hard	Total	$\lambda = 1.0$	Soft Und Hard	Total	$\lambda = 1.5$	Soft Und Hard	Total
_ Soft	<b>1704</b> 434 13	2039	_ Soft	<b>1085</b> 334 2	1266	_ Soft	<b>640</b> 274 8	753
Und Lea	330 <b>2718</b> 416	3600	Eg Und	180 4485 360	5119	Eg Und	111 <b>5916</b> 91	6469
Hard	5 448 1882	2311	Hard	1 300 <b>1203</b>	1565	Hard	2 279 <b>629</b>	728
	Accurate $\rightarrow$	6304		Accurate $\rightarrow$	6773		Accurate $\rightarrow$	7185
2.0 h	Prediction		2.0 h	Prediction		2.0 h	Prediction	
$\lambda = 0.5$	Soft Und Hard	Total	$\lambda = 1.0$	Soft Und Hard	Total	$\lambda = 1.5$	Soft Und Hard	Total
_ Soft	<b>1026</b> 1049 149	1614	_ Soft	<b>676</b> 768 47	1119	_ Soft	<b>338</b> 593 12	567
E Und	460 2224 720	4491	Und Und	418 4178 395	6012	Und	228 <b>5721</b> 133	7101
Hard	128 1218 <b>976</b>	1845	Hard	25 1066 395	819	Hard	1 787 <b>137</b>	282
	Accurate $\rightarrow$	4226		Accurate $\rightarrow$	5231		Accurate $\rightarrow$	6196
8.0 h	Prediction		8.0 h	Prediction		8.0 h	Prediction	
$\lambda = 0.5$	Soft Und Hard	Total	$\lambda = 1.0$	Soft Und Hard	Total	$\lambda = 1.5$	Soft Und Hard	Total
_ Soft	<b>917</b> 1151 196	1368	_ Soft	<b>789</b> 735 29	1169	_ Soft	325 641 10 T	606
Eg Und	361 <b>2052</b> 896	4285	Real Und	358 <b>4118</b> 353	6001	E Und	273 <b>5660</b> 133	6991
Hard	90 1082 1205	2297	Hard	22 1148 398	780	Hard	8 690 <b>210</b>	353
	Accurate $\rightarrow$	4174		Accurate $\rightarrow$	5305		Accurate $\rightarrow$	6195

The accuracy of the model prediction,  $ORH_P$ , defined as the percentage of right predictions is computed as:

$$ORH_{Accuracy} = \frac{\#(\mathbf{soft}_R, \mathbf{soft}_P) + \#(\mathbf{und}_R, \mathbf{und}_P) + \#(\mathbf{hard}_R, \mathbf{hard}_P)}{\#Tatal} \cdot 100$$
(3)

and it represents the percentage of elements in the confusion matrix diagonal. The relative percentage of predictions of each class (rows) is shown in Table 6 for SAG mill 1 and in Table 7 for SAG mill 2.

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As shown in Tables 6 and 7 at 0.5 h time support, the LSTM is able to predict with enough confidence the ORH regardless the value of  $\lambda$ . Nevertheless, as  $\lambda$  increases, the number of instances of soft and hard ORH decreases improving the final accuracy since the higher the value of  $\lambda$ , the more data points are classified as undefined. Particularly, for 0.5 h time support, increasing  $\lambda$  from 0.5 to 1.5 makes real undefined points increase from 4325 to 6577 (from 53.0% to 80.7%) in SAG mill 1 and from 3600 to 6469 (from 45.3% to 81.4%) in SAG mill 2. Therefore, increasing  $\lambda$  improves accuracy, but the price is resolution. On the other hand, the number of extreme cases (**soft**<sub>R</sub>, **hard**<sub>P</sub>) and (**hard**<sub>R</sub>, **soft**<sub>P</sub>) is close to zero. This is a great result, since predicting soft hardness when it is actually hard (or vice versa) may induce bad short term decisions on how to operate the SAG mill, along with other downstream decisions.

**Table 6.** SAG mill 1. Confusion matrices (percentage) of ORH prediction using  $\lambda$ : 0.5, 1.0 and 1.5 at 0.5, 2 and 8 h time supports.

