

## UNIT-1

### 1. Discuss Any 4 Types of Search in the Process of Information Retrieval

#### 1. Keyword Search:

- **Definition:** The simplest form of search where users input one or more keywords, and the system retrieves documents containing those keywords.
- **Mechanism:** Utilizes an inverted index to quickly locate documents. The results are ranked based on relevance using algorithms such as TF-IDF.
- **Example:** Searching for "climate change" retrieves documents that contain that exact phrase or its variations.

#### 2. Boolean Search:

- **Definition:** Allows users to refine searches using Boolean operators (AND, OR, NOT) to combine keywords.
- **Mechanism:** The system processes the query by applying logical conditions. For example, "cats AND dogs" retrieves documents containing both terms.
- **Example:** A query like "apple OR orange" returns documents containing either term.

#### 3. Faceted Search:

- **Definition:** Enables users to navigate datasets by applying multiple filters based on attributes (e.g., categories, tags).
- **Mechanism:** Presents filtering options alongside search results, allowing dynamic narrowing of choices.
- **Example:** An e-commerce site allows filtering products by price, brand, and ratings simultaneously.

#### 4. Natural Language Search:

- **Definition:** Users can enter queries in natural language instead of specific keywords or syntax.
- **Mechanism:** Employs natural language processing (NLP) techniques to interpret queries and retrieve relevant documents based on their semantic meaning.
- **Example:** Asking "What are the health benefits of green tea?" retrieves articles discussing that topic without needing specific keywords.

### 2. Explain the Major Challenges in the Design of Information Retrieval Systems

#### 1. Scalability:

- As data volumes grow exponentially, IR systems must effectively scale to handle larger datasets without degrading performance. This includes managing increased storage requirements and ensuring fast retrieval times.

#### 2. Relevance and Precision:

- Ensuring that search results are relevant to user queries is a core challenge. Systems must balance precision (correctness of results) and recall (completeness of results) to provide satisfactory outcomes.

#### 3. User Experience:

- Designing intuitive interfaces that facilitate effective querying and result navigation is crucial for user satisfaction. Poorly designed interfaces can lead to frustration and decreased usability.

#### 4. Security and Privacy:

- Protecting sensitive information while providing access to relevant data is a significant challenge. IR systems must ensure that unauthorized users cannot access or manipulate data.

### 3. Highlight the Critical Requirements for a Search Engine

#### 1. Efficiency:

- The search engine must retrieve results quickly, even from large datasets, ensuring minimal latency for user queries.

#### 2. Accuracy and Relevance:

- Results must be accurate and relevant to the user's query, utilizing effective ranking algorithms to prioritize the most pertinent documents.

#### 3. Scalability:

- The architecture should support scaling as data grows, accommodating more documents without significant performance degradation.

#### 4. Robustness and Reliability:

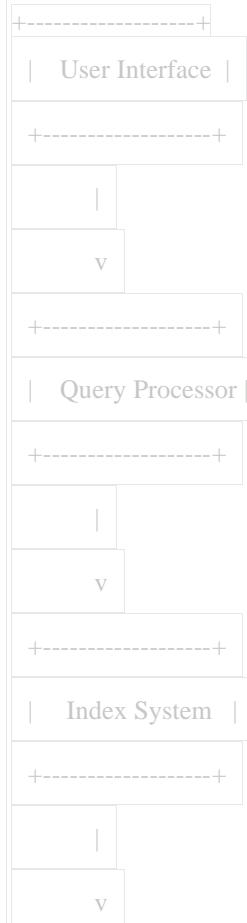
- The system should handle failures gracefully, ensuring consistent performance and availability even under heavy load or during maintenance.

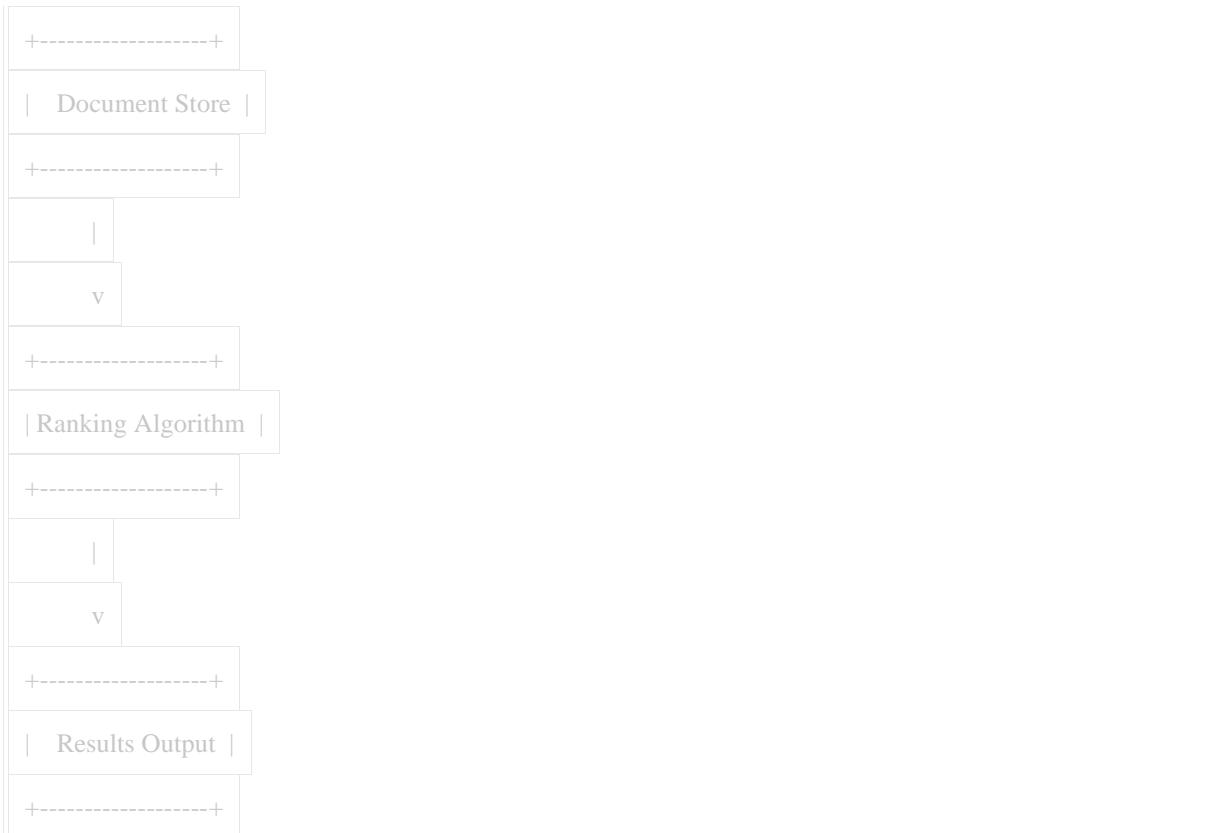
### 4. With a Neat Diagram Explain the Architecture of a Search Engine

#### Architecture of a Search Engine

A typical architecture consists of several key components:

text





- **User Interface:** Where users input their queries.
- **Query Processor:** Analyzes queries and reformulates them if necessary.
- **Index System:** Retrieves relevant postings from an inverted index.
- **Document Store:** Stores actual documents for retrieval.
- **Ranking Algorithm:** Orders results based on relevance.
- **Results Output:** Displays results back to the user.

## 5. What Is Inverted Index? How Is It Built?

### Definition

An inverted index is a data structure used in information retrieval systems that maps terms (words) to their locations in a collection of documents.

### Building an Inverted Index

#### 1. Document Collection Example:

- Doc 1: "new home sales top forecasts"
- Doc 2: "home sales rise in july"
- Doc 3: "increase in home sales in july"
- Doc 4: "july new home sales rise"

#### 2. Steps to Build an Inverted Index:

- Tokenize each document into individual terms.
- Create a mapping from each term to the list of documents (and positions) where it appears.

### Inverted Index Example

text

Term	Document IDs
home	1, 2, 3, 4
july	2, 3, 4
new	1, 4
sales	1, 2, 3, 4
rise	2, 4
forecasts	1
increase	3
top	1

6. With a Suitable Example Explain How a Boolean Query Is Processed Using Inverted Index

#### Example Boolean Query Processing

Suppose we have the following inverted index:

text

Term	Document IDs
home	1, 2, 3, 4
july	2, 3, 4
sales	1, 2, 3, 4

For a Boolean query like "home AND july":

- Retrieve postings for "home": {1, 2, 3, 4}
- Retrieve postings for "july": {2, 3, 4}
- Perform intersection of both sets:

text

Result = {2, 3, 4}

Documents satisfying "home AND july" are Documents ID: {2, 3, and 4}.

#### Additional Questions Not Previously Answered

7. What Are the Challenges in Tokenization? Explain

##### 1. Ambiguity in Language:

- Words can have multiple meanings (e.g., "bark" as tree covering or dog sound), making it difficult to determine context during tokenization.

## 2. Handling Punctuation and Special Characters:

- Deciding whether to include punctuation as separate tokens or remove them entirely can affect how text is processed.

## 3. Compound Words and Hyphenation:

- Determining how to handle compound words (e.g., “mother-in-law”) can complicate tokenization since they may need special handling based on context.

# 8. What Is Token Normalization? Explain With Suitable Examples

## Definition

Token normalization is the process of standardizing tokens so that different forms of a word are treated as equivalent during searching.

## Techniques Used in Normalization

### 1. Lowercasing:

- Converts all tokens to lowercase (e.g., “Apple” becomes “apple”).

### 2. Removing Punctuation:

- Strips punctuation from tokens (e.g., “hello!” becomes “hello”).

### 3. Stemming/Lemmatization:

- Reduces words to their base forms (e.g., “running” becomes “run”).

## Example

- Original Tokens: ["Running", "RUN", "run!"]
- Normalized Tokens: ["run", "run", "run"]

# 9. How Are Skip Pointers Used With Postings Lists? What Is the Advantage of Using Such Pointers? Write a Suitable Algorithm to Implement Skip Pointers in the Inverted Index.

## Skip Pointers Definition

Skip pointers are additional pointers added to postings lists in an inverted index that allow for faster traversal during intersection operations. By enabling the search algorithm to skip over sections of postings lists that cannot possibly match during Boolean query processing, skip pointers improve the efficiency of intersection operations.

## Advantages of Using Skip Pointers

- Faster Intersection:** Skip pointers allow the algorithm to jump over non-relevant entries in postings lists, significantly reducing the number of comparisons needed when intersecting multiple lists.
- Improved Performance:** In large datasets, this can lead to substantial performance improvements, especially in queries that involve multiple terms where the postings lists can be lengthy.
- Reduced Time Complexity:** By skipping over large sections of postings, the overall time complexity for query processing can be reduced, making the system more responsive.

## Example of Skip Pointer Usage

Consider two postings lists:

- **Postings List A:** [1, 3, 5, 7, 9]
- **Postings List B:** [2, 3, 4, 5, 6]

When processing a query that requires an intersection of these two lists (i.e., finding documents that contain both terms), skip pointers can help avoid unnecessary comparisons.

#### Algorithm to Implement Skip Pointers in the Inverted Index

```
python
class InvertedIndex:
    def __init__(self):
        self.index = {}

    def add_posting(self, term, doc_id):
        if term not in self.index:
            self.index[term] = []
        self.index[term].append(doc_id)

    def build_skip_pointers(self):
        skip_index = {}
        for term in self.index:
            postings = self.index[term]
            skip_list = []
            # Add skip pointers every k elements (k=2 for example)
            for i in range(0, len(postings), 2):
                skip_list.append(postings[i])
            skip_index[term] = skip_list
        return skip_index

# Example usage
inverted_index = InvertedIndex()
inverted_index.add_posting('apple', 1)
inverted_index.add_posting('apple', 3)
inverted_index.add_posting('apple', 5)
inverted_index.add_posting('apple', 7)
inverted_index.add_posting('apple', 9)

# Build and retrieve skip pointers
skip_pointers = inverted_index.build_skip_pointers()
print("Skip Pointers:", skip_pointers)
```

#### Conclusion

Skip pointers enhance the efficiency of inverted indexes by allowing faster traversal through postings lists during query processing. By implementing this technique, information retrieval systems can significantly improve their performance when handling complex queries involving multiple terms.