0.5 h	Prediction		0.5 h	Prediction		0.5 h	Prediction	
$\lambda = 0.5$	Soft Und Hard	Total	$\lambda = 1.0$	Soft Und Hard	Total	$\lambda = 1.5$	Soft Und Hard	Total
_ Soft	<b>71.4</b> 27.9 0.8	22.3	_ Soft	<b>78.1</b> 21.9 0.1	14.7	_ Soft	<b>81.4</b> 18.3 0.3	9.5
Eg Und	7.6 <b>82.3</b> 10.1	53.0	Und Und	4.3 93.3 2.4	72.2	Und Und	2.3 95.7 2.0	80.7
Hard	0.3 25.6 74.1	24.7	Hard	0.0 28.0 72.0	13.1	Hard	0.5 17.2 82.3	9.8
	Accurate $\rightarrow$	77.3		Accurate $\rightarrow$	87.6		Accurate $\rightarrow$	93.1
2.0 h	Prediction		2.0 h	Prediction		2.0 h	Prediction	
$\lambda = 0.5$	Soft Und Hard	Total	$\lambda = 1.0$	Soft Und Hard	Total	$\lambda = 1.5$	Soft Und Hard	Total
_ Soft	<b>49.9</b> 38.2 11.9	26.6	_ Soft	<b>26.3</b> 72.7 1.0	7.1	_ Soft	<b>32.2</b> 67.6 0.2	5.7
Eg Und	22.2 50.4 27.4	42.9	Eg Und	3.2 94.8 2.0	89.1	Eg Und	2.7 <b>96.3</b> 1.0	92.2
Hard	9.4 37.4 53.2	30.6	Hard	1.0 86.6 12.4	3.8	Hard	0.4 87.7 12.0	2.1
	Accurate $\rightarrow$	51.1		Accurate $\rightarrow$	66.1		Accurate $\rightarrow$	80.5
8.0 h	Prediction		8.0 h	Prediction		8.0 h	Prediction	
$\lambda = 0.5$	Soft Und Hard	Total	$\lambda = 1.0$	Soft Und Hard	Total	$\lambda = 1.5$	Soft Und Hard	Total
_ Soft	<b>52.1</b> 32.8 15.1	25.8	_ Soft	<b>43.0</b> 54.9 2.1	12.6	_ Soft	<b>34.6</b> 65.0 0.4	7.3
E Und	19.8 <b>46.0</b> 34.2	37.7	E Und	6.5 <b>83.3</b> 10.2	74.3	g Und	4.4 93.7 1.8	89.2
Hard	8.0 32.1 <b>59.9</b>	36.5	Hard	1.4 65.8 32.8	13.0	Hard	0.5 80.1 19.4	3.5
	Accurate $\rightarrow$	52.2		Accurate $\rightarrow$	65.5		Accurate $\rightarrow$	79.8

**Table 7.** SAG mill 2. Confusion matrices (percentage) of ORH prediction using  $\lambda$ : 0.5, 1.0 and 1.5 at 0.5, 2 and 8 h time supports.

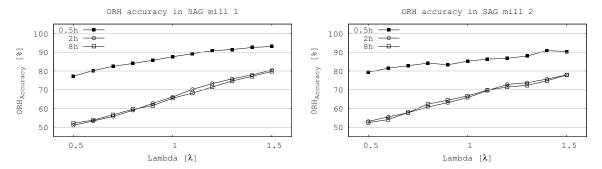
0.5 h	Prediction		0.5 h	Prediction		0.5 h	Prediction	
$\lambda = 0.5$	Soft Und Hard	Total	$\lambda = 1.0$	Soft Und Hard	Total	$\lambda = 1.5$	Soft Und Hard	Total
_ Soft	<b>79.2</b> 20.2 0.6	25.6	_ Soft	<b>76.4</b> 23.5 0.1	15.9	_ Soft	<b>69.4</b> 29.7 0.9	9.5
E Und	9.5 <b>78.5</b> 12.0	45.3	Und Und	3.6 89.3 7.2	64.4	E Und	1.8 96.7 1.5	81.4
Hard	0.2 19.2 80.6	29.1	Hard	0.1 19.9 80.0	19.7	Hard	0.2 30.7 69.1	9.2
	Accurate $\rightarrow$	79.3		Accurate $\rightarrow$	85.2		Accurate $\rightarrow$	90.4
2.0 h	Prediction		2.0 h	Prediction		2.0 h	Prediction	
$\lambda = 0.5$	Soft Und Hard	Total	$\lambda = 1.0$	Soft Und Hard	Total	$\lambda = 1.5$	Soft Und Hard	Total
_ Soft	<b>46.1</b> 47.2 6.7	20.3	_ Soft	<b>45.3</b> 51.5 3.2	14.1	_ Soft	<b>35.8</b> 62.9 1.3	7.1
Eg Und	13.5 65.3 21.2	56.5	Und Und	8.4 83.7 7.9	75.6	Eg Und	3.7 <b>94.1</b> 2.2	89.3
Hard	5.5 52.5 <b>42.0</b>	23.2	Hard	1.7 72.6 <b>25.7</b>	10.3	Hard	0.1 85.1 <b>14.8</b>	3.5
	Accurate $\rightarrow$	53.2		Accurate $\rightarrow$	65.8		Accurate $\rightarrow$	77.9
8.0 h	Prediction		8.0 h	Prediction	_	8.0 h	Prediction	
$\lambda = 0.5$	Soft Und Hard	Total	$\lambda = 1.0$	Soft Und Hard	Total	$\lambda = 1.5$	Soft Und Hard	Total
_ Soft	<b>40.5</b> 50.8 8.7	17.2	_ Soft	<b>50.8</b> 47.3 1.9	14.7	_ Soft	<b>33.3</b> 65.7 1.0	7.6
E Und	10.9 <b>62.0</b> 27.1	53.9	Und Und	7.4 85.3 7.3	75.5	ల్ల్ Und	4.5 93.3 2.2	87.9
Hard	3.8 45.5 <b>50.7</b>	28.9	Hard	1.4 73.2 25.4	9.8	Hard	0.9 76.0 <b>23.1</b>	4.4
	Accurate $\rightarrow$	52.5		Accurate $\rightarrow$	66.7		Accurate $\rightarrow$	77.9