## 10. Differentiate Between Stemming and Lemmatization With Suitable Examples

#### Definitions

- **Stemming:** The process of reducing a word to its base or root form by removing suffixes and prefixes. It does not always produce a valid word.
- **Lemmatization:** The process of reducing a word to its dictionary form (lemma) based on its intended meaning and part of speech. Lemmatization considers the context and produces valid words.

## Examples

- **Stemming:**

- "running" → "run"
- "better" → "better" (may not change because it's a stem)

- **Lemmatization:**

- "running" → "run"
- "better" → "good"

## Key Differences:

- Stemming may produce non-words (e.g., "fishing" → "fish"), while lemmatization always produces valid words.
- Lemmatization is more accurate but computationally more intensive than stemming.

## 11. Write the Algorithm for Intersection of Two Postings. How Is It Useful in Boolean Retrieval?

### Algorithm for Intersection of Two Postings Lists

python

```
def intersect(postings1, postings2):
    result = []
    i, j = 0, 0

    while i < len(postings1) and j < len(postings2):
        if postings1[i] == postings2[j]:
            result.append(postings1[i])
            i += 1
            j += 1
        elif postings1[i] < postings2[j]:
            i += 1
        else:
            j += 1

    return result

# Example usage
postings_a = [1, 3, 5, 7]
postings_b = [3, 5, 6]
intersection_result = intersect(postings_a, postings_b)
print("Intersection:", intersection_result) # Output: [3, 5]
```

### Usefulness in Boolean Retrieval

The intersection algorithm is fundamental in Boolean retrieval as it allows users to find documents that satisfy multiple conditions (e.g., documents containing both terms). For example, a query like "cats AND dogs" would use this algorithm to find documents that contain both terms by intersecting their respective postings lists.

## 12. Assume Certain Availability of Words w1,w2,...w6 in Documents d1,d2,...d5. Draw the Term-Document Incidence Matrix. Given the Query w3 AND w5 AND NOT w6, Determine the Documents Satisfying the Query.

### Example Term-Document Incidence Matrix

Assuming we have:

- Documents:

- d1: w1, w2
- d2: w2, w3
- d3: w3, w4
- d4: w5
- d5: w6

The term-document incidence matrix would look like this:

Terms	d1	d2	d3	d4	d5
w1	1	0	0	0	0
w2	1	1	0	0	0
w3	0	1	1	0	0
w4	0	0	1	0	0
w5	0	0	0	1	0
w6	0	0			

Query Processing for w3 AND w5 AND NOT w6

1. Retrieve documents containing **w3**: {d2, d3}
2. Retrieve documents containing **w5**: {d4}
3. Intersection of results from steps above: No documents satisfy both conditions since there is no overlap between {d2, d3} and {d4}.
4. Exclude documents containing **w6** from results (not applicable here since no results were found).

Thus, no documents satisfy the query w3 AND w5 AND NOT w6.

Conclusion

These detailed answers cover questions from question number nine onward based on principles discussed in information retrieval systems. If you need further elaboration or additional questions answered from your list or specific details on any topic mentioned above, please let me know!

13. List Any Two Issues Related to the Following

i) Choosing a Document Unit

- **Issue 1: Granularity:** Determining the appropriate granularity for document units can be challenging. If the document unit is too large (e.g., an entire book), it may not allow for precise retrieval of specific information. Conversely, if the unit is too small (e.g., a single sentence), it may lead to inefficiencies in indexing and retrieval.
- **Issue 2: Context Preservation:** When selecting document units, it is important to ensure that the context of the information is preserved. For example, extracting a paragraph from a larger document without its surrounding context may lead to misinterpretation of the content during retrieval.

ii) Tokenization

- **Issue 1: Ambiguity:** Tokenization can struggle with ambiguous terms that have multiple meanings or uses (e.g., "bark" could refer to tree bark or a dog barking). This can lead to incorrect tokenization and affect subsequent retrieval processes.
- **Issue 2: Handling Special Characters:** Deciding how to treat punctuation and special characters can complicate tokenization. For instance, should "end-user" be treated as one token or two? Mismanagement of such cases can lead to inconsistent token generation.

14. How Does a Term-Document Incidence Matrix Help in Handling Boolean Queries? Explain With an Example. What Are Its Limitations?

Term-Document Incidence Matrix

A term-document incidence matrix is a binary matrix that represents the presence or absence of terms in documents. Rows represent terms, while columns represent documents, with entries indicating whether a term appears in a document (1) or not (0).

Example

Assume we have three documents:

- d1: "apple banana"
- d2: "banana cherry"
- d3: "apple cherry"

The term-document incidence matrix would look like this:

Terms	d1	d2	d3
apple	1	0	1
banana	1	1	0
cherry	0	1	1

## Handling Boolean Queries

For a query like "apple AND banana":

1. Retrieve rows for "apple" and "banana":

- apple: [1, 0, 1]
- banana: [1, 1, 0]

2. Perform logical AND operation:

- Result:  $[1 \text{ AND } 1 = 1, 0 \text{ AND } 1 = 0, 1 \text{ AND } 0 = 0] \rightarrow [1, 0, 0]$

3. The resulting document satisfying the query is d1.

## Limitations

- **Scalability:** As the number of documents and terms increases, the matrix can become very large and unwieldy.
- **Sparcity:** Many entries in the matrix may be zero (indicating absence), leading to inefficient storage and processing.
- **Complex Queries:** Handling complex Boolean queries involving multiple terms can require extensive computation across the matrix.

## 15. How Are Positional Indexes Created? How Do They Assist in Processing Phrase Queries?

### Creation of Positional Indexes

A positional index records not only which documents contain each term but also the positions of those terms within each document. This allows for more precise searching capabilities. **Steps to Create a Positional Index:**

1. **Tokenization:** Break down each document into individual tokens.
2. **Recording Positions:** For each term in a document, record its position (index) within that document.
3. **Building the Index:**
  - Create a mapping from each term to a list of tuples containing document IDs and positions.

### Example

For documents:

- d1: "the cat sat"
- d2: "the cat sat on the mat"

The positional index might look like this:

Term	Postings
cat	[(d1, 2), (d2, 2)]
sat	[(d1, 3), (d2, 3)]

Term	Postings
on	[(d2, 4)]
mat	[(d2, 5)]

### Assisting in Phrase Queries

Positional indexes allow for efficient processing of phrase queries (e.g., "cat sat") by checking if terms appear in specific sequences within documents:

- For "cat sat", check if both terms occur at consecutive positions.
- This allows for precise retrieval of documents that contain exact phrases rather than just individual words.

### 16. For the Current Requirement of Free Text Queries in IR Systems, What Additional Features Can Be Provided on Top of the Boolean Retrieval Model?

To enhance the Boolean retrieval model for free text queries, several additional features can be implemented:

#### 1. Natural Language Processing (NLP):

- **Feature:** Incorporating NLP techniques allows the system to understand user intent and context better.
- **Benefit:** This leads to improved query interpretation and more relevant results, as the system can handle synonyms, variations, and context-based meanings.

#### 2. Ranking Algorithms:

- **Feature:** Implementing ranking algorithms such as TF-IDF or BM25 can provide a more nuanced approach to result presentation.
- **Benefit:** Instead of just returning documents that meet Boolean criteria, the system can rank them based on relevance, improving user satisfaction.

#### 3. Fuzzy Search:

- **Feature:** Allowing for fuzzy matching can help users find results even when their queries contain typos or approximate terms.
- **Benefit:** This increases the robustness of search capabilities, accommodating user errors in query formulation.

#### 4. Phrase Searching:

- **Feature:** Enabling phrase searching allows users to search for exact sequences of words.
- **Benefit:** This is particularly useful for queries where word order matters, such as finding specific quotes or terms.

#### 5. Faceted Search and Filtering:

- **Feature:** Providing facets (categories) for filtering results based on attributes (e.g., date, author).

- **Benefit:** Users can refine their searches dynamically, leading to more relevant results without needing to reformulate their queries.

## 6. Contextual Suggestions:

- **Feature:** Offering related queries or suggestions based on user behavior or popular searches.
- **Benefit:** This helps guide users toward more effective searches and broadens their exploration of topics.

## 17. What Are Stop Words? How Are They Handled in Various IR Systems?

### Definition

Stop words are common words that are often filtered out during the processing of text because they carry little semantic value. Examples include "and," "the," "is," "in," etc.

### Handling in IR Systems

#### 1. Removal During Tokenization:

- Many IR systems automatically remove stop words during the tokenization process to reduce noise in the data and improve indexing efficiency.

#### 2. Customizable Stop Word Lists:

- Some systems allow users to customize stop word lists based on specific domains or applications, enabling more relevant filtering.

#### 3. Retention for Contextual Analysis:

- In some advanced systems, stop words may be retained for certain types of analysis (e.g., sentiment analysis) where their presence might provide contextual meaning.

#### 4. Search Flexibility:

- Some search engines offer options for users to include stop words in their queries if they choose, allowing for more flexible searching when necessary.

## 18. How Do Biword Indexes Help in Processing Phrase Queries? Explain With Suitable Examples

### Definition

Biword indexes store pairs of consecutive words (bigrams) from documents, allowing for efficient retrieval of phrases by directly referencing these pairs.

### Benefits

#### 1. Efficient Phrase Matching:

- Biword indexes enable quick lookups for phrases by checking if both words appear together as a pair in documents.

#### 2. Reduced Complexity:

- Instead of searching through all occurrences of individual words, the system can directly access biword entries, speeding up retrieval.

### Example

Suppose we have the following documents:

- d1: "the cat sat"
- d2: "the cat jumped"
- d3: "cat sat on the mat"

The biword index would look like this:

Biword	Document IDs
"the cat"	1, 2
"cat sat"	1, 3
"sat on"	3
"cat jumped"	2

For a phrase query like "cat sat":

- The system checks the biword index for "cat sat" and finds it in Document IDs {1, 3}.
- It retrieves those documents directly without needing to check individual word occurrences.

## 19. How Are Positional Indexes Created? How Do They Assist in Processing Phrase Queries?

### Creation of Positional Indexes

A positional index records not only which documents contain each term but also the positions of those terms within each document. This allows for more precise searching capabilities. **Steps to Create a Positional Index:**

1. **Tokenization:** Break down each document into individual tokens.
2. **Recording Positions:** For each term in a document, record its position (index) within that document.
3. **Building the Index:**
  - Create a mapping from each term to a list of tuples containing document IDs and positions.

### Example

For documents:

- d1: "the cat sat"
- d2: "the cat sat on the mat"

The positional index might look like this:

Term	Postings
cat	[(d1, 2), (d2, 2)]
sat	[(d1, 3), (d2, 3)]
on	[(d2, 4)]
mat	[(d2, 5)]

#### Assisting in Phrase Queries

Positional indexes allow for efficient processing of phrase queries (e.g., "cat sat") by checking if terms appear in specific sequences within documents:

- For "cat sat", check if both terms occur at consecutive positions.
- This allows for precise retrieval of documents that contain exact phrases rather than just individual words.

#### UNIT-2

##### 1. Demonstrate How a Binary Search Tree Is Suitable for Storing and Retrieving Vocabulary Terms

###### Binary Search Tree (BST) Overview

A Binary Search Tree (BST) is a data structure that maintains sorted data in a hierarchical manner, allowing for efficient insertion, deletion, and lookup operations. Each node in a BST has at most two children, referred to as the left and right child.

###### Suitability for Storing Vocabulary Terms

###### 1. Ordered Structure:

- BSTs maintain an ordered structure, which allows for quick access to vocabulary terms. Each node contains a term, and the left subtree contains terms that are lexicographically smaller, while the right subtree contains larger terms.

###### 2. Efficient Search Operations:

- Searching for a term in a BST has an average time complexity of  $O(\log n)$ , where  $n$  is the number of nodes. This efficiency is crucial for large vocabularies.

###### 3. Dynamic Updates:

- BSTs allow for dynamic insertion and deletion of terms without requiring reorganization of the entire structure. This is beneficial when vocabulary terms need to be updated frequently.

### Example

Consider inserting the following vocabulary terms into a BST: "apple", "banana", "cherry", "date".

text



- Searching for "cherry" would involve comparing it with "banana" (go right), then comparing with "cherry" (found).
- Inserting "fig" would involve traversing left from "banana" to "apple" and then inserting it as a right child of "apple".

2. What Is the Importance of Edit Distance in Spelling Correction? Write the Algorithm for Computing Edit Distance.

### Importance of Edit Distance

Edit distance measures how dissimilar two strings are by counting the minimum number of operations required to transform one string into another. It is crucial in spelling correction because it helps identify words that are similar to a misspelled input based on how many edits (insertions, deletions, substitutions) are needed.