The percentage of extreme cases (( $\mathbf{soft}_R$ ,  $\mathbf{hard}_P$ ) and ( $\mathbf{hard}_R$ ,  $\mathbf{soft}_P$ )) using  $\lambda$ : 0.5 increases when moving from 0.5 to 8 h time support, on both SAG mills. However, they decrease to a value close to zero when increasing  $\lambda$  from 0.5 to 1.5, at all time supports. However, LSTM loses accuracy in terms of predicting the relevant cases ( $\mathbf{soft}_R$ ,  $\mathbf{soft}_P$ ) and ( $\mathbf{hard}_R$ ,  $\mathbf{hard}_P$ ) as soon as the time support increases, on both SAG mills.

The accuracy graph (Figure 3) shows the  $\lambda$  sensitivity at all time supports on both SAG mills. The lower accuracy is 51% and is achieved at 2 h time supports with  $\lambda$ : 0.5 on SAG mill 1. Its accuracy increases to 66% with  $\lambda$ : 1.0 and 81% with  $\lambda$ : 1.5. The best results are achieved at 0.5 h time support (same support as the original data) where 77%, 88% and 93% of accuracy are obtained with  $\lambda$ : 0.5, 1.0

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and 1.5, respectively on SAG mill 1, and 79%, 85% and 90% of accuracy with  $\lambda$ : 0.5, 1.0 and 1.5 on SAG mill 2.



**Figure 3.** Accuracy of operational relative-hardness prediction at different time support as function of lambda ( $\lambda$ ) on both SAG mills.

#### 5. Conclusions

This work proposes the use of Long Short-Term Memory networks to forecast relative operational hardness in two SAG mills using operational data. We have presented the internal architecture of the deep networks, how to deal with raw operational datasets, and qualitative criteria to estimate the operational hardness of processing material inside the SAG mill based on the consumed energy, feed tonnage and a statistical distribution using a lambda value. Particularly, Long Short-Term Memory models have been trained to predict the operational relative-hardness based only on low-cost and fast acquiring operational information (feed tonnage, spindle speed and bearing pressure).

The LSTM network shows great results on predicting the relative operational hardness at 30 min time support. On SAG mill 1, using a lambda value of 0.5, the obtained accuracy was 77.3% while increasing the lambda to 1.5 led to an increase in accuracy of 93.1%. Similar results were found on the second SAG mill. As the time support increases to two and eight hours, the accuracy drops to around 52% using a lambda value of 0.5 and 78% with a lambda value of 1.5, on both SAG mills.

The inaccuracy of LSTM, when predicting extreme cases such as soft hardness when it is hard and vice-versa, is pretty low. Extreme misclassification is close to 1% at 0.5 h time support on both SAGs regardless of the lambda value. Although it increases to around 20% when increasing the time support using a lambda value of 0.5, it rapidly decreases to around 1% as lambda increases.

Lastly, the proposed application can be extended to any crushing and grinding equipment, under a similar context of real-data acquisition in order to forecast categorical attributes that are relevant to downstream processes.

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## **Abbreviations**

The following abbreviations are used in this manuscript:

LSTM Long Short-Term Memory
ORH Operational relative-hardness

FT Feed tonnage BPr Bearing pressure SSp Spindle speed

SAG Semi-autogenous grinding

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