### Applications

- **Spell Checkers:** Suggesting corrections based on the closest valid words.
- **Search Engines:** Improving search result accuracy by correcting user queries.

### Algorithm for Computing Edit Distance (Levenshtein Distance)

python

```

def edit_distance(s1, s2):
    m = len(s1)
    n = len(s2)

    # Create a distance matrix
    dp = [[0] * (n + 1) for _ in range(m + 1)]

    # Initialize base cases
    for i in range(m + 1):
        dp[i][0] = i # Deletion cost
    for j in range(n + 1):
        dp[0][j] = j # Insertion cost
  
```

```

# Compute edit distance
for i in range(1, m + 1):
    for j in range(1, n + 1):
        if s1[i - 1] == s2[j - 1]:
            cost = 0
        else:
            cost = 1

        dp[i][j] = min(dp[i - 1][j] + 1,      # Deletion
                      dp[i][j - 1] + 1,    # Insertion
                      dp[i - 1][j - 1] + cost) # Substitution

return dp[m][n]

```

# Example usage:

```

s1 = "FRIED"
s2 = "FRESH"
distance = edit_distance(s1, s2)
print("Edit Distance:", distance) # Output: Edit Distance: 3

```

3. With a Suitable Example Explain How Distributed Indexing Is Achieved Using Map Reduce Technique.

#### Distributed Indexing with MapReduce

##### **MapReduce Overview:**

MapReduce is a programming model used for processing large data sets across distributed clusters. It consists of two main functions: Map and Reduce.

#### Steps Involved in Distributed Indexing

##### **1. Map Phase:**

- Each mapper processes a portion of the documents and emits intermediate key-value pairs where the key is a term and the value is its document ID.

##### **Example:**

For documents:

- d1: "apple banana"
- d2: "banana cherry"

The mappers would output:

text

Mapper Output:

("apple", d1)

("banana", d1)

("banana", d2)

("cherry", d2)

##### **2. Shuffle Phase:**

- The framework groups all intermediate values by their keys so that all occurrences of each term are sent to the same reducer.

### 3. Reduce Phase:

- Each reducer receives all document IDs associated with a term and creates a postings list.

#### **Example Output from Reducer:**

text

Reducer Output:

("apple", [d1])

("banana", [d1, d2])

("cherry", [d2])

This process allows large datasets to be indexed efficiently across multiple machines.

## 4. How Are B-Trees Used in Handling Trailing and Leading Wildcard Queries?

### B-Trees Overview

B-Trees are balanced tree data structures designed to work efficiently on disk storage systems. They maintain sorted data and allow searches, sequential access, insertions, and deletions in logarithmic time.

### Handling Wildcard Queries

#### 1. Trailing Wildcard Queries (e.g., "cat\*"):

- B-Trees can efficiently handle trailing wildcards by searching for the prefix "cat" and retrieving all entries that start with this prefix.

#### 2. Leading Wildcard Queries (e.g., "\*cat"):

- Handling leading wildcards is more complex since B-Trees do not support direct prefix searches from the end of strings.
- A common approach is to reverse the strings before inserting them into the B-Tree. For example, insert "tac\*" instead of "\*cat". This allows searching from the beginning of reversed strings.

#### 3. Example:

- For trailing wildcard query "cat\*", search starts at "cat" and retrieves all entries like "cat", "caterpillar", etc.
- For leading wildcard query "\*cat", reverse it to search for "tac" which allows retrieval of any entry ending with "cat".

## 5. How Is Phonetic Correction Achieved in Information Retrieval Systems?

### Phonetic Correction Overview

Phonetic correction involves adjusting search queries based on how words sound rather than their actual spelling. This is particularly useful when users misspell words phonetically similar to correct spellings.

## Techniques Used

### 1. Soundex Algorithm:

- Converts words into phonetic codes based on their sounds.
- Similar-sounding words are encoded into the same representation.

### 2. Metaphone Algorithm:

- An improvement over Soundex that accounts for more complex phonetic patterns.

### 3. Implementation Steps:

- When a user inputs a query, convert each term into its phonetic code using Soundex or Metaphone.
- Search the index using these codes to find potential matches.

### 4. Example:

- A user searches for "Smith." The system converts it into its Soundex code (e.g., S530) and retrieves records with similar codes like "Smyth" or "Smithe."

6. Why Is SPIMI (Single Pass In-Memory Indexing) Considered More Scalable Than Blocked Sort-Based Indexing?

## SPIMI Overview

SPIMI is an indexing technique that processes documents in memory during indexing without needing multiple passes over data or sorting large datasets.

## Advantages Over Blocked Sort-Based Indexing

### 1. Single Pass Efficiency:

- SPIMI processes documents one at a time and updates postings lists directly without sorting all documents first.

### 2. Reduced I/O Operations:

- Since SPIMI avoids extensive disk I/O by keeping data in memory during processing, it significantly speeds up indexing times compared to blocked sort-based methods that require sorting entire blocks before writing them back to disk.

### 3. Scalability:

- SPIMI can handle larger datasets more effectively because it does not require holding large amounts of data in memory or performing expensive sort operations.

### 4. Example Scenario:

- When indexing millions of documents, SPIMI can process each document as it arrives without waiting for all documents to be collected or sorted first, making it suitable for real-time indexing applications.

7. Discuss the Suitability of Binary Search Tree and B-Tree for Dictionaries.

Binary Search Tree (BST)

• **Advantages:**

- Simple implementation; easy to understand.
- Efficient search operations with average time complexity  $O(\log n)$ .

• **Disadvantages:**

- Performance degrades to  $O(n)$  if not balanced (e.g., when elements are inserted in sorted order).
- **Use Case:** Suitable for small dictionaries where quick lookups are needed without frequent insertions or deletions.

B-Tree

• **Advantages:**

- Balanced structure ensures  $O(\log n)$  performance even with many insertions/deletions.
- Designed specifically for systems that read and write large blocks of data (disk-based).

• **Disadvantages:**

- More complex implementation compared to BSTs.
- **Use Case:** Ideal for large dictionaries stored on disk where efficient access patterns are critical due to fewer disk accesses.

8. What Is Edit Distance? Determine Edit Distance Between FRIED and FRESH Using Levenshtein Edit Distance Computation.

Definition of Edit Distance

Edit distance, specifically the Levenshtein distance, is a metric used to measure how dissimilar two strings are by counting the minimum number of single-character edits required to change one string into the other. The allowed operations are:

- Insertion
- Deletion
- Substitution

Example Calculation: Edit Distance between "FRIED" and "FRESH"

1. **Initialization:** Create a matrix where the rows represent characters of "FRIED" and the columns represent characters of "FRESH". The size of the matrix will be  $(m+1) \times (n+1)$ , where  $m$  and  $n$  are the lengths of the two strings.

text

			F		R		E		S		H		
	---		---		---		---		---		---		
		0		1		2		3		4		5	
	F		1										
	R		2										
	I		3										
	E		4										
	D		5										

## 2. Fill in the Matrix:

- The first row and first column are initialized with incremental values representing the cost of deletions or insertions.
- Fill in the rest of the matrix based on the following rules:
  - If characters match, take the value from the diagonal.
  - If they don't match, take the minimum of:
    - Diagonal (substitution)
    - Left (insertion)
    - Above (deletion)
  - Add 1 for substitution or insertion/deletion.

text													
			F		R		E		S		H		
	---		---		---		---		---		---		
		0		1		2		3		4		5	
	F		1		0		1		2		3		
	R		2		1		0		1		2		
	I		3		2		1		2		3		
	E		4		3		2		1		2		
	D		5		4		3		2		3		

3. **Final Edit Distance:** The value in the bottom-right cell (D) gives the edit distance, which is **4**. Thus, it takes four edits to transform "FRIED" into "FRESH".

9. Discuss the Suitability of Distributed Indexing for Index Construction? How Is It Achieved Using MapReduce Technique?

Suitability of Distributed Indexing

Distributed indexing is suitable for handling large datasets that cannot be processed efficiently on a single machine. It allows for parallel processing, which significantly speeds up index construction by distributing tasks across multiple nodes.

## Achieving Distributed Indexing Using MapReduce

### 1. Map Phase:

- Each mapper processes a subset of documents and emits key-value pairs where keys are terms and values are document IDs.

#### Example:

For documents:

- d1: "apple banana"
- d2: "banana cherry"

The mappers would output:

text

("apple", d1)

("banana", d1)

("banana", d2)

("cherry", d2)

### 2. Shuffle Phase:

- The framework groups all intermediate values by their keys so that all occurrences of each term are sent to the same reducer.

### 3. Reduce Phase:

- Each reducer receives all document IDs associated with a term and creates a postings list.

#### Example Output from Reducer:

text

("apple", [d1])

("banana", [d1, d2])

("cherry", [d2])

This process allows large datasets to be indexed efficiently across multiple machines.

## 10. What Are Permuterm Indexes? How Are They Used in Handling General Wildcard Queries?

### Permuterm Index Overview

A permuterm index is a data structure that allows for efficient searching of terms with wildcard characters by storing all cyclic permutations of each term along with their original term.

### How Permuterm Index Works

#### 1. Generating Permutations:

- For each term, generate all cyclic permutations and append a special end symbol (e.g., \$) to indicate the end of the term.

**Example:**

For the term "cat":

- Permutations: "cat\$", "at\$c", "t\$ca"

**2. Building the Index:**

- Store these permutations in a sorted list or tree structure.

**3. Handling Wildcard Queries:**

- When a query with wildcards is received (e.g., "\*at"), transform it into a form that can be matched against permutations.
  - For "\*at", you would look for permutations that end with "at\$".
  - This allows efficient retrieval of terms that match wildcard queries.

**Example Usage**

For searching "\*at":

- Search for permutations ending with "at\$".
- Retrieve original terms corresponding to those permutations.

**11. How Are k-Gram Indexes Constructed? How Are They Used in Handling Wildcard Queries?**

**k-Gram Index Construction**

A k-gram index breaks down terms into overlapping substrings (k-grams) of length k, allowing for efficient matching against wildcard queries.

**Steps to Construct k-Gram Index**

- Tokenization:** Break down documents into individual tokens.
- Generating k-Grams:** For each token, create k-grams by extracting all contiguous substrings of length k.

**Example:**

For the word "apple" with k=2:

- k-Grams: "ap", "pp", "pl", "le"
- Building the Index:** Store each k-gram along with references to documents where they appear.

**Handling Wildcard Queries**

When a wildcard query is received (e.g., "\*pple"):

- Generate k-grams from the query (for suffix matching).
- Search for relevant k-grams in the index.
- Retrieve documents associated with those k-grams.

### Example Usage

For searching "\*pple":

- Identify relevant k-grams like "pp" from words such as "apple" or "mapple".

12. Assume Certain Availability of Words  $w_1, w_2, \dots, w_6$  in Documents  $d_1, d_2, \dots, d_5$ . Draw the Term-Document Incidence Matrix. Given the Query  $w_3 \text{ AND } w_5 \text{ AND NOT } w_6$ , Determine the Documents Satisfying the Query.

Example Term-Document Incidence Matrix

Assuming we have:

- Documents:

- $d_1: w_1, w_2$
- $d_2: w_2, w_3$
- $d_3: w_3, w_4$
- $d_4: w_5$
- $d_5: w_6$

The term-document incidence matrix would look like this:

Terms	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$
$w_1$	1	0	0	0	0
$w_2$	1	1	0	0	0
$w_3$	0	1	1	0	0
$w_4$	0	0	1	0	0
$w_5$	0	0	0	1	0
$w_6$	0	0			

Query Processing for  $w_3 \text{ AND } w_5 \text{ AND NOT } w_6$

1. \*\*Retrieve documents containing **w3**: {d2, d3}
2. \*\*Retrieve documents containing **w5**: {d4}
3. **Intersection of results from steps above:** No documents satisfy both conditions since there is no overlap between {d2, d3} and {d4}.
4. \*\*Exclude documents containing **w6** from results (not applicable here since no results were found).

Thus, no documents satisfy the query `w3 AND w5 AND NOT w6`.

#### 13. List Any Two Issues Related to the Following

##### i) Choosing a Document Unit

- **Issue of Granularity:** Determining the right size for document units can be challenging. If the unit is too large (e.g., an entire book), it may not allow for precise retrieval of specific information. Conversely, if it is too small (e.g., a single sentence), it may lead to inefficiencies in indexing and retrieval.
- **Context Preservation:** When selecting document units, it is important to ensure that the context of the information is preserved. For example, extracting a paragraph from a larger document without its surrounding context may lead to misinterpretation of the content during retrieval.

##### ii) Tokenization

- **Ambiguity in Language:** Tokenization can struggle with ambiguous terms that have multiple meanings or uses (e.g., "bark" could refer to tree bark or a dog barking). This can lead to incorrect tokenization and affect subsequent retrieval processes.
- **Handling Special Characters:** Deciding how to treat punctuation and special characters can complicate tokenization. For instance, should "end-user" be treated as one token or two? Mismanagement of such cases can lead to inconsistent token generation.

#### 14. How Does a Term-Document Incidence Matrix Help in Handling Boolean Queries? Explain With an Example. What Are Its Limitations?

##### Term-Document Incidence Matrix

A term-document incidence matrix is a binary matrix that represents the presence or absence of terms in documents. Rows represent terms, while columns represent documents, with entries indicating whether a term appears in a document (1) or not (0).

##### Example

Assume we have three documents:

- d1: "apple banana"
- d2: "banana cherry"
- d3: "apple cherry"

The term-document incidence matrix would look like this:

Terms	d1	d2	d3
apple	1	0	1
banana	1	1	0
cherry	0	1	1

## Handling Boolean Queries

For a query like "apple AND banana":

1. Retrieve rows for "apple" and "banana":

- apple: [1,0,1]
- banana: [1,1,0]

2. Perform logical AND operation:

- Result: [1 AND 1 = true (1), false (0), false (0)] → [1,0,0]

3. The resulting document satisfying the query is **d1**.

## Limitations

- **Scalability:** As the number of documents and terms increases, the matrix can become very large and unwieldy.
- **Sparsity:** Many entries in the matrix may be zero (indicating absence), leading to inefficient storage and processing.
- **Complex Queries:** Handling complex Boolean queries involving multiple terms can require extensive computation across the matrix.

## 12. How Is Context Sensitive Spelling Correction Achieved in IR Systems?

### Context-Sensitive Spelling Correction

Context-sensitive spelling correction refers to the ability of an information retrieval system to correct misspelled words based on the context in which they appear. This is important because the same misspelling can have different corrections depending on the surrounding words.

### Techniques for Achieving Context-Sensitive Spelling Correction

1. **N-gram Models:**

- N-gram models analyze sequences of words to determine the likelihood of a word appearing in a specific context. For instance, if a user types "I have a blook," the system can use n-grams to suggest "book" as a correction based on the context of "I have a."

## 2. Language Models:

- Language models can be trained on large corpora to predict the probability of a sequence of words. The system can compare the probability of different corrections within the context of surrounding words.

## 3. Edit Distance with Context:

- While traditional edit distance considers only character changes, context-sensitive approaches can weigh edits differently based on their likelihood given the surrounding words.

## 4. Machine Learning Approaches:

- Machine learning algorithms can be trained on datasets containing correct and incorrect spellings in context. These models learn to predict corrections based on patterns observed in training data.

## 5. User Feedback:

- Incorporating user feedback allows the system to learn from corrections made by users, improving its ability to suggest contextually appropriate corrections over time.

# 13. Why Is There a Need for Phonetic Correction in IR Systems? Write an Algorithm to Achieve It.

## Need for Phonetic Correction

Phonetic correction is necessary in information retrieval systems because users often misspell words based on how they sound rather than their actual spelling. This is particularly relevant for names, places, and specialized terms where phonetic similarities can lead to search errors.

## Importance

- **Improves Search Accuracy:** By correcting phonetically similar terms, IR systems can return more relevant results.
- **Enhances User Experience:** Users are more likely to find what they are looking for even if they do not know the exact spelling of a term.

## Algorithm for Phonetic Correction Using Soundex

### 1. Soundex Algorithm Steps:

- Convert each word into its Soundex code.
- Store these codes along with their corresponding words in an index.
- When a user inputs a query, convert it into its Soundex code and retrieve all words with matching codes.

```
python
def soundex(name):
    name = name.upper()
```

```

soundex_code = name[0] # First letter is kept
# Mapping of letters to numbers
mappings = {
    'B': '1', 'F': '1', 'P': '1', 'V': '1',
    'C': '2', 'G': '2', 'J': '2', 'K': '2', 'Q': '2', 'S': '2', 'X': '2', 'Z': '2',
    'D': '3', 'T': '3',
    'L': '4',
    'M': '5', 'N': '5',
    'R': '6'
}

# Convert letters to numbers
for char in name[1:]:
    if char in mappings:
        code = mappings[char]
        if code != soundex_code[-1]: # Avoid duplicates
            soundex_code += code

# Pad with zeros or truncate to ensure length is 4
soundex_code = (soundex_code + "000")[:4]

return soundex_code

# Example usage:
name = "Fried"
phonetic_code = soundex(name)
print("Phonetic Code for", name, "is", phonetic_code) # Output: Phonetic Code for Fried is F630

```

#### 14. What Computer Hardware Characteristics Are Considered in Designing an IR System? Explain.

When designing an information retrieval (IR) system, several computer hardware characteristics must be considered:

##### 1. Storage Capacity:

- The system must have sufficient storage capacity to handle large datasets, including documents and indexes. This includes both primary storage (RAM) for fast access and secondary storage (hard drives or SSDs) for long-term data retention.

##### 2. Processing Power:

- A powerful CPU is essential for processing queries and performing complex computations quickly, especially when dealing with large volumes of data or sophisticated algorithms like ranking and relevance scoring.

##### 3. Memory (RAM):

- Adequate RAM is crucial for caching frequently accessed data and improving retrieval times. More memory allows larger portions of data to be loaded into fast-access storage, reducing I/O operations.

##### 4. Network Bandwidth:

- For distributed IR systems, sufficient network bandwidth is necessary to handle data transfer between nodes efficiently, especially during indexing and query processing phases.

##### 5. Disk I/O Performance:

- The speed at which data can be read from and written to disk affects overall system performance. Fast disks (e.g., SSDs) improve responsiveness during indexing and retrieval operations.

## 6. Scalability:

- The hardware should support scalability options, allowing additional resources (like CPUs or storage) to be added as demand increases without significant reconfiguration.

15. How Does BLOCKED SORT-BASED INDEXING Handle Construction of Index for Very Large Collections of Documents? Write the Algorithm for the Same.

Blocked Sort-Based Indexing Overview

Blocked sort-based indexing is an efficient method used for constructing indexes from large collections of documents by processing them in blocks rather than all at once.

Steps Involved

### 1. Divide Documents into Blocks:

- Split the entire collection into smaller manageable blocks that fit into memory (e.g., 1000 documents per block).

### 2. Sort Each Block:

- For each block, tokenize the documents and create an inverted index for that block while sorting terms within it.

### 3. Merge Sorted Blocks:

- Once all blocks are processed, merge the sorted inverted indexes from each block into a single global index using a merging algorithm similar to merge sort.

Algorithm for Blocked Sort-Based Indexing

```
python
def blocked_sort_based_indexing(documents):
    block_size = 1000 # Define block size
    index = {} # Global inverted index

    # Process documents in blocks
    for i in range(0, len(documents), block_size):
        block = documents[i:i + block_size]
        local_index = {}

        # Create local inverted index for current block
        for doc_id, text in enumerate(block):
            terms = tokenize(text)
            for term in terms:
                if term not in local_index:
                    local_index[term] = []
                local_index[term].append(doc_id)

        # Sort local index by terms
        sorted_local_index = dict(sorted(local_index.items()))

        # Merge local index with global index
        for term in sorted_local_index:
            if term in index:
                index[term].extend(sorted_local_index[term])
            else:
                index[term] = sorted_local_index[term]
```

```

# Merge local index into global index
for term, postings in sorted_local_index.items():
    if term not in index:
        index[term] = []
    index[term].extend(postings)

return index

# Example usage with dummy documents
documents = [
    "apple banana",
    "banana cherry",
    "cherry apple",
    "date fig",
    "grape banana"
]

index = blocked_sort_based_indexing(documents)
print("Inverted Index:", index)

```

#### 16. Compare the Following Approaches of Dictionary Compression:

##### i) Dictionary as a String

- **Description:** In this approach, the entire dictionary is stored as a single contiguous string.
- **Advantages:** Simple implementation; easy access.
- **Disadvantages:** Inefficient use of space; does not allow fast lookups or modifications; difficult to manage large dictionaries due to linear search time complexity.

##### ii) Blocked Storage

- **Description:** The dictionary is divided into blocks that fit into memory pages or cache lines.
- **Advantages:** Improves access speed by allowing partial loading; reduces I/O operations; suitable for large dictionaries.
- **Disadvantages:** Slightly more complex management compared to storing as a single string; requires handling block boundaries during searches.

##### iii) Blocked Storage and Front Coding

- **Description:** Combines blocked storage with front coding techniques where common prefixes are stored only once.
- **Advantages:** Significantly reduces redundancy; efficient storage; improves lookup times by reducing overall size.
- **Disadvantages:** More complex implementation; requires additional processing during encoding/decoding phases.

#### 17. How Does Variable Byte Codes Approach Provide Postings File Compression? Explain With an Example.

## Variable Byte Codes Overview

The variable byte code approach is a method of compressing postings lists in information retrieval systems. It is particularly effective for storing integers, which are commonly used in postings lists to represent document IDs and term frequencies.

## How Variable Byte Codes Work

### 1. Encoding:

- Each integer is represented using a variable number of bytes. The first byte contains the most significant bits, and the remaining bytes contain the least significant bits.
- The first byte uses the highest bit (the sign bit) as a continuation flag. If this bit is set to 1, it indicates that there are more bytes to come; if it is set to 0, it indicates that this is the last byte for that integer.

### 2. Example:

- Let's say we have a postings list with document IDs: [1, 3, 5, 10].
- The differences between consecutive document IDs are calculated: [1, 2, 2, 5] (where 1 = 1, 3-1 = 2, 5-3 = 2, and 10-5 = 5).
- Each of these differences is then encoded using variable byte coding.

#### Encoding Steps:

- **1:** In binary: 00000001 → Stored as 00000001 (1 byte)
- **2:** In binary: 00000010 → Stored as 00000010 (1 byte)
- **2:** In binary: 00000010 → Stored as 00000010 (1 byte)
- **5:** In binary: 00000101 → Stored as 00000101 (1 byte)

The final encoded sequence would be:

text  
[00000001, 00000010, 00000010, 00000101]

### 3. Decoding:

- During retrieval, the system reads the bytes, checking the continuation bit to determine how many bytes correspond to each integer.

## Advantages

- **Space Efficiency:** By using variable-length encoding, smaller integers take up less space than larger ones.
- **Reduced I/O Operations:** Smaller postings files lead to fewer disk accesses when reading and writing data.

## 18. How Do γ Codes Provide Postings File Compression? Explain With an Example.

## γ Codes Overview

Gamma ( $\gamma$ ) codes are a form of variable-length encoding used for compressing integers in postings lists. They are particularly efficient for encoding small integers and can significantly reduce storage requirements.

## How $\gamma$ Codes Work

### 1. Encoding:

- Each integer is represented by its binary form.
- The length of the integer in bits is calculated.
- The first part of the code consists of a unary representation of the length of the number (in bits), followed by the actual binary representation of the number without its leading bit.

### 2. Example:

- Let's encode the integers: [1, 3, 5].

#### Encoding Steps:

##### • For 1:

- Binary representation: 1
- Length = 1 → Unary code: 0 (one zero for length one)
- Encoded value = 0 + (binary without leading bit) → 0 + "" → 0

##### • For 3:

- Binary representation: 11
- Length = 2 → Unary code: 00
- Encoded value = 00 + (binary without leading bit) → 00 + "1" → 001

##### • For 5:

- Binary representation: 101
- Length = 3 → Unary code: 000
- Encoded value = 000 + (binary without leading bit) → 000 + "01" → 00001

### 3. Final Encoded Sequence:

text

Encoded values for [1, 3, 5] would be [0, 001, 00001].

## Advantages

- **Compact Representation:**  $\gamma$  codes provide a very compact representation for small integers.
- **Efficient Decoding:** The structure allows for straightforward decoding since you can easily determine how many bits to read based on the unary prefix.